



# CS 4240: Compilers

Lecture 24: Attribute Grammars, Type Checking

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April 17, 2019

# ANNOUNCEMENTS & REMINDERS

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- » Last class is next Monday, April 22nd
  - » Course review. Practice Final Exam will also be released that day.
- » Project 3 due by 11:59pm on Tuesday, April 23rd
  - » Automatic penalty-free extension until 11:59pm on Tuesday, April 30th
  - » 10% of course grade
- » Homework 3 due by 11:59pm on Tuesday, April 23rd
  - » Automatic penalty-free extension until 11:59pm on Friday, April 26th
  - » 5% of course grade
- » FINAL EXAM: Wednesday, May 1, 2:40 pm - 5:30 pm
  - » 30% of course grade

# Worksheet # 23

## Solution

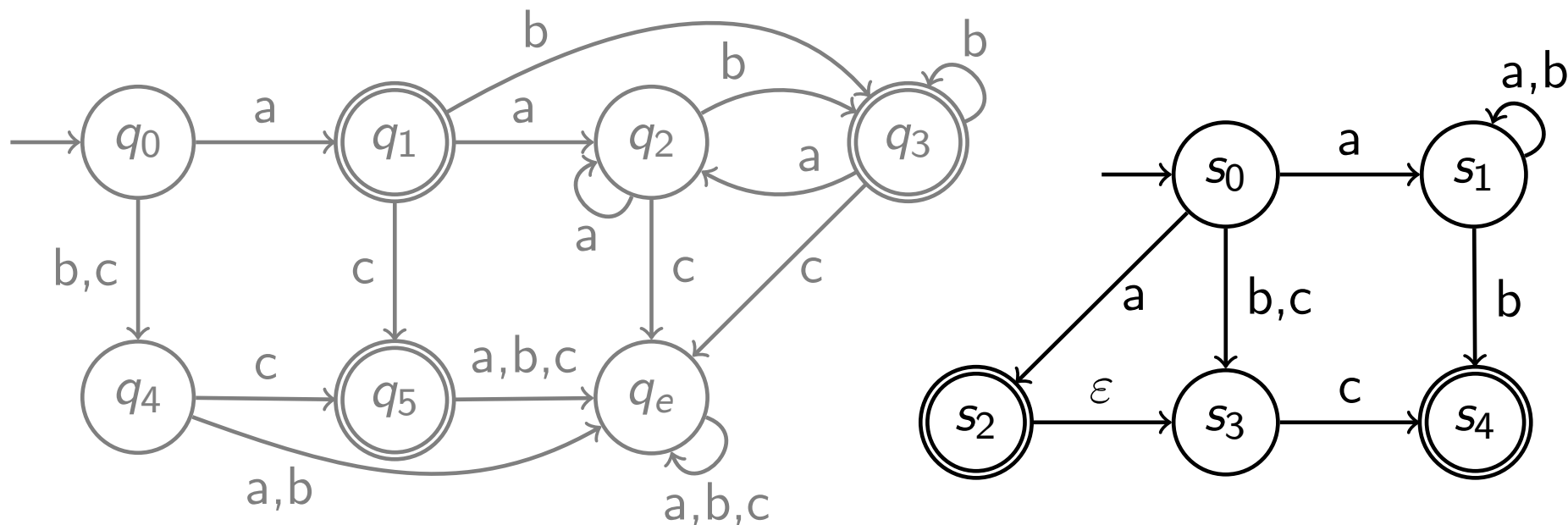
(From Lecture #23 given on 4/15/2019)

## (Recap)

### DFAs:

*NOTE: for convenience, a missing transition indicates an error if that symbol is encountered (in lieu of creating an explicit error state like  $q_e$ )*

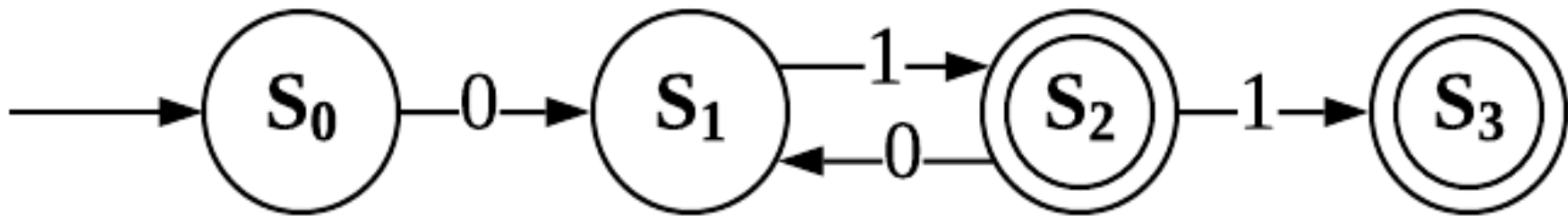
- ▶ *at most* one outgoing transition per  $a \in \Sigma$
- ▶ decide acceptance directly



