



# CS 4240: Compilers

Lecture 16: Calling Convention (contd),  
Part 1 of Midterm Review

Instructor: Vivek Sarkar ([vsarkar@gatech.edu](mailto:vsarkar@gatech.edu))

March 6, 2019

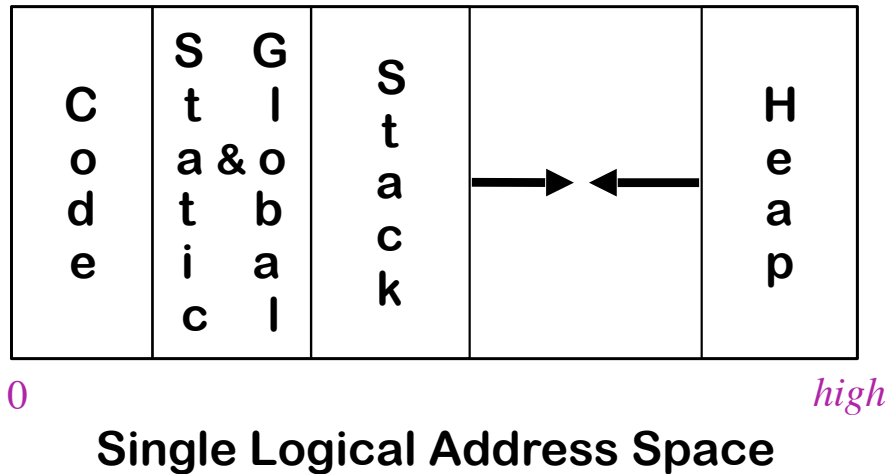
# ANNOUNCEMENTS & REMINDERS

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- » **Project 2 due by 11:59pm on Wednesday, April 3rd**
  - » 15% of course grade
- » Homework 1 solution posted, along with worksheet solutions
- » **MIDTERM EXAM: Wednesday, March 13, 4:30pm - 5:45pm**
  - » 20% of course grade
  - » Scope of exam: Lectures 1-8, 10-14 (Lecture 9 on MIPS processor is excluded)
  - » Chapters 5 and 8-13 of textbook (restricted to sections covered in class)
  - » **March 6th and March 11th lectures will review midterm material**
  - » **Practice midterm will be released by tonight**
- » **FINAL EXAM: Wednesday, May 1, 2:40 pm - 5:30 pm**
  - » 30% of course grade

# Placing Run-time Data Structures

## Classic Organization

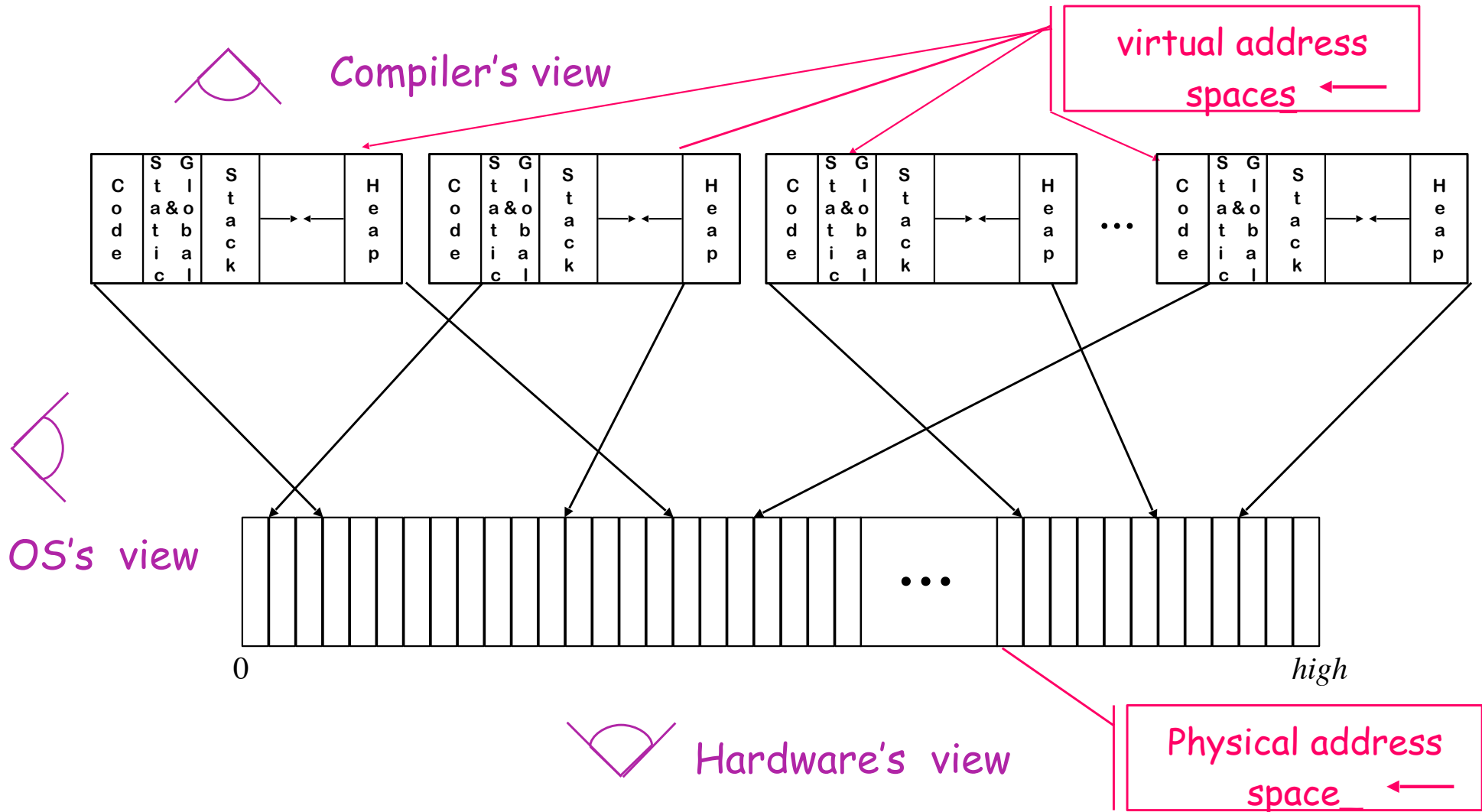


- Better utilization if stack & heap grow toward each other
- Very old result (Knuth)
- Code & data separate or interleaved
- Uses address space, not allocated memory

- Code, static, & global data have known size
  - Use symbolic labels in the code
- Heap & stack both grow & shrink over time
- This is a virtual address space

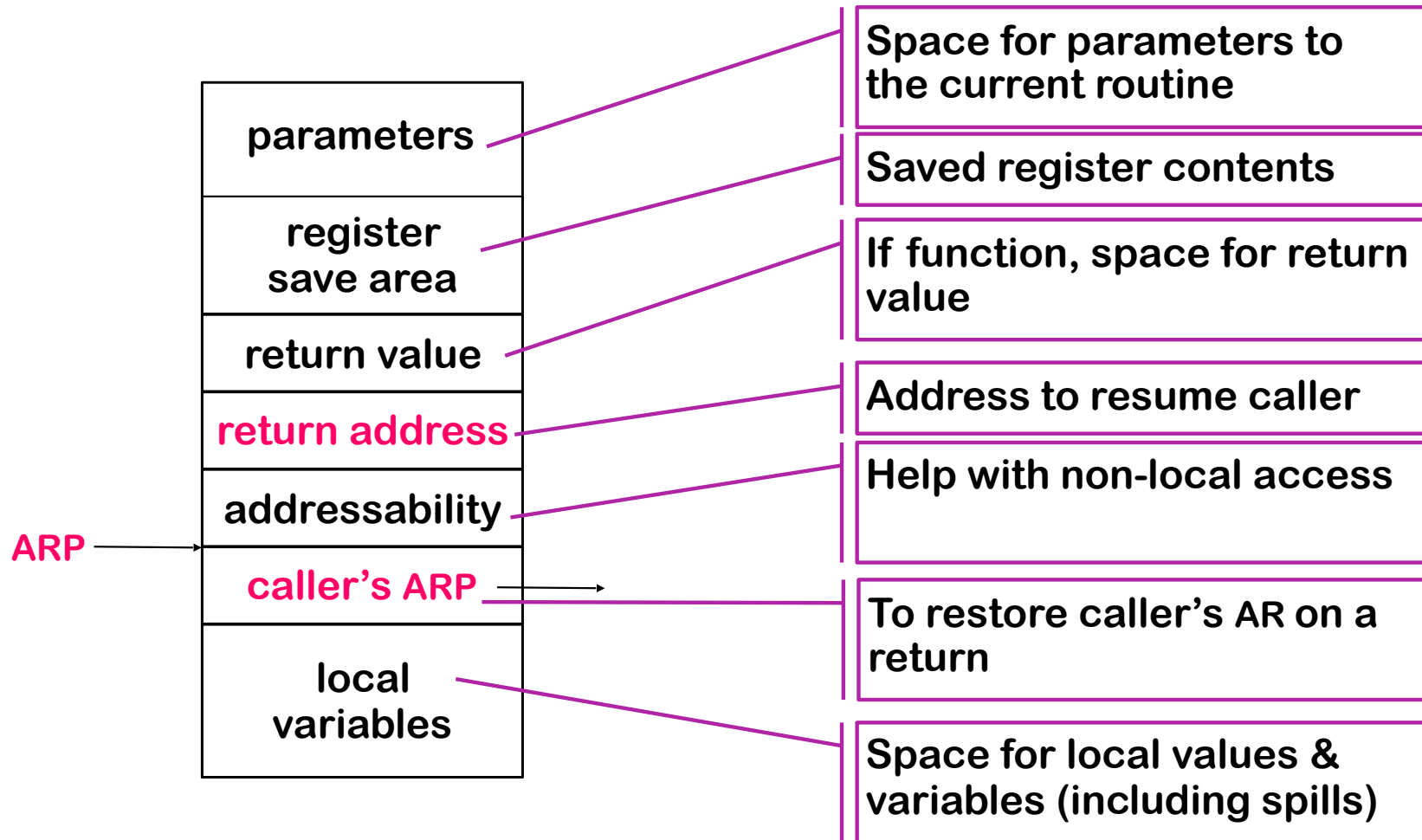
# How Does This Really Work?

## The Big Picture



On many modern processors, L1 cache uses physical addresses  
L2 caches typically use virtual addresses

# Activation Record Basics



One AR for each invocation of a procedure

ARP  $\approx$  Activation Record Pointer

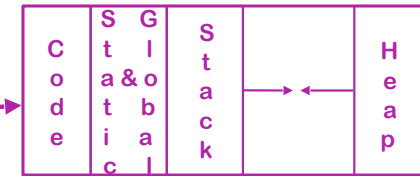
# Activation Record Details

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Where do activation records live?

- If lifetime of AR matches lifetime of invocation, AND
- If code normally executes a “return”

⇒ Keep ARs on a stack



- If a procedure can outlive its caller, OR
- If it can return an object that can reference its execution state

⇒ ARs must be kept in the heap

Yes! This stack.

- If a procedure makes no calls

⇒ AR can be allocated statically

Efficiency prefers static, stack, then heap

# What is a Calling Convention?

- It's a **protocol** about *how* you call functions and *how* you are supposed to return from them
- Every CPU architecture has one
  - They can differ from one arch. to another
- 3 Reasons why **we** care:
  - Because it makes programming a lot easier if everyone agrees to the same consistent (i.e. reliable) methods
  - Makes **testing** a whole lot easier

Source: [https://ucsb-cs64-f18.github.io/lectures/CS64\\_Lecture09.pdf](https://ucsb-cs64-f18.github.io/lectures/CS64_Lecture09.pdf)

# More on the “Why”

- Have a way of implementing functions in assembly
  - But not a clear, easy-to-use way to do complex functions
- In MIPS, we do not have an *inherent* way of doing **nested/recursive functions**
  - Example: Saving an *arbitrary amount* of variables
  - Example: Jumping back to a place in code *recursively*
- There is more than one way to do things
  - But we often need a convention to set **working parameters**
  - Helps facilitate things like testing and inter-compatibility
  - This is partly why MIPS has different registers for different uses



# Instructions to Watch Out For

- **jal** <label> and **jr** \$ra always go together
- Function *arguments* have to be stored ONLY in **\$a0 thru \$a3**
- Function *return values* have to be stored ONLY in **\$v0 and \$v1**
- If functions need additional registers *whose values we don't care about keeping after the call*, then they can use **\$t0 thru \$t9**
- What about **\$s** registers? AKA the ***preserved registers***
  - We must save them... more on that...

# The MIPS Convention In Its Essence

## Preserved vs Unpreserved Regs

- **Preserved:**        **\$s0 - \$s7, and \$sp , \$ra**
  - **Unpreserved:**    **\$t0 - \$t9, \$a0 - \$a3, and \$v0 - \$v1**
- 
- Values held in **Preserved Regs** immediately before a function call ***MUST be the same*** immediately after the function returns.
  - Values held in **Unpreserved Regs** must always be assumed to change after a function call is performed.
    - \$a0 - \$a3 are for passing arguments into a function
    - \$v0 - \$v1 are for passing values from a function

# What Saves What?

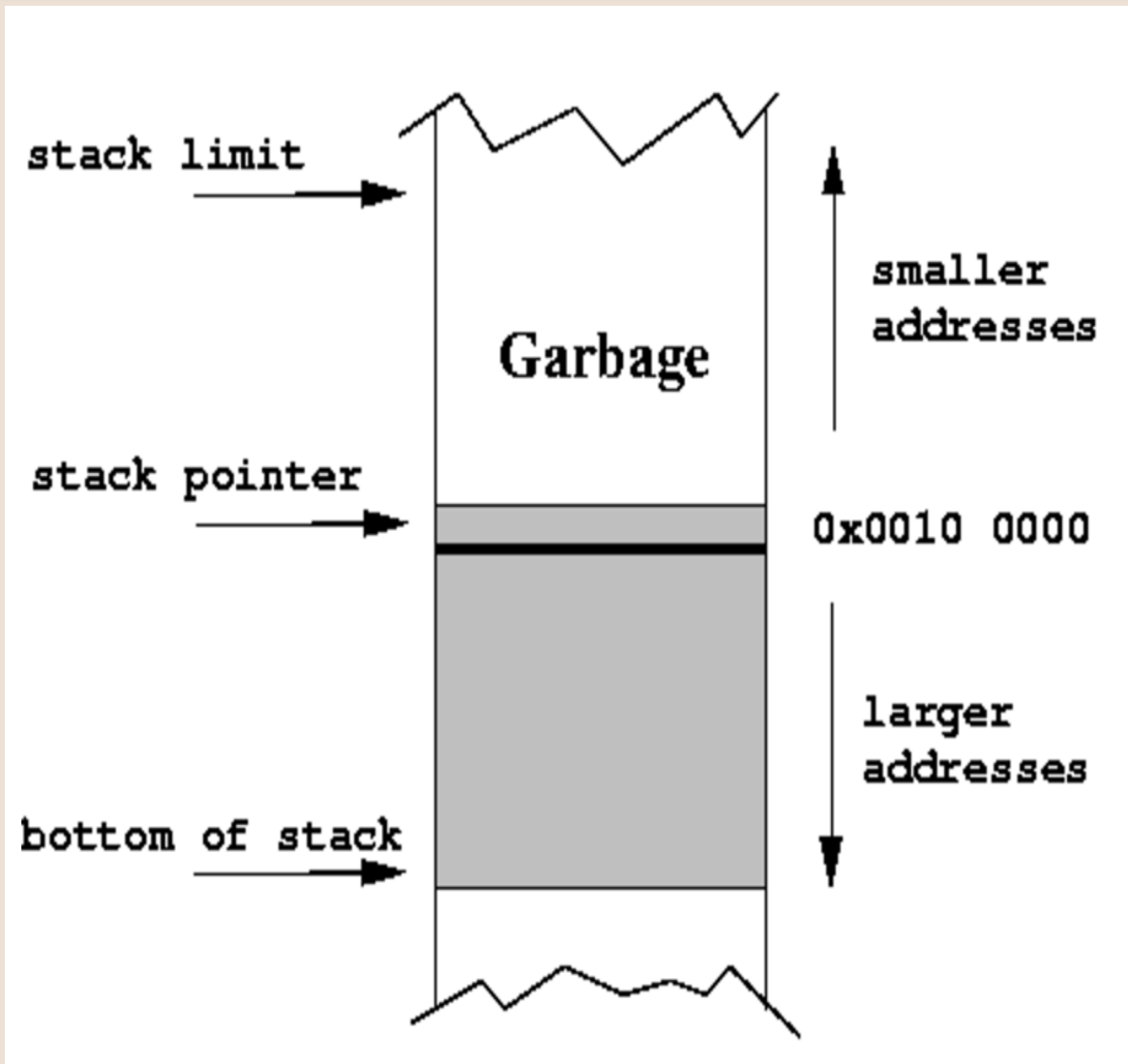
- By MIPS convention, certain registers are ***designated*** to be **preserved** across a call
- Preserved registers are saved by the ***function called*** (e.g., \$s0 - \$s7)
  - So these should be saved at the start of every function
- Non-preserved registers are saved by the ***caller of the function*** (e.g., \$t0 - \$t9)
  - So these should be saved by the function's caller
  - Or not... (they can be ignored under certain circumstances)

# And Where is it Saved?

- Register values are saved on the **stack**
- The top of the stack is held in **\$sp** (**stackpointer**)
- The stack grows  
*from high addresses to low addresses*

