

# EE 113 - Control Systems Module

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# Key elements of control

Trajectory optimization is the process of designing a trajectory that minimizes (or maximizes) some measure of performance while satisfying a set of constraints

## Control Theory

- Regulation & Tracking (often needs 'feedback')
- Trajectory Optimization (often pre-calculated)

Mathematical aspects get applied in engineering

Electrical, Mechanical, Aerospace, Mathematics, Chemical

Engineering Depts

Energy Engineering, Financial Engineering,

Involves analysis (mathematical modelling, and response analysis)  
and then synthesis (controller design, shaping)

# Dynamical systems

Dynamic System is time dependent.  $f(t)$ .

- Systems have delays/dynamics/memory
- Generators: when load increases, speed decreases (in some time)
- Heat: effect on temperature
- Vehicle control: steering/brakes: some delay before it has an effect
- Filters: input/output relation is 'dynamic'
- Economic systems: cash-reserve-ratio: effect on markets/liquidity in some time
- Economic systems: interest rates (FD/lending): effect on economy: delays
- Traffic congestion: lights-timings depends on queue (feedback)
- Internet Traffic congestion: packet-drop probability depending on router congestion (feedback)

Overview: read from Polderman & Willems:

Intro to mathematical systems theory: a behavioral approach

# Control Theory - Regulation

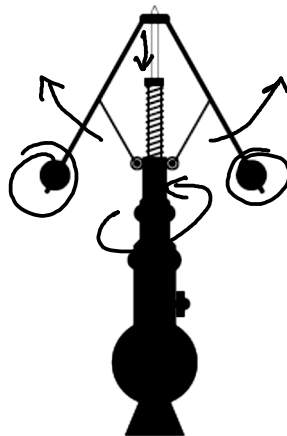
- Plant: system to be controlled
- Regulation: keep certain to-be-controlled variables at desired values (set-point)
- In spite of external disturbances
- In spite of changes in plant properties
- Examples:
  - Temperature control at home/office
  - Suspension (passive/active) of an automobile  
(absorbs the irregularities of the road to improve the comfort and safety)
- Regulation: for efficiency, quality control, safety, and reliability.

# Control inventions

## History

- **Christiaan Huygens (1629-1695)** invented a flywheel device for speed control of windmills
- Main idea used later: centrifugal fly-ball governor (by **James Watt, 1736-1819**, the inventor of the steam engine)
- Tuning centrifugal governors that achieved 'fast regulation', but avoided 'hunting' (James Clerk Maxwell)

## Fly ball Governor



(Source: Polderman/Willems 1998 book)

# Two key recent control inventions

- About a century ago: two main inventions drove control theory: regulation
  - 1 Proportional-Integral-Differential (PID) controller
  - 2 In 1930s, the 'negative feedback amplifier' by Harold Black (of Bells)

# Control Inventions: feedback amplifier

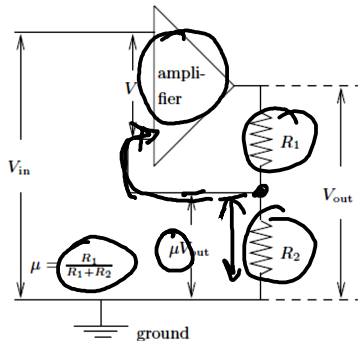
- Had far-reaching applications to telephone technology and other areas of communication.
- Long-distance communication used to be hampered due to the drifting of the gains of the amplifiers used in repeater stations.
- Impressive technological development: it permitted signals to be amplified in a reliable way,
- Now: insensitive to the parameter changes inherent in vacuum-tube (and also solid-state) amplifiers.

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(Source: Polderman/Willems 1998 book)

## Feedback-Amplifier ( $V = V_{diff}$ )



Black's Feedback Amplifier



# Control Inventions - Feedback Amplifier

- Assume that we have an electronic amplifier that amplifies its input voltage to output voltage with a gain  $K$ .

$$V_{out} = K V_{diff} \quad (1)$$

- Use a voltage divider and 'feed back' the voltage:  $\mu V_{out}$  to the amplifier input.
- Basic calculations give:

$$V = V_{in} - \mu V_{out} \quad (2)$$

- Combining these two gives a crucial relation

$$V_{out} = \frac{V_{in}}{\mu + \frac{1}{K}}$$

$$V_{out} = \frac{1}{\mu + \frac{1}{K}} V_{in} \quad (3)$$

# Feedback amplifier

What's the big deal with this formula?

- Value of  $K$  of an electronic amplifier is typically large, but also very unstable: due to: sensitivity to aging, temperature, loading
- The voltage divider: can be implemented by means of passive resistors: gives a very stable value for  $\mu$ .
- Now, for large values of  $K$  (although varying values of  $K$ ):

$$\frac{1}{\mu + \frac{1}{K}} \approx \frac{1}{\mu} \quad (4)$$

and so Black's feedback amplifier gives:

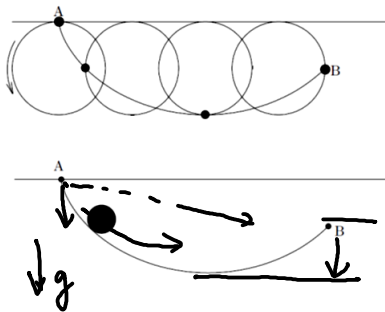
an amplifier with a stable amplification gain ( $1/\mu$ ) based on an amplifier that has an inherent uncertain gain  $K$ .