### NFAs:

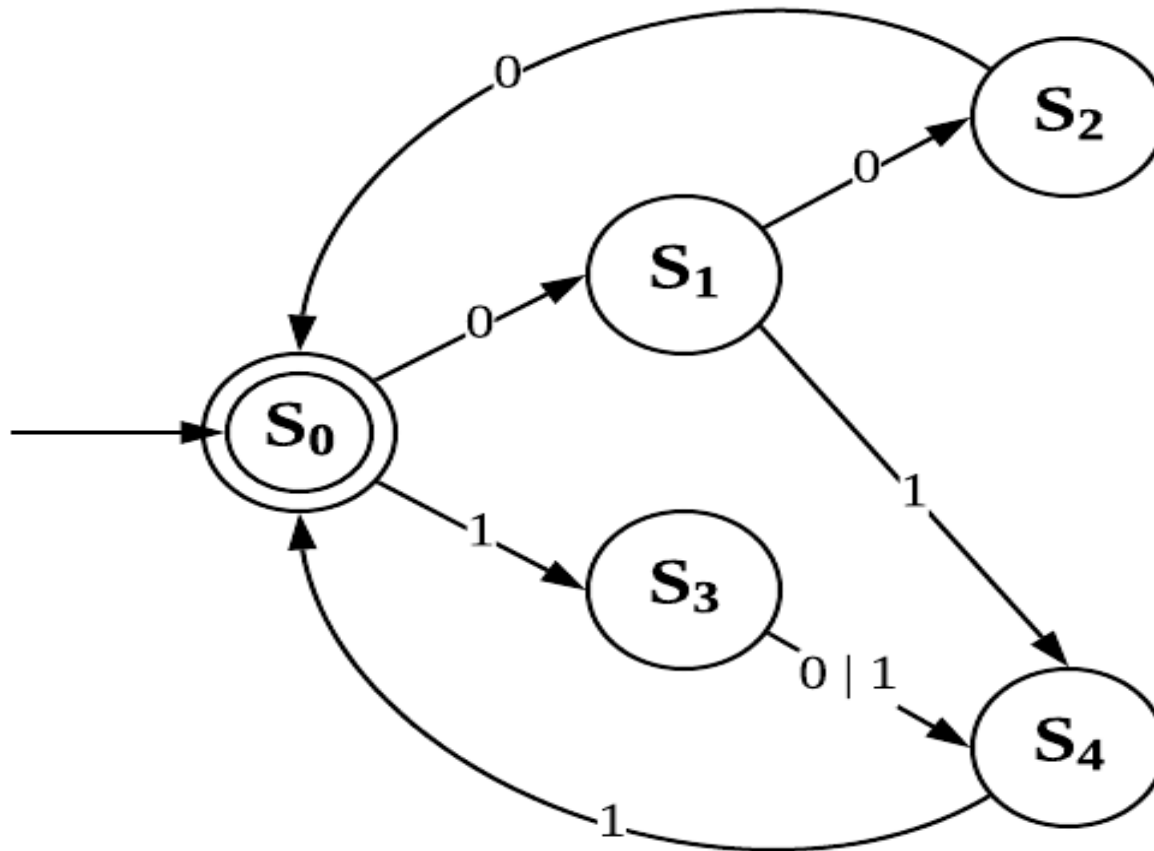
- ▶ zero or more transitions per  $a \in \Sigma \cup \{\epsilon\}$
- ▶ acceptance: exists an accepting run
- ▶ rejection: all possible runs reject

- Draw a DFA which accepts the same strings as the following regular expression : **(01)+(1?)**



- The most popular answer from students was the DFA above. There can be other possible answers.
- **One of the differences between NFA & DFA :**  
For every state,
  - DFA : at most one outgoing transition per
  - NFA : zero or more transitions per

- Draw a DFA for the following language or explain why no such DFA exists:  
**The language of binary strings of length  $3k$  for  $k \geq 0$  such that every third bit is the value yielded by performing a logical 'or' on the preceding two bits.**



# Beyond Syntax

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There is a level of correctness that is deeper than grammar

```
1. fie(a,b,c,d)
2.  int a, b, c, d;
3. { ... }
4. fee() {
5.  int f[3],g[0],
6.    h, i, j, k;
7.  char *p;
8.  fie(h,i,"ab",j, k);
9.  k = f * i + j;
10. h = g[17];
11. printf("<%s,%s>.\n", p, q);
12. p = 10;
13.}
```

What is wrong with this program?

(let me count the ways ...)

## To generate code, we need to understand its meaning !

There is a level of correctness that is deeper than grammar

```
1. fie(a,b,c,d)
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12. p = 10;
13.}
```

What is wrong with this program?  
(let me count the ways ...)

- declared g[0], used g[17]
- wrong number of args to fie()
- "ab" is not an int
- wrong dimension on use of f
- undeclared variable q
- 10 is not a character string

All of these are "deeper than syntax"



# Beyond Syntax

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To generate code, the compiler needs to answer many questions

- » Is "x" a scalar, an array, or a function? Is "x" declared?
- » Are there names that are not declared? Declared but not used?
- » Which declaration of "x" does each use reference?
- » Is the expression "x \* y + z" type-consistent?
- » In "a[i,j,k]", does a have three dimensions?
- » Where can "z" be stored? (register, local, global, heap, static)
- » In "f ← 15", how should 15 be represented?
- » How many arguments does "fie()" take? What about "printf ()" ?
- » Does "\*p" reference the result of a "malloc()" ?
- » Do "p" & "q" refer to the same memory location?
- » Is "x" defined before it is used?

These cannot be expressed in a Regular Expression  
or Context Free Grammar

# Beyond Syntax

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These questions are part of context-sensitive analysis

- » Answers depend on values, not parts of speech
- » Questions & answers involve non-local information
- » Answers may involve computation

How can we answer these questions?

- » Use formal methods
  - Context-sensitive grammars?
  - Attribute grammars?
- » Use ad-hoc techniques
  - Symbol tables
  - Ad-hoc code

(action routines)

In parsing, formalism won; for semantic analysis, ad-hoc techniques dominate actual practice

# Attribute Grammars

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What is an attribute grammar?

