

# Uni Notes

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## Introduction

This document contains my personal notes from the courses I am taking at **Universitetet i Oslo**. It also includes some additional notes from my own independent studying, which are not directly part of the courses.

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# §1 Commutative Algebra

## 1.1 Ideals

### Theorem 1.1 (The Fundamental Homomorphism Theorem)

Let  $\phi : A \rightarrow B$  be a homomorphism, then,

$$A / \ker \phi \cong \text{im } \phi$$

*Proof.* Let  $f(\alpha + \ker \phi) = \phi(\alpha)$ . Then, notice this function is well defined, since, if  $I = \alpha + \ker \phi = \beta + \ker \phi$ , then we must show that,

$$\phi(\beta) = f(I) = \phi(\alpha)$$

Since  $\alpha + \ker \phi = \beta + \ker \phi$  it must be that  $\alpha + c = \beta + d$  and since  $\ker \phi$  is an ideal it must be that  $\alpha = \beta + \gamma$  where  $\gamma \in \ker I$ . Thus,

$$\phi(\alpha) = \phi(\beta + \gamma) = \phi(\beta) + \phi(\gamma) = \phi(\beta)$$

thus  $\phi(\alpha) = \phi(\beta)$ , so the function is well-defined.

Now, all that is left is to notice that if  $x, y \in A / \ker \phi$ , then,

$$\begin{aligned} f(x) \cdot f(y) &= f(\alpha + \ker \phi) \cdot f(\beta + \ker \phi) \\ &= \phi(\alpha) \cdot \phi(\beta) = \phi(\alpha\beta) = f(xy) \end{aligned}$$

$$f(x) + f(y) = \phi(\alpha) + \phi(\beta) = \phi(\alpha + \beta) = f(x + y)$$

thus  $f$  is a homomorphism, trivially it is surjective.

Let us show that  $f$  is injective, indeed if  $f(\alpha + \ker \phi) = f(\beta + \ker \phi)$ , then it must be that,

$$\begin{aligned} f(\alpha + \ker \phi) - f(\beta + \ker \phi) &= f((\alpha - \beta) + \ker \phi) = 0 \\ &\implies \alpha - \beta \in \ker \phi \end{aligned}$$

Thus,  $\alpha \in \beta + \ker \phi$ , thus it must be that  $\alpha + \ker \phi = \beta + \ker \phi$ , since  $\ker \phi$  is known to be an ideal.

Thus, since  $f$  is a homomorphism which is both surjective and injective it must be that an isomorphism, thus proving the desired isomorphism. ■

This theorem is quite useful since it connects two objects which might at first glance seem unrelated.

You might of noticed sometimes people use  $\mathbb{Z}_n$  and  $\mathbb{Z}/n\mathbb{Z}$  interchangeably to represent arithmetic modulo  $n$ . Notice, if  $\mathbb{Z}_n$  is modular arithmetic mod  $n$ , then if one considers the remainder function  $\phi : \mathbb{Z} \rightarrow \mathbb{Z}_n$ , then its ime is  $\mathbb{Z}_n$  and the kerner is  $n\mathbb{Z}$ , thus by the Fundamental Homomorphism theorem it must be that,

$$\mathbb{Z}/n\mathbb{Z} \cong \mathbb{Z}_n$$

This is arguably a trivial example, however at least now you know what the two different notations mean!

**Example 1.2** Let  $\phi : \mathbb{Z}[X] \rightarrow \mathbb{C}$  be a homomorphism sending  $x$  to  $i$ , thus,

$$\phi \left( \sum_j a_j x^j \right) = \sum_j a_j i^j$$

Then, trivially  $\text{im } \phi = \mathbb{Z}[i]$ , it is also not difficult to show that,

$$\ker \phi = (x^2 + 1)$$

Then, by the Fundamental Homomorphism Theorem it must be that  $\mathbb{Z}[X]/(x^2 + 1) \cong \mathbb{Z}[i]$ .

