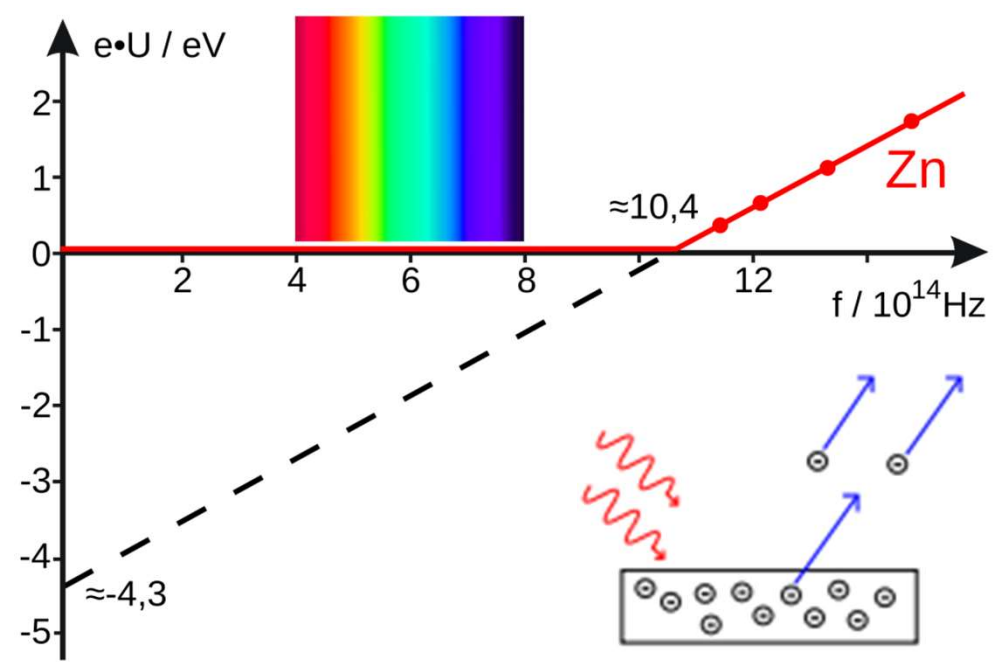
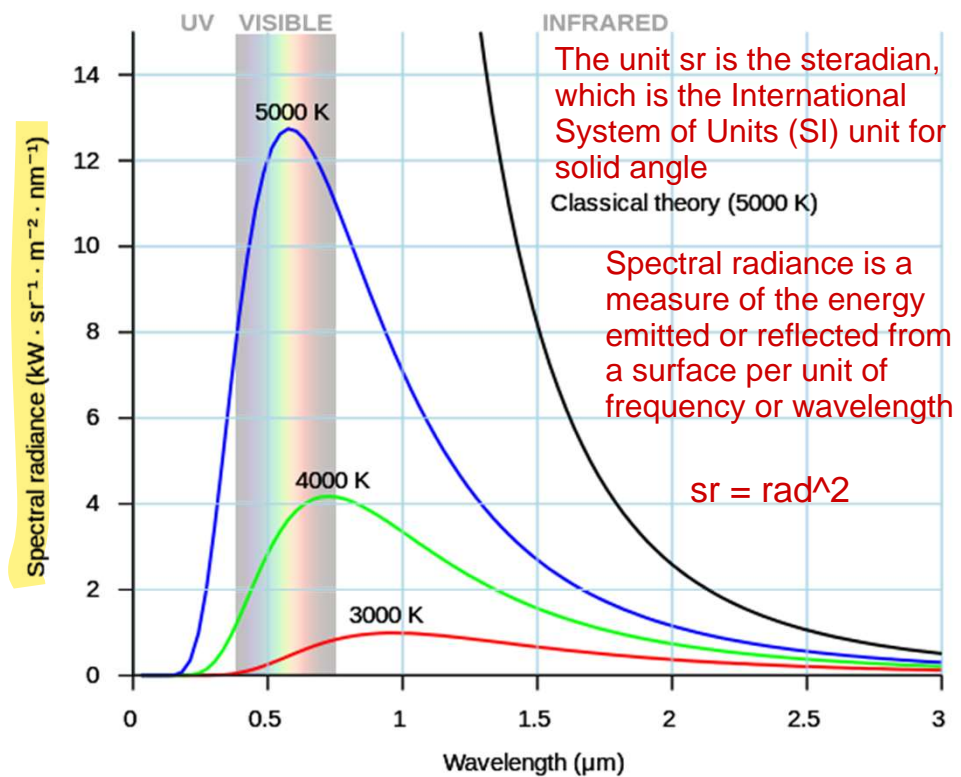


“Old Quantum Theory”: historical perspective



Quantum
Stat. Mech.

