

# Dat600 Assignment 1

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# 1 Task 1 counting steps

*Note: To better follow along with Task 1 and Task 2 I recommend looking at the jupyter notebook present at the Github there you will also find full the full codebase for this assignment[1]*

Task 1 was about implementing the 4 specified sort methods: *Insertion-[2]*, *Merge-[3]*, *Heap-[4]* and *Quicksort[5]*. These sorting methods were implemented and developed with the help of understanding their functionality from their respective GeeksforGeeks topics page.

## 1.1 Sorting model implementations

**Listing 1:** Insertion sort implementation

```
1 def InsertionSort(list):
2     stepCount = 0
3
4     for i in range(1, len(list)):
5         key = list[i]
6         j = i - 1
7         stepCount += 1
8
9         while j ≥ 0 and list[j] > key:
10             list[j+1] = list[j]
11             j -= 1
12             stepCount += 1
13
14         list[j+1] = key
15
16     return list, stepCount
```

**Listing 2:** Merge sort implementation

```
1 def MergeSort(list):
2     # stepCount as an int was not correctly calculated because of ...
3     # recursion
4     stepCount = [0]
5
6     def merge_sort(list):
7         if len(list) ≤ 1:
8             return list
9
10        middle = len(list)//2
11        left = merge_sort(list[:middle])
12        right = merge_sort(list[middle:])
13
14        return merge(left, right)
```

```

15     def merge(left, right):
16         res = []
17         i = j = 0
18
19         while i < len(left) and j < len(right):
20             stepCount[0] += 1
21             #print(left[i], right[j])
22             if left[i] < right[j]:
23                 res.append(left[i])
24                 i += 1
25             else:
26                 res.append(right[j])
27                 j += 1
28         res.extend(left[i:])
29         res.extend(right[j:])
30         return res
31
32     merge_sort(list)
33     return list, stepCount[0]

```

**Listing 3:** Heap sort implementation

```

1  def HeapSort(list):
2      stepCount = [0]
3
4      def heapify(arr, n, i):
5          largest = i
6
7          # left index = 2*i + 1
8          lI = 2 * i + 1
9
10         # right index = 2*i + 2
11         rI = 2 * i + 2
12
13         # If left child is larger than root
14         if lI < n and arr[lI] > arr[largest]:
15             largest = lI
16
17         # If right child is larger than largest so far
18         if rI < n and arr[rI] > arr[largest]:
19             largest = rI
20
21         # If largest is not root
22         if largest != i:
23             arr[i], arr[largest] = arr[largest], arr[i] # Swap
24
25             # Recursively heapify the affected sub-tree
26             heapify(arr, n, largest)
27         stepCount[0] += 1
28
29     n = len(list)
30
31     # Build heap (rearrange array)
32     for i in range(n // 2 - 1, -1, -1):
33         heapify(list, n, i)

```

```

34
35     # One by one extract an element from heap
36     for i in range(n - 1, 0, -1):
37
38         # Move root to end
39         list[0], list[i] = list[i], list[0]
40
41         # Call max heapify on the reduced heap
42         heapify(list, i, 0)
43         stepCount[0] += 1
44
45     return list, stepCount

```

*The heap sort implementation provided is heavily influenced by the GeeksforGeeks implementation [4]*

**Listing 4:** Quick sort implementation

```

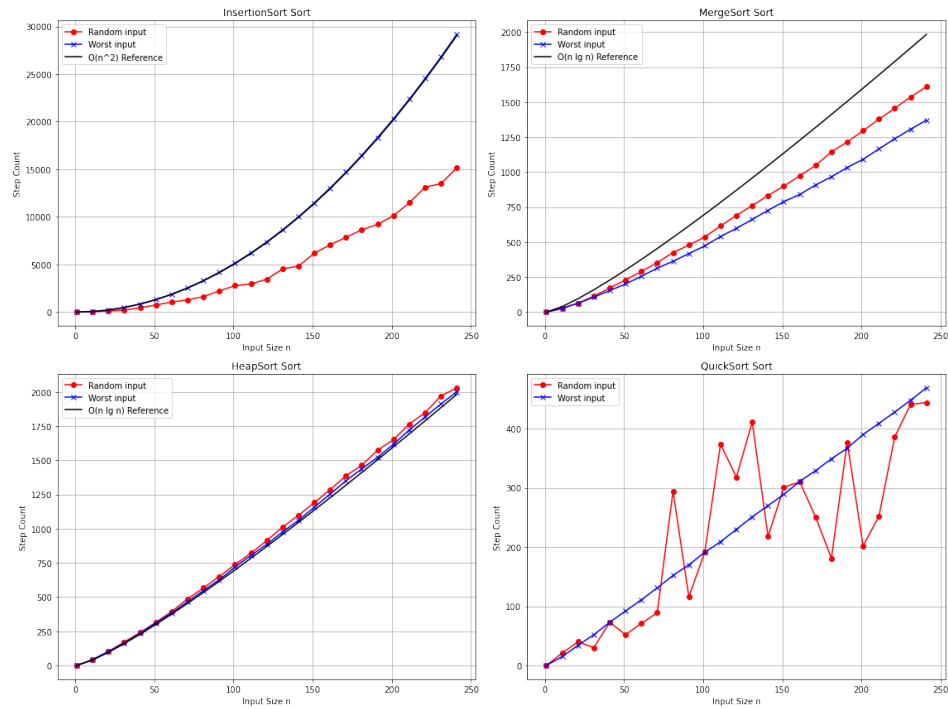
1  def QuickSort(list):
2      stepCount = [0]
3      def quick_sort(array):
4          if len(array) ≤ 1:
5              return array
6
7          pivot = array[len(array) // 2]
8          left = [x for x in array if x < pivot]
9          middle = [x for x in array if x == pivot]
10         right = [x for x in array if x > pivot]
11
12         stepCount[0] += len(array) - 1
13
14         return quick_sort(left) + middle + (right)
15
16     quick_sort(list)
17     return list, stepCount[0]

