

Lexical Analysis

CMPT 379: Compilers

Instructor: Anoop Sarkar

anoopsarkar.github.io/compilers-class

Lexical Analysis

Also called *lexing* or *scanning*, take input program *string* and convert into *tokens*

Example

double f = sqrt(-1);



T_DOUBLE	("double")
T_IDENT	("f")
T_OP	("=")
T_IDENT	("sqrt")
T_LPAREN	("(")
T_OP	("-")
T_INTCONSTANT	("1")
T_RPAREN	(")")
T_SEP	(";")

Token Attributes

- Some tokens have attributes:

- `T_IDENT` (“sqrt”)
- `T_INTCONSTANT` (“1”)

- Other tokens do not:

- `T_WHILE`

- Source code location for error reports

- A token is defined using a **pattern**.

- The **pattern** for identifiers: a sequence of one or more letters, numbers and underscores which starts with a letter or underscore.

`T_IDENT`

`(“sqrt”)`

Token

Lexeme

Lexical errors

The lexer does not check for syntax errors!

- What if user omits spaces: `doublef=sqrt(-1);`
 - No lexical error!
 - Single token is produced: `T_IDENT("doublef")`
 - Not two tokens: `T_DOUBLE`, `T_IDENT("f")`
- Typically few lexical error types
 - Illegal chars
 - Unclosed string constants
 - Comments that are not terminated correctly

Q: What token(s) will be produced for input `double(-1)`

Lexical errors

- Lexical analysis should not disambiguate tokens
 - e.g. unary operator – (minus) versus binary operator – (minus)
 - Use the same token `T_MINUS` for both
 - It's the job of the parser to disambiguate based on the context
- Regexps can be used only if the language definition is sane
 - Should not permit crazy long-distance effects (e.g. Fortran)

`DO 5 I = 1,5`  `T_DO T_INT(5) T_ID(I) T_EQ ...`

`DO 5 I = 1.5`  `T_ID(DO 5 I) T_EQ T_FLOATCONST(1.5)`

Ad-hoc Lexer

Implementing Lexers: Loop and switch scanners

- Big nested switch/case statements
- Lots of `getc()/ungetc()` calls
 - Buffering and streams; Sentinels for push-backs
- Can be error-prone
- Changing or adding a keyword is problematic

Read source of an ad-hoc lexer: [LexTokenInternal](#) in clang

Implementing Lexers: Loop and switch scanners

- Another problem: does the implementation exactly capture the language specification?
- How can we show correctness?
- **Key idea**: separate the definition of tokens from the implementation
- **Problem**: we need to reason about patterns and how they can be used to define tokens (recognize strings).

Specifying Patterns using Regular Expressions

Formal Languages: Recap

- Symbols (each of length one): a, b, c
- Alphabet : finite set of symbols $\Sigma = \{a, b\}$
- String: sequence of symbols (length = #symbols) bab or $a^2 = aa$
- Empty string (has zero length): ε
- Define: $\Sigma^\varepsilon = \Sigma \cup \{\varepsilon\}$
- Define: $\Sigma^0 = \{\varepsilon\}, \Sigma^1 = \{a, b\}, \Sigma^2 = \{aa, ab, bb, ba\}$
- Set of all strings: $\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \dots \cup \Sigma^n : n \rightarrow \infty$
- (Formal) Language: a set of strings $\{a^n b^n : n > 0\}$

All strings of length 0, 1, 2
using symbols from the
alphabet Σ

Q: How many strings in
 Σ^n if the alphabet Σ has
 m elements.

Regular Languages

- The set of regular languages: each element is a regular language
 - $R = \{R_1, R_2, \dots, R_n, \dots\}$
- Each regular language is an example of a (formal) language, i.e. a set of strings

e.g. $\{a^m b^n : m > 0, n > 0\}$

Regular Languages

Recursively defining the set of all regular languages:

1. The empty set and $\{a\}$ for all a in Σ^ε are regular languages
2. If L_1 and L_2 and L are regular languages, then:

$$L_1 \cdot L_2 = \{xy \mid x \in L_1 \text{ and } y \in L_2\} \quad (\text{concatenation})$$

$$L_1 \cup L_2 \quad (\text{union})$$

$$L^* = \bigcup_{i=0}^{\infty} L^i \quad (\text{Kleene closure})$$

are also regular languages

3. There are no other regular languages

Formal Grammars

- A formal grammar is a concise description of a formal language using a specialized syntax
- For example, a **regular expression** is a concise description of a regular language
 - $(a|b)^*abb$ is the set of all strings over the alphabet $\{a, b\}$ which end in abb
- We will use regular expressions (regexps) in order to define tokens in our compiler,
 - e.g. integers can be defined as the pattern $[1-9][0-9]^*$



any number from 1 to 9



zero or more numbers from 0 to 9

Regular Expressions: Definition

- Every symbol of $\Sigma \cup \{ \varepsilon \}$ is a regular expression (regexp)
 - If $\Sigma = \{a, b\}$ then a, b are regexps
- If r_1 and r_2 are regular expressions, combine them using:
 - Concatenation: $r_1 r_2$, e.g. ab or aba
 - Alternation: $r_1 | r_2$, e.g. $a | b$
 - Repetition: r_1^* , e.g. a^* or b^*
- No other core operators are defined
- But other operators can be defined as combinations of the basic operators, e.g. $a^+ = aa^*$

Lex regular expressions

Expression	Matches	Example	Using core operators
<code>c</code>	non-operator character <code>c</code>	<code>a</code>	
<code>\c</code>	character <code>c</code> literally	<code>*</code>	
<code>"s"</code>	string <code>s</code> literally	<code>***</code>	
<code>.</code>	any character but newline	<code>a.*b</code>	
<code>^</code>	beginning of line	<code>^abc</code>	used for matching
<code>\$</code>	end of line	<code>abc\$</code>	used for matching
<code>[s]</code>	any one of characters in string <code>s</code>	<code>[abc]</code>	<code>(a b c)</code>
<code>[^s]</code>	any one character not in string <code>s</code>	<code>[^a]</code>	<code>(b c)</code> $\Sigma = \{a, b, c\}$
<code>r*</code>	zero or more strings matching <code>r</code>	<code>a*</code>	
<code>r+</code>	one or more strings matching <code>r</code>	<code>a+</code>	<code>aa*</code>
<code>r?</code>	zero or one <code>r</code>	<code>a?</code>	<code>(a ε)</code>
<code>r{m,n}</code>	between <code>m</code> and <code>n</code> occurrences of <code>r</code>	<code>a{2,3}</code>	<code>(aa aaa)</code>
<code>r₁r₂</code>	an <code>r₁</code> followed by an <code>r₂</code>	<code>ab</code>	
<code>r₁/r₂</code>	an <code>r₁</code> or an <code>r₂</code>	<code>a b</code>	
<code>(r)</code>	same as <code>r</code>	<code>(a b)</code>	
<code>r₁/r₂</code>	<code>r₁</code> when followed by an <code>r₂</code>	<code>abc/123</code>	<code>r₁r₂</code> used for matching