

晶格(2)sc(简单, $2r=a$);bcc($4r=\sqrt{3}a,\rho=\frac{2m_0}{a^3},a=\sqrt[3]{\frac{2m_0}{\rho}}$);fcc($4r=\sqrt{2}a$);hcp(六角密)(3)常见结构:NaCl(Cl心面心角+Na边中心+体心);CsCl(Cs体心+Cl角);金刚石结构(fcc+000& $\frac{1}{4}\frac{1}{4}\frac{1}{4}$);ZnS结构(金刚石替换)(Zn000, $0\frac{1}{2}\frac{1}{2},\frac{1}{2}0\frac{1}{2},\frac{1}{2}\frac{1}{2}0$;S $\frac{1}{4}\frac{1}{4}\frac{1}{4},\frac{1}{4}\frac{1}{4}\frac{3}{4},\frac{3}{4}\frac{1}{4}\frac{1}{4},\frac{3}{4}\frac{3}{4}\frac{1}{4}$)[例]. $r_{Cs}=1.7,r_{Cl}=1.81.a=2(r_{Cs}+r_{Cl})/\sqrt{3},PF=\frac{4\pi(r_{Cs}^3+r_{Cl}^3)}{3a^3}\approx 0.682,\rho=\frac{m_{Cs}+m_{Cl}}{a^3}=4.2$:[例].NaCl下CsCl: $a=2(r_{Cs}+r_{Cl}),PF=\frac{4\pi(r_{Cs}^3+r_{Cl}^3)\cdot 4}{3a^3}\approx 0.525$;两种指标晶面截距 $a_1,a_2,a_3(1)(a_1^{-1}a_2^{-1}a_3^{-1});(2)[a_1,a_2,a_3]$.上划线为负 $[u\bar{v}w]$.布拉格条件 $2d\sin\theta=n\lambda;\Delta\vec{k}=\vec{G};2\vec{k}\cdot\vec{G}=\vec{G}^2$;劳厄条件 $\forall i,\vec{a}_i\cdot\Delta\vec{k}=2\pi v_i$;倒格子基 $\vec{b}_1=2\pi\frac{\vec{a}_2\times\vec{a}_3}{\vec{a}_1\cdot\vec{a}_2\times\vec{a}_3},\vec{b}_2=2\pi\frac{\vec{a}_3\times\vec{a}_1}{\vec{a}_1\cdot\vec{a}_2\times\vec{a}_3},\vec{b}_3=2\pi\frac{\vec{a}_1\times\vec{a}_2}{\vec{a}_1\cdot\vec{a}_2\times\vec{a}_3}.\vec{b}_i\cdot\vec{a}_j=2\pi\delta_{ij}$;倒格矢 $\vec{G}=v_1\vec{b}_1+v_2\vec{b}_2+v_3\vec{b}_3,v_i\in\mathbb{Z}$.倒格矢 $\vec{G}_{h_1h_2h_3}\perp h_1h_2h_3$ (实空间晶面).面间距 $d=\frac{2\pi}{|\vec{G}_{\vec{k}}|}$ 几何结构因子前提:方向为 $\vec{k}'=\vec{k}+\Delta\vec{k}=\vec{k}+\vec{G},S_G=\sum_j f_j e^{-i\vec{r}_j\cdot\vec{G}}=\sum_j f(j)e^{-i2\pi(x_jv_1+y_jv_2+z_jv_3)},f_j=\int dV n_j(\vec{r})e^{-i\vec{G}\cdot\vec{r}}$.[例].bcc&(0,0,0)+($\frac{1}{2},\frac{1}{2},\frac{1}{2}$), $S(v_1,v_2,v_3)=f(1+e^{-i\pi(v_1+v_2+v_3)})$:[例].fcc&(0,0,0)+(0, $\frac{1}{2},\frac{1}{2}$)+($\frac{1}{2},0,\frac{1}{2}$)+($\frac{1}{2},\frac{1}{2},0$), $S(v_1,v_2,v_3)=f\{1+e^{-i\pi(v_2+v_3)}+e^{-i\pi(v_1+v_3)}+e^{-i\pi(v_1+v_2)}\}$;原子形状因子 $f_j=\int dV n_j(\vec{r})e^{-i\vec{G}\cdot\vec{r}}$,球对称极限 $f_j=4\pi\int dr n_j(r)r^2\frac{\sin Gr}{Gr}$;第一布里渊区倒格子的维格纳-塞茨原胞.晶格常数 $a(1)\text{sc}\rightarrow\text{sc}(2\pi/a)$;bcc \rightarrow 棱形12面体(长对角线 $2\cdot\frac{\sqrt{2}\pi}{a}$,短对角线 $2\cdot\frac{\pi}{a}$);fcc \rightarrow 截角8面体(8面体每个角被切,使相邻3面的正方形边围成正6边形.小正方形和6边形的边长 $l=\frac{\sqrt{2}\pi}{a}$)**声子-振动(1)无阻尼单原子链**: $u_{s\pm 1}=ue^{isKa}exp^{\pm iKa}$,色散 $\omega^2=(\frac{2C}{M})(1-\cos Ka)=\omega_m^2\sin^2\frac{1}{2}Ka$; $\omega^2=(\frac{4C}{M})\sin^2\frac{1}{2}Ka$;态密度: $D(\omega)=\frac{Na}{\pi}/|\partial_K\omega|,\partial_K\omega=\frac{a}{2}\omega_m\cos\frac{1}{2}Ka=\frac{a}{2}(\omega_m^2-\omega^2)^{\frac{1}{2}}$ 群速: $v_g=\partial_K\omega=\sqrt{\frac{Ca^2}{M}}\cos\frac{Ka}{2}$:[长波($Ka\ll 1$): $\omega^2=(\frac{C}{M})K^2a^2,v=\frac{\omega}{K}$ 离散转连续: $M\partial_t^2u_s=\sum_p C_p(u_{s+p}-u_s)=\sum_{p>0}C_p[(u_{s+p}-u_s)+(u_{s-p}-u_s)]=\sum_{p>0}C_p[u(x+pa,t)-u(x,t)]+[u(x-pa,t)-u(x,t)]=\sum_{p>0}C_pp^2a^2\partial_x^2u(x,t)$,试探解 $u_{s+p}=ue^{-i[\omega t-(s+p)Ka]},\omega^2=\frac{2}{M}\sum_{p>0}C_p(1-\cos pKa)\approx K^2(a^2/M)\sum_{p>0}p^2C_p=v^2K^2\rightarrow\partial_t^2u=v^2\partial_x^2u]$ **(2)无阻尼双原子链**:原胞 p 个原子,3个声支,3p-3个光支. $M_1\frac{d^2u_s}{dt^2}=C(v_s+v_{s-1}-2u_s);M_2\frac{d^2v_s}{dt^2}=C(u_{s+1}+u_s-2v_s)$.试解 $u_s=ue^{isKa}e^{-i\omega t},v_s=ve^{isKa}e^{-i\omega t}$,系数行列式0: $M_1M_2\omega^4-2C(M_1+M_2)\omega^2+2C^2(1-\cos Ka)=0$;长波($Ka\ll 1$):光支 $\omega^2=2C(\frac{1}{M_1}+\frac{1}{M_2})$,声支 $\omega^2=\frac{C}{2(M_1+M_2)}K^2a^2$;光支下原子反向震动(质心固定),光电场激发.**(3)波矢选择定则**:波矢 \vec{k} 非弹性散射到 \vec{k}' ,同时产生/吸收 \vec{K} 的声子: $\vec{k}=\vec{k}'\pm\vec{K}+\vec{G},\vec{G}$ 是倒格矢;**(4)声子能量**: $\epsilon=(n+\frac{1}{2})\hbar\omega$.