- » A context-free grammar augmented with a set of rules
- » Each symbol in the derivation (or parse tree) has a set of named values, or attributes
- » The rules specify how to compute a value for each attribute
  - Attribution rules are functional; they uniquely define the value

## Example grammar

Number	→	Sign List
Sign	→	+
		-
List	→	List Bit
		Bit
Bit	→	0
		1

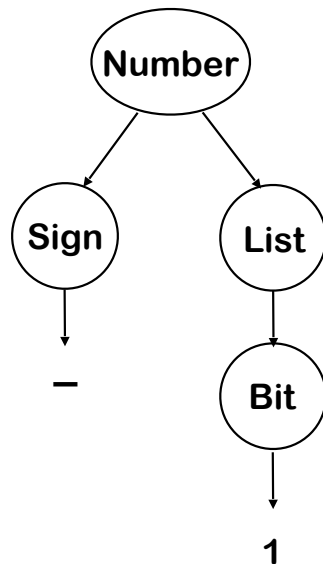
This grammar describes  
signed binary numbers

We would like to augment it  
with rules that compute the  
decimal value of each valid  
input string

# Examples

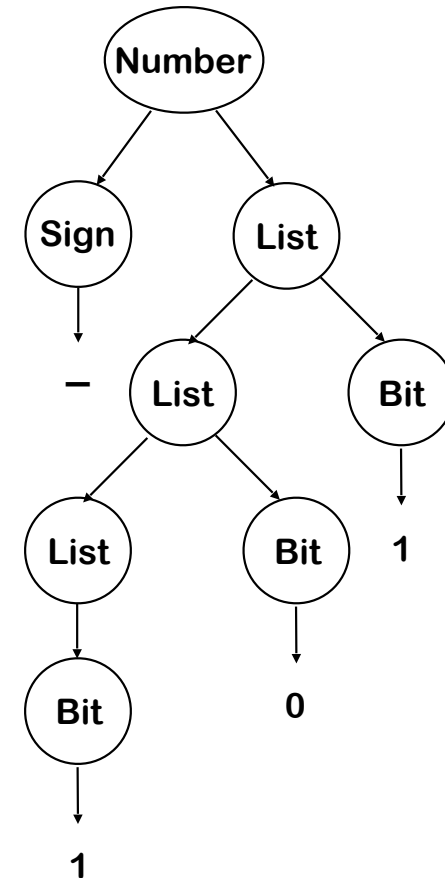
For “-1”

Number → Sign List  
→ Sign Bit  
→ Sign 1  
→ - 1



For “-101”

Number → Sign List  
→ Sign List Bit  
→ Sign List 1  
→ Sign List Bit 1  
→ Sign List 0 1  
→ Sign Bit 0 1  
→ Sign 1 0 1  
→ - 101



We will use these two throughout the lecture

# Attribute Grammars

Add attributes & rules to compute the decimal value of a signed binary number

<i>Productions</i>	<i>Attribution Rules</i>
$Number \rightarrow Sign\ List$	$List.pos \leftarrow 0$ If $Sign.neg$ then $Number.val \leftarrow -List.val$ else $Number.val \leftarrow List.val$
$Sign \rightarrow \pm$	$Sign.neg \leftarrow false$
$\quad \quad \quad   \quad =$	$Sign.neg \leftarrow true$
$List_0 \rightarrow List_1\ Bit$	$List_1.pos \leftarrow List_0.pos + 1$ $Bit.pos \leftarrow List_0.pos$ $List_0.val \leftarrow List_1.val + Bit.val$
$\quad \quad \quad   \quad Bit$	$Bit.pos \leftarrow List.pos$ $List.val \leftarrow Bit.val$
$Bit \rightarrow 0$	$Bit.val \leftarrow 0$
$\quad \quad \quad   \quad 1$	$Bit.val \leftarrow 2^{Bit.pos}$

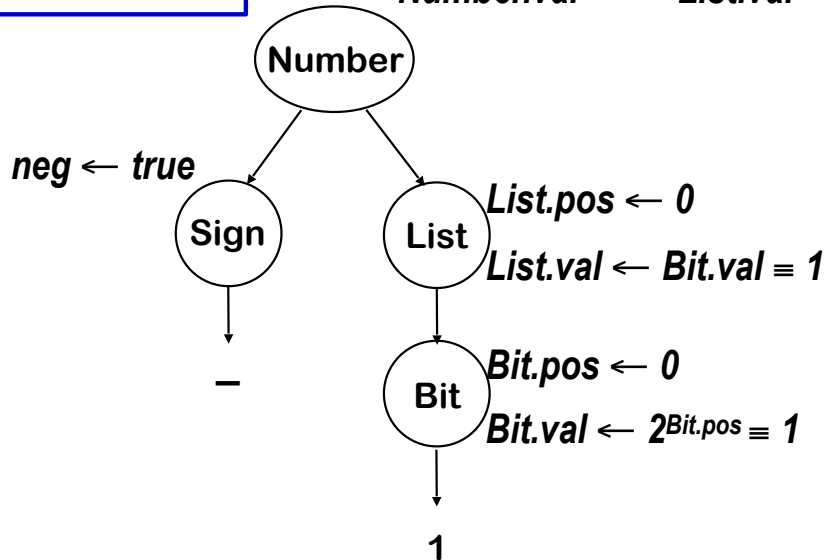
Symbol	Attributes
<i>Number</i>	val
<i>Sign</i>	neg
<i>List</i>	pos, val
<i>Bit</i>	pos, val

