CS11313 - Fall 2023

Design & Analysis of Algorithms

Dynamic Programming

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Motivation

Fibonacci Numbers. 0, 1, 1, 2, 3, 5, 8, 13, 21, 34, 55, 89, …

F_9 F_{10} F_{11}

$$F_n = \begin{cases} 0 & \text{if } n = 0 \\ 1 & \text{if } n = 1 \\ F_{n-1} + F_{n-2} & \text{if } n > 1 \end{cases}$$

Goal. Given i compute F_i .

A very simple recursive implementation:

```
FIB(n)
  if (n == 0): return 0
  if (n == 1): return 1

  return FIB(n-1) + FIB(n-2)
```

Leonardo Fibonacci
1170—1240

Motivation

How long does it take to compute `FIB(100)`?

A. A few seconds.

B. A few minutes.

C. A few hours.

D. A few days.

Armageddon!

Motivation

How long does it take to compute **`FIB`**`(100)`?

A. A few seconds.

B. A few minutes.

C. A few hours.

D. A few days.

☠️ Armageddon!

If it takes `0.66` seconds to compute **`FIB`**`(40)`,
it takes `~72242` years to compute **`FIB`**`(100)`.

The computer will definitely crash much sooner than that!

Motivation

```
FIB(i)

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```

Recurrence.

$$T(n) = \begin{cases} \Theta(1) & n \leq 1 \\ T(n-1) + T(n-2) + \Theta(1) & n > 1 \end{cases}$$

Recursion Tree

$T(n)$

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Recurrence.

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- $\frac{n}{2} \le$ number of levels $\le n$
- Work at level i $\le 2^i$
- $T(n) = \Omega(2^{\frac{n}{2}}) = \Omega(\sqrt{2}^n)$
  $T(n) = O(2^n)$
  More precisely: $\Theta(1.618^n)$

Recursion Tree

Running time is exponential!

Motivation

What is the problem?

F_4 is computed 2 times!

Motivation

What is the problem?

F_3 is computed 3 times!

Motivation

What is the problem?

F_2 is computed 5 times!

Motivation

What is the problem?

- Finding F_n involves solving overlapping subproblems.
- Subproblems are recomputed multiple times.

Goal. Avoid computing the same problem twice!

Memoization

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Memoization. Store the result of each computation in a table. Compute only if the table does not yet have an entry for that computation.

Memo-ization
(keeping a memo)

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	0	1	2	3	4	5	6	7	8	9	10
fib[] =	0	1	-1	-1	-1	-1	-1	-1	-1	-1	-1

base cases

unknown fibonacci numbers

```
FIB(n)

Create fib[] of size n+1
Initialize fib[] to -1
fib[0] = 0, fib[1] = 1

FIB(n, fib[])
return fib[n]
```

initialize the table

fill the table

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return fib[n]
```

```
FIB(i, fib[])

if (fib[i] != -1): return fib[i]
```

fibonacci number was computed before!

Note. The pseudocode assumes that changes made to `fib[]` in this function are visible in the calling function **FIB**(n)

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```
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if (fib[i] != -1): return fib[i]

fib[i] = FIB(i-1, fib[]) +
         FIB(i-2, fib[])
```

fibonacci number was *not* computed before!

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Demo

Computing F_7 :

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Computing F_7 :

0	1	2	3	4	5	6	7
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```

Running Time.
$\Theta(n)$: $n + 1$ problems
each computed only once.

Demo

Figure 3.2. The recursion tree for F_7 trimmed by memoization. Downward green arrows indicate writing into the memoization array; upward red arrows indicate reading from the memoization array.

Figure from Algorithms by Jeff Erickson

Bottom-up Approach

Note. We know that larger subproblems depend on smaller subproblems.

Implication. Solve smaller subproblems *before* larger ones!

0	1	2	3	4	5	6	7
0	1						

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```

start from smaller problems and move up to larger ones

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0	1	2	3	4	5	6	7
0	1	1	2	3	5	8	**13**

solution found!

FIB(n)

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start from smaller problems and move up to larger ones

Space Improvement

optional

The bottom-up solution uses $\Theta(n)$ extra space. Can we reduce it to $\Theta(1)$?

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Answer.

