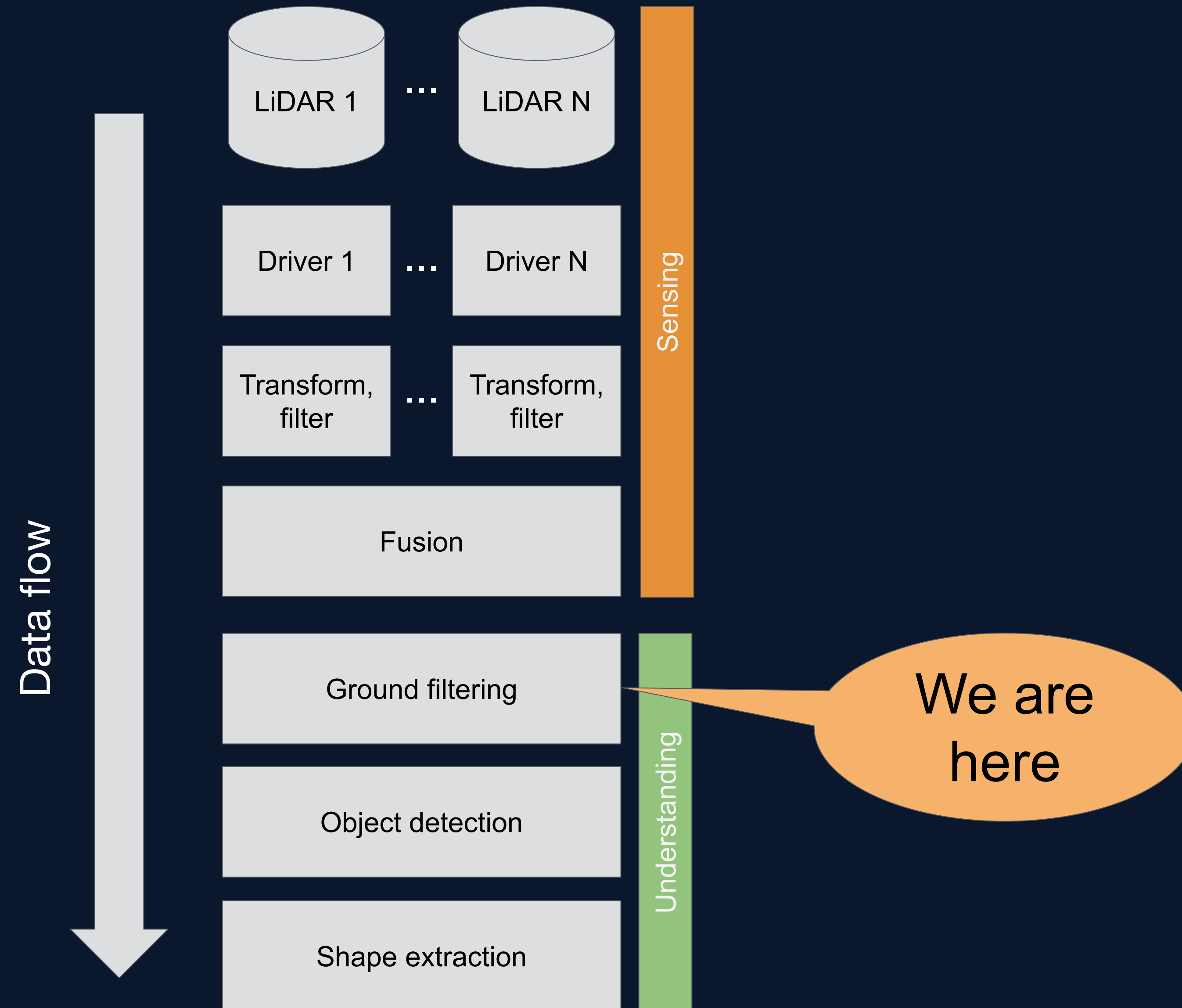


A dark-colored car, possibly a sedan, is shown from a low angle, emphasizing its front and side profile. The car is equipped with various sensor units mounted on its roof. The background is a blurred, light-colored surface, suggesting an outdoor setting. The overall image has a dark, moody aesthetic with a teal-colored diagonal overlay on the right side.

# 04 / Ground Filtering

# Ground Filtering in the Classical LiDAR Processing Stack



# The Problem of Ground Filtering

- Primary motivation for object detection is collision detection
- You can't hit things on the ground
- We want the minimal sufficient information passed into our algorithm
- Ignore or *filter* useless\* ground points

\*: Useless in the context of object detection



# Identifying ground points, a general strategy

1. Look at curvature/normals -> [Moosman et al](#)
2. Fit a big fat plane to the scene -> [RANSAC](#)
3. Look at rays/columns in depth image -> [Petrovskaya & Thrun](#), [Bogoslavskyi](#), [Tier4](#), [Cho et al](#)
4. Other approaches (factor graphs, voxels, etc)

Expensive/slow (but possibly improved by our understanding of *near*)

Fast, nondeterministic, planar model is maybe not the best (i.e. road drainage)

Super fast, possible accuracy issues, generally relies on high vertical resolution

Generally slow, or throws away points

Autoware.Auto uses ray-based ground filtering because it is fast and deterministic



# (Standard) Ray Ground Filtering

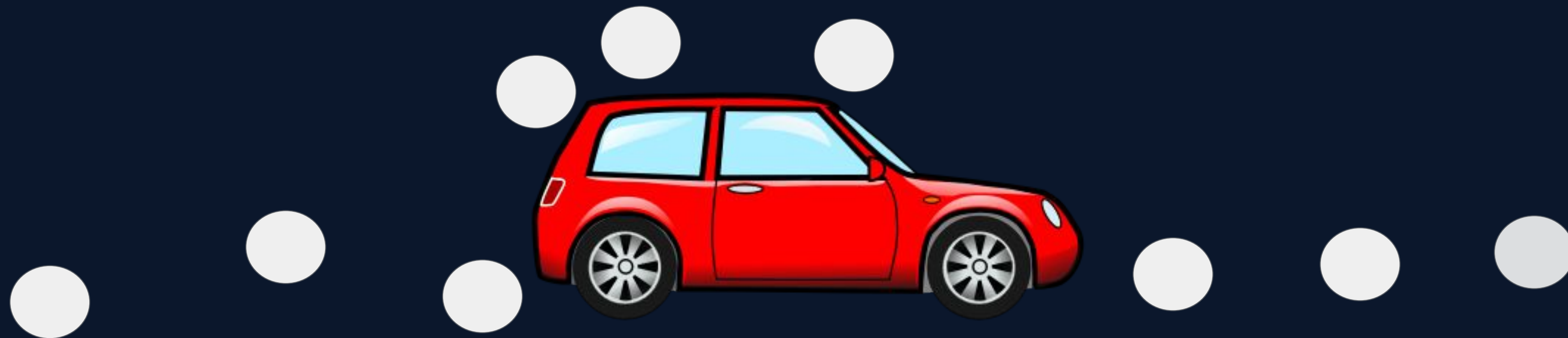
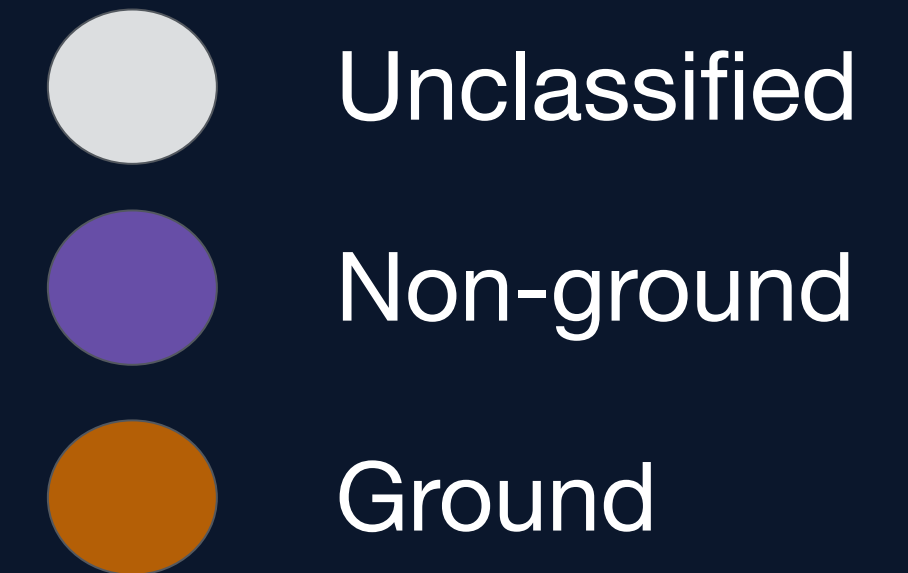
Petrovskaya & Thrun, Bogoslavskyi:

