

## F H A

Generic functions only.

No	Function	Potential failure	Failure condition	Flight phase	Effects	Classification	Ref	DAL
Sr. No.	* Provide something Total loss			Flying	Cannot use	NSC	Cauter	DNC E
	* Distribute - " Lensa		at/during	On ground	Crash	MIN	Action	DNC D
	Front - " More			Daytime	Accident	MAJ		DNC C
	Back - " Inadvertant			Night time	Unexpected	HAZ		DNC B
	* Switching Errorneous			High speed	Malfunction	CAT		DAL A
	* Override function Partial fail/reac			Low speed	Stress			
	* Indication Always ON			Working	Control loss			
	* Human Machine Interface Always OFF			Standstill	Explosion			
					Ambiguity			

Piece part analysis - Material build - Single failure only

No	Item	Function	Failure Mode	Failure rate	Failure Effects	Failure recognition	Remarks
Sr no.	Each Item/ Component/ Part Separately.	Function of that part/ Item	short ckt open ckt broken/rupture ground Over voltage under voltage lower more inadvertant erroneous always ON always OFF commut	$\lambda_a, \lambda_b, \lambda_c$	1. Component 2. Subsystem 3. System	Indicator Noticable Hidden Latent Hard movement noticeable	1. condition influencing Effects 2. Compensation 3. Other info
l					+1. Blow off +2. Circuit sense		
					less, more		
					(* 2.) Total loss		
					(* 3.) Over, Under		
					F M E S		
Ref		Failure Mode	Failure Rate		Failure Effect	Detectability	causal failure remarks
Sr no.	Total loss Over / Under Explosion (not included in single failure generally)		summation of related	$\lambda_1, \lambda_2, \lambda_3$	Next level, system level effect accident, crash, Control loss, Malfunction	Facile, Indicative Hidden, Latent	

FMEA

Failure mode

Item I Failure Effect

FMES.

Failure Effect

Fault Tree

System fault

Subsystem A

Fails  
 $\lambda_1$

Subsystem B

Fails  
 $\lambda_2$

FHA

Failure condition,  
System fault

Item II

Failure Effect

Failure Effect

• Single failure leading to more than one function loss in FMEA or FMES

∴ For such failures, Common Mode Analysis should be done.

CMA is performed to verify that ANDed events in FTA/DD are independent in actual implementation. The effects of design, manufacturing, material errors & failure of system component which defeat their independence should be analyzed. Considerations should be given

~~to~~ the independence of functions & their respective monitors. Item with identical hardware and/or software could be susceptible to generic faults which could malfunction in multiple items.

common mode faults can fall into several categories which ~~#~~ should be analyzed. Eg of common mode faults:

- Hardware Errors
- Software Errors
- Hardware Failure
- Production / Repair flaw
- Installation Error
- Requirement Error
- Environmental factors
- Cascading faults
- Common mode Source faults

- Owner sign for? approver? authorizer?

four eye principle

{ Owner is signing for content of the document (not hiding, cheating)  
 approver - supervisor - for completeness & correctness (no wrong representation)  
 authorizes - no technical background, commercial guy (CEO) applicable per  
 customer, authority, management point of view, applicability of document

- Verification & validation

Verification: - The evaluation of an implementation to determine review, walkthrough, inspection that applicable requirements are met. Does not involve code execution.

Validation: - The determination that the requirements for a product are sufficiently correct & complete. Involves code execution.  
 block box testing, whitebox testing, non functional testing

Verification → software architecture, design of database, (early in development)

Validation → actual software product, (software meets req, find bugs) cycle

### HALT HASS

- High accelerated life test
- Development test to invoke failures in short time, identify reason & find corrective action.
- It applies high levels of stress for a short time to invoke the same failure modes which would appear with lower stress after a long time.
- Requires operation & monitoring of equipment during test.
- Test parameters - six axis vibration, temperature cycling, voltage variation
- "Test to fail"

HAZS - High accelerated stress screen

- Production test to invoke those failures which are latent in the product due to the production process & detect them in a short time without reducing the useful life of the equipment.
- It requires operation & monitoring of the equipment during the whole test time.  
*If IS*
  - Combination of all relevant stress parameters for time compression without reducing the useful life of the equipment.
  - Detection of faults through functional testing
  - Product monitored with high test coverage.  
(HALT must be performed, uses screen profiles from HALT data)

Dormancy time & Risk time.