# Back to the Examples

Rules + parse tree imply  
an attribute dependence  
graph

For “-1”

$Number.val \leftarrow -List.val \equiv -1$



One possible evaluation order:

- 1 List.pos
- 2 Sign.neg
- 3 Bit.pos
- 4 Bit.val
- 5 List.val
- 6 Number.val

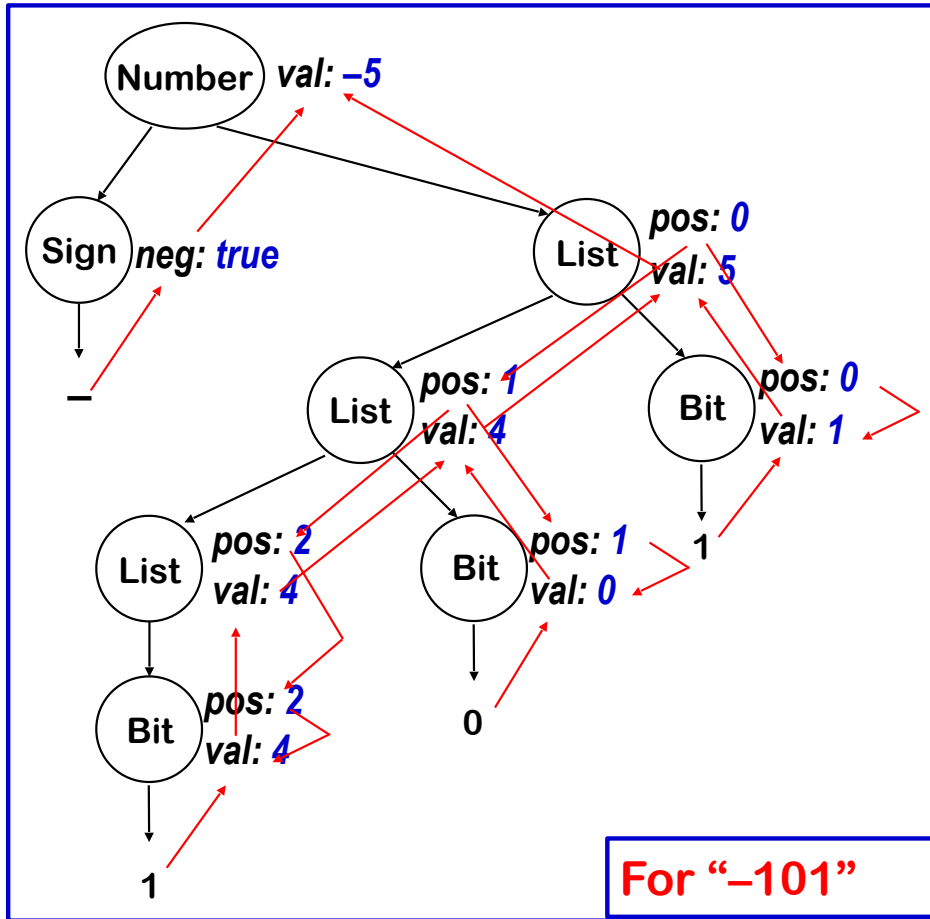
Other orders are possible

Knuth suggested a data-flow model for evaluation

- Independent attributes first
- Others in order as input values become available

Evaluation order  
must be consistent  
with the attribute  
dependence graph

# Back to the Examples



This is the complete attribute dependence graph for "-101".

It shows the flow of all attribute values in the example.

Some flow downward

→ **inherited attributes**

Some flow upward

→ **synthesized attributes**

A rule may use attributes in the parent, children, or siblings of a node

# The Rules of the Game

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- » Attributes associated with nodes in parse tree
- » Rules are value assignments associated with productions
- » Attribute is defined once, using local information
- » Label identical terms in production for uniqueness
- » Rules & parse tree define an attribute dependence graph
  - Graph must be non-circular


This produces a high-level, functional specification

## Synthesized attribute

- Depends on values from children

## Inherited attribute

- Depends on values from siblings & parent



N.B.: AG is a specification  
for the computation, not an  
algorithm



# Using Attribute Grammars

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Attribute grammars can specify context-sensitive actions

- » Take values from syntax
- » Perform computations with values
- » Insert tests, logic, ...

## Synthesized Attributes

- Use values from children & from constants
- S-attributed grammars
- Evaluate in a single bottom-up pass

Good match to LR parsing

## Inherited Attributes

- Use values from parent, constants, & siblings
- directly express context
- can rewrite to avoid them
- Thought to be more natural

Not easily done at parse time

We want to use both kinds of attributes

# Evaluation Methods

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## Dynamic, dependence-based methods

- » Build the parse tree
- » Build the dependence graph
- » Topological sort the dependence graph
- » Define attributes in topological order

## Rule-based methods

(treewalk)

- » Analyze rules at compiler-generation time
- » Determine a fixed (static) ordering
- » Evaluate nodes in that order

