

Auto setup based on pack size	Age model: none	State of health SoH: 1	Discharge curve fits:	
Number of cells in series: 4	Equalization: passive	Topology: SP	Load demo data	Start digitize and fit tool
Number of parallel cells: 130	Charging efficiency: 0.97	Internal impedance in $\Omega$	Charge curve fits:	Start digitize and fit tool
Pack voltage in V: 12.8	Discharging efficiency: 0.97		CCCV curve fits:	Start digitize and fit tool
Pack capacity in Ah: 390	Self-discharge rate in 1/month: 0		Load demo data	Start digitize and fit tool
Cell voltage in V: 3.2	State of charge SoC		Cycle life curve fits:	
Cell capacity in Ah: 3	Initial: 0.2 Minimum: 0.2 Maximum: 1	Mean: 17e-3 Standard deviation: 0 Minimum: 17e-3 Maximum: 17e-3	Load demo data	Start digitize and fit tool
<input type="checkbox"/> Simplified model		Build		
		Build and send to workspace Variable name: bat		

# Cell Resolved Matlab® OOP Model of a Lithium Iron Phosphate Battery Pack

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## Acronyms

**BMS** battery management system

**CCCV** constant current / constant voltage

**EOL** end of life

**GUI** graphical user interface

**JVM** JAVA™ virtual machine

**MEX** Matlab® executable

**OO** object oriented

**OOP** object oriented programming

**SP** strings of parallel elements

**std** standard deviation

**PS** parallel strings

## List of Symbols

Symbol	Unit	Description
$A_c$	-	battery's age
$A_{cal}$	-	calendar ageing
$A_{c,eol}$	-	age at which the end of life is reached
$A_{cyc}$	-	cycle ageing
$A_{tot}$	-	total ageing stress
$C$	Ah	battery capacity
$C_{bu}$	Ah	usable capacity
$C_{dis}$	Ah	discharge capacity
$cDoC$	%	cycle-depth-of-cycle
$C_n$	Ah	nominal capacity
$DoD$	-	depth of discharge
$F$	As/mol	Faraday constant
$I$	A	current
$I_{max}$	A	battery's maximum current
$L_{cal}$	a	calendar life
$N_f$	-	number of cycles to failure
$P$	W	power
$P_{sd}$	W	self-discharge
$p_z$	-	impedance proportionality factor
$SoC$	%	state of charge
$SoC_{max}$	%	maximum $SoC$
$SoC_{max,l}$	%	local maximum in an $SoC$ profile
$SoC_{min}$	%	minimum $SoC$
$SoH$	%	state of health
$R$	J/(mol · K)	universal gas constant
$rmse$	various	root mean squared error
$T$	K or °C	temperature
$t_s$	s	simulation time step
$V$	V	voltage
$V_n$	V	nominal voltage
$Z_i$	$\Omega$	internal impedance
$z_{Li}$	-	ionic charge number of lithium
$\Delta t_s$	s	simulation time step size

## Preface

The following text provides a complete documentation of the battery model provided in the `lfpBattery` Matlab<sup>®</sup> package. It combines a description of the model's components with an analysis and validation using example simulations and a tutorial on how to use the package.

## Organization

This documentation is organized in sections that are sorted in such a way that a detailed understanding of the model's design and functionality is conveyed to the reader. For a basic knowledge of how to use the model, the sections do not have to be read in order. Parts of the documentation that are not relevant to the usage of the model in Matlab<sup>®</sup> can safely be skipped. However, it is recommended to read the entire documentation for an understanding of the strengths and weaknesses of the model.

## Typing conventions of this documentation

Since this documentation describes a Matlab<sup>®</sup> package, the following special text formatting is used extensively:

- Matlab<sup>®</sup> code and the names of Matlab<sup>®</sup> objects and properties are formatted in `fixed-width` font and the default Matlab<sup>®</sup> colour coding.
- Matlab<sup>®</sup> functions (methods) are formatted in `fixed-width` font with brackets added at the end, e.g. `plot()`.
- Formulas, mathematical symbols, physical units and constants are formatted according to the norms DIN 1338, DIN 1304, DIN 1301 and DIN 1313.
- If a formula, symbol, unit or constant is used within Matlab<sup>®</sup> code, the `fixed-width` font is used.

## Quick start

To quickly get started with the usage of this package, skip to the sections 3.6 and 4. Note that any script or function that uses this package should start with the line

```
1 import lfpBattery.*
```

Alternatively, only the required objects can be imported, e.g.

```
1 import lfpBattery.batteryPack lfpBattery.dischargeCurves
2 bat = batteryPack(varargin{:});
3 dC = dischargeCurves(varargin{:});
```



or the object with the name `objectName` can be initialized as `lfpBattery.objectName`, e.g.

```
1 bat = lfpBattery.batteryPack(varargin{:}); % creates a batteryPack ...  
    object
```

For the code examples provided in this documentation, it is assumed that the `lfpBattery` package has been imported.

Section 4 provides a description of the GUI tools that can be used for getting to know the package and for creating the required curve fits. For repeated use in a simulation, it is recommended to start off with the `batteryPack` class, which provides centralized access to almost all of the features of this package and is described in section 3.6.

## Terminology

Object oriented programming (OOP), design pattern and Matlab<sup>®</sup> terminology is used frequently throughout this documentation. A short description of some of the terms is provided in the following.

### Interface

In OOP languages such as JAVA<sup>™</sup> and C++, the term "interface" commonly refers to an abstract set of methods that specifies the behaviour that objects must implement. Unlike a class, an interface does not have any properties. Matlab<sup>®</sup> OOP contains abstract classes, but no interfaces. However, in the terminology of design patterns, an interface can also be an abstract class. In this documentation, an abstract class that defines the behaviour that objects must implement via a set of methods and/or properties is referred to as an "interface". Examples are the `curveFitInterface` for all curve fitting classes and the `batteryInterface`, which is a common interface for the battery pack elements and the cells.

### Immutable

In Matlab<sup>®</sup> OOP, object properties can be private (read only) or public (read and write access), among others. A property that can be set from an object's constructor (upon initialization), but is read only afterwards is called "immutable".

### Observer

In the Observer design pattern, an observer is an object that is notified by the subject it is observing when an event occurs. The subject sends information to the observer about which event has occurred, the source of the event and which of the observer object's methods is to be triggered. Observers are also often referred to as "listeners".

**Subject**

In the Observer design pattern, a subject is an object that is observed. It holds a handle reference to one or more observers and when an event occurs, it sends out a notification to the observer, triggering one or more of its methods.

**Component**

In the Composite design pattern, a component is any class that implements the shared interface. Both composite and leaf elements are components.

**Composite**

In the Composite design pattern, a composite is a component that can hold a reference to another component.

**Leaf**

In the Composite design pattern, a leaf is a component that cannot hold a reference to another component.

# 1 Discharge curves

Many battery data sheets provide measured discharge curves, on which the charging and discharging behaviour of this model is based. Rather than determining the curves according to the internal impedance, a common approach [1], this model determines the curves directly by means of digitizing the images and creating a curve fit. The classes used for fitting and modelling the discharge curves are described in the following subsections.

## 1.1 Single discharge curve

For modelling a single discharge curve, the class `dischargeFit` is used, which implements the `curveFitInterface`. The curve is fitted according to [2], using a function that is loosely based on the Nernst equation with two exponential functions superimposed as a correction for the voltage drops at the beginning and end of the curve.

$$V(SoC) = x_1 - \frac{R \cdot T}{z_{Li} \cdot F} \cdot \ln\left(\frac{SoC}{1 - SoC}\right) + x_2 \cdot SoC + x_3 + (x_4 + (x_5 + x_4 \cdot x_6) \cdot SoC) \cdot \exp(-x_6 \cdot SoC) + x_7 \cdot \exp(-x_8 \cdot SoC) \quad (1)$$

where  $x_1, \dots, x_8$  are the fit parameters,  $R = 8.3144598 \text{ J}/(\text{mol} \cdot \text{K})$  is the universal gas constant,  $z_{Li} = 1$  is the ionic charge number of lithium,  $F = 96485.3328959 \text{ As/mol}$  is the Faraday constant,  $SoC$  is the state of charge,  $V$  is the voltage in V and  $T$  is the temperature in K at which the curve was recorded. The curves are fitted using the Levenberg-Marquardt algorithm and either the `lsqcurvefit` method, the `fminsearch` method or a combination of both, depending on the user's preference.

### 1.1.1 Creation of a `dischargeFit` object

A `dischargeFit` object is created with the digitized raw data - the voltage  $V$  in V, the discharge capacity  $C_{dis}$  in Ah, the current  $I$  in A at which the curve was recorded and the temperature  $T$  in K at which the curve was recorded.

```
1 d = dischargeFit(V, C_dis, I, T);
```

$V$  and  $C_{dis}$  are vectors containing the digitized raw data from the data sheet. Further options, such as initial values for the fit parameters  $x_1, \dots, x_8$  and the fit method can be passed to the constructor using Matlab's name-value pair syntax:

```
1 d = dischargeFit(V, C_dis, I, T, 'OptionName', OptionValue);
```

By default, the initial fit parameters are set to zero and the curve is fit by first using `lsqcurvefit`, followed by `fminsearch`. The initial fit parameters are stored in a vector `x0`

of length 8, which can be passed via the option name `'x0'`, for example using the following syntax:

```
1 x0 = ones(8, 1);
2 d = dischargeFit(V, C_dis, I, T, 'x0', x0);
```

The method used for the curve fitting can be passed to the constructor using the option name `'mode'`. The corresponding value must be one of the following three strings:

- `'lsq'` for `lsqcurvefit`
- `'fmin'` for `fminsearch`
- `'both'` for `lsqcurvefit` followed by another fit using `fminsearch`

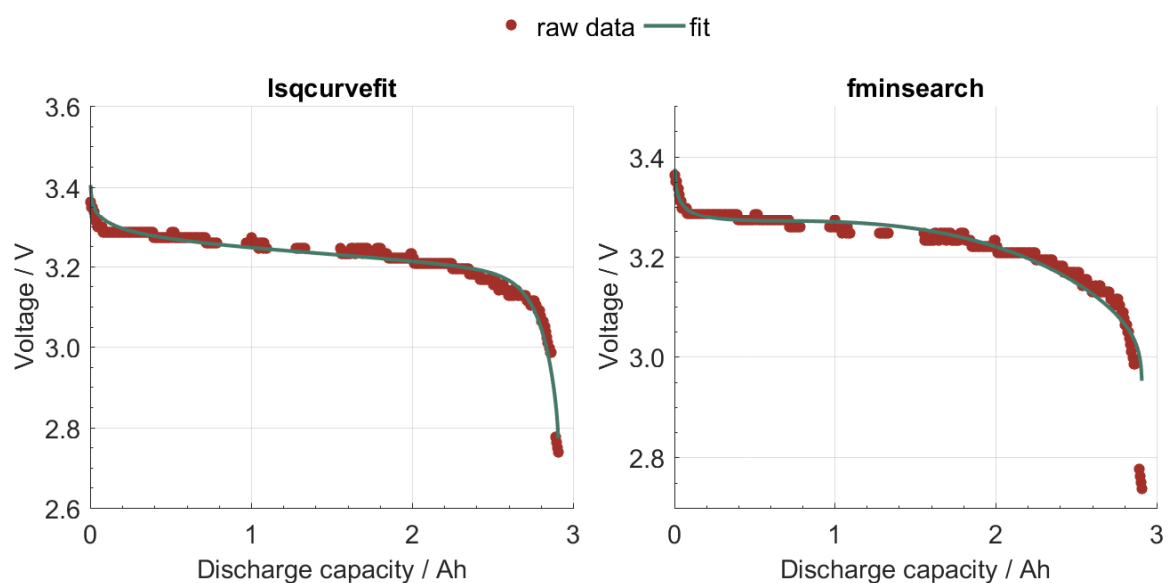
e.g.

```
1 d = dischargeFit(V, C_dis, I, T, 'mode', 'fmin');
2 d.plotResults
```

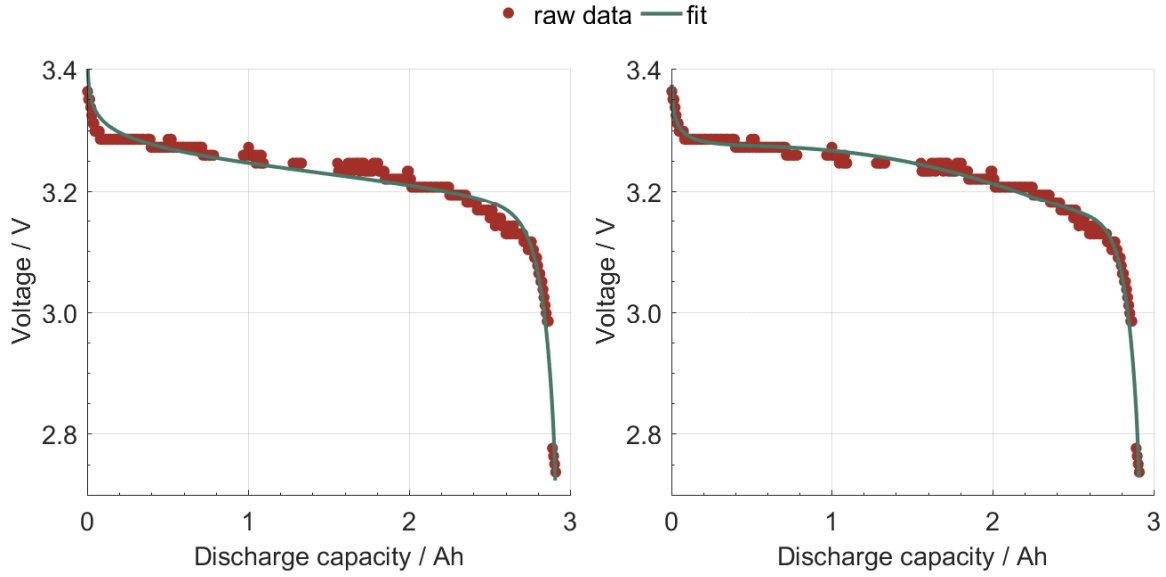
Depending on the curve and on the technology, one of the methods may return a better result.

### 1.1.2 Visual validation

A visual validation can be performed by calling the class's `plotResults` method (see above). In Figure 1, the results of two `dischargeFit` objects using the same raw data are compared.



**Figure 1:** Fit results of the `dischargeFit` class using the fit methods `lsqcurvefit` and `fminsearch`, respectively. The raw data was extracted from [3].



**Figure 2:** Fit results of the `dischargeFit` class using the fit mode `'both'` with the default parameter initialization (left) and with a custom parameter initialization (right). The raw data was extracted from [3].

In this example, `'lsq'` appears to return better results for the voltage drop at the end of the curve, while `'fmin'` results in a more precise fit for the voltage drop at the beginning of the curve. Further differences can be seen in the fits' curvatures. The `'lsq'` option results in a slightly flatter curve than the `'fmin'` mode. The results of a `dischargeFit` object using the `'both'` option are presented in Figure 2. Using the default fit parameter initialization of `zeros` (left) appears to improve the curvature and voltage drops slightly, compared to the other modes. Further improvements can be made by passing custom initial fit parameters to the constructor via the option `'x0'` (see Figure 2, right).

