



CS 4240: Compilers

Lecture 20: Lexical Analysis (Scanning)

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April 1, 2019

ANNOUNCEMENTS & REMINDERS

- » **Project 2 due by 11:59pm on Wednesday, April 3rd**
 - » 15% of course grade
 - » Next lecture (April 3rd) will be an overview of the ANTLR scanning & parsing framework
- » **FINAL EXAM: Wednesday, May 1, 2:40 pm - 5:30 pm**
 - » 30% of course grade

Worksheet #18

Solution

(From Lecture #18 given on 03/25/2019)

Worksheet problem: : Find a spill-free register allocation for symbolic registers s_A, s_B, s_C in the program shown below, assuming that there are $k = 2$ physical registers available.

```
switch ( ... ) {  
    case 0:  
         $i_1$ :  $s_A := \dots$   
         $i_2$ :  $s_B := \dots$   
         $i_3$ :  $\dots := s_A \text{ op } s_B$   
        break;  
    case 1:  
         $i_4$ :  $s_B := \dots$   
         $i_5$ :  $s_C := \dots$   
         $i_6$ :  $\dots := s_B \text{ op } s_C$   
        break;  
    case 2:  
         $i_7$ :  $s_A := \dots$   
         $i_8$ :  $s_C := \dots$   
         $i_9$ :  $\dots := s_A \text{ op } s_C$   
        break;  
}
```

Worksheet problem: : Find a spill-free register allocation for symbolic registers s_A, s_B, s_C in the program shown below, assuming that there are $k = 2$ physical registers available.

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 $i_3$ :  $\dots := s_A \text{ op } s_B$ 
```

break;

s_A and s_B have
conflicting live
ranges.

case 1:

```
 $i_4$ :  $s_B := \dots$   
 $i_5$ :  $s_C := \dots$   
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```

break;

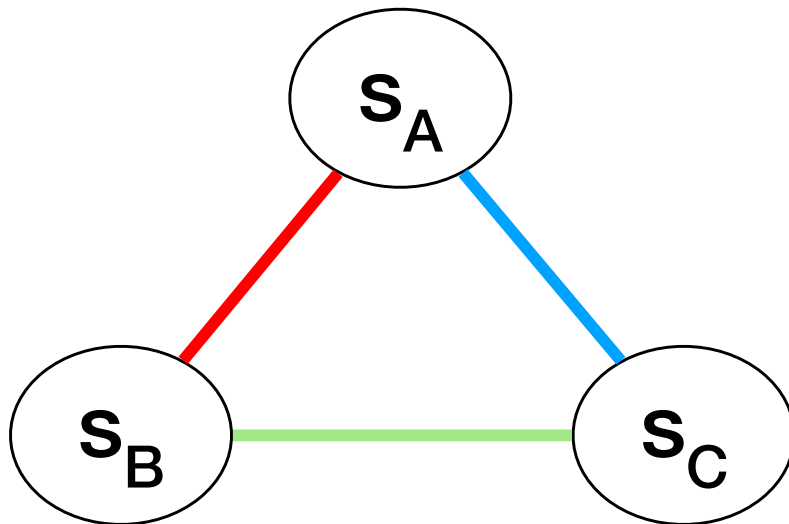
s_B and s_C have
conflicting live
ranges.

case 2:

```
 $i_7$ :  $s_A := \dots$   
 $i_8$ :  $s_C := \dots$   
 $i_9$ :  $\dots := s_A \text{ op } s_C$ 
```

break;

s_A and s_C have
conflicting live
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**Interference
graph
needs 3 colors**

Worksheet problem: : Find a spill-free register allocation for symbolic registers s_A, s_B, s_C in the program shown below, assuming that there are $k = 2$ physical registers available.

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switch ( ... ) {
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 $i_1$ :  $s_A := \dots$   
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```

break;

$s_A : R0$

$s_B : R1$

case 1:

```
 $i_4$ :  $s_B := \dots$   
 $i_5$ :  $s_C := \dots$   
 $i_6$ :  $\dots := s_B \text{ op } s_C$ 
```

break;

$s_B : R0$

$s_C : R1$

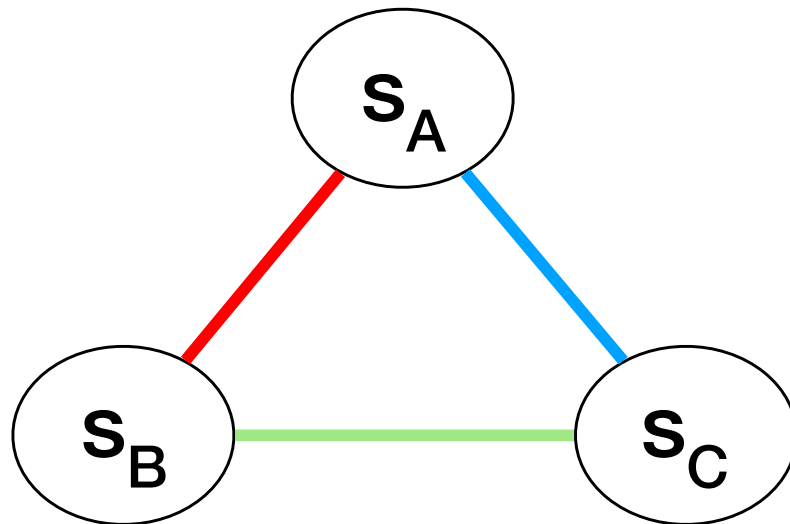
case 2:

```
 $i_7$ :  $s_A := \dots$   
 $i_8$ :  $s_C := \dots$   
 $i_9$ :  $\dots := s_A \text{ op } s_C$ 
```

break;

$s_A : R0$

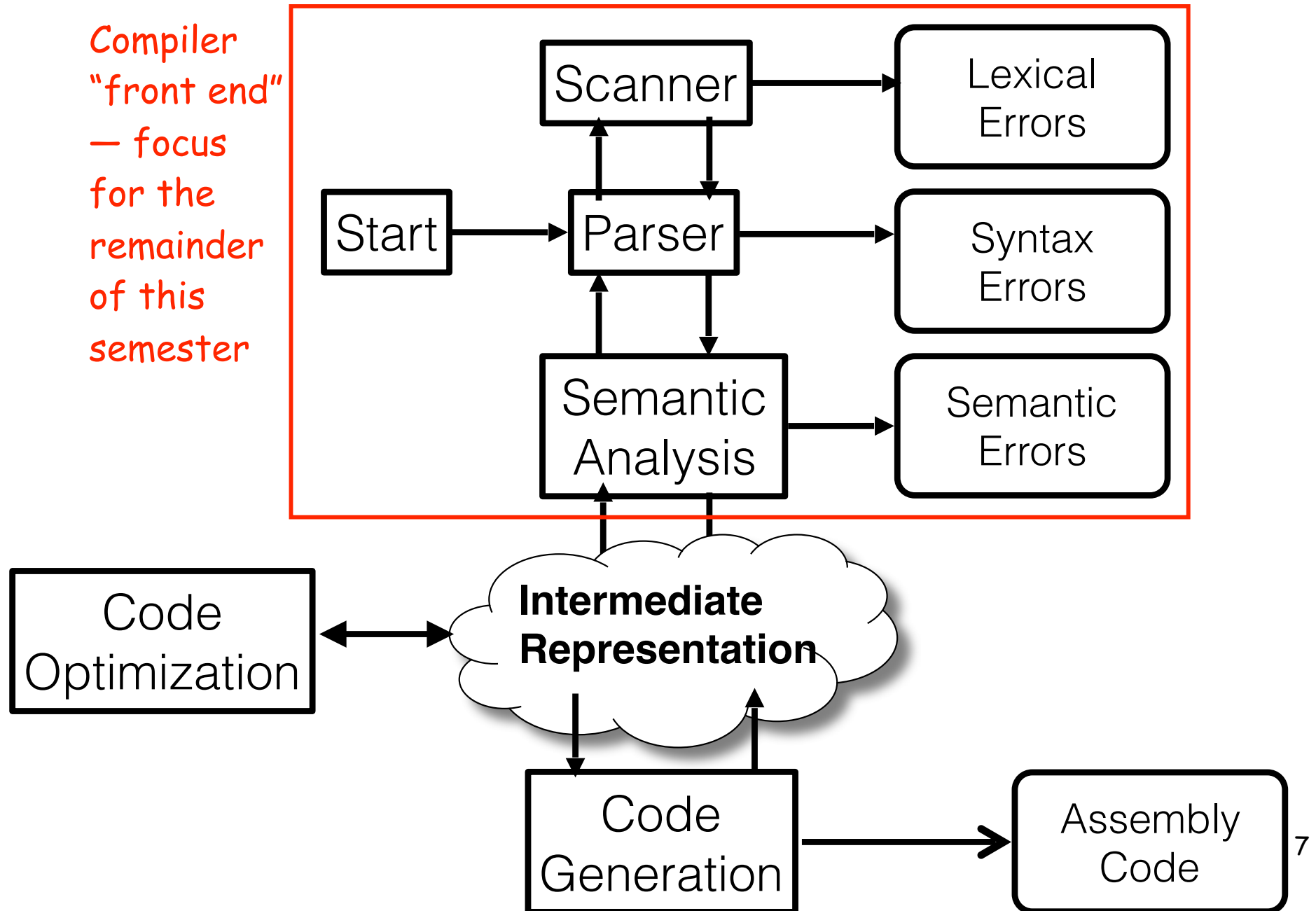
$s_C : R1$



However, it is possible to do a spill-free register allocation with just 2 registers

(no register copy statements needed in this case)

Structure of a Full Compiler (Recap from Lecture 1)





