

# Asymptotic Notation Exercises

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## Exercise 1

Prove each of the following statements.

1.  $3n^4 + 100n^2 + 42n = O(n^4)$
2.  $2^{2n} \neq O(2^n)$
3.  $2^n = \Omega(2^{\frac{n}{2}})$
4.  $n! = O((n+1)!)$
5.  $(n+b)^2 = \Theta(n^2)$  for any  $b \geq 1$ .
6.  $\sum_{i=1}^n (2i-1) = \Theta(n^2)$
7.  $\sum_{i=0}^n 2^i = \Theta(2^n)$
8.  $3n+1 = o(n^2)$
9.  $k^n = o((k+1)^n)$ , where  $k$  is a constant integer that is  $> 1$ .
10.  $3n^2 + 1 = \omega(n)$

## Exercise 2

For each of the following groups of functions, rearrange the functions in the group based on their order of growth, such that if a function  $f$  appears before a function  $g$  then  $f$  must be  $O(g)$ .

*Assume the base of the logarithm to be 2 if the base makes a difference.*

1.  $2^n, 2^{\log n}, 2^{n^2}, 2^{2^{\log n}}, 2^{\frac{1}{n}}$
2.  $\log n, \log(\log n), \log^2 n, \log^4 n, n, \log(n^{\log n})$
3.  $5^{\frac{n}{2}}, 2^n, 7^{\log n}, 2^{3000}, 1.0001^n$
4.  $n, (\log n)^{\log n}, (\log n)!, 2^n, \frac{1}{n}, \frac{1}{2^n}$

### Exercise 3

For each of the following pairs of functions  $f$  and  $g$ , mention which of the shown relations apply (assume  $k$  is a positive constant).

$f$	$g$	$f = O(g)$	$f = \Omega(g)$	$f = \Theta(g)$	$f = o(g)$	$f = \omega(g)$
$kn$	$n$					
$kn$	$k$					
$n^{\log k}$	$k^{\log n}$					
$\log^k n$	$n$					
$k^n$	$k^{n+k}$					
$k^n$	$k^{kn}$					
$n^k$	$n^{k+1}$					
$k^k$	$k + 1$					
$n^{\frac{1}{k}}$	$\log_k(n)$					

### Exercise 4

Provide a counterexample for each of the following statements:

1. If  $f(n) = O(n)$  and  $g(n) = O(n^2)$  then  $f$  grows slower than  $g$ .
2. If  $f(n) = O(1)$ , then  $f(n) = \Theta(1)$ .
3. For any two functions  $f(n)$  and  $g(n)$ ,  $f(n) = O(g(n))$  or  $f(n) = \Omega(g(n))$ .
4. If  $f(n) = O(g(n))$ , then  $2^f = O(2^g)$

## Solutions

**1.1**  $3n^4 + 100n^2 + 42n = O(n^4)$

We need to show that there exist two constants  $c$  and  $n_o$  such that:

$$0 \leq 3n^4 + 100n^2 + 42n \leq cn^4 \text{ for all } n \geq n_o$$

We know that:

$$0 \leq 3n^4 + 100n^2 + 42n \leq 3n^4 + 100n^4 + 42n^4 \leq 145n^4 \text{ for all } n \geq 1$$

We can pick  $c = 145$  and  $n_o = 1$

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**1.2**  $2^{2n} \neq O(2^n)$

Let's assume for the sake of contradiction that there exist two constants  $c$  and  $n_o$  such that:

$$0 \leq 2^{2n} \leq c2^n \text{ for all } n \geq n_o$$

If we divide by  $2^n$  the inequality becomes:

$$0 \leq 2^n \leq c \text{ for all } n \geq n_o$$

This is clearly false because  $2^n$  is an increasing function that approaches  $\infty$  as  $n$  increases, so it cannot always remain below a constant, regardless of what this constant is. Hence, the initial assumption is false.

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**1.3**  $2^n = \Omega(2^{\frac{n}{2}})$

We need to show that there exist two constants  $c$  and  $n_o$  such that:

$$0 \leq c \times 2^{\frac{n}{2}} \leq 2^n \text{ for all } n \geq n_o$$

If we divide by  $2^{\frac{n}{2}}$  the inequality becomes:

$$0 \leq c \leq 2^{\frac{n}{2}} \leq \sqrt{2}^n \text{ for all } n \geq n_o$$

We can pick  $c = 1$  and  $n_o = 1$  since  $1 \leq \sqrt{2}^1$  and  $\sqrt{2}^n$  is an increasing function, so the inequality is always true for all  $n \geq 1$ .

**1.4**  $n! = O((n+1)!)$

We need to show that there exist two constants  $c$  and  $n_0$  such that:

$$0 \leq n! \leq c \times (n+1)! \text{ for all } n \geq n_0$$

If we divide by  $n!$  the inequality becomes:

$$0 \leq 1 \leq c \times (n+1) \text{ because } (n+1)! = (n+1) \times n!$$

Hence, we can pick  $c = 1$  and  $n_0 = 1$

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**1.5**  $(n+b)^2 = \Theta(n^2)$  for any  $b \geq 1$ .