### 1.1.3 Object properties

Further fit quality analysis can be performed via the mean difference in voltage between the raw data and the curve fit at the respective positions of the raw data  $\overline{\Delta V}$  in V and the maximum difference between the raw data and the curve fit at the respective positions  $\Delta V_{\max}$  in V. Additionally, every curve fit class (i.e. `dischargeCurves`, `woehlerFit`, etc.) in this package implements the `curveFitInterface`, which contains the root mean square error `rmse` as a property. The `rmse` for a curve fit with the raw data  $y_{\text{raw}}$  and the fitted data  $y_{\text{fit}}$  at the same respective  $x$  coordinates is defined as

$$rmse = \sqrt{\frac{\sum_{i=1}^n (|y_{\text{raw},i} - y_{\text{fit},i}|)^2}{n}} \quad (2)$$

where  $i$  is the index of the measurement and  $n$  is the number of measurements. In the case of a `dischargeFit` object,  $y_{\text{raw},i}$  is the measured voltage at the discharge capacity  $C_{\text{dis},i}$  and  $y_{\text{fit},i}$  is the fitted voltage at  $C_{\text{dis},i}$ . Often used for forecasting models, the `rmse` provides

**Table 1:** Accessible properties of the `dischargeFit` class.

Name	Description	Unit	Set access
<code>x</code>	8x1 vector of fit parameters	-	public
<code>dV_mean</code>	Mean voltage difference between raw data and fit	V	read only
<code>dV_max</code>	Max voltage difference between raw data and fit	V	read only
<code>T</code>	Temperature at which the curve was recorded	K	immutable
<code>z</code>	Current of the curve	A	immutable
<code>mode</code>	Method used for fitting ('fmin', 'lsq' or 'both')	-	public
<code>rmse</code>	Root mean square error	V	read only

a good measure of accuracy when comparing two models of the same data set [4]. In the previous examples, the curve fit using the 'lsq' method (Figure 1, left) has an *rmse* of 0.0244 V. Using the 'fmin' mode (Figure 1, right) improves the *rmse* to a value of 0.0162 V and using the fit mode 'both' (Figure 2, left) further improves it to 0.0157 V. The lowest *rmse* (0.0106 V) is achieved with the custom fit parameter initialization (Figure 2, right). A list of the class's accessible properties is provided in Table 1. The `z` property is inherited from the `curveFitInterface`. Setting the `x` or `mode` properties will cause the object to re-run the fitting algorithm, thus likely resulting in different values for `x` than were set by the user.

### 1.1.4 Usage of a `dischargeFit` object

In order to calculate a voltage for a given discharge capacity, the object can be treated like a function handle, by using `subsref` indexing.

```

1 d = dischargeFit(V, C_dis, I, T, 'mode', 'fmin');
2 Cd = 1.5; % Discharge capacity in Ah
3 V = d(Cd); % Voltage in V
4 Cd_vect = linspace(0, 3, 1000); % Vector of discharge capacities in Ah
5 V_vect = d(Cd_vect); % Corresponding vector of voltages in V

```

A `dischargeFit` object is not accessed directly by the battery model, but rather stored in a `dischargeCurves` object. After creating a `dischargeFit`, it can be added to a `dischargeCurves` collection by using the `add()` method (see section 1.2). Alternatively, it can be added directly to a subclass of the `batteryInterface` (see section 3.3) using its `addcurves()` method.

## 1.2 Collection of discharge curves

A single discharge curve can be used to model the behaviour of a battery for a given current. However, in reality, a battery will often be charged or discharged with different currents. In many cases, the current may change from one simulation time step to another. In order to be able to determine the voltage as a function of  $C_{\text{dis}}$  and  $I$ , multiple `dischargeFit` objects are wrapped by a `dischargeCurves` object, which is described in the following sections.

### 1.2.1 Creation of a `dischargeCurves` object

There are two ways to initialize a `dischargeCurves` object. The first option is to create an empty object and using the class's `dischargeFit()` method to add curve fits. The `dischargeFit()` method has the same syntax as the `dischargeFit` class's constructor.

```
1 dC = dischargeCurves;
2 I = [0.6; 1; 3; 5; 10; 20]; % Vector of currents in A
3 T = 293; % Temperature in K
4 for i = 1:6
5     dC.dischargeFit(raw(i).V, raw(i).Cd, I(i), T)
6 end
7 % raw is a struct array containing the measured curve data
8 % from the data sheet.
```

This option has the advantage of reducing clutter in the workspace. However, changing the parameters and analysing the accuracy of the individual curve fits is more complicated. Alternatively, the `dischargeFit` objects can be created, modified and then passed to the `dischargeCurves` constructor.

```
1 d1 = dischargeFit(raw(1).V, raw(1).Cd, I(1), T);
2     % Quality analysis and fit perfection here...
3     % More curve dischargeFit object initializations here...
4 d6 = dischargeFit(raw(6).V, raw(6).Cd, I(6), T);
5     % Quality analysis and fit perfection here...
6 dC = dischargeCurves(d1, d2, d3, d4, d5, d6);
7 % Equivalent:
8 dC = dischargeCurves;
9 dC.add(d1)
10 dC.add(d2)
11 % ...
12 dC.add(d6)
```

If a `dischargeFit` is passed to a `dischargeCurves` object that already holds a reference to a `dischargeFit` with the same current, the stored reference is replaced by the new one. Similarly, if two or more `dischargeFit` objects with the same current are passed to a `dischargeCurves` constructor, the first one is ignored.

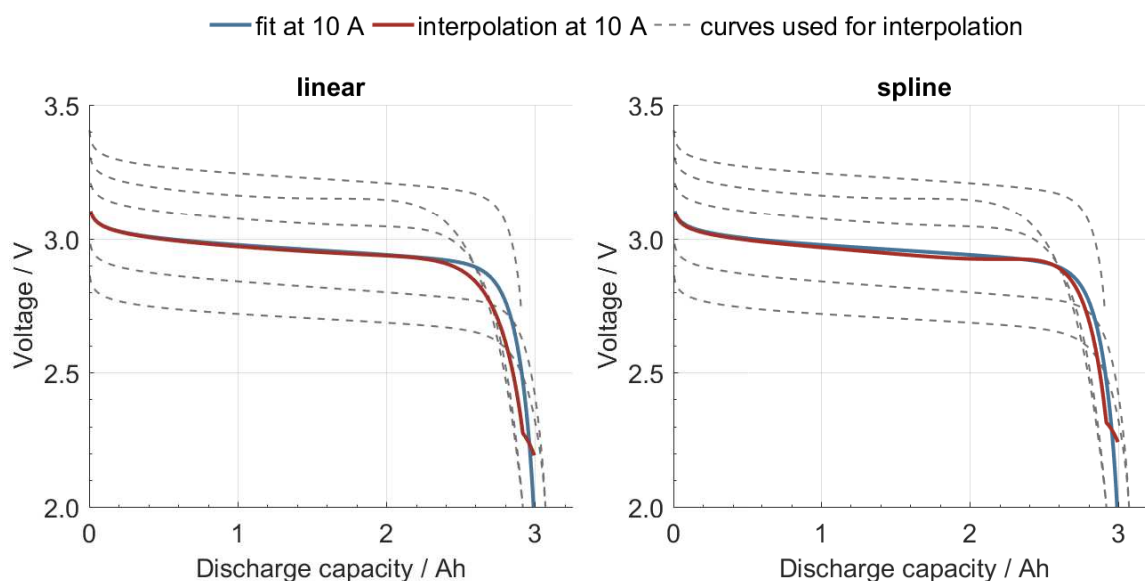
### 1.2.2 Interpolation between curves

The calculation of the voltage for any given current and discharge capacity is done via Matlab's built-in `griddedInterpolant` class, which is called from within the `interp()` method. The syntax for a `dischargeCurves` object `dC` is as follows:

```
1 V = dC.interp(I, Cd);
2 V = interp(dC, I, Cd); % equivalent
```

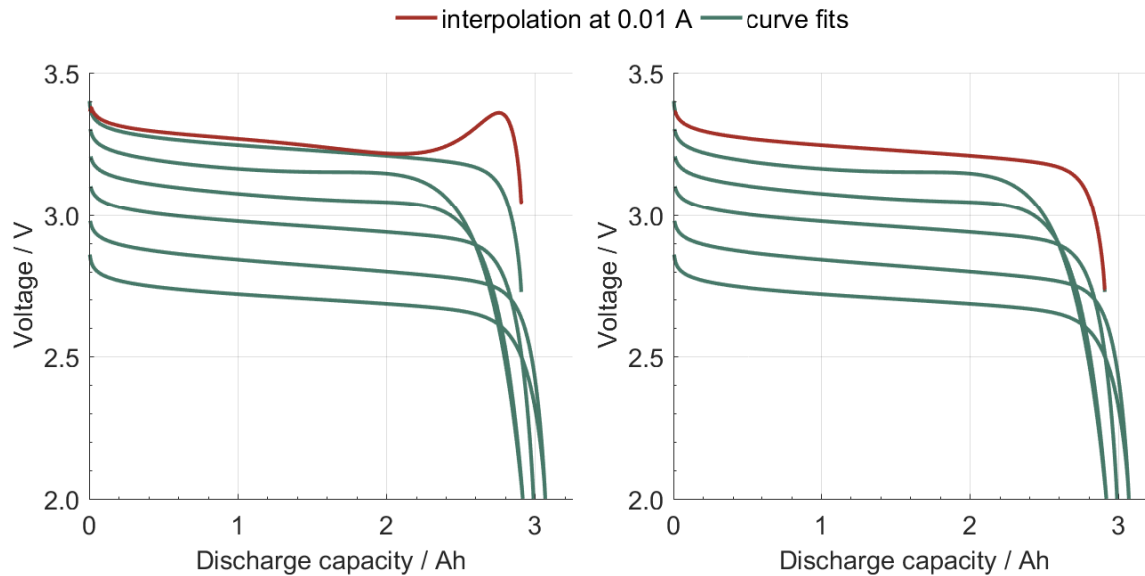
Where  $V$  is the voltage in V,  $I$  is the charging or discharging current in A and  $Cd$  is the discharge capacity after charging or discharging in Ah. If  $I$  is equal to one of the stored `dischargeFit` objects' currents,  $Cd$  is simply passed on to the respective object, which returns the voltage. If  $I$  does not match any of the stored objects and either of the input arguments is not found in the object's cache,  $Cd$  is passed on to each of the stored `dischargeFit` references, creating a vector of voltages for the different currents. Finally, a `griddedInterpolant` is created using the sample points,  $I$  is passed to it and the interpolated voltage is returned and cached. The interpolation method (the default is `'spline'`) can be changed by setting the property `interpMethod`.

A visual validation of the interpolation using the `'linear'` and `'spline'` methods, respectively, is depicted in Figure 3. A collection of `dischargeFit` objects for six currents was created and the fit results were plotted. Then, all fits except for the one at 10 A were added to a `dischargeCurves` object. Finally, the `interp()` method was called for a current of 10 A and a range of discharge capacities, in an attempt to replicate the `dischargeFit` results using interpolation. The linearly interpolated curve (Figure 3, left) is almost identical to the fit until the beginning of the voltage drop at the end. However, the spline interpolation results



**Figure 3:** Comparison of the `dischargeCurves` results using linear interpolation and spline interpolation, respectively. The raw data was extracted from [3].





**Figure 4:** Result of the `interp()` method for a current below the lowest measured current without output limitation (left) and with output limitation (right). The raw data was extracted from [3].

in an overall more precise replication of the fit if the entire curve is regarded. This indicates that the most suitable interpolation method may depend on the maximum depth of discharge *DoD* of the modelled battery. As can be seen in Figure 3, the interpolation bends slightly at the end of the curve (close to a discharge capacity of 3 Ah). This is due to the fact that the voltage returned by a `dischargeFit` object is limited to the minimum and maximum of the raw data, respectively. If it were not limited, it could return `-Inf` or `Inf`, causing the interpolation to fail. Since most lithium ion batteries' *DoD* are limited to 0.8 or 0.9, this bend should rarely cause any issues.

As demonstrated Figure 4 (left), the `interp` method using spline interpolation does not provide a good extrapolation of currents. In order to correct this, the voltage output is limited by the curve fit with the lowest current  $I_{\min}$  and by the curve fit with the highest current  $I_{\max}$ , respectively. As a result, the `dischargeFit` recorded at  $I_{\min}$  is called for any current below  $I_{\min}$  (see Figure 4, left) and the `dischargeFit` recorded at  $I_{\max}$  is called for any current above  $I_{\max}$ . In this model, the battery's maximum discharging current is limited by the `dischargeCurves` object's  $I_{\max}$  (see section 3.3.2).

### 1.2.3 Usage of a `dischargeCurves` object

Similarly to a `dischargeFit`, the results of a `dischargeCurves` object can be visually validated using the `plotResults()` method. Individual curve fit references removed using the `remove()` method and the respective currents.

```
1 % d = dischargeFit object
2 % I = current
3 dC.add(d) % add d to dischargeCurves dC
4 dC.remove(I) % remove the dischargeFit object with current I from dC
```

In order to access the `dischargeFit` references stored within a `dischargeCurves` object, the `createIterator()` method can be used. This creates an iterator object, a Matlab<sup>®</sup> implementation of the `java.util.iterator` interface [5]. The object can be used to iterate through the wrapped `dischargeFit` objects using a similar syntax to that of a JAVA<sup>™</sup> iterator.

```
1 it = dC.createIterator; % returns an scIterator object
2 while it.hasNext % returns true if there is another object to
3     % iterate through
4     d = it.next; % returns a dischargeFit object
5     % more code here
6 end
7 it.reset % resets the scIterator
```

For usage in a battery model, a `dischargeCurves` object is passed to an implementation of the `batteryInterface` (see section 3.3) using its `addCurves()` method.

## 2 Age model

The age model is implemented using the Observer design pattern via Matlab's "Events and Listeners" [6]. This way, various age models (predefined or custom) can be dynamically added to a battery model at run time or even left out completely. Ageing can be simulated on the battery pack level (by treating all cells as one entity) or on the cell level (by observing each cell separately)<sup>ii</sup>. The event oriented age model provided in this package is based solely on cycle counting, for which a mathematical approach developed by [7] is implemented. Descriptions of the counting algorithm and the classes used to implement the age model are provided in the following sections.

### 2.1 Overview

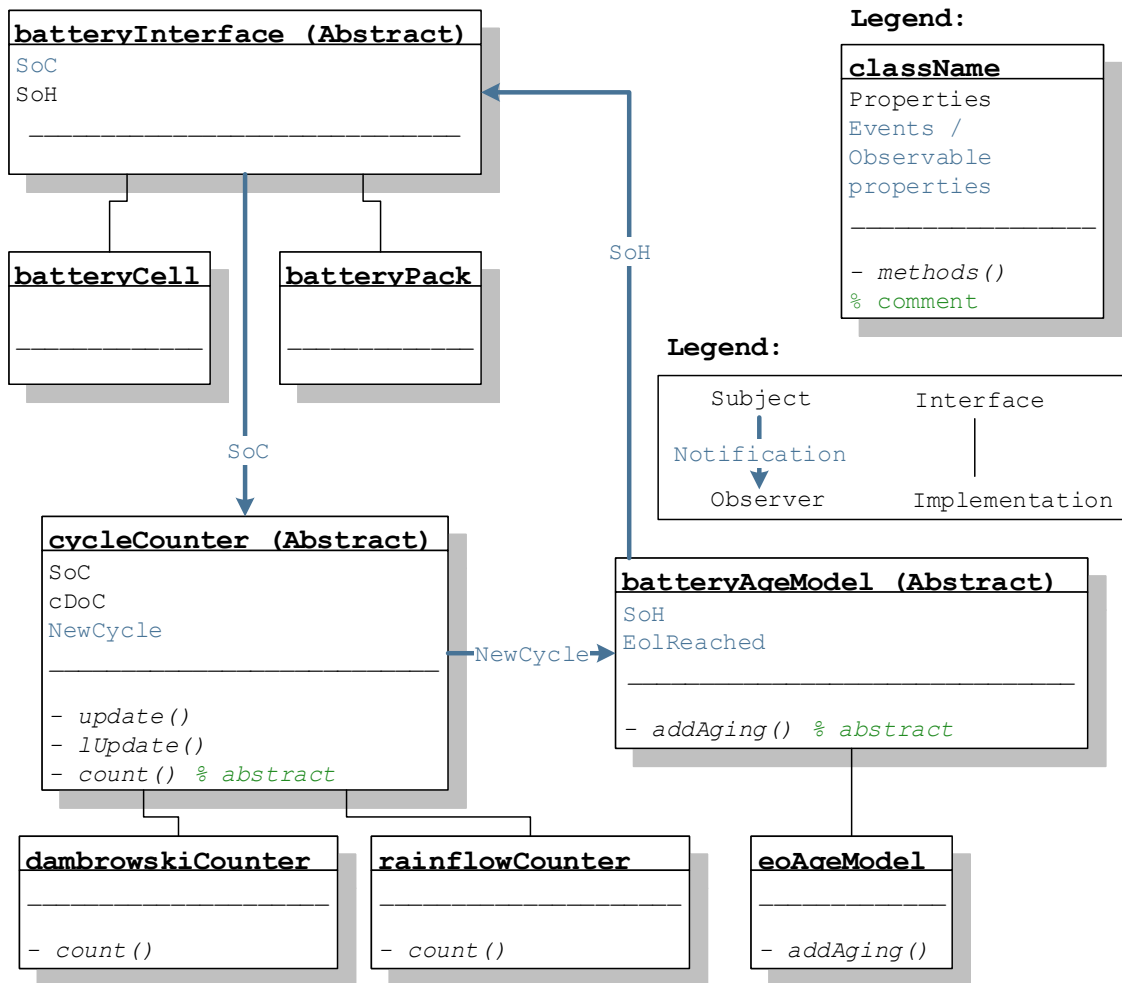
Cycle counting algorithms are designed to count cycles from a set of measured data. A challenge for a running simulation or a battery management system (BMS) that relies on cycle counting is to decide when to count the cycles of an accumulated data set. Counting could be done at fixed time intervals or it could be triggered by a certain event. The latter is the approach implemented by the `cycleCounter` interface, which acts both as an observer of a battery cell or pack as well as a subject for the `eoAgeModel` class.