若 $u=u_0\cos Kx\cos\omega t,E_k=\int\frac{1}{2}\rho(\frac{\partial u}{\partial t})^2=\frac{1}{4}\rho V\omega^2u_0^2\langle\sin^2\omega t\rangle=\frac{1}{8}\rho V\omega^2u_0^2=\frac{1}{2}(n+\frac{1}{2})\hbar\omega$;动能守恒: $\frac{\hbar^2k^2}{2M_n}=\frac{\hbar^2k'^2}{2M_n}\pm\hbar\omega$ **(5)有阻尼单原子链**: $m\partial_t^2u_j=C(u_{j+1}+u_{j-1}-2u_j)-\Gamma\partial_tu_j$.色散关系: $\omega(k)=\sqrt{\omega_{k_0}^2-(\frac{\Gamma}{2m})^2}-\frac{i\Gamma}{2m}(\omega_{k_0}=\sqrt{\frac{4C}{m}}|\sin\frac{ka}{2}|)$ 弛豫时间(a) $\omega_{k_0}\geq\Gamma/2m:\tau_k=2m/\Gamma$;(b) $\omega_{k_D}<\Gamma/2m:\tau_k=\frac{\Gamma}{2m\omega_{k_0}^2}(1+\sqrt{1-(\frac{2m\omega_{k_0}}{\Gamma})^2})$ **(6)2D正方**: $M\partial_t^2u_{l,m}=C[(u_{l+1,m}+u_{l-1,m}-2u_{l,m})+(u_{l,m+1}+u_{l,m-1}-2u_{l,m})]$.设 $u_{l,m}=u_0e^{i(K_xa+mK_ya-\omega t)}$,色散关系 $\omega^2M=2C(2-\cos K_xa-\cos K_ya)$ (a) $K=K(1,0),\omega^2=\frac{2C}{M}(1-\cos Ka)$;(b) $K=K(1,1)/\sqrt{2},\omega^2=\frac{4C}{M}(1-\cos\frac{1}{\sqrt{2}}Ka)$,长波($Ka\ll 1$) $\omega^2\approx\frac{Ca^2}{M}(K_x^2+K_y^2)$,群速 $v=\frac{\partial\omega}{\partial K}=\sqrt{\frac{Ca^2}{M}}$.**(7)变C等M双原子链**. $M\partial_t^2u_s=C(v_{s-1}-u_s)+10C(v_s-u_s),M\partial_t^2v_s=10C(u_s-v_s)+C(u_{s+1}-v_s)$.试 $u_s=ue^{isKa}e^{-i\omega t},v_s=ve^{isKa}e^{-i\omega t}.$ $|C(\frac{M\omega^2-11C,C(10+e^{-iKa})}{C(e^{iKa}+10),M\omega^2-11C})|=0,\omega_{\pm}^2=\frac{C}{M}[11\pm\sqrt{121-20(1-\cos Ka)}]$ **(8) $\omega=\omega(K),K=\omega^{-1}(\omega)$,轨道总数 $N(\omega)=(\frac{L}{2\pi})^3\frac{4\pi}{3}K^3$,态密度 $D(\omega)=|\partial_\omega N|$ 热学基础 (0) $\frac{\Delta a}{a}=\frac{\Delta V}{3V},C_V=(\frac{\partial U}{\partial T})_V$,声子温度 $\tau=k_BT$,晶格内能 $U_{lat}=\sum_K\sum_p\langle n_{K,p}\rangle\hbar\omega_{K,p}$ **(1)普朗克分布** $\langle n\rangle=(e^{\hbar\omega/\tau}-1)^{-1}$ **(2) $U=\sum_K\sum_p\hbar\omega_{K,p}(e^{\hbar\omega_{K,p}/\tau}-1)^{-1}=\sum_p\int d\omega D_p(\omega)\hbar\omega(e^{\hbar\omega/\tau}-1)^{-1},C_{lat}=k_B\sum_p\int d\omega D_p(\omega)\frac{x^2e^x}{(e^x-1)^2}(x=\frac{\hbar\omega}{\tau}=\hbar\omega/k_BT),D(\omega)$ 即为态密度(3)一维 $D(\omega):L=Na$,每个间隔 $\Delta K=\frac{\pi}{L}$ 内一个模式,每个 K 三个偏振态(两横一纵) $D(\omega)d\omega=\frac{L}{\pi}\frac{dK}{d\omega}d\omega=\frac{L}{\pi}\frac{d\omega}{dK}$ (色散关系 $\omega(K))$ **(4)三维 $D(\omega):$** $\forall i,K_i=\pm\frac{2n\pi}{L},\vec{K}$ 单位体积内模式数 $(\frac{L}{2\pi})^3=\frac{V}{8\pi^3}$,每种偏振模式总数 $N=(\frac{L}{2\pi})^3(\frac{4\pi K^3}{3})$,态密度 $D(\omega)=\frac{dN}{d\omega}=(\frac{VK^2}{2\pi^2})(\frac{dK}{d\omega})$ 德拜模型 (0)石墨烯模型(2D).C-C距 d ,声速 v ,晶格常数 $a=\sqrt{3}d$,原胞面积 $A=\frac{\sqrt{3}a^2}{2}$,德拜波矢 $\pi k_D^2=\frac{(2\pi)^2}{A}$,德拜频率 $\omega_D=vk_D$,德拜温度 $\theta_D=\frac{\hbar v k_D}{k_B}=\frac{\hbar v k_D}{k_B}.$ ($\theta_D|_{d=1.42A}=2.13\times 10^3K$)(1)3D,每种偏振声速恒定, $\omega=vK$,态密度 $D(\omega)=\frac{V\omega^2}{2\pi^2v^3}$,德拜/截止频率 $\omega_D^3=6\pi^2v^3N/V$,截止波矢 $K_D=\omega_D/v=(6\pi^2\frac{N}{V})^{\frac{1}{3}}$,单偏振态内能 $U_i=\int d\omega D(\omega)\langle n(\omega)\rangle\hbar\omega=\int_0^{\omega_D}d\omega(\frac{V\omega^2}{2\pi^2v^3})(\frac{\hbar\omega}{e^{\frac{\hbar\omega}{\tau}}-1})$,总内能 $U=3U_i=\frac{3V\hbar}{2\pi^2v^3}\int_0^{\omega_D}d\omega\frac{\omega^3}{e^{\frac{\hbar\omega}{\tau}}-1}=\frac{3Vk_B^4T^4}{2\pi^2v^3\hbar^3}\int_0^{x_D}dx\frac{x^3}{e^x-1}(x=\frac{\hbar\omega}{\tau},x_D=\frac{\hbar\omega_D}{\tau}=\frac{\theta}{T})$,德拜温度 $\theta=\frac{\hbar v}{k_B}(\frac{6\pi^2N}{V})^{\frac{1}{3}},U=9Nk_BT(\frac{T}{\theta})^3\int_0^{x_D}dx\frac{x^3}{e^x-1}$ [例]金****