Front-end architecture

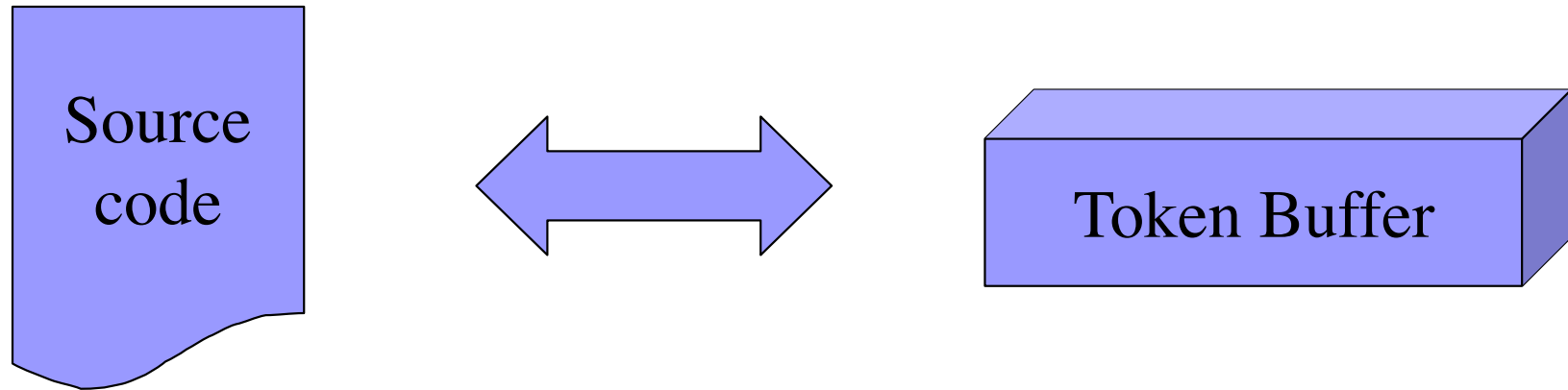
- **Scanning**: converting source code into stream of known chunks called **tokens**
 - **Lexical** rules of language dictate how legal **token** is formed as a sequence of **alphabet symbols**
- **Parsing**: building **tree-based** representation of code
 - **Grammar** dictates how legal **tree** is formed as a sequence of **tokens**

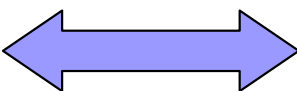




Overall Operation

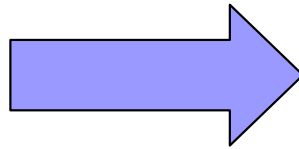
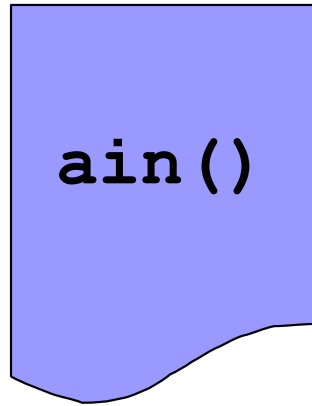
- Parser is in control of the overall operation
 - Demands scanner to produce a token
- Scanner reads input file into token buffer & forms a token (based on specification of tokens as *regular expressions*)
 - Token is returned to parser
- Parser attempts to match the token (based on specification of syntax as a *context-free grammar*)
 - Failure: Syntax Error!
 - Success:
 - Optionally performs a “semantic action”
 - Returns to get next token, or handle end of input

Scanning/Tokenization

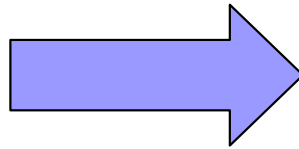
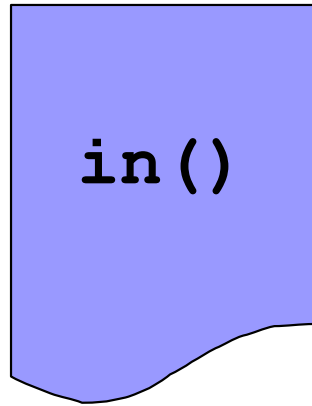


- What does the Token Buffer contain?
 - Token being identified
- Why a two-way () street?
 - Characters can be read 
 - and unread 
 - Termination of a token

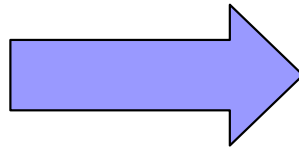
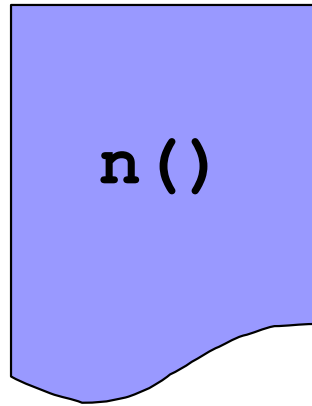
Example



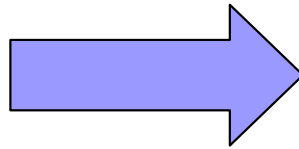
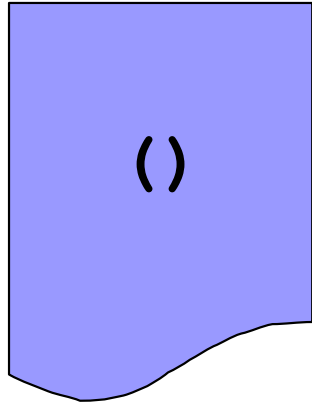
Example



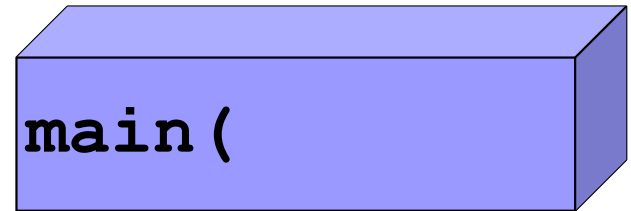
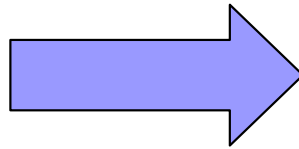
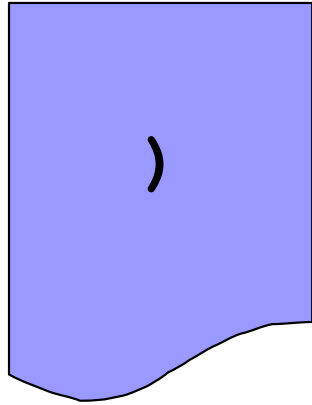
Example



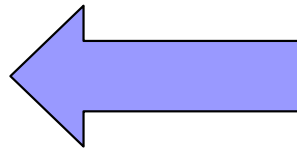
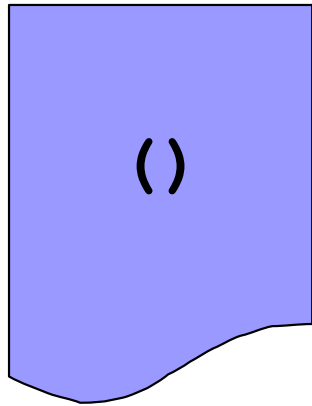
Example



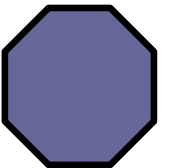
Example



Example



Identifier: **main**



When can scanning be hard?

Poor language design can complicate scanning

- » Reserved words are important
if then then then = else; else else = then (PL/I)
- » Insignificant blanks (Fortran & Algol68)
do 10 i = 1,25
do 10 i = 1.25
- » String constants with special characters (C, C++, Java, ...)
newline, tab, quote, comment delimiters, ...
- » Finite closures (Fortran 66 & Basic)
 - Limited identifier length
 - Adds states to count length

What can be so hard?

(Fortran 66/77)

```
INTEGER FUNCTION A
  PARAMETER(A=6,B=2)
  IMPLICIT CHARACTER*(A-B)(A-B)
  INTEGER FORMAT(10), IF(10), DO9E1
100  FORMAT(4H)=(3)
200  FORMAT(4  )=(3)
     DO9E1=1
     DO9E1=1,2
   9  IF(X)=1
     IF(X)H=1
     IF(X)300,200
300  CONTINUE
     END
C    THIS IS A "COMMENT CARD"
$  FILE(1)
     END
```

How does a compiler scan this?

- First pass finds & inserts blanks
- Can add extra words or tags to create a scanable language
- Second pass is normal scanner

Example due to Dr. F.K. Zadeck



Lexical Analysis

- Read input one character at a time
 - Group characters into tokens
 - Remove white space and comments
 - Encode token types
- A lexical language tells us which are legal strings in that language
- Lexical rules specify how alphabet can be combined to form legal strings

Basics of Regular Expression

- **Symbols** and **alphabet**

- A **symbol** is a valid character in a language
- An **alphabet** is a *set* of legal symbols (typically denoted as Σ)

- **Strings** and **languages**

- A **string** is a (possibly empty) sequence of symbols drawn from an alphabet
- A **language** is a (possibly empty) *set* of strings

- **Metacharacters/metasympols** that have special meanings

- Construct regex's (e.g. ϕ , ϵ , $|$, $($, $)$, $*$, $+$, etc.)
- Treat as symbol by using escape character (\backslash), e.g., $\backslash+$

Language, $L(r)$, denoted by regex r

- Atomic regular expressions
 - For each character $\mathbf{a} \in \Sigma$, $\mathbf{L(a) = \{ a \}}$
 - Empty string: $\mathbf{L(\epsilon) = \{ \epsilon \}}$
 - Empty set: $\mathbf{L(\phi) = \{ \}}$
- Recursively-defined regular expressions:
 - **Alternation**: for all $\mathbf{a, b}$ that are regexs, $\mathbf{a \mid b}$ is a regex that denotes
 - $\mathbf{L(a \mid b) = L(a) \cup L(b)}$
 - **Concatenation**: for all $\mathbf{a, b}$ that are regexs, $\mathbf{a b}$ is a regex that denotes
 - $\mathbf{L(a b) = \{ s1 s2 \mid s1 \in L(a) \text{ and } s2 \in L(b) \}}$
 - **Repetition**: for each \mathbf{a} that is a regex, $\mathbf{a^*}$ is a regex that denotes
 - $\mathbf{L(a^*) = L(\epsilon) \cup L(a) \cup L(a a) \cup L(a a a) \cup \dots}$

Writing regex's

- Precedence of operations
 - Repetition
tighter than Concatenation
tighter than Alternation
 - Parentheses change precedence
- Examples of regular expressions
 - **a | b***
 - **(a | b)***
 - **(a | c)* b (a | c)***



Matching: does a string belong to the language denoted by a regex?