```
FIB(i, fib[])

  f = 1, g = 0

  for j=2 ⟶ i:
      f = f + g
      g = f - g

  return f
```

Dynamic Programming

Dynamic Programming

- Introduced by Richard Bellman in 1952.
- Typically used for solving *optimization problems.*
- In an optimization problem, we aim at optimizing a certain value (e.g. find the shortest path, the maximum return, the minimum number of hours, etc.)

Fibonacci is *not* an example of an optimization problem

716 MATHEMATICS: RICHARD BELLMAN PROC. N. A. S.

ON THE THEORY OF DYNAMIC PROGRAMMING

BY RICHARD BELLMAN

THE RAND CORPORATION, SANTA MONICA, CALIFORNIA

Communicated by J. von Neumann, June 5, 1952

1. *Introduction.*—We are interested in a class of mathematical problems which arise in connection with situations which require that a bounded or unbounded sequence of operations be performed for the purpose of achieving a desired result. Particularly important are the cases where each operation gives rise to a stochastic event, the result of which is applied to the determination of subsequent operations.

Two fundamental problems encountered in situations of this type, in some sense duals of each other, are those of maximizing the yield obtained in a given time, or of minimizing the time or cost required to accomplish a certain task.

In many cases, the problem of determining an optimal sequence of operations may be reduced to that of determining an optimal first operation. The general class of functional equations generated by problems of this nature has the form

$$f(p) = \left\{ \begin{matrix} \text{min.} \\ \text{max.} \\ k \end{matrix} \right\} (T_k(f)), \qquad (1.1)$$

Dynamic Programming

- Introduced by Richard Bellman in 1952.
- Typically used for solving *optimization problems.*
- In an optimization problem, we aim at optimizing a certain value (e.g. find the shortest path, the maximum return, the minimum number of hours, etc.)
- **Main Steps:**
  - Identify the optimal substructure in the problem.
    Identify how the optimal solution for smaller problems can be used to find the optimal solution for larger problems.
  - Store the results for each solved subproblem to avoid recomputing it again.

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$$f(p) = \left\{ \begin{matrix} \text{min.} \\ \text{max.} \\ k \end{matrix} \right\} (T_k(f)), \qquad (1.1)$$

If there are no overlapping subproblems, the solution becomes a normal divide-and-conquer solution.

Example: Collecting Apples

Problem Description.

- **Goal.** Collect as many apples as possible.
- **Constraints**. Move *right* or *down* only.
- **Input**. The matrix `apples[N][M]`
  `apples[i][j]` is the number
  of apples at cell `[i][j]`.

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Solution # 1. Repeat the following until the goal is reached.

```
if apples[i+1][j] > apples[i][j+1]:
     go down.
else go right.
```

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Solution # 1.

```
if apples[i+1][j] > apples[i][j+1]:
      go down.
else go right.
```

FAIL

Example: Collecting Apples

Let:

- **`max_apples`**`(i, j)` = maximum number of apples that can be collected from `[0][0]` to `[i][j]`
- **`max_apples`**`(N-1, M-1)` = The problem to be solved.

`max_apples``(3, 4)`

`max_apples``(2, 2)`

`max_apples``(1, 3)`

Example: Collecting Apples

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- **`max_apples`**`(N-1, M-1)` = The problem to be solved.

Observations.

- The path to the final cell can only come from the cell *above* or the cell to its *left*.
- If we know the best solution to these two cells, we know the best solution to the final cell!

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Optimal Substructure.

```
max_apples(i, j) = apples[i][j] + MAX(max_apples(i-1, j),
                                      max_apples(i, j-1))
```

best solution to the *upper* cell

best solution to the *left* cell

# of apples at the *current* cell

Example: Collecting Apples

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```

Recursive Solution

```
MAX_APPLES(i, j, apples[])

  if (i == 0 and j == 0): return apples[0][0]
```

base case

Example: Collecting Apples

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```

Recursive Solution

```
MAX_APPLES(i, j, apples[])

  if (i == 0 and j == 0): return apples[0][0]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1)
  if (i > 0): max_up   = MAX_APPLES(i-1, j)
```

guard against corner cases

Example: Collecting Apples

Optimal Substructure.

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Recursively solve the needed subproblems

Example: Collecting Apples

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Example Trace

Example: Collecting Apples

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  if (i == 0 and j == 0): return apples[0][0]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1)
  if (i > 0): max_up   = MAX_APPLES(i-1, j)

  return apples[i][j] + MAX(max_left, max_up)
```

Example Trace

Running Time. if $N == M$: $T(N) = O(2^{2N})$ and $\Omega(2^N)$

A binary tree with:
N $\leq$ # of levels $\leq$ N+M

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
```

stores the solution for each subproblem

result[][]

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]
```

initially, only **MAX_APPLES**(0,0) has a solution

result[][]

1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

stores the solution for each subproblem

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
```

fill the table

result[][]

1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

stores the solution for each subproblem

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

result[][]

1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

this is where the final result will be!

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

  if (result[i][j] != -1): return result[i][j]
```

base case: if we solved this subproblem before, return the solution!

result[][]

1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

this is where the final result will be!