The observation of charge cycles is handled by the abstract `cycleCounter` interface, in which all methods except for the `count()` method are predefined. An object that implements the interface is regularly updated with the observed battery's *SoC*, which is stored within the object's memory. The cycle counting occurs every time the *SoC* reaches an upper threshold, i.e. the observed battery's maximum *SoC*. After counting, the `NewCycle` event is triggered, causing all of the object's observers (i.e. an `eoAgeModel` object) to be notified that new data is available for simulation. The age model then uses the data to determine the battery's new state of health *SoH* and passes it on to the battery.

An Observer Pattern class diagram of the age model is depicted in Figure 5. The observation is handled by the respective abstract interfaces, while the actual simulation is handled by the implementations. This makes the model highly flexible. For example, a lightweight implementation could be to observe a `batteryPack` using a single `cycleCounter` and a single `batteryAgeModel`. Another option could be to use multiple `cycleCounter` and `ageModel` objects in order to simulate the ageing of each `batteryCell` within a pack individually. The cycle counting can be implemented by various algorithms (two are provided in this package). And advanced users could even replace the default age model implementation (`eoAgeModel`) with a custom class that takes other factors into account, e.g. calendar ageing or thermal influences. In large simulations, it may be of interest to neglect the battery ageing in order to save simulation time. This can either be done by simply not linking up the components

<sup>i</sup>in Matlab®, an observer is often referred to as a "listener". However, "observer" is the more common term in OOP design pattern terminology and will be used throughout this documentation.

<sup>ii</sup>see section3.3.3.



**Figure 5:** Overview of the Observer implementation of the age model with communication flows and inheritance links.

at runtime or by including a `dummyCycleCounter` and a `dummyAgeModel`. These classes implement the `cycleCounter` and `batteryAgeModel` interfaces, respectively. However, calling their methods does nothing. The former option is faster, due to reduced method overhead, but the latter may be more robust in some cases.

## 2.2 Cycle counting

In this pack, two classes have been created to implement the `cycleCounter`'s `count()` method. A `cycleCounter` subclass can be constructed in one of the following ways:

```

1 c = cycleCounter; % sets the initial SoC to 0.2 and the max. SoC to 1
2 c = cycleCounter(init_soc); % sets the initial SoC
3 c = cycleCounter(init_soc, soc_max); % sets the initial SoC and the
4                                     % max. SoC
  
```

where `cycleCounter` must be replaced with the name of the respective class that is being constructed (e.g. `dambrowskiCounter` or `rainflowCounter`). To register the object as an observer of a battery object `bat`<sup>i</sup>, the `initAgeModel()` method can be used.

```
1 % Extract initial SoC and max. SoC from battery
2 init_soc = bat.Soc;
3 soc_max = bat.socMax;
4 % Replace "cycleCounter" with the respective subclass
5 c = cycleCounter(init_soc, soc_max);
6 % Initialize event oriented age model with cycle counter c
7 bat.initAgeModel('ageModel', 'EO', 'cycleCounter', c)
```

Note that the `'ageModel'` option must be specified, otherwise `bat` will internally replace `c` with a `dummyCycleCounter` object to prevent runtime errors. If this happens, a warning message is printed to the command window.

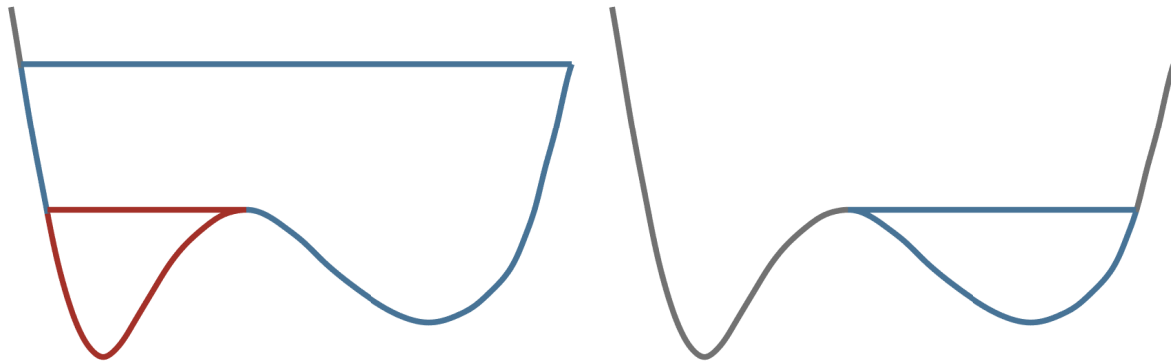
### 2.2.1 The `rainflowCounter` class

The state of the art algorithm for cycle counting, "rainflow", was originally developed for mechanical stress modelling [8] and has recently become popular in the field of battery charge cycle counting [9]. The `rainflowCounter` class was added to this package for the purpose of demonstrating the flexibility of the age model implementation. It acts as an adapter for the popular FileExchange contribution, "Rainflow Counting Algorithm" by Adam Nieslony `'rainflow'????`. In order for the class to work, the MEX functions must be downloaded from [10] and placed within Matlab's search path. They are not included in this package and attempting to construct a `rainflowCounter` object will fail if they are not found. To register a `rainflowCounter` with a battery `bat`, the above syntax must be used, whereby `cycleCounter(init_soc, soc_max)` is replaced by `rainflowCounter(init_soc, ... soc_max)`.

### 2.2.2 The `dambrowskiCounter` class

In 2012, J. Dambrowski, S. Pichlmaier and A. Jossen developed a mathematical definition of a battery's charge cycles along with an algorithm for counting them [7]. Since the counting algorithm was not named, the `dambrowskiCounter` class that implements it in this package was named after one of the authors. In their approach, so-called pre-cycles are counted and compared with each other. This is visualized in Figure 6. The twice depicted *SoC* curve (grey) has two local maxima  $SoC_{\max,l,i}$ , since the last value is counted as a local maximum. Starting from an  $SoC_{\max,l,i}$ , a pre-cycle of "prior equality" is defined as the *SoC* within an interval between the respective  $SoC_{\max,l}$  and the last point at which the *SoC* was equal to  $SoC_{\max,l}$ . Two such pre-cycles are depicted in Figure 6 (left) and coloured in red and blue, respectively.

<sup>i</sup>`bat` can be an object of any class that implements the `batteryInterface` (see section 3.3).



**Figure 6:** Qualitative visualization of pre-cycle counting according to [7]: Two pre-cycles of prior equality (left) and a pre-cycle of subsequent equality (right).

A pre-cycle of "subsequent equality" (Figure 6, right, coloured in blue) is defined as the  $SoC$  within an interval between the respective  $SoC_{max,l}$  and the subsequent point at which the  $SoC$  is equal to  $SoC_{max,l}$ . Finally, a pre-cycle is counted as a cycle if there is no larger pre-cycle that encompasses the same interval and shares the same local minimum. This is not the case for the small cycle (coloured red) in Figure 6 (left); so the depicted curve contains two cycles.

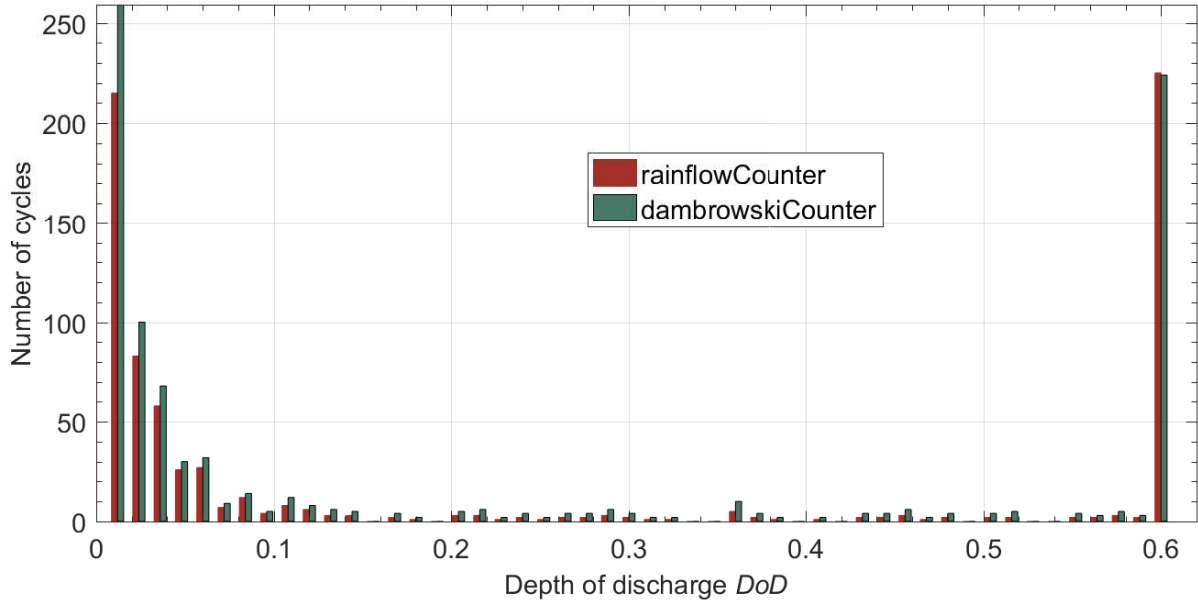
In this package, `dambrowskiCounter` is the default cycle counter if an age model is specified. Thus, it does not have to be passed as an argument in a battery's `initAgeMode()` method.

```
1 bat.initAgeModel('ageModel', 'EO')
2 % Automatically initializes a dambrowskiCounter object with init_soc
3 % and soc_max set according to the battery's properties and links.
```

### 2.2.3 Comparison of the cycle counters

Each `cycleCounter` object's `count()` method converts the saved  $SoC$  profile into a cycle-Depth-of-Cycle  $cDoC$  curve - a vector containing the depths of discharge  $DoD$  of all the counted cycles. The simulation results of two batteries using a `dambrowskiCounter` and a `rainflowCounter`, respectively, are compared in Figure 7. The cycles'  $DoDs$  are each sorted into 50 BINs and compared in a histogram. Overall, the histograms appear very similar, thus proving that both classes produce good results. However, more cycles are counted using the `dambrowskiCounter` class, possibly causing the simulated battery to age slightly faster. While both classes use different methods for determining the extrema<sup>i</sup>, the amount local

<sup>i</sup>`dambrowskiCounter` uses `cycleCounter`'s `iMaxima()` method and `rainflowCounter` uses the `sig2ext()` function 'rainflow'????



**Figure 7:** Comparison of the counted cycles and their DoD between two simulations using the *rainflowCounter* and the *dambrowskiCounter* using the same SoC profile.

maxima found is the same. Thus, the determination of extrema can be ruled out as a cause and the root of the discrepancy must lie within the different counting approaches.

## 2.3 Event oriented ageing model

The event oriented ageing model - a very simple and lightweight model - is implemented by the `eoAgeModel` class, which subclasses the abstract `batteryAgeModel` interface. Cycle ageing is calculated based on a curve fit of the battery's number of cycles to failure  $N_f$  vs  $DoD$  curve.

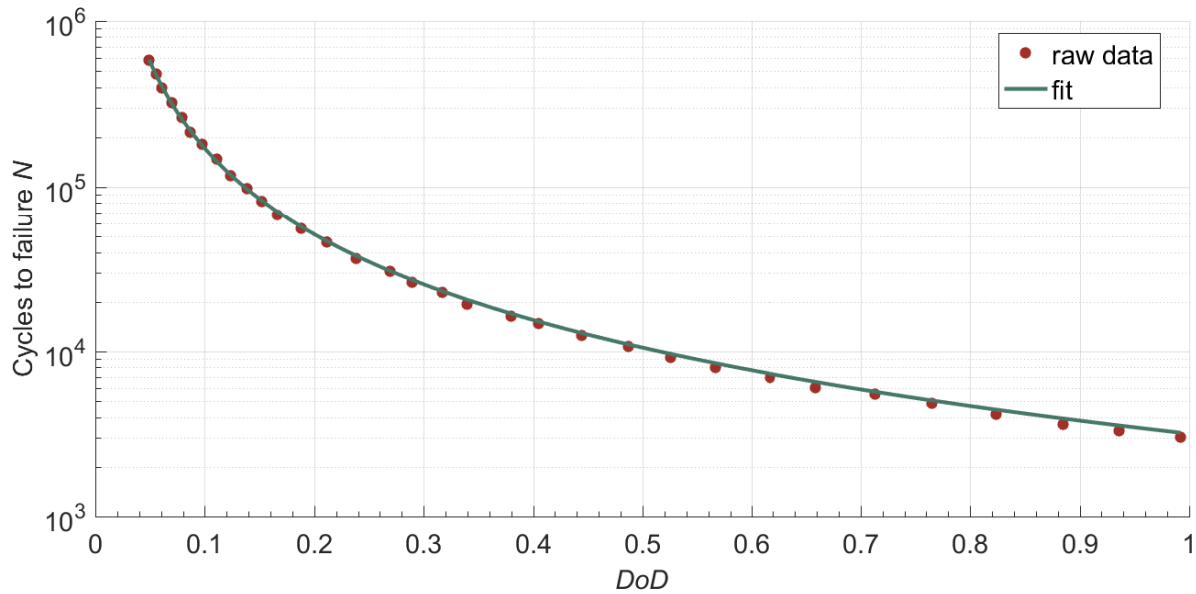
### 2.3.1 Cycle life curve fits

Due to the fact that the cycle ageing can vary strongly between technologies, it can be difficult to find a good fit for the  $N_f$  vs  $DoD$  curve. In order to provide some flexibility, three different classes, each implementing the `curveFitInterface`, are provided for fitting such curves in this package: `woehlerFit`, `nrelcFit` and `deFit`. They only differ in their class names, the number of fit parameters and in the functions used for fitting. The function used in `woehlerFit` is based on a metal fatigue curve (also known as a "Wöhler curve") [11]

$$N_f(DoD) = x_1 \cdot DoD^{-x_2} \quad (3)$$

with the fit parameters  $x_1$  and  $x_2$ . The `nrelcFit` class bases it's fit method on an older model [12].

$$N_f(DoD) = x_1 \cdot \frac{1}{DoD} \cdot \exp\left(x_2 \cdot \left(1 - \frac{1}{DoD}\right)\right) \quad (4)$$



**Figure 8:** Example for a lithium ion battery's  $N_f$  vs  $DoD$  cycle life curve fitted using the *woehlerFit* class.

Finally, the `deFit` class uses a double exponential function that was originally developed for lead-acid batteries [13]. However, it also seems to provide decent results for lithium-ion batteries in some cases.

$$N_f(DoD) = x_1 + x_2 \cdot \exp(-x_3 \cdot DoD) + x_4 \cdot \exp(-x_5 \cdot DoD) \quad (5)$$

An example for the fit results of a `woehlerFit` object is depicted in Figure 8. Due to a lithium-ion battery's extremely large amount of cycles to failure at low  $DoDs$ , large relative errors may occur, especially at  $DoDs$  close to 1. In order to reduce the *rmse*, as many raw data points as possible should be provided for fitting.