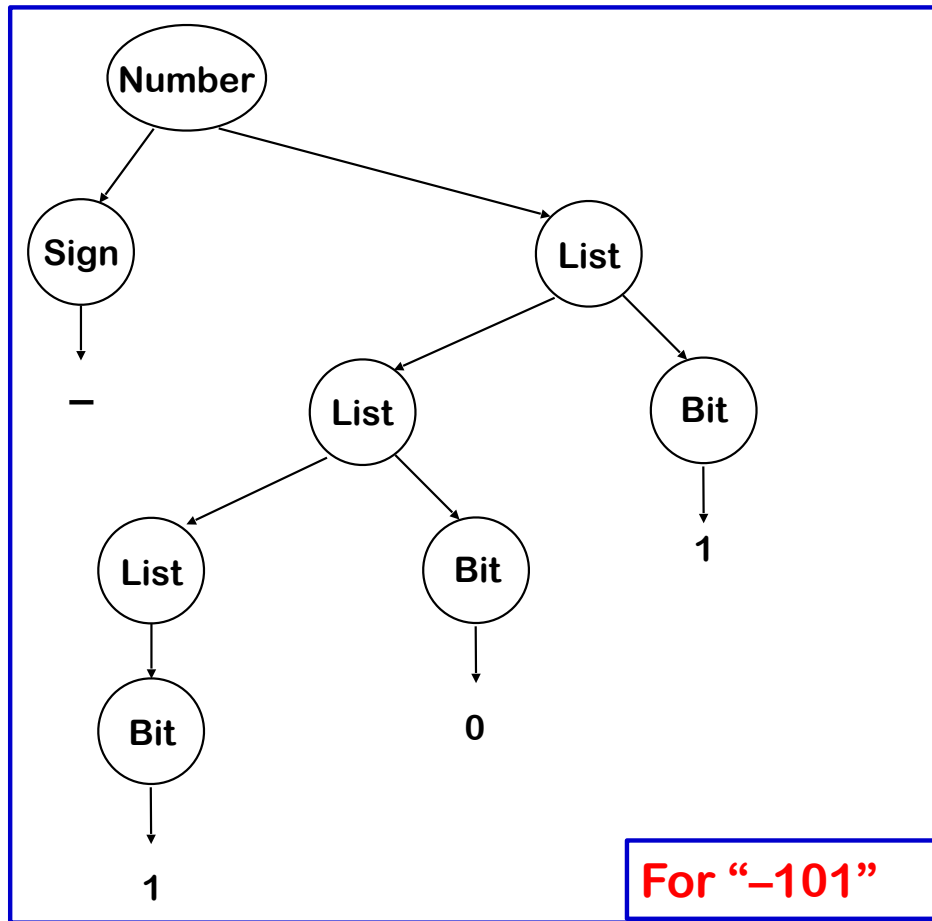
## Oblivious methods

(passes, dataflow)

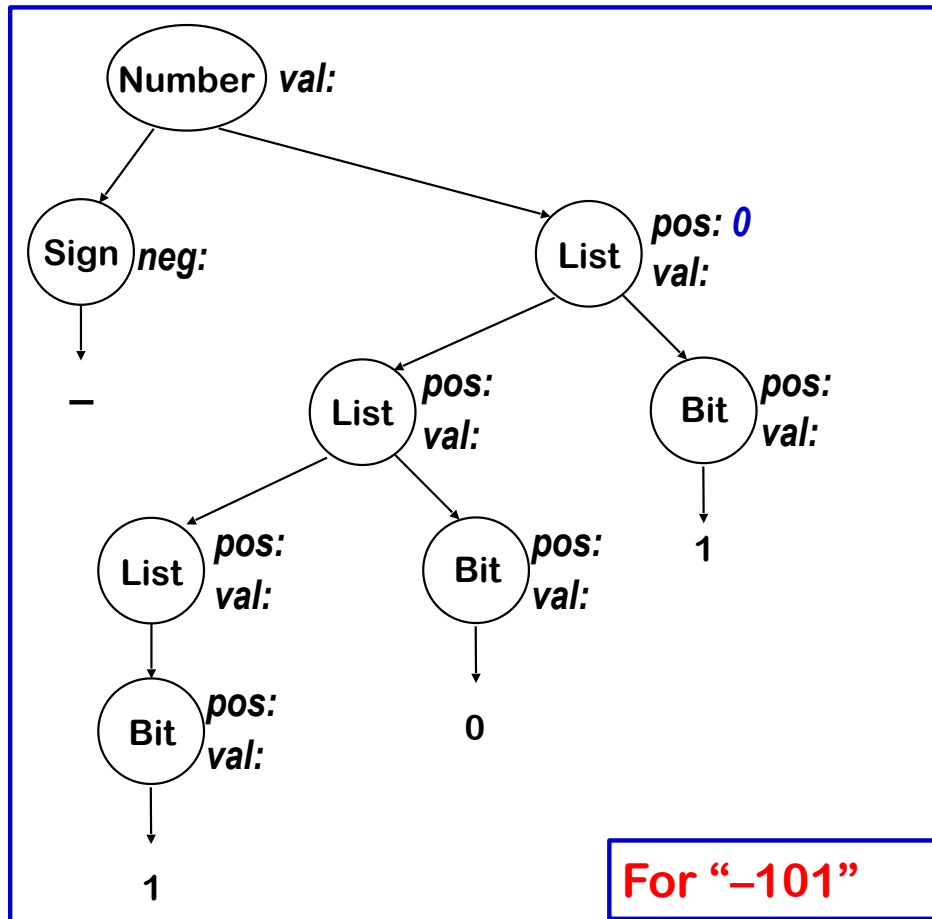
- » Ignore rules & parse tree
- » Pick a convenient order (at design time) & use it