- **$a b \mid b a$**

- Matches **$a b$** , i.e., $a b \in L(a b \mid b a)$
- Doesn't match **$a a$**

- **$(a \mid b) (b \mid a)$**

- Matches **$a a$** , **$a b$** , **$b a$** , **$b b$**
- Doesn't match **a** or **$a b a$**

- **$(a a \mid b b)^*$**

- Matches **$a a a a$** , **$a a b b a a$**
- Doesn't match **$a b a b$**



Regex shorthand notations for convenience

- **$a?$ = $(\epsilon \mid a)$**
 - Empty string or **a**
- **a^+ = $a a^*$**
 - Concatenation of one or more strings drawn from **a**
- **$[...]$** : character classes
 - **$[abcd]$ = $(a \mid b \mid c \mid d)$**
 - **$^{\wedge}abcd$** : every symbol except **a, b, c, d**



Regex shorthand over ordered alphabets

- [...] : character classes with ranges
 - [a-d] = [abcd]
 - [a-z0-9] = [a-z] | [0-9]
 - [^a-z]: everything but [a-z]
- **Example**
 - Match any character that is not a vowel
[^aeiouAEIOU]



More Examples

- Match any character not a vowel
`[^aeiouAEIOU]`
- Match any uc or lc consonant
`[b-df-hj-np-tv-zB-DF-HJ-NP-TV-Z]`
- Regular Expressions are used in grep, sed, awk, perl, vi, shells, lex, yacc
 - Each may use slightly different convention



Compiler-relevant examples

- Matches unsigned integers

[0-9] [0-9]*

- Matches identifiers:

[a-zA-Z_] [a-zA-Z_0-9]*

- Matches signed integers:

(+|-)? [0-9] [0-9]*

- Matches floating point numbers

[+-]? [0-9]+ (\. [0-9]*)?

([eE] [+-]? [0-9]+)?



Parser

- Translating code to rules of a grammar
- Control the overall operation
- Demands scanner to produce a token
- Failure: Syntax Error!
- Success:
 - Does nothing and returns to get next token, or
 - Takes semantic action



Grammar Rules

<C-PROG> → MAIN OPENPAR <PARAMS> CLOSEPAR <MAIN-BODY>

<PARAMS> → NULL

<PARAMS> → VAR <VARLIST>

<VARLIST> → , VAR <VARLIST>

<VARLIST> → NULL

**<MAIN-BODY> → CURLYOPEN <DECL-STMT> <ASSIGN-STMT>
CURLYCLOSE**

<DECL-STMT> → <TYPE> VAR <VARLIST>;

<ASSIGN-STMT> → VAR = <EXPR>;

<EXPR> → VAR

<EXPR> → VAR<OP><EXPR>

<OP> → +

<OP> → -

<TYPE> → INT

<TYPE> → FLOAT



Demo

```
main() {  
    int a,b;  
    a = b;  
}
```

Scanner

Token Buffer

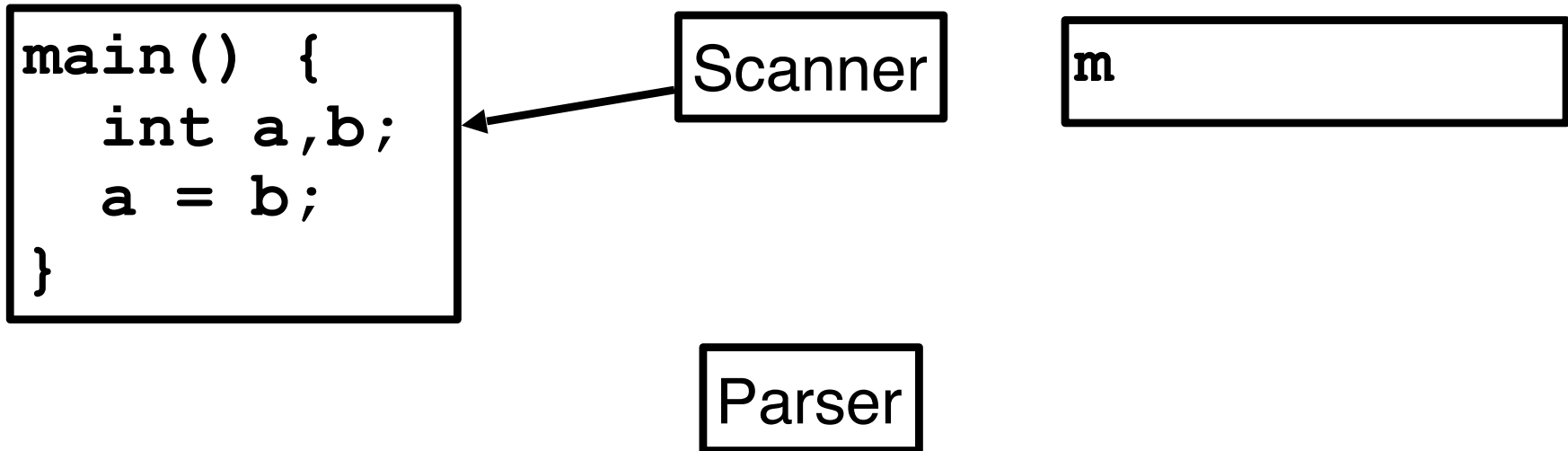
Parser

Demo

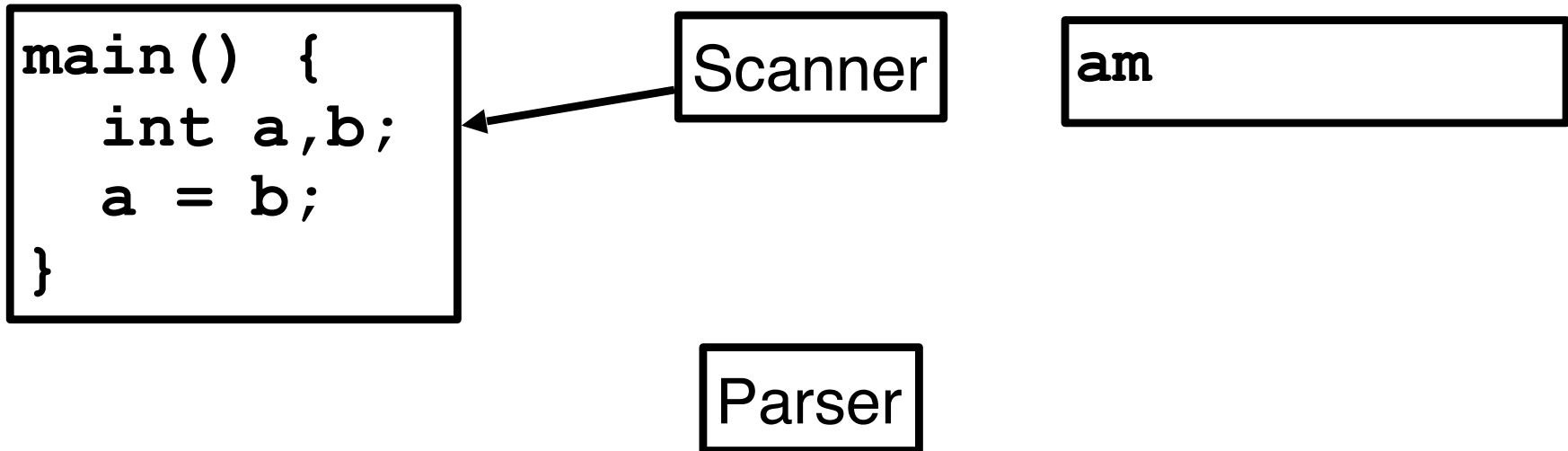
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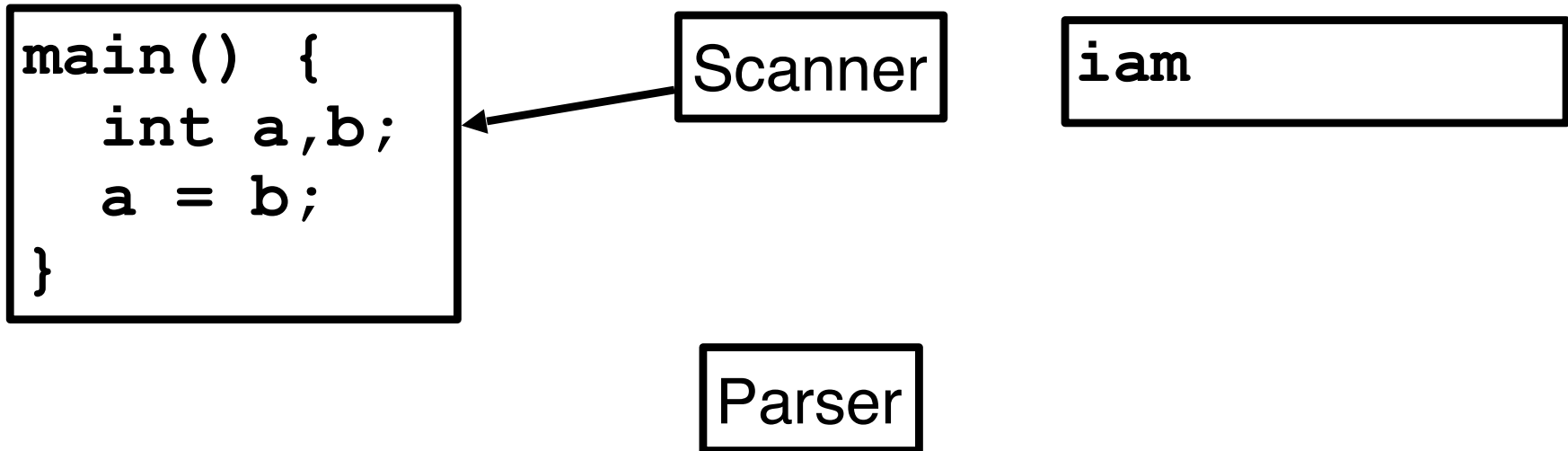
Demo