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)
```

recursively solve the needed subproblems and store the result

result[][]

1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

this is where the final result will be!

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

result[][]

1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

this is where the final result will be!

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

This problem was not solved before. We need to solve the *left* and *upper* subproblems

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

This problem was not solved before. We need to solve the *left* and *upper* subproblems

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

This problem was not solved before. We need to solve the *left* and *upper* subproblems

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

This problem was not solved before. We need to solve the *left* and *upper* subproblems

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

This problem was not solved before. We need to solve the *upper* subproblem

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

This problem was not solved before. We need to solve the *upper* subproblem

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

This problem was not solved before. We need to solve the *upper* subproblem

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

This problem was solved before. It is a *base case!*

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

This problem has what it needs (solution of the *upper* subproblem)

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	-1	-1	-1	-1
3	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

This problem has what it needs (solution of the *upper* subproblem)

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	-1	-1	-1	-1
3	-1	-1	-1	-1
25	-1	-1	-1	-1
-1	-1	-1	-1	-1

This problem has what it needs (solution of the *upper* subproblem)

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	-1	-1	-1	-1
3	-1	-1	-1	-1
25	-1	-1	-1	-1
28	-1	-1	-1	-1

This problem has the solution to the *left* subproblem but not the *upper* subproblem.

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	-1	-1	-1	-1
3	-1	-1	-1	-1
25	-1	-1	-1	-1
28	-1	-1	-1	-1

This problem has the solution to the *left* subproblem but not the *upper* subproblem.

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	-1	-1	-1	-1
3	-1	-1	-1	-1
25	-1	-1	-1	-1
28	-1	-1	-1	-1

This problem has the solution to the *left* subproblem but not the *upper* subproblem.

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	-1	-1	-1	-1
3	-1	-1	-1	-1
25	-1	-1	-1	-1
28	-1	-1	-1	-1

This problem has the solution to the *left* subproblem.

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	11	-1	-1	-1
3	-1	-1	-1	-1
25	-1	-1	-1	-1
28	-1	-1	-1	-1

This problem has the solution to the *left* and *upper* subproblems.

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	11	-1	-1	-1
3	12	-1	-1	-1
25	-1	-1	-1	-1
28	-1	-1	-1	-1

This problem has the solution to the *left* and *upper* subproblems.

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	11	-1	-1	-1
3	12	-1	-1	-1
25	36	-1	-1	-1
28	-1	-1	-1	-1

This problem has the solution to the *left* and *upper* subproblems.

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	11	-1	-1	-1
3	12	-1	-1	-1
25	36	-1	-1	-1
28	86	-1	-1	-1

This problem has the solution to the *left* but still needs the *upper*

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	11	14	15	16
3	12	21	23	26
25	36	47	52	56
28	86	94	103	-1

Eventually, the main problem has the solution to the *left* and *upper* subproblems

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	11	14	15	16
3	12	21	23	26
25	36	47	52	56
28	86	94	103	-1

Eventually, the main problem has the solution to the *left* and *upper* subproblems

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Trace

result[][]

1	11	14	15	16
3	12	21	23	26
25	36	47	52	56
28	86	94	103	104

Eventually, the main problem has the solution to the *left* and *upper* subproblems

Example: Collecting Apples

Memoized Solution

```
COLLECT_APPLES(apples[][])

  create array result[N][M]
  initialize result[][] to -1
  result[0][0] = apples[0][0]

  MAX_APPLES(N-1, M-1, apples, result)
  return result[N-1][M-1]
```

Running Time.

$\Theta(NM)$

(NM subproblems solved, each once)

result[][]

1	11	14	15	16
3	12	21	23	26
25	36	47	52	56
28	86	94	103	104

```
MAX_APPLES(i, j, apples[][], result[][])

 if (result[i][j] != -1): return result[i][j]

  max_left = 0, max_up = 0
  if (j > 0): max_left = MAX_APPLES(i, j-1, ...)
  if (i > 0): max_up   = MAX_APPLES(i-1, j, ...)