## Back to the Example

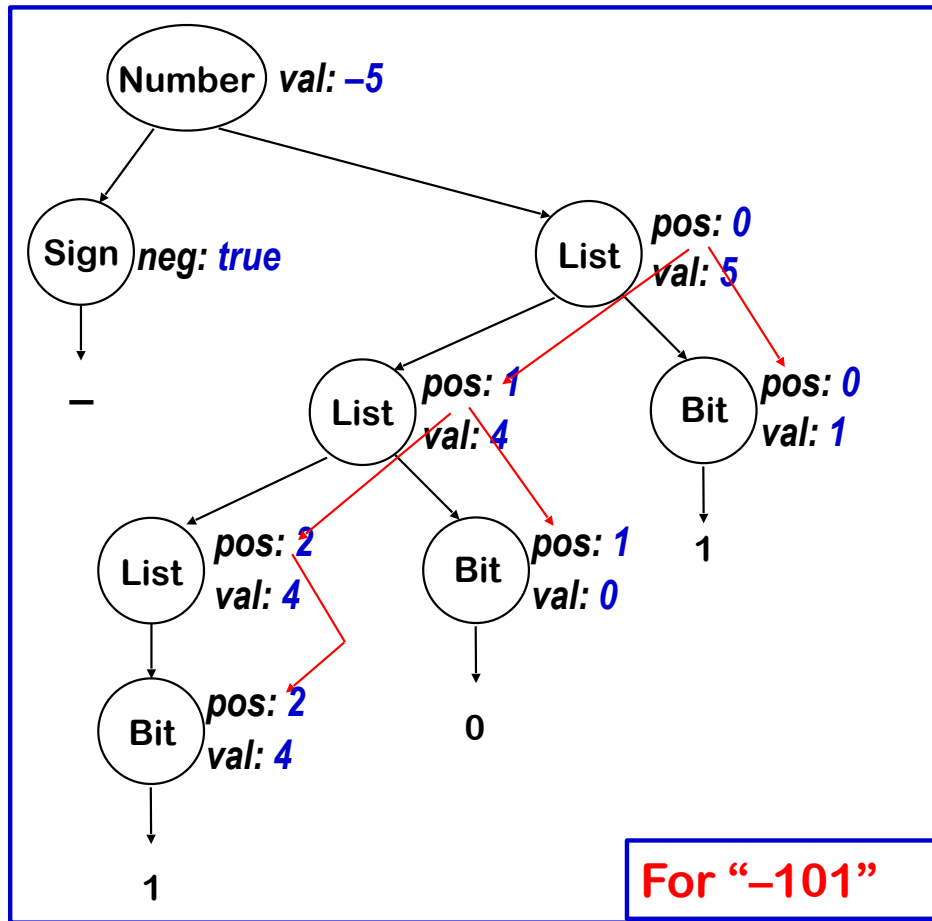
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# Back to the Example