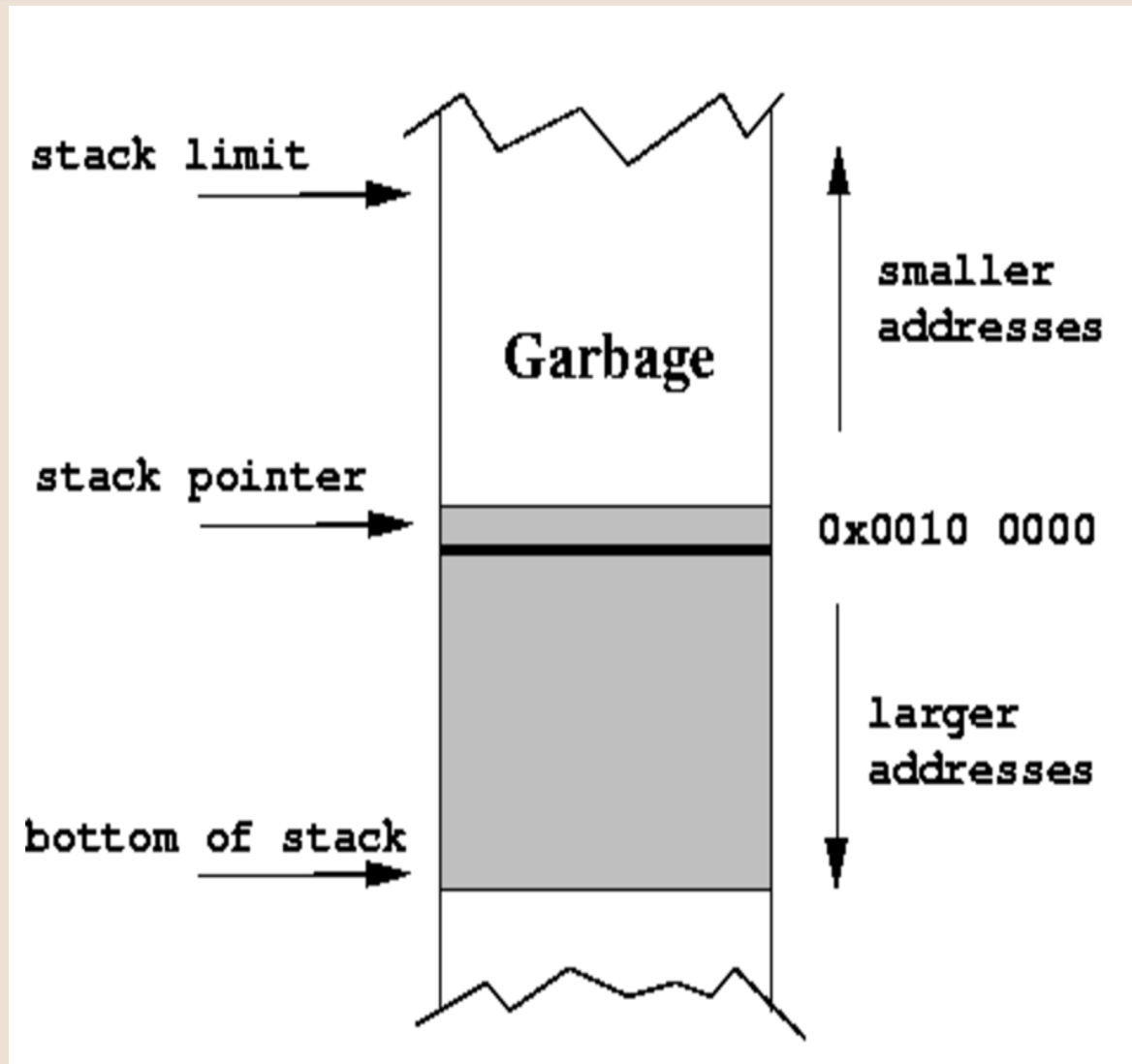
# The Stack

When a program starts executing, a certain *contiguous* section of memory is set aside for the program called the stack.



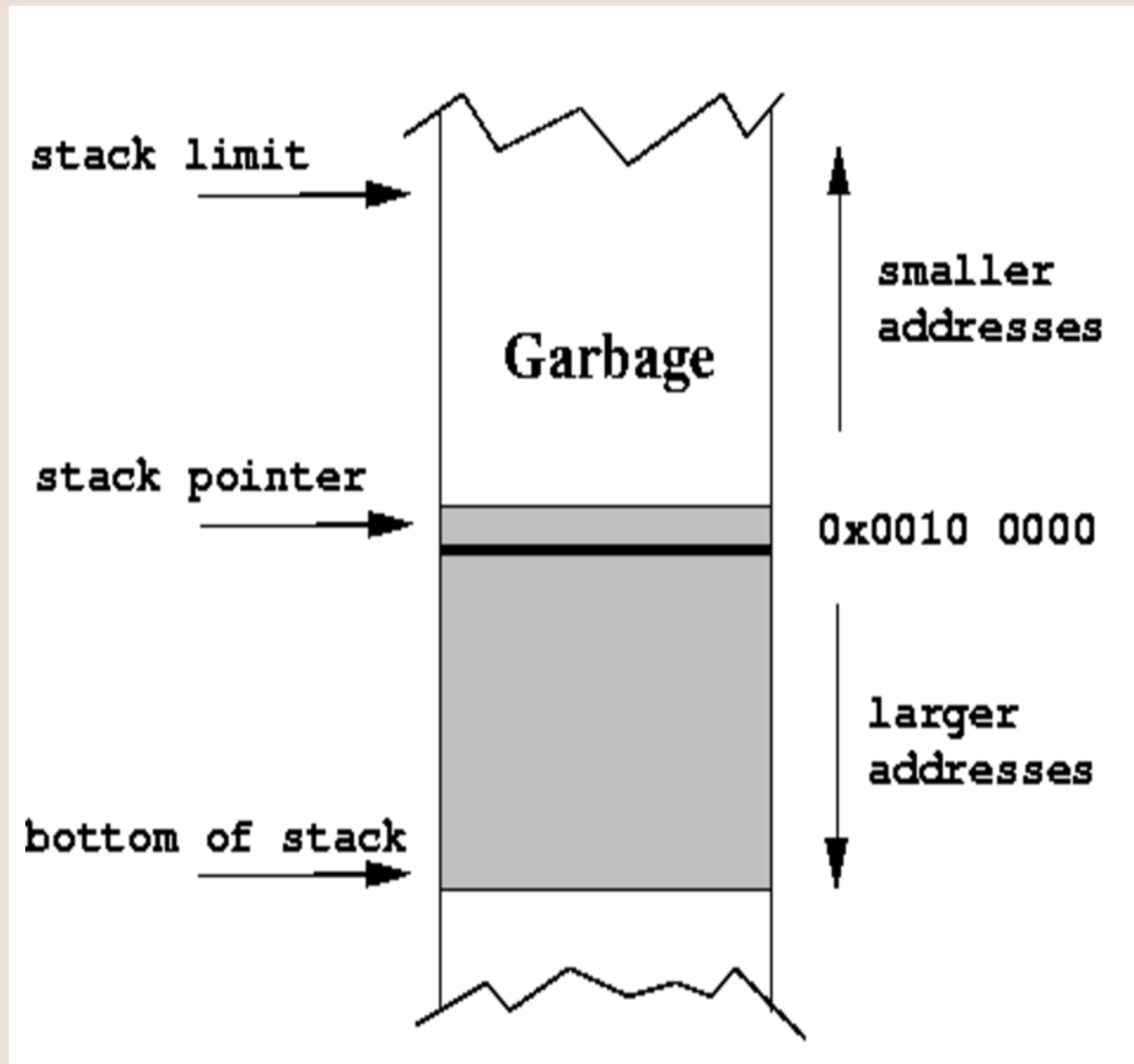
# The Stack

- The **stack pointer** is a register ( $\$sp$ ) that contains the **top of the stack**.
- $\$sp$  contains the *smallest address  $x$*  such that any address smaller than  $x$  is considered **garbage**, and any address greater than or equal to  $x$  is considered **valid**.



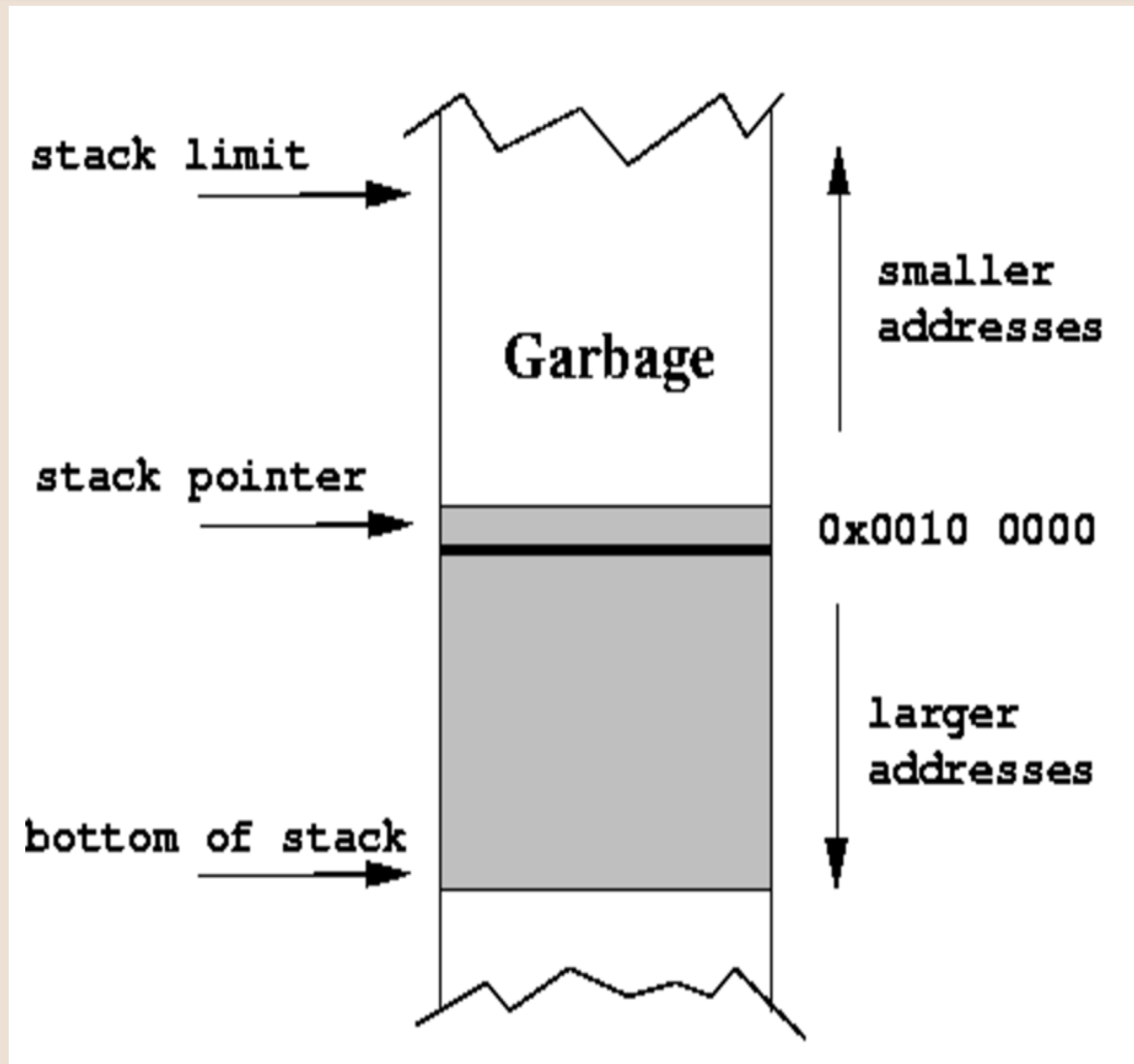
# The Stack

- In this example, **\$sp** contains the value **0x0000 1000**.
- The shaded region of the diagram represents **valid** parts of the stack.



# The Stack

- **Stack Bottom**: The *largest* valid address of a stack.
- When a stack is initialized, `$sp` points to the stack bottom.
- **Stack Limit**: The *smallest* valid address of a stack.
- If `$sp` gets smaller than this, then we get a **stack overflow error**





# MIPS Call Stack

- We know what a Stack is...
- A “**Call Stack**” is used for storing *the return addresses* of the various **functions** which have been *called*
- When you **call** a function (e.g. **jal funcA**), the address that we need to return to is **pushed** into the call stack.

...

*funcA* does its thing... then...

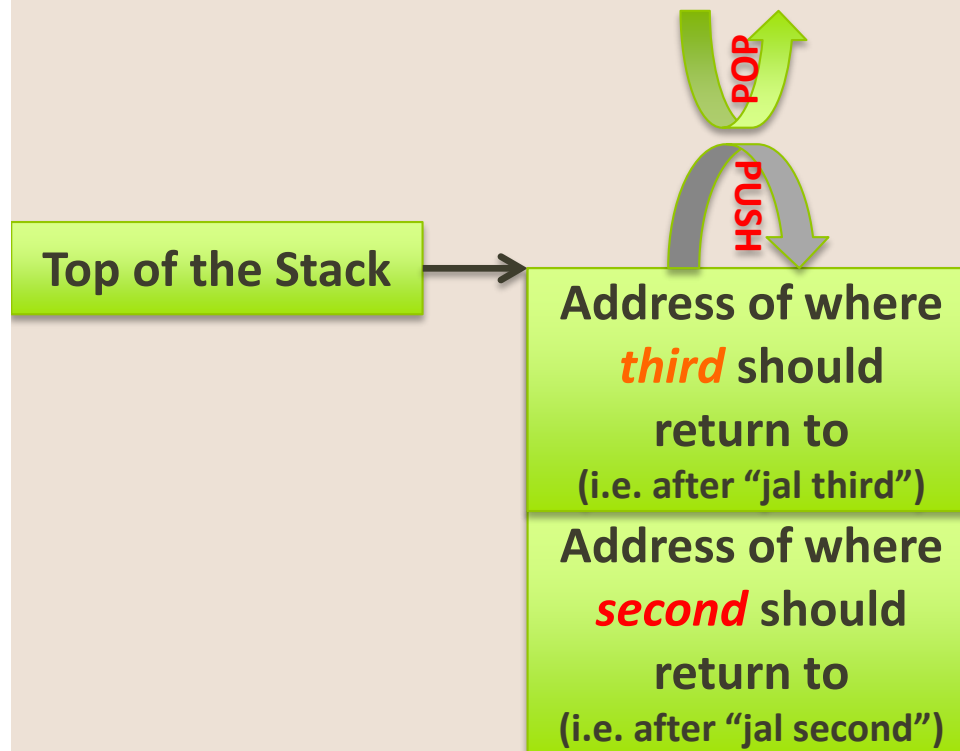
...

The function needs to return.

So, the address is **popped** off the call stack

# MIPS Call Stack

```
void first()  
{  
    second();  
    return; }  
  
void second()  
{  
    third ();  
    return; }  
  
void third()  
{  
    fourth ();  
    return; }  
  
void forth()  
{  
    return; }
```



fourth:  
 jr \$ra

**third**:  
 push \$ra  
 jal fourth  
 pop \$ra  
 jr \$ra

**second**:  
 push \$ra  
 jal third  
 pop \$ra  
 jr \$ra

first:  
 jal second

li \$v0, 10  
syscal

# Lecture 1 review: Types of Intermediate Representations

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## Three major categories

### » Structural

- Graphically oriented
- Heavily used in source-to-source translators
- Tend to be large

Example:  
Abstract Syntax Tree  
(AST)

### » Linear

- Pseudo-code for an abstract machine
- Level of abstraction varies
- Simple, compact data structures
- Easier to rearrange

Examples:  
3 address code  
Stack machine code

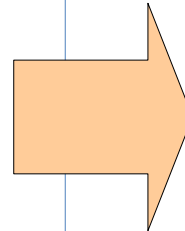
### » Hybrid

- Combination of graphs and linear code

Example:  
Control-flow graph (CFG)

# Generating 3-address Code: example

```
if (c == 0) {  
    while (c < 20) {  
        c = c + 2;  
    }  
}  
else  
    c = n * n + 2;
```



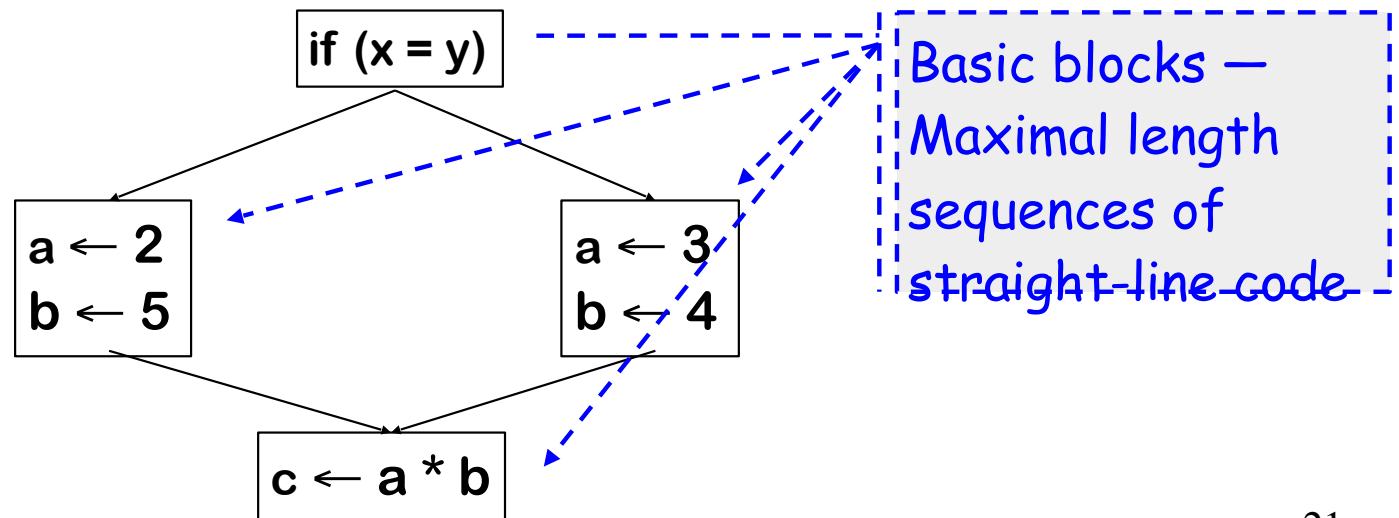
```
1.t1 = c == 0  
2.br t1, lab1  
3.t2 = n * n  
4.c = t2 + 2  
5.goto end  
6.lab1:  
7.t3 = c >= 20  
8.br t3, end  
9.c = c + 2  
10.goto lab1  
11.end:
```

# Control-flow Graph

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Models the transfer of control in the procedure

- » Nodes in the graph are basic blocks
  - Can be represented with quads or any other linear representation
- » Edges in the graph represent control flow
- » Potential for exceptions can reduce basic block size in some languages, e.g., NullPointerException in Java
- » Example



# Dead code elimination

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## DEAD

- Conceptually similar to mark-sweep garbage collection
  - Mark useful operations
  - Everything not marked is useless
- Need an efficient way to find and to mark useful operations
  - Start with critical operations
  - Work back up data flow edges to find their antecedents

## Define critical

- I/O statements, linkage code (entry & exit blocks), return values, calls to other procedures
- Global variables that can be visible on program exit

# Dead code elimination

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## Mark

1. for each op i
2. clear i's mark
3. if i is critical then
4. mark i
5. add i to WorkList
6. while (Worklist  $\neq \emptyset$ )
7. remove i from WorkList
8. (i has form "x $\leftarrow$ y op z" )
9. for each instruction j that
10. writes to y or z
11. if j is not marked then
12. mark j
13. add j to WorkList

## Sweep

- for each op i  
if i is not marked then  
delete i

## NOTES:

- 1) Not all instructions that write to y or z need to be marked. We can only focus on "reaching definitions" (next lecture).
- 2) Branch instructions need special handling in general. A simple approach is to mark all branch instructions as critical. See textbook for more sophisticated approaches.