A cycle life curve fit object is initialized with the raw data and the optional name-value pairs that the `dischargeFit` and every other subclass of the `curveFitInterface` accepts (see section 1.1.1). It can then be passed on to an age model via its constructor or by setting its `wFit` property.

```
1 % DoD = depth of discharge, N = number of cycles to failure
2 fit1 = woehlerFit(DoD, N);
3 % Plot results to new figure window
4 fit1.plotResults;
5 % Example using lsqcurvefit
6 fit2 = deFit(DoD, N, 'mode', 'lsq');
7 % Example using fminsearch and with custom initial params
8 fit3 = nrelcFit(DoD, N, 'mode', 'fmin', 'x0', [0.5; 1]);
9 % Pass cycle counter cy and fit1 to age model via it's constructor
10 am = eoAgeModel(cy, fit1);
11 % Replace fit1 with fit2 in age model
```



```
12 am.wFit = fit2;
```

Alternatively, a cycle life curve fit can be passed directly to a battery object `bat` via it's `addcurves()` method.

```
1 fit = woehlerFit(DoD, N);
2 bat.initAgeModel('ageModel', 'EO')
3 bat.addcurves(fit, 'cycleLife')
```

If the age model has not been initialized when the curve fit is added, it is stored for later use.

```
1 bat.addcurves(fit, 'cycleLife')
2 bat.initAgeModel('ageModel', 'EO') % fit is automatically passed
3 % to the age model
```

As well as curve fit objects, function handles to functions of one variable are accepted. However, anonymous functions are not recommended, due to their significant performance penalty.

```
1 fit = @(x) (3000 * x.^(-1.73));
2 bat.addcurves(fit, 'cycleLife')
```

### 2.3.2 Cycle ageing

A battery's age  $A_c$  is the opposite of the *SoH*, which is the usable capacity  $C_{bu}$  divided by the nominal capacity  $C_n$ .

$$A_c = 1 - SoH = 1 - \frac{C_{bu}}{C_n} \quad (6)$$

In the `eoAgeModel` class, the ageing due to cycling stress  $A_{cyc}$  with  $n$  cycles and their respective *DoD* is determined from the curve fit  $N_f(DoD)$ .

$$A_{cyc} = \sum_{i=1}^n \frac{1}{N_f(DoD_i)} \quad (7)$$

Every time a set of cycles is counted,  $A_{cyc}$  is determined and added to  $A_c$ .

### 2.3.3 Age model initialization

The default constructor syntax of a `batteryAgeModel` is as follows:

```
1 am = batteryAgeModel; % Replace batteryAgeModel with the subclass name
2 % eoAgeModel requires at least one input (a cycle counter cy)
3 am = eoAgeModel(cy);
4 am = eoAgeModel(cy, cfit); % adds a cycle life curve fit
5 % Specify the SoH at which the end of life is reached (default: 0.2)
6 am = eoAgeModel(cy, cfit, soh_eol);
7 am = eoAgeModel(cy, cfit, soh_eol, soc_ini); % Set the initial SoC
```

To initialize the age model directly from a battery `bat`, use its `initAgeModel()` method.

```
1 bat.initAgeModel('ageModel', 'EO') % default eoAgeModel
```

### 2.3.4 Creating a user-defined age model

The `eoAgeModel` class does not take into account calendar ageing, which may be needed in some cases. It was left out of the default class because in many cases, a weighted degradation factor may be sufficient. The following source code snippet provides an example of how the `eoAgeModel` could be subclassed to extend it with a simple calendar ageing model. A property which holds the battery's calendar life is added and initialized in the constructor, taking into account the end of life age (typically 0.2). Finally, an `addCalAge()` method is added, that calculates calendar ageing as a linear function of the time step size. This method can be called from within the main simulation. To create a completely different age model (i.e. one that takes thermal influences into account), subclass the `batteryAgeModel` class instead.

```
1 classdef myCalendarAgeModel < lfpBattery.eoAgeModel
2 %MYCUSTOMAGEMODEL: An example for a user-defined age model.
3 %Combines the event oriented age model with a linear calendar age model.
4
5     properties
6         L_cal; % calendar life in s
7     end
8
9     methods
10        function obj = myCalendarAgeModel(l, varargin)
11            % l = calendar life in years
12            % varargin = input args of eoAgeModel constructor
13            %% call superclass constructor
14            obj = obj@lfpBattery.eoAgeModel(varargin{:});
15            obj.L_cal = l * 525600 / obj.eolAc; % set L_cal in
16            % seconds taking end of life age into account
17        end
18        function addCalAge(obj, dt)
19            % Adds to the battery's age using the simulation time
20            % step size.
21            % dt = simulation time step size in s
22            % obj.Ac = 1 - obj.SoH
23            obj.Ac = obj.Ac + dt / obj.L_cal; % increment age
24        end
25    end
26 end
```

A user-defined age model can be added to a battery `bat` using its `initAgeModel()` method.

```
1 L_cal = 20; % calendar life in years
2 am = myCalendarAgeModel(L_cal, cy); % user-defined age model
3 bat.initAgeModel('ageModel', am)
```

The above is meant as a rough example for how a user-defined age model could be defined. However, it adds calendar ageing on top of the cycle stress every time the `addCalAge` method is called. This could lead to an unwanted acceleration of the simulated ageing process. A better solution is included in this package.

### 2.3.5 Calendar ageing

The `eoCalAgeModel` class is an extension of `eoAgemodel` that adds the possibility of calendar ageing. It is similar to the example in section 2.3.4. However, calendar ageing is not simply added on top of cycle stress, but set against it. An `eoCalAgeModel` object is created with the same input arguments as an `eoAgemodel` object, with the addition of the battery's calendar life  $L_{cal}$  in years as the first argument.

```
1 am = eoCalAgeModel(L_cal, cy, --);
```

As within the example in section 2.3.4, the calendar ageing  $A_{cal}$  is modelled as a linear function of the simulation time step size  $\Delta t_s$

$$A_{cal} = \frac{\Delta t_s \cdot A_{c,eol}}{L_{cal}} \quad (8)$$

where  $A_{c,eol}$  is the age at which the end of life EOL is reached. The `addCalAge()` method must be called manually from within the main simulation at the end of each time step (after cycling the battery). The total stress for each simulation time step  $t_s$  is the maximum of cycle and calendar ageing.

$$A_{tot}(t_s) = \max(A_{cyc}(t_s), A_{cal}(t_s)) \quad (9)$$

## 3 Battery Composition

The battery pack is modelled using a variation of the Composite design pattern with multiple composite classes<sup>i</sup>. This way, cells can be combined flexibly in various different topologies.

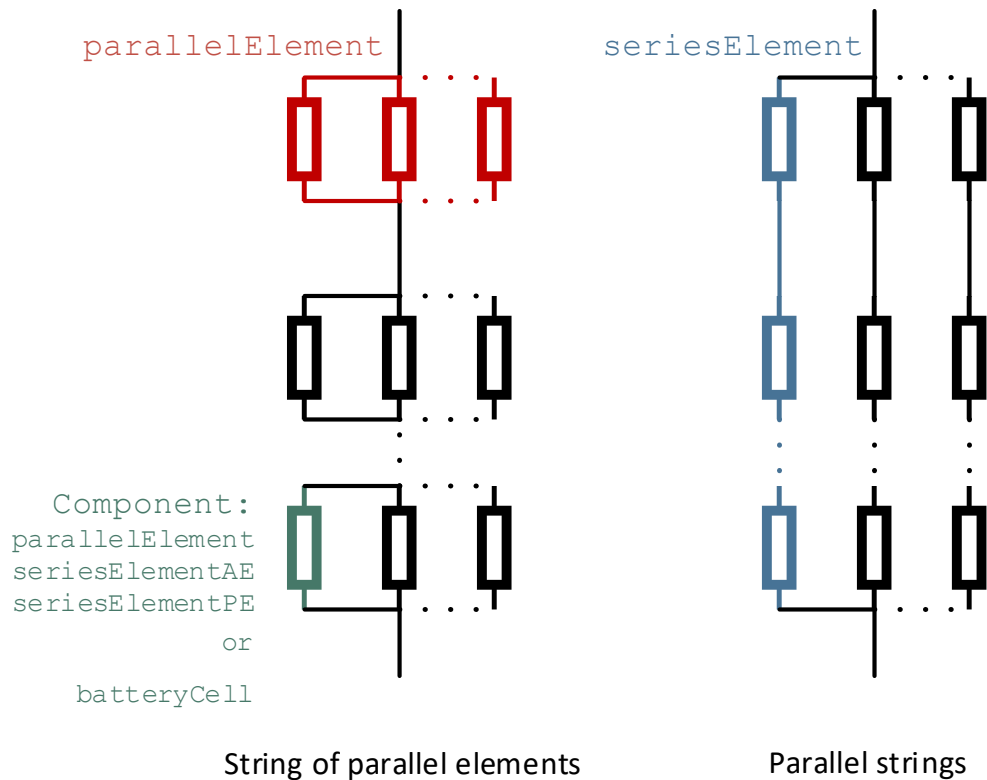
### 3.1 Overview

The `batteryInterface` is the abstract component that defines the interface for all objects in the composition. It is subclassed by all other battery elements. The `batteryCell` objects are the "leaves" and a composite can be one of the following classes:

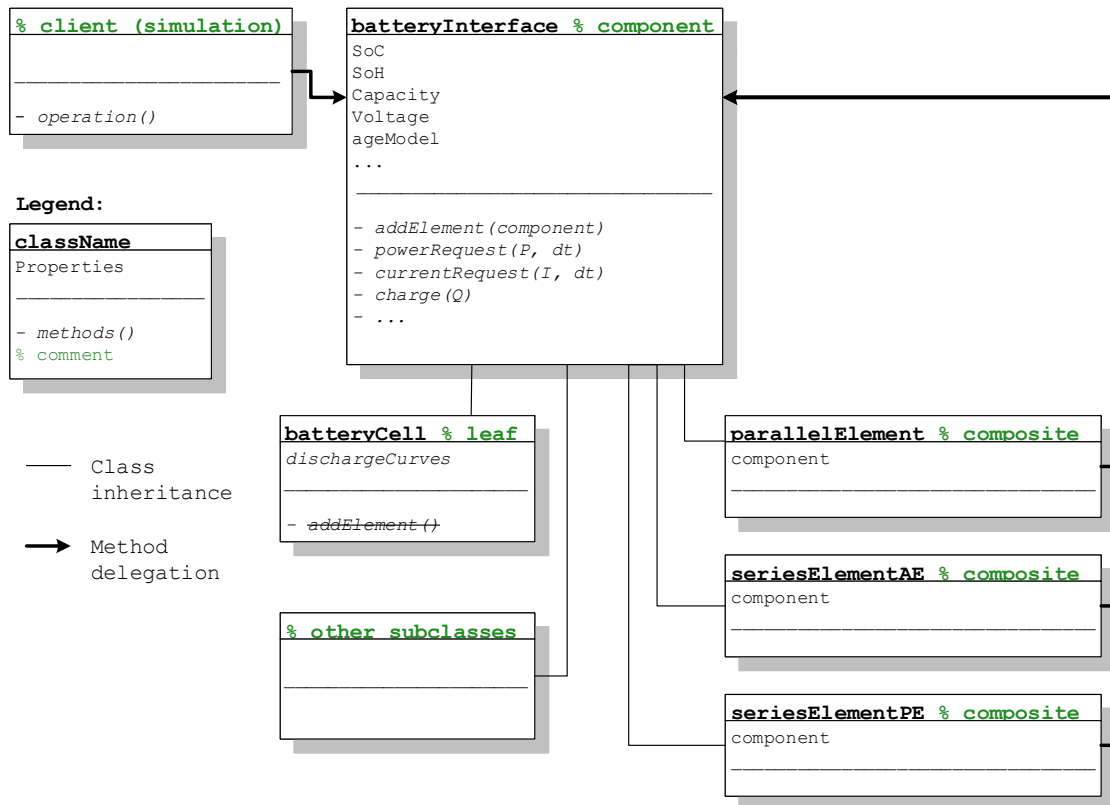
- `parallelElement`: A set of components in parallel.
- `seriesElementAE`: A set of components in series with active equalization.
- `seriesElementPE`: A set of components in series with passive equalization.

Each component can either be another composite object or a leaf. Figure 9 provides a visual overview of the topologies that are possible using different compositions. Using this variation

<sup>i</sup>The basic Composite design pattern has one component interface, one composite class and one leaf class.



**Figure 9:** Visualization of the possible battery topology compositions.

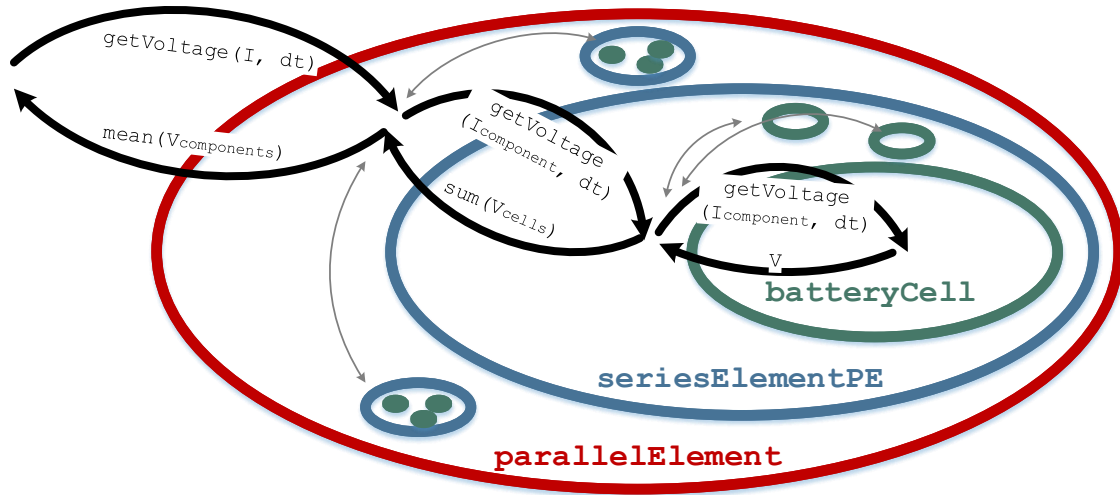


**Figure 10:** Class diagram of the battery composition with communication flows and inheritance links.

of the Composite design pattern, the components can be combined in any possible way at runtime. The most common battery topologies are strings of parallel elements (SP) and parallel strings of cells (PS) [14]. In Figure 9, these would be the case if the composition's leaf nodes (cells) were all in the second layer (marked green). However, since every component can be either a cell or an array of other composite objects, more complicated topologies are made possible in this package.

### 3.2 Method delegation

A pattern diagram of the classes used for the topology composition is depicted in Figure 10. Every composite element holds a reference to a component and delegates the methods called on it to said component. The delegated methods are wrapped with the rules of the respective topology in a similar fashion as is done with the Decorator design pattern. An example of the method delegation for a PS configuration - a `parallelElement` that holds a set of `seriesElement` objects, each in turn holding a set of `batteryCell` objects - is visualized in Figure 11. In this example, a current  $I$  and the simulation time step size is passed to the `parallelElement` via a `getVoltage()` method. The `parallelElement` determines



**Figure 11:** Example of a method being delegated across a battery pack composition.

which portion of  $I$  to send to each of its components and delegates the method. Each `seriesElement` does the same and delegates the method to its `batteryCell` objects. These return their voltages back to the `seriesElement` objects, which sum up the results received from their cells and pass the sum back to the `parallelElement`. Finally, the `parallelElement` calculates the mean of all the summed up voltages it received and passes the end-result back to the client. The following operations are delegated by an object that implements the `batteryInterface`:

- Determination of the new voltage after charging or discharging a with a certain current and time step size. This is delegated to each `batteryCell` object's `dischargeCurves` reference.
- Charging or discharging the battery.
- Determining the state of the battery if it were to be charged or discharged.
- Determining the maximum charging or discharging current.
- Calculating the pack's *SoH*.
- Getters and setters for the component's voltage and capacity properties.
- Getter for the component's internal impedance.