刚石(3D)C-C距离 d ,声速 v ,晶格常数 $a=\frac{4d}{\sqrt{3}}$,原胞体积 $\Omega=\frac{a^3}{4}$,德拜波矢 $\frac{4}{3}\pi k_D^3=\frac{(2\pi)^3}{\Omega}$,德拜温度 $\theta_D=\frac{\hbar\omega_D}{k_B}=\frac{\hbar v k_D}{k_B}.$ ($\theta_D|_{d=1.54A}=2.39\times 10^3K$)(2)低温(T^3)($\int_0^\infty dx\frac{x^3}{e^x-1}=\frac{\pi^4}{15}$): $U\approx 3\pi^2Nk_BT^4/5\theta^3$,热容 $C_V\approx\frac{12\pi^4}{5}Nk_B(\frac{T}{\theta})^3\approx 234Nk_B(\frac{T}{\theta})^3$,爱因斯坦 $N\omega_0$ 振子($D(\omega)=N\delta(\omega-\omega_0)$):1D: $U=N\langle n\rangle\hbar\omega=N\frac{\hbar\omega}{e^{\hbar\omega/\tau}-1}$,1D: $C_V=(\frac{\partial U}{\partial T})_V=Nk_B(\frac{\hbar\omega}{\tau})^2\frac{e^{\hbar\omega/\tau}}{(e^{\hbar\omega/\tau}-1)^2}$.3D再乘3. **声子热学(1)态密度一般**: $D(\omega)=\frac{V}{(2\pi)^3}\int_{K\text{中}}d\omega=0\frac{dS_\omega}{v_g}$ **(2)非谐作用**($U(x)=cx^2-gx^3-fx^4$):平均位移 $\langle x\rangle=\frac{\int_{-\infty}^{+\infty}dx xe^{-\beta U(x)}}{\int_{-\infty}^{+\infty}dx e^{-\beta U(x)}}(\beta=\frac{1}{k_BT}),\int dx xe^{-\beta U}\approx(\frac{3\pi^{\frac{1}{2}}}{4})(\frac{g}{e^{\frac{3}{2}}})\beta^{-\frac{3}{2}},\int dx e^{-\beta U}\approx(\frac{\pi}{e^{\frac{3}{2}}})^{\frac{1}{2}},\langle x\rangle=\frac{3g}{4c^2}k_BT$ **(3)热导**.1D下热流量 $j_U=-K\frac{dT}{dx}$,热导率 $K=\frac{1}{3}Cvl$ (C :单位体积比热; v :粒子平均速度; l :平均自由程).**(4)过程**. $\vec{K}_1+\vec{K}_2=\vec{K}_3+\vec{G}$.正常(N): $\vec{G}=0$;倒逆(U): $\vec{G}\neq 0$ **自由电子 (0)一维无限深井**: $\mathcal{H}\psi_n=-\frac{\hbar^2}{2m}\frac{d^2\psi_n}{dx^2}=\epsilon_n\psi_n;\epsilon_n=\frac{\hbar^2}{2m}(\frac{n\pi}{L})^2$ **(1)费米能 ϵ_F** :N电子系统基态下最高能级:[例]一维无限深井+泡利原理: $2n_F=N,n=n_F,\epsilon_F=\frac{\hbar^2}{2m}(\frac{N\pi}{2L})^2$;**(2)温度变量**. $f(\epsilon,T,\mu)=(e^{[\epsilon-\mu(T)]/k_BT}+1)^{-1}(T=0\text{时}\mu=\epsilon_F)$.高温极限:玻尔兹曼分布/麦氏分布.**(3)(a)3D**: $-\frac{\hbar^2}{2m}\nabla^2\psi_k(\vec{r})=\epsilon_k\psi_k(\vec{r}),\psi_k(\vec{r})=e^{i\vec{k}\cdot\vec{r}},(\forall i,k_i=\frac{2n\pi}{L}),\epsilon_k=\frac{\hbar^2}{2m}(k_x^2+k_y^2+k_z^2).$ $\hat{p}\psi_k(\vec{r})=\hbar\vec{k}\psi_k(\vec{r}),\vec{v}=\frac{\hbar\vec{k}}{m}$.F波矢 k_F ,F能 $\epsilon_F=\frac{\hbar^2k_F^2}{2m}$.K空间体积元 $(\frac{2\pi}{L})^3$ 存在单波矢(k_x,k_y,k_z).F球+泡利定理: $2\cdot\frac{4\pi k_F^3/3}{(2\pi/L)^3}=N$.F波矢 $k_F=(\frac{3\pi^2N}{V})^{\frac{1}{3}}=(3\pi^2n)^{\frac{1}{3}}$,F能 $\epsilon_F=\frac{\hbar^2}{2m}(\frac{3\pi^2N}{V})^{\frac{2}{3}}=\frac{\hbar^2}{2m}(3\pi^2n)^{\frac{2}{3}}$,F速度 $v_F=(\frac{\hbar k_F}{m})=\frac{\hbar}{m}(\frac{3\pi^2N}{V})^{\frac{1}{3}}$.F温度 $T_F=\epsilon_F/k_B$.态密度 $N(U\leq\epsilon)=\frac{V}{3\pi^2}(\frac{2m\epsilon}{\hbar^2})^{\frac{3}{2}},D(\epsilon)=\frac{dN}{d\epsilon}=\frac{V}{2\pi^2}(\frac{2m}{\hbar^2})^{\frac{3}{2}}\epsilon^{\frac{1}{2}}=\frac{3N}{2\epsilon},0K:U_0=2\sum_{k<k_F}\frac{\hbar^2k^2}{2m},\mathbf{K}$ 中状态数体密度为 $\frac{V}{8\pi^3},\frac{U_0}{V}=\frac{2}{8\pi^3}\int_{k<k_F}d^3k\frac{\hbar^2k^2}{2m}=\frac{1}{\pi^2}\frac{\hbar^2k_F^5}{10m},N=2\cdot\frac{4\pi k_F^3}{3}\frac{V}{8\pi^3},U_0=\frac{3}{5}N\epsilon_F$,压强 $P=-(\partial_VU_0)_N=-\frac{3}{5}(\partial_V\epsilon_F)_N=\frac{2}{3}\frac{U_0}{V}$,体模量 $B=-V(\partial_VP)=-V\partial_V[\frac{N\hbar^2}{5m}(\frac{3\pi^2N}{V})^{\frac{2}{3}}\frac{1}{V}]=\frac{10}{9}\frac{U_0}{V}$ **(b)2D**: $2\pi k_F^2\frac{A}{(2\pi)^2}=N,k_F=\sqrt{\frac{2\pi N}{A}}=\sqrt{2\pi n}$.色散: $\epsilon=\frac{\hbar^2k^2}{2m},d\epsilon=\frac{\hbar^2kdk}{m}$.态密度 $D(\epsilon)d\epsilon=2\frac{2\pi kdk}{A}\cdot\frac{A}{(2\pi)^2}=\frac{kdk}{\pi d\epsilon}=\frac{\pi d\epsilon}{\hbar^2k},n=\int_{-\infty}^{+\infty}D(\epsilon)n_F(\epsilon)d\epsilon=\frac{m}{\pi\hbar^2}\int_0^{+\infty}\frac{d\epsilon}{e^{(\epsilon-\mu)/k_BT}+1}=\frac{mk_BT}{\pi\hbar^2}\ln(e^{\mu/k_BT}+1)$.化学势 $\mu(T)=k_BT\ln(e^{\frac{\pi\hbar^2n}{2mk_BT}}-1)$ **(4)比热容**.总e内能 $U_e\approx\frac{NT}{T_F}k_BT$,e比热 $C_e=\frac{\partial U}{\partial T}\approx Nk_B\frac{T}{T_F}$.低温($k_BT\ll\epsilon_F$): $\Delta U=\int_0^\infty d\epsilon\epsilon D(\epsilon)f(\epsilon)-\int_0^{\epsilon_F} d\epsilon\epsilon D(\epsilon)=\int_{\epsilon_F}^\infty d\epsilon(\epsilon-\epsilon_F)f(\epsilon)D\epsilon+\int_0^{\epsilon_F} d\epsilon(\epsilon_F-\epsilon)[1-f(\epsilon)]D(\epsilon)$.e热容 $C_e=\frac{dU}{dT}=\int_0^\infty d\epsilon(\epsilon-\epsilon_F)\frac{df}{dT}D(\epsilon)\approx D(\epsilon_F)\int_0^\infty d\epsilon(\epsilon-\epsilon_F)\frac{df}{dT}$ 低温($\tau=k_BT,x=\frac{\epsilon-\epsilon_F}{\tau}$) $\int_{-\infty}^{+\infty}dx x^2\frac{e^x}{(e^x+1)^2}=\frac{\pi^2}{3},C_e=\frac{1}{3}\pi^2D(\epsilon_F)k_B^2T(D(\epsilon_F)=\frac{3N}{2\epsilon_F}),C_e=\frac{\pi^2Nk_BT}{2T_F}$.