

- **积分公式.** $\int_{-\infty}^{\infty} \exp[ix^2]dx = \sqrt{\pi} \exp[i\pi/4]$ (Fresnel积分公式); $\int_{-\infty}^{\infty} dx \exp[-\alpha x^2 + \beta x] = \sqrt{\frac{\pi}{\alpha}} \exp[\frac{\beta^2}{4\alpha}]$, $\int_0^{+\infty} x^n \exp[-ax^2]dx = \frac{\Gamma(\frac{n+1}{2})}{2a^{\frac{n+1}{2}}}$, $\int_{-\infty}^{+\infty} x \exp[-\frac{1}{2}ax^2 + bx]dx = \frac{b}{a} \sqrt{\frac{2\pi}{a}} \exp[b^2/(2a)]$, $\int_{-\infty}^{+\infty} x^2 \exp[-\frac{1}{2}ax^2 + bx]dx = \frac{1}{a}(1 + \frac{b^2}{a}) \sqrt{\frac{2\pi}{a}} \exp[b^2/(2a)]$; $\int_{-\infty}^{+\infty} x^{2n} \exp[-\frac{1}{2}ax^2]dx = \frac{(2n-1)!!}{a^n} \sqrt{\frac{2\pi}{a}}$ (Gamma函数Guass积分式); $\int_0^{+\infty} x^{2n+1} \exp[-ax^2]dx = \frac{n!}{2a^{n+1}}$; $(\frac{1}{\sqrt{2\pi\hbar}})^3 \iiint \exp[-\frac{i}{\hbar}\vec{p}' \cdot \vec{r}](p_z \frac{\partial}{\partial p_y} - p_y \frac{\partial}{\partial p_z}) \exp[\frac{i}{\hbar}\vec{p} \cdot \vec{r}]d\tau = (p_z \frac{\partial}{\partial p_y} - p_y \frac{\partial}{\partial p_z})(\frac{1}{\sqrt{2\pi\hbar}})^3 \iiint \exp[\frac{i}{\hbar}(\vec{p} - \vec{p}') \cdot \vec{r}]d\tau = (p_z \frac{\partial}{\partial p_y} - p_y \frac{\partial}{\partial p_z})\delta(\vec{p} - \vec{p}')$

- **晶格** (1)三斜($1; a_1 \neq a_2 \neq a_3; \alpha \neq \beta \neq \gamma$); 单斜($2; a_1 \neq a_2 \neq a_3; \alpha = \gamma = \pi/2 \neq \beta$); 正交($4; a_1 \neq a_2 \neq a_3; \alpha = \beta = \gamma = \pi/2$); 四角($2, a_1 = a_2 \neq a_3; \alpha = \beta = \gamma = \pi/2$); 立方($3; a_1 = a_2 = a_3; \alpha = \beta = \gamma = \pi/2$); 三角($1, a_1 = a_2 = a_3; \alpha = \beta = \gamma \neq \pi/2$); 六角($1; a_1 = a_2 \neq a_3; \alpha = \beta = \pi/2, \gamma = 2\pi/3$)(2)sc(简单立方); bcc(体心立方); fcc(面心立方); hcp(六角密堆积) (3)常见结构: NaCl(Cl^- 面心+角+ Na^+ 边中+体心); CsCl(Cs^+ 体心+ Cl^- 角); 金刚石结构(fcc+000 & $\frac{1}{4}\frac{1}{4}\frac{1}{4}$); ZnS结构(Zn000, $0\frac{1}{2}\frac{1}{2}, \frac{1}{2}0\frac{1}{2}, \frac{1}{2}\frac{1}{2}0$; $S\frac{1}{4}\frac{1}{4}\frac{1}{4}, \frac{1}{4}\frac{3}{4}\frac{3}{4}, \frac{3}{4}\frac{1}{4}\frac{3}{4}, \frac{3}{4}\frac{3}{4}\frac{1}{4}$)

- **两种指标** 设晶面截距为 a_1, a_2, a_3 (1) $(a_1^{-1}, a_2^{-1}, a_3^{-1})$; (2) $[a_1, a_2, a_3]$. 上划线表示负号 $[u\bar{v}w]$

- **布拉格条件** $2d \sin \theta = n\lambda$; $\Delta \vec{k} = \vec{G}$; $2\vec{k} \cdot \vec{G} = G^2$;

- **劳厄条件** $\vec{a}_1 \cdot \Delta \vec{k} = 2\pi v_1$; $\vec{a}_2 \cdot \Delta \vec{k} = 2\pi v_2$; $\vec{a}_3 \cdot \Delta \vec{k} = 2\pi v_3$;