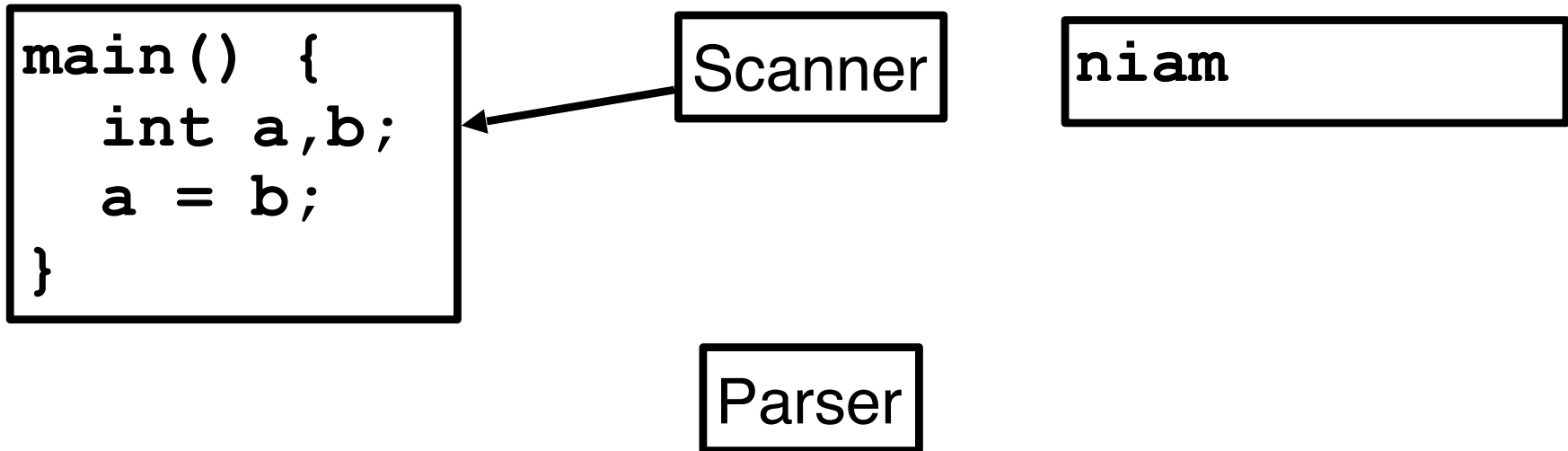
Demo



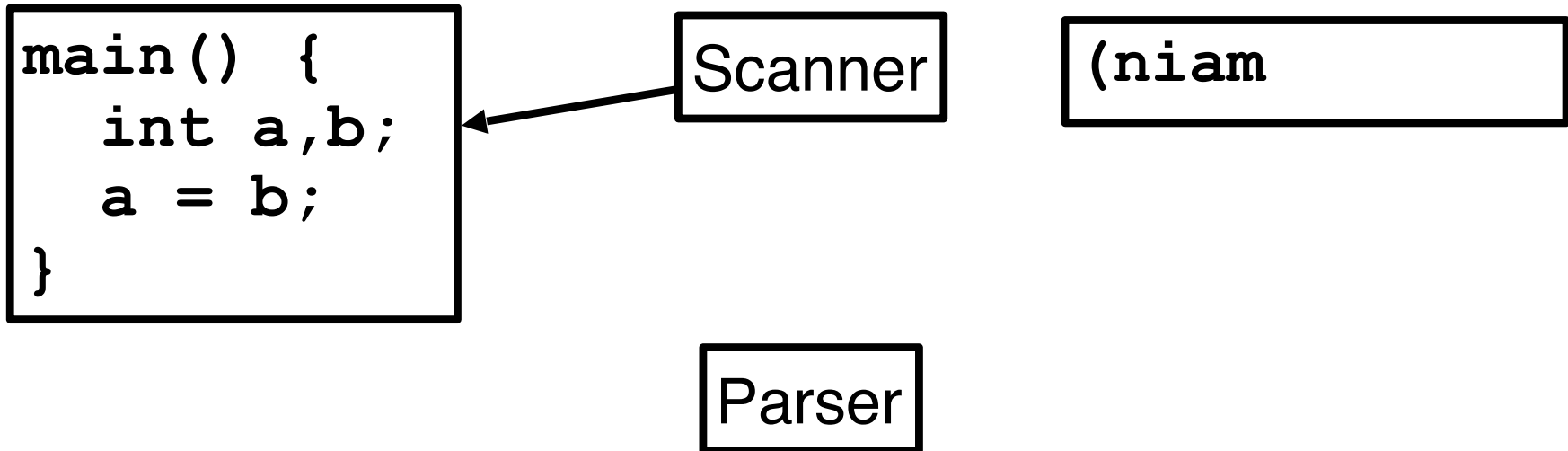
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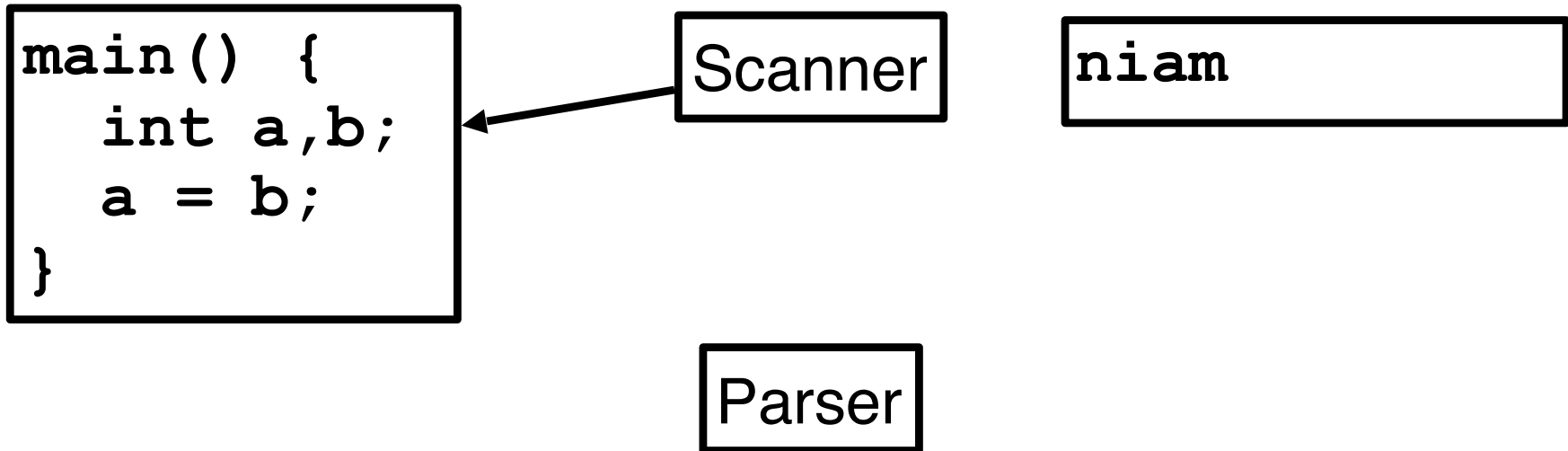
Demo



Demo



Demo



Demo

```
main() {  
    int a,b;  
    a = b;  
}
```

Scanner

Token Buffer

Token: main

Parser





Demo


```
main() {  
    int a,b;  
    a = b;  
}
```

Scanner

Token Buffer

Parser

"I recognize this"



Parsing (Matching)

- Start matching using a rule
- When match takes place at certain position, move further (get next token & repeat)
- If expansion needs to be done, choose appropriate rule (How to decide which rule to choose?)
- If no rule found, declare error
- If several rules found, the grammar (set of rules) is ambiguous

Scanning & Parsing Combined

```
main() {  
    int a,b;  
    a = b;  
}
```

Scanner

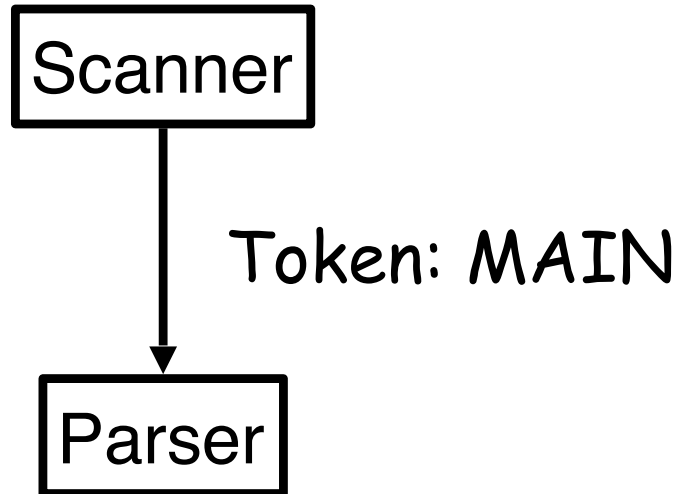
"Please, get me
the next token"

Parser



Scanning & Parsing Combined

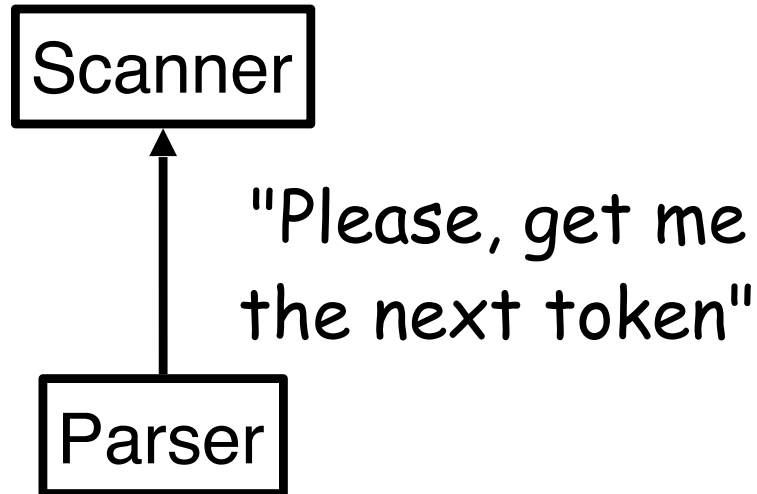
```
main() {  
    int a,b;  
    a = b;  
}
```