1. Build range image or otherwise bin into angle slices
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3. Scan through points in a ray with increasing distance:
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  - b. If there's a big change in angle, non-ground from here out

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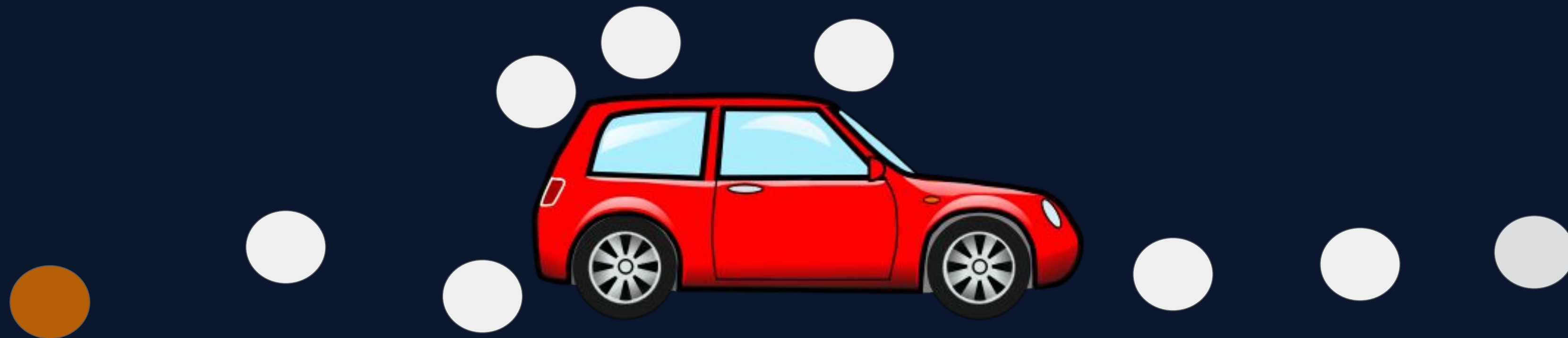
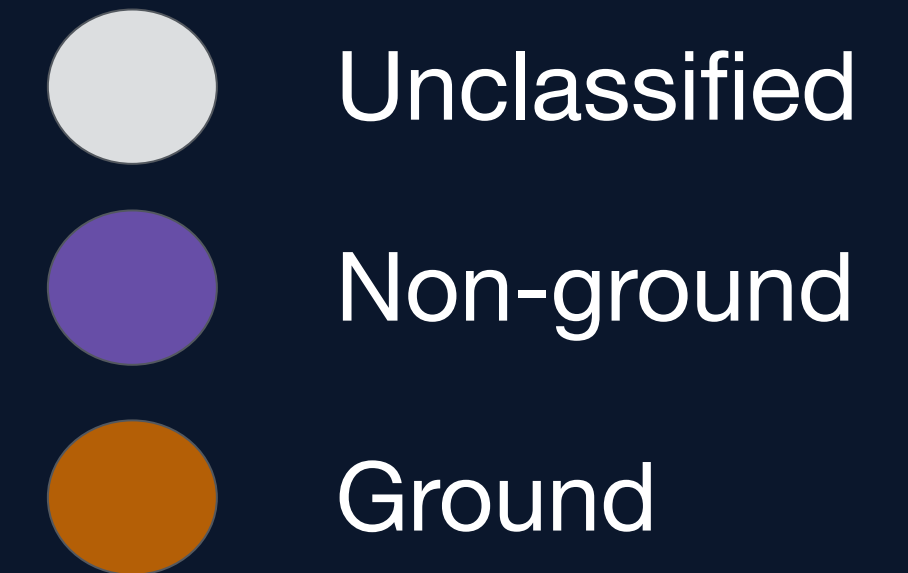
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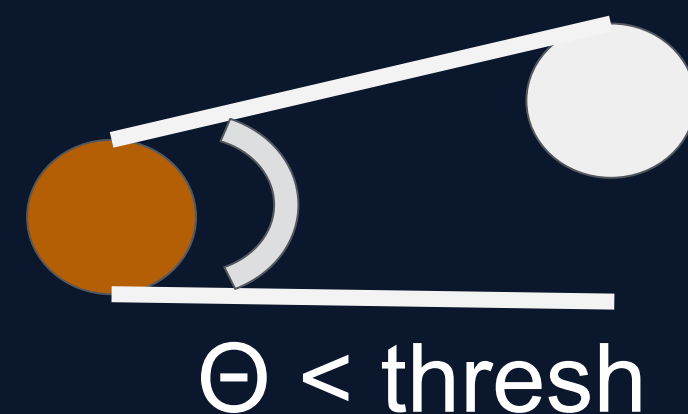
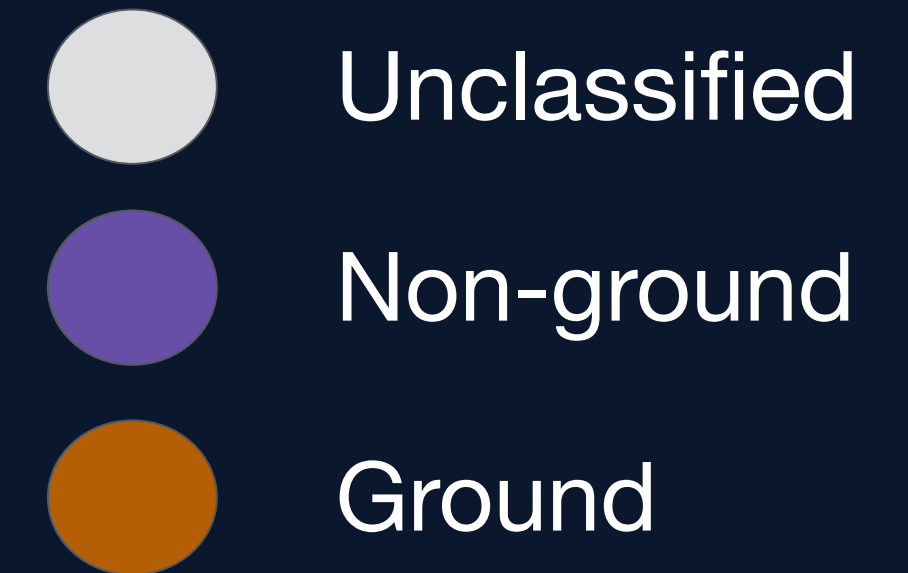
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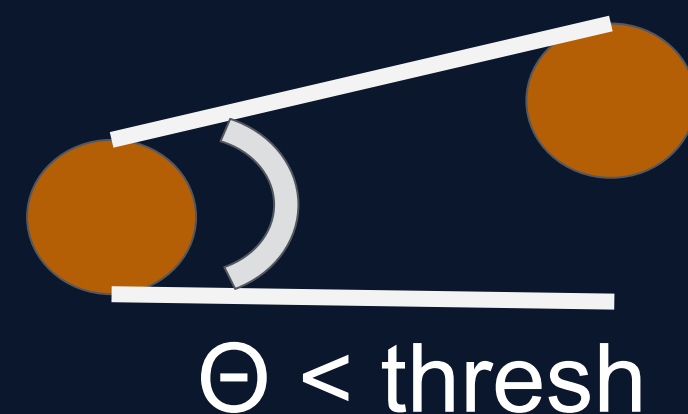
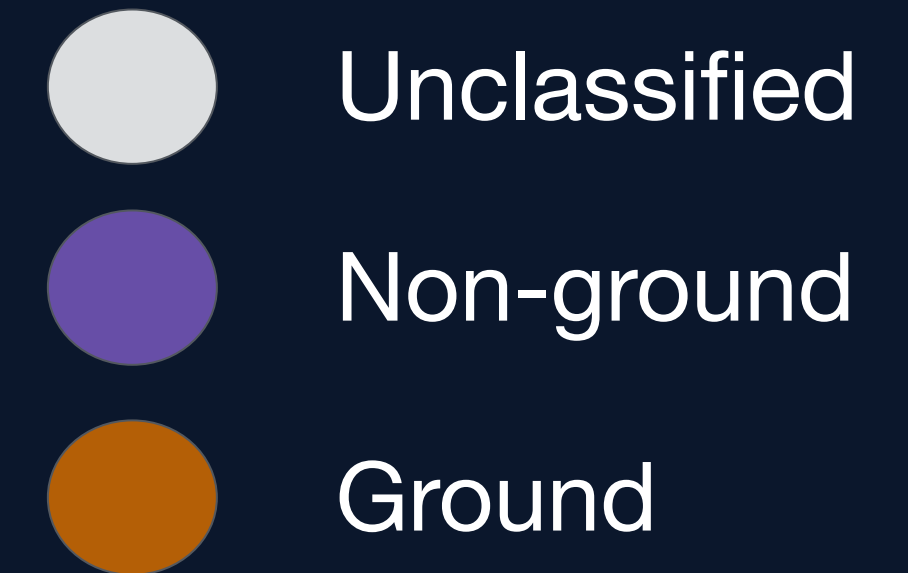