As an exercise let us prove the theorem described in the example,

**Lemma 1.3**  $\ker \phi = (x^2 + 1)$

*Proof.* Assume that  $P(i) = 0$ , then it must be that  $P(x) = (x^2 + 1)Q(x)$ , thus part of the ideal  $(x^2 + 1)$ . ■

Now, another useful theorem about ideals is the following,

**Theorem 1.4** Let  $A$  be a ring and  $I \subseteq A$  an ideal, then there is an *order-preserving* bijection between,

$$\left\{ \text{ideals in } A/I \right\} \leftrightarrow \left\{ \text{ideals } J \text{ of } A \text{ such that } I \subseteq J \right\}$$

and the bijection is given by,

1. If  $J \subseteq A/I$  is an ideal, then it is sent to  $\phi^{-1}(J) \subseteq A$ .
2. If  $J \subseteq A$  such that  $I \subseteq J$ , then it is sent to  $\phi(J)$ .

where  $\phi$  is the quotient homomorphism.

To mention a bit of notation, given a ring  $A$  the set of ideals  $I \subseteq A$  is usually denoted as  $\text{Spec}(A)$ .

## 1.2 Units and Fields

**Definition 1.5** Let  $A$  be a ring, then  $x \in A$  is,

1. A unit if there exists  $y \in A$  such that,

$$xy = 1$$

2. A 0-divisor if there is  $y \in A$ ,

$$xy = 0$$

Then,

**Definition 1.6** Notice,

1. A ring  $A$  (non-zero) is a **field** if every  $0 \neq x \in A$  is a unit.

2.  $A$  is an **integral domain** if  $A \neq 0$  and the only 0-divisor of  $A$  is 0.

As an example in  $\mathbb{Z}$  units are  $\{1, -1\}$ , thus not a *field*. However  $\mathbb{Q}, \mathbb{R}, \mathbb{C}$  are *fields* and *integral domains*.

**Lemma 1.7** The only integral domains of the form  $\mathbb{Z}_n$  are  $\mathbb{Z}_p$  for a prime  $p$ .

Notice,

**Lemma 1.8** If  $x \in A$ , then,  $x$  is a unit is equivalent to  $(x) = A$ .

*Proof.* Indeed, since if  $x$  is a unit, then  $(x)$  trivially contains 1, thus generates the entire ring  $A$ .

If  $(x) = A$ , then  $1 \in (x)$ , thus  $xy = 1$ , thus  $x$  is a unit. ■

Another thing to notice,

**Lemma 1.9**  $A$  is a field is equivalent to  $A$  having exactly two ideals  $(0)$  and  $(1)$ .

*Proof.* If  $I \neq (0)$  is an ideal in  $A$ , then since each element is a unit it contains 1, thus is equal to  $(1)$ . ■

Now, let us prove two important statements,



**Theorem 1.10**  $I$  is prime  $\Leftrightarrow A/I$  is an integral domain.

*Proof.* Let us prove the statement both ways,

1. If  $B = A/I$  is an integral domain, let  $\phi$  be the quotient homomorphism. Then, if  $xy \in I$ , it must be that,

$$\phi(xy) = 0_B = \phi(x) \cdot \phi(y)$$

thus, either  $\phi(x)$  or  $\phi(y)$  is  $0_B$ , which is equivalent to saying that either  $x \in I$  or  $y \in I$ .

2. If  $I$  is prime, then let  $B = A/I$ , then suppose  $xy = 0_B$  and  $\phi$  is the quotient homomorphism. Then, let  $a \in \phi^{-1}(x)$  and  $b \in \phi^{-1}(y)$ , then,  $ab \in I$ , consequently either  $a$  or  $b$  is in  $I$  which is equivalent to either  $x$  or  $y$  being  $0_B$ .

■

**Theorem 1.11**  $I$  is maximal  $\Leftrightarrow A/I$  is a field.

*Proof.* Notice,

$$\left\{ \text{ideals in } A/I \right\} \leftrightarrow \left\{ \text{ideals } J \text{ of } A \text{ such that } I \subseteq J \right\}$$

thus since  $I$  is maximal it must be that  $A/I$  has only two ideals  $(0)$  and  $(1)$ , which by the previous lemmas implies that  $A/I$  is a field. ■

### 1.3 Prime/Maximal Ideals and Knull's theorem

Let us consider the following,

**Theorem 1.12** Let  $I \subseteq J \subseteq A$ , then  $J$  being prime in  $A$  is equivalent to  $J/I$  being prime in  $A/I$ .

*Proof.* One proof is to consider the bijection theorem described earlier, however there is another approach. Notice,  $J$  being prime is equivalent to  $A/J$  being an integral domain. Analogously  $J/I$  being prime in  $A/I$  is equivalent to showing that  $(A/I)/(J/I)$  being an integral domain as well.

