

• **晶格** (1) 三斜($1; a_1 \neq a_2 \neq a_3; \alpha \neq \beta \neq \gamma$); 单斜($2; a_1 \neq a_2 \neq a_3; \alpha = \gamma = \pi/2 \neq \beta$); 正交($4; a_1 \neq a_2 \neq a_3; \alpha = \beta = \gamma = \pi/2$); 四角($2; a_1 = a_2 \neq a_3; \alpha = \beta = \gamma = \pi/2$); 立方($3; a_1 = a_2 = a_3; \alpha = \beta = \gamma = \pi/2$); 三角($1; a_1 = a_2 \neq a_3; \alpha = \beta = \pi/2, \gamma = 2\pi/3$) (2) sc(简单立方, $2r = a$); bcc(体心立方, $4r = \sqrt{3}a, \rho = 2m_0/a^3, a = \sqrt[3]{2m_0/\rho}$); fcc(面心立方, $4r = \sqrt{2}a$); hcp(六角密堆积) (3) 常见结构: NaCl(Cl面心&角+Na边中&体心); CsCl(Cs体心+Cl角); 金刚石结构(fcc+000& $\frac{1}{4}\frac{1}{4}\frac{1}{4}$); ZnS结构(金刚石结构基础上的部分替换)(Zn000, $0\frac{1}{2}\frac{1}{2}, \frac{1}{2}0\frac{1}{2}, \frac{1}{2}\frac{1}{2}0$; $S\frac{1}{4}\frac{1}{4}\frac{1}{4}, \frac{1}{4}\frac{3}{4}\frac{3}{4}, \frac{3}{4}\frac{1}{4}\frac{3}{4}, \frac{3}{4}\frac{3}{4}\frac{1}{4}$) eg1. $r_{Cs} = 1.7, r_{Cl} = 1.81, a = 2(r_{Cs} + r_{Cl})/\sqrt{3}, PF = \frac{4\pi(r_{Cs}^3 + r_{Cl}^3)}{3a^3} \approx 0.682, \rho = \frac{m_{Cs} + m_{Cl}}{a^3} = 4.2$ eg2. NaCl下的CsCl: $a = 2(r_{Cs} + r_{Cl}), PF = \frac{4\pi(r_{Cs}^3 + r_{Cl}^3) \cdot 4}{3a^3} \approx 0.525$

• **两种指标** 设晶面截距为 a_1, a_2, a_3 (1) $(a_1^{-1}, a_2^{-1}, a_3^{-1})$; (2) $[a_1, a_2, a_3]$. 上划线表示负号 $[u\bar{v}w]$

• **布拉格条件** $2d \sin \theta = n\lambda; \Delta \vec{k} = \vec{G}; 2\vec{k} \cdot \vec{G} = G^2$; 劳厄条件 $\vec{a}_1 \cdot \Delta \vec{k} = 2\pi v_1; \vec{a}_2 \cdot \Delta \vec{k} = 2\pi v_2; \vec{a}_3 \cdot \Delta \vec{k} = 2\pi v_3$;

• **倒格子初基平移矢量** $\vec{b}_1 = 2\pi \frac{\vec{a}_2 \times \vec{a}_3}{\vec{a}_1 \cdot \vec{a}_2 \times \vec{a}_3}, \vec{b}_2 = 2\pi \frac{\vec{a}_3 \times \vec{a}_1}{\vec{a}_1 \cdot \vec{a}_2 \times \vec{a}_3}, \vec{b}_3 = 2\pi \frac{\vec{a}_1 \times \vec{a}_2}{\vec{a}_1 \cdot \vec{a}_2 \times \vec{a}_3} \cdot \vec{b}_i \cdot \vec{a}_j = 2\pi \delta_{ij}$