# Control theory - trajectory optimization

- Trajectory transfer (for a dynamical system): find a path from a given initial state to a given terminal state.
- Most common example: satellite: go from one periodic orbit to another: with least power/energy
- Path with maximum distance from obstacles
- Classic example: Brachystochrone problem (Johann Bernoulli - 1696)
- Find path/curve between two points A and B such that a body falling-under-its-own-weight reaches B in **least** time.

# Control theory - trajectory optimization

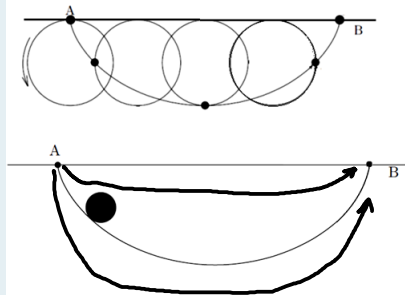
Case 1



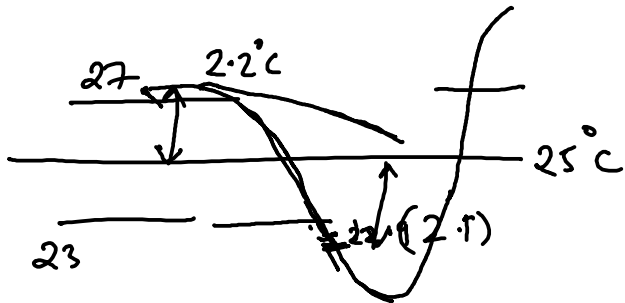
(Source: Polderman/Willems 1998 book)

*cycloid*

Case 2







# Example of Air Conditioning Mechanism

- Air Conditioner with **fixed time interval on/off**: cheaper implementation
  - For example AC is on for 20 minutes and off for 10 minutes.
  - Timings are preset to save energy consumption.
  - Actual room conditions unconsidered (**blind control**)
- Air Conditioner with **sensor based control** (on/off): expensive implementation
  - AC with sensor based control takes the actual parameters,
  - analyse them with reference values, adjust the conditioning to the required level.
  - There will be a slight band at reference value, where no control action is taken.
  - **Feedback**: can make closed loop 'unstable' (even if open-loop was well-behaved)

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  - (Impatience (or 'over-demanding' could cause instability)



# Closed Loop Control System

Automatic control  $\equiv$  closed-loop  $\equiv$  feedback-control

- Advantages of Closed Loop Control System

- Closed loop control systems are usually more accurate
- Error is corrected due to presence of feedback signal —
- Wide bandwidth (range of frequencies for which system responds desirably)
- Facilitates automation
- The sensitivity of system may be made small to make system more stable
- This system is less affected by noise/modelling/system-uncertainties: robust control

- Disadvantages of Closed Loop Control System

- They are costlier
- They are complicated to design/implement
- They are less reliable (complex design means more scope for breakdowns/degradation)
- Require more maintenance
- Feedback could lead to oscillatory/unstable response (unless we all learn control-theory well)


## Exercise:

$$A = \begin{bmatrix} 6 & 0 \\ 0 & -6 \end{bmatrix}$$

$$cI$$

$$c \neq 0$$

- Find example from our daily experience that involves
- open loop control
- closed loop control (involves feedback)

$$\begin{bmatrix} 2 & 3 \\ 4 & 5 \end{bmatrix} \begin{bmatrix} 1 \\ -2 \end{bmatrix} = \begin{bmatrix} 2 \times 1 + 3 \times -2 \\ 4 \times 1 + 5 \times -2 \end{bmatrix} = \begin{bmatrix} -4 \\ -6 \end{bmatrix}$$


# Exercise:

- Find example from our daily experience that involves
- open loop control
- closed loop control (involves feedback)

Suggest/think of closed-loop desirable properties and optimality/performance criteria

$$A \begin{bmatrix} 2 \\ 3 \end{bmatrix} = \begin{bmatrix} -16 \\ -14 \end{bmatrix}$$

$$A \begin{bmatrix} 1 \\ 1 \end{bmatrix} = \begin{bmatrix} -3 \\ -3 \end{bmatrix}$$

-3

$$Av = -3v.$$

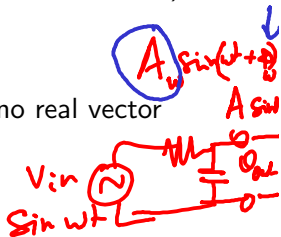
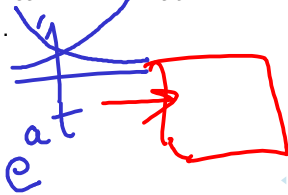
- Consider:  $A = \begin{bmatrix} 7 & -10 \\ 5 & -8 \end{bmatrix}$

- In general, when  $A$  acts on a vector  $v$  (i.e.  $Av$ ), the vector  $v$  gets scaled (lengthened/shortened/flipped) and also rotated.