Dormancy time - Hidden failures inspected by maintenance

(Hidden failure scenario) Time that shows how long the failure was hidden until it is finally detected

Risk time - The time in which the failure would lead to severe effects

This is usually associated with the final fault in a fault sequence leading to a specific failure cond<sup>t</sup>  
eg: landing gear retraction system → Risk time during takeoff.

Cascading requirements

describe & trace  
in both direction

Breaking down requirements from product level to system level down to equipment level.

mapping between  
req & software  
for verification

Generally requirements at the lower tier of the supply chain/ are fulfilled to satisfy the technical performances required by the tier above. Such cascading requirements is run sequentially from one tier to the next of supply chain/design development phase

Requirements verification method.

Demonstration, Examinations, Test, Analysis

Increase in safety decreases reliability

To increase safety, redundant components/subsystems have to be introduced into the system. This implies more number of equipment are added to the system.

More equipments means more chances that equipments can break/malfunction & therefore reliability decreases

Random failure & error

Random failures - failures that can occur unpredictably during the lifetime of a hardware element, and that follow a probability distribution.

Caused by effects such as corrosion, thermal stressing, wearout.

Generally caused due to physical causes.

These failures are non-reproducible.

Error - An occurrence arising as a result of an incorrect action or decision by personal operating or maintaining a system. A mistake in specification, design, or implementation.

Active & passive safety

Active safety - Minimizes the probability of a crash.

e.g. Antiskid system (ABS), Traction control (ESP), Power assisted brakes, Driver fatigue warning.

Passive safety - Minimizes the effect of crash

e.g. Crumple zone, seat belts - auto tensioning, Air bags, roll over protection.

$\pi$  factors from MIL.

$\pi_E$  - Environmental factor - Defines environmental effect on failure rate

$\pi_Q$  - Quality factor

$\pi_V$  - Voltage stress factor.

$$\text{stress } S = \frac{\text{operating voltage}}{\text{Rated voltage}}$$

S (Voltage Stress)	$\pi_V$		
	Column 1	Column 2	Column 3
0.1	1.0	1.0	1.0
0.2	1.0	1.0	1.0
:	1.0	1.0	1.0
S	166	166	166

Thus if high rated voltage & low operating voltage, stress factor is less &  $\pi_V$  is also less. Thus decrease failure rate

$\pi_T$  - Temperature factor: generally lower ambient temp  $\rightarrow$  lower  $\pi_T \rightarrow$  low failure rate

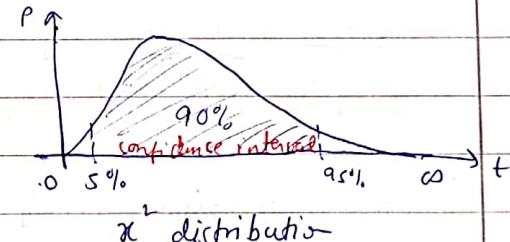
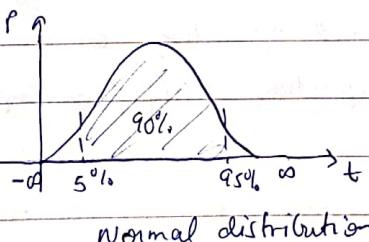
$\pi_C$  - capacitance factor - smaller capacitance  $\rightarrow$  smaller  $\pi_C \rightarrow$  low failure rate.