• **倒格矢** $\vec{G} = v_1 \vec{b}_1 + v_2 \vec{b}_2 + v_3 \vec{b}_3, v_i \in \mathbb{Z}$. 倒格矢 $\vec{G}_{h_1 h_2 h_3}$ 垂直于实空间晶面 $(h_1 h_2 h_3)$. 面间距 $d = \frac{2\pi}{|\vec{G}_h|}$

• **几何结构因子前提**: 方向为 $\vec{k}' = \vec{k} + \Delta \vec{k} = \vec{k} + \vec{G}$, $S_G = \sum_j f_j e^{-i\vec{r}_j \cdot \vec{G}} = \sum_j f(j) e^{-i2\pi(x_j v_1 + y_j v_2 + z_j v_3)}$, 其中 $f_j = \int dV n_j(\vec{r}) e^{-i\vec{G} \cdot \vec{r}}$. eg1. bcc & $(0, 0, 0) + (\frac{1}{2}, \frac{1}{2}, \frac{1}{2})$, $S(v_1, v_2, v_3) = f(1 + e^{-i\pi(v_1 + v_2 + v_3)})$; eg2. fcc & $(0, 0, 0) + (0, \frac{1}{2}, \frac{1}{2}) + (\frac{1}{2}, 0, \frac{1}{2}) + (\frac{1}{2}, \frac{1}{2}, 0)$, $S(v_1, v_2, v_3) = f\{1 + e^{-i\pi(v_2 + v_3)} + e^{-i\pi(v_1 + v_3)} + e^{-i\pi(v_1 + v_2)}\}$

• **原子形状因子** $f_j = \int dV n_j(\vec{r}) e^{-i\vec{G} \cdot \vec{r}}$, 球对称极限 $f_j = 4\pi \int dr n_j(r) r^2 \frac{\sin Gr}{Gr}$

• **第一布里渊区** 倒格子的维格纳-塞茨原胞. 晶格常数 a (1) sc \rightarrow sc($2\pi/a$); bcc \rightarrow 棱形十二面体(长对角线为 $2 \cdot \frac{\sqrt{2}\pi}{a}$, 短对角线为 $2 \cdot \frac{\pi}{a}$); fcc \rightarrow 截角八面体(八面体每个角被切, 使得相邻三个面的正方形边能围成正六边形. 小正方形和六边形的边长 $l = \frac{\sqrt{2}\pi}{2a}$)

• **声子-振动** (1) 无阻尼单原子链: $u_{s\pm 1} = u e^{isKa} \exp^{\pm iKa}$ 色散关系: $w^2 = (2C/M)(1 - \cos Ka)$; $w^2 = (4C/M) \sin^2 \frac{1}{2} Ka$; 群速: $v_g = \frac{dw}{dK} = \sqrt{\frac{Ca^2}{M}} \cos \frac{Ka}{2}$; 长波极限($Ka \ll 1$): $w^2 = (C/M)K^2 a^2, v = w/K$ (2) 无阻尼双原子链: 原胞 p 个原子, 3 个声学支, 3p-3 个光学支. $M_1 \frac{d^2 u_s}{dt^2} = C(v_s + v_{s-1} - 2u_s); M_2 \frac{d^2 v_s}{dt^2} = C(u_{s+1} + u_s - 2v_s)$. 试探解 $u_s = u e^{isKa} e^{-i\omega t}, v_s = v e^{isKa} e^{-i\omega t}$, 行列式系数为 0: $M_1 M_2 \omega^4 - 2C(M_1 + M_2)\omega^2 + 2C^2(1 - \cos Ka) = 0$; 长波极限($Ka \ll$