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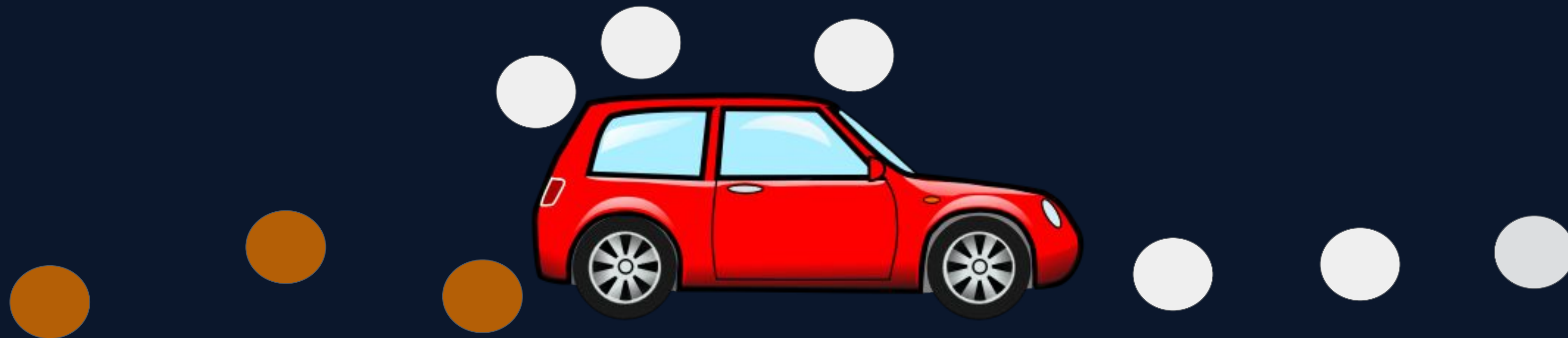
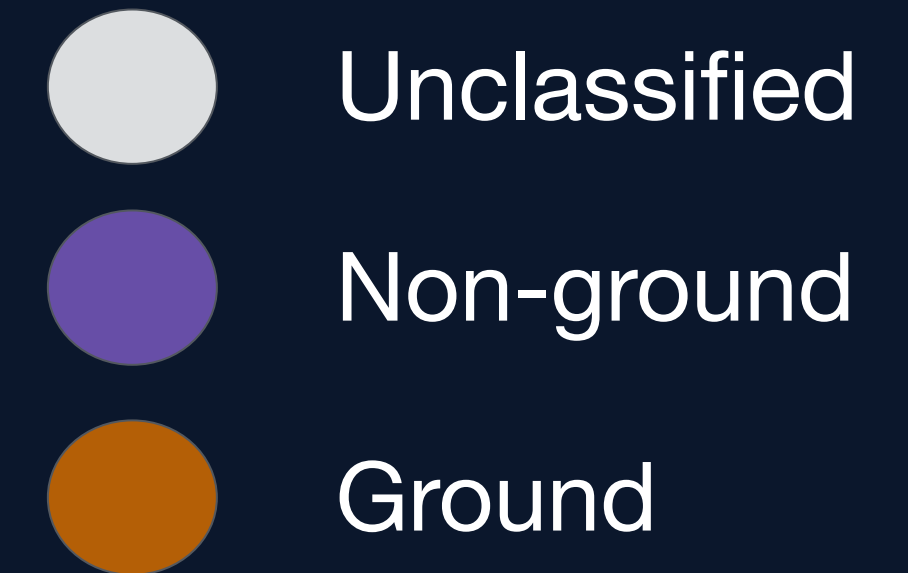
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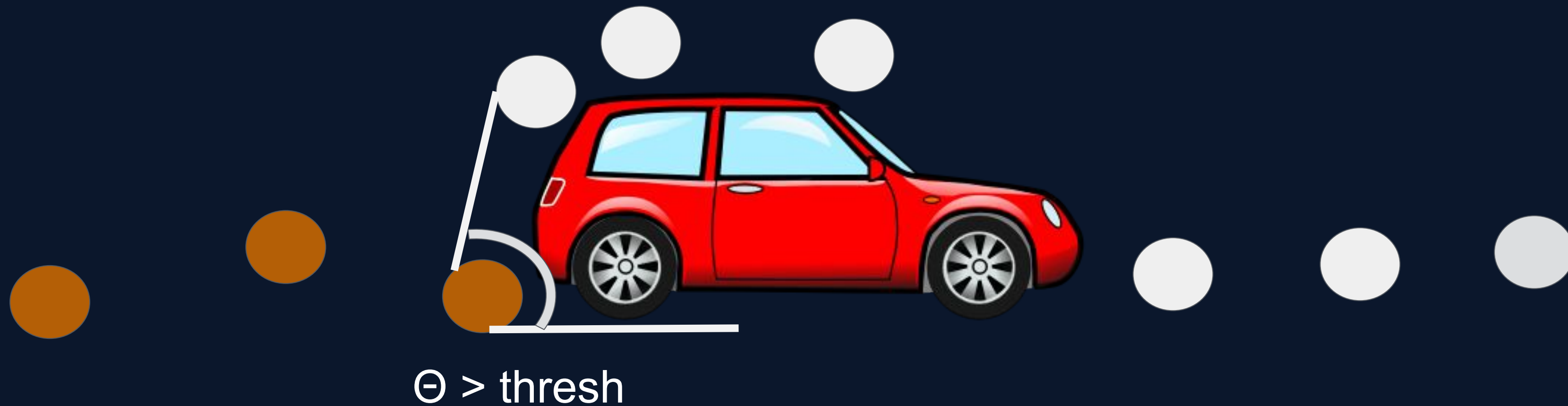
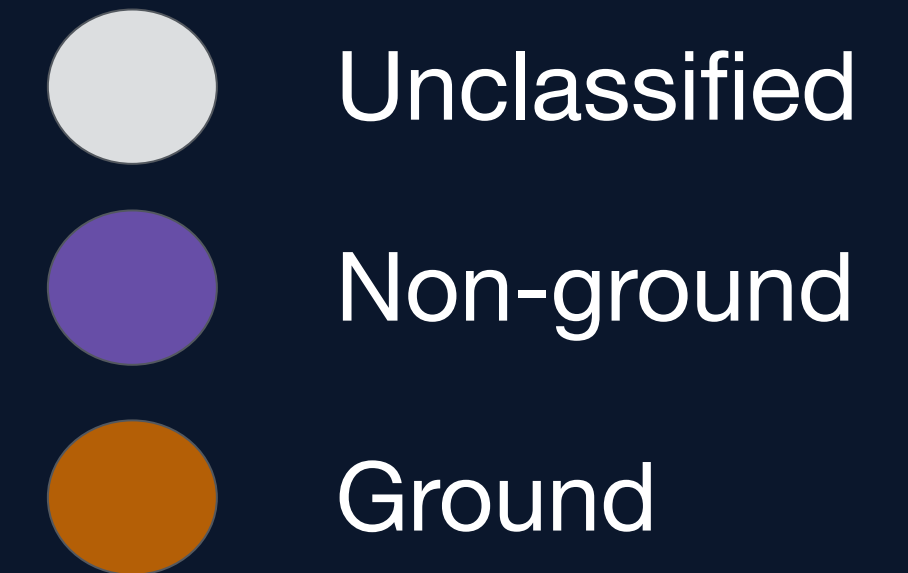
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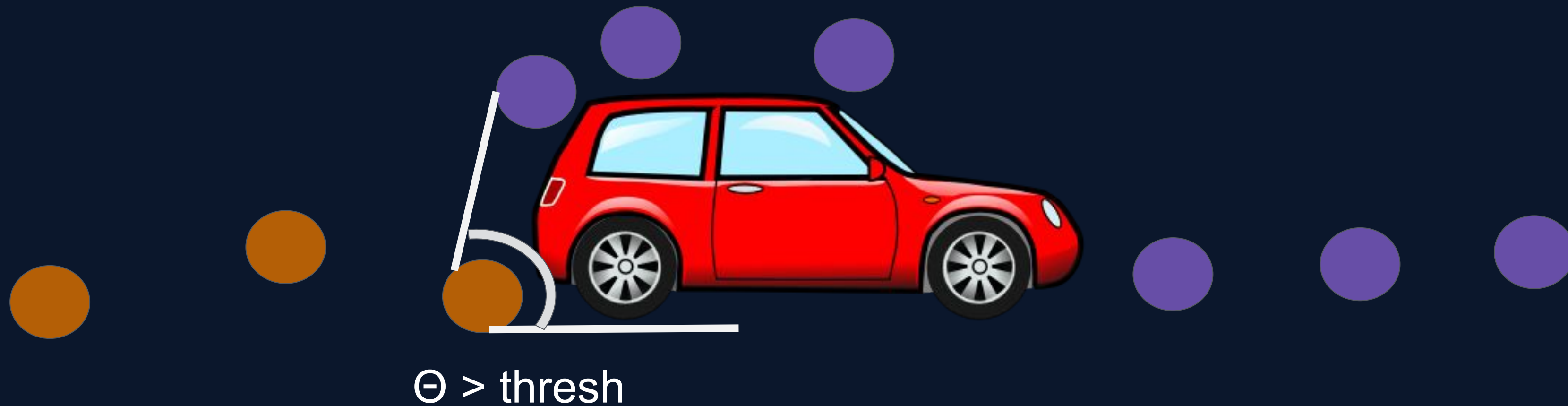
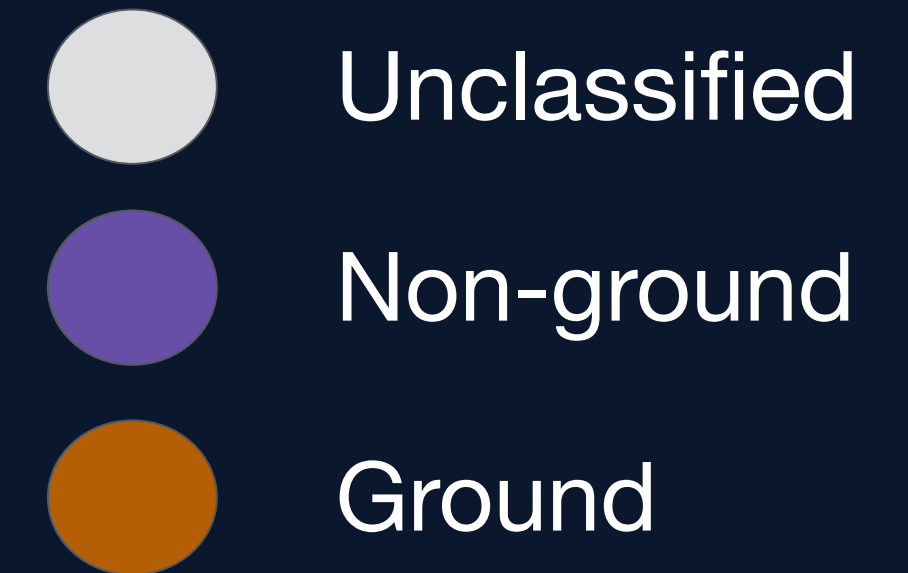
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# Autoware.AI's Ray Ground Filtering