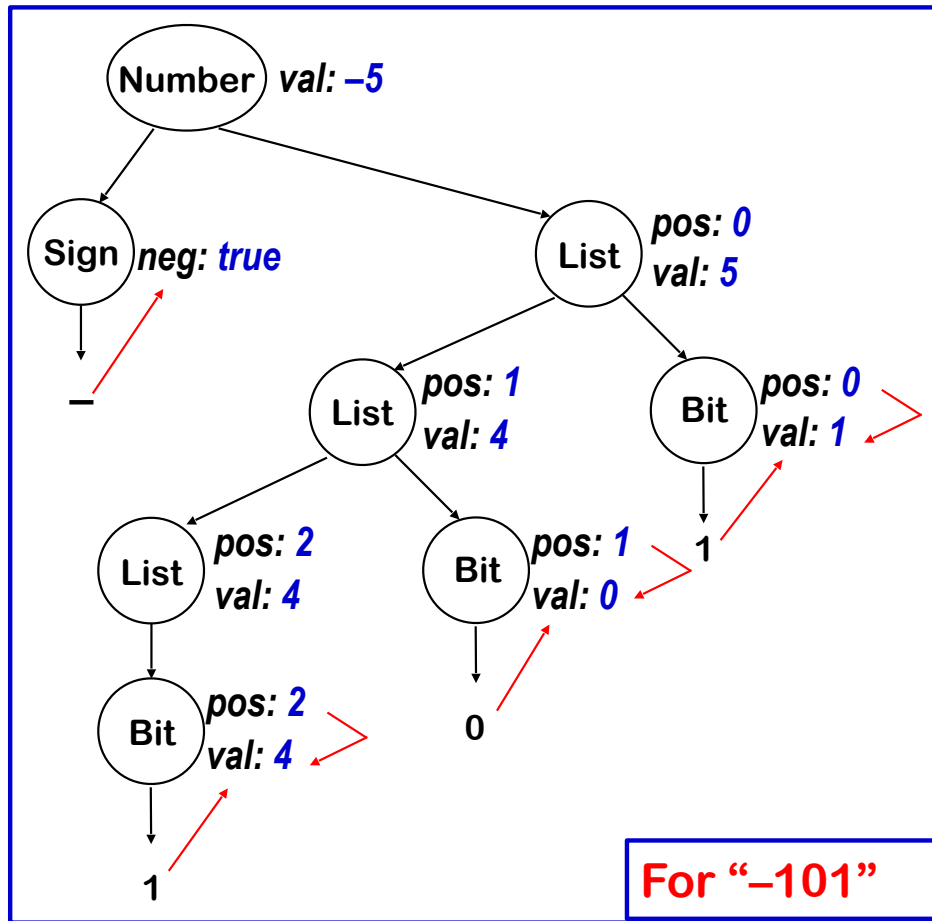


# Back to the Example



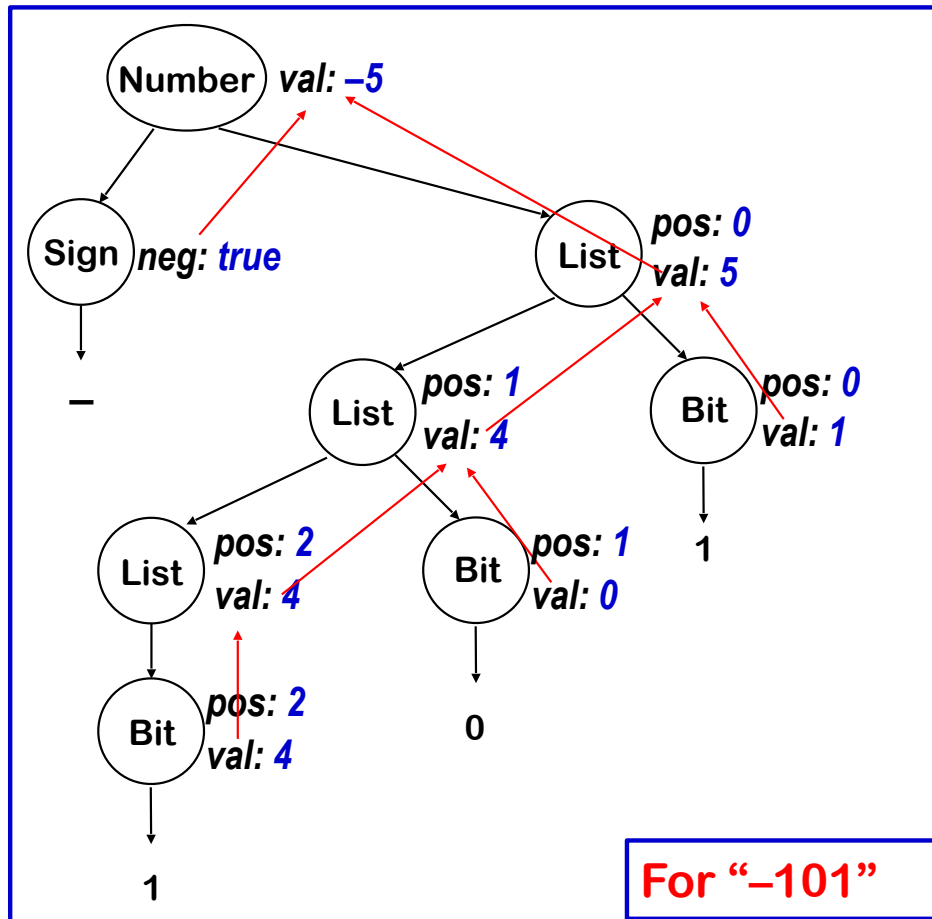
Inherited Attributes

# Back to the Example



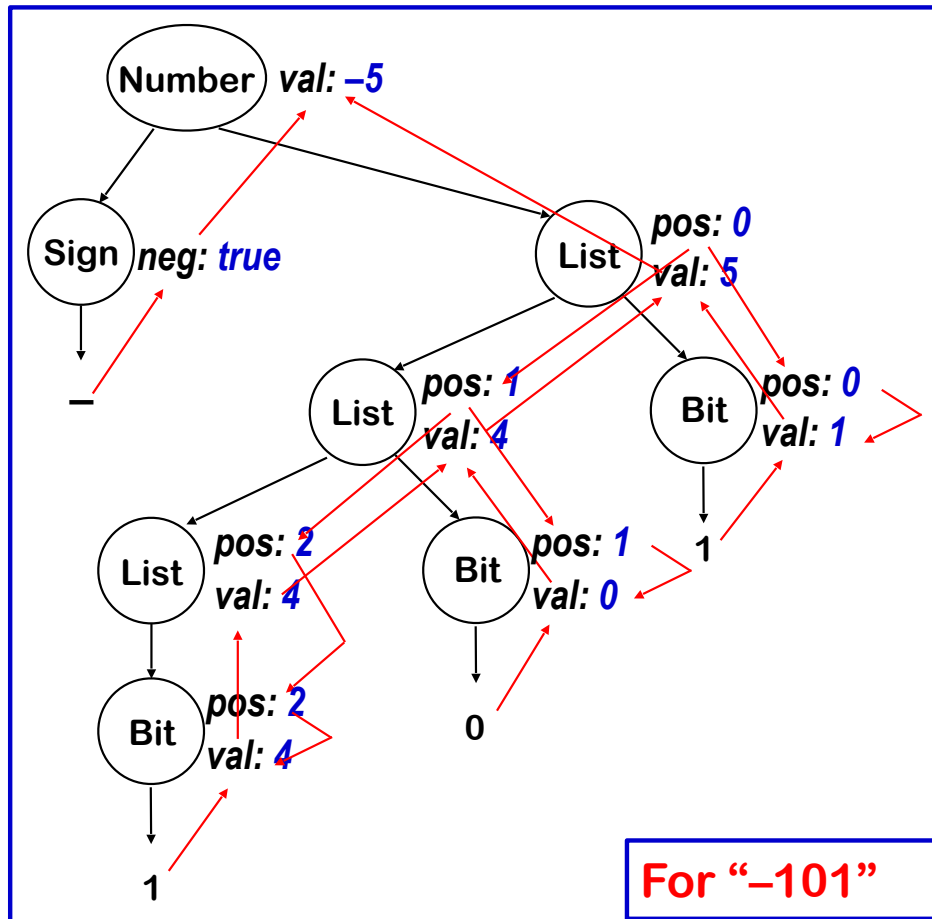
Synthesized attributes

# Back to the Example



Synthesized attributes

# Back to the Example

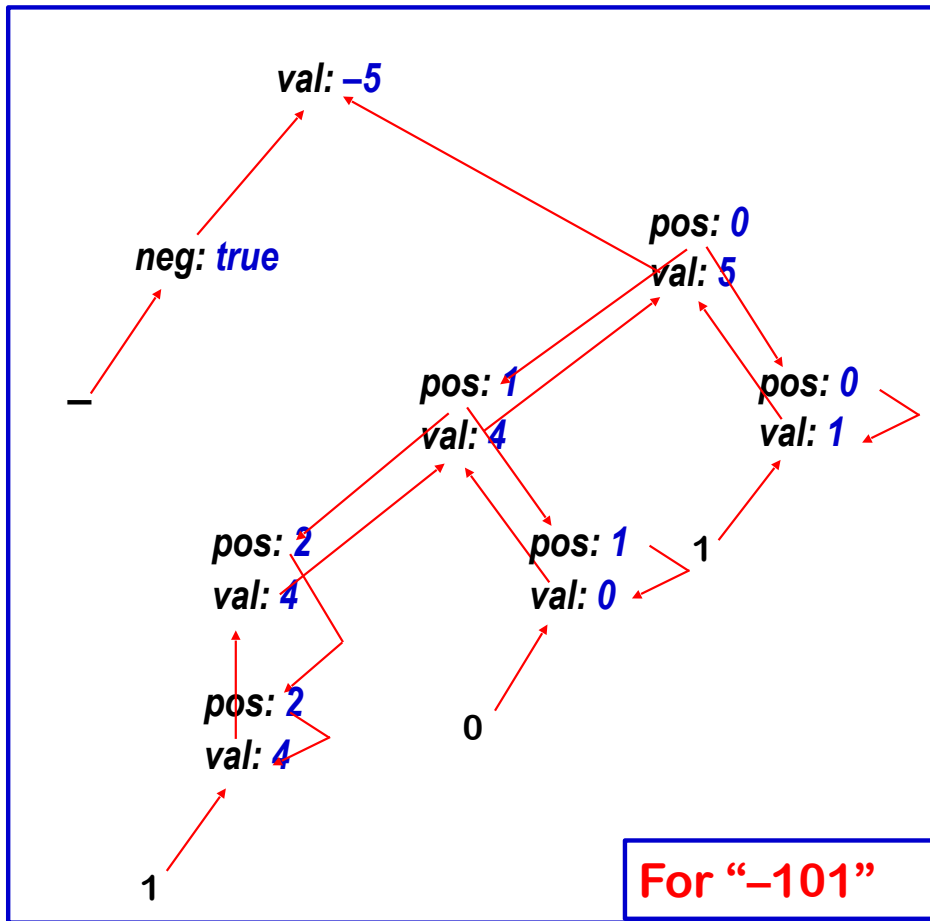


If we show the computation ...

& then peel away the parse tree ...



# Back to the Example



All that is left is the **attribute dependence graph**.

This succinctly represents the flow of values in the problem instance.

The dynamic methods sort this graph to find independent values, then work along graph edges.

The rule-based methods try to discover “good” orders by analyzing the rules.

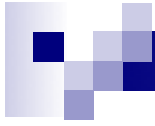
The oblivious methods ignore the structure of this graph.

The dependence graph **must** be acyclic



# Attribute grammar tradeoffs

- **Pros:** compiler writer thinks declaratively, lets a single engine do all the computation
  - Similar to parsers and parser-generators
- **Cons:** restrictive enough that they can be a bit awkward for expressing some practical analyses



# Ad-hoc analysis

- Declare program state per AST node (analogous to node features) and **globally**
- Define code that's run on completed AST to update its program state when tree is parsed (analogous to rules)
- **Advantage:** don't need to write out explicit copies of data through tree nodes
- **Disadvantage:** have to implicitly reason about order of dependencies



# Ad-hoc analysis

- Declare type of data computed for each AST non-terminal
- Declare global data