1): 光学支 $w^2 = 2C(\frac{1}{M_1} + \frac{1}{M_2})$, 声学支 $w^2 = \frac{C}{2(M_1 + M_2)} K^2 a^2$; 光学支下原子反向震动即质心固定, 由光的电场来激发. (3) 波矢选择定则: 波矢 \vec{k} 非弹性散射到 \vec{k}' , 同时产生/吸收波矢为 \vec{K} 的声子: $\vec{k} = \vec{k}' \pm \vec{K} + \vec{G}$, \vec{G} 是倒格矢; (4) 声子能量: $\epsilon = (n + \frac{1}{2})\hbar\omega$. 若 $u = u_0 \cos Kx \cos \omega t$ $E_k = \int \frac{1}{2} \rho (\frac{\partial u}{\partial t})^2 = \frac{1}{4} \rho V \omega^2 u_0^2 \langle \sin^2 \omega t \rangle = \frac{1}{8} \rho V \omega^2 u_0^2 = \frac{1}{2} (n + \frac{1}{2}) \hbar \omega$; 动能守恒: $\frac{\hbar^2 k^2}{2M_n} = \frac{\hbar^2 k'^2}{2M_n} \pm \hbar \omega$ (5) 有阻尼单原子链: $m \partial_t^2 u_j = C(u_{j+1} + u_{j-1} - 2u_j) - \Gamma \partial_t u_j$. 色散关系: $\omega(k) = \sqrt{\omega_{k_0}^2 - (\frac{\Gamma}{2m})^2 - \frac{i\Gamma}{2m}(\omega_{k_0} = \sqrt{\frac{4C}{m}} |\sin \frac{ka}{2}|)}$ 弛豫时间 $(a) \omega_{k_0} \geq \Gamma/2m : \tau_k = 2m/\Gamma$; (b) $\omega_{k_D} < \Gamma/2m : \tau_k = \frac{\Gamma}{2m\omega_{k_0}^2} (1 + \sqrt{1 - (\frac{2m\omega_{k_0}}{\Gamma})^2})$

• **热学基础** (0) 定容热容 $C_V = (\frac{\partial U}{\partial T})_V$, 声子温度 $\tau = k_B T$, 晶格内能 $U_{lat} = \sum_K \sum_p \langle n_{K,p} \rangle \hbar \omega_{K,p}$ (1) 普朗克分布 $\langle n \rangle = \frac{1}{e^{\frac{\hbar\omega}{\tau}} - 1}$ (2) $U = \sum_K \sum_p \frac{\hbar \omega_{K,p}}{e^{\frac{\hbar\omega_{K,p}}{\tau}} - 1} = \sum_p \int d\omega D_p(\omega) \frac{\hbar \omega}{e^{\frac{\hbar\omega}{\tau}} - 1}$, $C_{lat} = k_B \sum_p \int d\omega D_p(\omega) \frac{x^2 e^x}{(e^x - 1)^2} (x = \hbar\omega/\tau = \hbar\omega/k_B T)$, $D(\omega)$ 即为态密度 (3) 一维 $D(\omega): L = Na$, 每个间隔 $\Delta K = \frac{\pi}{L}$ 内一个模式, 每个 K 三个偏振态(两横一纵) $D(\omega) d\omega = \frac{L}{\pi} \frac{dK}{d\omega} d\omega = \frac{L}{\pi} \frac{d\omega}{d\omega/dK}$ (色散关系 $\omega(K)$) (4) 三维 $D(\omega): \forall i, K_i = \pm \frac{2n\pi}{L}, \vec{K}$ 单位体积内模式数 $(\frac{L}{2\pi})^3 = \frac{V}{8\pi^3}$, 每种偏振模式总数 $N = (\frac{L}{2\pi})^3 (\frac{4\pi K^3}{3})$, 态密度 $D(\omega) = \frac{dN}{d\omega} = (\frac{VK^2}{2\pi^2}) (\frac{dK}{d\omega})$