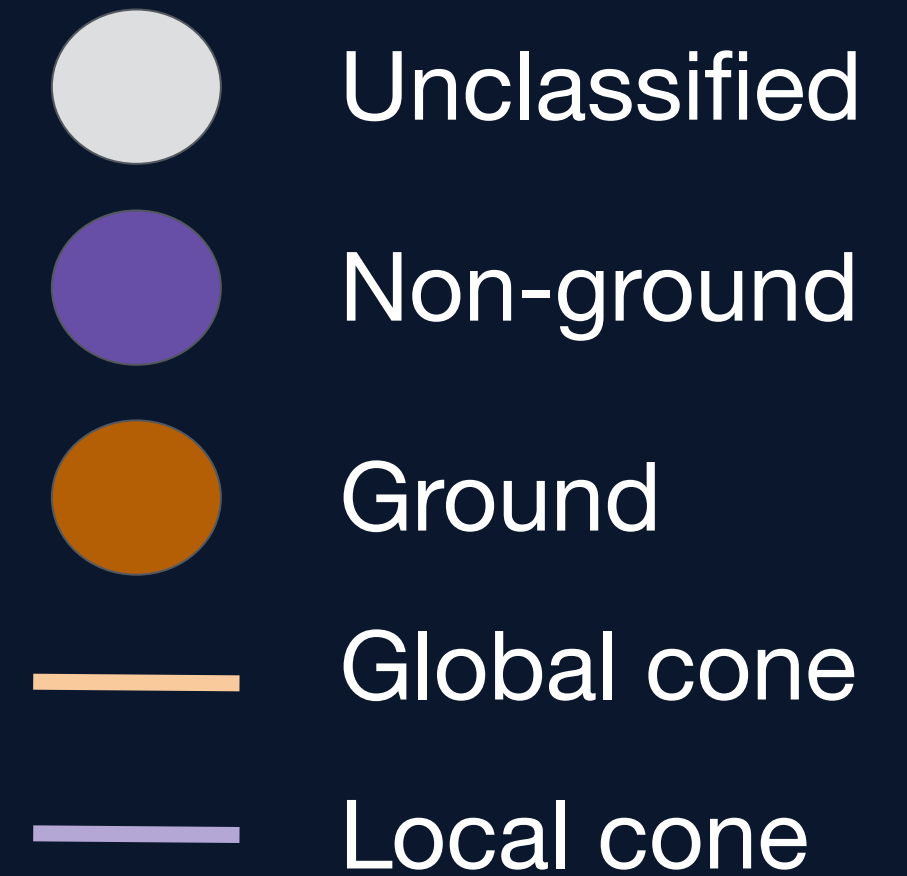
Cho et al, Tier4:

1. Build range image or otherwise bin into angle slices
2. Know where the ground is local to the sensor
3. Scan through points in a ray with increasing distance:
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    - i. Check if point is in local cone WRT last ground point → ground/non-ground
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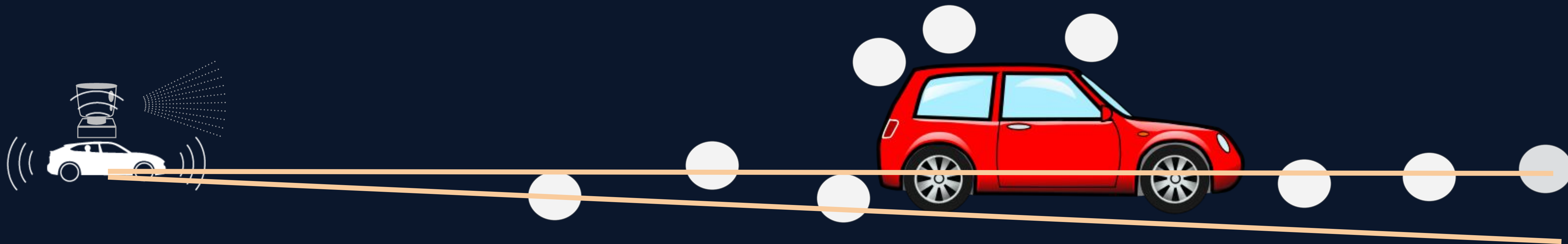
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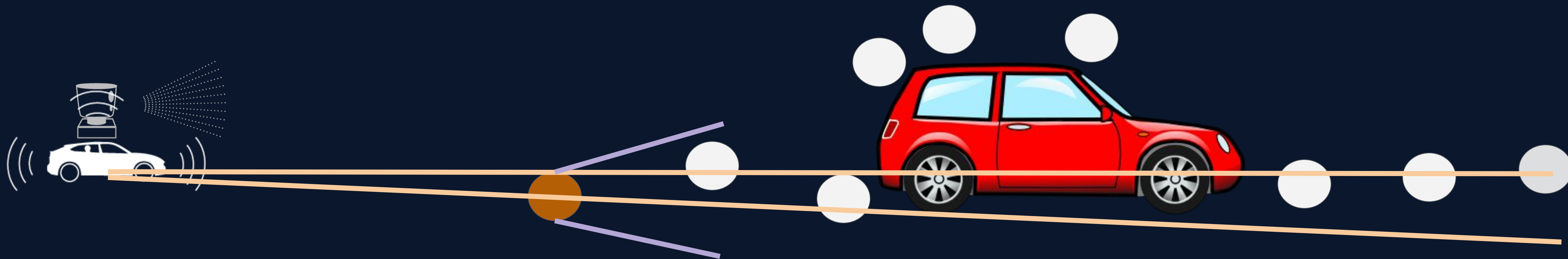
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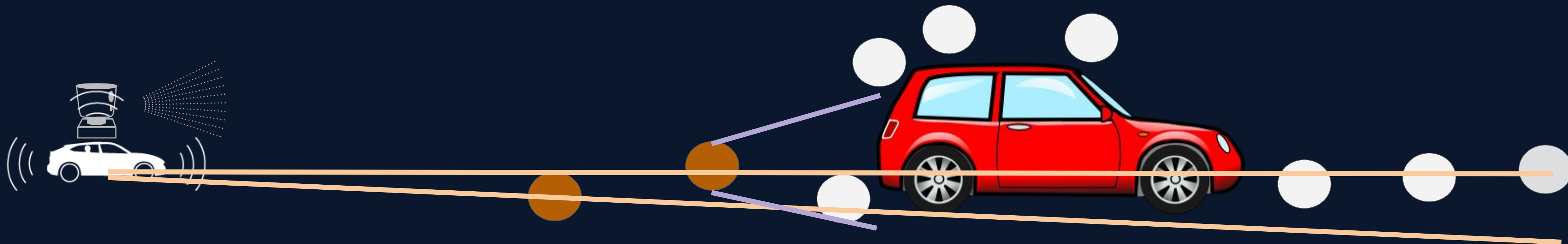