Black-body radiation
(Planck, 1900)

Specific heats of solids
(Einstein, 1907)

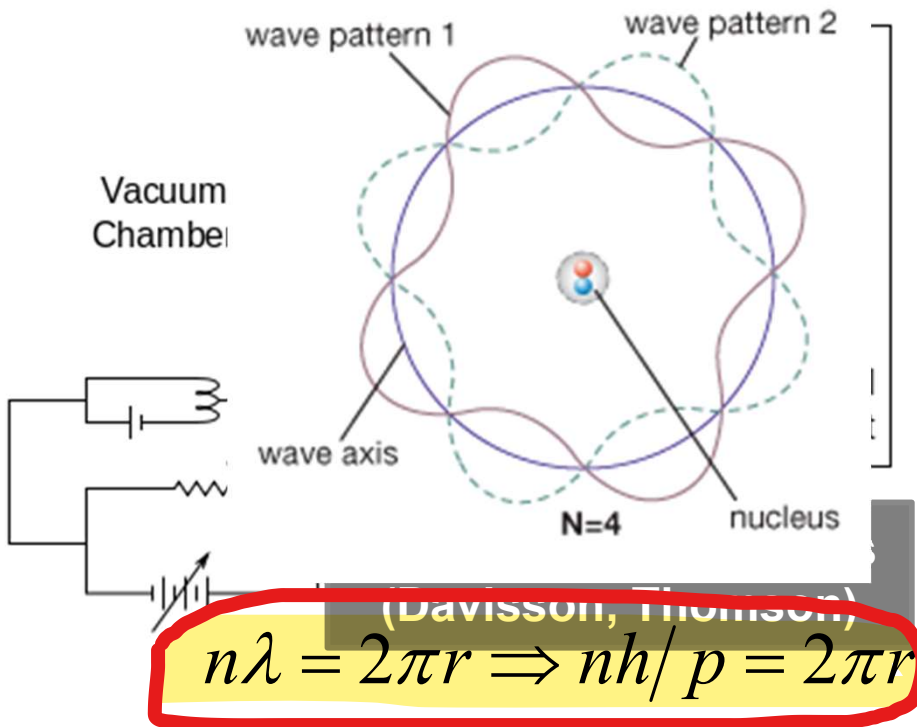
Photoelectric Effect
(Einstein, 1905)

Special Relativity (Einstein, 1905): $E^2 = (m_0 c^2)^2 + (pc)^2$

Light emitted and absorbed by 'oscillators' in quanta: $E_n - E_{n-1} = h\nu$

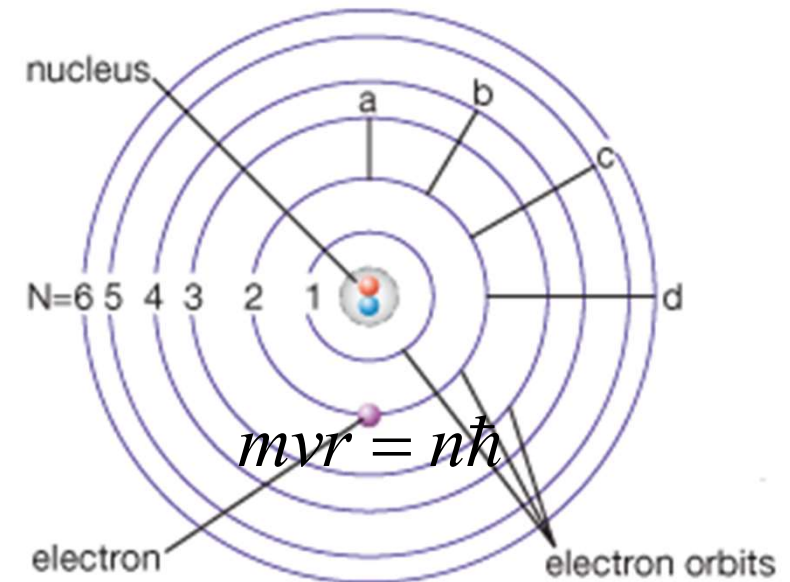
Light is a particle: $E = h\nu$
 $\rightarrow p = h/\lambda$

“Old Quantum Theory”: historical perspective



Matter waves (de Broglie, 1924): $\lambda = h/p$

Electrons are waves!



Hydrogen emission spectra (Lyman, Balmer, Paschen, Brackett, Pfund)

Hydrogen atom
(Bohr, 1913)

$$E_n = -\frac{m_0 q^4}{2(4\pi\epsilon_0 n\hbar)^2} = \frac{-13.6\text{eV}}{n^2}$$

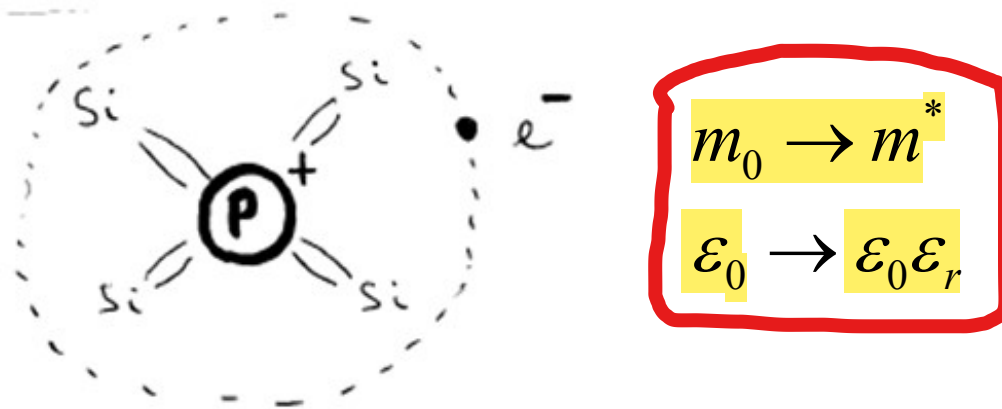
$$r_n = \frac{4\pi\epsilon_0 (n\hbar)^2}{m_0 q^2} = 0.53\text{\AA} \cdot n^2$$