With the number of subcomponents  $n$ , a component's voltage is determined as

$$V_{\text{component}} = \begin{cases} \frac{\sum_{i=1}^n V_{\text{subcomponent},i}}{n} & \text{for a parallel element} \\ \sum_{i=1}^n V_{\text{subcomponent},i} & \text{for a series element} \end{cases} \quad (10)$$

And a component's capacity is

$$C_{\text{component}} = \begin{cases} \sum_{i=1}^n C_{\text{subcomponent},i} & \text{for a parallel element} \\ \min_{i=1}^n C_{\text{subcomponent},i} & \text{for a series element with passive equalization} \\ \frac{\sum_{i=1}^n C_{\text{subcomponent},i}}{n} & \text{for a series element with active equalization} \end{cases} \quad (11)$$

Since the  $SoH$  is derived directly from the capacity (see Equation 6), a component's  $SoH$  can be determined in the same fashion.

$$SoH_{\text{component}} = \begin{cases} \sum_{i=1}^n SoH_{\text{subcomponent},i} & \text{for a parallel element} \\ \min_{i=1}^n SoH_{\text{subcomponent},i} & \text{for a series element with passive equalization} \\ \frac{\sum_{i=1}^n SoH_{\text{subcomponent},i}}{n} & \text{for a series element with active equalization} \end{cases} \quad (12)$$

Due to the fact that the model is based on curve fits, the internal impedance  $Z_i$  property is not used for modelling the charging behaviour directly. It does however, determine how the voltages and currents are distributed across the subcomponents when charging or discharging. The impedance proportionality factor  $p_z$  of a subcomponent with index  $j$  is the component's  $Z_i$  divided by the sum of all subcomponents'  $Z_i$ .

$$p_{z,j} = \frac{Z_{i,j}}{\sum_{i=1}^n Z_{i,i}} \quad (13)$$

When charging, a series element with active equalization will distribute it's voltage equally across all of it's subcomponents to account for balancing, while a series element with passive equalization will distribute it's voltage according to  $p_{z,j}$ . For a parallel element, the current is distributed in such a way that the subcomponent  $j$  with the lowest  $Z_i$  receives the highest current.

$$I_{\text{subcomponent},j} = \frac{\frac{1}{p_{z,j}}}{\sum_{i=1}^n \frac{1}{p_{z,i}}} \cdot I_{\text{component}} \quad (14)$$

### 3.3 Battery Interface

The battery interface is described in the following subsections. Every component implements the `batteryInterface`, so the methods described in this section can be called on `batteryCell` objects and on the composites.

#### 3.3.1 Battery object initialization

To initialize a battery object at runtime, the nominal capacity  $C_n$  in Ah and the nominal voltage  $V_n$  in V must be passed to a `batteryCell` constructor. A composite can be initialized as an "empty" circuit element and the cell (or other composites) can be added to it via it's `addElements()` method<sup>i</sup>.

<sup>i</sup>Here, "empty" is referred to in the sense of not holding any cells, not in the sense of an empty Matlab® variable.



```
1 % Initialize an "empty" parallel element
2 bat = parallelElement;
3 Cn = 3; % Nominal cell capacity in Ah
4 Vn = 3.2; % Nominal cell voltage in V
5 % Initialize 3 battery cells and add them to bat
6 for i = 1:3
7     b = batteryCell(Cn, Vn);
8     bat.addElements(b);
9 end
```

The `addElements()` method also accepts component arrays...

```
1 for i = 1:3
2     b(i) = batteryCell(Cn, Vn);
3 end
4 bat.addElements(b);
```

...and multiple inputs:

```
1 b1 = batteryCell(Cn, Vn);
2 b2 = batteryCell(Cn, Vn);
3 b3 = batteryCell(Cn, Vn);
4 bat.addElements(b1, b2, b3);
```

To create a composition like the example in Figure 11 (see also Figure 9, right), the following syntax could be used:

```
1 % Initialize "empty" parallel element
2 bat = parallelElement;
3 % Initialize 3 "empty" series elements each holding 3 cells
4 for i = 1:3
5     se = seriesElementPE; % passive equalization
6     for j = 1:3
7         se.addElements(batteryCell(Cn, Vn))
8     end
9     % Add series elements to bat
10    bat.addElements(se)
11 end
12 % Further initialization operations, e.g. bat.addcurves() here...
```

### 3.3.2 Battery charging and discharging

Battery charging<sup>i</sup> is handled by the methods `powerRequest()` and `currentRequest()`. Both functions are called in a similar manner. The syntax is as follows:

```
1 [P, V, I] = bat.powerRequest(P, dt);
2 [P, V, I] = powerRequest(bat, P, dt); % equivalent
3 [P, V, I] = bat.currentRequest(I, dt);
4 [P, V, I] = currentRequest(b, I, dt); % equivalent
```

Where  $P$  is the requested power  $P$  in W,  $I$  is the requested current  $I$  in A and  $dt$  is the simulation time step size  $\Delta t_s$  in s. The methods return the actual power throughput in W, the battery's voltage  $V$  at the end of the time step and the actual current throughput in A. The returned power and current is limited by the *SoC* or the cells' maximum currents, among other factors. Figure 12 contains a flow chart of the charging process. The client sends a request to the battery. If the requested power is not equal to zero and the battery's *SoC* is not already at it's upper or lower limit, a charge iteration is performed (the `iteratePower()` and

<sup>i</sup>Discharging will also be referred to as charging (with a negative current) in this documentation.

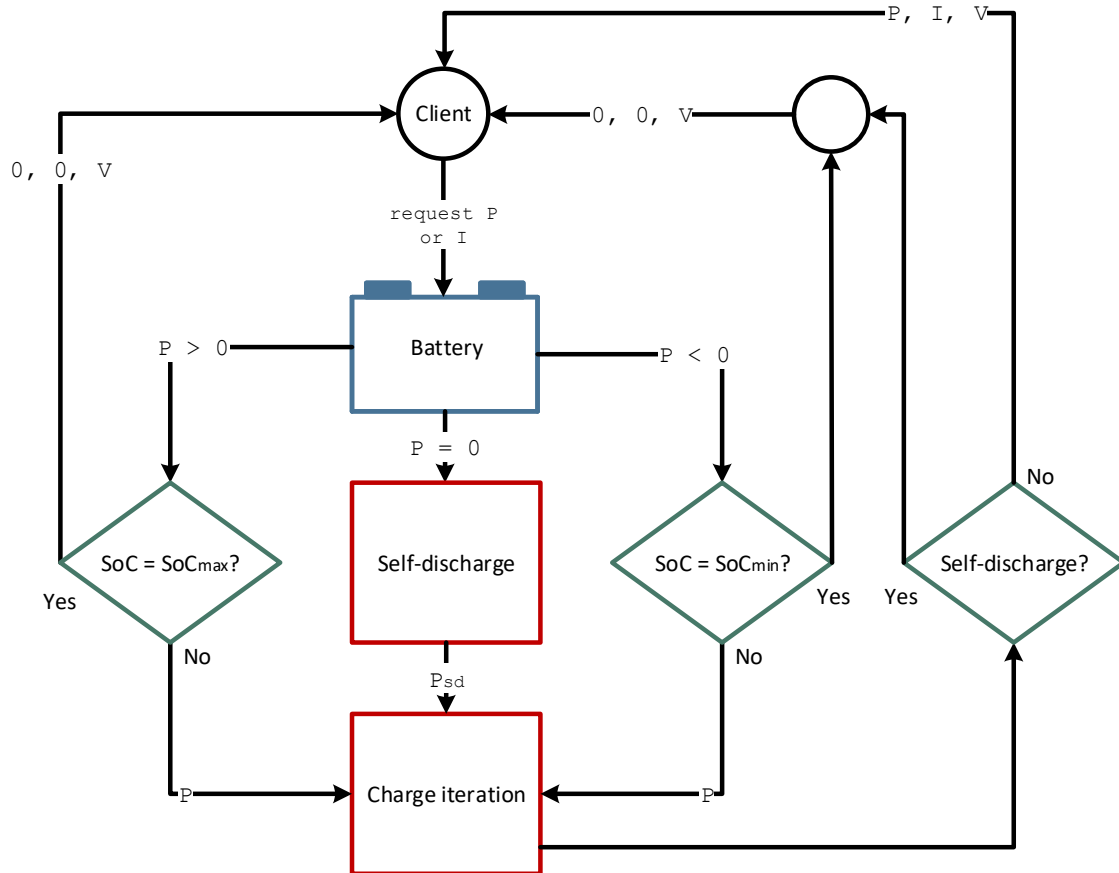


Figure 12: Flow chart of the `powerRequest()` and `currentRequest()` methods.

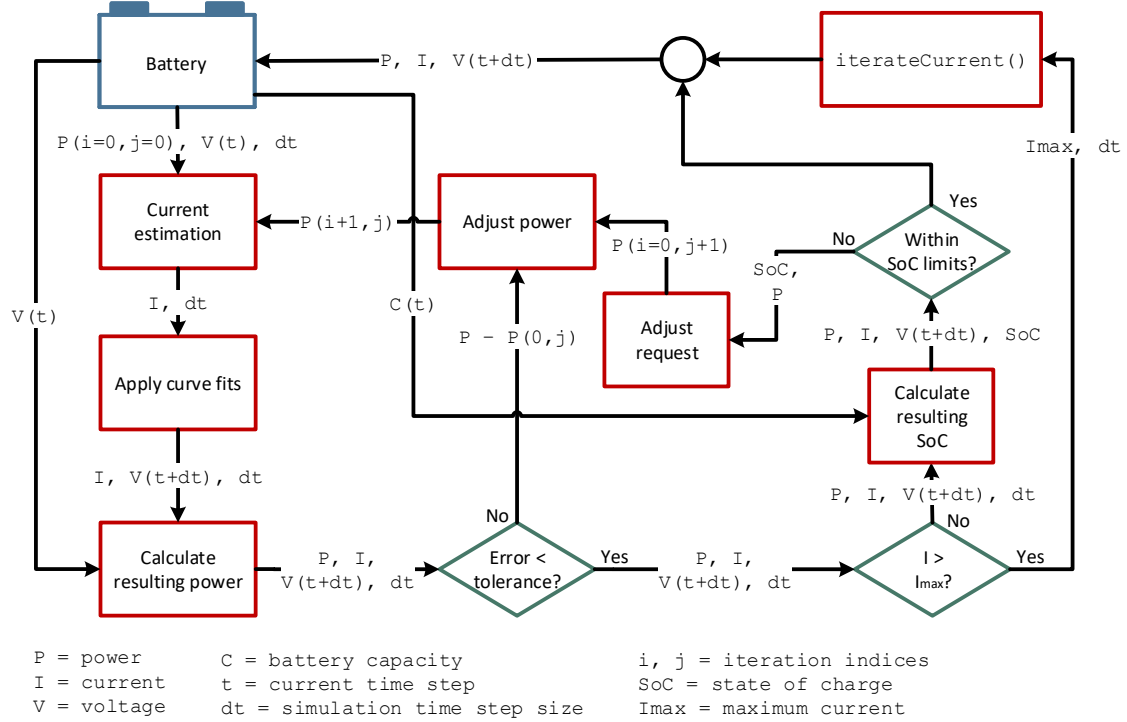


Figure 13: Flow chart of the *iteratePower()* method.

*iterateCurrent()* methods are called, respectively) and the resulting power, current and voltage are returned to the client. A positive input to the charge iteration indicates charging and a negative input specifies discharging. If the request is zero, signalling that the battery is in an idle state, a logical flag is set to true and the charge iteration is called with the battery's self-discharge  $P_{sd}$ . The logical flag is checked after every call to the charge iteration methods in order to return a power and current of zero to the client if it was set to true. If the *SoC* is either at it's upper or lower limit, the battery simply returns it's voltage along with a power and current of zero.

A flow chart of the *iteratePower()* method is depicted in Figure 13. First, a current is estimated from the requested power and the battery's voltage. The current and the time step size are then delegated to the battery cells' *dischargeCurves* objects, in order to determine the resulting voltage. An approximation of the power is determined from the mean of the returned voltage and the battery's old voltage. This is repeated through recursion until the difference between the iterated power and the originally requested power meets a certain tolerance. If the resulting current is greater than the battery's maximum current  $I_{max}$ , the *iterateCurrent()* method is called using  $I_{max}$  as an input. It's output current, the resulting power and voltage are returned. Otherwise, the *SoC* is determined and compared the battery's upper and lower limit. If the *SoC* is within the interval  $[SoC_{min}, SoC_{max}]$ , the power, current and voltage are returned. Otherwise, the requested power is adjusted according to the difference between the *SoC* and the respective limit that was exceeded, thus starting the iteration again.

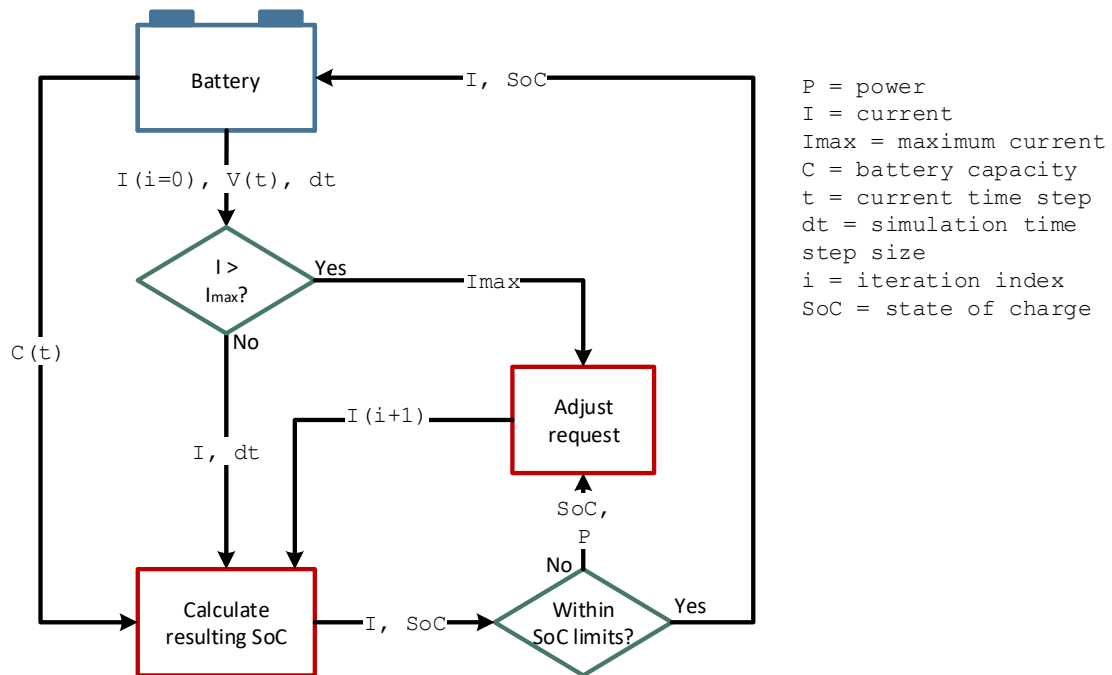


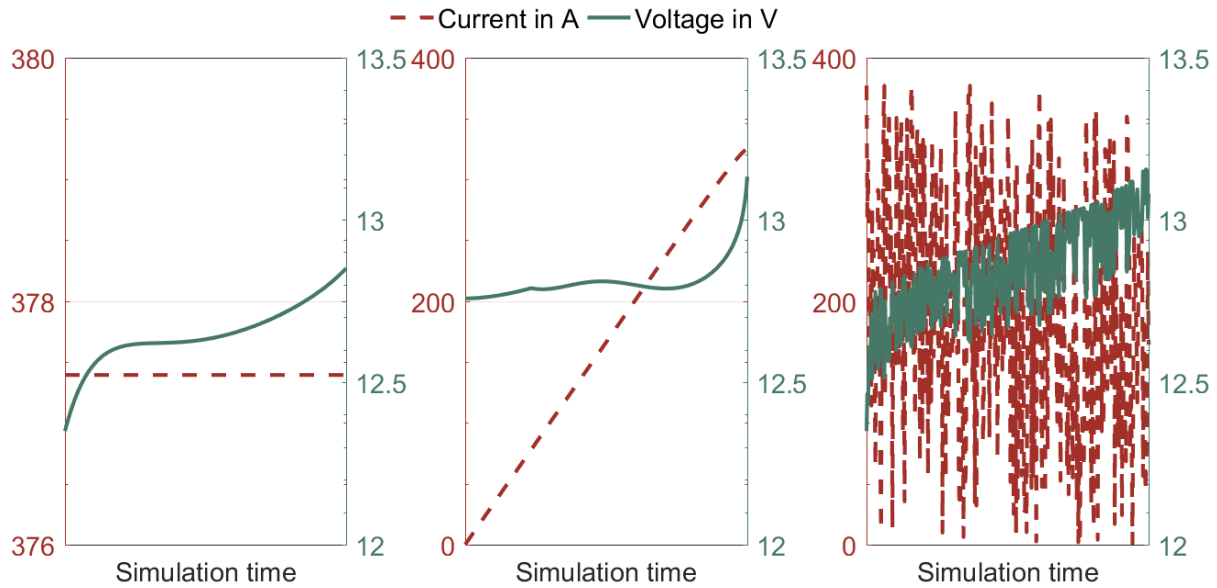
Figure 14: Flow chart of the `iterateCurrent()` method.

