

# Lexical Analysis

CMPT 379: Compilers

Instructor: Anoop Sarkar

[anoopsarkar.github.io/compilers-class](https://anoopsarkar.github.io/compilers-class)

# Regular Expressions

- We write down a **pattern** in order to describe all lexemes for a token
- We need a decision procedure (an algorithm) for matching lexemes
- Given a pattern described as a regexp  $r$  and input string  $s$ 
  - return True if  $s \in L(r)$
  - return False if  $s \notin L(r)$
- This decision procedure is called a **recognition** algorithm

# Regular Expressions

- We will do this by compiling the regular expression into a data structure called a finite state automata (FA)
- Finite state automata can be:
  - Deterministic (DFA)
  - Non-deterministic (NFA)
- DFA and NFA each have their own **recognition** algorithm for matching against an input string.

# Finite State Automata

- An alphabet  $\Sigma$  of input symbols

- A finite set of states  $S$



- One start state  $q_0$

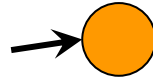


- zero or more final (accepting) states  $F$

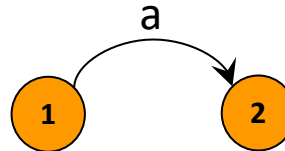


- A transition function :

- $\delta: S \times \Sigma \Rightarrow S$

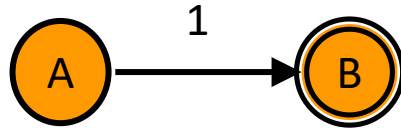


- Example:  $\delta(1, a) = 2$



# FA: Example 1

A finite automaton that accepts only '1'

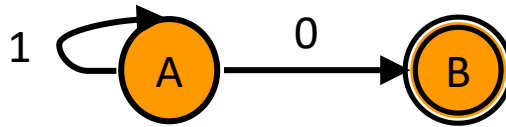


Language of a FA: set of  
accepted strings

state	input	
A	↑1	Accept
B	1↑	
A	↑0	Reject
A	↑1 0	Reject
B	1↑0	

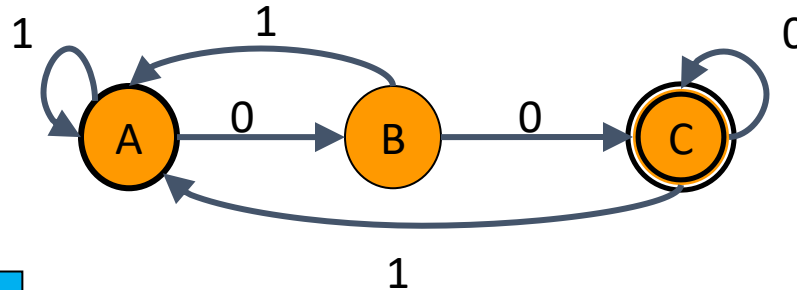
## FA: Example 2

A finite automaton accepting any number of 1's followed by a single 0



## FA: Example 3

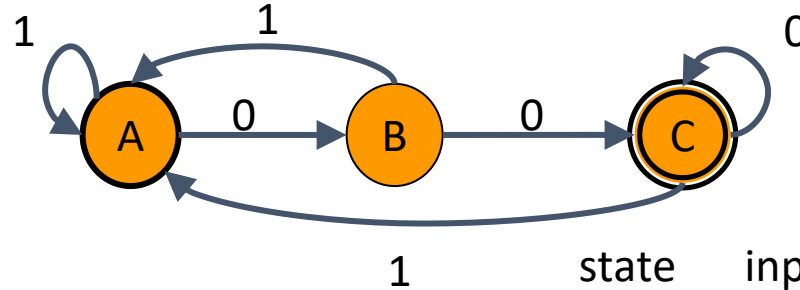
What regular expression does this automaton accept?