**(5)金属比热**. $\frac{C}{T}=\gamma+AT^2$ (γ 索末菲常量).**(6)电导率**. $\vec{F}=-e(\vec{E}+\frac{1}{c}\vec{v}\times\vec{B})$.若 $\vec{F}=-e\vec{E},\delta\vec{k}=-e\vec{E}\tau/\hbar,\vec{v}=\delta\vec{k}/m=-e\vec{E}\tau/m$.电流密度 $\vec{j}=nq\vec{v}=ne^2\tau\vec{E}/m,(\vec{j}=\sigma\vec{E})\sigma=\frac{ne^2\tau}{m},\rho=\sigma^{-1}$.[电子漂移速度 $v:m(\partial_tv+v/\tau)=-eE$,试 $E=E_0e^{-i\omega t},v=v_0e^{-i\omega t},v=\frac{-(1+i\omega\tau)}{1+(\omega\tau)^2}\frac{e\tau}{m}E,\sigma(\omega)=j/E=-env/E=\frac{e^2\tau n}{m}(\frac{1+i\omega\tau}{1+(\omega\tau)^2})]$ **(7)磁场下运动**.(CGS制) $\hbar(\frac{d}{dt}+\frac{1}{\tau})\delta\vec{k}=\vec{F}=-e(\vec{E}+\vec{v}\times\vec{B})$.若 $\vec{B}=B\hat{z},\{v_x=-\frac{e\tau}{m}E_x-\omega_c\tau v_y,v_y=-\frac{e\tau}{m}E_y+\omega_c\tau v_x,v_z=-\frac{e\tau}{m}E_z\}$,回旋频率 $\omega_c=\frac{eB}{mc}$ [漂

速度理论: $m(\partial_t + \tau^{-1})v_x = -e(E_x + \frac{B}{c}v_y), m(\partial_t + \tau^{-1})v_y = -e(E_y - \frac{B}{c}v_x), m(\partial_t + \tau^{-1})v_z = -eE_z, j = -nev, v_x = \frac{-e\tau}{1+(\omega_c\tau)^2}E_x + \frac{\omega_c\tau^2 e}{m}E_y, v_y = \frac{1}{1+(\omega_c\tau)^2}(-\frac{\omega_c\tau^2 e}{m}E_x - \frac{e\tau}{m}E_y), v_z = -\frac{e\tau}{m}E_z, [j_x, j_y, j_z]^T = \frac{\sigma_0}{1+(\omega_c\tau)^2}[1, -\omega_c\tau, 0; \omega_c\tau, 1, 0; 0, 0, 1 + (\omega_c\tau)^2][E_x, E_y, E_z]^T, \sigma_0 = \frac{ne^2\tau}{m}, \omega_c = \frac{Be}{mc}$.若 $j_y = 0, E_y = -\omega_c\tau E_x, j_x = \sigma_0 E_x$:自由e理论too simple] **(8)霍尔效应**.霍尔系数 $R_H = \frac{E_y}{j_x B} = -\frac{1}{nec}$ (CGS)**(9)金属热导率**. $K_e = \frac{1}{3}Cvl = \frac{\pi^2}{3}\frac{nk_B^2 T}{mv_F}v_F l = \frac{\pi^2 nk_B^2 T\tau}{3m}$ (10)洛伦兹常量 $L = \frac{K}{\sigma T} = \frac{\pi^2}{3}(\frac{k_B}{e})^2 = 2.45 \times 10^{-8}(W\Omega/deg^2)$.**(10)金属受力自由电子**.($n_{Cu} \approx 10^6$) $k_F = (3\pi^2 n)^{\frac{1}{3}} \propto n^{\frac{1}{3}}; \epsilon_F = \hbar^2 k_F^2 / 2m = \hbar^2 (3\pi^2 n)^{\frac{2}{3}} \propto n^{\frac{2}{3}}, D(\epsilon) \propto \epsilon^{\frac{1}{2}}. \langle \epsilon \rangle = \frac{\int_0^{\epsilon_F} \epsilon D(\epsilon) d\epsilon}{\int_0^{\epsilon_F} D(\epsilon) d\epsilon} = \frac{3}{5}\epsilon_F, E = N\langle \epsilon \rangle \propto V^{-\frac{2}{3}}, P = -\frac{dE}{dV} = \frac{2}{5}n\epsilon_F \propto \epsilon_F^{\frac{5}{2}}. \frac{dP}{d\epsilon_F} = n \rightarrow \Delta P \approx n\Delta\epsilon_F$.**(11)求第一布里渊区能带** $3D\epsilon(\vec{K}) = \frac{\hbar^2}{2m}[(K_x + g_1 \frac{2\pi}{a})^2 + (K_y + g_2 \frac{2\pi}{a})^2 + (K_z + g_3 \frac{2\pi}{a})^2]$,近自由电子模型**(0)1D布拉格衍射条件** $(\vec{k} + \vec{G})^2 = \vec{k}^2 \rightarrow k = \pm \frac{1}{2}G = \pm \frac{n\pi}{a}$ (倒格矢 $G = \frac{2\pi n}{a}$)**(1)驻波** $l: \psi(+) = e^{\frac{i\pi x}{a}} + e^{-\frac{i\pi x}{a}} = 2\cos \frac{\pi x}{a}, \psi(-) = e^{\frac{i\pi x}{a}} - e^{-\frac{i\pi x}{a}} = 2i\sin \frac{\pi x}{a}. \rho(+) = |\psi(+)|^2 \propto \cos^2 \frac{\pi x}{a}, \rho(-) = |\psi(-)|^2 \propto \sin^2 \frac{\pi x}{a}$.大小关系: $\langle \psi(-) | U | \psi(-) \rangle \leq \langle e^{i\pi x/a} | U | e^{i\pi x/a} \rangle \leq \langle \psi(+) | U | \psi(+) \rangle$.若1D: $\psi(x) = \sqrt{2}\cos \frac{\pi x}{a}, \sqrt{2}\sin \frac{\pi x}{a}$,e势能 $U(x) = U\cos \frac{2\pi x}{a}$,则1级近似能隙 $E_g = U(+) - U(-) = \int_0^a dx U(x)[|\psi(+)|^2 - |\psi(-)|^2] = U$.**(2)布洛赫函数**.若周期势,则 $\psi_{\vec{k}}(\vec{r}) = u_{\vec{k}}(\vec{r})e^{i\vec{k}\cdot\vec{r}}$ (其中 $u_{\vec{k}}(\vec{r}) = u_{\vec{k}}(\vec{r} + \vec{T})$).若非简并, $\psi(x+a) = C\psi(x), C = e^{i2\pi s/N} \rightarrow \psi(x) = u_{\vec{k}}(x)e^{i2\pi s x/N}$.