Question 0

Bohr Model for hydrogen atom

$$r_n = \frac{4\pi\epsilon_0 (n\hbar)^2}{m_0 q^2} = 0.53\text{\AA} \cdot n^2 \quad E_n = -\frac{m_0 q^4}{2(4\pi\epsilon_0 n\hbar)^2} = \frac{-13.6\text{eV}}{n^2}$$

"HYDROGENIC" MODEL FOR DOPANTS



Dopants give rise to carriers because:

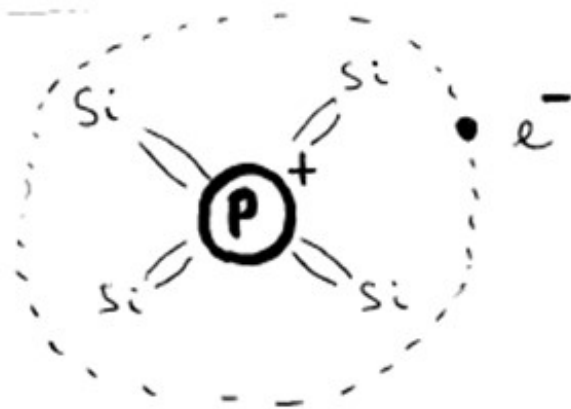
- a. That is their job, and they understand their job
- b. It is more difficult to ionize inside a semiconductor
- ☒ c. It is easier to ionize inside a semiconductor than in vacuum
- d. It is always thermodynamically favorable to give up the carrier

Question 0

Typically, in a semiconductor: $m^* \sim 0.1m_0, \epsilon_r \sim 10$
 $\Rightarrow r_d \sim 5nm, E_d \sim 10meV$

Will dopant ionization happen at all temperatures?

"HYDROGENIC" MODEL FOR DOPANTS



$$m_0 \rightarrow m^*$$

$$\epsilon_0 \rightarrow \epsilon_0 \epsilon_r$$

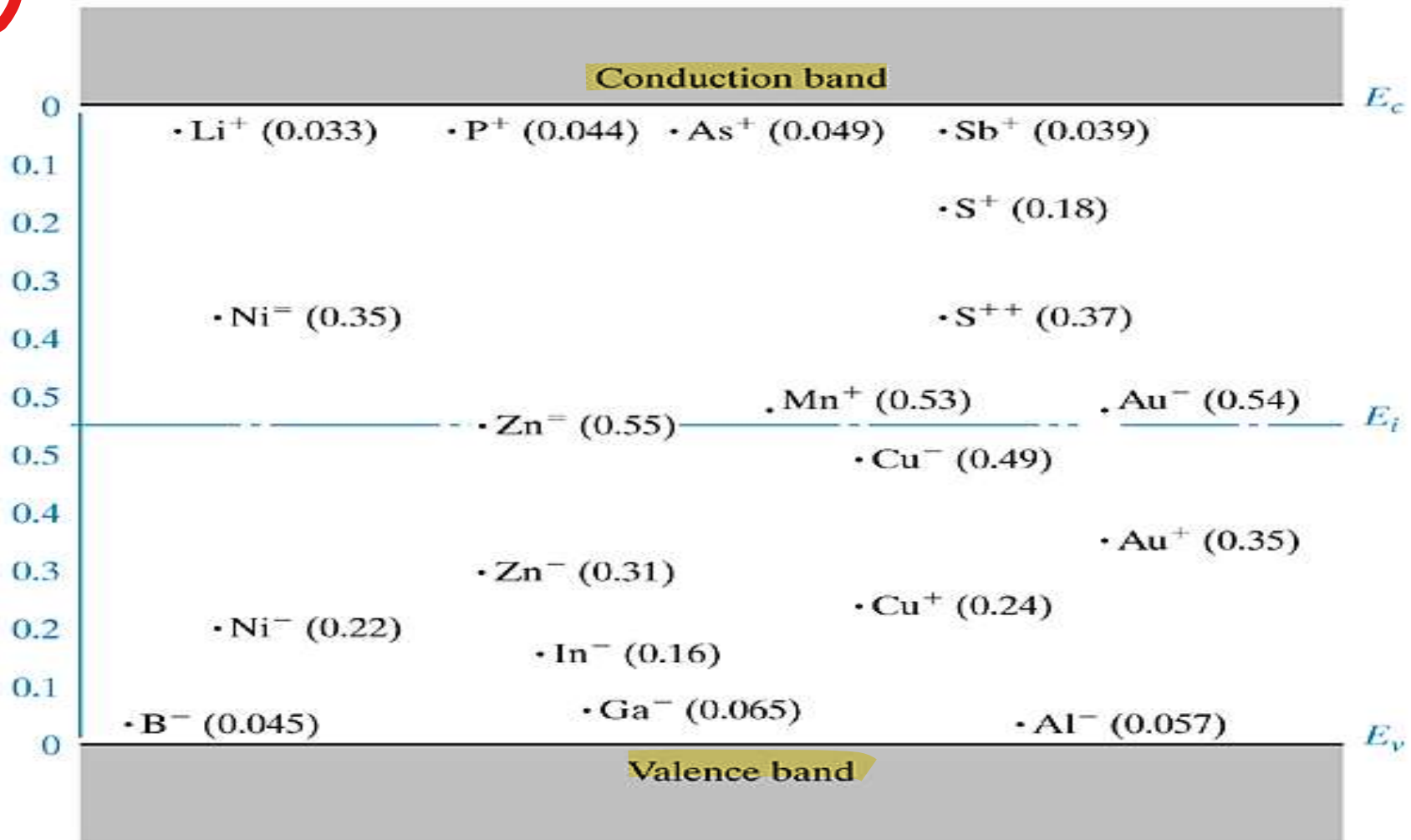
$$r_d = 0.53A \frac{\epsilon_r}{(m^*/m_0)}$$