```

## 1.2 Execution and Visualization

These methods are then ran over a total of 250 iterations with a varying length  $n$ . For a deeper look into the execution and plotting I recommend looking at the source code found in the github repo [1]

Looking at figure 1 its pretty obvious that both the red and blue execution follow the general trend that was expected based on the asymptotic running time shown in the task description



**Figure 1:** Figure displaying the steps taken by each implementation plotted against a length  $n$

## 2 Task 2 compare true execution time

The chosen programming languages to implement Insertion Sort was: *Python* and *Go(lang)* For the python implementation that can be found earlier in subsection 1.1

### 2.1 Code implementations

**Listing 5:** Insertion sort implementation in Go

```

1 func Insertion_sort(arr []int) (array []int) {
2     for i := 0; i < len(arr); i++ {
3         key := arr[i]
4         j := i - 1
5
6         for j ≥ 0 && arr[j] > key {
7             arr[j+1] = arr[j]
8             j--
9         }
10    }

```

```

11         arr[j+1] = key
12     }
13     return arr
14 }

```

## 2.2 Observations

Looking at the differences shown between Go and Python in the table 1 its easy to see that Go is faster, for the run collected from Go the insertion sort true time only reaches about 1ms at n=2000, while in Python's case insertion sort approaches 1ms at n=100 and is at 3.7ms at n=250, while we are still operating below one second this increases fast with time and for process intensive tasks where BigO approaches or even exceeds  $O^2$  Go can provide a significant improvement in true time performance

	n	Python Time (ms)	Go Time (ms)
0	50	0.1452	0.0178
1	100	0.6638	0.0037
2	250	3.7222	0.0165
3	500	11.4937	0.0608
4	750	18.5840	0.2230
5	1000	28.0561	0.3699
6	2000	98.0868	1.0235
7	5000	609.6821	19.1793

**Table 1:** Comparison of Python and Go Execution Times

### 3 Task 3 Basic proofs

Note: This section is taken from my digital notebook. These excerpts were a bit hard to place in the PDF, but can all be found in the report as well as on GitHub. Excerpts belonging to this task are shown in Figure ??.

Task 3.

Show that  $\frac{n^2}{\lg n} = o(n^2)$

Definition of  $o(n)$ :

$$f(n) = o(g(n)) \text{ if } \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

Proof:

$$\text{for } \frac{n^2}{\lg n} = o(n^2) \Rightarrow \lim_{n \rightarrow \infty} \frac{\frac{n^2}{\lg n}}{n^2} = 0$$
$$\lim_{n \rightarrow \infty} \frac{\frac{n^2}{\lg n}}{n^2} = 0 \Rightarrow \lim_{n \rightarrow \infty} \frac{n^2}{\lg n} \cdot \frac{1}{n^2} \Rightarrow \lim_{n \rightarrow \infty} \frac{1}{\lg n} = 0$$

When the limit  $\lim_{n \rightarrow \infty} \frac{1}{\lg n} = 0$  as  $n \rightarrow \infty$   
the answer becomes 0 and therefore:

$$\frac{n^2}{\lg n} = o(n^2)$$

Show that  $n^2 \neq o(n^2)$

Following the same definition

$$f(n) = o(g(n)) \text{ if } \lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$$

If  $n^2 = o(n^2)$  then  $\lim_{n \rightarrow \infty} \frac{n^2}{n^2} = 0$ , however  $\lim_{n \rightarrow \infty} \frac{n^2}{n^2} = 1$   
Therefore  $n^2 = o(n^2)$  cannot be true, therefore  $n^2 \neq o(n^2)$