**(3)KP模型Kölnig-Penney**(周期δ势阱). $-\frac{\hbar^2}{2m}\frac{d^2\psi}{dx^2} + U(x)\psi = \epsilon\psi. x \in (0, a): \psi = Ae^{iKx} + B^{-iKx}, \epsilon = \frac{\hbar^2 K^2}{2m}; x \in (-b, 0): \psi = Ce^{Qx} + De^{-Qx}, U_0 - \epsilon = \frac{\hbar^2 Q^2}{2m}. \psi$ 连续+ ψ' 连续,有四阶系数行列式为0: $[(Q^2 - K^2)/2QK] \sinh Qb \sin Ka + \cosh Qb \cos Ka = \cos k(a+b)$.取极限 $b = 0, U_0 = \infty (Q \gg K, Qb \ll 1)$,即为周期性δ函数, $P = \frac{Q^2 ba}{m^2 a^4 V_0}$ 结论化为 $(P/Ka) \sin Ka + \cos Ka = \cos ka$.带宽: $\frac{P}{\pi a \theta}(-\theta + \frac{\theta^3}{6}) + (-1 + \frac{\theta^2}{2}) = \cos ka (\theta = -\frac{\pi}{P}(1 + \cos ka)), \Delta E = \frac{2\pi^2 \hbar^4}{m^2 a^4 V_0}$. **(4)周期势下的电子波函数**. $U(x) = \sum_G U_G e^{iGx}$,若为实则 $U(x) = \sum_{G>0} 2U_G \cos Gx. \psi = \sum_k C(k)e^{ikx}$. 波动方程 $\sum_k \frac{\hbar^2}{2m} k^2 C(k)e^{ikx} + \sum_G \sum_k U_G C(k)e^{i(k+G)x} = \epsilon \sum_k e^{ikx}$. 中心方程 $(\lambda_k - \epsilon)C(k) + \sum_G U_G C(k-G) = 0$ (其中 $\lambda_k = \frac{\hbar^2 k^2}{2m}$) **(5)** $\det\{\{\lambda_{k-g} - \epsilon, U, 0\}, \{U, \lambda_k - \epsilon, U\}, \{0, U, \lambda_{k+g} - \epsilon\}\}$.每一个k每个ε在不同能带.**(6)中心方程求解K-P**(周期δ势函数). $U(x) = Aa \sum_s \delta(x-sa), U_G = \int_0^a dx U(x) \cos(Gx) = A$.中心方程变为 $(\lambda_k - \epsilon)C(k) + Af(k) = 0$,其中 $f(k) = \sum_n C(k - 2\pi n/a) = f(k \pm 2\pi n/a)$.从而有 $\frac{mAa^2}{2\hbar^2}(Ka)^{-1} \sin Ka + \cos Ka = \cos ka$.极限 $P \ll 1$, **(7)BZ近边界近似解**. $k^2 = (\frac{1}{2}G)^2, (k-G)^2 = (\frac{1}{2}G-G)^2, k = \pm \frac{1}{2}G. (k = \frac{1}{2}G, \lambda = \hbar^2(\frac{1}{2}G)^2/2m)(\lambda - \epsilon)C(\pm \frac{1}{2}G) + UC(\mp \frac{1}{2}G) = 0$.行列式 $|\lambda_{U, \lambda_k - \epsilon}| = 0$,得 $\epsilon = \lambda \pm U, E_g = 2U$.若在 $\frac{1}{2}G$ 附近,则 $(\lambda_k - \epsilon)C(k) + UC(k-G) = 0, (\lambda_{k-G}C(k-G) + UC(k) = 0(\lambda_k = \frac{\hbar^2 k^2}{2m}), |U_{\lambda_k - \epsilon, U}| = 0, \epsilon = \frac{1}{2}(\lambda_{k-G} + \lambda_k) \pm [\frac{1}{4}(\lambda_{k-G} - \lambda_k)^2 + U^2]^{\frac{1}{2}}$.以 $\tilde{K} = k - \frac{1}{2}G$ 展开,有 $\epsilon_{\tilde{K}} \approx \frac{\hbar^2}{2m}(\frac{1}{4}G^2 + \tilde{K}^2) \pm U[1 + 2(\frac{\lambda_{\tilde{K}}}{U})(\frac{\hbar^2 \tilde{K}^2}{2m})]$. **(8)轨道数.N原胞一维**: $k = \pm \frac{2n\pi}{L}$.每原胞一个k+泡利定理,每能带2N轨道. **(9)正方晶格** $U(x) = -4U \cos \frac{2\pi x}{a} \cos \frac{2\pi y}{a}, \vec{r} = x\hat{i} + y\hat{j}, \vec{G} = G_1\hat{b}_1 + G_2\hat{b}_2 = \frac{2\pi}{a}(g_1\hat{b}_1 + g_2\hat{b}_2); U(\vec{r}) = -U(e^{i\frac{2\pi}{a}x} + e^{-i\frac{2\pi}{a}x})(e^{i\frac{2\pi}{a}y} + e^{-i\frac{2\pi}{a}y}) = -U[e^{i\frac{2\pi}{a}(x+y)} + e^{i\frac{2\pi}{a}(x-y)} + e^{-i\frac{2\pi}{a}(x-y)} + e^{-i\frac{2\pi}{a}(x+y)}] = U_{G(11)}e^{iG(11)\cdot\vec{r}} + U_{G(\bar{1}1)}e^{iG(\bar{1}1)\cdot\vec{r}} + U_{G(1\bar{1})}e^{iG(1\bar{1})\cdot\vec{r}} + U_{G(\bar{1}\bar{1})}e^{iG(\bar{1}\bar{1})\cdot\vec{r}} = \sum_{G(11)} e^{iG(11)\cdot\vec{r}}$.中心方程 $(\lambda_k - \epsilon)C(\vec{K}) + U_{G(11)}C(\vec{K} - \vec{G}(11)) + U_{G(\bar{1}1)}C(\vec{K} - \vec{G}(\bar{1}1)) + U_{G(1\bar{1})}C(\vec{K} - \vec{G}(1\bar{1})) + U_{G(\bar{1}\bar{1})}C(\vec{K} - \vec{G}(\bar{1}\bar{1}))$.若 $\vec{K} = \vec{G}(\frac{1}{2}\frac{1}{2}) = \frac{1}{2}\vec{G}(11), |\lambda_{U, \lambda_{\frac{1}{2}G(11)} - \epsilon}| = 0, \epsilon = \frac{\hbar^2 \pi^2}{ma^2} \pm U$ **紧束缚(1)** $E(\vec{k}) = \epsilon_i - \sum_s J(\vec{R}_s)e^{-i\vec{k}\cdot\vec{R}_s}(\vec{R}_s = \vec{R}_n - \vec{R}_m)$ **(2)1D,s**: $E(\vec{k}) = \epsilon_s - J_0 - J_1 e^{-ika} - J_1 e^{ika} = \epsilon_s - J_0 - 2J \cos ka$;**(3)2D,sc**: $E = \epsilon - 2t(\cos(k_x a) + \cos(k_y a));$ Honeycomb: $\phi(\vec{r}) = c_A \phi_A(\vec{r}) + c_B \phi_B(\vec{r}) = \frac{1}{\sqrt{N}} \sum_{\vec{R}_m} e^{i\vec{k}\cdot\vec{R}_m} [c_A \varphi(\vec{r} - \vec{R}_m^A) + c_B \varphi(\vec{r} - \vec{R}_m^B)], E(\vec{k}) = \epsilon_1 \pm J\sqrt{3 + 2\cos(\sqrt{3}k_y a)} \cos(\frac{\sqrt{3}k_x a}{2})$ (4)3D,(sc): $\epsilon(\vec{k}) = \epsilon_s - J_0 - 2J_1(\cos k_x a + \cos k_y a + \cos k_z a);$ (bcc): $\epsilon(\vec{k}) = -\alpha - 8\gamma \cos(\frac{k_x a}{2}) \cos(\frac{k_y a}{2}) \cos(\frac{k_z a}{2});$ (fcc) $\epsilon(\vec{k}) = -\alpha - 4\gamma[\cos(\frac{k_x a}{2}) \cos(\frac{k_y a}{2}) + \cos(\frac{k_x a}{2}) \cos(\frac{k_z a}{2}) + \cos(\frac{k_y a}{2}) \cos(\frac{k_z a}{2})]$ **(5)简并**: $\phi(\vec{r}) = \frac{1}{\sqrt{N}} \sum_{\vec{R}_m} \sum_j e^{i\vec{k}\cdot\vec{R}_m} c_j \varphi_j(\vec{r} - \vec{R}_m)$ **(6)周期δ势**. $V(x) = -aV_0\delta(x), -\frac{\hbar^2}{2m}\psi'' = E\psi, \psi = \sqrt{k}e^{-k|x|} (k = \sqrt{\frac{-2mE}{\hbar^2}}), -\frac{\hbar^2}{2m}\nabla^2\psi'(0) - aV_0\psi(0) = 0, k = \frac{mV_0 a}{\hbar^2}, E = -\frac{mV_0^2 a^2}{2\hbar^2}, \psi = \sqrt{\frac{mV_0 a}{\hbar^2}} e^{-\frac{mV_0 a}{\hbar^2}|x|}$.TB条件: $k \ll a$.通解 $E(k) = \epsilon - J(0) - \sum_{nn} J(R_m)e^{ikR_m}, J(R_m) = \sum_{R_m \neq 0} aV_0 \int \rho^* \delta(r - R_m) \rho(r - a) dr = \frac{mV_0^2 a^2}{\hbar^2} \sum_{n=1}^{\infty} e^{-\frac{mV_0 a}{\hbar^2}(2na-a)} \approx \frac{mV_0^2 a^2}{\hbar^2} e^{-\frac{mV_0 a}{\hbar^2}}. E(k) = \epsilon - 2J(R_m) \cos kR_m - J(0)$.能隙 $\Delta E = 4J = \frac{4mV_0^2 a^2}{\hbar^2} e^{-\frac{mV_0 a}{\hbar^2}}$ **近自由电子(1)非简并** $\varphi_k(x) = \varphi_k^0(x) + \sum_{k' (k' \neq k)} \frac{(k'|V(x)|k)}{E_k^0 - E_{k'}^0} \varphi_{k'}(x) (\langle k'|V(x)|k \rangle = \frac{1}{L} \int e^{-i(k'-k)x} V(x) dx = V_G (G = k' - k)), \varphi_k = \varphi_k^0(x) + \sum_{k' (k' \neq k)} \frac{(k'|V(x)|k)}{E_k^0 - E_{k'}^0} \varphi_{k'}^0(x) = \varphi_k^0(x) + \sum_{k' (k' \neq k)} \frac{V_G}{E_k^0 - E_{k'}^0} \varphi_{k'}^0(x)$,1级: $\langle k|V(x)|k \rangle = \frac{1}{L} \int_0^L V(x) dx = \bar{V} = 0$;2级: $E_k^2 = \sum_{k'} \frac{|(k'|V(x)|k)|^2}{E_k^0 - E_{k'}^0} = \sum_G \frac{|V_G|^2}{\frac{\hbar^2}{2m}[k^2 - (k+G)^2]}$.(I)if $(k+G)^2 \gg k^2$,自由e;(II) $(k+G)^2 = k^2$ **(2)简并** $\{(E_k^0 - E_{k'})^a + V_G^b\}_{V_G a + (E_{k'}^0 - E_{k'})b = 0} |E_k^0 - E_{k'}^0\rangle = 0, E_{k\pm} = \frac{1}{2}\{(E_k^0 + E_{k'}^0) \pm \sqrt{(E_k^0 + E_{k'}^0)^2 + 4|V_G|^2}\}$.(I) $E_k^0 = E_{k'}^0$ (BZ边界): $E_{k\pm} = E_k^0 \pm |V_G|$;(II) $|E_k^0 - E_{k'}^0| \gg |V_G|$ (远离BZ): $E_{k\pm} = E_k^0 + \frac{|V_G|^2}{E_k^0 - E_{k'}^0}$ (III)靠近BZ边界($|E_k^0 - E_{k'}^0| \ll |V_G|$): $E_{k\pm} = \frac{1}{2}\{E_k^0 + E_{k'}^0 \pm [2|V_G| + \frac{(E_{k'}^0 - E_k^0)^2}{4|V_G|}]\}, E_k^0 + E_{k'}^0 = 2E_0 + \frac{\hbar^2}{m}(k + \frac{G}{2})^2, (E_k^0 - E_{k'}^0)^2 = 4(\frac{\hbar^2}{2m})^2 G^2 (k + \frac{G}{2})^2$,即 $E_{k\pm} \approx (E_0 \pm |V_G|) + \frac{\hbar^2}{2m}(k + \frac{G}{2})^2 \pm (\frac{\hbar^2}{2m})^2 \frac{G^2}{2|V_G|}(k + \frac{G}{2})^2$.**(3)2D简单正方**: $V(\vec{r}) = -\sum_n a^2 V_0 \delta(\vec{r} - \vec{R}_n)$.近似条件:势能很小,视为微扰($|U_G| = V_0 \ll \frac{\hbar^2}{2m}(\frac{\pi^2}{a^2})$),能隙 $2|V_{G=G_2}| = |\frac{1}{a^2} \iint V e^{i\vec{G}_2 \cdot \vec{r}} d\vec{r}| = 2V_0$ 半导体价带顶(∩),导带底(∪)**(1)e群速度**: $\vec{v}_g = \nabla_{\vec{k}} \omega(\vec{k}) = \frac{1}{\hbar} \nabla_{\vec{k}} E(\vec{k})$ **(2)有效质量**. $\frac{1}{m^*} = \frac{1}{\hbar^2} \frac{d^2 E}{dk^2}$,方向: $(\frac{1}{m^*})_{\mu\nu} = \frac{1}{\hbar^2} \frac{d^2 E}{dk_\mu dk_\nu}$;另一种定义: $m^* = \hbar^2 k (\frac{\partial E}{\partial k})^{-1}$,线性色散 $E = a(|\vec{k} - \vec{k}_0|): m^* = \frac{\hbar|\vec{k}|}{v_g} = \frac{\hbar}{v_g} |\vec{k} - \vec{k}_0|$.能隙 $\Delta = 2m_0 v_g^2$.**(3)空穴**: $\vec{k}_h = -\vec{k}_e; E_h(\vec{k}_h) = -E_e(\vec{k}_e); \vec{v}_h = -\frac{1}{\hbar} \nabla_{\vec{k}_h} E_h(\vec{k}_h) = \frac{1}{\hbar} \nabla_{\vec{k}_e} E_e(\vec{k}_e) = \vec{v}_e$.