Consider the following source code program written in a high level programming language. Assume that multiplication is left-associative and has higher precedence than addition.

```
int w, x, y;  
x = 1;  
y = 2;  
w = x * y * x + 7 * x  
print y
```

**Problem 1. Convert the program to three-address code, introducing temporary variables as necessary.**

One possible solution:

$$\begin{aligned}x &\leftarrow 1 \\y &\leftarrow 2 \\t_1 &\leftarrow x * y \\t_2 &\leftarrow t_1 * x \\t_3 &\leftarrow 7 * x \\w &\leftarrow t_2 + t_3 \\&print\ y\end{aligned}$$

Most students submitted correct IR for the source code, with the following variations, all of which are acceptable:

- Different students had different (legal) orderings of IR statements, e.g., some students generated IR for the left operand ( $x*y*x$ ) first, whereas some generated IR for the right operand ( $7*x$ ) first.
- Some students reused temporary variables to reduce the number of temporaries, whereas others created a new temporary in each instruction.

One common mistake among the (few) incorrect solutions was that some students calculated multiplication in a non-left-associative manner.



# Worksheet 1, Problem 2

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**Problem 2.** Assuming that all print instructions are critical instructions, show the output IR after dead code elimination is performed.

Solution:

$$y \leftarrow 2$$
$$\textit{print } y$$

Almost all students answered this problem correctly. A few students were not sure what *critical instruction* meant in the context of dead code elimination. (Recall from the lecture that critical instructions are roots of computations that are necessary.)

## Lecture 2: Improved Dead-code elimination algorithm

### Mark

1. for each op i
2. clear i's mark
3. if i is critical then
4. mark i
5. add i to WorkList
6. while (Worklist  $\neq \emptyset$ )
7. remove i from WorkList
8. (i has form "x $\leftarrow$ y op z" )
9. for each instruction j that
10. writes to y (or z), **and is not**
11. **followed by a subsequent**
12. **write of y (or z) before i**
13. if j is not marked then
14. mark j
15. add j to WorkList

### Sweep

- for each op i  
if i is not marked then  
delete i

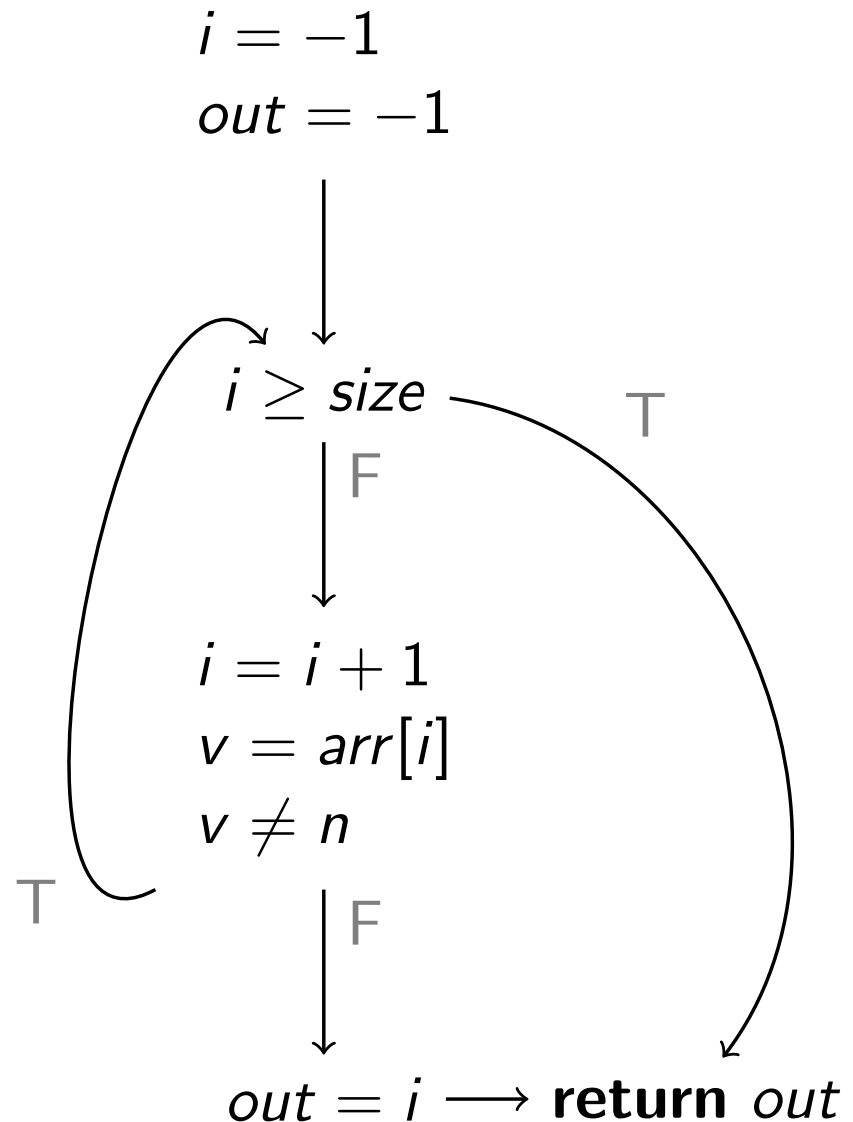
### NOTES:

- 1) This is simple to do if there is a "straight line" control from instruction j to i, with no intervening branch instructions
- 2) Identifying minimum set of instructions j that contribute to inputs of instruction i is more complicated in the presence of control flow ==> ***need to build control flow graph***

# Example of converting IR region to a CFG

```
1:  SEARCH'(arr, size, n)
2:    i = -1
3:    out = -1
4:    branch (i ≥ size) 11
5:    i = i + 1
6:    v = arr[i]
7:    branch (v ≠ n) 10
8:    out = i
9:    goto 11
10:   goto 4
11:   return out
```

{2, 4, 5, 8, 10, 11}



# Reaching Definitions

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- » Def = Write to a variable in an IR instruction
  - » An IR instruction typically has a single def, but there may be exceptions, e.g., a procedure call that updates multiple global variables
- » Use = Read of a variable in an IR instruction
  - » It is common for an IR instruction to have more than one use
- » A definition **d** reaches program point **u** if there is a control-flow path from **d** to **u** that **does not** contain an intervening definition of the same variable as **d**
  - » Implies that there may be some program execution in which the value of **d** may reach **u**; this is not a requirement for all program executions
  - » Definition applies to any program point **u**, but we will be especially interested in the case when **u** corresponds to a use of the variable written by **d**

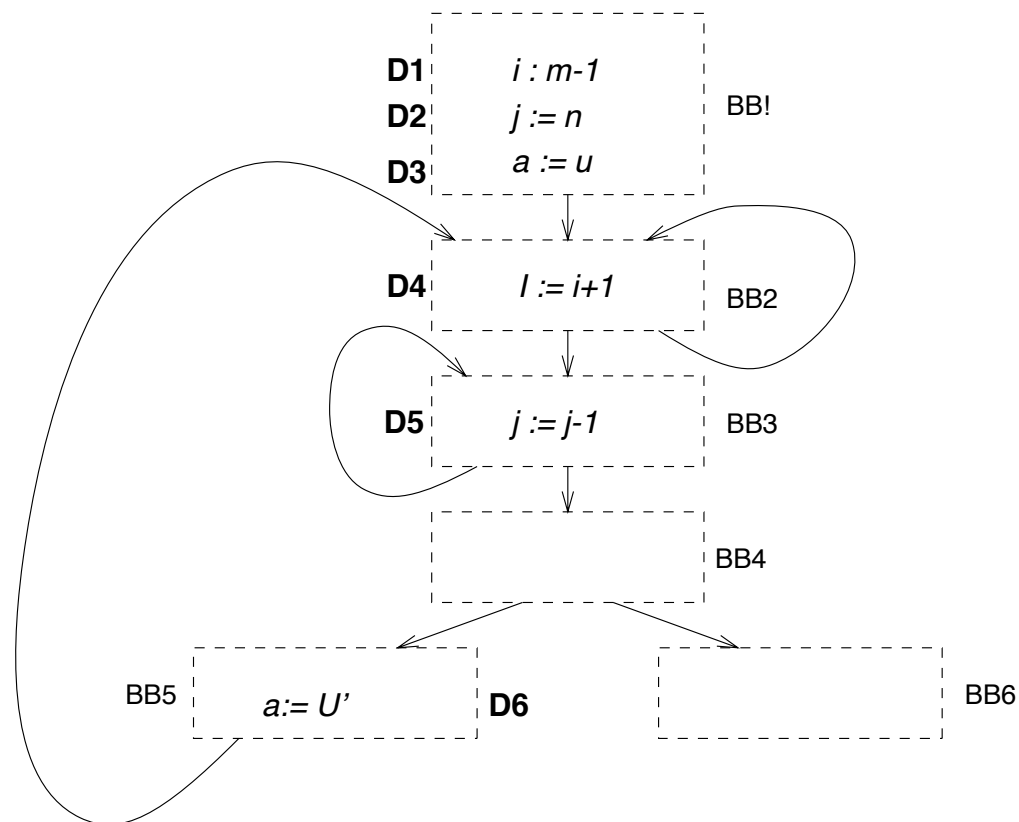
# Formalizing a Solution to the Reaching Definitions Problem

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- » Given a statement/instruction  $S$ , define
  - » Local sets that can be extracted from  $S$ 
    - »  $GEN[S]$  = set of definitions in  $S$  ("generated" by  $S$ )
    - »  $KILL[S]$  = set of definitions that may be overwritten by  $S$  (e.g., all definitions in program that write to  $S$ 's lval, whether or not they reach  $S$ )
  - » Global sets to be computed using CFG
    - »  $IN[S]$  = set of definitions that reach the entry point of  $S$
    - »  $OUT[S]$  = set of definitions in  $S$  as well as definitions from  $IN[S]$  that go beyond  $S$  (are not "killed" by  $S$ )
- » Data flow equations (invariants) for these sets
$$OUT[S] = GEN[S] \cup (IN[S] - KILL[S])$$
$$IN[S] = \bigcup_{p \in predecessors} OUT[p]$$

# Example

---



- **D1** reaches **D4** but *not* beyond; why?  
Think of the “kill” sets of **D4**
- **D4** reaches itself due to cyclic dependences in the control-flow
- **D1** reaches **D6** and so on

# Worksheet-2

# Solution

(From Lecture 2 given on 01/09/2019)

# Q1

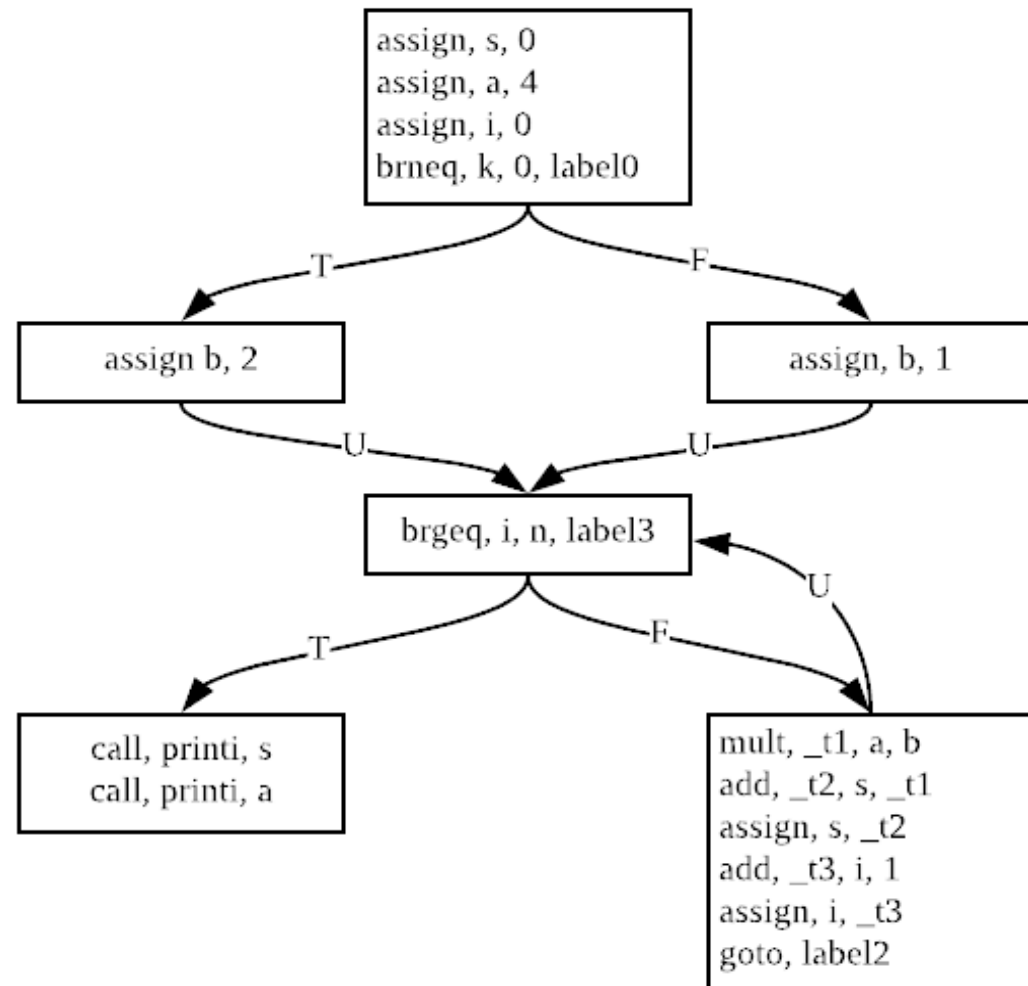
- Construct a Control Flow Graph for the IR (Intermediate Representation) segment shown below, and draw it on the right of the IR. Each vertex can be a single IR instruction, or a basic block containing of a straight-line sequence of multiple IR instructions.

```
1    assign s, 0
2    assign a, 4
3    assign i, 0
// branch if (arg1 != arg2)
4    brneq k, 0, label0
5    assign, b, 1
6    goto, label1
7    label0:
8    assign, b, 2
9    label1:
10   label2:
// branch if (arg1 >= arg2)
11   brgeq, i, n, label3
12   mult, _t1 a, b
13   add, _t2, s, _t1
14   assign s, _t2
15   add _t3, i, 1
16   assign i, _t3
17   goto, label2
18   label3:
19   call, printi, s
20   call, printi, a
```



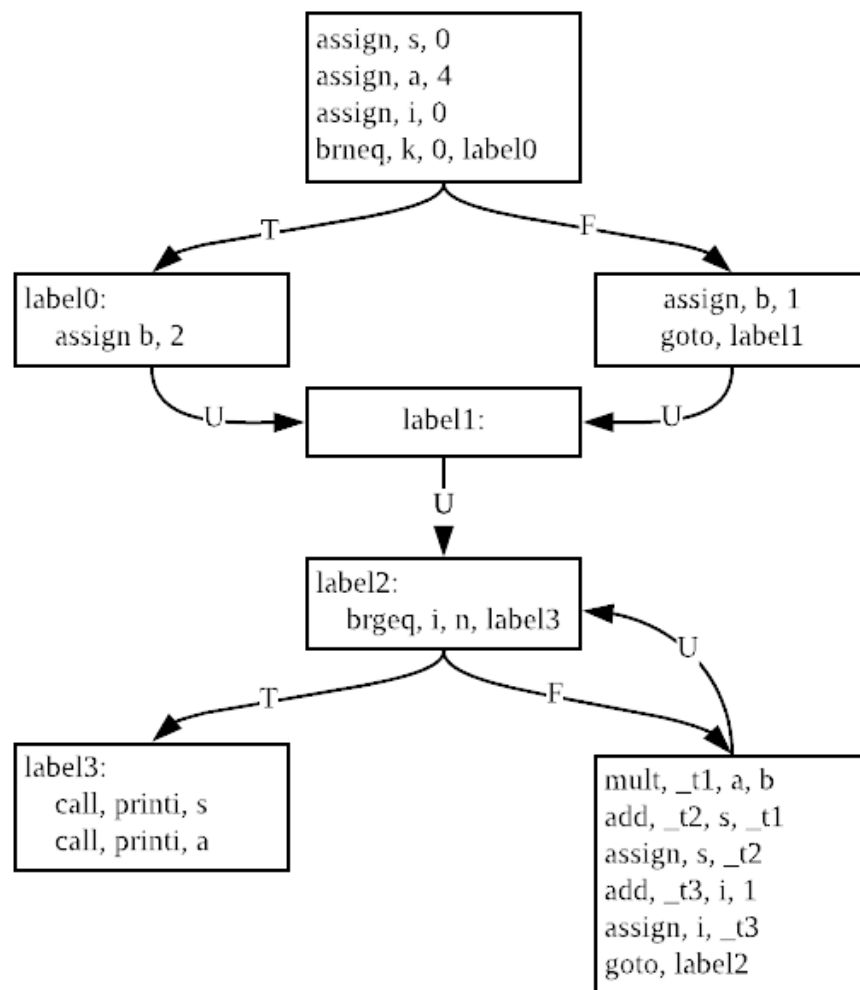
# Q1 Sample Solution1: labels are not instructions

```
1  assign s, 0
2  assign a, 4
3  assign i, 0
// branch if (arg1 != arg2)
4  brneq k, 0, label0
5  assign, b, 1
6  goto, label1
7  label0:
8  assign, b, 2
9  label1:
10 label2:
// branch if (arg1 >= arg2)
11 brgeq, i, n, label3
12 mult, _t1 a, b
13 add, _t2, s, _t1
14 assign s, _t2
15 add _t3, i, 1
16 assign i, _t3
17 goto, label2
18 label3:
19 call, printi, s
20 call, printi, a
```



# Q1 Sample Solution2: labels are no-op instructions

```
1  assign s, 0
2  assign a, 4
3  assign i, 0
// branch if (arg1 != arg2)
4  brneq k, 0, label0
5  assign b, 1
6  goto, label1
7  label0:
8  assign b, 2
9  label1:
10 label2:
// branch if (arg1 >= arg2)
11 brgeq i, n, label3
12 mult, _t1 a, b
13 add, _t2, s, _t1
14 assign s, _t2
15 add _t3, i, 1
16 assign i, _t3
17 goto, label2
18 label3:
19 call, printi, s
20 call, printi, a
```



## Q2, Q3

- Q2  
Which uses of ***a*** are reached by the def of ***a*** in line 2?
- Q3  
Which defs of ***b*** reach the use of ***b*** in line 12?