• **德拜模型** (0) 石墨烯模型(2D). C-C 距离 d , 声速 v , 晶格常数 $a = \sqrt{3}d$, 原胞面积 $A = \frac{\sqrt{3}a^2}{2}$, 德拜波矢 $\pi k_D^2 = \frac{(2\pi)^2}{A}$, 德拜温度 $\theta_D = \frac{\hbar \omega_D}{k_B} = \frac{\hbar v k_D}{k_B}$. $(\theta_D|_{d=1.42A} = 2.13 \times 10^3 K)$ (1) 3D 下, 假设(每种偏振声速恒定, $\omega = vK$) 态密度 $D(\omega) = \frac{V \omega^2}{2\pi^2 v^3}$, 截止频率 $\omega_D^3 = 6\pi^2 v^3 N/V$, 截止波矢 $K_D = \omega_D/v = (6\pi^2 \frac{N}{V})^{\frac{1}{3}}$, 单偏振态内能 $U_i = \int d\omega D(\omega) \langle n(\omega) \rangle \hbar \omega = \int_0^{\omega_D} d\omega (\frac{V \omega^2}{2\pi^2 v^3}) (\frac{\hbar \omega}{e^{\frac{\hbar \omega}{\tau}} - 1})$, 总内能 $U = 3U_i = \frac{3V \hbar}{2\pi^2 v^3} \int_0^{\omega_D} d\omega \frac{\omega^3}{e^{\frac{\hbar \omega}{\tau}} - 1} = \frac{3V k_B^4 T^4}{2\pi^2 v^3 \hbar^3} \int_0^{x_D} dx \frac{x^3}{e^x - 1}$ (其中 $x = \hbar \omega/\tau, x_D = \hbar \omega_D/\tau = \theta/T$), 德拜温度 $\theta = \frac{\hbar v}{k_B} (6\pi^2 \frac{N}{V})^{\frac{1}{3}}, U = 9N k_B T (\frac{T}{\theta})^3 \int_0^{x_D} dx \frac{x^3}{e^x - 1}$ e.g. 金刚石模型(3D) C-C 距离 d , 声速 v , 晶格常数 $a = 4d/\sqrt{3}$, 原胞体积 $\Omega = \frac{a^3}{4}$, 德拜波矢 $\frac{4}{3} \pi k_D^3 = \frac{(2\pi)^3}{\Omega}$, 德拜温度 $\theta_D = \frac{\hbar \omega_D}{k_B} = \frac{\hbar v k_D}{k_B}$. $(\theta_D|_{d=1.42A} = 2.13 \times 10^3 K)$ (2) 德拜模型低温极限(T^3 律) $(\int_0^\infty dx \frac{x^3}{e^x - 1} = \frac{\pi^4}{15}): U \approx 3\pi^2 N k_B T^4 / 5\theta^3$, 热容 $C_V \approx \frac{12\pi^4}{5} N k_B (\frac{T}{\theta})^3 \approx 234 N k_B (\frac{T}{\theta})^3$

• **爱因斯坦模型** 爱因斯坦模型($D(\omega) = N \delta(\omega - \omega_0)$): 一维内能 $U = n \langle n \rangle \hbar \omega = \frac{N \hbar \omega}{e^{\frac{\hbar \omega}{\tau}} - 1}$, 一维比热 $C_V = \frac{\partial U}{\partial T} = N k_B (\frac{\hbar \omega}{\tau})^2 \frac{e^{\hbar \omega/\tau}}{(e^{\hbar \omega/\tau} - 1)^2}$. 3D 乘系数 3.

• **声子热学** (1) 态密度 $D(\omega)$ 一般形式: $D(\omega) = \frac{V}{(2\pi)^3} \int_{K \text{中} \partial \omega=0} \frac{dS_\omega}{v_g}$ (2) 非谐作用 $(U(x) = cx^2 - gx^3 - fx^4)$: 平均位移 $\langle x \rangle = \frac{\int_{-\infty}^{+\infty} dx x e^{-\beta U(x)}}{\int_{-\infty}^{+\infty} dx e^{-\beta U(x)}} (\beta = \frac{1}{k_B T})$, $\int dx x e^{-\beta U} \approx (\frac{3\pi^{\frac{1}{2}}}{4}) (\frac{g}{c^{\frac{3}{2}}}) \beta^{-\frac{3}{2}}, \int dx e^{-\beta U} \approx (\frac{\pi}{\beta c})^{\frac{1}{2}}$,