1. We need to show that there exist two constants  $c$  and  $n_0$  such that:

$$0 \leq (n+b)^2 \leq cn^2 \text{ for all } n \geq n_0 \text{ and for any } b \geq 1.$$

Since  $(n+b)^2 = n^2 + 2bn + b^2$ :

$$n^2 + 2bn + b^2 \leq n^2 + 2bn^2 + b^2n^2 \leq (1 + 2b + b^2)n^2 \text{ for all } n \geq 1$$

We can pick  $c = 1 + 2b + b^2$  and  $n_0 = 1$

This means that  $(n+b)^2 = O(n^2)$  for any  $b \geq 1$ .

2. We need to show that there exist two constants  $c$  and  $n_0$  such that:

$$0 \leq cn^2 \leq (n+b)^2 \text{ for all } n \geq n_0 \text{ and for any } b \geq 1.$$

We can pick  $c = 1$  and  $n_0 = 1$

$$0 \leq n^2 \leq n^2 + 2bn + b^2$$

This is true because  $n \geq 1$  and  $b \geq 1$

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**1.6**  $\sum_{i=1}^n (2i-1) = \Theta(n^2)$

The left hand side is equivalent to:

$$2 \times \sum_{i=1}^n i - \sum_{i=1}^n 1 = n(n+1) - n = n^2 + n - n = n^2$$

Therefore, the question is to show that  $n^2 = \Theta(n^2)$  Which is true given the properties  $f = O(f)$  and  $f = \Omega(f)$ , which imply that  $f = \Theta(f)$

$$\text{1.7} \quad \sum_{i=0}^n 2^i = \Theta(2^n)$$

The left hand side is equivalent to  $2^{n+1} - 1$ . Hence, we need to show that:

1. There exist two constants  $c$  and  $n_o$  such that  $0 \leq 2^{n+1} - 1 \leq c \times 2^n$  for all  $n \geq n_o$

If we pick  $c = 2$ , the inequality becomes:

$$0 \leq 2^{n+1} - 1 \leq 2^1 \times 2^n \leq 2^{n+1}, \text{ which is clearly true for all } n \geq 1.$$

This means that  $2^{n+1} - 1 = O(2^n)$

2. There exist two constants  $c$  and  $n_o$  such that  $0 \leq c \times 2^n \leq 2^{n+1} - 1$  for all  $n \geq n_o$

If we pick  $c = 1$  and  $n_o = 1$ , the inequality becomes:

$$0 \leq 2^n \leq 2^{n+1} - 1 \leq 2 \times 2^n - 1 \leq 2^n + (2^n - 1), \text{ which is true for all } n \geq 1 \text{ because } 2^n - 1 \geq 1 \text{ for all } n \geq 1.$$

This means that  $2^{n+1} - 1 = \Omega(2^n)$

$$\text{1.8} \quad 3n + 1 = o(n^2)$$

We will prove the following statement instead:  $3n + 1 \neq \Omega(n^2)$ .

Let's assume for the sake of contradiction that  $3n + 1 = \Omega(n^2)$ . I.e., there exist two constants  $c > 0$  and  $n_o > 0$  such that:  $0 \leq cn^2 \leq 3n + 1$  for all  $n \geq n_o$

Dividing the inequality by  $n$  gives:  $0 \leq cn \leq 3 + \frac{1}{n}$  for all  $n \geq 1$

This statement is clearly false because:

1.  $cn$  is an increasing function that approaches  $\infty$  regardless of the value of  $c$ .
2. The values of  $3 + \frac{1}{n}$  are between 4 and 3.

$$\text{1.9} \quad k^n = o((k+1)^n), \text{ where } k \text{ is a constant integer that is } > 1.$$

We will prove the following statement instead:  $(k+1)^n \neq O(k^n)$ .

Let's assume for the sake of contradiction that  $(k+1)^n = O(k^n)$ . I.e., there exist two constants  $c > 0$  and  $n_o > 0$  such that:  $(k+1)^n \leq ck^n$  for all  $n \geq n_o$

Since  $(k+1) = k(\frac{1}{k} + 1)$ , the left hand side =  $k^n(\frac{1}{k} + 1)^n$

Dividing both sides by  $k^n$  gives:  $(\frac{1}{k} + 1)^n \leq c$

This is clearly false because the left side of the inequality is strictly increasing while the right side is a constant. Regardless of what the value of  $c$  is, the left hand side must at some point become larger than  $c$ .

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**1.10**  $3n^2 + 1 = \omega(n)$

We will prove the following statement instead:  $3n^2 + 1 \neq O(n)$ .

Let's assume for the sake of contradiction that  $3n^2 + 1 = O(n)$ . I.e., there exist two constants  $c > 0$  and  $n_0 > 0$  such that:  $0 \leq 3n^2 + 1 \leq cn$  for all  $n \geq n_0$

Dividing the inequality by  $n$  gives:  $0 \leq 3n + \frac{1}{n} \leq c$  for all  $n \geq 1$

This statement is false because  $3n + \frac{1}{n}$  is an increasing function that approaches  $\infty$  as  $n$  increases and  $c$  is a constant.