  result[i][j] = apples[i][j] +
                 MAX(max_left, max_up)

  return result[i][j]
```

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]
```

result[][]

stores the solution for each subproblem

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]
```

result[][]

stores the solution for each subproblem

Note:

- The problem at `[i][j]` needs the problems **above** and **left**.
- Therefore, `result[i-1][j]` and `result[i][j-1]` must be filled before the `result[i][j]`.
- This can be done by going row-by-row or column-by-column.

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]

  for (i = 0 ⟶ N-1):
      for (j = 0 ⟶ M-1):
```

solve all subproblems from smallest to largest (row by row)

stores the solution for each subproblem

Note:

- The problem at `[i][j]` needs the problems **above** and **left**.
- Therefore, `result[i-1][j]` and `result[i][j-1]` must be filled before the `result[i][j]`.
- This can be done by going row-by-row or column-by-column.

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]

  for (i = 0 ⟶ N-1):
    for (j = 0 ⟶ M-1):
      left = 0, up = 0
      if (j > 0): left = result[i][j-1]
      if (i > 0): up   = result[i-1][j]

      result[i][j] = MAX(left, up) + apples[i][j]
```

stores the solution for each subproblem

Note:

- The problem at `[i][j]` needs the problems **above** and **left**.
- Therefore, `result[i-1][j]` and `result[i][j-1]` must be filled before the `result[i][j]`.
- This can be done by going row-by-row or column-by-column.

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]

  for (i = 0 ⟶ N-1):
    for (j = 0 ⟶ M-1):
      left = 0, up = 0
      if (j > 0): left = result[i][j-1]
      if (i > 0): up   = result[i-1][j]

      result[i][j] = MAX(left, up) + apples[i][j]

  return result[N-1][M-1]
```

stores the solution for each subproblem

Note:

- The problem at `[i][j]` needs the problems **above** and **left**.
- Therefore, `result[i-1][j]` and `result[i][j-1]` must be filled before the `result[i][j]`.
- This can be done by going row-by-row or column-by-column.

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]

  for (i = 0 ⟶ N-1):
    for (j = 0 ⟶ M-1):
      left = 0, up = 0
      if (j > 0): left = result[i][j-1]
      if (i > 0): up   = result[i-1][j]

      result[i][j] = MAX(left, up) + apples[i][j]

  return result[N-1][M-1]
```

Trace

result[][]

1			

result[0][0] = apples[0][0]

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]

  for (i = 0 ⟶ N-1):
    for (j = 0 ⟶ M-1):
      left = 0, up = 0
      if (j > 0): left = result[i][j-1]
      if (i > 0): up   = result[i-1][j]

      result[i][j] = MAX(left, up) + apples[i][j]

  return result[N-1][M-1]
```

Trace

result[][]

1	11			

result = 10 + 1

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]

  for (i = 0 ⟶ N-1):
    for (j = 0 ⟶ M-1):
      left = 0, up = 0
      if (j > 0): left = result[i][j-1]
      if (i > 0): up   = result[i-1][j]

      result[i][j] = MAX(left, up) + apples[i][j]

  return result[N-1][M-1]
```

Trace

result[][]

1	11	14		

result = 11 + 3

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]

  for (i = 0 ⟶ N-1):
    for (j = 0 ⟶ M-1):
      left = 0, up = 0
      if (j > 0): left = result[i][j-1]
      if (i > 0): up   = result[i-1][j]

      result[i][j] = MAX(left, up) + apples[i][j]

  return result[N-1][M-1]
```

Trace

result[][]

1	11	14	15	16

result[i][j] = apples[i][j] + result[i][j-1] because there are no subproblems above

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]

  for (i = 0 ⟶ N-1):
    for (j = 0 ⟶ M-1):
      left = 0, up = 0
      if (j > 0): left = result[i][j-1]
      if (i > 0): up   = result[i-1][j]

      result[i][j] = MAX(left, up) + apples[i][j]

  return result[N-1][M-1]
```

Trace

result[][]

1	11	14	15	16
3				

result = 2 + 1

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]

  for (i = 0 ⟶ N-1):
    for (j = 0 ⟶ M-1):
      left = 0, up = 0
      if (j > 0): left = result[i][j-1]
      if (i > 0): up   = result[i-1][j]

      result[i][j] = MAX(left, up) + apples[i][j]

  return result[N-1][M-1]
```

