

# LR Parsing

CMPT 379: Compilers

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[anoopsarkar.github.io/compiler-class](https://anoopsarkar.github.io/compiler-class)

# Bottom-Up Parsing

- Bottom-up parsing is more general than (deterministic) top-down parsing
  - Just as efficient
  - Builds on ideas in top-down parsing
- Preferred method in practice
- Do not need left-factored grammars!

# Bottom-Up parsing

- Bottom-up parsing reduces a string to the start symbol by inverting the derivation

↑	int * int + int	$T \rightarrow \text{int}$	$E \rightarrow T + E$
	int * T + int	$T \rightarrow \text{int} * T$	$E \rightarrow T$
	T + int	$T \rightarrow \text{int}$	$T \rightarrow \text{int}$
	T + T	$E \rightarrow T$	$T \rightarrow \text{int} * T$
	T + E	$E \rightarrow T + E$	$T \rightarrow ( E )$
	E		

Note the productions, read reverse (i.e. from bottom to top)

This is a rightmost derivation!

# Bottom-up parse

- Fact #1: A bottom-up parser traces a rightmost derivation in reverse

int \* int + int

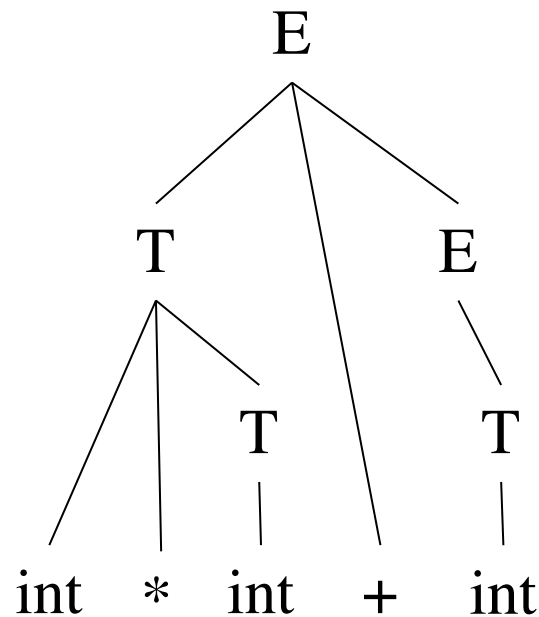
int \* T + int

T + int

T + T

T + E

E



**Parse tree**

$E \rightarrow T + E$

$E \rightarrow T$

$T \rightarrow \text{int}$

$T \rightarrow \text{int} * T$

$T \rightarrow ( E )$

# Reductions during Parsing

- Fact #1 has an interesting consequence:
  - Let  $\alpha \beta \omega$  be a step of a bottom-up parse
  - Assume the next reduction is by  $X \rightarrow \beta$
  - Then  $\omega$  is a (possibly empty) string of terminals
- Why? Because  $\alpha X \omega \Rightarrow \alpha \beta \omega$  is a step in a right-most derivation

# Notation

- Idea: Split string into two substrings
  - Right sub-string is as yet unexamined by parsing
  - Left sub-string has terminals and non-terminals
- The dividing point is marked by a **|**
  - **|** is not a part of the string
- Initially, all input is unexamined **|**  $x_1 x_2 \dots x_n$

# Shift-Reduce Parsing

- Bottom-up parsing uses only two kinds of actions:

- Shift: Move **|** one place to the right

- Shift a terminal to the left string

$$ABC \mid xyz \Rightarrow ABCx \mid yz$$

- Reduce: Apply an inverse production at the right end of the left string

- If  $A \rightarrow xy$  is a production, then reduce

$$Cbxy \mid ijk \Rightarrow CbA \mid ijk$$

# Shift-Reduce Parsing

| int \* int + int

Shift

int | \* int + int

Shift

int \* | int + int

Shift

int \* int | + int

Reduce  $T \rightarrow \text{int}$

int \* T | + int

Reduce  $T \rightarrow \text{int} * T$

T | + int

Shift

T + | int

Shift

T + int |

Reduce  $T \rightarrow \text{int}$

T + T |

Reduce  $E \rightarrow T$

T + E |

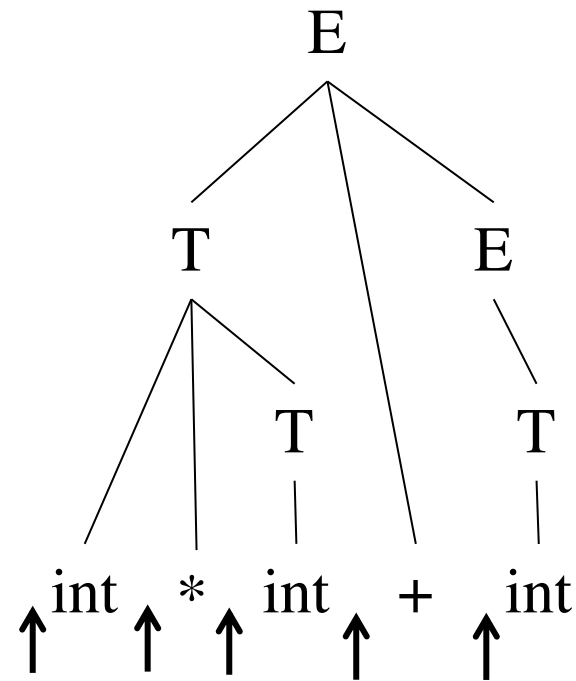
Reduce  $E \rightarrow T + E$

E |



# Shift-Reduce Parsing

| int \* int + int  
int | \* int + int  
int \* | int + int  
int \* int | + int  
int \* T | + int  
T | + int  
T + | int  
T + int |  
T + T |  
T + E |  
E |



# Stack

- Left string can be implemented by a stack
  - Top of the stack is the |
- Shift pushes a terminal on the stack
- Reduce
  - Pops 0 or more symbols off of the stack (production rhs)
  - Pushes a non-terminal on the stack (production lhs)

# Conflicts

- In a given state, more than one action (shift/reduce) may lead to different valid parse
- If it is legal to shift or reduce, there is a **shift-reduce** conflicts
  - Can be fixed (precedence and associativity declaration)
- If it is legal to reduce by two different productions there is a **reduce-reduce** conflicts
  - There is ambiguity in the grammar

# When to shift/reduce?

$E \rightarrow T + E$

$E \rightarrow T$

$T \rightarrow \text{int}$

$T \rightarrow \text{int} * T$

$T \rightarrow ( E )$

- Consider step  $\text{int} \mid * \text{int} + \text{int}$ 
  - We should shift,  $\text{int} * \mid \text{int} + \text{int}$
  - We could reduce by  $T \rightarrow \text{int}$  giving  $T \mid * \text{int} + \text{int}$
  - It causes fatal error:
    - No way to reduce to the start symbol  $E$
  - Reduce is possible, but it is **not a valid action**

# Handles

- Intuition: we want to reduce only if the result can still be reduced to the start symbol
- Assume a rightmost derivation

$$\begin{array}{c} - S \rightarrow^* \alpha X \omega \rightarrow \alpha \beta \omega \\ \xleftarrow{\text{reduction}} \end{array}$$

- Then  $\alpha\beta$  is a **handle** of  $\alpha\beta\omega$ 
  - It says: it is OK to reduce  $\beta$  to  $X$

# Handles

- Handles formalize the intuition
  - A handle is a reduction that also allows further reductions back to the start symbol
- We only want to reduce at handles
- **Important Fact:** Handles just appear on **top of the stack**, never inside

# Recognizing Handles

- Bottom-up parsing algorithms are based on recognizing handles
- No efficient algorithms to recognize handles
- There are good heuristics for guessing handles
- On some CFGs, the heuristics always work correctly

# Bottom-up Parsing Algorithms

- LR( $k$ ) parsing:
  - L: scan input Left-to-right
  - R: produce Rightmost derivation
  - $k$ : tokens of lookahead (in practice  $k=1$ )
- LR(0): zero tokens of lookahead
- SLR: Simple LR, similar to LR(0), but uses Follow sets
- LALR( $k$ )



# Bottom-up Parsing Algorithms