Thus, the problem is equivalent to showing that  $A/J$  being an integral domain is the same as showing that  $(A/I)/(J/I)$  being an integral domain.

However, I claim that,

$$A/J \cong (A/I)/(J/I)$$

since if one considers the quotient homomorphism's  $\phi : A \rightarrow A/I$  and  $\psi : A/I \rightarrow (A/I)/(J/I)$ , then,  $\psi \circ \phi : A \rightarrow (A/J)/(I/J)$ . Then,

$$\ker \psi \circ \phi = I$$

and  $\psi \circ \phi$  is surjective (not difficult to show), consequently by the

fundamental homomorphism theorem it must be that,

$$A/I = A/(\ker \psi \circ \phi) \cong \text{im } \psi \circ \phi = (A/J)/(I/J)$$

■

Similarly one can show that  $J$  being maximal is equivalent to  $J/I$  being maximal in  $A/I$ .

Notice another trivial property of prime/maximal ideals.

**Lemma 1.13** Every *maximal* ideal is prime.

*Proof.* Since  $I \subseteq A$  is maximal it must be that  $A/I$  is a field which is an integral domain which implies that  $I$  is prime. ■

There is quite a beautiful example of a maximal ideal,

**Example 1.14** Let  $k$  be an arbitrary field and  $\vec{a} = (a_1, \dots, a_n)$  be some  $k$ -tuple of size  $n$ . Then, consider the *evaluation* homomorphism,

$$\phi_{\vec{a}} : k[x_1, \dots, x_n] \rightarrow k$$

$$\phi_{\vec{a}} : f \mapsto f(\vec{a})$$

Then, I claim that  $\ker \phi_{\vec{a}} \subseteq k[x_1, \dots, x_n]$  is a maximal ideal. Since by the Fundamental Homomorphism theorem it must be that,

$$k[x_1, \dots, x_n]/\ker \phi \cong \text{im } \phi = k$$

Thus, since  $k$  is a field it must be that  $\ker \phi$  is a maximal ideal in  $k[x_1, \dots, x_n]$ .

As it turns out we are actually always guaranteed the existence of a maximal ideal within a ring by **Knull's theorem**.

**Theorem 1.15 (Knull's Theorem)** Let  $A$  be a ring  $A \neq 0$ , then  $A$  has a maximal ideal.

*Proof.* Let  $S$  be a poset on all ideals, where  $I \geq J$  if  $J \subseteq I$ . Then, by Zorn's Lemma all that one must show is that every chain has an upper bound within  $S$ .

Let  $R$  be some chain of ideals, then consider the following ideal,

$$X = \bigcup_i R_i$$

keep it mind that in general not all unions of ideals are themselves an ideal, however in this case it is an ideal (trivial to verify the axioms). Now, notice that  $X \neq (1)$ , since if  $X = (1)$  then that would mean that one of the  $R_i$  contains 1 since  $1 \in (1)$ , which would imply that some  $R_i$  is the entire ring, contradiction!

Consequently, since  $X$  is greater than all the elements in  $R$  we have established an upper bound of  $R$  for an arbitrary chain  $R$ . Thus, by Zorn's lemma it must be that there exist maximal ideals! ■

We can use Knull's theorem to establish another useful property of ideals,

**Lemma 1.16** If  $I$  is an ideal of  $A$ , then  $I$  is contained within some maximal ideal  $J$ .

*Proof.* Indeed, notice that by the bijection established earlier showing the existence of such a  $J$  is equivalent to finding a maximal ideal in  $A/I$  which exists by Knull's theorem. ■

Another useful property of maximal ideals are their relationship with units of the ring  $A$ ,

**Lemma 1.17** If  $x$  is a unit, then it is not contained in any maximal ideal  $I$  of a ring  $A$ .

*Proof.* If  $x$  is a unit, then there exists  $y$  such that  $xy = 1$ , consequently if  $x \in I$  then by the axioms of ideals it must be that  $1 \in I$  which implies that  $I = (1) = A$ , contradiction! ■

This leads us to an important piece of intuition that understanding the properties of units in a ring  $A$  is essentially equivalent to understanding the properties of maximal ideals of  $A$ .

**Lemma 1.18** Let  $A$  be a ring such that for every  $x \in A$  there exists such a  $n \in \mathbb{Z}$  such that  $x^n = x$ , prove that every prime ideal of  $A$  is maximal.