Figure 14 depicts a flow chart of the `iterateCurrent()` function. Using this method is a lot faster than using the `iteratePower()` function, due to its comparative simplicity. However, the current may need to be determined separately in some cases. Before the iteration, the current is limited to  $I_{max}$ . Finally, the another limitation is performed if the  $SoC$  is not within the interval  $[SoC_{min}, SoC_{max}]$ . Normally, one or two iterations should suffice for returning the current and  $SoC$ . The voltage and power are not calculated and must be determined by calling the `getNewVoltage()` method if required<sup>i</sup>.

The results of three charging simulations of a battery pack are depicted in Figure 15. Since the data sheet [3] that was used does not contain any voltage curves for charging currents, the discharge curves were used for charging, too<sup>ii</sup>. Every time, the empty pack was charged until an  $SoC$  of approx. 0.9 was reached. In the first simulation (on the left hand side), the battery was charged with a constant current  $I_{max}$ . The resulting voltage is an interpolation between two curve fits and appears to have perfect results upon first glance. For the second simulation (Figure 15, center), the current was linearly increased between 0 and  $I_{max}$ . The resulting voltage is a curve that interpolates all of the curve fits at different capacities. Here, the problems of using discharge curves for charging become apparent. The voltage is higher than in the first simulation - especially at lower currents. This is typical behaviour for discharging. However, a lower charging current should result in lower voltages. Due to this problem, it is advisable to add separate charging and discharging curve fits to the model. This can be done

<sup>i</sup>For example, this is done within the `currentRequest()` method, which does return the voltage and power.

<sup>ii</sup>This is the default behaviour if no charge curves are added.

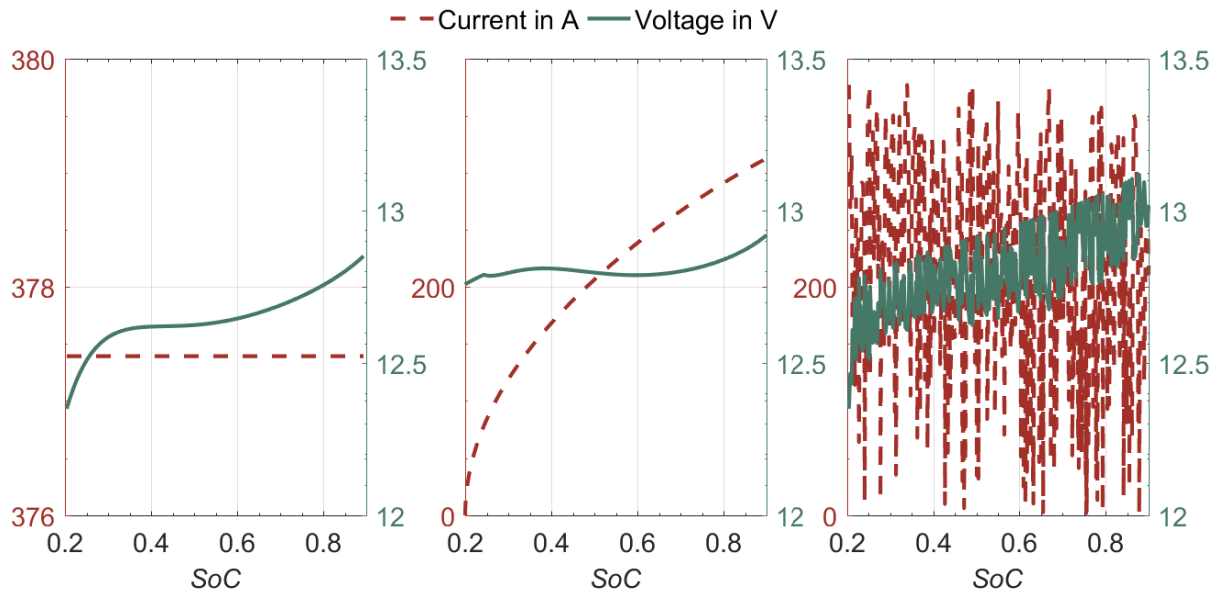


**Figure 15:** Comparison of battery pack charging simulations using a constant current, a linearly increasing current and a random current, respectively - Voltage vs. simulation time. The pack's cells were modelled according to [3].

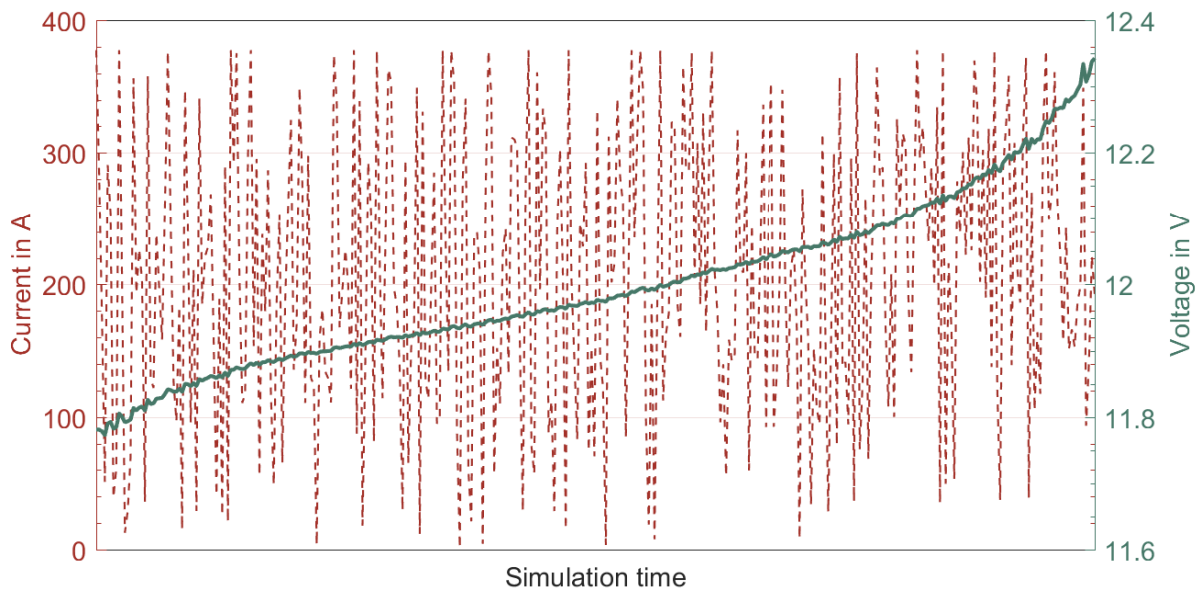
via the `addcurves()` method:

```
1 bat.addcurves(chargeCurvefitObj, 'charge')
2 bat.addcurves(dischargeCurvefitObj, 'discharge')
```

A charge curve fit can be fitted using the `dischargeFit` class or the `dischargeFit()` method (see section 1.1) or a user-defined class that implements the `curveFitInterface`. A random distribution of currents within the interval  $[0, I_{\max}]$  was used for the third simulation (Figure 15, right). The main issue of this model's approach using curve fits is emphasised here. Voltage leaps occur if the current changes drastically from one time step to another. It is highly doubtful that a battery would behave like this in reality, since the discharge curves are actually measured by discharging with a certain current and then waiting for long periods of time (e.g. up to four hours) until the resting voltage stabilizes before taking measurements [15]. A possible solution in a simulation that charges and discharges with strongly fluctuating currents could be to smooth the returned voltages out with a running mean or to use a customized version of the `dischargeCurves` class (see section 1.2) that always returns the mean of all currents' voltages as a function of the *SoC*. The `mdischargeCurves` class was added to this package for that purpose. As is shown in Figure 16, plotting the voltage against the *SoC* causes the curves to follow more similar functions than when plotting them against the current. By using the `mdischargeCurves` class instead of the `dischargeCurves` class, the volatile curve with random currents can be flattened out to produce the results in Figure 17. It should be noted, however, that the mean charging and discharging currents of the simulation must be known so that the relevant curve fits can be added accordingly.



**Figure 16:** Comparison of battery pack charging simulations using a constant current, a linearly increasing current and a random current, respectively - Voltage vs. SoC. The pack's cells were modelled according to [3].



**Figure 17:** Simulation of battery charging with random currents using the `mdischargeCurves` class for voltage calculation. The resulting voltage is a function of the battery's SoC.

An `mdischargeCurves` object shares the exact same interface as a `dischargeCurves` object. To convert the classes into each other, use the `add()` method.

```
1 d2 = mdischargeCurves;
2 d2.add(d); % Adds all of the dischargeCurves' dischargeFit objects
3           % to the mdischargeCurves object
4 % Works the other way around, too
5 d = dischargeCurves;
6 d.add(d2)
```

### 3.3.3 Age model level

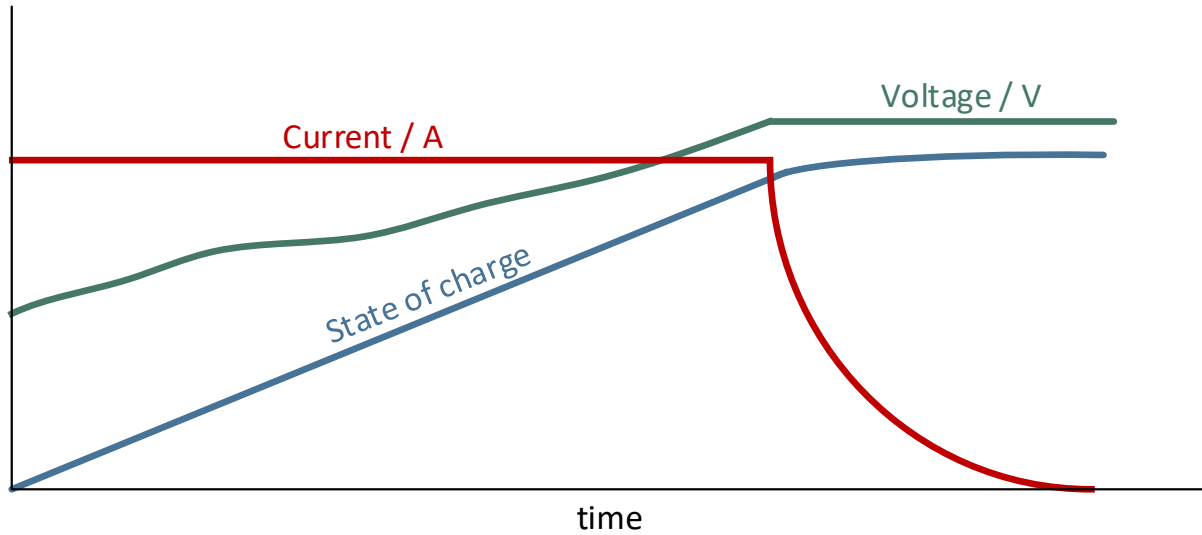
The age model (see section 2) can be left out completely, added on the pack level or added on the cell level. Adding it on the pack level is done by calling `initAgeModel()`<sup>i</sup> on the outermost wrapper object, e.g. a `batteryPack` (see section 3.6), a `parallelElement`, a `seriesElementPE`, etc. Doing so will cause the battery pack's total *SoC* to be observed for cycle counting and the pack's *SoH* to be updated by the `batteryAgeModel` object. If the cells have varying properties (i.e. different internal impedances), their individual cycles may vary and it could make sense to add an age model to each cell individually. This can be done by calling `initAgeModel()` on every cell. The cells can be extracted using the `createIterator()` method, which returns a `batteryIterator` object that can be used to iterate through the cells. To indicate that the age model is set to the cell, level, the main battery pack object (outermost wrapper) must have its age model set to `'LowerLevel'`, or the *SoH* will not be calculated correctly. The following code provides an example of extracting a battery pack `bat`'s cells and setting the age model.

```
1 it = bat.createIterator;
2 while it.hasNext % Iterate through cells
3     b = it.next; % batteryCell object
4     b.initAgeModel('ageModel', 'EO')
5 end
6 % Make sure 'LowerLevel' option is set on outermost wrapper
7 bat.initAgeModel('LowerLevel')
```

## 3.4 CCCV charging and BMS

Typically, a lithium-ion battery is charged using a constant current / constant voltage (CCCV) charging strategy. A qualitative example of the CCCV strategy is depicted in Figure 18. In the CC phase, a constant charging current causes the *SoC* to increase linearly over time while the voltage rises according to the respective charging curve (see section 1.2). When the voltage

<sup>i</sup>The `initAgeModel()` method is described in sections 2.2 - 2.3.



**Figure 18:** Qualitative example of a CCCV charging curve.

reaches a certain threshold, the current is reduced in order to stabilize the voltage during the CV phase. As a result, the *SoC* is no longer a linear function of time and increases at a slower pace. In practice, this charging strategy works well for individual cells and cells connected in parallel, since the voltage is distributed evenly across all cells. However, this is not the case for cells connected in series. A CCCV charger may limit the total voltage, but it has no knowledge of the distribution across the individual cells, which could result in the cells with the highest voltage getting damaged [16]. To prevent this, a battery management system (BMS) is required. The BMS monitors each cell individually and communicates with the charger. In the case of active equalization, the BMS rebalances the charge and voltages among the cells. This can be modelled by using the `seriesElementAE` class (see section 3.1).

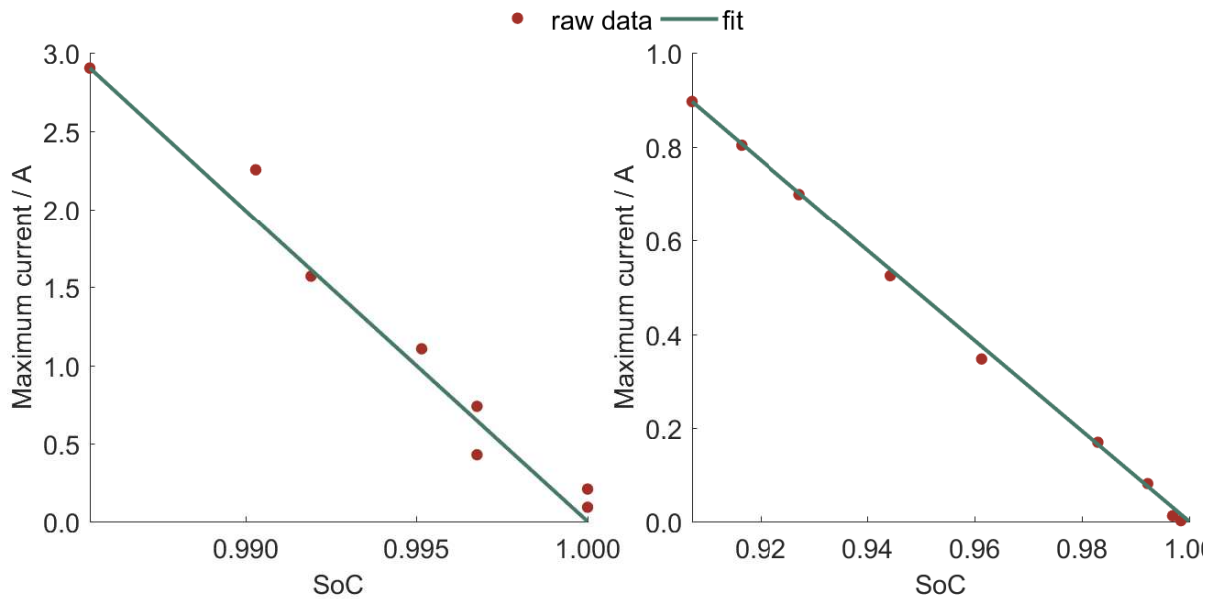
### 3.4.1 CCCV curve fits

Due to the fact that simulations using variable currents are possible with this model, the charge limitation in the CV phase is done by limiting the maximum charging current  $I_{\max}$  as a function of the *SoC*. Thus, lower currents than  $I_{\max}$  are also possible during the CC phase. By default,  $I_{\max}$  is limited according to the discharge curve with the largest current stored in the cell's `dischargeCurves` reference (see section 3.3.2). If a set of charge curve fits is added without adding a CCCV curve (using the `'charge'` option of the `addcurves()` method),  $I_{\max}$  is set according to the charge curve with the greatest current. Finally, if a `cccvFit` object is added,  $I_{\max}$  is dynamically set as a linear function of the *SoC* according to the CCCV curve fit.