$\langle x \rangle = \frac{3g}{4c^2} k_B T$ (3)热导.一维下热流量 $j_U = -K \frac{dT}{dx}$, 热导率 $K = \frac{1}{3} C v l$ (C :单位体积比热; v :粒子平均速度; l :平均自由程). (4)过程. $\vec{K}_1 + \vec{K}_2 = \vec{K}_3 + \vec{G}$. 正常过程(N): $\vec{G} = 0$; 倒逆过程(U): $\vec{G} \neq 0$

- **自由电子** (0)一维无限深井: $\mathcal{H}\psi_n = -\frac{\hbar^2}{2m} \frac{d^2\psi_n}{dx^2} = \epsilon_n \psi_n$; $\epsilon_n = \frac{\hbar^2}{2m} (\frac{n\pi}{L})^2$ (1)费米能 ϵ_F : N 电子系统基态下的最高能级; e.g. 一维无限深井+泡利原理: $2n_F = N$, $n = n_F$, $\epsilon_F = \frac{\hbar^2}{2m} (\frac{N\pi}{2L})^2$; (2)温度变量. $f(\epsilon, T, \mu) = \frac{1}{e^{[\epsilon - \mu(T)]/k_B T} + 1}$ ($T = 0$ 时 $\mu = \epsilon_F$). 取高温极限时成为玻尔兹曼分布或者麦氏分布. (3)(a)3D: $-\frac{\hbar^2}{2m} \nabla^2 \psi_k(\vec{r}) = \epsilon_k \psi_k(\vec{r})$, $\psi_k(\vec{r}) = e^{i\vec{k} \cdot \vec{r}}$, ($\forall i, k_i = \frac{2n\pi}{L}$) $\epsilon_k = \frac{\hbar^2}{2m} (k_x^2 + k_y^2 + k_z^2)$. $\hat{p}\psi_k(\vec{r}) = \hbar\vec{k}\psi_k(\vec{r})$, $\vec{v} = \frac{\hbar\vec{k}}{m}$. F波矢 k_F , F能 $\epsilon_F = \frac{\hbar^2}{2m} k_F^2$. k 空间的每个体积元 $(\frac{2\pi}{L})^3$ 存在一个波矢 (k_x, k_y, k_z) . F球+泡利定理: $2 \cdot \frac{4\pi k_F^3/3}{(2\pi/L)^3} = N$. F波矢 $k_F = (\frac{3\pi^2 N}{V})^{\frac{1}{3}}$, F能 $\epsilon_F = \frac{\hbar^2}{2m} (\frac{3\pi^2 N}{V})^{\frac{2}{3}}$, F速度 $v_F = (\frac{\hbar k_F}{m}) = \frac{\hbar}{m} (\frac{3\pi^2 N}{V})^{\frac{1}{3}}$. F温度 $T_F = \epsilon_F/k_B$. 态密度 $N(U \leq \epsilon) = \frac{V}{3\pi^2} (\frac{2m\epsilon}{\hbar^2})^{\frac{3}{2}}$, $D(\epsilon) = \frac{dN}{d\epsilon} = \frac{V}{2\pi^2} (\frac{2m}{\hbar^2})^{\frac{3}{2}} \epsilon^{\frac{1}{2}} = \frac{3N}{2\epsilon}$ (b)2D: $\pi k_F^2 \cdot \frac{A}{(2\pi)^2} \cdot 2 = N$, $k_F = \sqrt{2\pi N/A} = \sqrt{2\pi n}$. 色散关系: $\epsilon = \hbar^2 k^2/2m$, $d\epsilon = \hbar^2 k dk/m$. 态密度 $D(\epsilon)d\epsilon = \frac{1}{A} \cdot 2\pi k dk \cdot \frac{A}{(2\pi)^2} \cdot 2 = \frac{k dk}{\pi d\epsilon} = \frac{m}{\pi \hbar^2} d\epsilon$ $n = \int_{-\infty}^{+\infty} D(\epsilon) n_F(\epsilon) d\epsilon = \frac{m}{\pi \hbar^2} \int_0^{+\infty} \frac{d\epsilon}{e^{(\epsilon - \mu)/k_B T} + 1} = \frac{mk_B T}{\pi \hbar^2} \ln(e^{\mu/k_B T} + 1)$. 