*Proof.* Let  $I \subseteq A$  be a prime ideal, then in order to show that  $I$  is maximal, one must show that  $A/I$  is a field. Since  $I$  is a prime ideal we already know that  $A/I$  is an integral domain, meaning we must show that every element has a multiplicative inverse.

Let  $x = \alpha + I \in A/I$  (for some  $\alpha \neq 0$ ) then let us chose the minimal  $n$  such that (it exists by the problem statement),

$$(\alpha + I)^n = \alpha^n + I = \alpha + I$$

$$x^n - x = x(x^{n-1} - 1) = 0$$

thus since  $A/I$  is an integral domain it must be that  $x^{n-1} = 1$ , in other words there exists some  $m$  that  $x^m = 1$  in  $A/I$ . Consequently  $x \cdot x^{m-1} = 1$ . Thus, since  $m - 1 < n$  it must be that  $x^{m-1} \neq x$ , thus  $x$  has a multiplicative inverse.

Consequently  $A/I$  is a field and thus it must be that  $I$  is maximal. ■

## 1.4 Principle Ideal Domains & Unique Factorization Domains

The following natural definitions appear when dealing with rings,

**Definition 1.19** A ring  $A$  is,

1. a *principle ideal domain* if every ideal  $I \subseteq A$  is principle.

2. a *unique factorization domain* if every non-zero, non-unit is reducible.

Note, 0 is neither reducible or irreducible. Let us consider the following,

**Lemma 1.20** If  $A$  is an integral domain and  $(f)$  is prime (where  $f \neq 0$ ), then  $f$  is irreducible.

*Proof.* If  $(f)$  is prime, it must be that  $(f) \neq (1)$  and consequently  $f$  is not a unit. Thus, assume that  $g, h$  are such that  $f = gh$ , then,

$$(f) = (gh) \implies g \in (f) \vee h \in (f)$$

WLOG  $g \in (f)$ , then it must be that  $g = af$ . Thus,

$$f = gh = (af) \cdot h = (ah) \cdot f$$

since  $A$  is an integral domain it must be that we can cancel  $f$  on both sides and obtain  $ah = 1$ , thus implying that  $h$  is a unit, contradiction! ■

Now, how to *precisely define* what it means for two factorizations to be equivalent. For example it would be nice to consider  $2 \cdot 3 = (-2) \cdot (-3)$  as the same factorization of 6, thus the following definition is natural,

**Definition 1.21** Two factorizations are equivalent,

$$a = \prod_i p_i = \prod_i q_i$$

if there is some bijection between  $p$  and  $q$  such that,

$$p_i = u_i q_i$$

where  $u_i$  is a unit.

**Example 1.22** Due to the fundamental theorem of arithmetic it must be that  $\mathbb{Z}$  is a UFD (unique factorization domain).

Consider  $\mathbb{Z}[i\sqrt{5}]$  it is not a UFD since,

$$6 = (1 + i\sqrt{5})(1 - i\sqrt{5}) = 2 \cdot 3$$

Now, notice,

**Lemma 1.23** If  $A$  is a UFD and  $f \in A$  is irreducible, then  $(f)$  is prime.

*Proof.* Indeed, notice that  $f$  being irreducible implies that  $f$  is a non-unit, thus  $(f) \neq (1)$ .

Let  $gh \in (f)$ , then it must be that  $gh = af$  for some  $a \in A$ . Notice, since  $f$  is irreducible it must be that in the factorization of  $g$  or  $h$  the element  $f$  is contained (possibly multiplied by some unit).



WLOG  $g$  contains  $f$  in its factorization.

Then,  $g \in (f)$ , which implies that  $(f)$  is prime. ■

Here are two extremely useful results,

**Theorem 1.24** Every *PID* is a *UFD*

**Theorem 1.25 (Gauss)** If  $A$  is a UFD, then  $A[x]$  is a UFD as well.

This leads us to the following "chain" of inferences,

$$\text{field} \implies \text{PID} \implies \text{UFD} \implies \text{Integral Domain}$$

Now,

**Lemma 1.26** A ring  $A$  is called *local* if it has exactly one maximal ideal.

**Example 1.27** Every field is local,  $\mathbb{Z}$  is not local.

The ring  $\mathbb{Z}/p^i\mathbb{Z}$  is local. (a very nice example to keep in mind)

Now,

**Lemma 1.28** Let  $A$  be a local ring with a maximal ideal  $M \subset A$ , then the units of  $A$  are  $A \setminus M$ .