- **倒格子初基平移矢量** $\vec{b}_1 = 2\pi \frac{\vec{a}_2 \times \vec{a}_3}{\vec{a}_1 \cdot \vec{a}_2 \times \vec{a}_3}$, $\vec{b}_2 = 2\pi \frac{\vec{a}_3 \times \vec{a}_1}{\vec{a}_1 \cdot \vec{a}_2 \times \vec{a}_3}$, $\vec{b}_3 = 2\pi \frac{\vec{a}_1 \times \vec{a}_2}{\vec{a}_1 \cdot \vec{a}_2 \times \vec{a}_3}$

- **倒格矢** $\vec{G} = v_1 \vec{b}_1 + v_2 \vec{b}_2 + v_3 \vec{b}_3$, $v_i \in \mathbb{Z}$

- **几何结构因子前提:** 方向为 $\vec{k}' = \vec{k} + \Delta \vec{k} = \vec{k} + \vec{G}$, $S_G = \sum_j f_j e^{-i\vec{r}_j \cdot \vec{G}} = \sum_j e^{-i2\pi(x_j v_1 + y_j v_2 + z_j v_3)}$, 其中 $f_j = \int dV n_j(\vec{r}) e^{-i\vec{G} \cdot \vec{r}}$

- **第一布里渊区** 倒格子的维格纳-塞茨原胞(1)sc- π/a ; bcc- $\pi/a\sqrt{2}$; fcc- $\pi/a\sqrt{2}$ (八面体的每个角都被切下, 使得相邻三个面的正方形的边能围成正六边形)

- **声子-振动** (1)单原子: $u_{s\pm 1} = u e^{i s K a} \exp^{\pm i K a}$ 色散关系: $w^2 = (2C/M)(1 - \cos Ka)$; $w^2 = (4C/M) \sin^2 \frac{1}{2} Ka$; 群速: $v_g = \frac{dw}{dK} = \sqrt{\frac{C a^2}{M}} \cos \frac{1}{2} Ka$; 长波极限($Ka \ll 1$): $w^2 = (C/M) K^2 a^2$ (2)双原子: 原胞p个原子, 3个声学支, 3p-3个光学支. $M_1 \frac{d^2 u_s}{dt^2} = C(v_s + v_{s-1} - 2u_s)$; $M_2 \frac{d^2 v_s}{dt^2} = C(u_{s+1} + u_s - 2v_s)$. $u_s = u e^{i s K a} e^{-i \omega t}$, $v_s = v e^{i s K a} e^{-i \omega t}$, 行列式系数为0: $M_1 M_2 \omega^4 - 2C(M_1 + M_2) \omega^2 + 2C^2(1 - \cos Ka) = 0$; 长波极限: 光学支 $w^2 = 2C(\frac{1}{M_1} + \frac{1}{M_2})$, 声学支 $w^2 = \frac{C}{2(M_1 + M_2)} K^2 a^2$; 光学支下原子反向震动即质心固定, 由

光的电场来激发. (3)波矢选择定则: 波矢 \vec{k} 非弹性散射到 \vec{k}' , 同时产生/吸收波矢为 \vec{K} 的声子, 那么 $\vec{k} = \vec{k}' \pm \vec{K} + \vec{G}$, \vec{G} 是倒格矢; (4)声子能量: $\epsilon = (n + \frac{1}{2})\hbar\omega$; 动能守恒: $\frac{\hbar^2 k^2}{2M_n} = \frac{\hbar^2 k'^2}{2M_n} \pm \hbar\omega$

- **热学基础** (0)定容热容 $C_V = (\frac{\partial U}{\partial T})_V$, 声子温度为 $\tau = k_B T$, 晶格内能 $U_{lat} = \sum_K \sum_p \langle n_{K,p} \rangle \hbar\omega_{K,p}$ (1)普朗克分布 $\langle n \rangle = \frac{1}{e^{\frac{\hbar\omega}{\tau}} - 1}$ (2) $U = \sum_K \sum_p \frac{\hbar\omega_{K,p}}{e^{\frac{\hbar\omega_{K,p}}{\tau}} - 1} = \sum_p \int d\omega D_p(\omega) \frac{\hbar\omega}{e^{\frac{\hbar\omega}{\tau}} - 1}$, $C_{lat} = k_B \sum_p \int d\omega D_p(\omega) \frac{x^2 e^x}{(e^x - 1)^2}$ ($x = \hbar\omega/\tau = \hbar\omega/k_B T$), $D(\omega)$ 即为态密度 (3)一维 $D(\omega)$: $L = Na$, 每个间隔 $\Delta K = \frac{\pi}{L}$ 内一个模式, 每个 K 三个偏振态(两个横向一个纵向) $D(\omega)d\omega = \frac{L}{\pi} \frac{dK}{d\omega} d\omega = \frac{L}{\pi} \frac{d\omega}{dK}$ (已知色散关系 $\omega(K)$) (4)三维 $D(\omega)$: $\forall i, K_i = \pm \frac{2n\pi}{L}$, \vec{K} 的每单位体积内的模式数为 $(\frac{L}{2\pi})^3 = \frac{V}{8\pi^3}$, 每种偏振模式总数 $N = (\frac{L}{2\pi})^3 (\frac{4\pi K^3}{3})$, 态密度 $D(\omega) = \frac{dN}{d\omega} = (\frac{V K^2}{2\pi^2}) (\frac{dK}{d\omega})$