$$E_d = -13.6eV \frac{(m^*/m_0)}{\epsilon_r^2}$$

Dopants give rise to carriers because:

- a. That is their job, and they understand their job
- b. It is more difficult to ionize inside a semiconductor
- c. It is easier to ionize inside a semiconductor than in vacuum
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Question 0



Real impurities: shallow vs. deep, donor/acceptor vs. trap

Schrödinger Wave Equation

Plane wave amplitude

$$\Psi \sim \exp[i(kx - \omega t)]$$

Momentum

$$p = \frac{h}{\lambda} = \frac{2\pi\hbar}{2\pi/k} = \hbar k$$

Energy

$$E = h\nu = \hbar\omega$$

$$-i\hbar \frac{\partial \Psi}{\partial x} = (\hbar k) \Psi$$

$$i\hbar \frac{\partial \Psi}{\partial t} = (\hbar\omega) \Psi$$

$$H = \frac{p^2}{2m} + V$$

$$p \rightarrow -i\hbar \frac{\partial}{\partial x}$$

$$E \rightarrow i\hbar \frac{\partial}{\partial t} \cong H$$

$$i\hbar \frac{\partial \Psi}{\partial t} = H\Psi = -\frac{\hbar^2}{2m} \frac{\partial^2 \Psi}{\partial x^2} + V\Psi$$

$$H\psi = E\psi$$

Time-dependent
Schrodinger Equation

Time-independent
Schrodinger Equation

Solutions to the Schrödinger Equation

$$H_n \psi_n = E_n \psi_n \quad \Psi = \psi_n(x) e^{-iE_n t/\hbar} \rightarrow \sum_n c_n \psi_n(x) e^{-iE_n t/\hbar}$$

Probability of finding particle in the neighborhood of x :

$$d^3x |\psi_n|^2 = d^3x \psi_n^* \psi_n$$

Orthonormal basis

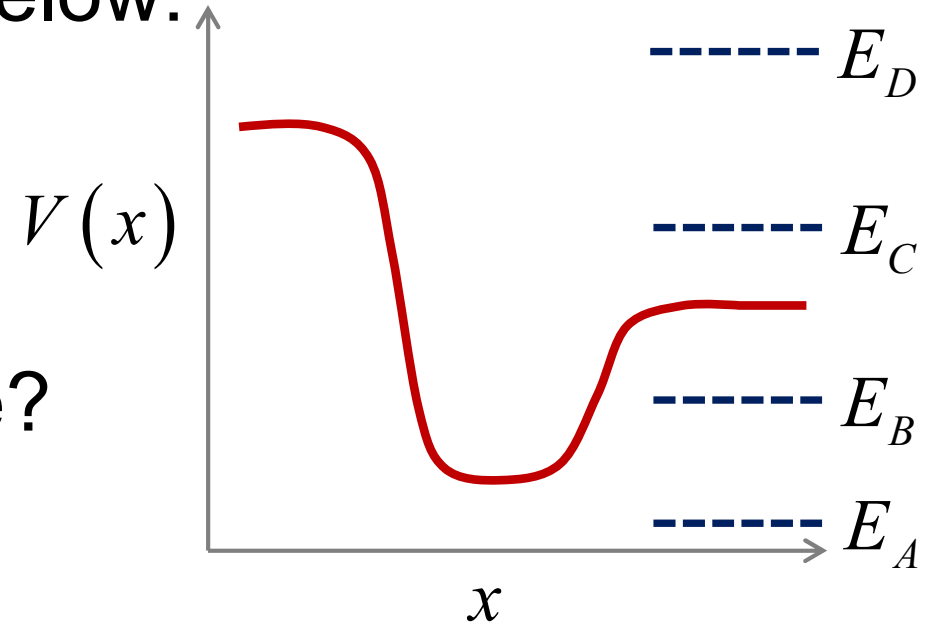
$$\int_x d^3x \psi_m^* \psi_n = \delta_{mn} = \begin{cases} 0 & \Leftarrow m \neq n \\ 1 & \Leftarrow m = n \end{cases}$$