$$I_{\max}(SoC) = \frac{I_{\max,CC}}{1 - SoC_{CC/CV}} \cdot (1 - SoC) \quad (15)$$

where  $I_{\max,CC}$  is the maximum current during the CC phase and  $SoC_{CC/CV}$  is the *SoC* at the end of the CC phase. To add a CCCV curve fit to a battery object `bat`, the `addcurves()` method can be called with the `'cccv'` option. The `cccvFit` class im-





**Figure 19:** Linear curve fits of  $I_{\max}(SoC)$  during the CV phase for two different battery cells.

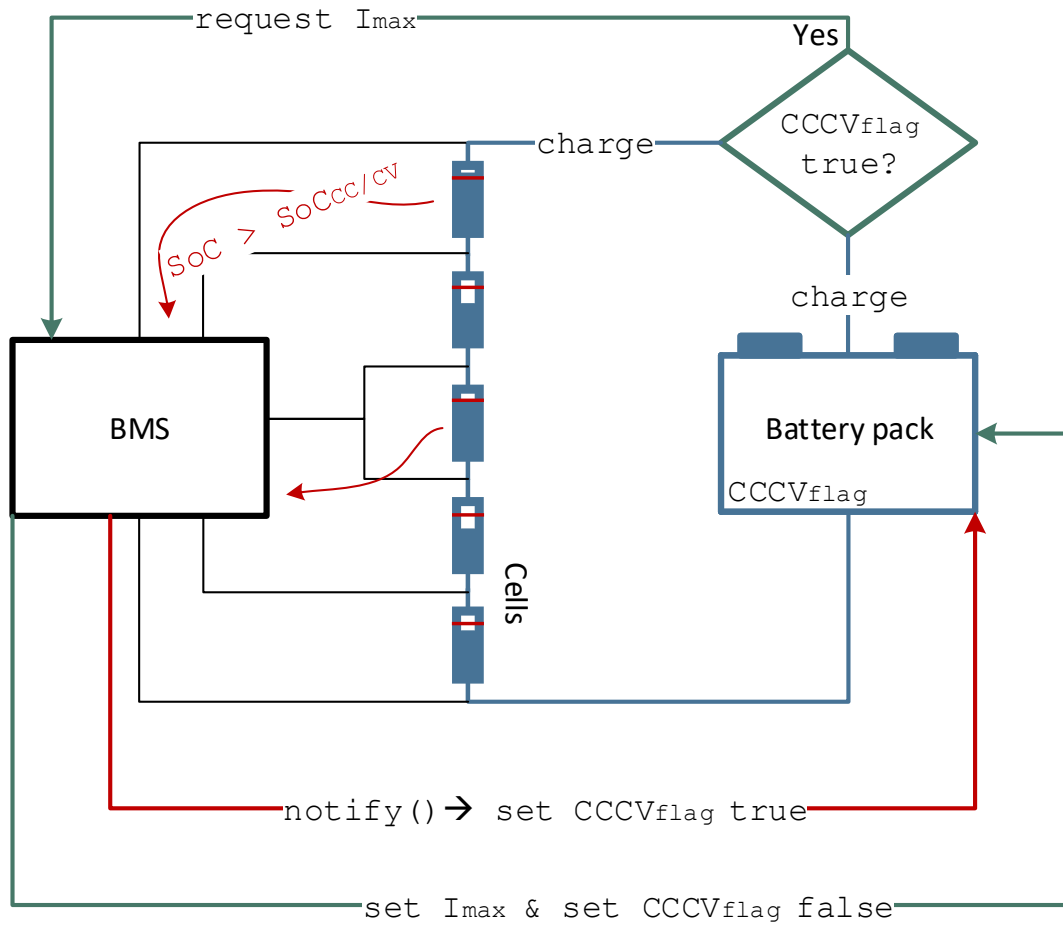
plements the `curveFitInterface` and is initialized with the raw data ( $SoC$  and  $I_{\max}$  with  $I_{\max} = f(SoC)$ ) and the optional name-value pairs described in section 1.1. If for some reason the curve's maximum  $SoC$  is not 1, it should be passed to the constructor via a third argument  $SoC_{\max}$ .

```
1 % CCCV curve fit
2 c = cccvFit(soc, iMax);
3 c2 = cccvFit(soc, iMax, socMax); % with SoC limitation
4 bat.addcurves(c, 'cccv') % add c to battery object
```

Two curve fits for two different battery cells' CV phases are depicted in Figure 19. For the cell fitted on the right hand side, the linear model provides a very good approximation. However, for cells with short CV phases resulting in small  $SoC$  gradients (fitted on the left hand side), the digitized raw data can appear more noisy due to the difficulty in digitizing the image.

### 3.4.2 Cell monitoring and communication with the charger

In this model, the cell monitoring and communication with the charger are implemented using the Observer design pattern. The cells take on the role of the subjects and are automatically registered with the pack they are added to via the `addElement()` method (see section 3.3). The communication flows between the BMS and the charger are visualized in Figure 20, with each communication path colour coded. Each battery composite object (e.g. a battery pack) contains a logical flag that indicates whether CV charging is active (true) or not (false). If a cell's individual  $SoC$  reaches the threshold at which CV charging is activated, the battery pack is notified, causing its flag to be set true. This notification is also sent out if a cell that was in the CV phase moves back into the CC phase by being discharged. At the beginning



**Figure 20:** Schematic visualization of the BMS combined with CCCV charging.

of each time step, the maximum charging current is recalculated if the battery pack's CCCV flag is true. This also sets it false again to prevent unnecessary recalculations. If the flag is false, charging continues with the last cached  $I_{\max}$ . Using this method significantly reduces simulation time compared to directly triggering a recalculation of  $I_{\max}$  every time a cell's  $SoC$  threshold is reached.

### 3.5 Simplified model

The cell resolution of the battery model provided in this package can lead to long simulation times for packs containing large numbers of cells. For example, a 12 V pack with a capacity of 390 Ah (ca. 4.7 kWh) may contain over 500 cells (assuming a nominal cell voltage of 3.2 V and a nominal cell capacity of 3 Ah). In many simulations, the individual ageing and voltage distribution of cells may be of little to no interest. For such cases, a simplified version of the model was devised. It was implemented using the Decorator design pattern, which functions similarly to the Composite pattern used for the non-simplified model (see section 3.1). The

decorator (wrapper) objects used in the simplified model are

- `simplePE`: A set of components in parallel.
- `simpleSE`: A set of components in series.

Much like their non-simplified counterparts, they can hold a reference to either a `batteryCell` or another wrapper object. The difference is that the decorator can only wrap a single object, rather than an array of objects. The number of subcomponents is stored as a separate property. Instead of simulating individual cells and delegating the methods to each of the subcomponents (see section 3.2), the methods are delegated to a single subcomponent (and ultimately to a single cell) and the number of subcomponents is used to determine the end result. Consequentially, passive equalization cannot be modelled using the simplified model. Also, the age model can only be added on the pack level<sup>i</sup>. While the `simplePE` and `simpleSE` classes do implement the `batteryInterface` and thus share all methods, their constructors are called differently. To construct a "simple circuit element" decorator, the wrapped object and the number of subcomponents it holds are passed as input arguments.

```
1 b1 = batteryCell(3, 3.2);
2 b2 = batteryCell(3, 3.2);
3 % add dischargeCurves, etc. to b1 & b2...
4 se = simpleSE(b2, 3); % 3 cells in series
5 pe = simplePE(b1, 3); % 3 parallel cells
6 sp = simpleSE(pe, 3); % 3 strings of parallel elements
7 ps = simplePE(se, 3); % 3 parallel strings of cells
8 ps.initAgeModel('ageModel', 'EO') % initialize age model
```

### 3.6 The `batteryPack` class

For simple, centralized access to most features of this package, a facade of the model was created in the form of the `batteryPack` class. In most use cases, the `batteryPack` will be the only class that needs to be accessed in order to create a fully functional battery pack model. The class contains methods for curve fitting and static functions that launch GUIs for digitizing the curves and easy configuration of the model (see section 4). Disregarding the `dischargeCurves`, it is possible to create a fully functional battery pack model using the `batteryPack` constructor alone<sup>ii</sup>. Possible topologies of a `batteryPack` are SP, PS, strings of cells and parallel cells (see section 3.1). For more complicated topologies, the pack must be created using the non-simplified circuit element wrappers.

<sup>i</sup>It is technically possible to add an age model to the cell, but doing so has no effect other than slowing down the simulation if the `'LowerLevel'` argument is not passed to the pack's `initAgeModel()` method.

<sup>ii</sup>A `dischargeCurves` object must be created beforehand and passed to the constructor or added after the initialization of the pack using the `dischargeFit()` or `addcurves()` method.

### 3.6.1 The batteryPack constructor

When initializing a `batteryPack` object, the cells and circuit elements (simplified or non-simplified) are initialized automatically according to the constructor's input arguments. An "empty" `batteryPack` object is constructed with the nominal cell capacity  $C_c$  in Ah, the nominal cell voltage  $V_c$  in V and one of the following:

- i) Automatic setup: The pack's nominal capacity  $C_p$  and voltage  $V_p$  in Ah and V, respectively.
- ii) Manual setup: The number of parallel cells  $n_p$  and the number of cells in series  $n_s$ .

```
1 bat = batteryPack(Cp, Vp, Cc, Vc); % (i) Automatic setup
2 bat = batteryPack(np, ns, Cc, Vc); % (ii) Manual setup
3 % (np & ns must be integers)
```

In option (i), the cells are automatically arranged in such a way that the pack's resulting nominal capacity and voltage come as close as possible to  $C_p$  and  $V_p$ , respectively. If the first two input arguments are integers, option (ii) is called and the pack's nominals are calculated according to  $n_p$  and  $n_s$ . To call option (ii) with any numeric data type, the 'Setup' option must be set to 'Manual'.

```
1 bat = batteryPack(np, ns, Cc, Vc, 'Setup', 'Manual');
```

All of the above constructors can be called with additional options that are specified by the name-value pairs listed in Table 2.

```
1 bat = batteryPack(..., 'OptionName', OptionValue);
```

If no `dischargeCurves` object is passed to the `batteryPack` via its constructor's 'dCurves' option, a warning is printed to the command window. A warning is also printed if an age model is specified (using the 'ageModel' option), but no cycle life curve fit (i.e. `woehlerFit`) object is passed via the 'ageCurve' option. The model cannot be used until a `dischargeCurve` is initialized (either via the constructor or via the `dischargeFit()` or `addcurves()` method).

**Table 2:** Option name-value pairs of the `batteryPack` constructor.

Name	Values	Description
'ageCurve'	'none' (default) Age curve object	Adds an age curve (e.g. <code>woehlerFit</code> ) to the battery's age model.

continued ...

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Name	Values	Description
'ageModel'	'none' (default) 'EO' Age model object	Specifies the age model that is used. 'EO' stands for "event oriented aging". Optionally, a custom age model object that implements the <code>batteryAgeModel</code> interface can be passed as a value.
'AgeModelLevel'	'Pack' (default) 'Cell'	Specifies whether the age model is applied to the pack or to each cell individually.
'cccvCurves'	'none' (default) CCCV curve fit	Adds a CCCV curve fit object (e.g. <code>cccvFit</code> ) to the battery's cells for charge current limitation in the CV phase.
'cCurves'	'none' (default) Charge curve fit	Adds a Charge curve fit object (e.g. <code>curvefitCollection</code> subclass) to the battery's cells for voltage calculation during charging.
'cycleCounter'	'auto' (default) 'dambrowski' Cycle counter object	Specifies the class that is used for cycle counting. By default, no counter is selected if no age model is specified. Otherwise, a <code>dambrowskiCounter</code> is used. A custom cycle counter object that implements the <code>cycleCounter</code> interface can also be passed as a value.
'dCurves'	'none' (default) Discharge curve fit	Adds a discharge curve fit object (e.g. <code>dischargeCurves</code> ) to the battery's cells.
'Equalization'	'Passive' (default) 'Active'	Specifies which type of equalization (balancing) is used for the strings in the pack. If the 'ideal' option is set to true, active equalization is always used.
'etaBC'	1x1 double Default: 0.97	The battery's charging efficiency. Must be between 0 and 1. If the data sheet does not differentiate between charging and discharging efficiencies, set this property according to the data sheet and 'etaBD' to 1.
'etaBD'	1x1 double Default: 0.97	The battery's discharging efficiency. Must be between 0 and 1.

continued ...

... continued

Name	Values	Description
'ideal'	false (default) true	If set to true, the battery is constructed using the faster, simplified model with ideal cells and balancing. Setting this to true assumes that all cells have exactly the same parameters and that the balancing is perfect. This should result in much fewer resource consumption during simulation, as only one cell is used for calculations.
'psd'	1x1 double Default: 0	Self-discharge in 1/month. Must be between 0 and 1 (i.e. 0.01 for a self-discharge of 1 % per month)
'socMax'	1x1 double Default: 1	Upper limit for the battery pack's <i>SoC</i> .
'socMin'	1x1 double Default: 0.2	Lower limit for the battery pack's <i>SoC</i> . Note that the <i>SoC</i> can go below this limit if it is above 0 and if the self-discharge ('psd') has been set to a value greater than 0.
'socIni'	1x1 double Default: 0.2	Initial <i>SoC</i> of the battery pack.
'sohIni'	1x1 double Default: 1	Initial <i>SoH</i> of the battery pack.
'Topology'	'SP' (default) 'PS'	The topology of the pack's cells. 'SP' for strings of parallel cells and 'PS' for parallel strings of cells
'Zi'	1x1 double Default: $17 \cdot 10^{-3}$	Internal impedance of the battery cells in $\Omega$ . The internal impedance is currently not used as a physical parameter. However, it is used in the circuit elements to determine the distribution of currents and voltages.

continued ...

... continued

Name	Values	Description
'Zgauss'	1x3 double Default [0, zi, zi]	Vector for gaussian distribution of the battery cells' internal impedances. The vector has the following values: [Zstd, ... Zmin, Zmax] with Zstd = Standard deviation std of the internal impedance $Z_i$ in $\Omega$ ; Zmin = Smallest $Z_i$ in $\Omega$ and Zmax = Largest $Z_i$ in $\Omega$ . The mean is the value specified by the option 'Zi'. This setting is ignored if the 'ideal' option is set to true. In order to use this option, the Statistics and Machine Learning Toolbox must be installed. Due to the limitation of $Z_i$ to Zmin and Zmax, the mean or std may vary slightly from what was set. To get an exact std, Zmin must be set to $-\text{Inf}$ and Zmax must be set to $\text{Inf}$ <sup>i</sup> .

### 3.6.2 Properties of the batteryPack class

The accessible properties of the batteryPack class are listed in Table 3. With the exception of AgeModelLevel, all properties are inherited from the batteryInterface and are therefore also available for all other batteryInterface subclasses, such as the batteryCell, parallelElement, etc.

**Table 3:** Accessible properties of the batteryPack class.

Property	Description	Unit	Set access
AgeModelLevel	Level of the age model ('Cell' or 'Pack')	-	read only
C	Current capacity level	Ah	read only
Cbu	Total useable capacity taking SoH and SoC boundaries into account	Ah	read only
Cd	Discharge capacity	Ah	read only
Cn	Nominal (or average) capacity	Ah	immutable
eta_bc	Efficiency when charging	-	immutable

continued ...

<sup>i</sup>A Gaussian distribution is mathematically defined for the interval  $[-\infty, \infty]$ . In this package, the interval can be limited iteratively using the norminvlim() function [17], but a perfect limitation is not possible.