化学势 $\mu(T) = k_B T \ln(e^{\frac{\pi n \hbar^2}{m k_B T}} - 1)$ (4)比热容. 总电子内能 $U_e \approx \frac{NT}{T_F} k_B T$, 电子比热 $C_e = \frac{\partial U}{\partial T} \approx N k_B \frac{T}{T_F}$. 低温极限 ($k_B T \ll \epsilon_F$): $\Delta U = \int_0^\infty d\epsilon \epsilon D(\epsilon) f(\epsilon) - \int_0^{\epsilon_F} d\epsilon \epsilon D(\epsilon) = \int_{\epsilon_F}^\infty d\epsilon (\epsilon - \epsilon_F) f(\epsilon) D\epsilon + \int_0^{\epsilon_F} d\epsilon (\epsilon_F - \epsilon) [1 - f(\epsilon)] D(\epsilon)$. 电子热容 $C_e = \frac{dU}{dT} = \int_0^\infty d\epsilon (\epsilon - \epsilon_F) \frac{df}{dT} D(\epsilon) \approx D(\epsilon_F) \int_0^\infty d\epsilon (\epsilon - \epsilon_F) \frac{df}{dT}$ 低温极限 ($\tau = k_B T$, $x = \frac{\epsilon - \epsilon_F}{\tau}$) $\int_{-\infty}^{+\infty} dx x^2 \frac{e^x}{(e^x + 1)^2} = \frac{\pi^2}{3}$, $C_e = \frac{1}{3} \pi^2 D(\epsilon_F) k_B^2 T$ ($D(\epsilon_F) = \frac{3N}{2\epsilon_F}$), $C_e = \frac{1}{2} \pi^2 N k_B T / T_F$. (5)金属比热. $\frac{C}{T} = \gamma + AT^2$ (γ 索末非非常量). (6)电导率. $\vec{F} = -e(\vec{E} + \frac{1}{c} \vec{v} \times \vec{B})$. 若 $\vec{F} = -e\vec{E}$, $\delta \vec{k} = -e\vec{E}t/\hbar$, $\vec{v} = \delta \vec{k}/m = -e\vec{E}\tau/m$. 电流密度 $\vec{j} = nq\vec{v} = ne^2\tau \vec{E}/m$, ($\vec{j} = \sigma \vec{E}$) $\sigma = \frac{ne^2\tau}{m}$, $\rho = \sigma^{-1}$. (7)磁场下运动. (CGS制) $\hbar(\frac{d}{dt} + \frac{1}{\tau})\delta \vec{k} = \vec{F} = -e(\vec{E} + \vec{v} \times \vec{B})$. 若 $\vec{B} = B\hat{z}$, $\{v_x = -\frac{e\tau}{m} E_x - \omega_c \tau v_y, v_y = -\frac{e\tau}{m} E_y + \omega_c \tau v_x, v_z = -\frac{e\tau}{m} E_z\}$, 回旋频率 $\omega_c = \frac{eB}{mc}$ (8)霍尔效应. 霍尔系数 $R_H = \frac{E_y}{j_x B} = -\frac{1}{nec}$ (CGS). (9)金属热导率. $K_e = \frac{1}{3} C v l = \frac{\pi^2}{3} \frac{nk_B^2 T}{m v_F} v_F l = \frac{\pi^2 nk_B^2 T \tau}{3m}$ (10)洛伦兹常量 $L = \frac{K}{\sigma T} = \frac{\pi^2}{3} (\frac{k_B}{e})^2 = 2.45 \times 10^{-8} (W \cdot \Omega / deg^2)$