Expectation value of operator A (e.g. p , $p^2/2m + V$) in state ψ_n

$$\int_x d^3x \psi_n^* \hat{A} \psi_n$$

Question 1

Consider the potential $V(x)$ and the states A, B, C and D whose energies are shown below:

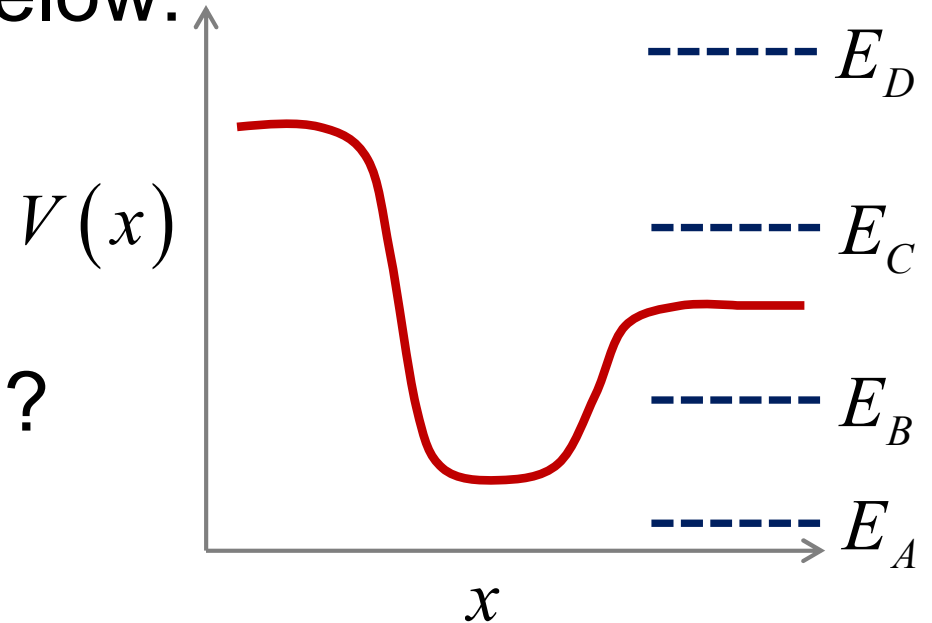


Which of the following is false?

- a. State A cannot exist.
- b. State B is a bound state.
- c. State C is a bound state.
- d. State D is a scattering state.

Question 1

Consider the potential $V(x)$ and the states A, B, C and D whose energies are shown below:



Which of the following is false?

- a. State A cannot exist.
- b. State B is a bound state.
- c. State C is a bound state.
- d. State D is a scattering state.

The infinite rectangular well

$$H\psi = E\psi$$

$$\Rightarrow \left(\frac{p^2}{2m} + V \right) \psi = E\psi$$

$$\Rightarrow \frac{-\hbar^2}{2m} \frac{d^2\psi}{dx^2} + V(x)\psi = E\psi$$

Inside well

$$\frac{-\hbar^2}{2m} \frac{d^2\psi}{dx^2} = E\psi \Rightarrow \frac{d^2\psi}{dx^2} = -k^2\psi$$

$$\Rightarrow \psi = A \sin(kx) + B \cos(kx), \quad k = \sqrt{2mE/\hbar^2}$$

**Boundary condition:
ψ is continuous**

$$\psi(0) = 0 \quad \psi(a) = 0$$

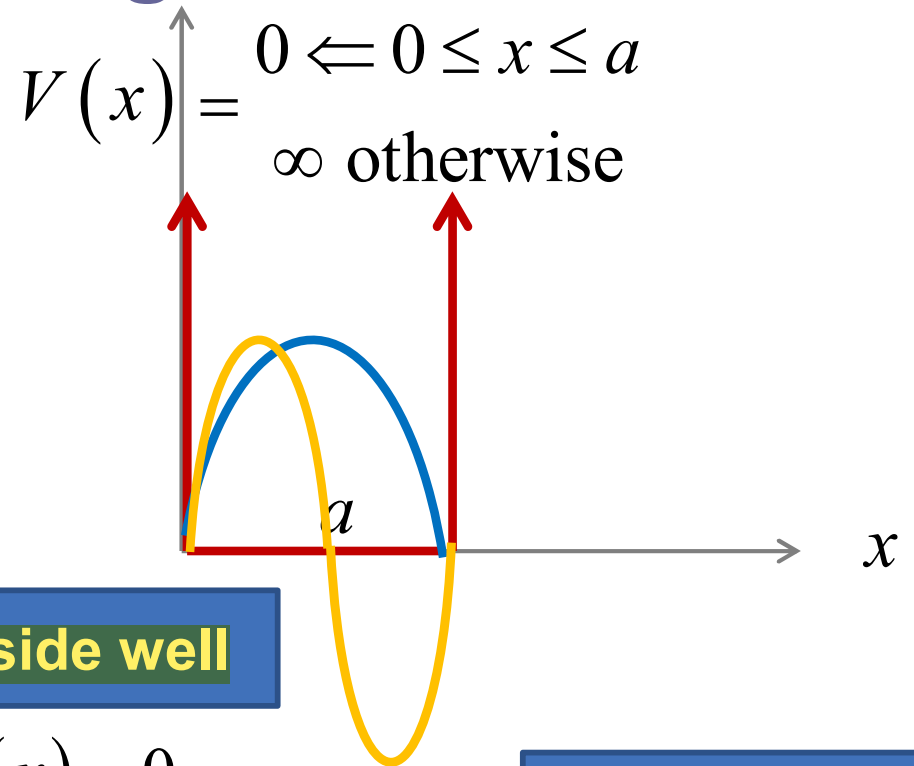
$$\Rightarrow B = 0 \quad \Rightarrow ka = n\pi$$