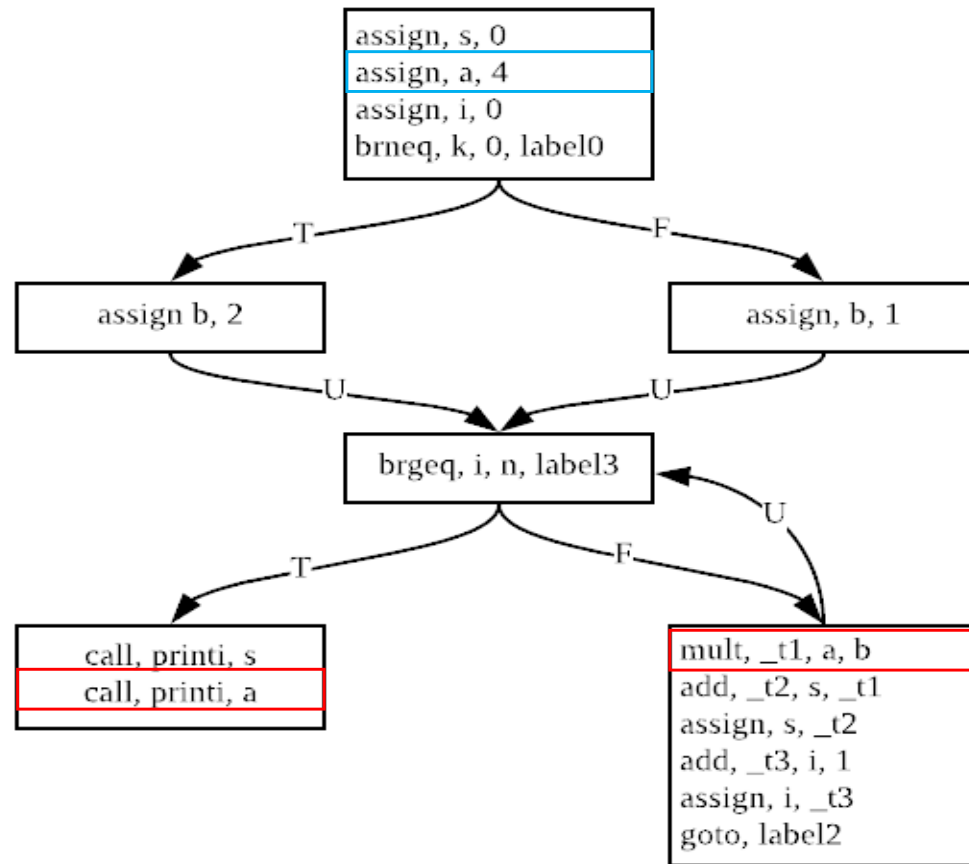
```
1  assign s, 0
2  assign a, 4
3  assign i, 0
// branch if (arg1 != arg2)
4  brneq k, 0, label0
5  assign, b, 1
6  goto, label1
7  label0:
8  assign, b, 2
9  label1:
10 label2:
// branch if (arg1 >= arg2)
11 brgeq, i, n, label3
12 mult, _t1 a, b
13 add, _t2, s, _t1
14 assign s, _t2
15 add _t3, i, 1
16 assign i, _t3
17 goto, label2
18 label3:
19 call, printi, s
20 call, printi, a
```

# Q2 Solution

```

1  assign s, 0
2  assign a, 4
3  assign i, 0
// branch if (arg1 != arg2)
4  brneq k, 0, label0
5  assign, b, 1
6  goto, label1
7  label0:
8  assign, b, 2
9  label1:
10 label2:
// branch if (arg1 >= arg2)
11 brgeq, i, n, label3
12 mult, _t1 a, b
13 add, _t2, s, _t1
14 assign s, _t2
15 add _t3, i, 1
16 assign i, _t3
17 goto, label2
18 label3:
19 call, printi, s
20 call, printi, a

```



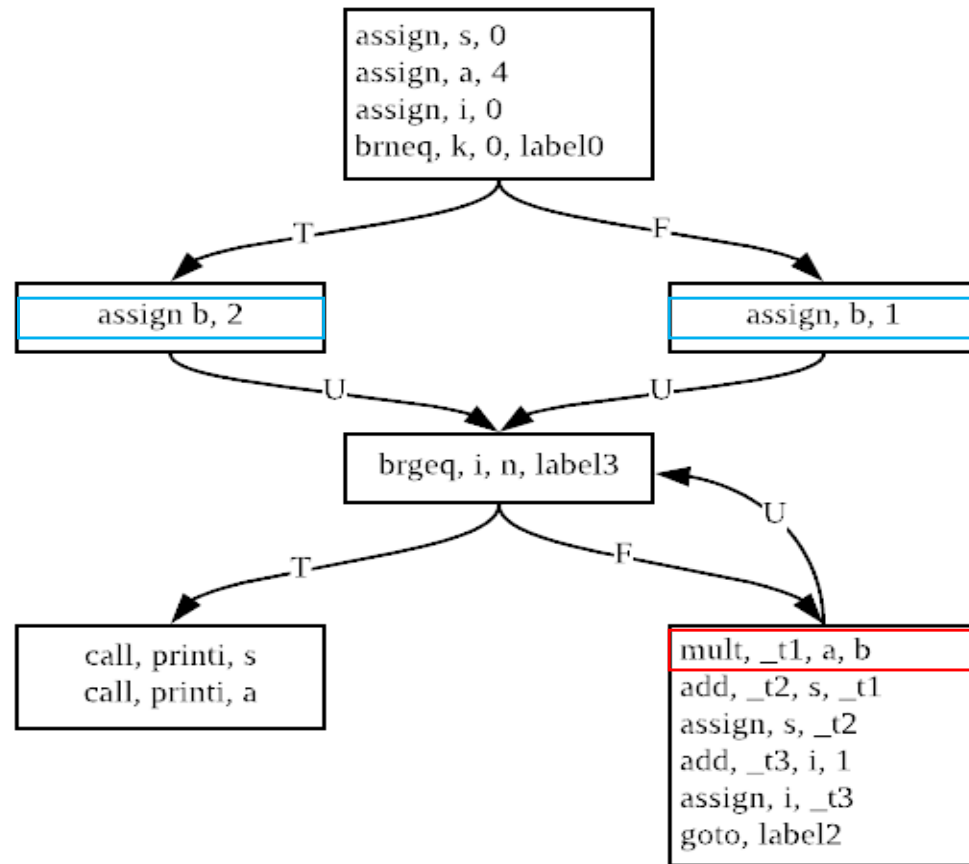
In line 2, variable 'a' is defined as 4. The definition of 'a' in line 2 reaches line 12 & 20 without any intervening 'def of a'.

# Q3 Solution

```

1  assign s, 0
2  assign a, 4
3  assign i, 0
// branch if (arg1 != arg2)
4  brneq k, 0, label0
5  assign, b, 1
6  goto, label1
7  label0:
8  assign, b, 2
9  label1:
10 label2:
// branch if (arg1 >= arg2)
11 brgeq, i, n, label3
12 mult, _t1 a, b
13 add, _t2, s, _t1
14 assign s, _t2
15 add _t3, i, 1
16 assign i, _t3
17 goto, label2
18 label3:
19 call, printi, s
20 call, printi, a

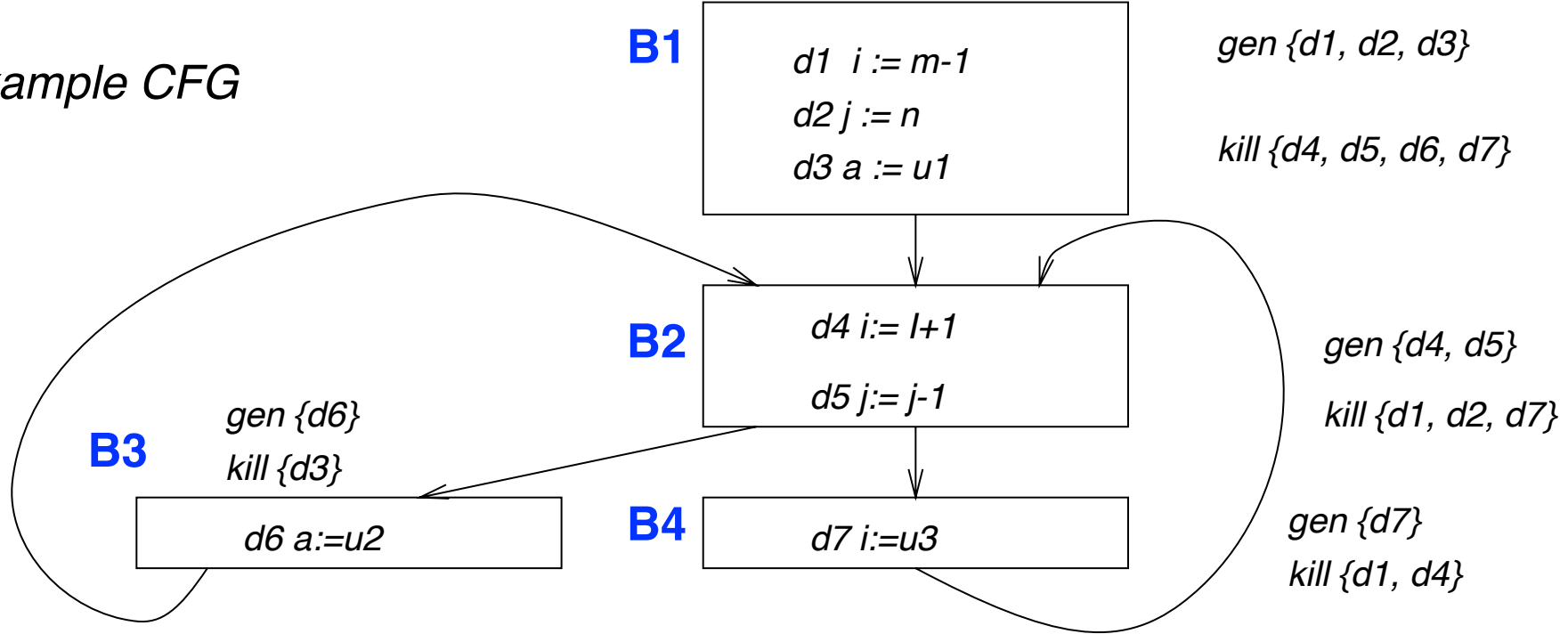
```



There is no intervening 'def of b' in the control flow between line 5 and line 12. Same for line 8 and line 12. The defs of b in lines **5 & 8** both reach the use of b in line 12.

# Lecture 3: Data Flow Equations are Recursive!

Example CFG



OUT[B1] = GEN[B1]  $\cup$  (IN[B1] - KILL[B1])  
 IN[B1] = { } // empty set

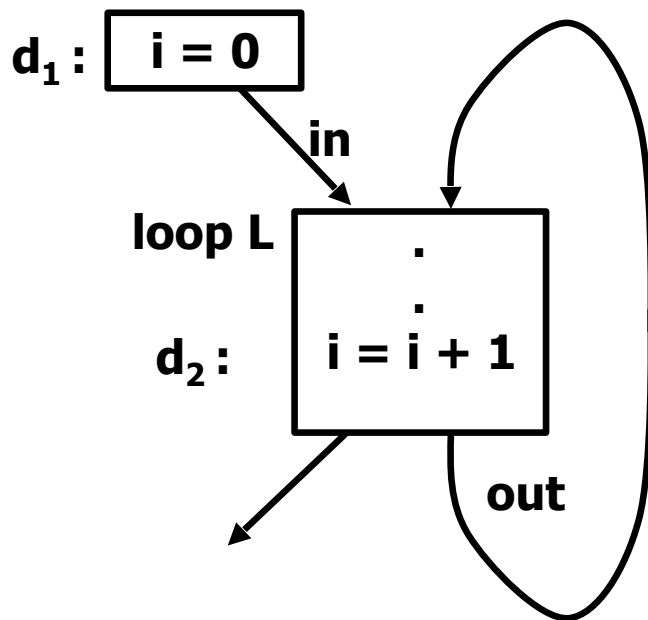
OUT[B2] = GEN[B2]  $\cup$  (IN[B2] - KILL[B2])  
 IN[B2] = OUT[B1]  $\cup$  OUT[B3]  $\cup$  OUT[B4]

OUT[B3] = GEN[B3]  $\cup$  (IN[B3] - KILL[B3])  
 IN[B3] = OUT[B2]

OUT[B4] = GEN[B4]  $\cup$  (IN[B4] - KILL[B4])  
 IN[B4] = OUT[B2]

# Reaching Definitions as an example of Data Flow Analysis

Data Flow Analysis = finding solution to recursive data flow equations



## Question:

What is the set of reaching definitions at the exit of the loop L?

$\text{in}[L] = \{d_1\} \cup \text{out}[L]$   
 $\text{gen}[L] = \{d_2\}$   
 $\text{kill}[L] = \{d_1\}$   
 $\text{out}[L] = \text{gen}[L] \cup \{\text{in}[L] - \text{kill}[L]\}$

$\text{in}[L]$  depends on  $\text{out}[L]$ , and  $\text{out}[L]$  depends on  $\text{in}[L]$ !!