Trace

result[][]

1	11	14	15	16
3	12			

result = MAX(3, 11) + 1

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]

  for (i = 0 ⟶ N-1):
    for (j = 0 ⟶ M-1):
      left = 0, up = 0
      if (j > 0): left = result[i][j-1]
      if (i > 0): up   = result[i-1][j]

      result[i][j] = MAX(left, up) + apples[i][j]

  return result[N-1][M-1]
```

Trace

result[][]

1	11	14	15	16
3	12	21		

result = MAX(12, 14) + 7

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]

  for (i = 0 ⟶ N-1):
    for (j = 0 ⟶ M-1):
      left = 0, up = 0
      if (j > 0): left = result[i][j-1]
      if (i > 0): up   = result[i-1][j]

      result[i][j] = MAX(left, up) + apples[i][j]

  return result[N-1][M-1]
```

Trace

result[][]

1	11	14	15	16
3	12	21	23	

result = MAX(21, 15) + 2

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]

  for (i = 0 ⟶ N-1):
    for (j = 0 ⟶ M-1):
      left = 0, up = 0
      if (j > 0): left = result[i][j-1]
      if (i > 0): up   = result[i-1][j]

      result[i][j] = MAX(left, up) + apples[i][j]

  return result[N-1][M-1]
```

Trace

result[][]

1	11	14	15	16
3	12	21	23	26

result = MAX(23, 16) + 3

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]

  for (i = 0 ⟶ N-1):
    for (j = 0 ⟶ M-1):
      left = 0, up = 0
      if (j > 0): left = result[i][j-1]
      if (i > 0): up   = result[i-1][j]

      result[i][j] = MAX(left, up) + apples[i][j]

  return result[N-1][M-1]
```

Trace

result[][]

1	11	14	15	16
3	12	21	23	26
25	36	47	52	56
28	86	94	103	104

result = MAX(103, 56) + 1

Example: Collecting Apples

Bottom-up Solution.

```
MAX_APPLES(i, j, apples[][])

  create array result[N][M]

  for (i = 0 ⟶ N-1):
    for (j = 0 ⟶ M-1):
      left = 0, up = 0
      if (j > 0): left = result[i][j-1]
      if (i > 0): up   = result[i-1][j]

      result[i][j] = MAX(left, up) + apples[i][j]

  return result[N-1][M-1]
```

Running Time. $\Theta(NM)$

NM cells in the table filled in O(1) each

Trace

result[][]

1	11	14	15	16
3	12	21	23	26
25	36	47	52	56
28	86	94	103	104

result = MAX(103, 56) + 1

Collecting Apples: Recap

1. Found the optimal Substructure.

```
max_apples(i, j) = apples[i][j] + MAX(max_apples(i-1, j),
                                      max_apples(i, j-1))
```

max_apples(2, 2)

max_apples(3, 4)

max_apples(1, 3)

Collecting Apples: Recap

1. Found the optimal Substructure.

```
max_apples(i, j) = apples[i][j] + MAX(max_apples(i-1, j),
                                      max_apples(i, j-1))
```

2. Checked for overlapping subproblems.

Collecting Apples: Recap

1. Found the optimal Substructure.

```
max_apples(i, j) = apples[i][j] + MAX(max_apples(i-1, j),
                                      max_apples(i, j-1))
```

2. Checked for overlapping subproblems.

3. Created a table for storing the solutions to subproblems.
   Used memoization or bottom-up dynamic programming.

result[][]

-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

Collecting Apples: Recap

1. Found the optimal Substructure.

```
max_apples(i, j) = apples[i][j] + MAX(max_apples(i-1, j),
                                      max_apples(i, j-1))
```

2. Checked for overlapping subproblems.

3. Created a table for storing the solutions to subproblems.
Used memoization or bottom-up dynamic programming.

result[][]

-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1
-1	-1	-1	-1	-1

🎉 **Effect.** Reduced the running time from exponential to linear in the number of cells.

Same Steps for Fibonacci

1. Found the optimal Substructure.

   This was already given by the definition of the problem.

```
fib(n) = fib(n-1) + fib(n-2)
```

2. Checked for overlapping subproblems.

3. Created a table for storing the solutions to subproblems.

   Used memoization or bottom-up dynamic programming.

