

1 Pre-requisite Definitions

Definition 1 Let V be a vector space and T an operator on V . Let λ be an eigenvalue of T . We define the λ eigen-subspace of V to be:

$$\text{Eig}_T(\lambda) = \{v \in V \mid T v = \lambda v\}$$

Definition 2 Let V be an inner product space. An operator T is called self-adjoint if:

$$\langle Tu, v \rangle = \langle u, Tv \rangle \quad \forall u, v \in V$$

Theorem 1 If V has countable dimensions and T is self-adjoint, then there exists an orthonormal basis of eigenvectors of T .

2 Bloch's Theorem

I) A useful theorem/lemma

- i) Let S, T be operators on some vector space V . Suppose that $ST = TS$. Let λ be an eigenvalue of T . Prove that S restricts to $\text{Eig}_T(\lambda)$. (In other words $S(\text{Eig}_T(\lambda)) \subseteq \text{Eig}_T(\lambda)$)
- ii) Prove that if T is not degenerate (i.e. if two eigenvectors have the same eigenvalue, they are scalar multiples of each-other), then there is a basis in which both S, T are diagonal
- iii) Now suppose just that S and T are both self-adjoint and V is countable dimensional. Prove that we can find an orthonormal basis in which both S and T are diagonal. *Hint: Use part I)*

II) Read the proof “Using Operators” of Bloch’s theorem on the [Wikipedia page](#). Fill in the “skipped steps” in this proof.

III) Suppose the setup is as in the proof above (i.e. the Hamiltonian H is invariant under integer translations along some vectors a_1, a_2, a_3). Employing the labelling convention of eigenvalues of T_i with k where the eigenvalue associated to k is given via $\exp(ik \cdot a_i)$, show that some k must be equivalent. What is this condition? Google the Brillouin zone, and understand why we then want to restrict our crystal momentum k to the first Brillouin zone.

IV) If you have time, read the first 6 pages of “[Fundamentals of the Theory of Metals](#)” by Abrikosov.