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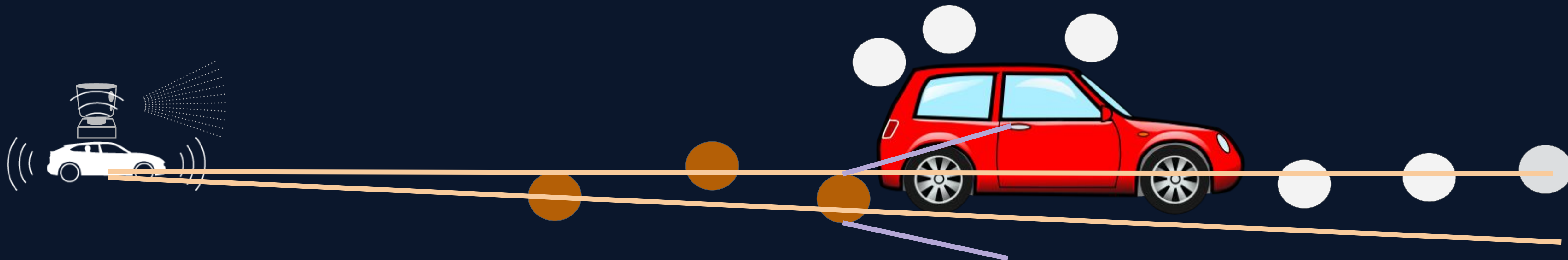
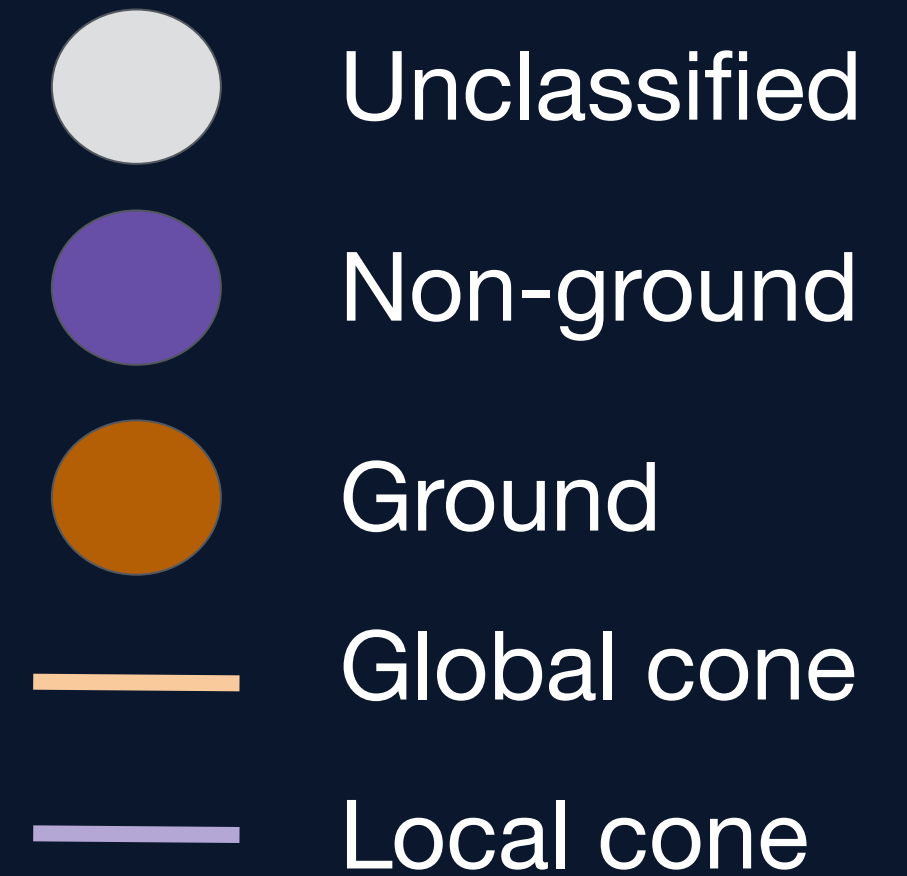
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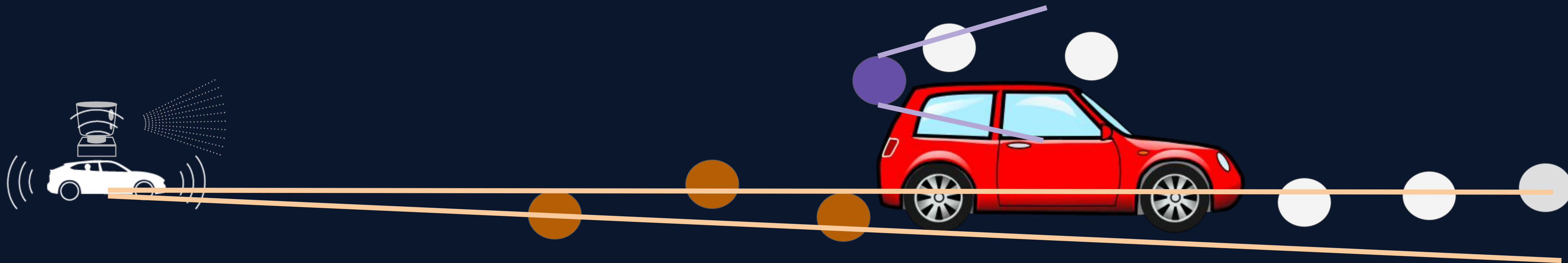
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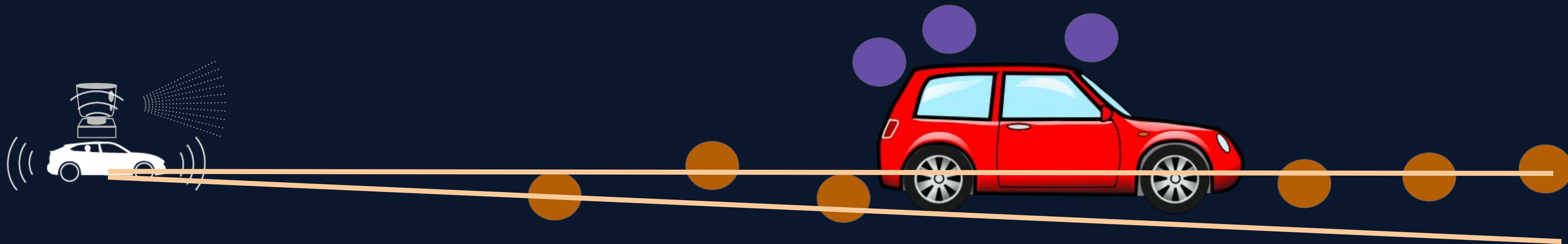




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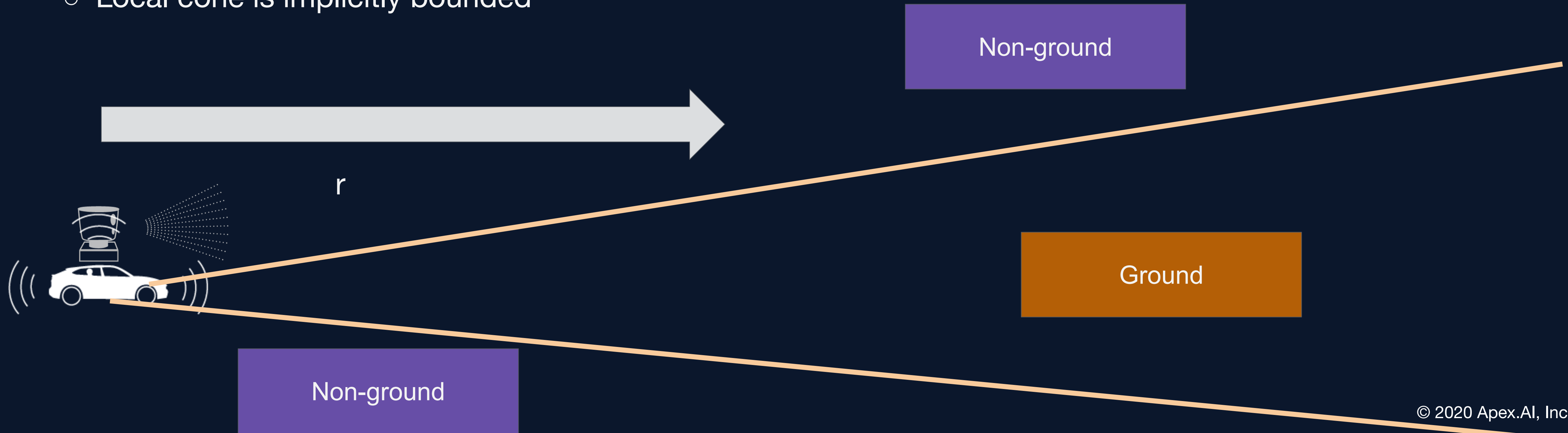
# Ground Filtering in Autoware.Auto

Use the Autoware.AI approach with three key improvements:

1. Threshold statistics
2. Use domain knowledge
3. Reinterpret as a factor graph

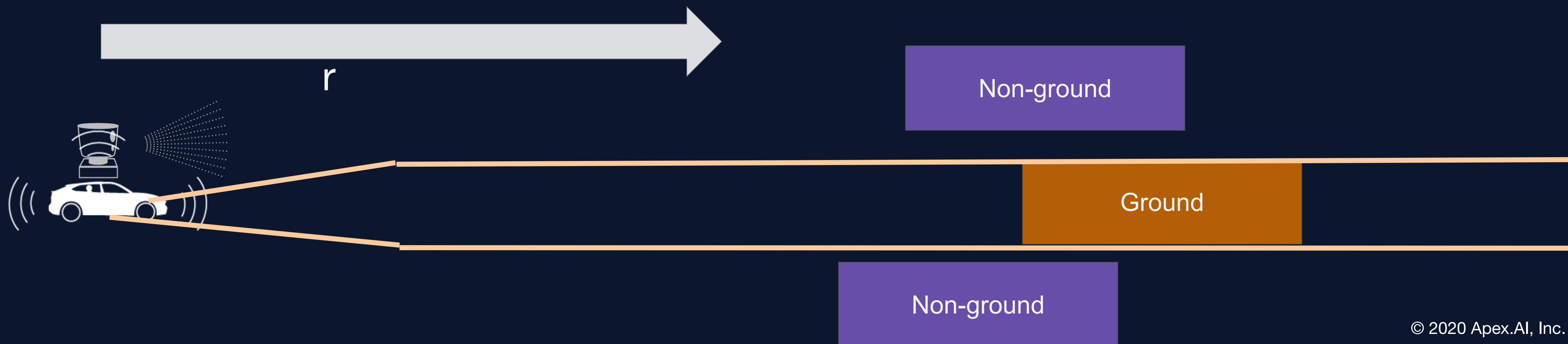
# Thresholding Statistics

- Local and global threshold are unbounded in original implementation
  - As  $r \rightarrow \infty$ , all points become ground
  - Bad detection at range
- Threshold global cone statistics
  - Control unbounded growth of thresholds
  - More robust filtering at range
  - Local cone is implicitly bounded



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# Adding Domain Knowledge (aka heuristics)

An almost message-passing algorithm:

1. Sort points by radial distance
2. Classify point based on immediate information into:
  - a. GROUND
  - b. PROVISIONAL\_GROUND
  - c. NONGROUND
  - d. RETRO\_NONGROUND
  - e. NONLOCAL\_NONGROUND
3. Pass information *back*: Update previous label based on current label:
  - a. RETRO\_NONGROUND -> last point becomes nonground
  - b. PROVISIONAL\_GROUND -> becomes nonground if next point is local nonground
  - c. NONLOCAL\_NONGROUND -> doesn't cause PROVISIONAL\_GROUND to become nonground

- Slightly more computational effort
- But much more expressive

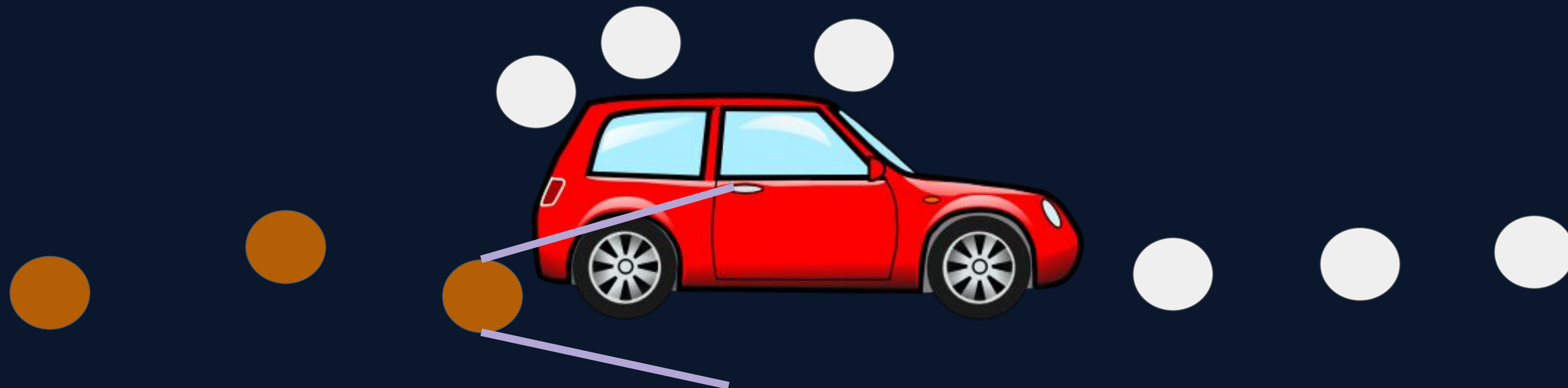
Need as many ground points as possible on distant objects

Handle low objects e.g. in front of buildings -> Better segmentation

# RETRO\_NONGROUND

- Need as many points as possible on distant objects for clustering
- Send information *back* in ray
- Intended to detect “vertical structure”
  - AKA something you can hit

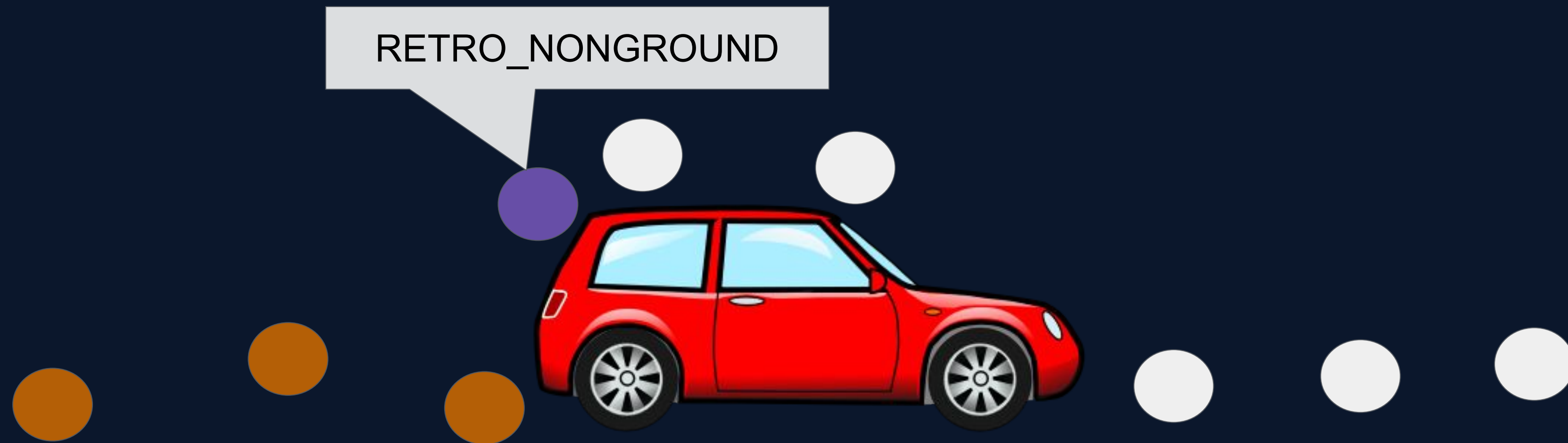
- Unclassified
- Non-ground
- Ground
- Global cone
- Local cone