**(4)激子** $\frac{1}{m^*} = \frac{1}{m_C^*} + \frac{1}{m_{hh}^*}, (m_C^* = 0.067m_e, m_{hh}^* = 0.45m_e)$,长度 $a_0^* = \frac{\epsilon_r m_e}{\mu^*} \cdot a_0 (a_0 = \frac{\epsilon_0 \hbar^2}{\pi m_e e^2} \approx 0.53\text{\AA})$ **(5)粒子浓度**: $dn = f(E, T)g(E)dE (f(E, T) = \frac{1}{1+e^{(E-\mu)/k_B T}}. E - \mu \gg k_B T$ 极限F-D 分布退化为 B 分布: $f(E, T) \approx e^{-(E-\mu)/k_B T}$.导带找到e: $f_C \approx e^{-\frac{(E-\mu)}{k_B T}}$;价带找到h: $f_V = 1 - f_V = e^{-(\mu-E)/k_B T}. g(E)$ 因近似抛物线色散($E - E_C = \frac{(k-k_C)^2}{2m_C^*}, E - E_V = -\frac{(k-k_V)^2}{2m_h^*}$),即态密度: $g_C(E) = a(m_C^*)^{\frac{3}{2}}(E - E_C)^{\frac{1}{2}}; g_V(E) = a(m_h^*)^{\frac{3}{2}}(E_V - E)^{\frac{1}{2}}, n = \int_{E_C}^{\infty} f_C g_C dE \approx a(m_C^*)^{\frac{3}{2}} \int_{E_C}^{\infty} (E - E_C)^{\frac{1}{2}} e^{-\frac{E-\mu}{k_B T}} dE = N_C e^{-\frac{E_C-\mu}{k_B T}} (N_C = 2(\frac{k_B}{2\pi\hbar^2})^{\frac{3}{2}}(m_C^* T)^{\frac{3}{2}})$,同理 $p \approx N_V e^{-\frac{\mu-E_V}{k_B T}} (N_V = 2(\frac{k_B}{2\pi\hbar^2})^{\frac{3}{2}}(m_h^* T)^{\frac{3}{2}})$.**Law of Mass Action**: $np \approx WT^3 e^{-\frac{E_g}{k_B T}}$ (前提: $|\mu - E| \gg k_B T$) **(6)化学势**本征半导体 $n=p, \frac{N_V}{N_C} = e^{\frac{2\mu - E_C - E_V}{k_B T}}; \mu = \frac{1}{2}(E_C + E_V) + \frac{3}{4}k_B T \ln \frac{m_h^*}{m_C^*}$ **(7)电导率**(I)载流子迁移率(μ_e, μ_h) $\mu = \frac{|v|}{E}$ (电荷 q 漂移速度 $v = \frac{q\tau E}{m}, \tau$ 为碰撞时间) $\mu_e/h = \frac{e\tau_e/h}{m_e/h}$.半导体: $\sigma = ne\mu_e + pe\mu_h$ **(7)掺杂**半导体原子价态为 ν .n型掺杂(杂质为 $\nu + 1$);p型掺杂(杂质为 $\nu - 1$)(I)浅掺杂能级:类H.(i)掺杂e: $E_d = -\frac{m_C^*}{m_e} \frac{1}{\epsilon_r^2} \frac{13.6\text{eV}}{n^2}$;(2)掺杂h: $E_a = -\frac{m_V^*}{m_e} \frac{1}{\epsilon_r^2} \times \frac{13.6\text{eV}}{n^2}$.参与导电.**(8)非本征载流子浓度**掺杂较少, μ 还在 E_g 中: $np = WT^3 e^{-\frac{E_g}{k_B T}}$.全电离: $N - p = N_D - N_A$.半导体pn结.p型: $\mu(E_F)$ 比 E_i 更近价带顶;n型: $\mu(E_F)$ 比 E_i 更近导带底.e,h扩散,通过 $\mu(E_F)$ 拉平.(I)金-半导体:(i)肖特基:半

半导体 $\mu(E_F) \uparrow$,e从半导体到金属,内建 E_D ,导电 $E \uparrow$,带底和费米能级距离 \uparrow (i)欧姆:半导体 $\mu(E_F)$ 低于金属,对e无势垒.(II)金-氧化绝缘体半(MOS) E_F 独立,类电容.(i)正电压:e从半导体远端到绝缘端. $\mu(E_F)$ 更近导带底, n型强化;(ii)反电压:e向半导体远端移动.超限后,发生反型($\mu(E_F)$ 更近价带顶) **(9)布洛赫振荡运动方程** $\hbar \frac{dk}{dt} = -eE$,解 $k(t) = k(0) - \frac{eE}{\hbar}t$.色散 $\epsilon(k) = \epsilon_0[1 - \cos(ak)]$,e群速度 $v(k) = \frac{1}{\hbar} \frac{d\epsilon}{dk} = \frac{-\epsilon_0 a}{\sin(ak)} \cdot k(0) = x(0) = 0, x(t) = \int_0^t v[k(t')]dt' = \frac{\epsilon_0}{eE} [\cos(\frac{eEa}{\hbar}t) - 1] \cdot \omega_{BO} = eEa/\hbar$.观测条件 $\tau \gg 2\pi/\omega_{BO} = \hbar/eEa$. **布洛赫电子动力学(1)运动特征** $\hbar \frac{d\vec{k}}{dt} = -e\vec{v} \times \vec{B}$.e群速度 $\vec{v} = \frac{1}{\hbar} \nabla_k E(\vec{k})$; $\frac{dE}{dt} = \nabla_k(\vec{k}) \frac{d\vec{k}}{dt} = 0$.实空间和倒空间运动方向垂直.**(2)回旋频率**($k_z = 0$).周期 $T = \frac{2\pi K}{\frac{e\hbar B}{v}} = \frac{2\pi}{eB} \frac{\hbar K}{v} = \frac{2\pi m}{eB}$;回旋频率 $\omega_c = \frac{2\pi}{T} = \frac{eB}{m_c^*} (m_c^* \neq m^*)$ **(3)磁场中分立能**(1.抛物线色散2.忽略自旋)朗道能级 $E(k) = \frac{\hbar^2}{2m} k_z^2 + (n + \frac{1}{2}) \hbar \omega_c$.**(I)简并度**(i)无磁场: $E(\vec{k}) = \frac{\hbar^2}{2m} (k_x^2 + k_y^2)$ (ii)有磁场:相邻朗道环 L_n, L_{n+1} 所围态简并.态数目 $n_k = \Delta A \times \frac{S}{4\pi^2} = \pi [\Delta(k_x^2 + k_y^2)] \times \frac{S}{4\pi^2} = \frac{2\pi m \Delta E}{\hbar^2} \times \frac{S}{4\pi^2} = \frac{2\pi m \hbar \omega_c}{\hbar^2} \times \frac{S}{4\pi^2} = \frac{4\pi^2 eB}{h} \times \frac{S}{4\pi^2} = \frac{eBS}{h}$,朗道能级简并度 $p = 2n_k = \frac{2e}{\hbar} BS = \frac{BS}{\Phi_0} (\Phi_0 = \frac{h}{2e} \approx 2.067 \times 10^{-15} \text{ (Wb)})$.高量子态条件: $\oint \vec{p} \cdot d\vec{r} = (n + \gamma) \cdot 2\pi \hbar, A_r = \frac{2\pi \hbar}{eB} (n + \gamma) \cdot \vec{B} \times \frac{d\vec{k}}{dt} = -\frac{eB}{\hbar} \frac{d\vec{r}_\perp}{dt}, \frac{A_k}{A_r} = (\frac{eB}{\hbar})^2, A_k = \frac{2\pi eB}{\hbar} (n + \gamma)$.