# Solution?

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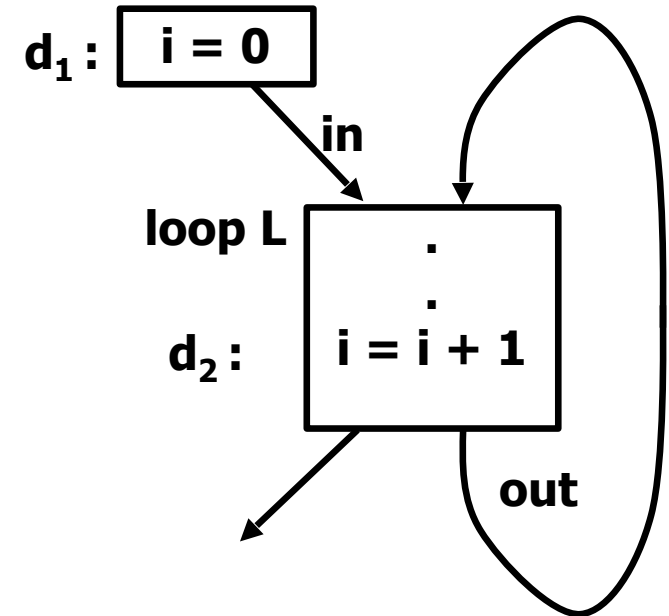
## Initialization

$$\text{out}[L] = \emptyset$$

## First iteration

$$\begin{aligned}\text{in}[L] &= \{d_1\} \cup \text{out}[L] \\ &= \{d_1\}\end{aligned}$$

$$\begin{aligned}\text{out}[L] &= \text{gen}[L] \cup (\text{in}[L] - \text{kill}[L]) \\ &= \{d_2\} \cup (\{d_1\} - \{d_1\}) \\ &= \{d_2\}\end{aligned}$$



$$\begin{aligned}\text{in}[L] &= \{d_1\} \cup \text{out}[L] \\ \text{gen}[L] &= \{d_2\} \\ \text{kill}[L] &= \{d_1\} \\ \text{out}[L] &= \text{gen}[L] \cup \{\text{in}[L] - \text{kill}[L]\}\end{aligned}$$



# Solution

---

## First iteration

$\text{out}[L] = \{d_2\}$

## Second iteration

$\text{in}[L] = \{d_1\} \cup \text{out}[L]$

$= \{d_1, d_2\}$

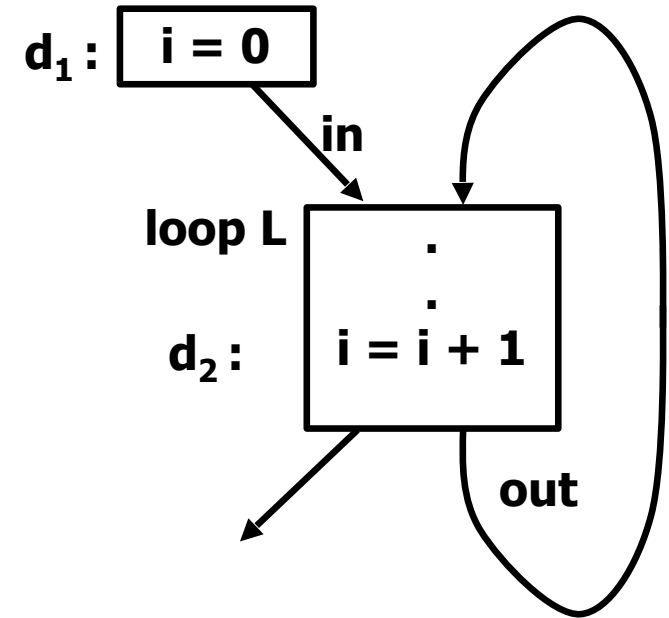
$\text{out}[L] = \text{gen}[L] \cup (\text{in}[L] - \text{kill}[L])$

$= \{d_2\} \cup \{\{d_1, d_2\} - \{d_1\}\}$

$= \{d_2\} \cup \{d_2\}$

$= \{d_2\}$

**We reached the fixed point!**



$\text{in}[L] = \{d_1\} \cup \text{out}[L]$

$\text{gen}[L] = \{d_2\}$

$\text{kill}[L] = \{d_1\}$

$\text{out}[L] = \text{gen}[L] \cup \{\text{in}[L] - \text{kill}[L]\}$

# Algorithm Summary: Inputs and Outputs

- *Input:* A flow graph for which  $kill[B]$  and  $gen[B]$  have been computed for each basic block  $B$
- *Output:*  $in[B]$  and  $out[B]$  for each block  $B$
- The Idea: Use an iterative approach where the “initial”  $in$  and  $out$  information is *propagated* across edges and along the paths of the graph *until* none of the  $outs$  change

All computation is at the granularity of basic-blocks

# Algorithm Summary: Overall Steps

## Reaching Definitions:

- // Initialize *out* under the assumption that  $in = \emptyset$  by setting  $out[B] := gen[B]$  for all the blocks //
- *change* := **true**  
// This initiates the iteration and if there is a change after the iteration in *any* of the *out* sets, then it remains true//
- While *change* remains **true** compute
  - $in[B] = \cup_{p \in P} out[p]$  where  $P$  is the set of all predecessors of block  $B$
- *tempout* :=  $out[B]$
- $out[B] := gen[B] \cup (in[B] - kill[B])$
- if  $out[B] \neq tempout$  *change* := **true**

# Lecture 4: Using Reaching Definitions to improve Dead-code Elimination algorithm

## Mark

1. for each op i
2. clear i's mark
3. if i is critical then
4. // for simplicity, assume all
5. // branch instructions are critical
6. mark i
7. add i to WorkList
8. while (Worklist  $\neq \emptyset$ )
9. remove i from WorkList
10. (i has form " $x \leftarrow \text{op } y$ " or
11. " $x \leftarrow y \text{ op } z$ ")
12. for each instruction j that
13. contains a def of y or z that
14. reaches i
15. if j is not marked then
16. mark j
17. add j to WorkList

## Sweep

- for each op i  
if i is not marked then  
delete i

## NOTES:

- A def reaches instruction i
  - 1) if it is in the IN set for the basic block B(i) containing i, and
  - 2) the def is not killed locally within B(i) before instruction i
- Condition 2) above can be omitted if reaching definitions analysis is performed on an instruction-level CFG
- Additional smarts are needed to also avoid marking branch instructions as critical

## Redundancy Elimination as an Example

---

An expression  $x+y$  is **redundant** if and only if, along every path from the procedure's entry, it has been evaluated, and its constituent subexpressions ( $x$  &  $y$ ) have not been re-defined.

If the compiler can prove that an expression is redundant

- It can preserve the results of earlier evaluations
- It can replace the current evaluation with a reference

Two pieces to the problem

- Proving that  $x+y$  is redundant, or available
- Rewriting the code to eliminate the redundant evaluation

One technique for accomplishing both is called value numbering

# Local Value Numbering

---

## The Algorithm

For each operation  $o = \langle \text{operator}, o_1, o_2 \rangle$  in a basic block, in order

- 1 Get value numbers for operands from hash lookup
- 2 Hash  $\langle \text{operator}, VN(o_1), VN(o_2) \rangle$  to get a value number for  $o$
- 3 If  $o$  already had a value number, replace  $o$  with a reference
- 4 If  $o_1$  &  $o_2$  are constant, evaluate it & replace with a loadI

If hashing behaves, the algorithm runs in linear time

Handling algebraic identities

- Case statement on operator type
- Handle special cases within each operator

# Local Value Numbering

An example (superscripts are value numbers, and are not part of the IR)

## Original Code

$a \leftarrow x + y$   
\*  $b \leftarrow x + y$   
 $a \leftarrow 17$   
\*  $c \leftarrow x + y$

## With VNs

$a^3 \leftarrow x^1 + y^2$   
\*  $b^3 \leftarrow x^1 + y^2$   
 $a^4 \leftarrow 17$   
\*  $c^3 \leftarrow x^1 + y^2$

## Rewritten

$a^3 \leftarrow x^1 + y^2$   
\*  $b^3 \leftarrow a^3$   
 $a^4 \leftarrow 17$   
\*  $c^3 \leftarrow a^3$  (oops!)

## Two redundancies

- Eliminate stmts with a \*
- Coalesce results ?

## Corrected

$t \leftarrow x^1 + y^2$   
 $a \leftarrow t$   
\*  $b^3 \leftarrow a^3$   
 $a^4 \leftarrow 17$   
\*  $c^3 \leftarrow t$

## Options

- Use  $c^3 \leftarrow b^3$
- Save  $a^3$  in  $t^3$
- Rename around it (next slide)
- Introduce a temporary (corrected code on left)
- ...

# Local Value Numbering

## The LVN Algorithm, with bells & whistles

for  $i \leftarrow 0$  to  $n-1$

1. get the value numbers  $V_1$  and  $V_2$  for  $L_i$  and  $R_i$

2. if  $L_i$  and  $R_i$  are both constant then

    evaluate  $L_i \text{ Op}_i R_i$ , assign it to  $T_i$ , and mark  $T_i$  as a constant

3. if  $L_i \text{ Op}_i R_i$  matches an identity then

    replace it with a copy operation or an assignment

4. if  $\text{Op}_i$  commutes and  $V_1 > V_2$  then

    swap  $V_1$  and  $V_2$

5. construct a hash key  $\langle V_1, \text{Op}_i, V_2 \rangle$

6. if the hash key is already present in the table then

    replace operation  $I$  with a copy into  $T_i$  and mark  $T_i$  with the VN

    else

        insert a new VN into table for hash key & mark  $T_i$  with the VN

Block is a sequence of  $n$  operations of the form

$$T_i \leftarrow L_i \text{ Op}_i R_i$$

Constant folding

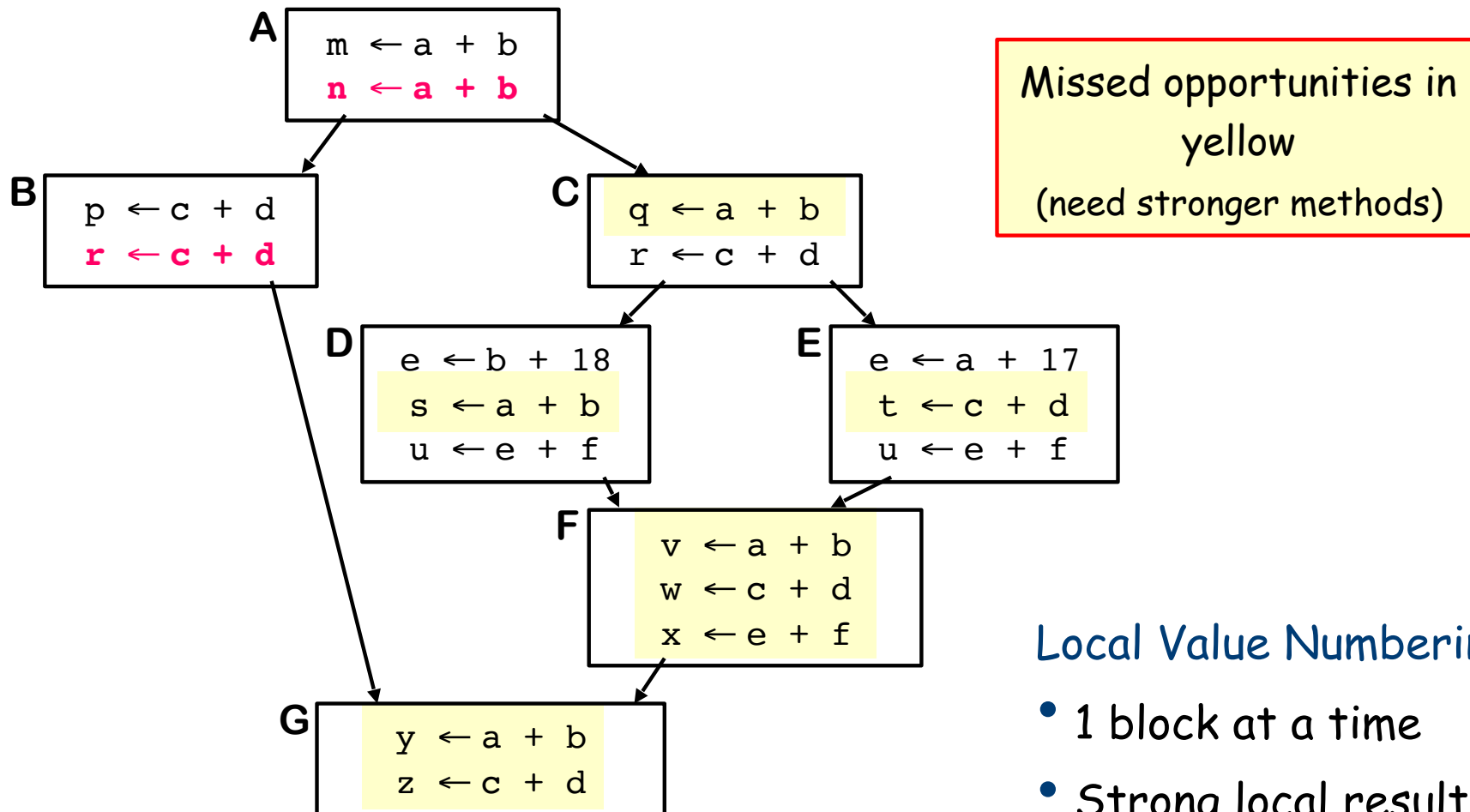
Algebraic identities

Commutativity



# Limitations of Local Value Numbering

LVN finds redundant ops in red



## Local Value Numbering

- 1 block at a time
- Strong local results
- No cross-block effects<sub>49</sub>

# Scope of Optimization

---

## Local optimization

A basic block is a sequence of straight-line code.

- Operates entirely within a single basic block
- Properties of block lead to strong optimizations

## Regional optimization

- Operate on a region in the CFG that contains multiple blocks
- Loops, trees, paths, extended basic blocks

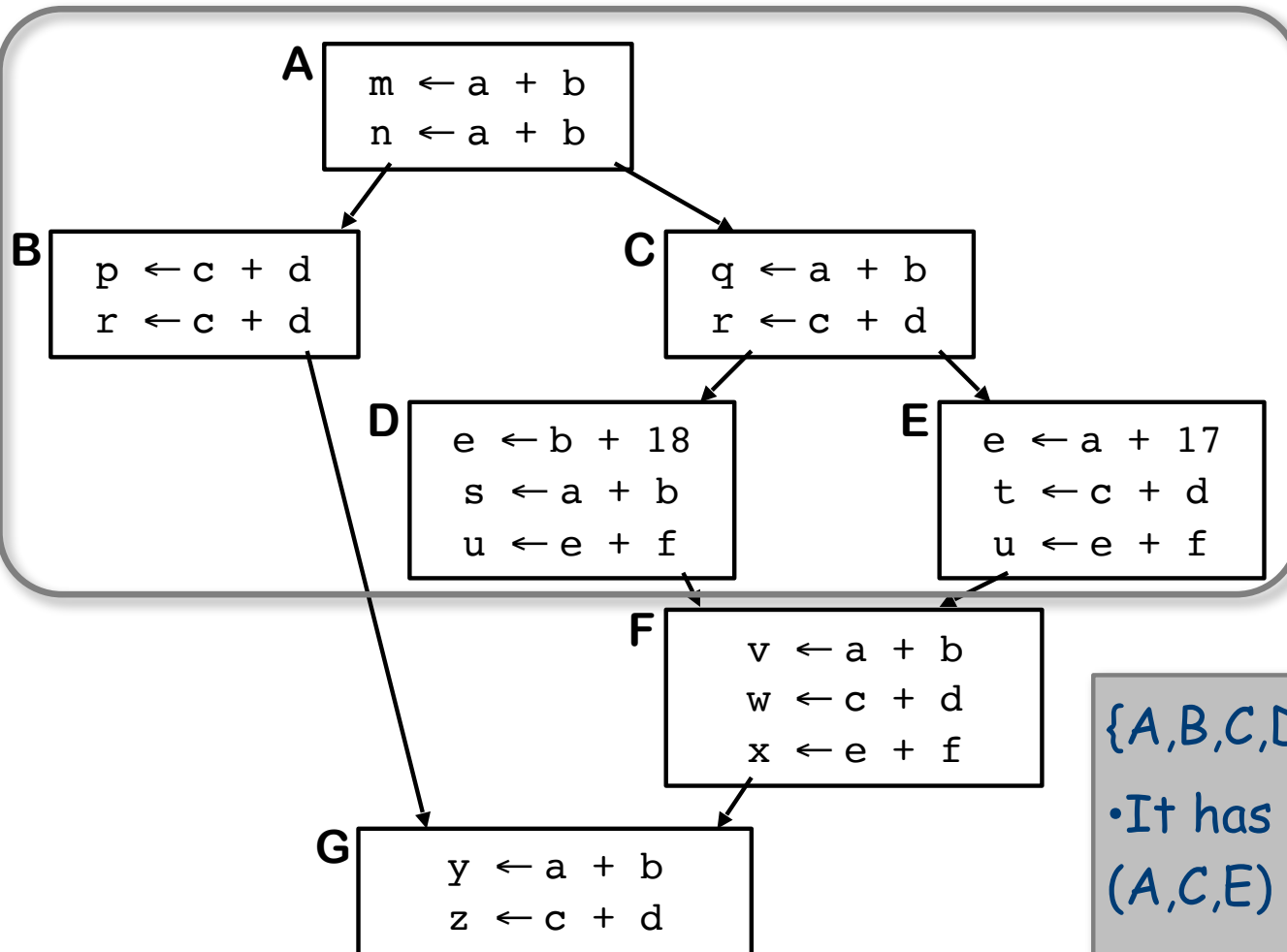
## Whole procedure optimization (intraprocedural)

- Operate on entire CFG for a procedure
- Presence of cyclic paths forces analysis then transformation

## Whole program optimization (interprocedural)

- Operate on some or all of the call graph (multiple procedures)
- Must contend with call/return & parameter binding

# Superlocal Value Numbering (SVN)



**EBB:** A maximal set of blocks  $B_1, B_2, \dots, B_n$  where each  $B_i$ , except  $B_1$ , has only exactly one predecessor and that block is in the EBB.

$\{A, B, C, D, E\}$  is an EBB

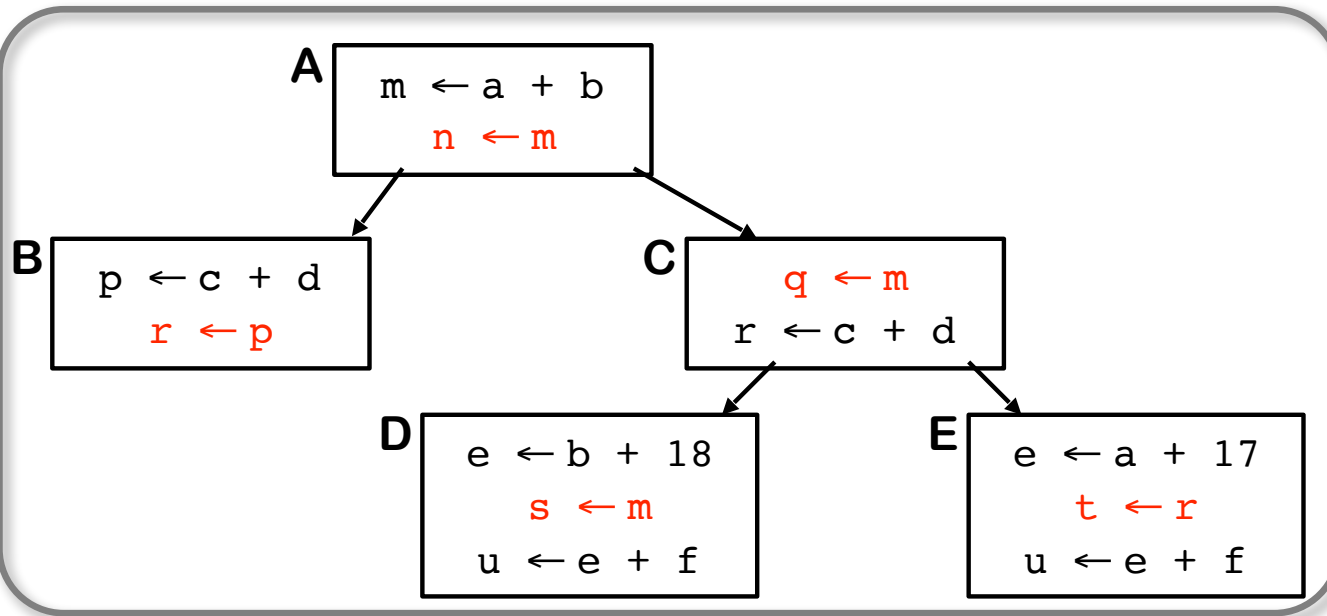
- It has 3 paths:  $(A, B)$ ,  $(A, C, D)$ , &  $(A, C, E)$

- Can sometimes treat each path as if it were a block

$\{F\}$  &  $\{G\}$  are degenerate EBBs

Superlocal: "applied to an EBB"

# After Superlocal Value Numbering (SVN)



**EBB:** A maximal set of blocks  $B_1, B_2, \dots, B_n$  where each  $B_i$ , except  $B_1$ , has only exactly one predecessor and that block is in the EBB.