<C-PROG> → **MAIN** OPENPAR <PARAMETERS> CLOSEPAR <MAIN-BODY>

Scanning & Parsing Combined

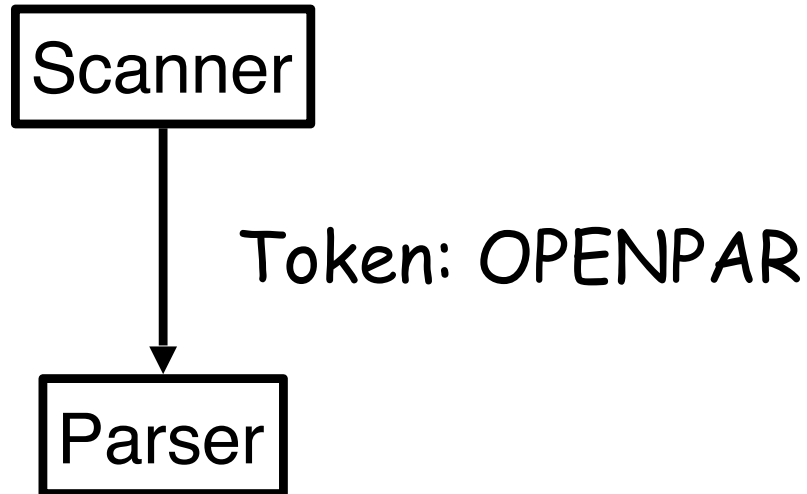
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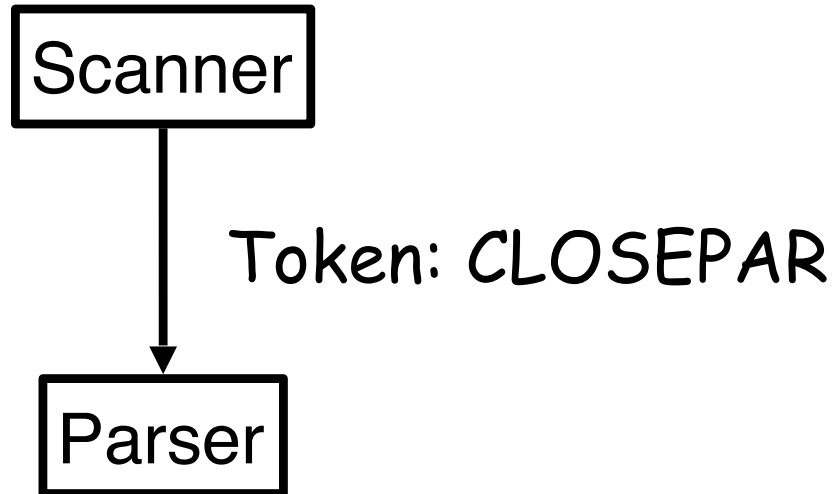
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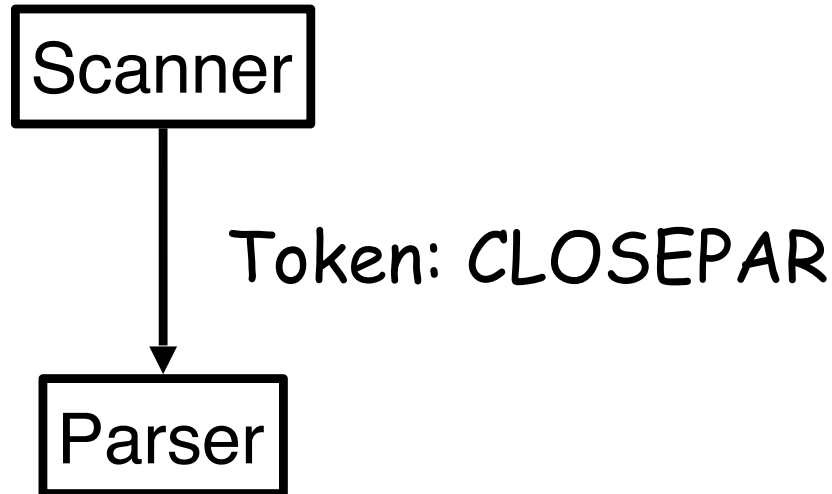
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main() {  
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    a = b;  
}
```



<C-PROG> → MAIN OPENPAR <PARAMS> CLOSEPAR <MAIN-BODY>
<PARAMS> → NULL

Scanning & Parsing Combined

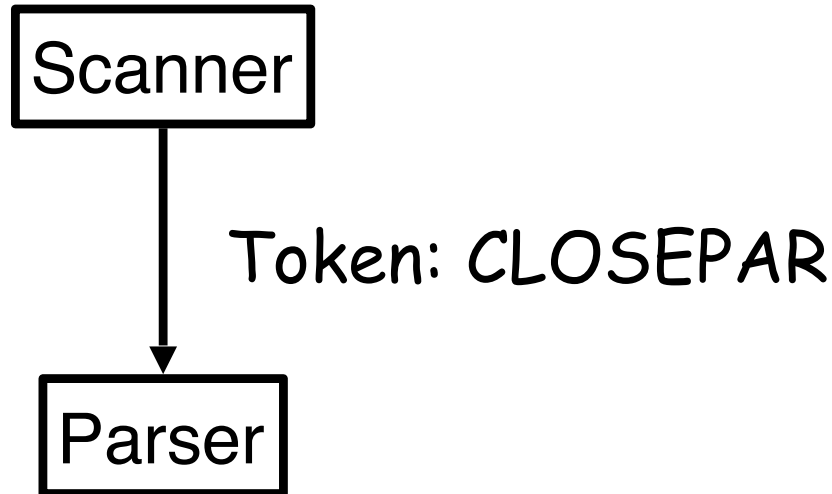
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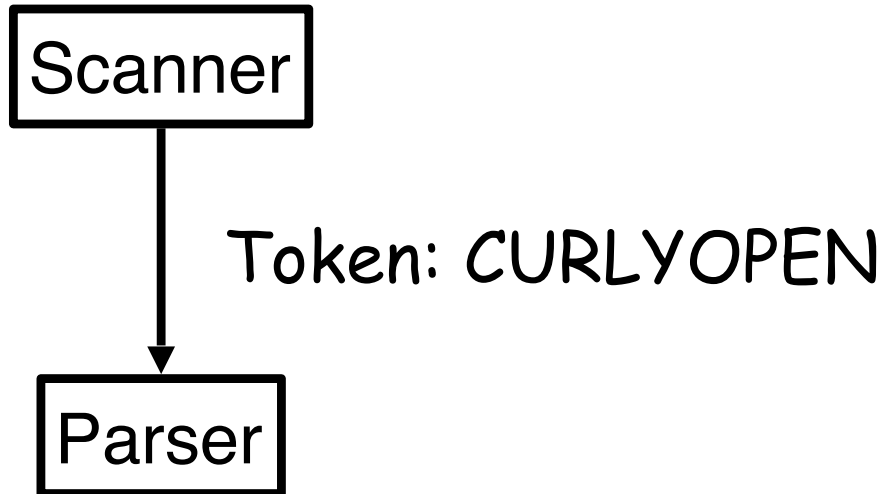
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<C-PROG> → MAIN OPENPAR <PARAMS> CLOSEPAR <MAIN-BODY>

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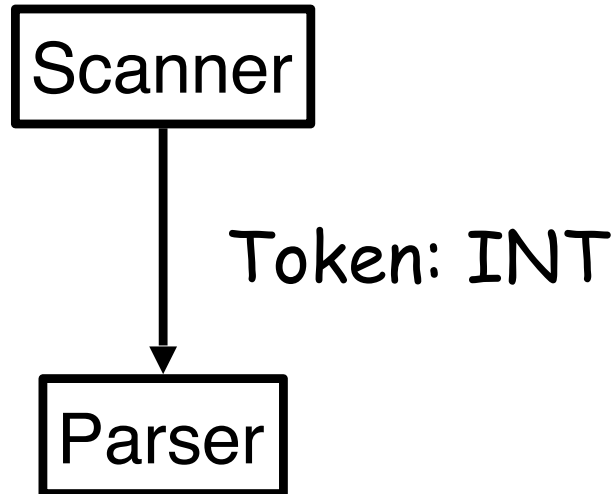


<C-PROG> → MAIN OPENPAR <PARAMS> CLOSEPAR <MAIN-BODY>

<MAIN-BODY> → CURLYOPEN <DECL-STMT> <ASSIGN-STMT> CURLYCLOSE

Scanning & Parsing Combined

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main() {  
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}
```



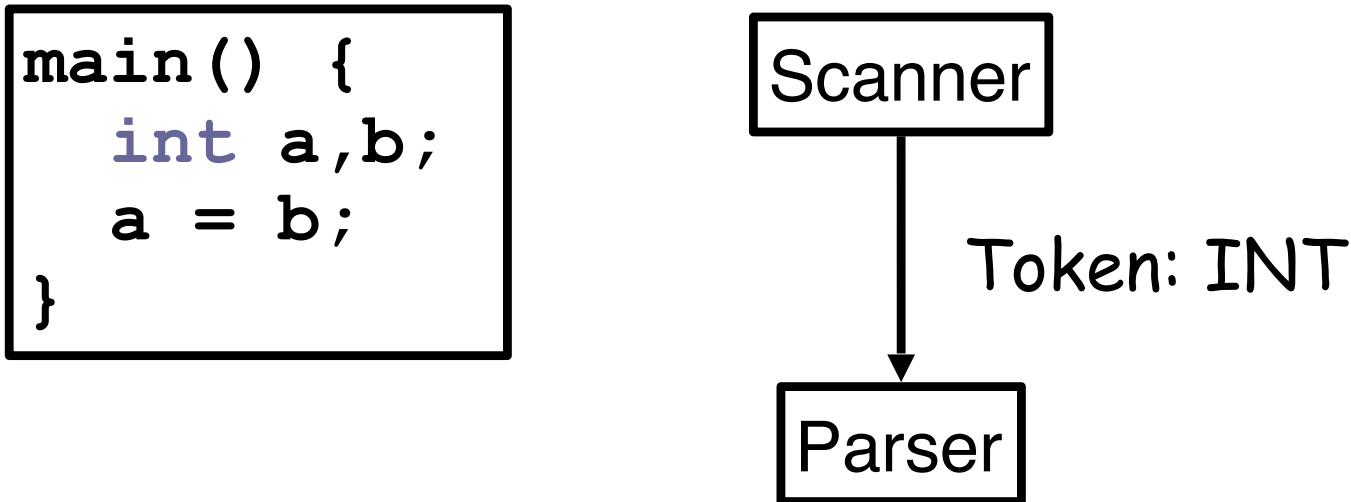
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<MAIN-BODY> → CURLYOPEN <DECL-STMT> <ASSIGN-STMT> CURLYCLOSE