$A$  is local  $\iff$  the set of non-unit is ideal.

*Proof.* The first point is a consequence of a lemma discussed earlier.

To prove the second statement, assume that  $A$  is a ring such that,

$$M = \{f \in A \mid f \text{ is not a unit}\}$$

is an ideal. Then,

$M$  is a unique maximal ideal

$$\Leftrightarrow \text{every ideal } I \neq (1) \text{ is such that } I \subseteq M$$

Let  $x \in I$ , then  $(x) \subseteq I \subseteq (1)$  since this holds for all  $x \in I$  it must be that  $I \subseteq m$ . ■

**Example 1.29** Consider,

$$\mathbb{R}(x) = \left\{ \frac{f}{g} \mid f, g \in \mathbb{R}[x], g \neq 0 \right\}$$

Then a subring

$$\mathbb{R}[x]_{(x)} = \left\{ \frac{f}{g} \mid f, g \in \mathbb{R}[x] \text{ and } g \notin (x) \right\}$$

Then, it turns out that  $\mathbb{R}[x]_{(x)}$  is local (basically the set of rational functions defined near zero).

## 1.5 The Chinese Remainder Theorem

A known result in number theory is that if  $(n, m) = 1$ , then  $ax + by$  can equal any integer. This allows us to define relatively prime elements in a commutative ring,

**Definition 1.30** Ideals  $I$  and  $J$  are relatively prime if  $I + J = (1)$ .

**Example 1.31** In  $\mathbb{Q}[x]$  we can consider the principle ideals  $(x - 2)$  and  $(2x^2 - 2)$ , then,

$$\begin{aligned}(x - 2) + (2x^2 - 2) &= (x - 2, 2x^2 - 2) \\ &= (x - 2, 2x^2 - 2 - 2x^2 + 2x) = (x - 2, 6) = (1)\end{aligned}$$

thus proving that  $(x - 2)$  is relatively prime to  $(2x^2 - 2)$ .

Notice, if we consider  $\mathbb{Z}$ , then if we have some relatively prime ideals  $(2)$  and  $(3)$ , then  $(2) \cdot (3) = (6)$  which is just  $(2) \cap (3)$ , this leads one to question whether this is always true. It turns out it is,

**Lemma 1.32** If  $I, J \subseteq A$  are relatively prime, then  $I \cdot J = I \cap J$ .

*Proof.* One direction is trivial,

$$I \cdot J = \left\{ \sum_{i,j} a_i b_j \right\} \subseteq I \cap J$$

the other direction is no harder, assume that  $c \in I \cap J$ , then since  $I$  and  $J$  are relatively prime it must be that there exist some  $a + b$  such that  $a + b = 1$  where  $a \in I$  and  $b \in J$ .

$$c = 1 \cdot c = (a + b) \cdot c = ac + bc \in I \cdot J$$

■

Let  $A_1, \dots, A_n$  be rings, then there is a natural definition of a *product* of these rings defined as,

$$\prod A_i = \{(a_1, \dots, a_n) \mid a_i \in A_i\}$$

which is trivially a ring, where the operations are component-wise. Notice, we also have natural projection homomorphisms,

$$\phi_i : \prod A_i \rightarrow A_i$$

where  $\phi_i((a_1, \dots, a_n)) = a_i$ . Another way to construct homomorphisms with ring products is to assume the existence of a homomorphism  $\psi_i : B \rightarrow A_i$ , then we can a product homomorphism defined as following,

$$\prod \psi_i : B \rightarrow \prod A_i$$

where  $b \in B$  is sent to  $(\psi_1(b), \dots, \psi_n(b))$ .

Now, we are ready to formulate the Generalized Chinese Remainder Theorem (sometimes written as CRT)

**Theorem 1.33 (The Chinese Remainder Theorem)**

Let  $I_1, \dots, I_n \subseteq A$  be ideals such that any two are coprime. Then,

$$A / \prod_i I_i \cong \prod A / I_i$$

**Example 1.34** Consider the two coprime ideals  $(x - 2)$  and  $(x^2 - 2)$  in  $\mathbb{Q}[x]$ . Then,

$$\begin{aligned} \mathbb{Q}[x] / (x - 2)(x^2 - 2) &\cong \mathbb{Q}[x] / (x - 2) \times \mathbb{Q}[x] / (x^2 - 2) \\ &\cong \mathbb{Q} \times \mathbb{Q}(\sqrt{2}) \end{aligned}$$

where the last step can be done due to the fundamental homomorphism theorem.