Figure 2: Task 3

#### 4 Task 4 Divide and Conquer analysis

##### Task 4 Divide and Conquer Analysis

$$\text{when } T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

$$\text{and R1: } T(n) = 16T\left(\frac{n}{4}\right) + n$$

$$\text{Then } a=16 \text{ and } b=4 \text{ and } f(n)=n$$

Comparing with Case 1:

$$\text{if } f(n) = O(n^{\log_b a - \epsilon}) \text{ for some } \epsilon > 0$$

and assuming  $\epsilon = 1$  we get:

$$f(n) = O(n^{\log_4 16 - 1}) \Rightarrow f(n) = O(n^{2-1})$$
$$\quad \quad \quad \downarrow$$
$$\quad \quad \quad n^2 \Rightarrow f(n) = O(n)$$

This shows that Case 1 would work, then:

$$T(n) = \Theta(n^{\log_b a}) = \underline{\underline{\Theta(n^2)}}$$

①

Figure 3: Task 4 calculations



## 5 Task 5

Task 4 (or maybe should be 5)

with  $T(n) = 4T(n/2) + n$   
Hypotheses 1:

1.  $T(n) \leq c \cdot n^2$  where  $c > 0$  [upper bound]

with:  $T(n/2) \leq c \cdot (n/2)^2$

replacing  $T(n/2) \Rightarrow T(n) = 4T(n/2) + n$

$$\leq 4 \left( c \cdot \left( \frac{n}{2} \right)^2 \right) + n$$

$$\leq 4 \left( c \cdot \frac{n^2}{4} \right) + n$$

$$\stackrel{*c}{\Rightarrow} 4 \left( c \cdot \frac{n^2}{4} \right) = c \cdot n^2$$

$$\stackrel{*4}{\Rightarrow} 4 \left( \frac{cn^2}{4} \right) = cn^2$$

This is false for  $\leftarrow T(n) \leq cn^2 + n$

1. Since

$$\underbrace{cn^2 \leq cn^2 + n}_{\text{false}}$$

(2)

Task 4 (or 5)

Hypothesis 2:

$T(n) \geq cn^2$  where  $c > 0$  [lower bound]

$$T(n) = 4 \cdot (T(n/2)) + 2$$

and  $T(n/2) \geq c(n/2)^2$

$$\Rightarrow T(n) \geq \underbrace{4(c(n/2)^2)}_{cn^2} + n$$

$$T(n) \geq cn^2 + n$$

OR simply

$$\underbrace{T(n) \geq cn^2}_{\text{True}}$$

and hypothesis 2 works

Hypothesis 3:

$T(n) \leq (cn^2 - b \cdot n)$  where  $c > 0$  and  $b > 0$

and

$$T(n/2) = c(n/2)^2$$

$$\begin{aligned} \Rightarrow T(n) &= 4T(n/2) + n \\ &\leq 4(c(n/2)^2 - b(n/2)) + n \\ &= 4\left(\frac{cn^2}{4} - \frac{bn}{2}\right) + n \\ &= cn^2 - 2bn + n \\ &= cn^2 - (2b-1)n. \end{aligned}$$

③

$T(n) \leq cn^2 - (2b-1)n \leq (cn^2 - bn)$   
Since we can ~~can~~  $n=1$  for proofing:

$$c1^2 - (2b-1)1 \leq c1^2 - b \cdot 1$$

Removing Constant:

$$\Rightarrow -(2b-1) \leq -b$$

$$\Rightarrow 2b-1 \geq b$$

$$\stackrel{+b}{\Rightarrow} b \geq 1$$

meaning as long as  $b \geq 1$

$$T(n) \leq cn^2 - (2b-1)n \leq cn^2 - bn$$

is valid and hypothesis 3 also  
works

(4)

## References

- [1] Martin Sævareid Lauritsen. “Dat600 Assignment 1”. In: (2025). URL: <https://github.com/MslRobo/Dat600Repo>.
- [2] GeeksforGeeks. “Insertion Sort Algorithm”. In: (Jan. 2025). URL: <https://www.geeksforgeeks.org/insertion-sort-algorithm/>.
- [3] GeeksforGeeks. “Merge Sort – Data Structure and Algorithms Tutorials”. In: (Jan. 2025). URL: <https://www.geeksforgeeks.org/merge-sort/>.
- [4] GeeksforGeeks. “Heap Sort – Data Structures and Algorithms Tutorials”. In: (Jan. 2025). URL: <https://www.geeksforgeeks.org/heap-sort/>.
- [5] GeeksforGeeks. “Quick Sort”. In: (Feb. 2025). URL: <https://www.geeksforgeeks.org/quick-sort-algorithm/>.