Failure rate by inservice experience  
(confidence interval for inservice experience is determined).  
for small values of  $p_1, p_2$ , the quantile of  $\chi^2$  (chi-square) distribution can be used to determine the confidence interval  
( $p_1, p_2$  are probabilities.)

$$2 \ln p_2 = \chi^2_{2(K+1), 1-\alpha} \quad (K \rightarrow \text{failures})$$

$$2 \ln p_1 = \chi^2_{2K, \alpha}$$

These equations cannot be used for  $K=0$  ( $i.e. \alpha = 0$  failure observed)



Confidence interval is reduced to  $0$  to  $\infty$  instead of  $-\infty$  to  $\infty$ .

## Technical safety & security

### Technical safety.

Technical safety means the threat comes from the technical things designed by an engineer.

If focuses on safety in <sup>technical</sup> product design.

The failures are inherent to the system & they are because of the technical things designed by an engineer.

Such hazards should be identified & eliminated to make the product safe from causing injuries & significant material damage.

Security - If the threat comes from external things such as hackers, terrorists then it is a ~~threat~~ security threat. Threat from intended person who wants to intrude something & destroy something.

Security measures shall be implemented to protect the system from such threats.

## System failure & External event

System failure can occur because of hardware or software failure which leads to loss of function / malfunction of component, causing the system to freeze, reboot or stop functioning all together.

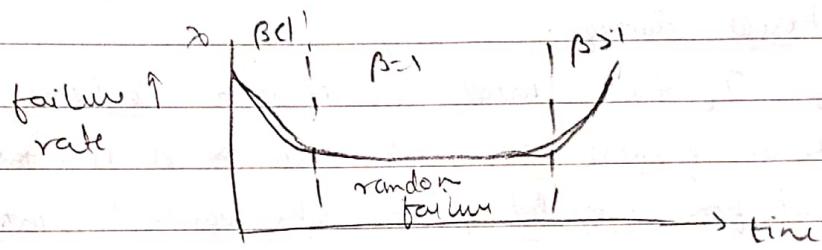
External event: an occurrence which has its origin distinct from the system such as (for aircraft) atmospheric conditions, (eg wind, temperature, lightning strikes), runaway conditions, cabin baggage fires. This term is not intended to cover sabotage.

Segregation & separation:

Segregation: The maintenance of independence by means of a physical barrier between two hardware components.

Separation: The maintenance of independence by means of physical distance between two hardware components.

Bath tub curve



Bath tub curve is widely used in reliability engg. It describes a particular form of hazard function which comprises of three parts. Describes "life characteristics" curve, describes behaviour of

Burn failure rate over time.

Burn in phase  $\beta < 1$

Initially failure rate is high but if it is rapidly decreasing.

High initial failure rate is due to production problems.

It This is called infant mortality.

Failures are due to → inadequate burn in \*

→ Mis assembly \*

→ quality problems \*

To make curve flatter

- 1) Better quality of material
- 2) Robust tests carried out
- 3) Error free setup.

$\beta$  Constant phase  $\beta = 1$

failure rate remains constant for longer period.

Usually caused by random failures probably by stress exceeding design strength.

The safety & service test is carried out in this phase & if the equipment is successfully tested/serviced, its lifetime is extended.

(Regular maintenance is ... carried out)

The end of this phase is <sup>called</sup> the designed service life.

Wear out phase  $\beta > 1$

The failure rate increases as components begin to wear out due to fatigue, depletion or material, etc. failure rate increases exponentially w.r.t. time

If maintenance is done before wear out phase, then its life characteristic will reach back to burn in phase

$\beta = 2$  Wear out

Linear increase of failure rate with time.

$\beta > 2$  Old age Wear out - Progressive increase of failure rate with time. Because of Bearings, corrosion.

Improve failure rate of capacitor.

for capacitor failure rate is given by

$$\lambda_p = \lambda_b \cdot \pi_T \cdot \pi_c \cdot \pi_v \cdot \pi_{SR} \cdot \pi_Q \cdot \pi_E \text{ failures/10}^6 \text{ Hours}$$

basic failure rate  
Temperature factor  
Capacitance factor  
Voltage stress factor  
Series Resistance factor  
Quality factor  
Environment factor

Basic failure rate is different for different types of capacitors  
eg - dielectric, electrolytic, ceramic.  
can be chosen suitably to reduce  $\lambda_b$

$\pi_T$  is lower for lower ambient Temp.