<DECL-STMT> → <TYPE>VAR<VAR-LIST>;

<TYPE> → INT

Scanning & Parsing Combined



<C-PROG> → MAIN OPENPAR <PARAMS> CLOSEPAR <MAIN-BODY>

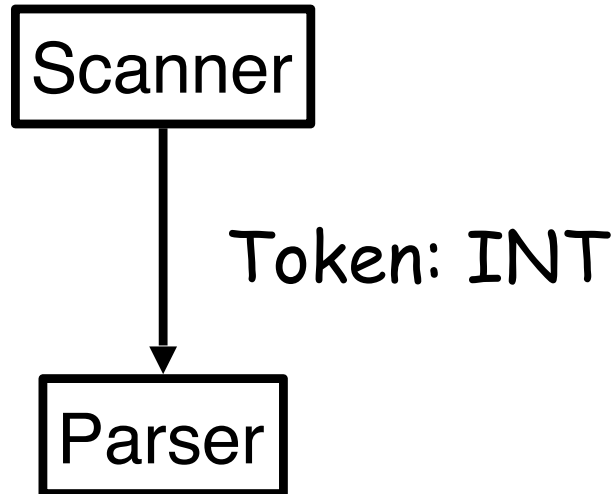
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Scanning & Parsing Combined

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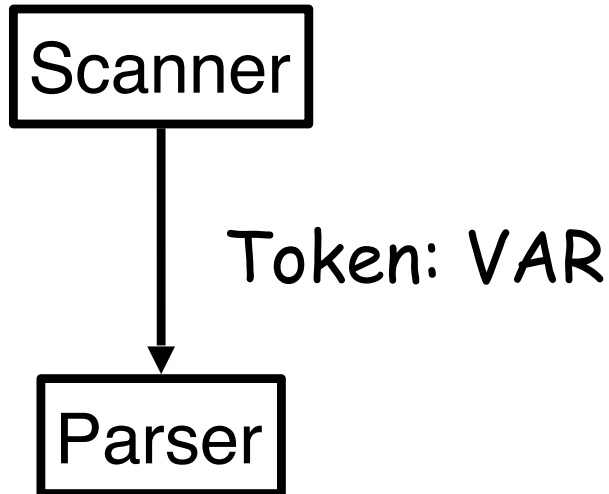
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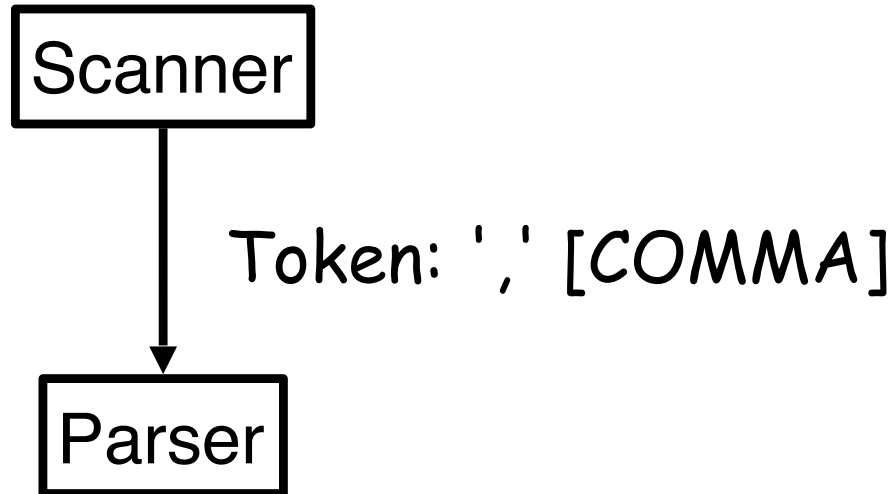
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<VARLIST> → , VAR <VARLIST>

<VARLIST> → NULL

Scanning & Parsing Combined

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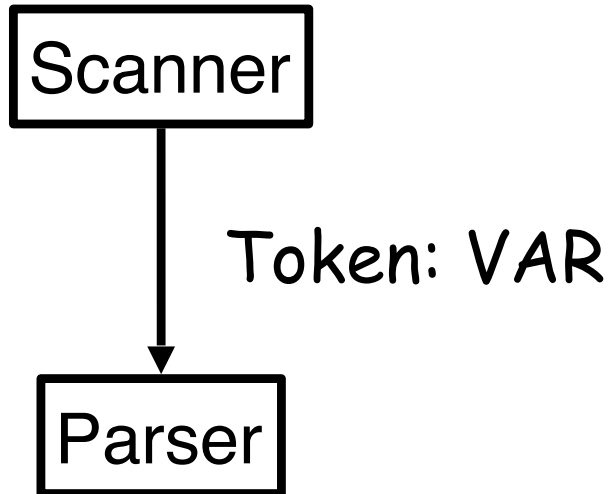
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Scanning & Parsing Combined

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```



<C-PROG> → MAIN OPENPAR <PARAMS> CLOSEPAR <MAIN-BODY>

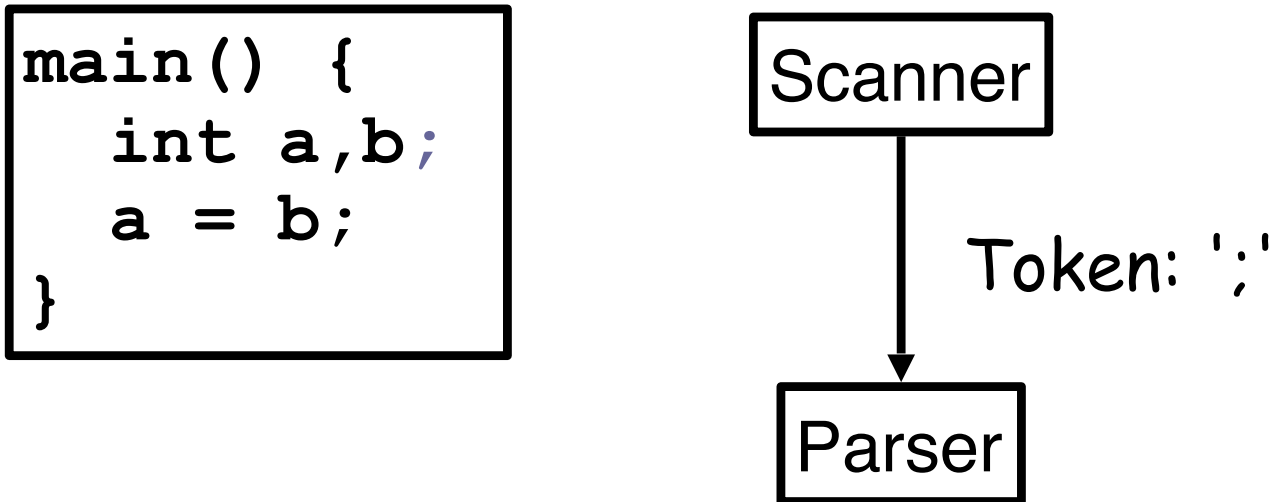
<MAIN-BODY> → CURLYOPEN <DECL-STMT> <ASSIGN-STMT> CURLYCLOSE

<DECL-STMT> → <TYPE>VAR<VARLIST>;