- **近自由电子模型** (0)一维晶体布拉格衍射条件 $(\vec{k} + \vec{G})^2 = \vec{k}^2 \rightarrow k = \pm \frac{1}{2} G = \pm \frac{n\pi}{a}$ (倒格矢 $G = \frac{2\pi n}{a}$) (1)驻波. 与时间无关. $\psi(+)=e^{i\pi x/a} + e^{-i\pi x/a} = 2\cos \pi x/a$, $\psi(-)=e^{i\pi x/a} - e^{-i\pi x/a} = 2i\sin \pi x/a$. $\rho(+)=|\psi(+)|^2 \propto \cos^2 \pi x/a$, $\rho(-)=|\psi(-)|^2 \propto \sin^2 \pi x/a$. 大小关系: $\langle \psi(-)|U|\psi(-) \rangle \leq \langle e^{\mp i\pi x/a} |U| e^{\pm i\pi x/a} \rangle \leq \langle \psi(+)|U|\psi(+)\rangle$. 若一维 $\psi(x) = \sqrt{2} \cos \pi x/a, \sqrt{2} \sin \pi x/a$, 电子势能 $U(x) = U \cos 2\pi x/a$, 则一级近似能隙 $E_g = U(+)-U(-) = \int_0^1 dx U(x) [|\psi(+)|^2 - |\psi(-)|^2] = U$. (2)布洛赫

函数. 若势周期, 则 $\psi_{\vec{k}}(\vec{r}) = u_{\vec{k}}(\vec{r}) e^{i\vec{k} \cdot \vec{r}}$ (其中 $u_{\vec{k}}(\vec{r}) = u_{\vec{k}}(\vec{r} + \vec{T})$). 若非简并, $\psi(x+a) = C\psi(x)$, $C = e^{i2\pi s/N} \rightarrow \psi(x) = u_{\vec{k}}(x) e^{i2\pi s x/N}$. (3)克勒尼希-彭尼模型 K nig-Penney model (周期势阱). $-\frac{\hbar^2}{2m} \frac{d^2\psi}{dx^2} + U(x)\psi = \epsilon\psi$. $x \in (0, a) : \psi = Ae^{iKx} + B^{-iKx}$, $\epsilon = \frac{\hbar^2 K^2}{2m}$; $x \in (-b, 0) : \psi = Ce^{Qx} + De^{-Qx}$, $U_0 - \epsilon = \frac{\hbar^2 Q^2}{2m}$. 函数连续+导数连续, 有四阶系数行列式为 0: $[(Q^2 - K^2)/2QK] \sinh Qb \sin Ka + \cosh Qb \cos Ka = \cos k(a+b)$. 取极限 $b=0, U_0 = \infty (Q \gg K, Qb \ll 1)$, 即为周期性 δ 函数, $P = \frac{Q^2 ba}{2}$ 结论化为 $(P/Ka) \sin Ka + \cos Ka = \cos ka$. (4)周期势下的电子波函数 $U(x) = \sum_G U_G e^{iGx}$, 若为实则 $U(x) = \sum_{G>0} 2U_G \cos Gx$. $\psi = \sum_k C(k) e^{ikx}$. 波动方程 $\sum_k \frac{\hbar^2}{2m} k^2 C(k) e^{ikx} + \sum_G \sum_k U_G C(k) e^{i(k+G)x} = \epsilon \sum_k e^{ikx}$. 中心方程 $(\lambda_k - \epsilon)C(k) + \sum_G U_G C(k-G) = 0$ (其中 $\lambda_k = \frac{\hbar^2 k^2}{2m}$) (5)求解行列式

$$\begin{vmatrix} \lambda_{k-g} - \epsilon & U & 0 \\ U & \lambda_k - \epsilon & U \\ 0 & U & \lambda_{k+g} - \epsilon \end{vmatrix}$$