A: start state  
C: final state

Answer:  $(0|1)^*00$

# FA simulation == recognition algorithm



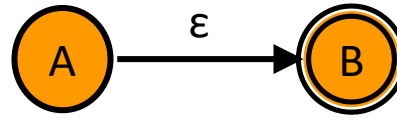
Input string: 00100

state	input	
A	00100	↑
B	00100	↑
C	00100	↑
A	00100	↑
B	00100	↑
C	00100	↑
		Accept



# $\epsilon$ -move

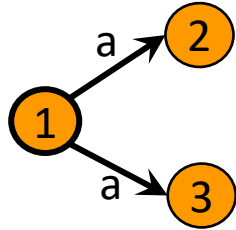
Another kind of transition:  $\epsilon$ -moves



state	input
A	$x_1 x_2 x_3$ ↑
B	$x_1 x_2 x_3$ ↑

# Deterministic Finite Automata (DFA)

Rule 1: One transition per input per state

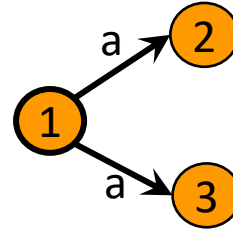


Invalid

Rule 2: No  $\epsilon$ -moves

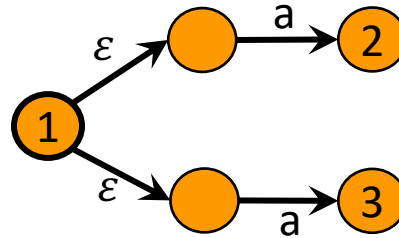
# Nondeterministic Finite State Automata (NFA)

Can have multiple transitions for same symbol from a state



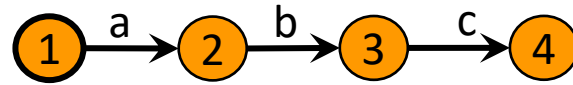
Allowed!

Can have  $\varepsilon$ -moves

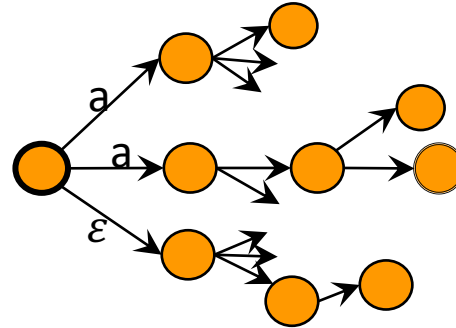


# Nondeterministic Finite State Automata (NFA)

A DFA takes only one path through the state graph (per input)



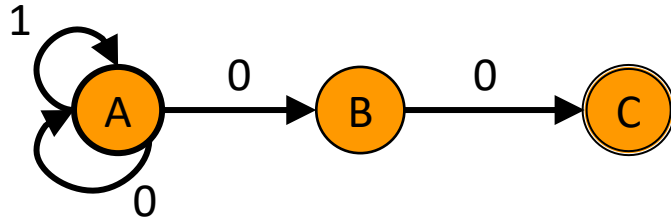
NFA can choose the path!



An NFA accepts the input if any one of the paths leads to a final state.

# Nondeterministic Finite State Automata (NFA)

An NFA can reach multiple states simultaneously



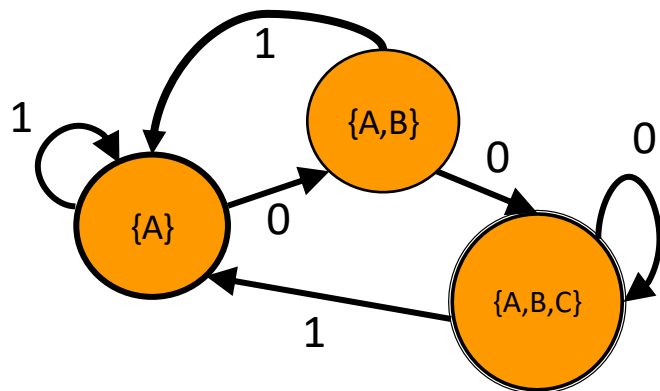
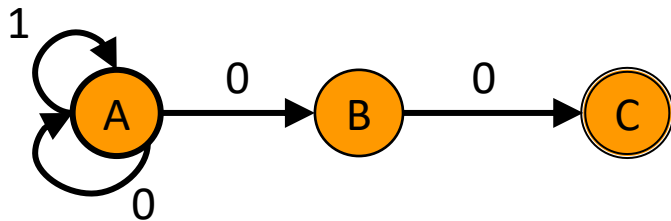
Q: Write down the regexp for this NFA.