$$\psi_n = A \sin\left(\frac{n\pi x}{a}\right)$$

$$E_n = \frac{\hbar^2 n^2 \pi^2}{2ma^2}$$

Outside well

$$\psi(x) = 0$$



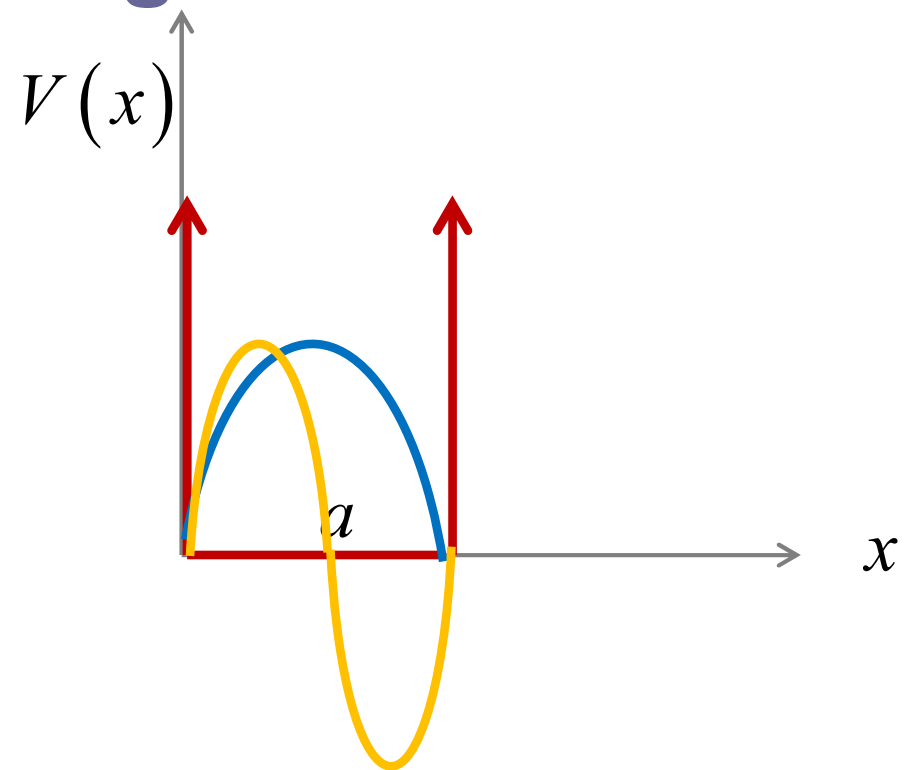
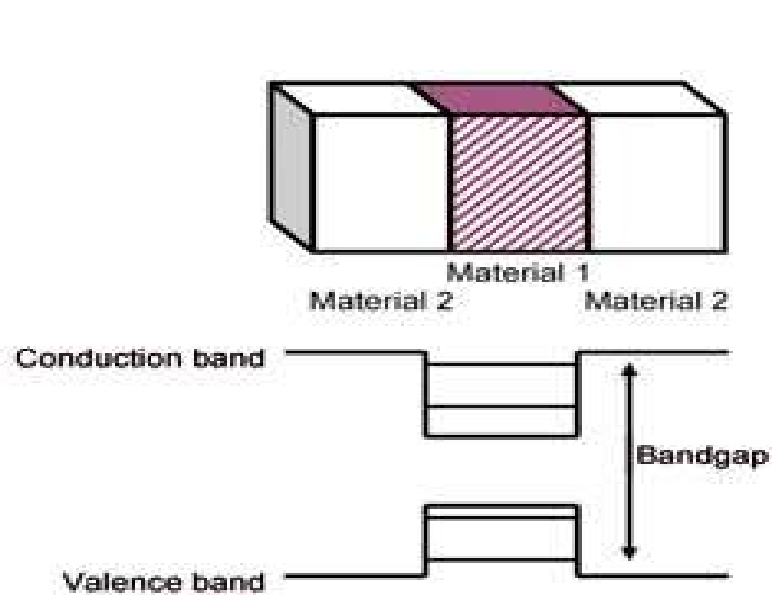
Normalization