- **德拜模型** (1)假设(每种偏振声速恒定, $\omega = vK$) $D(\omega) = \frac{V \omega^2}{2\pi^2 v^3}$, 截止频率 $\omega_D^3 = 6\pi^2 v^3 N/V$, 截止波矢 $K_D = \omega_D/v = (6\pi^2 \frac{N}{V})^{\frac{1}{3}}$, 单偏振态内能 $U_i = \int d\omega D(\omega) \langle n(\omega) \rangle \hbar\omega = \int_0^{\omega_D} d\omega (\frac{V \omega^2}{2\pi^2 v^3}) (\frac{\hbar\omega}{e^{\frac{\hbar\omega}{\tau}} - 1})$, 总内能 $U = 3U_i = \frac{3V \hbar}{2\pi^2 v^3} \int_0^{\omega_D} d\omega \frac{\omega^3}{e^{\frac{\hbar\omega}{\tau}} - 1} = \frac{3V k_B^4 T^4}{2\pi^2 v^3 \hbar^3} \int_0^{x_D} dx \frac{x^3}{e^x - 1}$ (其中 $x = \hbar\omega/\tau$, $x_D = \hbar\omega_D/\tau = \theta/T$), 德拜温度 $\theta = \frac{\hbar v}{k_B} (\frac{6\pi^2 N}{V})^{\frac{1}{3}}$, $U = 9Nk_B T (\frac{T}{\theta})^3 \int_0^{x_D} dx \frac{x^3}{e^x - 1}$ (2)德拜模型低温极限(T^3 率) ($\int_0^{\infty} dx \frac{x^3}{e^x - 1} = \frac{\pi^4}{15}$): $U \approx 3\pi^2 Nk_B T^4 / 5\theta^3$, 热容 $C_V \approx \frac{12\pi^4}{5} Nk_B (\frac{T}{\theta})^3 \approx 234 Nk_B (\frac{T}{\theta})^3$

- **爱因斯坦模型** 爱因斯坦模型($D(\omega) = N\delta(\omega - \omega_0)$): 一维内能 $U = n \langle n \rangle \hbar\omega = \frac{N \hbar\omega}{e^{\frac{\hbar\omega}{\tau}} - 1}$, 一维比热 $C_V = \frac{\partial U}{\partial T} = Nk_B (\frac{\hbar\omega}{\tau})^2 \frac{e^{\hbar\omega/\tau}}{(e^{\hbar\omega/\tau} - 1)^2}$. 三维乘系数3.
- **声子热学** (1)态密度 $D(\omega)$ 一般形式: $D(\omega) = \frac{V}{(2\pi)^3} \int_{K \text{空间中}\omega \text{恒定的曲面}} \frac{dS_{\omega}}{v_g}$ (2)非谐作用($U(x) = cx^2 - gx^3 - fx^4$): $\langle x \rangle = \frac{\int_{-\infty}^{+\infty} dx x e^{-\beta U(x)}}{\int_{-\infty}^{+\infty} dx e^{-\beta U(x)}}$ ($\beta = \frac{1}{k_B T}$), $\int dx x e^{-\beta U} \approx (\frac{3\pi^{\frac{1}{2}}}{4}) (\frac{g}{c^{\frac{3}{2}}}) \beta^{-\frac{3}{2}}$, $\int dx e^{-\beta U} \approx (\frac{\pi}{\beta c})^{\frac{1}{2}}$, $\langle x \rangle = \frac{3g}{4c^2} k_B T$ (3)热导. 一维下热流量 $j_U = -K \frac{dT}{dx}$, 热导率 $K = \frac{1}{3} C v l$ (C : 单位体积比热; v : 粒子平均速度; l : 平均自由程). (4)过程. $\vec{K}_1 + \vec{K}_2 = \vec{K}_3 + \vec{G}$. 正常过程(N): $\vec{G} = 0$; 倒逆过程(U): $\vec{G} \neq 0$