Another useful notion is the following,

**Definition 1.35** If  $I, J \subseteq A$  are two ideals, then,

$$(I : J) = \{a \in A \mid aJ \subseteq I\}$$

then,

**Definition 1.36** Let  $J \subseteq A$  be an ideal, then the annihilator of  $J$  is,

$$\text{Ann}(J) = ((0) : J) = \{a \in A \mid b \in J : ab = 0\}$$

similarly we can define the annihilator of an element  $x \in A$ ,

$$\text{Ann}(x) = \text{Ann}((x))$$

## 1.6 Extension & Contraction of ideals

**Definition 1.37** Let  $I \subseteq A$  be an ideal of  $A$ , then the radical of  $I$  is defined as,

$$\sqrt{I} = \{f \in A \mid \exists n \in \mathbb{Z} : f^n \in I\}$$

For example in  $\mathbb{Z}$  the radical of  $n$  simply looks at the prime factorization of  $n$  and turns all the exponents into 1 coinciding the standard definition of a radical in number theory.

Similarly, notice that  $\sqrt{(0)}$  are simply all elements such that  $x^n = 0$  for some  $n$ , i.e. the nilpotents of  $A$ .

**Lemma 1.38** Let  $I \subseteq A$  be an ideal, then  $\sqrt{I}$  is an ideal.

Also, similarly to the formula characterizing the set of nilpotents, the following theorem holds,

**Theorem 1.39** If  $I \subseteq A$  is an ideal, then,

$$\sqrt{I} = \bigcap_{\substack{P \subseteq A \\ P \text{ prime, } I \subseteq P}} P$$

To prove this theorem we will first prove the following lemma,

**Lemma 1.40** If  $I \subseteq A$  is an ideal, let  $\phi$  be the quotient homomorphism, let  $N \subseteq A/I$  be the nilradical, then,

$$\sqrt{I} = \phi^{-1}(N)$$

*Proof.* Notice,  $p \in \sqrt{I}$  is equivalent to  $f^n \in I$  which is equivalent to  $\phi(f^n) = 0$ . Due to properties of homomorphisms it must be that,

$$\phi(f^n) = \phi(f)^n = 0$$

thus it must be that  $\phi(f)$  is nilpotent in  $A/I$ , thus is in  $N$ . ■

Using this lemma we can prove for example that  $\sqrt{I}$  is an ideal, since  $\sqrt{I}$  is the preimage of an ideal which is an ideal. We can also use this lemma to prove the theorem giving a description of the radical.

Notice,

$$\phi^{-1}(N) = \phi^{-1} \left( \bigcap_{\substack{P \subseteq A/I \\ P \text{ prime}}} P \right) = \bigcap_{\substack{P \subseteq A/I \\ P \text{ prime}}} \phi^{-1}(P) = \bigcap_{\substack{Q \subseteq A, \\ Q \text{ prime,} \\ I \subseteq Q}} Q$$

which proves the theorem (assuming that the nilradical theorem is proven which will be proven later on).

As mentioned before, while preimages behave nicely with ideals, the same is not true for images, this naturally leads us to the following definition,

**Definition 1.41** Let  $\phi : A \rightarrow B$  be a ring homomorphism, then let  $I \subseteq A$ ,  $J \subseteq B$ , then,

- The **contraction** of  $J$  along  $\phi$  is  $\phi^{-1}(J)$
- The **extension** of  $I$  along  $\phi$ , denoted as  $\phi(I)B$  (or  $IB$  if  $\phi$  is clear from the context) is the smallest ideal of  $B$  containing  $\phi(I)$ .

Notice,

**Lemma 1.42** If  $P \subset B$  is a prime ideal, then the contraction of  $P$  is also prime in  $A$ .

*Proof.* Indeed, assume that  $xy \in \phi^{-1}(P)$ , then,

$$\phi(x) \cdot \phi(y) = \phi(xy) \in \phi(\phi^{-1}(P)) = P$$

which implies that either  $\phi(x) \in P$  or  $\phi(y) \in P$  since  $P$  is prime. However, this means that either  $x$  or  $y$  is in  $\phi^{-1}(P)$  which proves that  $\phi^{-1}(P)$  is prime in  $A$ . ■



## §2 Galois Theory

An import concept related to fields is,

**Definition 2.1**  $K$  is a *field extension* of  $F$  if  $K \subseteq F$ , where  $K$  and  $F$  are fields, usually denoted as  $K/F$ .