$$\int_{-\infty}^{\infty} dx |\psi_n|^2 = 1$$

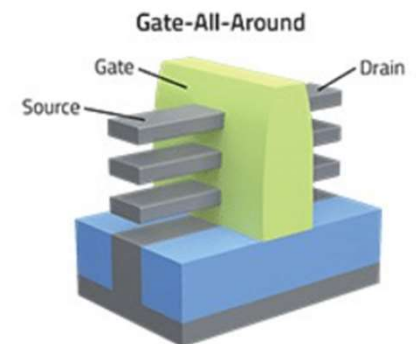
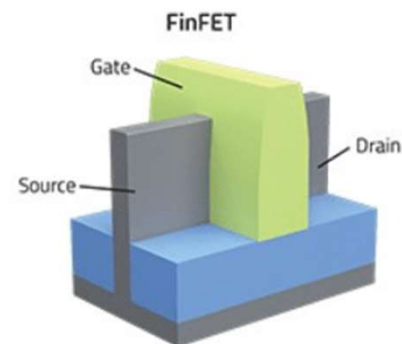
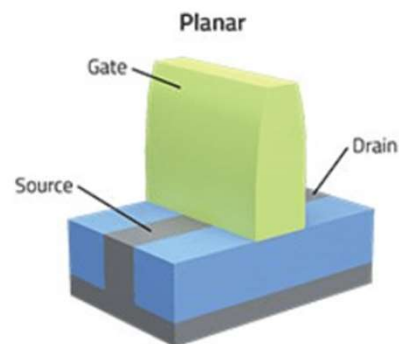
$$\int_{-\infty}^{\infty} dx |A|^2 \sin^2\left(\frac{n\pi x}{a}\right) = 1$$

$$\Rightarrow A = \sqrt{2/a}$$

The infinite rectangular well



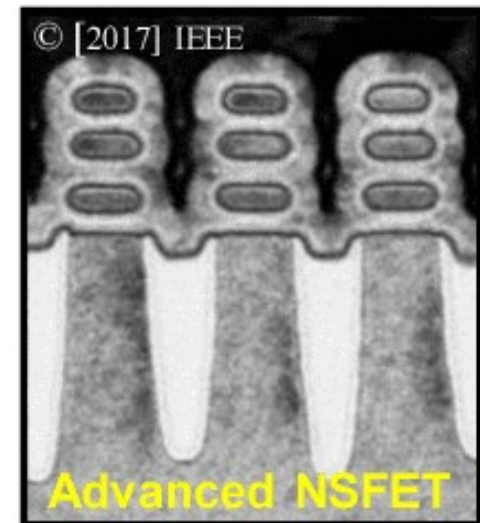
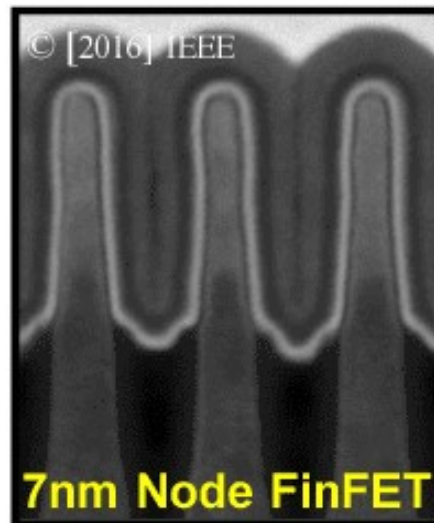
Quantization or Quantum Confinement: MOSFET, QW Laser...



Question 2

Consider electrons at the bottom of the conduction band in a semiconductor fin (see figure) at room temperature. Assume $m^* = m_0$ for this semiconductor. The fin thickness for which one needs to consider quantum confinement of electrons in the fin is less than about:

- a. 10 Å
- b. 100 Å
- c. 1000 Å
- d. Never



Question 2

Consider electrons at the bottom of the conduction band in a semiconductor fin (see figure) at room temperature. Assume $m^* = m_0$ for this semiconductor. The fin thickness for which one needs to consider quantum confinement of electrons in the fin is less than about:

- a. 10 Å
- b. 100 Å
- c. 1000 Å
- d. Never

$$\begin{aligned} E - E_C &= \frac{p^2}{2m^*} \sim k_B T \\ \Rightarrow p &= \sqrt{2m^* k_B T} \\ p &= \hbar k = \frac{h}{\lambda} \\ \Rightarrow \lambda &= \frac{h}{\sqrt{2m^* k_B T}} = 7.6 \text{ nm} \end{aligned}$$