state	input
{A}	↑100
{A}	↑100
{A,B}	↑100
{A,B,C}	↑100

Q: Draw an NFA for regexp  $(0|1)(0|1)^*$

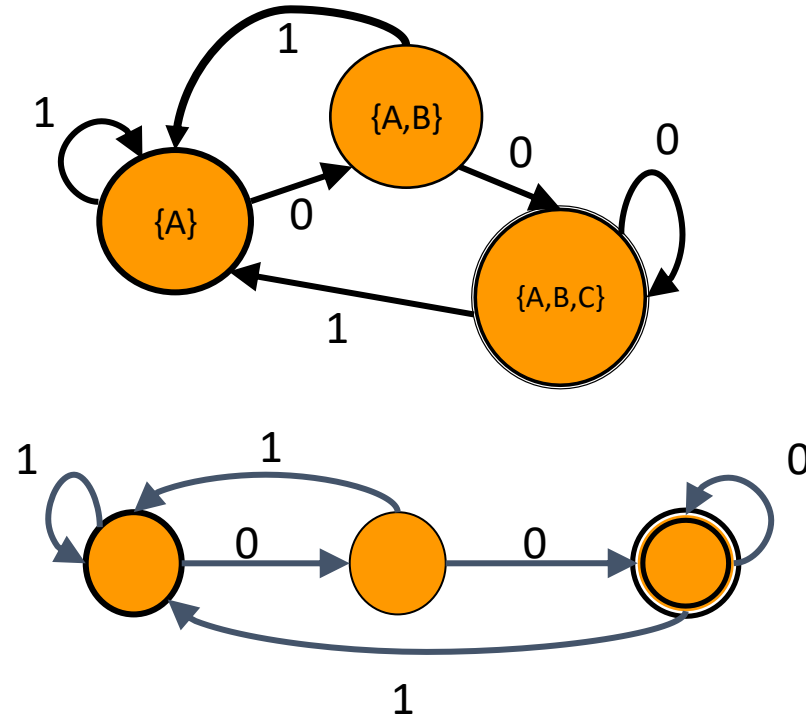
# Nondeterministic to Deterministic

The Subset Construction converts an NFA into a DFA



DFA state, $\Sigma$	DFA state
$\{A\}, 0$	$\{A, B\}$
$\{A\}, 1$	$\{A\}$
$\{A, B\}, 0$	$\{A, B, C\}$
$\{A, B\}, 1$	$\{A\}$
$\{A, B, C\}, 0$	$\{A, B, C\}$
$\{A, B, C\}, 1$	$\{A\}$

# Nondeterministic to Deterministic



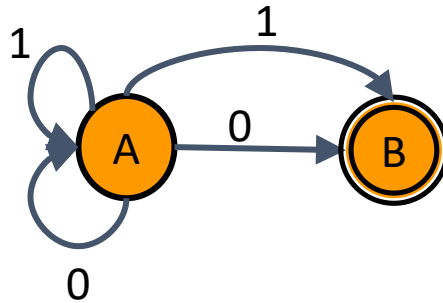
# NFAs vs DFAs

- NFAs and DFAs recognize the same set of languages
  - Regular languages, the languages  $L(r)$  where  $r$  is a regular expression
- DFAs are faster to execute
  - There are no choices to consider – it is deterministic (hence the name)
- DFAs usually have fewer states than NFAs
- But in a worst-case analysis, DFAs can be larger than NFAs
  - Exponentially larger



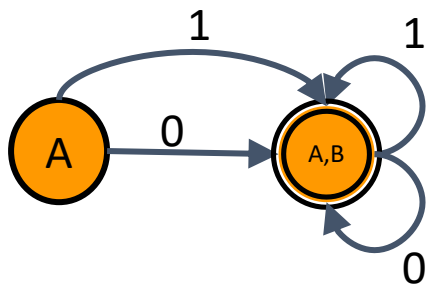
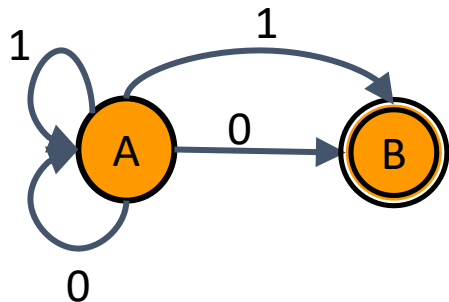
Extra Slides

# Nondeterministic Finite State Automata (NFA)



Q: Draw an  
NFA for regexp  
 $(0|1)(0|1)^*$

# Nondeterministic to Deterministic



DFA state, $\Sigma$	DFA state
$\{A\}, 0$	$\{A, B\}$
$\{A\}, 1$	$\{A, B\}$
$\{A, B\}, 0$	$\{A, B\}$
$\{A, B\}, 1$	$\{A, B\}$