- Capture expression in temporaries to avoid bugs if variable  $m$  is rewritten

$\{A, B, C, D, E\}$  is an EBB

- It has 3 paths:  $(A, B)$ ,  $(A, C, D)$ , &  $(A, C, E)$

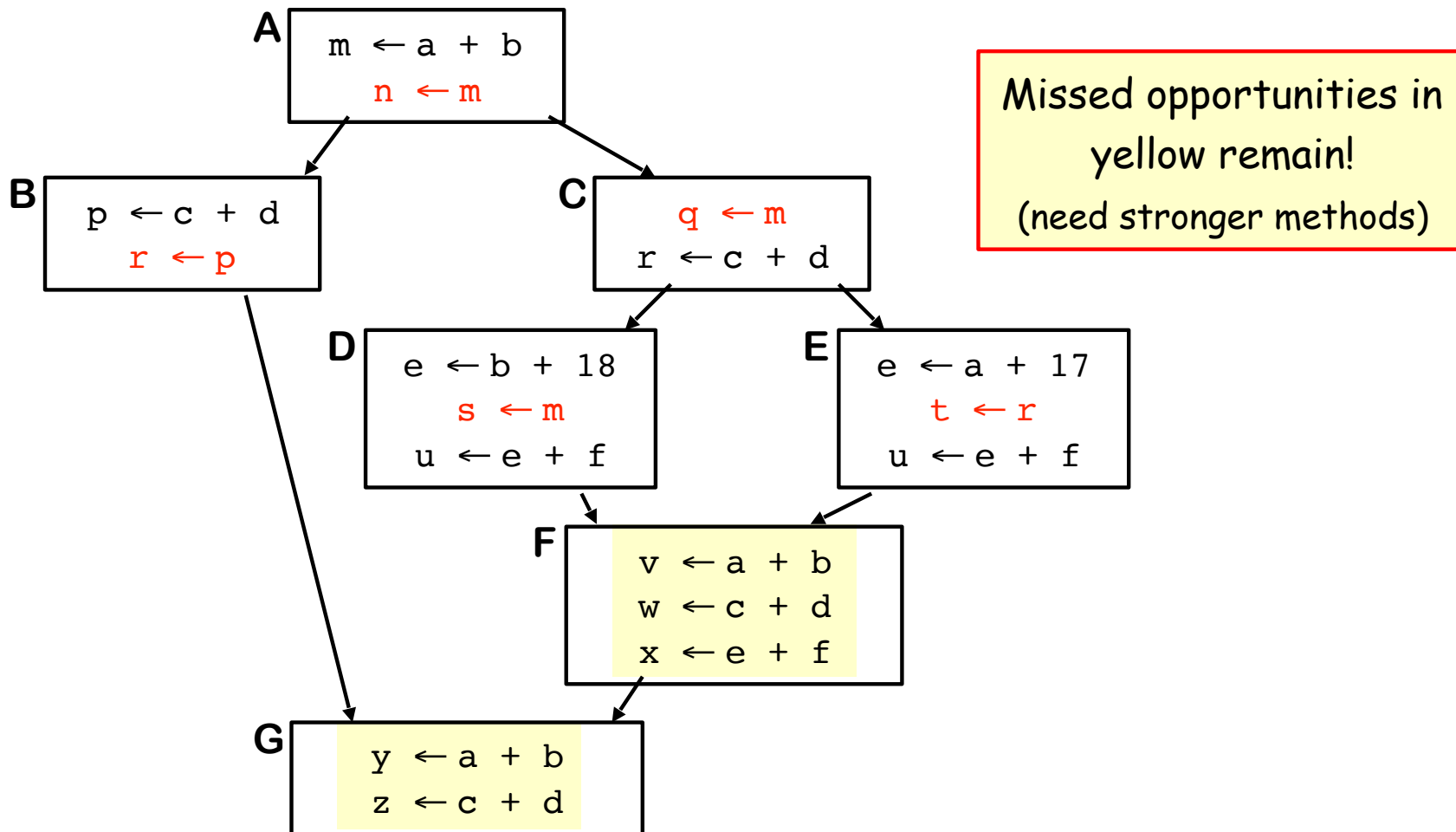
- Can sometimes treat each path as if it were a block

$\{F\}$  &  $\{G\}$  are degenerate EBBs

Superlocal: "applied to an EBB"

# Limitations of Superlocal Value Numbering

SVN finds redundant ops in red



# Dominators

---

## Definitions

$x$  dominates  $y$  if and only if every acyclic path from the entry of the control-flow graph to the node for  $y$  includes  $x$

- » By definition,  $x$  dominates  $x$
- » We associate a Dom set with each node
- »  $|\text{Dom}(x)| \geq 1$

## Immediate dominators

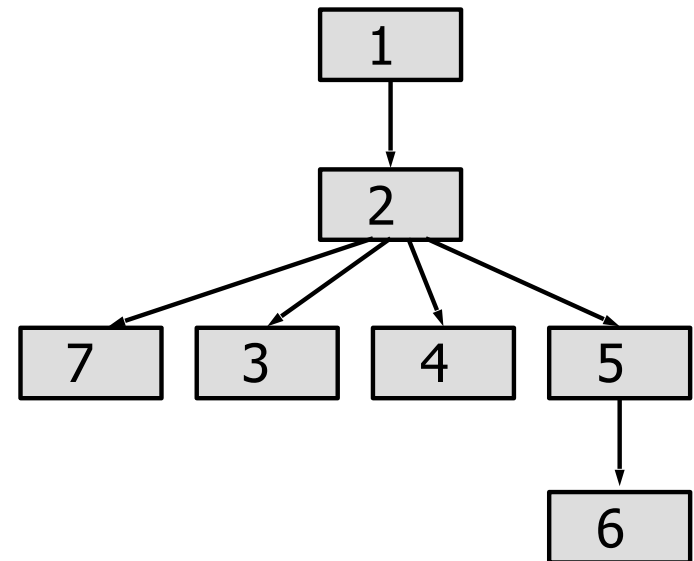
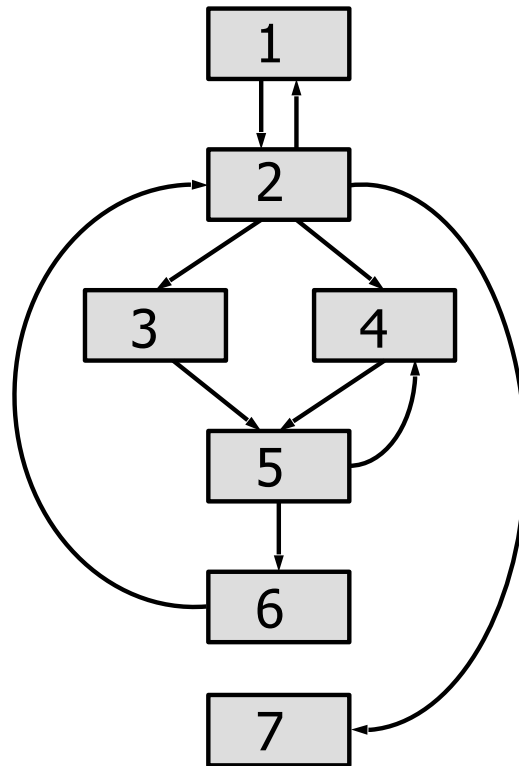
- » For any node  $x$ , there must be a  $y$  in  $\text{Dom}(x)$  closest to  $x$
- » We call this  $y$  the immediate dominator of  $x$
- » As a matter of notation, we write this as  $\text{IDom}(x)$
- » Dominator Tree defined with root = entry, and  $\text{IDom}$  has parent map

# Dominator Tree

---

- Build a **dominator tree** as follows:
  - Root is CFG entry node  $n_0$
  - $m$  is child of node  $n$  iff  $n = \text{idom}(m)$

- Example:



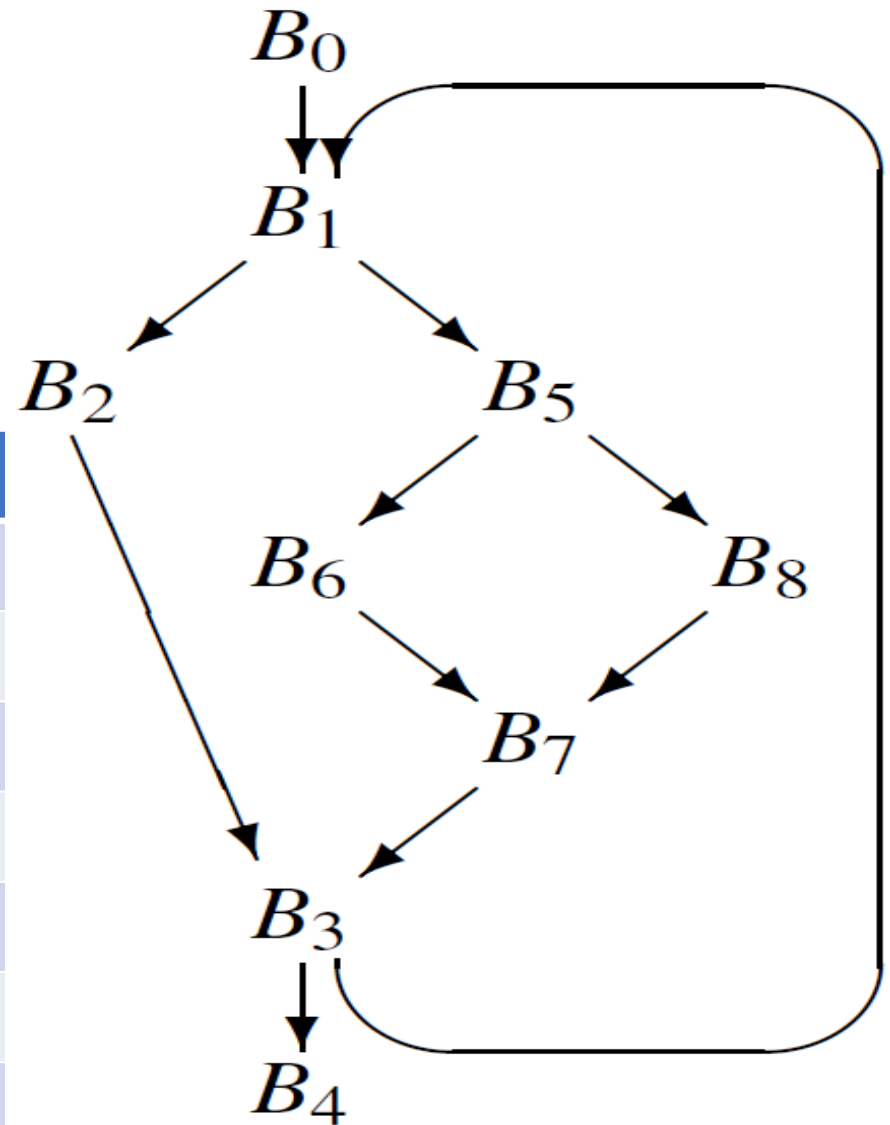
# Worksheet-4 Solution

(From Lecture 4 given on 01/16/2019)



- Q1  
Compute **dominator sets**  
for each node in the CFG.

Dom(n)	Set of basic blocks that dominate B <sub>n</sub>
Dom(B <sub>0</sub> )	{B <sub>0</sub> }
Dom(B <sub>1</sub> )	{B <sub>0</sub> , B <sub>1</sub> }
Dom(B <sub>2</sub> )	{B <sub>0</sub> , B <sub>1</sub> , B <sub>2</sub> }
Dom(B <sub>3</sub> )	{B <sub>0</sub> , B <sub>1</sub> , B <sub>3</sub> }
Dom(B <sub>4</sub> )	{B <sub>0</sub> , B <sub>1</sub> , B <sub>3</sub> , B <sub>4</sub> }
Dom(B <sub>5</sub> )	{B <sub>0</sub> , B <sub>1</sub> , B <sub>5</sub> }
Dom(B <sub>6</sub> )	{B <sub>0</sub> , B <sub>1</sub> , B <sub>5</sub> , B <sub>6</sub> }
Dom(B <sub>7</sub> )	{B <sub>0</sub> , B <sub>1</sub> , B <sub>5</sub> , B <sub>7</sub> }
Dom(B <sub>8</sub> )	{B <sub>0</sub> , B <sub>1</sub> , B <sub>5</sub> , B <sub>8</sub> }

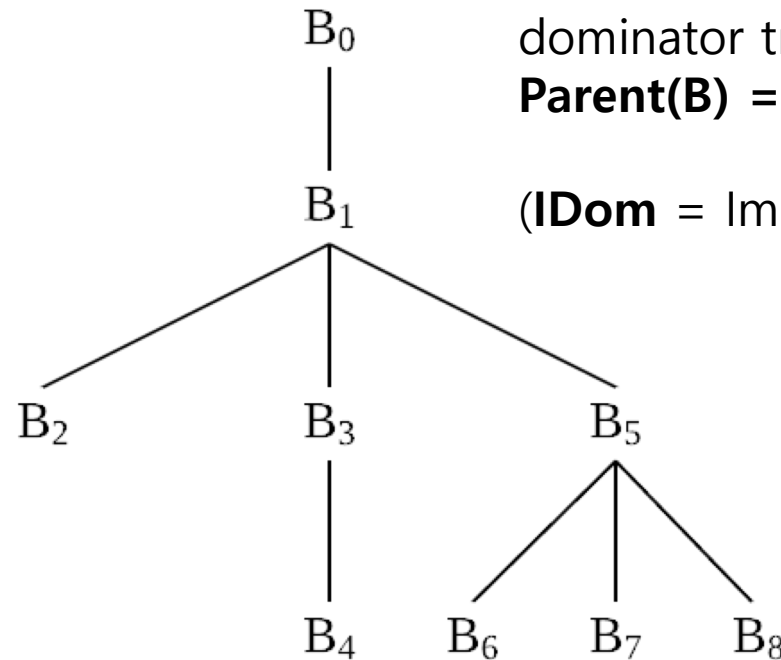


# Q2: Draw the dominator tree of the CFG

## Observations:

- The immediate dominator  $\text{idom}(n)$  of a node  $n$  is the unique last strict (different from  $n$ ) dominator on any path from ENTRY to  $n$
- The dominator tree is an efficient encoding of dominator sets; the dominator set of node  $n$  can be obtained by enumerating all nodes from  $n$  to the root of the tree.