0	1	2	3	4	5	6	7
0	1	1	2	3	5	8	13

🎉 **Effect.** Reduced the running time from exponential to linear in n.

Those who cannot remember the past are condemned to repeat it.

-George Santayana

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Collecting Apples: Two Possible Formulations

#1

SOLVE(i, j) = optimal solution from [0][0] to [i][j]

SOLVE(2, 2)

SOLVE(1, 3)

SOLVE(3, 4)

Collecting Apples: Two Possible Formulations

#1

SOLVE(i, j) = optimal solution from [0][0] to [i][j]

SOLVE(2, 2) **SOLVE**(1, 3) **SOLVE**(3, 4)

#2

SOLVE(i, j) = optimal solution from [i][j] to [N-1][M-1]

Collecting Apples: Two Possible Formulations

#1

SOLVE(i, j) = optimal solution from [0][0] to [i][j]

SOLVE(2, 2)

SOLVE(1, 3)

SOLVE(3, 4)

#2

SOLVE(i, j) = optimal solution from [i][j] to [N-1][M-1]

SOLVE(2, 2)

Collecting Apples: Two Possible Formulations

#1

SOLVE(i, j) = optimal solution from [0][0] to [i][j]

SOLVE(2, 2)

SOLVE(1, 3)

SOLVE(3, 4)

#2

SOLVE(i, j) = optimal solution from [i][j] to [N-1][M-1]

SOLVE(2, 2)

SOLVE(1, 3)

Collecting Apples: Two Possible Formulations

#1

SOLVE(i, j) = optimal solution from [0][0] to [i][j]

SOLVE(2, 2)

SOLVE(1, 3)

SOLVE(3, 4)

#2

SOLVE(i, j) = optimal solution from [i][j] to [N-1][M-1]

SOLVE(2, 2)

SOLVE(1, 3)

SOLVE(0, 0)

Collecting Apples: Two Possible Formulations

#1

SOLVE(i, j) = optimal solution from [0][0] to [i][j]

SOLVE(N-1, M-1)

#2

SOLVE(i, j) = optimal solution from [i][j] to [N-1][M-1]

SOLVE(0, 0)

Collecting Apples: Two Possible Formulations

#1

SOLVE(i, j) = optimal solution from [0][0] to [i][j]

```
SOLVE(i, j) =
    apples[i][j] +
    MAX(SOLVE(i-1, j),
        SOLVE(i, j-1))
```

SOLVE(N-1, M-1)

#2

SOLVE(i, j) = optimal solution from [i][j] to [N-1][M-1]

```
SOLVE(i, j) =
    apples[i][j] +
    MAX(SOLVE(i+1, j),
        SOLVE(i, j+1))
```

SOLVE(0, 0)

Collecting Apples: Two Possible Formulations

#1

SOLVE(i, j) = optimal solution from [0][0] to [i][j]

SOLVE(N-1, M-1)

```
SOLVE(i, j) =
    apples[i][j] +
    MAX(SOLVE(i-1, j),
        SOLVE(i, j-1))
```

result[][]

#2

SOLVE(i, j) = optimal solution from [i][j] to [N-1][M-1]

SOLVE(0, 0)

```
SOLVE(i, j) =
    apples[i][j] +
    MAX(SOLVE(i+1, j),
        SOLVE(i, j+1))
```

result[][]

Collecting Apples: Two Possible Formulations

#1

SOLVE(i, j) = optimal solution from [0][0] to [i][j]

SOLVE(N-1, M-1)

```
SOLVE(i, j) =
    apples[i][j] +
    MAX(SOLVE(i-1, j),
        SOLVE(i, j-1))
```

#2

SOLVE(i, j) = optimal solution from [i][j] to [N-1][M-1]

SOLVE(0, 0)

```
SOLVE(i, j) =
    apples[i][j] +
    MAX(SOLVE(i+1, j),
        SOLVE(i, j+1))
```

Collecting Apples: Two Possible Formulations

#1

SOLVE(i, j) = optimal solution from [0][0] to [i][j]

SOLVE(N-1, M-1)

```
SOLVE(i, j) =
    apples[i][j] +
    MAX(SOLVE(i-1, j),
        SOLVE(i, j-1))
```

#2

SOLVE(i, j) = optimal solution from [i][j] to [N-1][M-1]

SOLVE(0, 0)

```
SOLVE(i, j) =
    apples[i][j] +
    MAX(SOLVE(i+1, j),
        SOLVE(i, j+1))
```