If possible, reduce the temperature of working area to  
reduce  $\pi_T$

$\pi_c$  is smaller for smaller capacitance values.

$\pi_v$  increases with  $s$ .  $\pi_v$  depends on  $s$ .  $s$  is given by  $s = \frac{\text{operating voltage}}{\text{Rated Voltage}}$   
so use rated capacitor with much higher rated voltage than operating voltage.

$\pi_{SR}$  is more for lesser circuit resistance  
 $(R = \frac{\text{resistance between cap \& Power supply}}{\text{Voltage applied to capacitor}})$

D → 0.001  
C → 0.1  
S,B → 0.03  
R → 0.1  
P → 0.3  
M → 1  
L → 1.5

$\pi_Q$  - D rated capacitors have lesser  $\pi_Q$  value  
use established reliable rated capacitors

$\pi_E$  . Depends on the working environment.

Reason for suspension to break

$\beta < 1$

Infant mortality - production problem

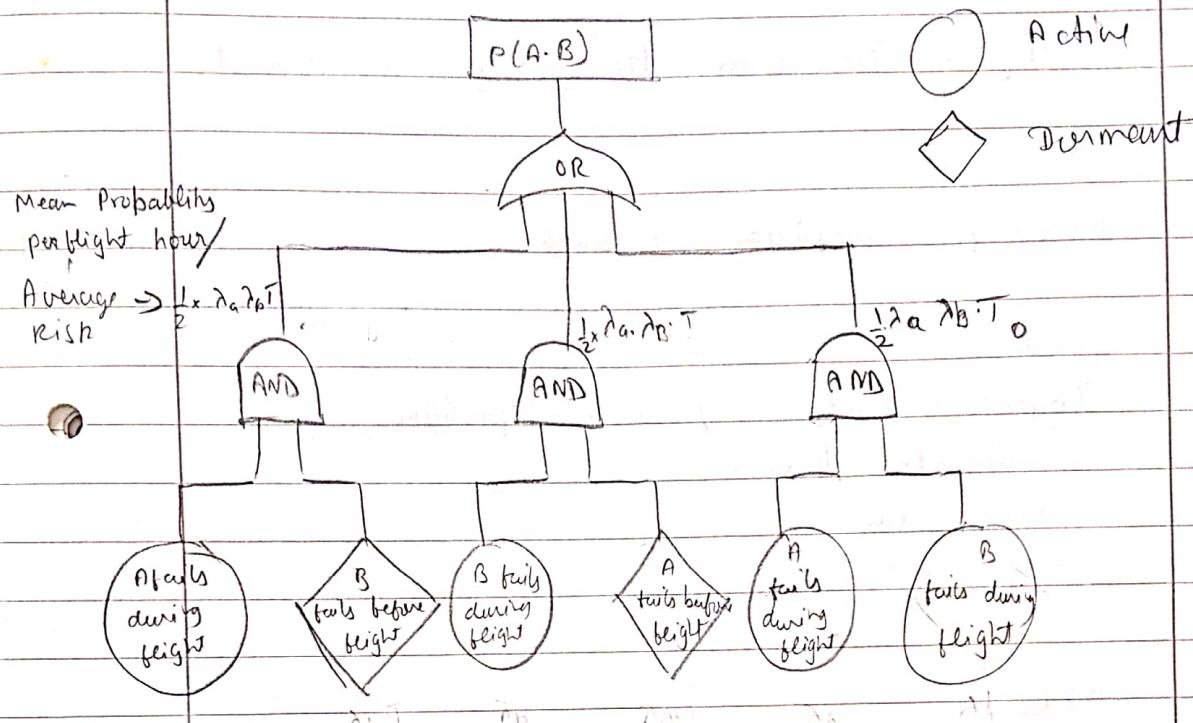
- inadequate burn in
- misassembly
- quality problem

$\beta > 1$

wear out, Not serviced/maintained properly,  
corrosion bearing problem, Mis handling

Calculation of hidden failures:

Double failure, mean flight time  $T_0$ , Inspecting interval  $T$ ,  $T \gg T_0$ .



consider i is failure during operation cycle no.