A natural concept to consider from here is,

**Definition 2.2** An *algebraic closure* of a field  $F$  is the minimal *field extension*  $K$  of  $F$  such that for all  $P(X) \in F[X]$  the roots of  $P$  lie in  $K$ .

As an example the *algebraic closure* of  $\mathbb{Q}$  are algebraic numbers and the *algebraic closure* of  $\mathbb{R}$  is  $\mathbb{C}$ .

Let *embedding* be an *injective field homomorphism*  $f : K \hookrightarrow \mathbb{C}$  which fixes  $\mathbb{Q}$ . Then,

**Lemma 2.3** Under an *embedding* an element gets sent to one of its Galois conjugates.

*Proof.* Let us consider the minimal polynomial over  $\mathbb{Q}$ , then,

$$a_1 + a_2\alpha + \dots + a_n\alpha^n = 0$$

Then, applying an embedding  $f$  to both sides we obtain,

$$f(a_1) + f(a_2)f(\alpha) + \dots + f(a_n)f(\alpha)^n = f(0)$$

$$a_1 + a_2 f(\alpha) + \dots + a_n f(\alpha)^n = 0$$

Thus,  $f(\alpha)$  is a root of the minimal polynomial, thus one of the Galois conjugates of  $\alpha$  by definition. ■

This lemma actually tells us a lot about the behaviour of *embeddings*. Consider  $\mathbb{Q}(\sqrt{2})$ , since the minimal polynomial of  $\sqrt{2}$  is  $x^2 - 2 = 0$  which contains two roots, thus a *embedding* can send  $\sqrt{2}$  only to one of those two roots, then the rest of the function is determined. Consequently there are only 2 embeddings of  $\mathbb{Q}(\sqrt{2})$ .

In general the same logic can be applied to derive that the number of embeddings  $f : \mathbb{Q}(\alpha) \hookrightarrow \mathbb{C}$  is simply the *algebraic degree* of  $\alpha$ .

Actually a more general theorem holds,

**Theorem 2.4** The number of embeddings  $f : K \hookrightarrow \mathbb{C}$  is precisely the degree of field  $K/\mathbb{Q}$ .

*Proof.* Let  $K = \mathbb{Q}(a_1, \dots, a_n)$ . Then, the number of embeddings  $f : \mathbb{Q}(a_1, \dots, a_k) \hookrightarrow \mathbb{C}$  is simply,

$$\begin{aligned} [\mathbb{Q}(a_1, \dots, a_k) : \mathbb{Q}(a_1, \dots, a_{k-1})] \dots [\mathbb{Q}(a_1, a_2) : \mathbb{Q}(a_1)] \cdot [\mathbb{Q}(a_1) : \mathbb{Q}] \\ = [\mathbb{Q}(a_1, \dots, a_k) : \mathbb{Q}] = [K : \mathbb{Q}] \end{aligned}$$

which proves the desired result. ■

The logic here is quite general, thus it can be generalized further to arbitrary algebraic closures, all that is required is that a polynomial being irreducible implies that it doesn't have double roots (this

is allowed since we are working in an algebraic closure). A more general theorem holds, the proof is trivially the same,

**Theorem 2.5** Let  $K/F$  be an a field extension and let  $G$  be an algebraic closure of  $F$ , then there exist  $[K : F]$  embeddings  $\sigma : K \rightarrow G$  that fix  $F$ .

Now, given a field extension  $K/F$  we can consider the group of automorphisms from  $K/F$  to itself. Then,

**Lemma 2.6**  $|\text{Aut}(K/F)|$  divides  $[K : F]$

*Proof.* TODO (Consequence of Lagrange) ■

Notice, that we can determine  $|\text{Aut}(K/F)|$  given  $K = F(\alpha_1, \dots, \alpha_n)$ , since  $\alpha$  has to go to its Galois conjugates, however since it is an automorphism those roots must go to the roots which are in  $K$ . To provide several examples,