# RETRO\_NONGROUND

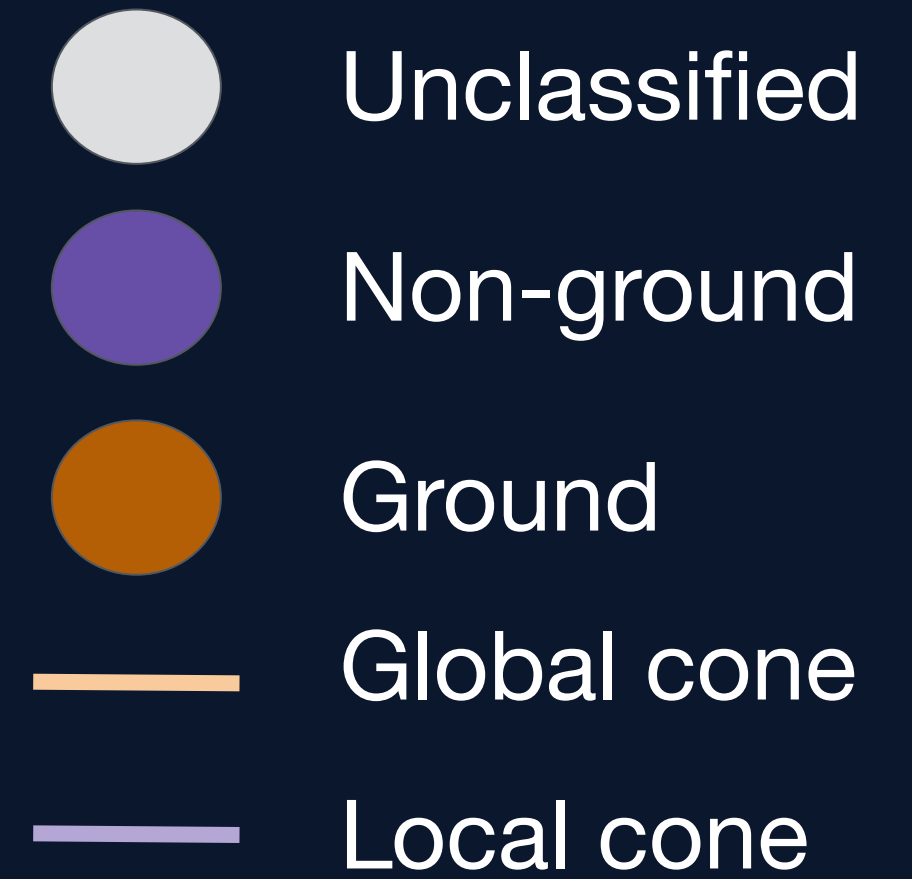
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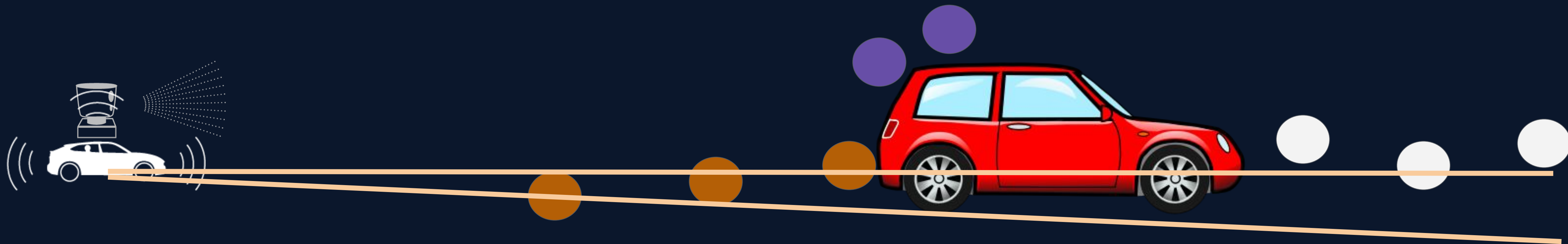
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# PROVISIONAL\_(NON)GROUND

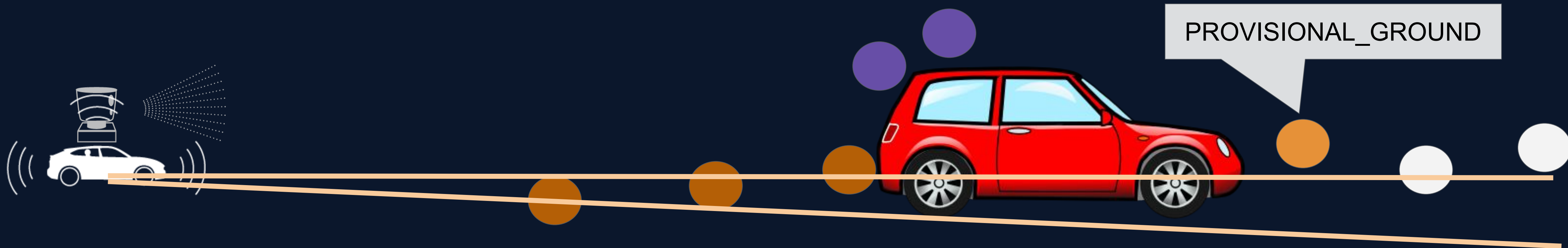
- Adds a “weak” label between using local classification and global classification
  - Local -> strong similarity/label
  - Global -> educated guess
- When moving from one class to another, apply weak label based on vertical proximity
- Label changes based on next (local) point





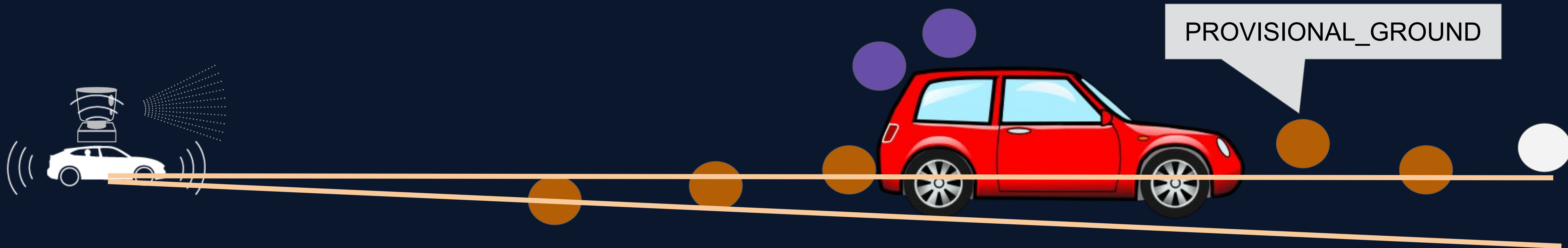
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# Interpretation as constraint satisfaction

Dynamic programming view:

1. Scan through sorted points
2. Classify points based on maintained state

Factor graph/Markov network view

1. Each point in ray has a label
2. Given labels, adjacent points must have some relationship
3. Find joint set of labels which is most likely

See e.g. [More Global Matching](#) for another example of reimagining a classic algorithm as a factor graph

Can build probabilistic model for ground filtering:

- Let  $x = (\text{Label}, \text{distance}, \text{height})$
- Assume conditional independence
  - Unary factors:  $P(x_i)$
  - N-ary factors:  $P(x_i | x_{i-1}, x_{i+1})$
- $P(x_1, \dots, x_N) = \prod_{i=1}^N P(x_i) P(x_i | x_{i-1}, x_{i+1})$
- Solve via [message passing algorithms](#)
- Find factors via machine learning

# Final Algorithm

...But we didn't do that

1. (Optional) bin point cloud into rays
2. For each ray:
  - a. Sort point in ray by radial distance
  - b. Last label = GROUND, Last point = (0, 0)
  - c. For each point in order:
    - i. If close to last point:
      1. Super steep angle -> RETRO\_NONGROUND
      2. Close in height -> Same label as last
    - ii. Otherwise nonlocal:
      1. Check if local to last ground point -> PROVISIONAL\_NONGROUND
      2. Check against global cone -> NONGROUND/PROVISIONAL\_GROUND
    - iii. Update last label:
      1. If RETRO\_NONGROUND -> last = NONGROUND
      2. Update PROVISIONAL\_GROUND label -> Takes decayed version of current point



# Ground Filtering - Summary

- Ground filtering simplifies the problem of object detection
- There are many approaches:
  - Region-growing
  - Voxel-based
  - Ray-based
- Autoware.Auto uses a ray-based approach

## Important optimizations

- Threshold statistics
  - Better classification at range
- Add additional domain knowledge
  - Better accuracy
- Reinterpret problem as factor graph
  - Better at classification
  - Not fully realized--still room for improvement