每一个 k 每个 ϵ 将在不同能带上. (6)中心方程求解 K-P 方程 (周期 δ 势函数). $U(x) = Aa \sum_s \delta(x - sa)$, $U_G = \int_0^1 dx U(x) \cos(Gx) = A$. 中心方程变为 $(\lambda_k - \epsilon)C(k) + Af(k) = 0$, 其中 $f(k) = \sum_n C(k - 2\pi n/a) = f(k \pm 2\pi n/a)$. 从而有 $\frac{mAa^2}{2\hbar^2} (Ka)^{-1} \sin Ka + \cos Ka = \cos ka$. (7)布里渊区边界附近的近似解. $k^2 = (\frac{1}{2}G)^2$, $(k - G)^2 = (\frac{1}{2}G - G)^2 \rightarrow k = \pm \frac{1}{2}G$. ($k = \frac{1}{2}G, \lambda = \hbar^2(\frac{1}{2}G)^2/2m$) $(\lambda - \epsilon)C(\pm \frac{1}{2}G) + UC(\mp \frac{1}{2}G) = 0$. 行列式 $|\lambda_{U, \lambda - \epsilon}^{k - \epsilon, U}| = 0$, 解得 $\epsilon = \lambda \pm U$, $E_g = 2U$. 若在 $\frac{1}{2}G$ 附近, 则 $(\lambda_k - \epsilon)C(k) + UC(k - G) = 0$, $(\lambda_{k-G})C(k - G) + UC(k) = 0$ ($\lambda_k = \hbar^2 k^2/2m$), 系数行列式 $|\lambda_{U, \lambda_{k-G} - \epsilon}^{k - \epsilon, U}| = 0 \rightarrow \epsilon = \frac{1}{2}(\lambda_{k-G} + \lambda_k) \pm [\frac{1}{4}(\lambda_{k-G} - \lambda_k)^2 + U^2]^{\frac{1}{2}}$ 用小量 $\tilde{K} = k - \frac{1}{2}G$ 展开, 有 $\epsilon_{\tilde{K}} \approx \frac{\hbar^2}{2m} (\frac{1}{4}G^2 + \tilde{K}^2) \pm U[1 + 2(\frac{\lambda}{U^2})(\frac{\hbar^2 \tilde{K}^2}{2m})]$. (8)轨道数目. N 原胞一维晶体: $k = \pm \frac{2n\pi}{L}$. 每原胞对应一个 k +泡利定理 \rightarrow 每个能带 $2N$ 个轨道.

- **金属受力自由电子模型**. ($n_{Cu} \approx 10^6$) $k_F = (3\pi^2 n)^{\frac{1}{3}} \propto n^{\frac{1}{3}}$; $\epsilon_F = \hbar^2 k_F^2/2m = \hbar^2 (3\pi^2 n)^{\frac{2}{3}} \propto n^{\frac{2}{3}}$ $D(\epsilon) \propto \epsilon^{\frac{1}{2}}$. $\langle \epsilon \rangle = \frac{\int_0^{\epsilon_F} \epsilon D(\epsilon) d\epsilon}{\int_0^{\epsilon_F} D(\epsilon) d\epsilon} = \frac{3}{5} \epsilon_F$ $E = N\langle \epsilon \rangle \propto V^{-\frac{2}{3}}$, $P = -\frac{dE}{dV} = \frac{2}{5} n \epsilon_F \propto \epsilon_F^{\frac{5}{2}}$. $\frac{dP}{d\epsilon_F} = n \rightarrow \Delta P \approx n \Delta \epsilon_F$