1.  $|\text{Aut}(\mathbb{Q}(\sqrt{2})/\mathbb{Q})| = 2$ , since the Galois conjugates of  $\sqrt{2}$  are  $-\sqrt{2}$  and  $\sqrt{2}$  both of which lie in  $\mathbb{Q}(\sqrt{2})$ . Thus it is also true that  $\text{Aut}(\mathbb{Q}(\sqrt{2})/\mathbb{Q}) \cong \mathbb{Z}/2\mathbb{Z}$ .
2.  $|\text{Aut}(\mathbb{Q}(\sqrt[3]{2})/\mathbb{Q})| = 1$ , since the Galois conjugates of  $\sqrt[3]{2}$  are complex, the only one in  $\mathbb{Q}(\sqrt[3]{2})$  being  $\sqrt[3]{2}$ , thus there is only one automorphism, and it is the identity function.

Notice,

**Theorem 2.7** Let  $K/F$  be a finite separable field extension and let  $K/F = F(\alpha_1, \dots, \alpha_h)$ . Then, let  $\mu(\alpha)$  be the number of Galois conjugates of  $\alpha$  present in  $K/F$ . Then,

$$|\text{Aut}(K/F)| \leq \prod_{i=1}^h \mu(\alpha_i)$$

*Proof.* Since each of the generators may be sent to one of the  $\mu(\alpha_i)$  elements, we obtain the desired result. (notice inequality is important since not any configuration gives rise to a valid automorphism) ■

However, it turns out that due to **Artin's primitive element theorem** that all finite separable field extensions have the minimal generator set of size 1, i.e.  $K/F = F(\alpha)$  for some  $\alpha \in K$ , thus reducing the above theorem to just one factor.

Now, this discussion naturally leads to the following definition,

**Definition 2.8** A finite field extension  $K/F$  is a Galois field extension if and only if,

$$|\text{Aut}(K/F)| = [K : F]$$

Notice, if  $K/F = F(\alpha_1, \dots, \alpha_n)$ , then,

$$\begin{aligned} |\text{Aut}(K/F)| &= [F(\alpha_1, \dots, \alpha_k) : F(\alpha_1, \dots, \alpha_{k-1})] \cdot \dots \cdot [F(\alpha_1) : F] \\ &= [K : F] \end{aligned}$$

the only condition required for this proof to work is that  $K/F$  is *normal* (i.e. given any irreducible polynomial  $p \in F[X]$  with at least one root in  $K/F$  it splits completely in  $K/F$ ) and separable, thus we obtain the following,

**Theorem 2.9** If a field extension is separable and *normal*, then it is a Galois field extension.

obviously the definitions are now equivalent. However, it turns out there is another way to define a Galois extension, an equivalent formulation,

**Theorem 2.10** A field extension  $K/F$  is Galois if and only if it is a splitting field of some separable polynomial  $p \in F[X]$ .

The proof for why  $K/F = \text{Spl}_F(p)$  implies  $K/F$  is Galois is the exact same the one one provided above, since the minimal polynomials of  $a_i$  all split since  $p$  splits. The other direction is a bit trickier, thus I will not provide the proof of this statement.

When a field extension  $K/F$  is Galois, the group of automorphisms on it is denoted as  $\text{Gal}(K/F)$  and called the Galois group of  $K/F$ .

Similarly one can define a *Galois closure* of  $K/F$  which is the minimal field extension  $L/K$  such that  $L/F$  is a Galois field extension, where minimality means any other field extension satisfying this property contains  $L$ .

It turns out constructing Galois closure's isn't that difficult, in fact,

**Theorem 2.11** Suppose  $K = F(\alpha_1, \dots, \alpha_n)$ , then the Galois closure  $L$  is,

$$L = \text{Spl}_F(m_1, \dots, m_n)$$

where  $m_i$  is the minimal polynomial of  $\alpha_i$ .

*Proof.* Notice, trivially  $K \subseteq L$  since  $(\alpha_1, \dots, \alpha_n)$  all must be present in  $L$  since the minimal polynomials contain  $\alpha_i$  as roots.

Notice,  $L/F$  is a separable and normal field extension, thus a Galois field extension.

It is minimal, since the Galois closure must be separable and normal it must be the roots of the minimal polynomials of  $\alpha_i$  are present in the Galois closure, thus we obtain that any Galois closure must contain  $L$ .

Consequently, we obtain the the Galois closure of  $K/F$  is simply the splitting field of the minimal polynomials of the generators of  $K/F$ . ■