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**2.1**  $2^{\frac{1}{n}}, 2^{\log n}, 2^n, 2^{2^{\log n}}, 2^{n^2}$

**Notes.**

- The values for  $2^{\frac{1}{n}}$  decrease when  $n$  increases (from 2 when  $n = 1$  to 1 when  $n = \infty$ ). This makes the function  $\Theta(1)$  (i.e. there is a constant that is always  $\geq 2^{\frac{1}{n}}$  and there is a constant that is always  $\leq 2^{\frac{1}{n}}$ ).
  - $2^{\log_2 n} = n^{\log_2 2} = n$
  - $2^{n^2} = (2^n)^n$
  - $2^{2^{\log 2}} = 2^n$
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**2.2**  $\log(\log n), \log n, \log(n^{\log n}), \log^2 n, \log^4 n, n$

**Notes.**

- $\log(n^{\log n}) = \log^2 n$
- One way to reason about this is to give the function  $\log n$  the name  $x$  (for example). This makes the functions as follows:  
 $\log x, x, \log(n^x) = x^2, x^2, x^4, 2^x$

**2.3**  $2^{3000}, 7^{\log n}, 1.0001^n, 2^n, 5^{\frac{n}{2}}$

## Notes.

- $7^{\log_2 n} = n^{\log_2 7} = n^{2.81}$
- $5^{\frac{n}{2}} = \sqrt{5}^n \approx 2.236^n$

**2.4**  $\frac{1}{2^n}, \frac{1}{n}, n, (\log n)!, (\log n)^{\log n}, 2^n$

## Notes.

- The values for  $\frac{1}{n}$  decrease from 1 to 0 as the values of  $n$  increase. Therefore  $\frac{1}{n} = O(1)$  (i.e. There is a constant that is always  $\geq \frac{1}{n}$ ).
- The values for  $\frac{1}{2^n}$  decrease from 1 to 0 as the values of  $n$  increase. Therefore  $\frac{1}{2^n} = O(1)$ .
- One way to reason about this is to give the function  $\log n$  the name  $x$  (for example). This makes the functions as follows:

$$n = 2^{\log n} = 2^x$$

$$(\log n)! = x!$$

$$(\log n)^{\log n} = x^x = (2^{\log x})^x = 2^{x \log x}$$

$$2^n = 2^{2^{\log n}} = 2^{2^x}$$

## 3

$f$	$g$	$f = O(g)$	$f = \Omega(g)$	$f = \Theta(g)$	$f = o(g)$	$f = \omega(g)$
$kn$	$n$	✓	✓	✓		
$kn$	$k$		✓			✓
$n^{\log k}$	$k^{\log n}$	✓	✓	✓		
$\log^k n$	$n$	✓			✓	
$k^n$	$k^{n+k}$	✓	✓	✓		
$k^n$	$k^{kn}$	✓			✓	
$n^k$	$n^{k+1}$	✓			✓	
$k^k$	$k+1$	✓	✓	✓		
$n^{\frac{1}{k}}$	$\log_k(n)$		✓			✓

**4.1** If  $f(n) = O(n)$  and  $g(n) = O(n^2)$  then  $f$  grows slower than  $g$ .

Let  $f(n) = n$  and  $g(n) = \log(n)$ , then:

$n = O(n)$  is true and  $\log(n) = O(n^2)$  but  $n$  does not grow slower than  $\log(n)$ .

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**4.2** If  $f(n) = O(1)$ , then  $f(n) = \Theta(1)$ .

Let  $f(n) = \frac{1}{n}$ .

- Since  $0 \leq f(n) \leq 1$ , We can multiply 1 by a constant  $c$  such that  $0 \leq f(n) \leq c \times 1$  for all  $n \geq 0$ . Hence,  $f(n) = O(1)$ .
  - Since  $f(n)$  approaches 0 when  $n$  approaches  $\infty$ , no constant  $c > 0$  can be multiplied by 1 such that  $0 \leq c \times 1 \leq f(n)$  for all  $n \geq \text{some } n_o$ . Hence,  $f(n) \neq \Omega(1)$ .
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**4.3** For any two functions  $f(n)$  and  $g(n)$ ,  $f(n) = O(g(n))$  or  $f(n) = \Omega(g(n))$ .

Let  $f(n) = \cos(n)$  and  $g(n) = \sin(x)$ .

Multiplying any of the two functions by a constant does not make it always greater or always less than the other function.

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**4.4** If  $f(n) = O(g(n))$ , then  $2^f = O(2^g)$

Let  $f(n) = \log_2 n$  and  $g(n) = \frac{1}{2} \log_2 n$ .

It is clear that  $\log_2 n = O(\frac{1}{2} \log_2 n)$  (pick  $c = 2$  and  $n_o = 1$ ). However,  $2^{\log_2 n} = n \neq O(2^{\frac{1}{2} \log_2 n})$ , because  $2^{\frac{1}{2} \log_2 n} = \sqrt{n}$ .