Case 1: B fails before flight, A fails during flight

$$T = NT_0$$

$$P_1 = \lambda_a T_0 \lambda_b i T_0 = \lambda_a \lambda_b i T_0^2$$

Case 2: A fails before flight, B fails during flight

$$P_2 = \lambda_a i T_0 \lambda_b T_0 = \lambda_a i \lambda_b T_0^2$$

Case 3 - A fails during flight, B fails during flight

$$P_3 = \lambda_a T_0 \lambda_b T_0 = \lambda_a \lambda_b T_0^2$$

Total probability of flight:  $P(A \cdot B) = P_1 + P_2 + P_3$

$$= \lambda_a \lambda_b i T_0^2 + \lambda_a i \lambda_b T_0^2 + \lambda_a \lambda_b T_0^2$$

$$P(A \cdot B) = \lambda_a \lambda_b \cdot T_0^2 (2i + 1)$$

Mean probability per flight hour is given by

$$P_{avg} = \frac{1}{NT_0} \sum_{i=1}^N [\lambda_a \cdot \lambda_b \cdot T_0^2 (2i+1)]$$

$$= \frac{\lambda_a \cdot \lambda_b \cdot T_0^2}{NT_0} \sum_{i=1}^N (2i+1)$$

$$= \frac{\lambda_a \cdot \lambda_b \cdot T_0}{N} - 2 \sum_{i=1}^N \left( i + \frac{1}{2} \right)$$

$$\sum_{i=1}^N i = \frac{(N+1)N}{2}$$

$$= \frac{\lambda_a \cdot \lambda_b \cdot T_0}{N} \cdot \frac{N(N+1)}{2} + \frac{1}{2}$$

$$= \underline{\underline{\lambda_a \cdot \lambda_b \cdot T_0 \cdot N}} \quad N \gg 1$$

$\therefore N+1 \approx N$

Probability  $P(A \cdot B)$

(first flight after inspection):  $i=1$

Case 1: B ~~fails~~ hidden, A active

$$P_{x1} = \lambda_a \lambda_b \cdot T_0 = \lambda_a \lambda_b T_0^2$$

Case 2: A ~~fails~~ hidden, B active

$$P_y = \lambda_a \lambda_b \cdot T_0 = \lambda_a \lambda_b T_0^2$$

Case 3: A active, B active

$$P_z = \lambda_a \cdot T_0 \lambda_b \cdot T_0 = \lambda_a \lambda_b T_0^2$$

$$\text{Mean probability: } P(A \cdot B) = \frac{P_x + P_y + P_z}{N} = \frac{3\lambda_a \lambda_b T_0^2}{N}$$

$$\text{Mean Probability per hour} = \frac{1}{NT_0} \sum_{i=1}^N (P_n + P_y + P_z) = \frac{3\lambda_a \lambda_b T_0^2}{NT_0}$$

Last flight before inspection

Case 1

$$P_n = \lambda_a \lambda_b \cdot T = \lambda_a \lambda_b NT_0$$

Case 2

$$P_y = \lambda_a \lambda_b T_0 = \lambda_a \lambda_b NT_0$$

Case 3

$$P_z = \lambda_a \lambda_b T_0^2 \cdot N^2$$

$$P(A \cdot B) = \frac{P_n + P_y + P_z}{N}$$

$$= \lambda_a \lambda_b NT_0 (2T_0 + T_0)$$

$$= \lambda_a \lambda_b T_0 (3T_0)$$

$$= 3\lambda_a \lambda_b T_0^2 N$$