<VARLIST> → , VAR <VARLIST>

<VARLIST> → NULL

Scanning & Parsing Combined



<C-PROG> → MAIN OPENPAR <PARAMS> CLOSEPAR <MAIN-BODY>

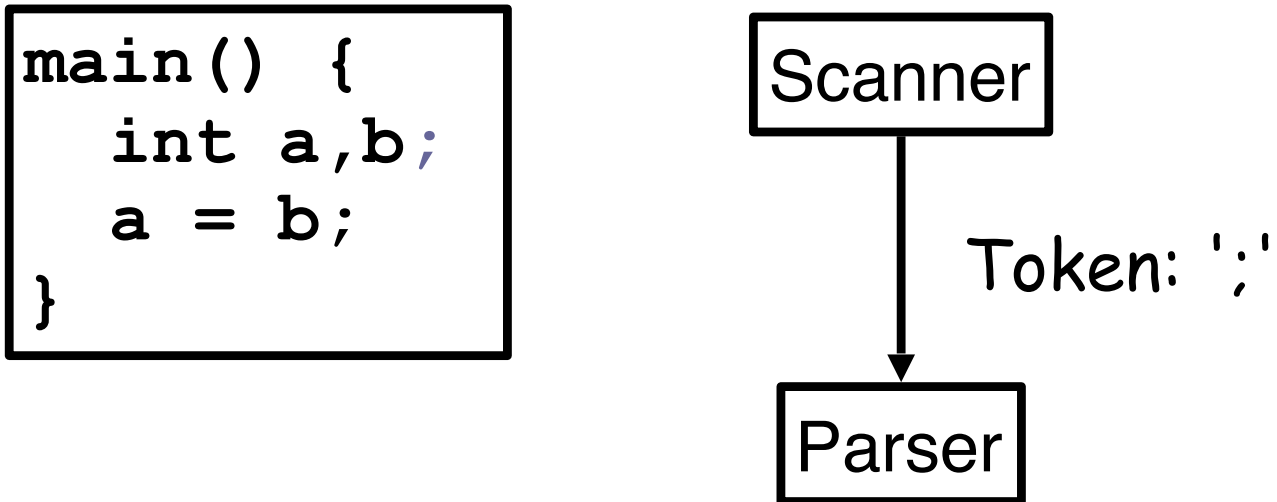
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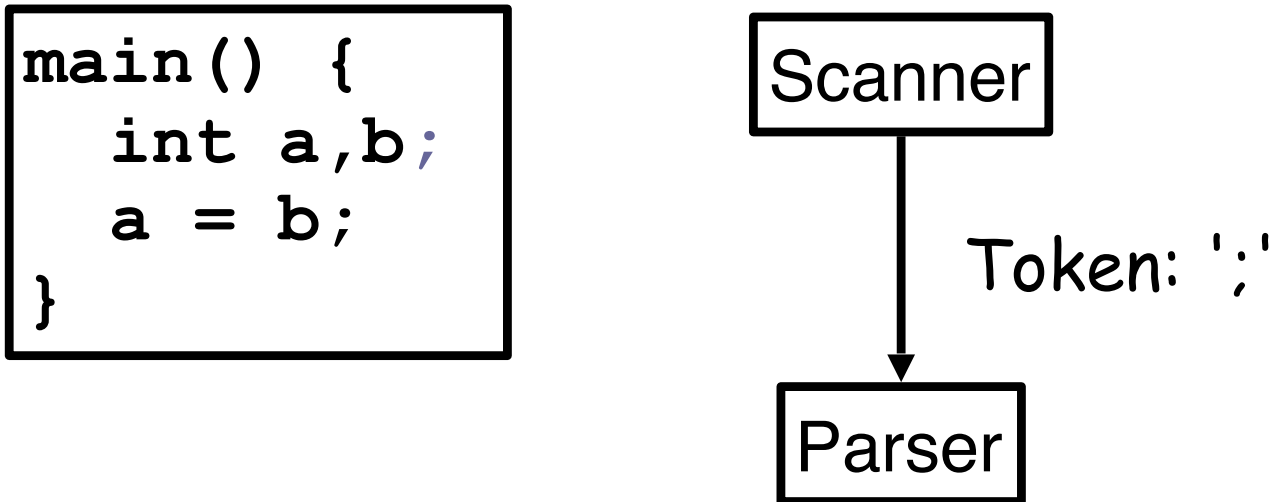
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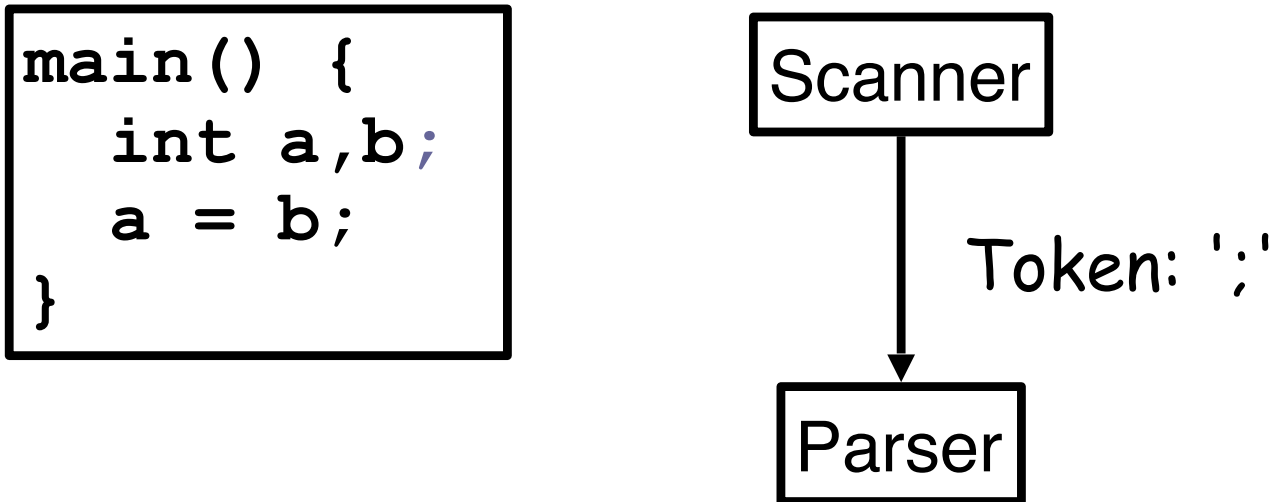
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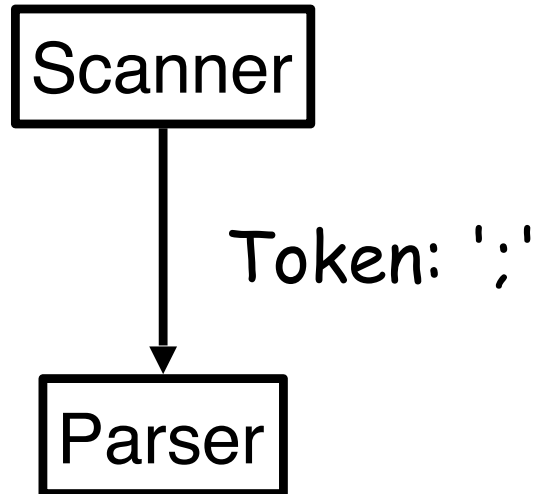
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Scanning & Parsing Combined

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    a = b;  
}
```



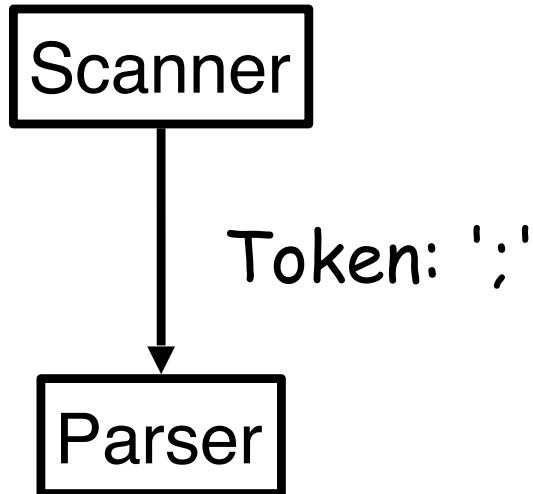
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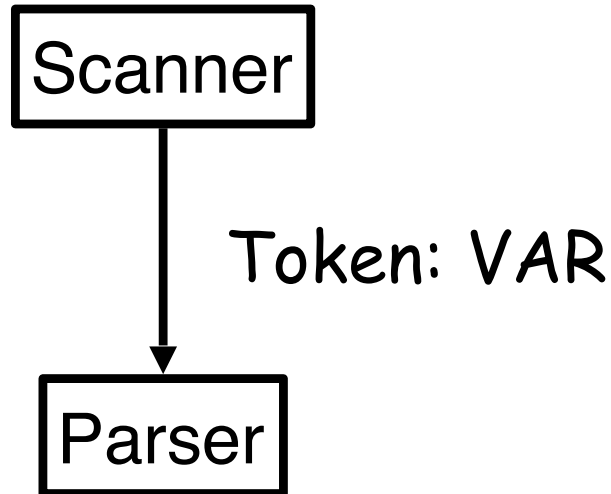
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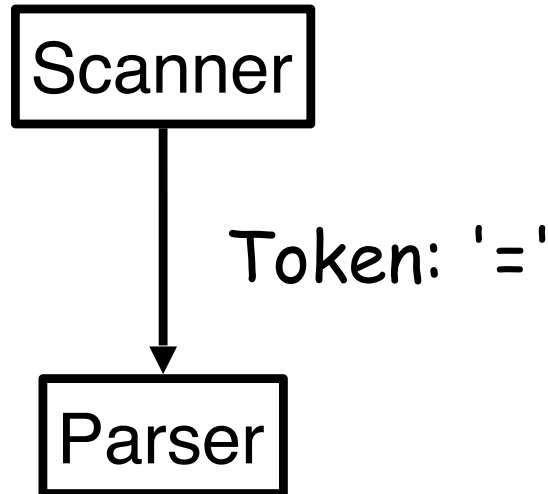
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<ASSIGN-STMT> → VAR = <EXPR>;

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Scanning & Parsing Combined

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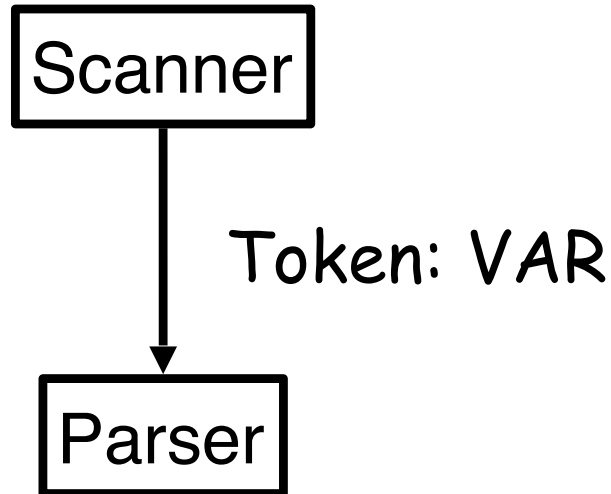
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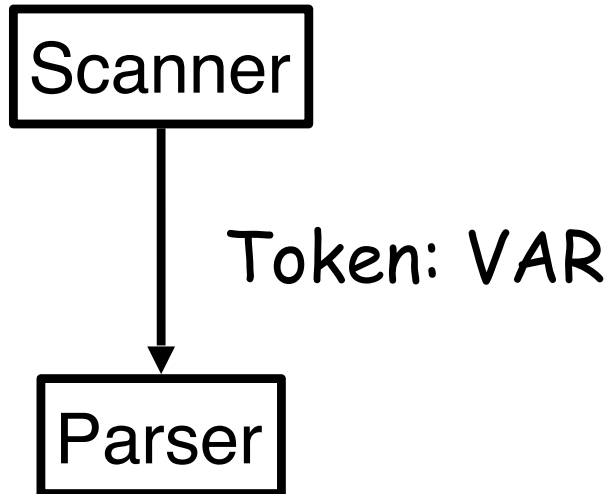
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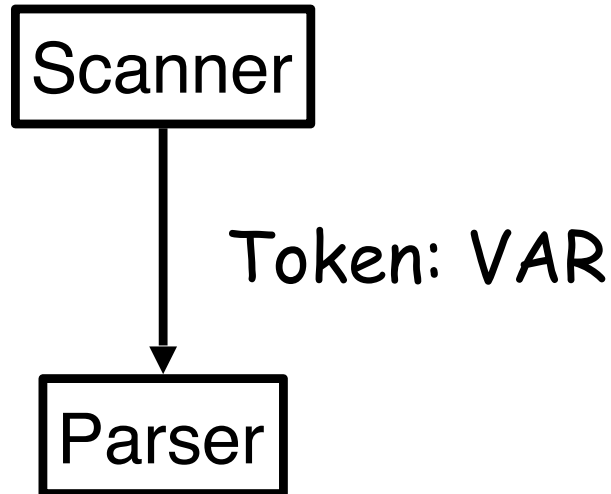
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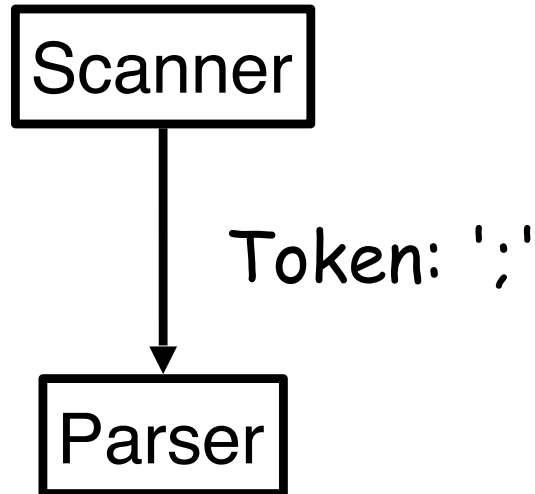
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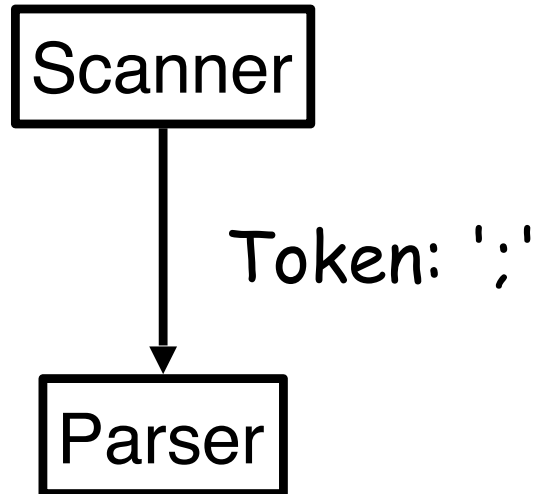
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Scanning & Parsing Combined

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}
```