The delta-function barrier

Delta-function

$$\delta(x) = 0 \Leftarrow x \neq 0$$

$$\int_{-\infty}^{+\infty} \delta(x) dx = 1$$

$$\Rightarrow \int_{-\infty}^{+\infty} f(x+a) \delta(x) dx = f(a)$$

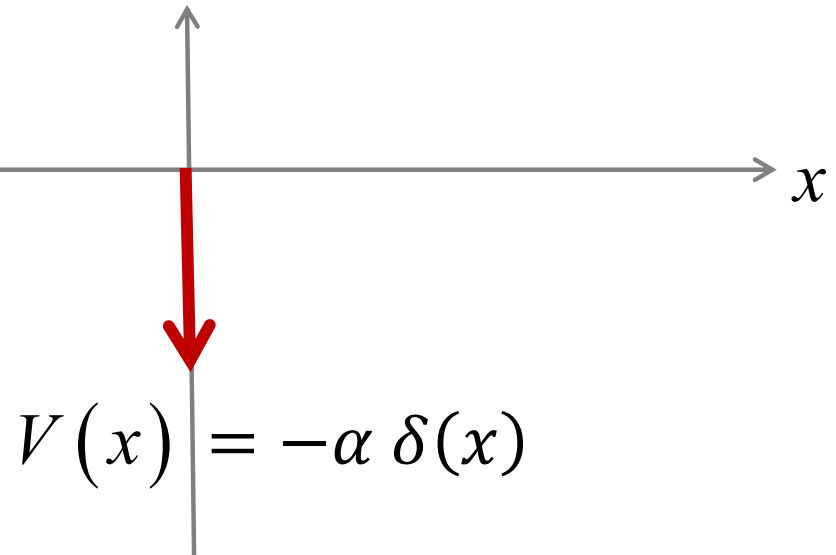
$$x < 0$$

$$\frac{-\hbar^2}{2m} \frac{d^2\psi}{dx^2} = E\psi \Rightarrow \frac{d^2\psi}{dx^2} = \kappa^2\psi$$

$$\kappa = \left(\frac{2m(-E)}{\hbar^2} \right)$$

$$x > 0$$

$$\psi = C_+ e^{-\kappa x}$$



Boundary condition:
 ψ is continuous

$$C_- = C_+ = \psi(0)$$

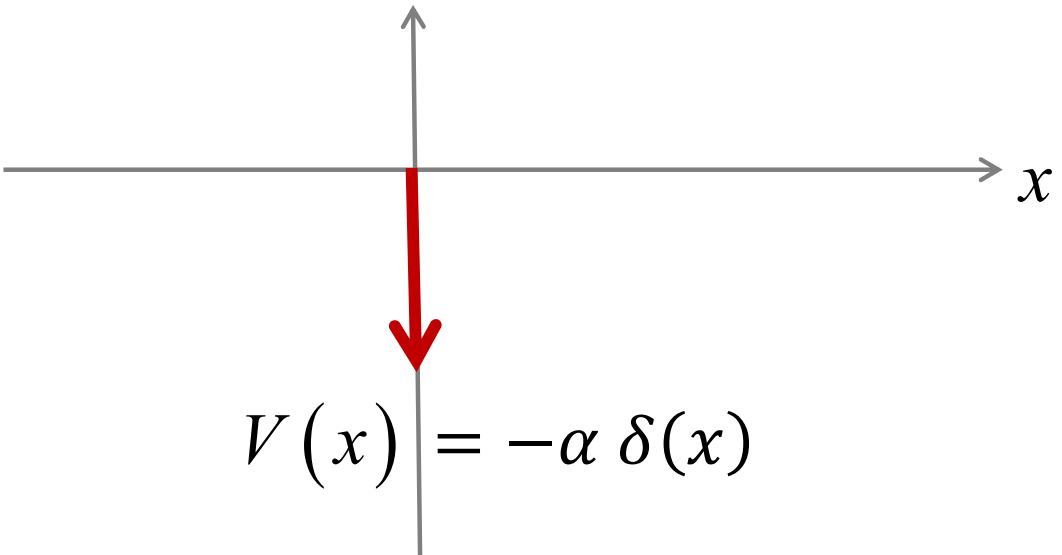
The delta-function barrier

$$x < 0$$

$$\psi = \psi(0)e^{+\kappa x}$$

$$x > 0$$

$$\psi = \psi(0)e^{-\kappa x}$$



$$V(x) = -\alpha \delta(x)$$

Boundary condition:
 ψ' is continuous
 unless V is ∞

$$\int_{0^-}^{0^+} dx \left[\frac{-\hbar^2}{2m} \frac{d^2\psi}{dx^2} - \alpha \cdot \delta(x)\psi \right] = \int_{0^-}^{0^+} dx \cdot E\psi$$

$$\Rightarrow \frac{-\hbar^2}{2m} \left[\frac{d\psi}{dx} \right]_{0^-}^{0^+} - \alpha \cdot \psi(0) = 0 \Rightarrow \Delta\psi'(0) = -\frac{2m\alpha}{\hbar^2} \psi(0)$$

$$\Delta\psi'(0) = -\kappa\psi(0) - \kappa\psi(0) = -2\kappa\psi(0) = -\frac{2m\alpha}{\hbar^2} \psi(0) \Rightarrow \kappa = \frac{m\alpha}{\hbar^2}$$

$$\Rightarrow -\frac{2mE}{\hbar^2} = \left(\frac{m\alpha}{\hbar^2} \right)^2 \Rightarrow E = -\frac{m\alpha^2}{2\hbar^2}$$

Finis

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4. www.thefoa.org