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- **自由电子** (0)一维无限深井: $\mathcal{H} \psi_n = -\frac{\hbar^2}{2m} \frac{d^2 \psi_n}{dx^2} = \epsilon_n \psi_n$; $\epsilon_n = \frac{\hbar^2}{2m} (\frac{n\pi}{L})^2$ (1)费米能 ϵ_F : N 电子系统基态下的最高能级; e.g. 一维无限深井+泡利原理: $2n_F = N$, $n = n_F$, $\epsilon_F = \frac{\hbar^2}{2m} (\frac{N\pi}{2L})^2$; (2)温度变量. $f(\epsilon, T, \mu) = \frac{1}{e^{[\epsilon - \mu(T)]/\hbar k_B T} + 1}$ ($T = 0$ 时 $\mu = \epsilon_F$). 取高温极限时成为玻尔兹曼分布或者麦氏分布. (3)三维: $-\frac{\hbar^2}{2m} \nabla^2 \psi_k(\vec{r}) = \epsilon_{\vec{k}} \psi_k(\vec{r})$, $\psi_{\vec{k}}(\vec{r}) = e^{i\vec{k} \cdot \vec{r}}$, ($\forall i, k_i = \frac{2n\pi}{L}$) $\epsilon_{\vec{k}} = \frac{\hbar^2}{2m} (k_x^2 + k_y^2 + k_z^2)$. $\hat{p} \psi_{\vec{k}}(\vec{r}) = \hbar \vec{k} \psi_{\vec{k}}(\vec{r})$, $\vec{v} = \frac{\hbar \vec{k}}{m}$. 费米波矢 k_F , 费米能 $\epsilon_F = \frac{\hbar^2}{2m} k_F^2$. k 空间的每个体积元 $(\frac{2\pi}{L})^3$ 存在一个波矢 (k_x, k_y, k_z) . 费米球+泡利定理: $2 \cdot \frac{4\pi k_F^3/3}{(2\pi/L)^3} = N$. 费米波矢 $k_F = (\frac{3\pi^2 N}{V})^{\frac{1}{3}}$, 费米能 $\epsilon_F = \frac{\hbar^2}{2m} (\frac{3\pi^2 N}{V})^{\frac{2}{3}}$, 费

$$\begin{aligned}
&\text{米速度} v_F = \left(\frac{\hbar k_F}{m}\right) = \frac{\hbar}{m} \left(\frac{3\pi^2 N}{V}\right)^{\frac{1}{3}}. \quad \text{费米温度} T_F = \\
&\epsilon_F/k_B. N(U \leq \epsilon) = \frac{V}{3\pi^2} \left(\frac{2m\epsilon}{\hbar^2}\right)^{\frac{3}{2}}, D(\epsilon) = \frac{dN}{d\epsilon} = \\
&\frac{V}{2\pi^2} \left(\frac{2m}{\hbar^2}\right)^{\frac{3}{2}} \epsilon^{\frac{1}{2}} = \frac{3N}{2\epsilon} \quad (4) \text{比热容. 总电子内能} U_e \approx \\
&\frac{NT}{T_F} k_B T, \text{电子比热} C_e = \frac{\partial U}{\partial T} \approx N k_B \frac{T}{T_F}. \quad \text{低温极} \\
&\text{限} (k_B T \ll \epsilon): \Delta U = \int_0^\infty d\epsilon \epsilon D(\epsilon) f(\epsilon) - \int_0^{\epsilon_F} d\epsilon \epsilon D(\epsilon) \\
&= \int_{\epsilon_F}^\infty d\epsilon (\epsilon - \epsilon_F) f(\epsilon) D\epsilon + \int_0^{\epsilon_F} d\epsilon (\epsilon_F - \epsilon) [1 - f(\epsilon)] D(\epsilon). \\
&\text{电子热容} C_e = \frac{dU}{dT} = \int_0^\infty d\epsilon (\epsilon - \epsilon_F) \frac{df}{dT} D(\epsilon) \approx \\
&D(\epsilon_F) \int_0^\infty d\epsilon (\epsilon - \epsilon_F) \frac{df}{dT} \quad \text{低温极限} (\tau = k_B T, x = \\
&\frac{\epsilon - \epsilon_F}{\tau}) \int_{-\infty}^{+\infty} dx x^2 \frac{e^x}{(e^x + 1)^2} = \frac{\pi^2}{3}, C_e = \frac{1}{3} \pi^2 D(\epsilon_F) k_B^2 T \\
&(D(\epsilon_F) = \frac{3N}{2\epsilon_F}), C_e = \frac{1}{2} \pi^2 N k_B T / T_F.
\end{aligned}$$