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Scanning & Parsing Combined

```
main() {  
    int a,b;  
    a = b;  
}
```

Scanner

Token: CURLYCLOSE

Parser

$\langle \text{C-PROG} \rangle \rightarrow \text{MAIN OPENPAR} \langle \text{PARAMS} \rangle \text{ CLOSEPAR} \langle \text{MAIN-BODY} \rangle$

$\langle \text{MAIN-BODY} \rangle \rightarrow \text{CURLYOPEN} \langle \text{DECL-STMT} \rangle \langle \text{ASSIGN-STMT} \rangle \text{ CURLYCLOSE}$



What Is Happening?

- During/after parsing?
 - Tokens get gobbled
- Symbol tables
 - Variables have attributes
 - Declaration attached attributes to variables
- Semantic actions
 - What are semantic actions?
- Semantic checks

Symbol Table

- `int a,b;`
- Declares a and b
 - Within current scope
 - Type integer
- Use of a and b now legal

Basic Symbol Table		
Name	Type	Scope
a	int	"main"
b	int	"main"

Typical Semantic Actions

- Enter variable declaration into symbol table
- Look up variables in symbol table
- Do binding of looked-up variables (scoping rules, etc.)
- Do type checking for compatibility
- Keep the semantic context of processing

$$a + b + c \Rightarrow t1 = a + b$$
$$t2 = t1 + c$$

Semantic
Context



How Are Semantic Actions Called?

- Action symbols embedded in the grammar
 - Each action symbol represents a semantic procedure
 - These procedures do things and/or return values
- Semantic procedures are called by parser at appropriate places during parsing
- Semantic stack implements & stores semantic records

Semantic Actions

`<decl-stmt> → <type>#put-type<varlist>#do-decl`

`<type> → int | float`

`<varlist> → <var>#add-decl <varlist>`

`<varlist> → <var>#add-decl`

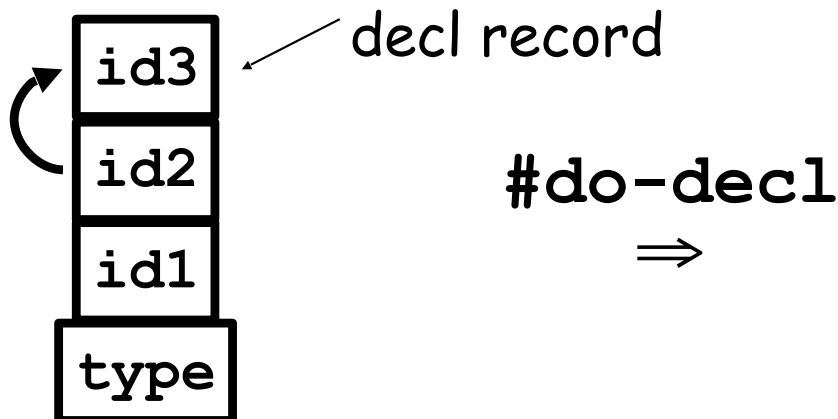
`<var> → ID#proc-decl`

`#put-type` puts given type on semantic stack

`#proc-decl` builds decl record for var on stack

`#add-decl` builds decl-chain

`#do-decl` traverses chain on semantic stack using backwards pointers entering each var into symbol table



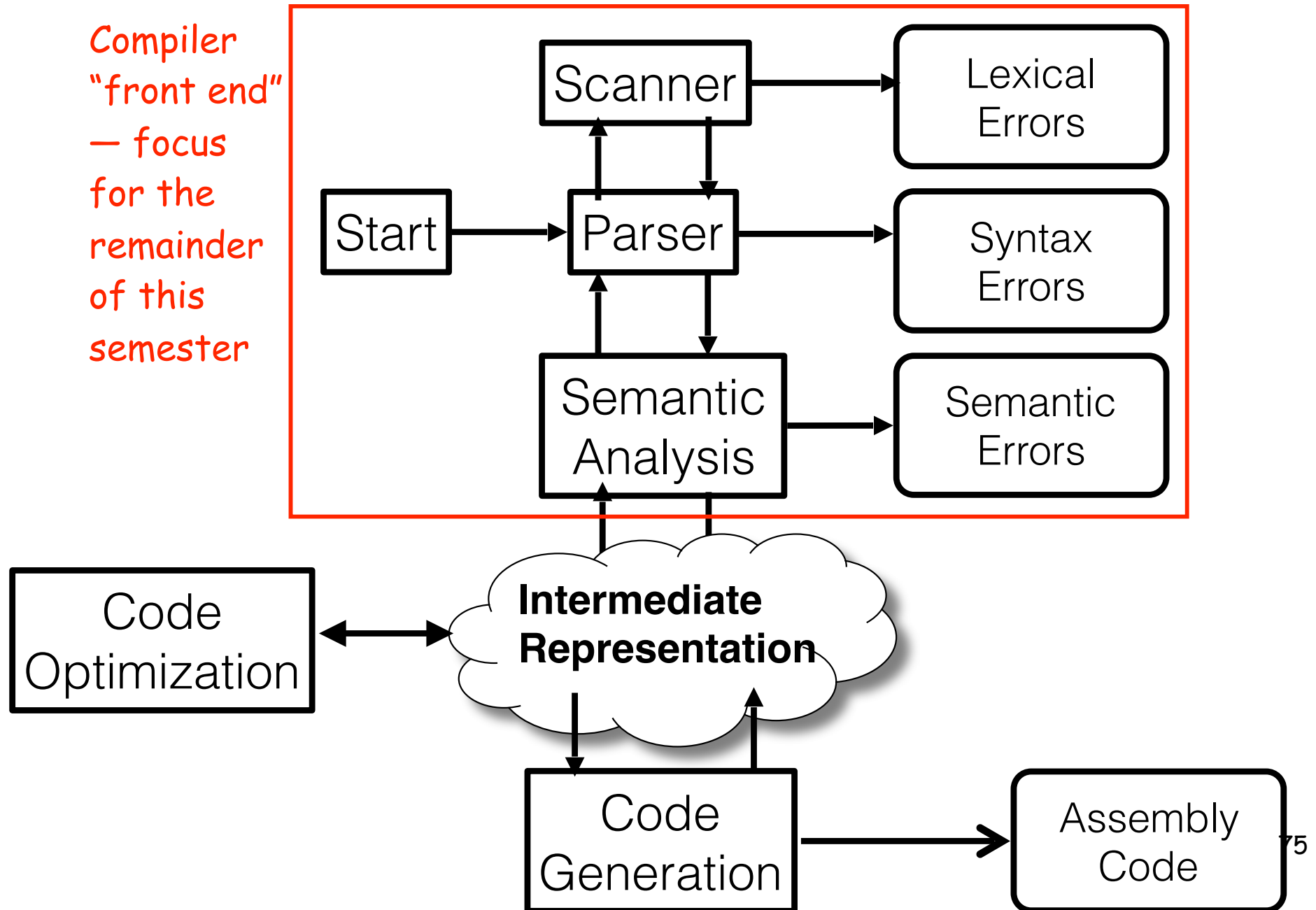
Name	Type	Scope
id1	1	3
id2	1	3
id3	1	3



Semantic Actions

- What else can semantic actions do in addition to storing and looking up names in a symbol table?
- Two type of semantic actions
 - Checking (binding, type compatibility, scoping, etc.)
 - Translation (generate temporary values, propagate them to keep semantic context).

Structure of a Full Compiler (Recap from Lecture 1)





Summary

- Front-end of compiler: scanner and parser
- Scanner and parser generation are automated using regular expressions and context-free grammars
 - We will use the ANTLR framework for this automation in Project 3