Dom(n)	Set of basic blocks that dominate $B_n$
Dom( $B_0$ )	{ $B_0$ }
Dom( $B_1$ )	{ $B_0, B_1$ }
Dom( $B_2$ )	{ $B_0, B_1, B_2$ }
Dom( $B_3$ )	{ $B_0, B_1, B_3$ }
Dom( $B_4$ )	{ $B_0, B_1, B_3, B_4$ }
Dom( $B_5$ )	{ $B_0, B_1, B_5$ }
Dom( $B_6$ )	{ $B_0, B_1, B_5, B_6$ }
Dom( $B_7$ )	{ $B_0, B_1, B_5, B_7$ }
Dom( $B_8$ )	{ $B_0, B_1, B_5, B_8$ }



For each non-entry node  $B$  in the dominator tree,  
**Parent( $B$ ) = IDom( $B$ )**

(**IDom** = Immediate Dominator)

# Lecture 5: Motivation for Dominators (Recap)

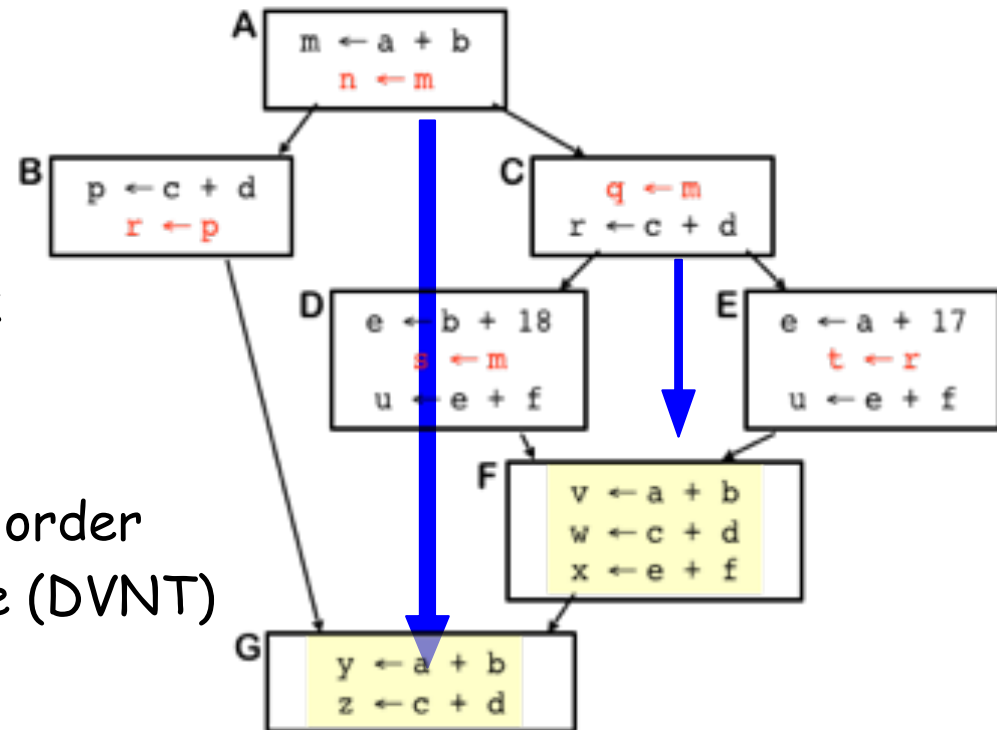
We have not helped with F or G

» Multiple predecessors

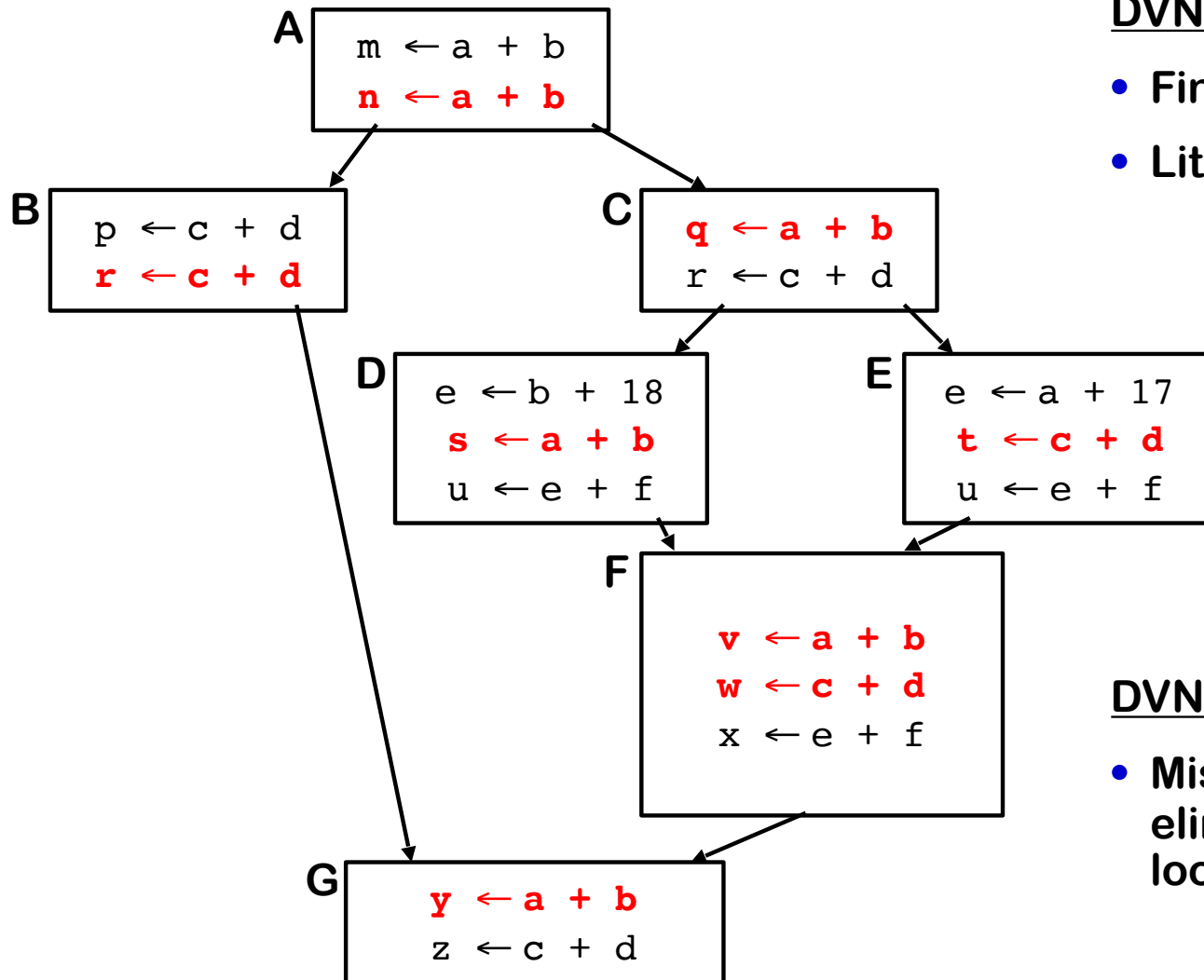
» Must decide what facts hold in F and in G

- For G, combine B & F?
- Merging state is expensive
- Fall back on what's known

- Can use value numbers from block  $\text{IDom}(x)$  when processing  $x$ , e.g.,
  - Use C for F and A for G
- Imposes a Dom-based application order
- Leads to Dominator VN Technique (DVNT)



# Dominator Value Numbering: Example



## DVNT advantages

- Find more redundancy
- Little additional cost

## DVNT shortcomings

- Misses redundancy elimination opportunities in loops

## Redundant Expression: General Definition

An expression is redundant at point  $p$  if, on every path to  $p$

1. It is evaluated before reaching  $p$ , and
2. None of its constituent values is redefined before  $p$

Example

$a \leftarrow b + c$   
 $a \leftarrow b + c$

$a \leftarrow b + c$

$a \leftarrow b + c$

$a \leftarrow b + c$

$b \leftarrow b + 1$   
 $a \leftarrow b + c$

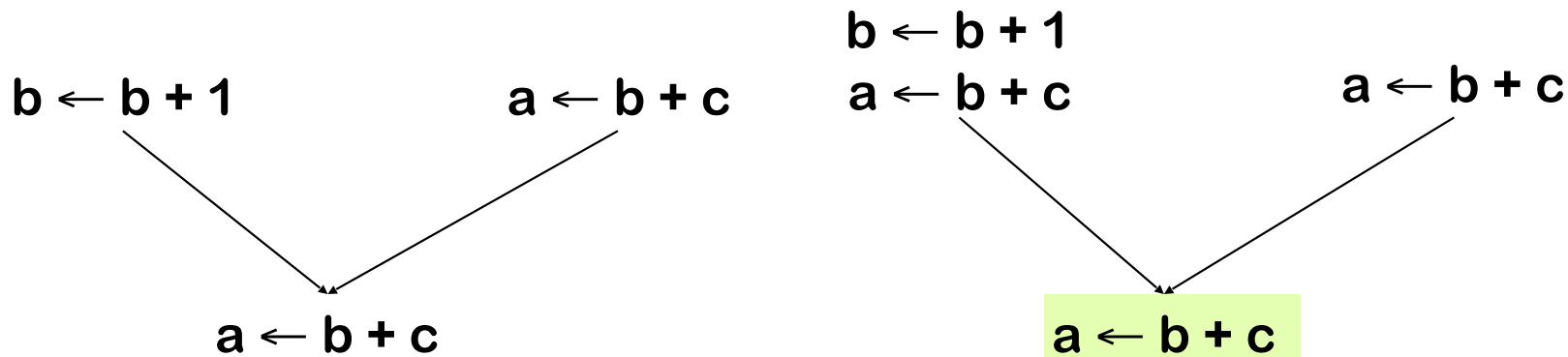
Some occurrences  
of  $b+c$  are  
redundant

# Partially Redundant Expression

---

An expression is partially redundant at  $p$  if it is redundant along some, but not all, paths reaching  $p$

Example



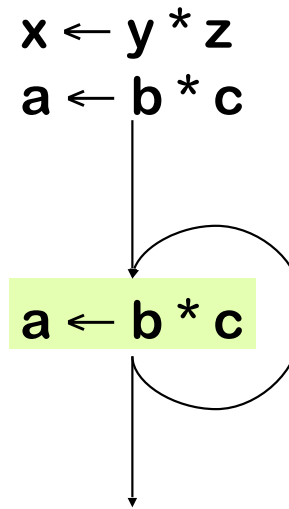
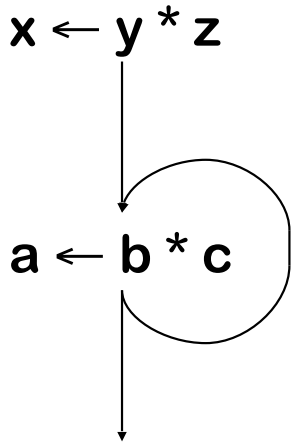
Two steps:

- 1) Insert a copy of “ $a \leftarrow b + c$ ” after the definition of  $b$
- 2) Delete the highlighted computation of “ $a \leftarrow b + c$ ” since it is now redundant

# Loop Invariant Expression

---

Another example



Loop invariant expressions are partially redundant

- Partial redundancy elimination performs code motion
- Major part of the work is figuring out where to insert operations
- Question: what if we had a while loop, instead of a do-while loop as in the above example?

# Lazy Code Motion

---

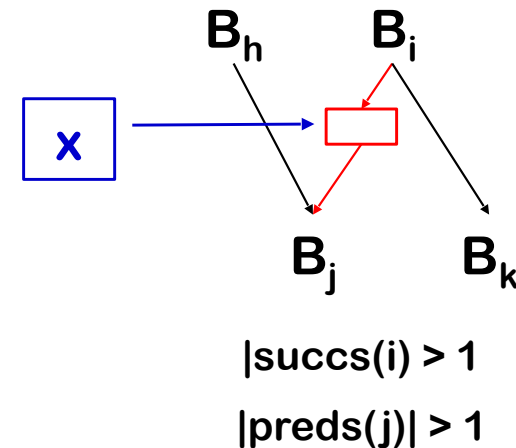
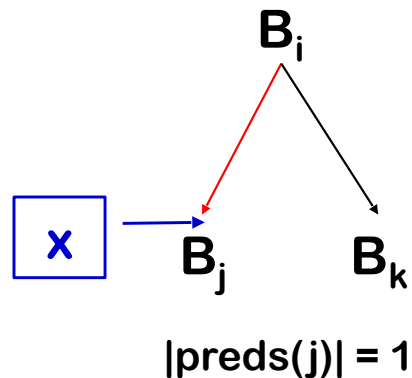
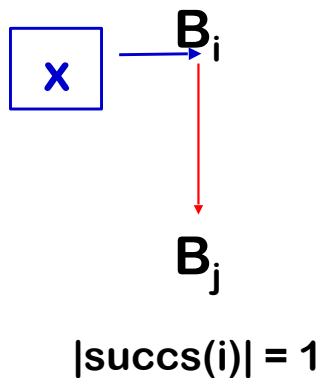
## The concept

- Compute INSERT & DELETE sets by solving data flow subproblems
  - Compute available expressions (AVAIL)
    - Can be extended with value numbering
  - Compute anticipable expressions (ANT)
  - AVAIL and ANT are advanced concepts that are not required for this class
- Linear pass to rewrite code using INSERT & DELETE sets
  - $x \in \text{INSERT}(i,j) \Rightarrow$  insert  $x$  at start of  $j$ , end of  $i$ , or new block
  - $x \in \text{DELETE}(k) \Rightarrow$  delete first evaluation of  $x$  in  $k$
- Lazy Code Motion (LCM) extends earlier work on Partial Redundancy Elimination (PRE)
- See textbook for details



# Lazy Code Motion: Placement Rules

- $x \in \text{INSERT}(i,j)$

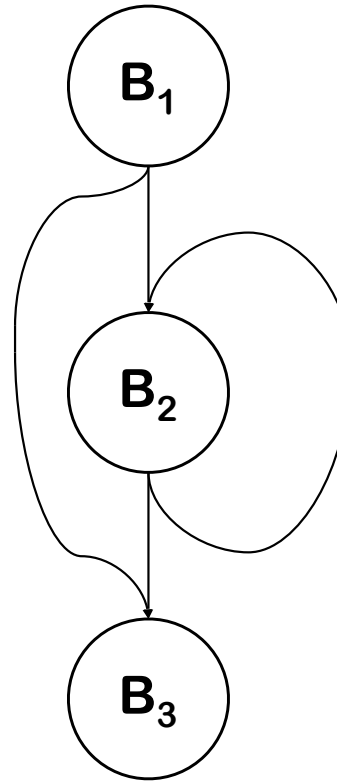


Three cases

- $|\text{succs}(i)| = 1 \Rightarrow$  insert at end of  $i$
- $|\text{succs}(i)| > 1$ , but  $|\text{preds}(j)| = 1 \Rightarrow$  insert at start of  $j$
- $|\text{succs}(i)| > 1$ , &  $|\text{preds}(j)| > 1 \Rightarrow$  create new block in  $\langle i,j \rangle$  for  $x$ 
  - Modify CFG by adding a new basic block in this case

# Lazy Code Motion: Example

$B_1$ :  $r_1 \leftarrow 1$   
       $r_2 \leftarrow r_0 + @m$   
      if  $r_1 < r_2 \rightarrow B_2, B_3$   
 $B_2$ : ...  
       $r_{20} \leftarrow r_{17} * r_{18}$   
      ...  
       $r_4 \leftarrow r_1 + 1$   
       $r_1 \leftarrow r_4$   
      if  $r_1 < r_2 \rightarrow B_2, B_3$   
 $B_3$ : ...



**Insert(1,2) = {  $r_{20} \leftarrow r_{17} * r_{18}$  }**

**Delete(2) = {  $r_{20} \leftarrow r_{17} * r_{18}$  }**

**==>**

**Move  $r_{20} \leftarrow r_{17} * r_{18}$  from start of  $B_2$  to  $B_1 \rightarrow B_2$  edge**

# Available Expression Analysis

---

An expression  $x+y$  is **available** if and only if, along every path from the procedure's entry, it has been evaluated, and its constituent subexpressions ( $x$  &  $y$ ) have not been re-defined.

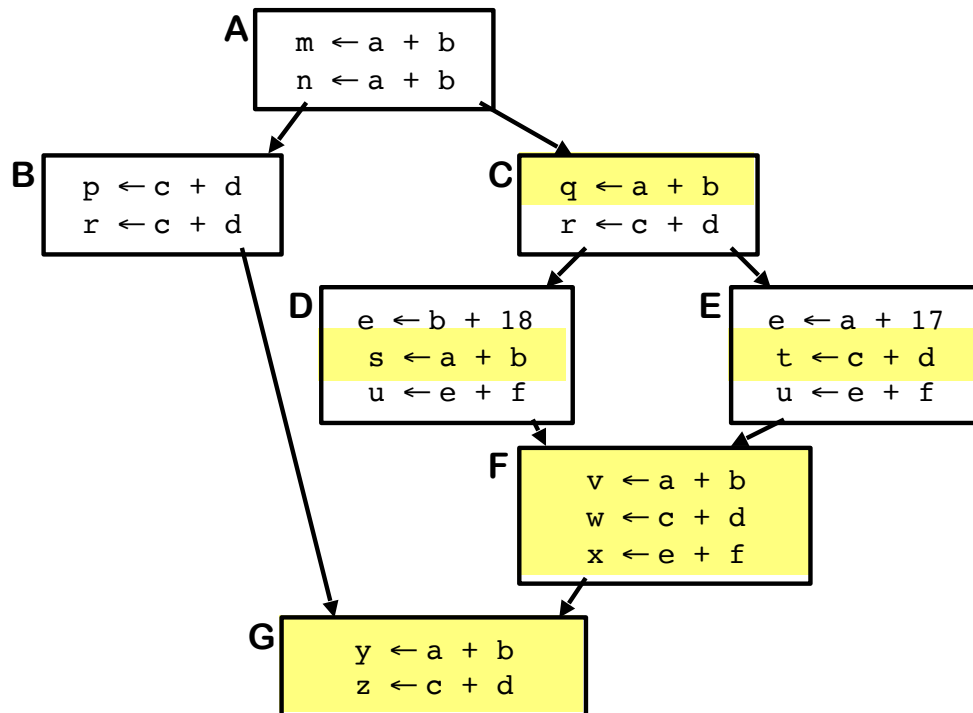
If the compiler can prove that an expression is **available**

- » It can preserve the results of earlier evaluations
- » It can replace the current evaluation with a reference

Two pieces to redundancy elimination

- » Proving that  $x+y$  is **available**
- » Rewriting the code to eliminate the redundant evaluation

# Example



**AVAIL(A) =  $\emptyset$**

**AVAIL(B) =  $\{a+b\}$**

**AVAIL(C) =  $\{a+b\}$**

**AVAIL(D) =  $\{a+b, c+d\}$**

**AVAIL(E) =  $\{a+b, c+d\}$**

**AVAIL(F) =  $\{a+b, c+d, e+f\}$**

**AVAIL(G) =  $\{a+b, c+d\}$**

# Formal Definition of Available Expressions

---

For each block  $b$ , let

- »  $Avail(b)$  be the set of expressions available on entry to  $b$
- »  $DEExpr(b)$  be the set of expressions computed in  $b$  and available on exit (Downward Exposed Expressions)
- »  $ExprKill(b)$  be these set of expressions that are killed in  $b$ 
  - » An expression is killed one of its inputs is assigned a value

Now,  $Avail(b)$  can be defined as:

$$Avail(b) = \bigcap_{x \in pred(b)} (DEExpr(x) \cup (Avail(x) - ExprKill(x)))$$

$preds(b)$  is the set of  $b$ 's predecessors in the control-flow graph

- This system of simultaneous equations forms a data-flow problem, and can be solved as past data-flow problems that we've seen (reaching definitions, dominators)