...continued

Property	Description	Unit	Set access
eta_bd	Efficiency when discharging	-	immutable
ImaxC	Maximum charging current	A	read only
ImaxD	Maximum discharging current	A	read only
psd	Self discharge rate	1/month	immutable
SoC	State of charge	-	read only
socMax	Maximum <i>SoC</i>	-	public
socMin	Minimum <i>SoC</i>	-	public
SoH	State of health	-	read only
V	Resting voltage	V	read only
Vn	Nominal (or average) voltage	V	read only
Zi	Internal impedance	$\Omega$	immutable
nP	number of parallel elements	-	read only
nS	number of elements in series	-	read only
maxIterations	Maximum number of iterations in the <code>iteratePower()</code> and <code>iterateCurrent()</code> methods	-	public
pTol	Tolerance for the power iteration (Stopping criteria)	W	public
sTol	Tolerance for the <i>SoC</i> limitation iteration	-	public

### 3.6.3 Methods of the `batteryPack` class

As a subclass of the `batteryInterface`, all of it's methods are inherited by the `batteryPack` class. For a detailed description of battery charging and discharging using the `powerRequest()` and/or `currentRequest()` methods, see section 3.3.2. These are the two methods that are required for the simulation. Most of the class's public methods are only needed for internal algorithms of the model. However, a complete list of the public methods is provided in Table 4. The curve fitting methods (which can be called if curve fits are not passed to the constructor) and the GUI tool functions are not inherited from the `batteryInterface`. A detailed description of the syntax of each method with the respective input and output arguments is provided in the Matlab<sup>®</sup> documentation, which can be accessed by typing

```

1 doc lfpBattery.batteryPack % or
2 import lfpBattery.*
3 doc batteryPack

```

into the command window.



**Table 4:** Public methods of the *batteryPack* class.

Method	Description
<code>powerRequest()</code>	Requests a power in W (positive for charging, negative for discharging) from the battery.
<code>iteratePower()</code>	Iteration to determine new state given a certain power. The state of the battery is not changed by this method.
<code>currentRequest()</code>	Requests a current in A (positive for charging, negative for discharging) from the battery.
<code>iterateCurrent()</code>	Iteration to determine new state given a certain current. The state of the battery is not changed by this method.
<code>addCounter()</code>	Registers a cycleCounter object as an observer <sup>i</sup> .
<code>dischargeFit()</code> <sup>ii</sup>	Uses Levenberg-Marquardt algorithm to fit a discharge curve.
<code>chargeFit()</code> <sup>ii</sup>	Uses Levenberg-Marquardt algorithm to fit a charge curve.
<code>cycleFit()</code> <sup>ii</sup>	Creates a fit object for a cycles to failure vs. DoD curve and adds it to the pack.
<code>cccvFit()</code> <sup>ii</sup>	Adds a CCCV curve fit to the pack.
<code>initAgeModel()</code>	Initializes the age model of the battery.
<code>getNewDischargeVoltage()</code>	Returns the new voltage according to a discharging current and a time step size. The state of the battery is not changed by this method.
<code>getNewChargeVoltage()</code>	Returns the new voltage according to a charging current and a time step size.
<code>addcurves()</code>	Adds a collection of discharge/charge curves, a cycle life curve or a CCCV curve to the battery.
<code>randomizeDC()</code> <sup>ii</sup>	Slight randomization of each cell's discharge curve fits <sup>iii</sup> .
<code>digitizeTool()</code> <sup>ii</sup>	(Static) Opens a GUI for digitizing discharge curves and cycle life curves (requires JAVA).
<code>GUI</code> <sup>ii</sup>	(Static) Opens a GUI for creating a batteryPack model (requires JAVA).

<sup>i</sup>Not recommended. Use `initAgeModel()` instead.

<sup>ii</sup>Unique to the *batteryPack* class.

<sup>iii</sup>Not recommended. This method is an alternative to setting a gaussian distribution of the internal impedances  $Z_i$ . However, this method may use large amounts of memory and result in degraded performance.

## 4 GUI tools

For a quick and easy set-up of a battery model, two GUI tools were created as part of this package. Since both tools are based on JAVA™ Swing, a JAVA™ virtual machine (JVM) must be installed for the GUI tools to function. Normally, Matlab® comes pre-bundled with a JVM. However, in the rare cases in which this is not the case, an error message is printed to the command window.

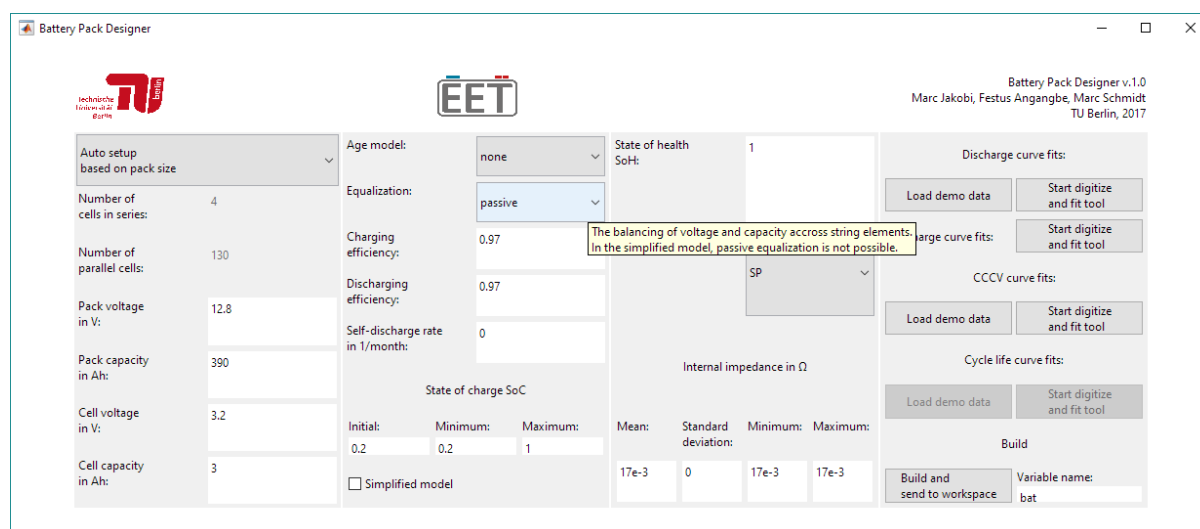
### 4.1 Battery Pack Designer

The Battery Pack Designer is a GUI that enables the creation of a `batteryPack` object. It can be started by typing

```
1 batteryPack.GUI
```

into the command window. Figure 21 shows a screenshot of the tool in Windows. Usage of the tool should be self-explanatory. Detailed information is provided using tool tips, which appear when hovering over GUI element (i.e. button or text field) with the mouse. When the model is fully configured, a `batteryPack` object can be created and sent to the workspace. The Battery Pack Designer provides a comfortable way to create models for users who are new to the package. It can be practicable for the purpose of getting to know the model and it's interface.

However, it is not recommended to save the created objects in MAT files for later use in simulations. Object links within the model (i.e. the link between the age model and the pack; see section 2) are broken upon saving, which may result in unexpected behaviour of the loaded objects. Furthermore, if multiple battery cells hold references to a single `dischargeCurves` object (see section 1), the object is deep-copied across all of the cells, potentially resulting in



**Figure 21:** Screenshot of the Battery Pack Designer in Windows.

large amounts of data<sup>i</sup>. The creation of deep-copies upon saving could also lead to memory leaks. Due to this behaviour, it is recommended to initialize the `batteryPack` at runtime, before the simulation (see section 3.6.1).

The Battery Pack Designer contains demo curve fits that can be loaded into the model. Alternatively, user-defined curves can be digitized and fitted using the provided digitizer and curve fit tool, which can be loaded from the Battery Pack Designer or from the command window.

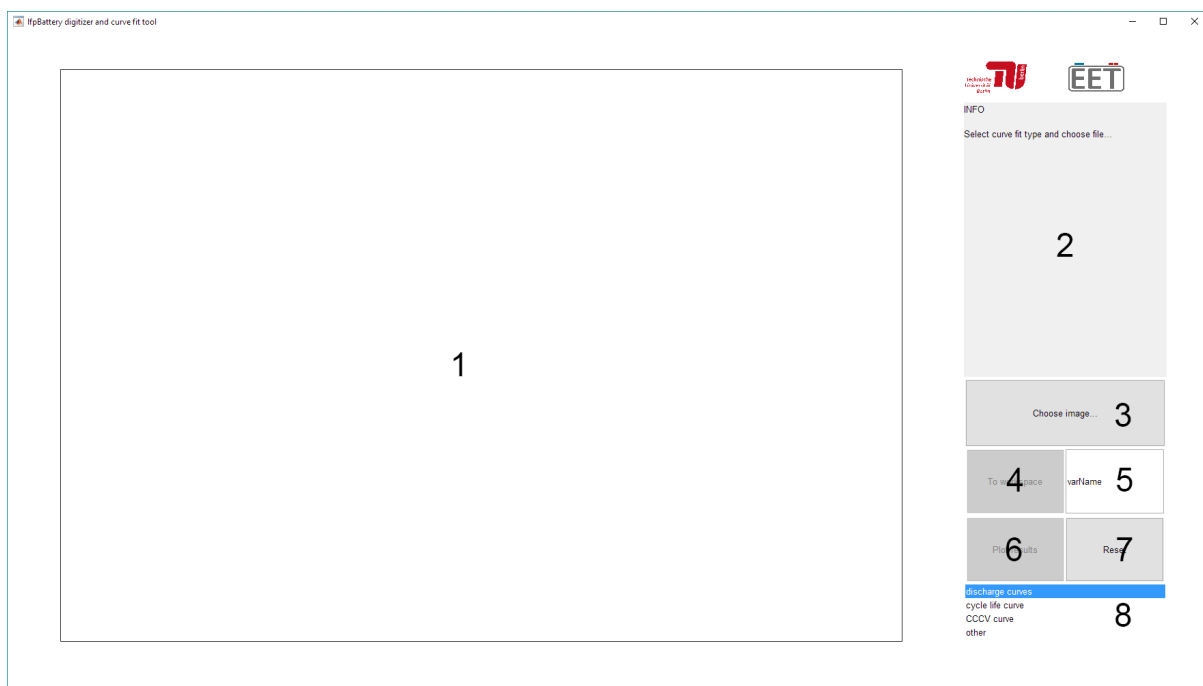
## 4.2 Digitizer and curve fit tool

In order to use the created `batteryPack` object in a simulation, curve fits are required. Attempting to call the object's methods without having added at least a discharge curve will result in an error (see sections 1.2 and 3.6). The digitizer and curve fit tool is provided in this pack for the purpose of digitizing bitmap images from data sheets and optionally pre-fitting the curves. It can be used for creating discharge curve fits (`dischargeCurves` objects), cycle life curve fits (`woehlerFit` objects), CCCV charging curve fits (`cccvFit` objects) and for extracting data from any other type of curve that can be used for later curve fitting. The tool can be started by typing

```
1 batteryPack.digitizeTool
```

into the command window. Variations of it can also be started from the Battery Pack Designer.

<sup>i</sup>This can be fixed by re-adding the `dischargeCurves` using the `addcurves()` method after loading.

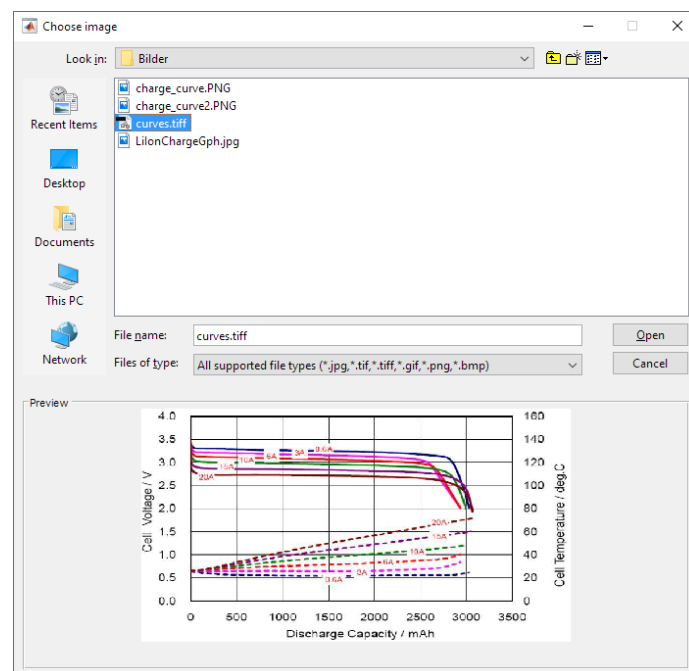


**Figure 22:** Screenshot of the digitizer and curve fit tool in Windows.

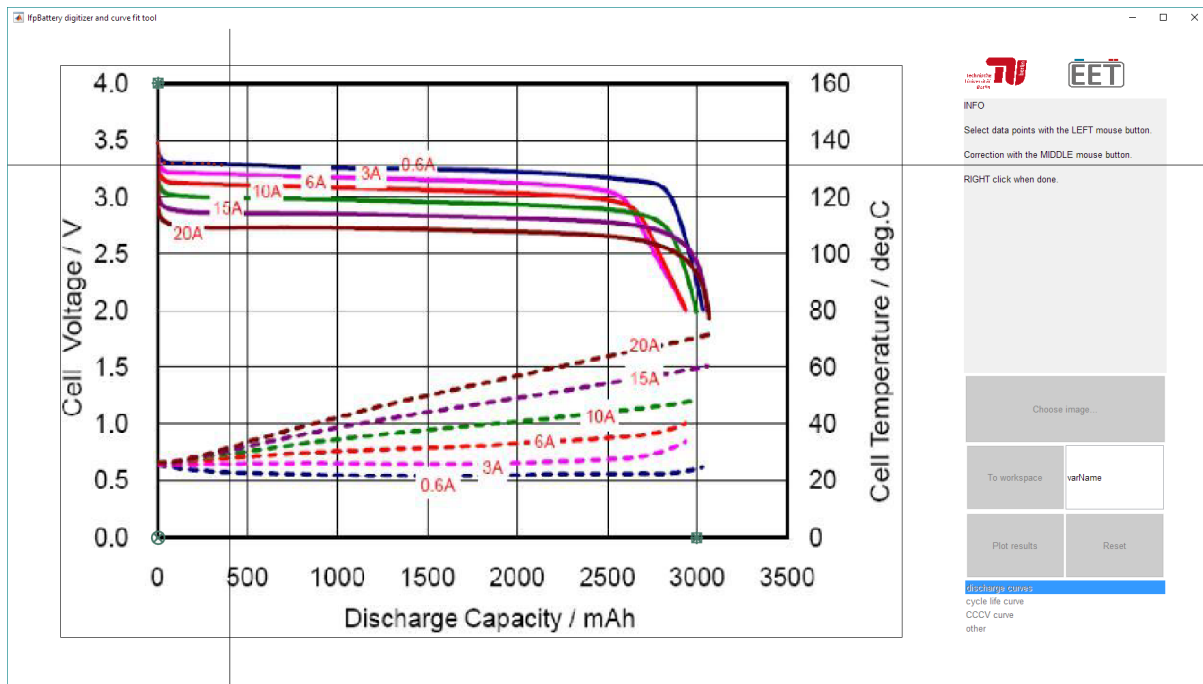
A screenshot of the tool after starting it is displayed in Figure 22. The components (numbered from 1 to 8) are described in the following:

1. Axes in which the image that the data is extracted from is displayed.
2. Information box that contains instructions for the current step (walkthrough).
3. Opens a file chooser for loading the image of the curve that is to be digitized. Any bitmap format that can be displayed with the `imread()` function is accepted.
4. Sends a Struct that contains the raw data and curve fit(s) to the workspace.
5. Name of the Struct that is sent to the workspace.
6. Plots the results of the curve fit against a scatter of the raw data.
7. Resets the tool to it's initial state for fitting of a new curve.
8. Selector for the type of curve. Changing the selection puts the tool in a state with code that is optimized for the selected curve type. Selecting "other" disables curve fitting and enables the digitizing of any 2-dimensional data set from an image.

Before starting the digitization process, a bitmap image of the curve is required. For example, the Windows Snipping Tool can be used to extract the image from a PDF data sheet and save it as a PNG file. To load the file into the tool, click on the "Choose image..." button. This will open a file chooser that contains a preview pane. If the selected image can be previewed by the file chooser, the image can be digitized (see Figure 23). Once a file is selected the image



**Figure 23:** Screenshot of the *digitizeTool*'s file chooser with a preview pane.



**Figure 24:** Screenshot of an image being digitized. The curves were extracted from [3].

is loaded into the axes window and the user is walked through the steps of defining the origin, x and y axis scales and number of data sets, etc. When everything is defined, the data can be selected using a mouse (see Figure 24). A mouse with 3 buttons (left, right, middle/scroll wheel) is recommended, so that corrections of poorly selected data can be performed.

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