

# Lexical Analysis

CMPT 379: Compilers

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# Lexical Analysis

Also called *scanning*, take input program *string* and convert into tokens

Example

**double f = sqrt(-1);**



T_DOUBLE	("double")
T_IDENT	("f")
T_OP	("=")
T_IDENT	("sqrt")
T_LPAREN	("(")
T_OP	("-")
T_INTCONSTANT	("1")
T_RPAREN	(")")
T_SEP	(";")

# Token Attributes

- Some tokens have attributes:

- `T_IDENTITY ("sqrt")`
- `T_INTEGER ("1")`

- Other tokens do not:

- `T_WHILE`

- Source code location for error reports

- A token is defined using a Pattern.

- Example: Pattern for identifiers is sequence of letters and numbers and underscores always starting with a letter or underscore.

`T_IDENTITY`

`("sqrt")`

Token

Lexeme

# Lexical errors

- What if user omits the space and produces input: `doublef`
  - No lexical error!
  - Single token is produced: `T_IDENT("doublef")`
  - Not two tokens: `T_DOUBLE`, `T_IDENT("f")`
- Typically few lexical error types
  - Illegal chars
  - Unclosed string constants
  - Comments that are not terminated correctly

# Lexical errors

- Lexical analysis should not disambiguate tokens
  - e.g. unary operator – (minus) versus binary operator – (minus)
  - Use the same token `T_MINUS` for both
  - It's the job of the parser to disambiguate based on the context
- The language definition should be sane
  - Should not permit crazy long-distance effects (e.g. Fortran)

`DO 5 I = 1,5`  `T_DO T_INT(5) T_ID(I) T_EQ ...`

`DO 5 I = 1.5`  `T_ID(DO 5 I) T_EQ T_FLOATCONST(1.5)`

Ad-hoc Scanners

# Implementing Lexers: Loop and switch scanners

- Ad hoc scanners
- Big nested switch/case statements
- Lots of `getc()/ungetc()` calls
  - Buffering; Sentinels for push-backs; streams
- Can be error-prone
- Changing or adding a keyword is problematic
- Have a look at an actual implementation of an ad-hoc scanner

# Implementing Lexers: Loop and switch scanners

- Another problem: how to show that the implementation actually captures all tokens specified by the language definition?
- How can we show correctness
- Key idea: separate the definition of tokens from the implementation
- Problem: we need to reason about patterns and how they can be used to define tokens (recognize strings).



Specifying Patterns using Regular Expressions

# Formal Languages: Recap

- Symbols:  $a, b, c$
- Alphabet : finite set of symbols  $\Sigma = \{a, b\}$
- String: sequence of symbols  $bab$
- Empty string:  $\epsilon$
- Define:  $\Sigma^\epsilon = \Sigma \cup \{\epsilon\}$
- Set of all strings:  $\Sigma^*$ 
  - $\Sigma^0, \Sigma^1, \Sigma^2, \dots, \Sigma^n$
- (Formal) Language: a set of strings  $\{a^n b^n : n > 0\}$

# Regular Languages

- The set of regular languages: each element is a regular language
  - $R = \{R_1, R_2, \dots, R_n, \dots\}$
- Each regular language is an example of a (formal) language, i.e. a set of strings
  - e.g.  $\{a^m b^n : m, n \text{ are positive integers}\}$

# Regular Languages

Recursively defining the set of all regular languages:

The empty set and  $\{a\}$  for all  $a$  in  $\Sigma^\varepsilon$  are regular languages

If  $L_1$  and  $L_2$  and  $L$  are regular languages, then:

$$L_1 \cdot L_2 = \{xy \mid x \in L_1 \text{ and } y \in L_2\} \quad (\text{concatenation})$$

$$L_1 \cup L_2 \quad (\text{union})$$

$$L^* = \bigcup_{i=0}^{\infty} L^i \quad (\text{Kleene closure})$$

are also regular languages

There are no other regular languages

# Formal Grammars

- A formal grammar is a concise description of a formal language
- A formal grammar uses a specialized syntax
- For example, a **regular expression** is a concise description of a regular language

$(a|b)^*abb$  is the set of all strings over the alphabet  $\{a, b\}$  which end in  $abb$

- We will use regular expressions (regexps) in order to define tokens in our compiler,
  - e.g. integers can be defined as the pattern  $[1-9][0-9]^*$

any number from 1 to 9

zero or more numbers from 0 to 9

# Regular Expressions: Definition

- Every symbol of  $\Sigma \cup \{ \varepsilon \}$  is a regular expression (regexp)
  - If  $\Sigma = \{a,b\}$  then  $a, b$  are regexps
- If  $r_1$  and  $r_2$  are regular expressions, combine them using:
  - Concatenation:  $r_1r_2$ , e.g.  $ab$  or  $aba$
  - Alternation:  $r_1|r_2$ , e.g.  $a|b$
  - Repetition:  $r_1^*$ , e.g.  $a^*$  or  $b^*$
- No other core operators are defined
- But other operators can be defined as combinations of the basic operators, e.g.  $a^+ = aa^*$

# Lex regular expressions

Expression	Matches	Example	Using core operators
$c$	non-operator character $c$	$a$	
$\backslash c$	character $c$ literally	$\backslash *$	
$"s"$	string $s$ literally	$"**"$	
$.$	any character but newline	$a.*b$	
$^$	beginning of line	$^abc$	used for matching
$\$$	end of line	$abc\$$	used for matching
$[s]$	any one of characters in string $s$	$[abc]$	$(alblc)$
$[^s]$	any one character not in string $s$	$[^a]$	$(blc)$ where $\Sigma = \{a,b,c\}$
$r^*$	zero or more strings matching $r$	$a^*$	
$r^+$	one or more strings matching $r$	$a^+$	$aa^*$
$r^?$	zero or one $r$	$a^?$	$(a  \epsilon)$
$r\{m,n\}$	between $m$ and $n$ occurrences of $r$	$a\{2,3\}$	$(aalaaa)$
$r_1r_2$	an $r_1$ followed by an $r_2$	$ab$	
$r_1 r_2$	an $r_1$ or an $r_2$	$a b$	
$(r)$	same as $r$	$(a b)$	
$r_1/r_2$	$r_1$ when followed by an $r_2$	$abc/123$	used for matching