# Computing Available Expressions

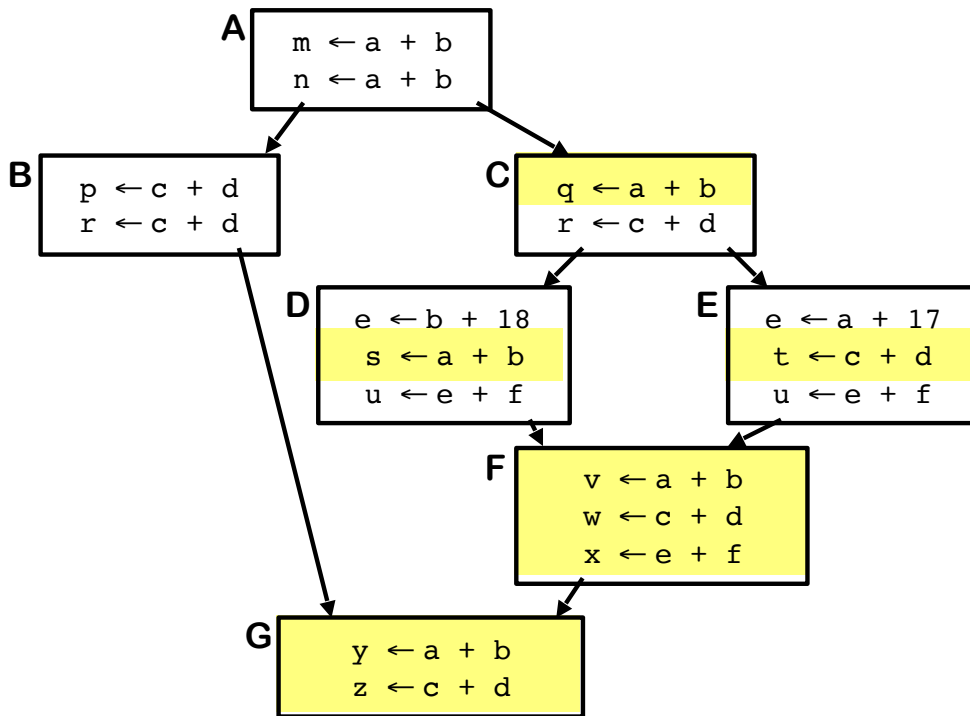
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## The Big Picture

1. Build a control-flow graph
2. Gather the initial (local) data —  $DEExpr(b)$  &  $ExprKill(b)$
3. Propagate information around the graph, evaluating the equation
4. Use output of Available Expression analysis as needed, e.g., for lazy code motion or redundancy elimination

## Computing Avail for the Example

	A	B	C	D	E	F	G
DEExpr	a+b	c+d	a+b, c+d	b+18, a+b, e+f	a+17, c+d, e+f	a+b, c+d, e+f	a+b, c+d
ExprKill	$\emptyset$	$\emptyset$	$\emptyset$	e+f	e+f	$\emptyset$	$\emptyset$



$$\text{AVAIL}(A) = \emptyset$$

$$\begin{aligned} \text{AVAIL}(B) &= \{a+b\} \cup (\emptyset \cap \text{all}) \\ &= \{a+b\} \end{aligned}$$

$$\text{AVAIL}(C) = \{a+b\}$$

$$\begin{aligned} \text{AVAIL}(D) &= \{a+b, c+d\} \cup (\{a+b\} \cap \text{all}) \\ &= \{a+b, c+d\} \end{aligned}$$

$$\text{AVAIL}(E) = \{a+b, c+d\}$$

$$\begin{aligned} \text{AVAIL}(F) &= [\{b+18, a+b, e+f\} \cup \\ &\quad (\{a+b, c+d\} \cap \{\text{all} - e+f\})] \\ &\quad \cap [\{a+17, c+d, e+f\} \cup \\ &\quad (\{a+b, c+d\} \cap \{\text{all} - e+f\})] \\ &= \{a+b, c+d, e+f\} \end{aligned}$$

$$\begin{aligned} \text{AVAIL}(G) &= [\{c+d\} \cup (\{a+b\} \cap \text{all})] \\ &\quad \cap [\{a+b, c+d, e+f\} \cup \\ &\quad (\{a+b, c+d, e+f\} \cap \text{all})] \\ &= \{a+b, c+d\} \end{aligned}$$

$$\text{Avail}(b) = \bigcap_{x \in \text{pred}(b)} (\text{DEExpr}(x) \cup (\text{Avail}(x) - \text{ExprKill}(x)))$$

Algorithm halts in one pass for this example, because graph is acyclic

# Worksheet–5 Solution

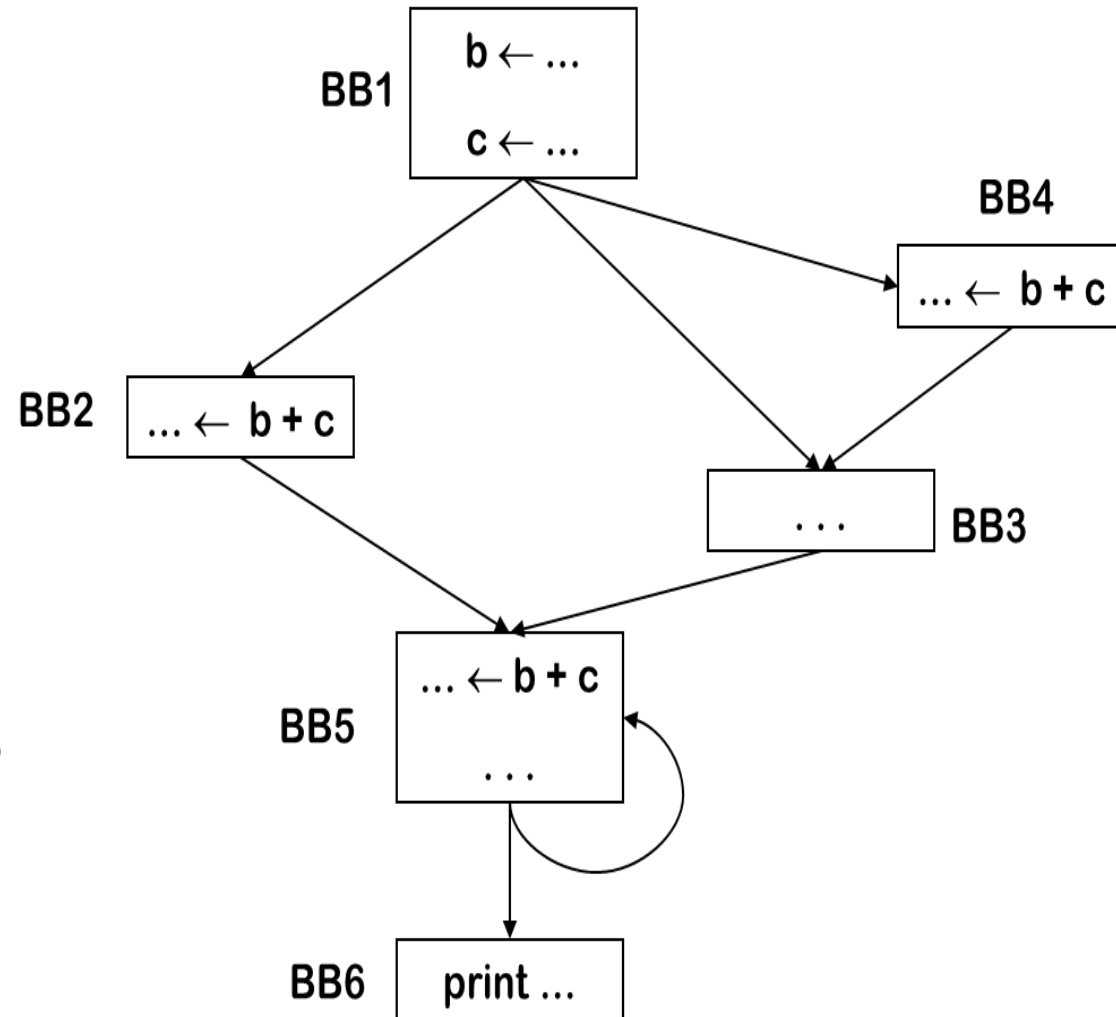
From lecture given on 01/23/2019



## Question1.

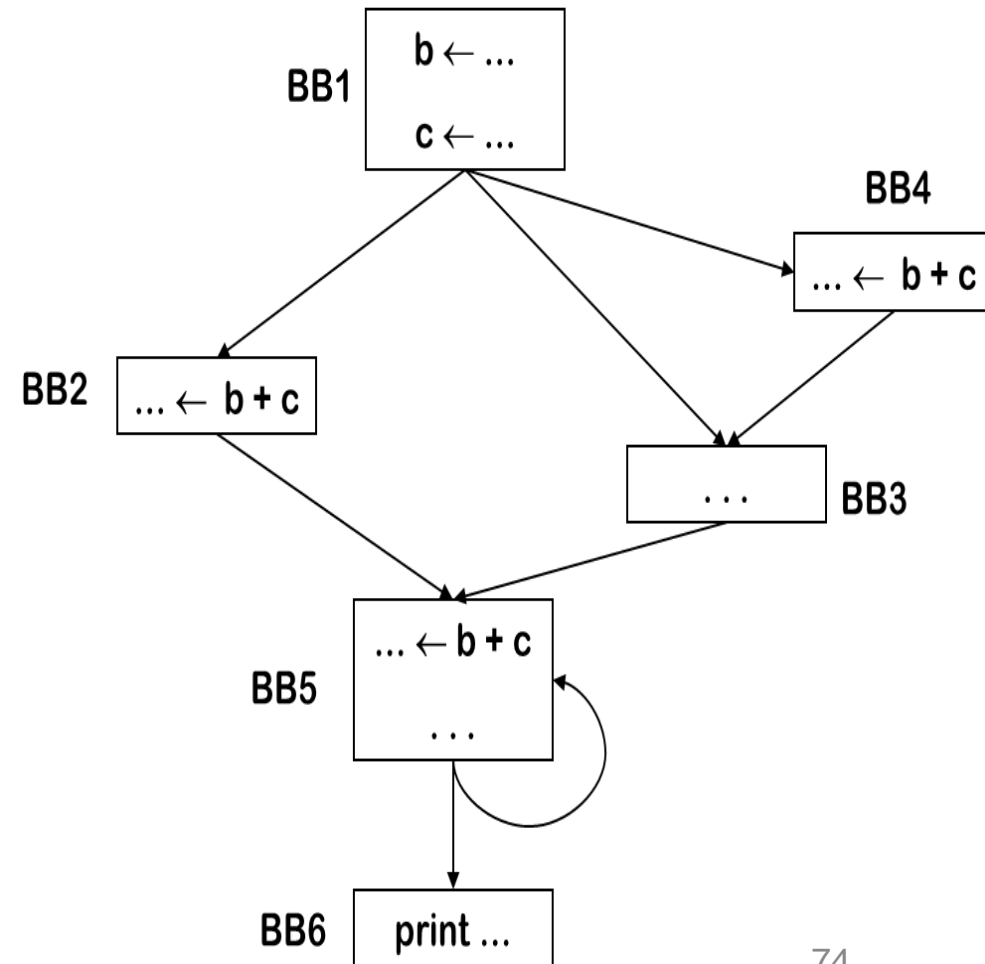
Consider the control flow graph shown below. Indicate where computations of  **$b+c$**  can be inserted and deleted to minimize the number of times it is computed.

Assume that there are no other defs of  $b$  and  $c$ , and do not worry about dead code elimination in this example.



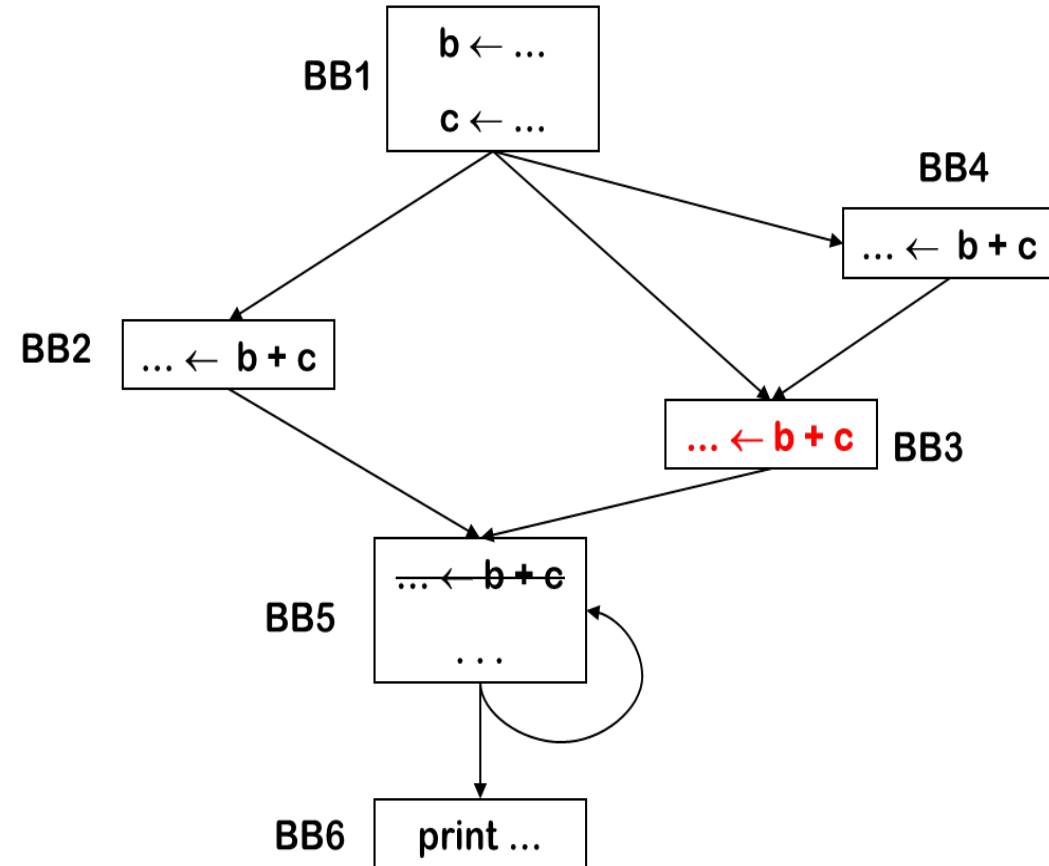
# Sample solution : 1<sup>st</sup> step

- Computation of  **$b+c$**  in BB5 is partially redundant.
- We can remove the redundancy by moving the computation of  **$b+c$**  from BB5 to a location before BB5.



# Sample solution : 2<sup>nd</sup> step

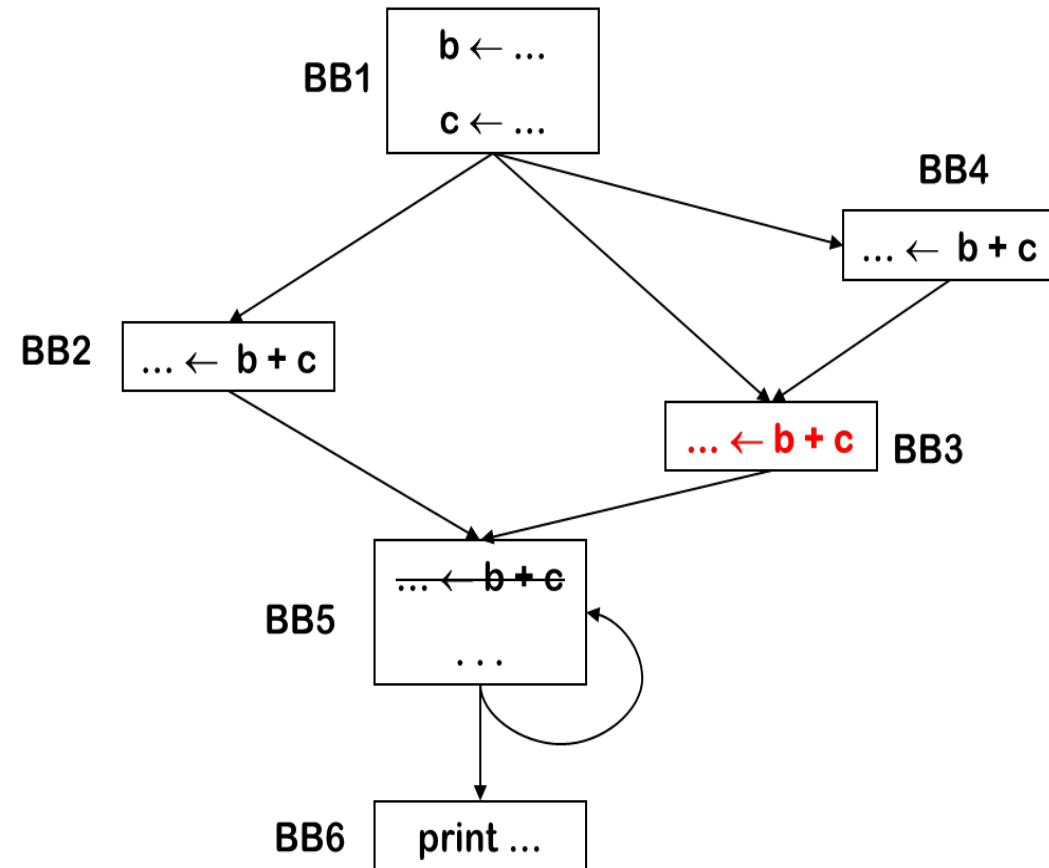
- By moving the computation of  **$b+c$**  to the end of BB3, redundancy of computing  **$b+c$**  along the path  **$[BB1 \rightarrow BB2 \rightarrow BB5 \rightarrow^* BB6]$**  is removed.
- Redundancy still remains along the path  **$[BB1 \rightarrow BB4 \rightarrow BB3 \rightarrow BB5 \rightarrow^* BB6]$** .



# Sample solution : 2<sup>nd</sup> step

- **$b+c$**  is computed on every path that leaves **BB1** and produces the same value at each of those computations.

(=  **$b+c$**  is an anticipable expression from the end of BB1 )



# Sample solution : 3<sup>rd</sup> step

- Since  **$b+c$**  is anticipable from the end of BB1, it is safe to append the computations of  **$b+c$**  to the end of BB1, and delete others.
- After the modification, there are no redundancies remaining in any control path.