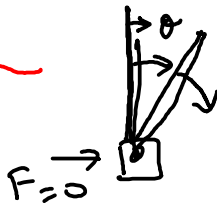
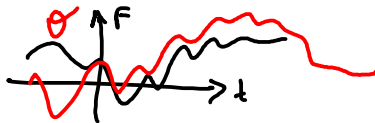
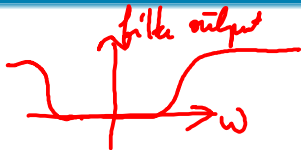
- Vectors:  $v_1 = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$  and  $v_2 = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$

$$\begin{bmatrix} 7 & -10 \\ 5 & -8 \end{bmatrix} \begin{bmatrix} 2 \\ 1 \end{bmatrix} = \begin{bmatrix} 4 \\ 2 \end{bmatrix}$$

- Check that vector  $v_1$  gets purely scaled (when  $A$  acts on  $v_1$ ): what is the scaling?
- Check that vector  $v_2$  also gets purely ....
- Suggest a square real  $2 \times 2$  matrix in which no real vector gets purely scaled.



# Positive/negative feedback

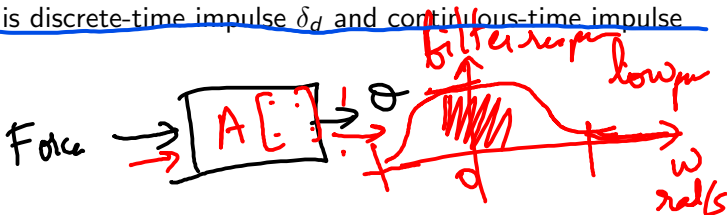


- $\frac{d}{dt}x = u$
- Suppose  $u = +5x$  (positive feedback)
- Suppose  $u = -5x$  (negative feedback)
- Solve both differential equations (exponentially growing/decaying)

?

Find what is discrete-time impulse  $\delta_d$  and continuous-time impulse

$\delta_c$

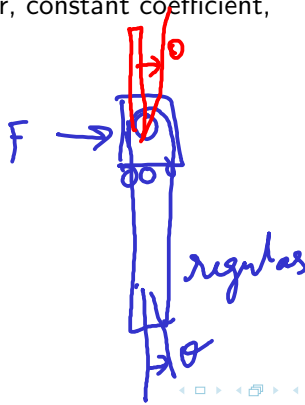


# LTI systems and exponential signals

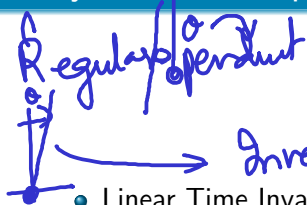
- Linear Time Invariant (LTI) systems:  
systems governed by linear,

# LTI systems and exponential signals

- Linear Time Invariant (LTI) systems:  
systems governed by linear, constant coefficient,



# LTI systems and exponential signals



$$F = M\ddot{\theta} + \theta$$
 deriv. from down point  

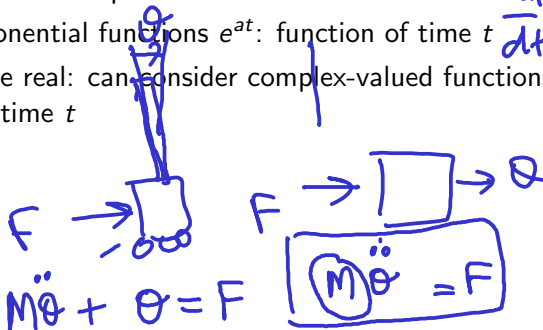
$$F = M\ddot{\theta} - \theta$$
 deriv. from up point  
 $\theta$   
 + theta

- Linear Time Invariant (LTI) systems:  
systems governed by linear, constant coefficient, ordinary differential equations
- Signals: exponential functions  $e^{at}$ : function of time  $t$
- $a$  need not be real: can consider complex-valued functions of real-variable time  $t$

$$\frac{d^2}{dt^2} \theta = \ddot{\theta}$$

$$\frac{d}{dt} f = \dot{f}$$
  

$$\frac{d^2}{dt^2} f = \ddot{f}$$





# Exponential signals are 'eigenfunctions'

- For (square) matrices, we speak of **eigenvectors**
- Consider:  $A = \begin{bmatrix} 7 & -10 \\ 5 & -8 \end{bmatrix}$
- Vectors:  $v_1 = \begin{bmatrix} 1 \\ 1 \end{bmatrix}$  and  $v_2 = \begin{bmatrix} 2 \\ 1 \end{bmatrix}$
- For some real square matrices, need to allow eigenvectors to be complex

# LTI systems and exponential signals

- LTI systems have all exponential signals as eigen-‘functions’
- Scaling: depends on the system. ‘Transfer function’
- Transfer function also rings a bell (resonates?) with ‘resonance’!
- Poles and zeros for input to output map
- Convolution is how input gets mapped to output