- For each parse rule **A**  $\rightarrow$  **B C**, define code that is run when rule is applied. Can refer to:
  - Constants, global data
  - Features of **A** node
  - Features of **B** node
  - Features of **C** node



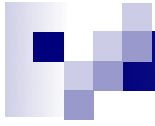
# Type checking as ad-hoc analysis

- Out of all of the features declared, only ones relevant for the next compiler phases are:
  - **prog: isWellTyped**
  - **vardecls: idType**
  - **expression: typeOf**
- Other features (**idType**, **paramType**, **resType**, **curRes** at statement, expression), i.e. the **typing contexts**, are irrelevant
- Candidates to be represented in mutable global in an ad-hoc analysis



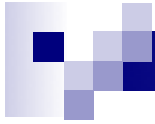
# Type checking as ad-hoc analysis

- **Basic idea:** given program **P**, check that **P** is well-typed...
  - **Q:** for each assignment  **$x := e$** ...
  - **Q:** for each **if-then-else**
  - **Q:** for each operator **op** and expression  **$e_0 \text{ op } e_1$** ...
  - **Q:** for each function **f**?
  - **Q:** for each call?



# Type checking as ad-hoc analysis

- Dependency analysis implies:
  - Type context of parent is computed before  
type context of children
  - Typing context is computed before  
**typeOf, isWellTyped**
- Assume in addition that **typeof, isWellTyped**  
is computed before type contexts of siblings



# Summary

- Semantic analysis: determining properties of an AST
- Attribute grammars: description of equations to generate and solve
- Ad-hoc analysis: arbitrary code that maintains global state, conditions for when to run it
  - Simpler when information domain is complex, and has to be copied around a lot with attribute grammars