或 $\frac{1}{B} = \frac{2\pi e}{\hbar A_k} (n + \gamma), \Delta(\frac{1}{B}) = (\frac{1}{B_{n+1}} - \frac{1}{B_n}) = \frac{2\pi e}{\hbar} \frac{1}{A_k}$ **(II)de Hass-Van Alphen**(i)2D: \blacktriangleright 横轴为磁矩,横轴为磁场. $\Delta(\frac{1}{B}) = \frac{2\pi e}{\hbar} \frac{1}{A_{k_F}}, A_F$ 极值轨道;(ii)fcc(Au,Ag,Cu) $n = \frac{4}{a^3}, k_F = (3\pi^2 n)^{\frac{1}{3}} = (\frac{12\pi^2}{a^3})^{\frac{1}{3}} \approx 4.90a^{-1}$,跨越BZ最短距离: $\sqrt{3}b = (\frac{2\pi}{a}) \approx 10.88a^{-1}, \frac{4\pi}{a} \approx 12.57a^{-1}$, Au: $\Delta(\frac{1}{B}) = 2 \times 10^{-9} \text{ G}^{-1}$,极值轨道: $S = \frac{2\pi e}{\hbar} [\Delta(\frac{1}{B})]^{-1} \approx 4.8 \times 10^{16} \text{ cm}^{-2}$ **(4)磁场下2D电子** $E = (n + \frac{1}{2}) \hbar \omega_c$ (I)展宽(i)本征: $\delta E \approx \frac{\hbar}{\tau}$,分辨条件 $\omega_c \tau \gg 1$;(ii) T :分辨条件 $\hbar \omega_c > k_B T$ (低温)(II)简并度:单位面积内每个朗道能级的e数.单位面积内朗道能级简并度: $n_L = \frac{2eB}{h}$ (i) σ 极小(态密度谷): $N_L = n \frac{2eB}{h}$, (ii) σ 极大(态密度峰): $N_L = (n + \frac{1}{2}) \frac{2eB}{h}$, σ 周期: $\Delta(\frac{1}{B}) = \frac{2e}{h N_L}$ **(III)霍尔效应**: $\overleftrightarrow{\sigma} = \frac{\sigma_0}{1 + (\omega_c \tau)^2} \begin{bmatrix} 1, -\omega_c \tau \\ \omega_c \tau, 1 \end{bmatrix}$,系数 $R_H = \frac{E_y}{j_x B} = \frac{\sigma_{xy}}{\sigma_{xx}^2 + \sigma_{xy}^2} \frac{1}{B} = \frac{-1}{B} \frac{\omega_c \tau}{\sigma_0} = -\frac{1}{ne}$.2D各向同性: $\begin{bmatrix} J_x \\ J_y \end{bmatrix} = \begin{bmatrix} \sigma_{xx}, \sigma_{xy} \\ -\sigma_{xy}, \sigma_{yy} \end{bmatrix} \begin{bmatrix} E_x \\ E_y \end{bmatrix}$,霍尔效应: $\left\{ \begin{array}{l} \rho_{xx} = \frac{E_x}{j_x} = \frac{\sigma_{xx}}{\sigma_{xx}^2 + \sigma_{xy}^2} \\ \rho_{xy} = \frac{E_y}{j_x} = \frac{\sigma_{xy}}{\sigma_{xx}^2 + \sigma_{xy}^2} \end{array} \right\}$.极限 $\omega_c \tau \gg 1, \sigma_{xy} \gg \sigma_{xx} : \left\{ \begin{array}{l} \rho_{xx} = \frac{\sigma_{xx}}{\sigma_{xy}^2} \\ \rho_{xy} = \frac{1}{\sigma_{xy}} = R_{HB} \end{array} \right\}; R_H = \frac{E_y}{j_x B}$.**(5)电阻**(I)e-声子散射(准弹性散射) $E_{k'} = E_k \pm \hbar \omega, \vec{k}' = \vec{k} \pm \vec{q} + \vec{G}$.弛豫和散射概率: $\frac{1}{\tau} = (\frac{1}{2\pi})^3 \int \omega_{\vec{k}, \vec{k}'} (1 - \cos \theta) d\vec{k}'$ (i)高T($T > \theta_D$) : $\rho \propto \frac{1}{\tau} \propto T$ (高温)(ii)低T($q \ll k_F$) : $N_{\text{声子}} \propto T^3$ (低温) : $1 - \cos \theta = 2 \sin^2(\frac{\theta}{2}) = \frac{1}{2} (\frac{q}{k_F})^2$ (θ 很小).低温条件: $q \approx E \approx k_B T, 1 - \cos \theta \approx T^2, \omega_{\vec{k}, \vec{k}'} \propto T^3 : \rho \propto \frac{1}{\tau} \propto T^5$ (II)剩余电阻率(杂质). $(\frac{\partial \rho}{\partial T})_{T \rightarrow 0} = 0, \rho_{T \rightarrow 0} = \text{const.}$ **(6)磁阻**(R 随 B 的变化)(O)理想:一种载流子,完美球形F面,洛伦兹力平衡于电场力,e运动将对是否有磁场不敏感,磁阻为0.(I)真实(i) E_F 并不是严格球形, v_F, m^*, τ 各向异性;(ii)多条能带经过 E_F ,各能带 v_F, m^*, τ 不同.[例]两能带: $\frac{\Delta \sigma}{\sigma_0} = -\frac{\sigma_{10} \sigma_{20}}{(\sigma_{10} + \sigma_{20})^2} (\omega_{c1} \tau_1 - \omega_{c2} \tau_2)^2 \Rightarrow \frac{\Delta \rho}{\rho_0} = \frac{\rho(B) - \rho(0)}{\rho(0)} \propto B^2 > 0$ **(7)相位效应**(O)与杂质弹性散射,e相干: $\vec{k} \rightarrow \vec{k}' (|\vec{k}| = |\vec{k}'|), \phi \rightarrow \phi'$;与声子非弹性散射,e非相干: $\phi = e^{-iEt/\hbar}$.相位相干长度 $l_\phi = v_F \tau_2$.**(I)**从 x' 到 x'' 的总概率: $P = |\sum_i A_i|^2 = |\sum_i A_i^2| + \sum_{i \neq j} A_i A_j$.**(II)**WL.环路: $P = |A_+|^2 + |A_-|^2 + A_+ A^* + A^*_+ A_- = 4A^2$,大于经典概率 $P' = 2A^2, \sigma \downarrow, R \uparrow$.对2D: $\Delta \sigma = -\sigma_{00} \ln \frac{\tau_2}{\tau_1} = \sigma_{00} p \ln T$.**(III)**负磁阻: $\vec{B} = \nabla \times \vec{A}, \varphi(\vec{r}) = \varphi_0(\vec{r}) = e^{-\frac{ie}{\hbar} \int \vec{A}(\vec{r}') \cdot d\vec{r}'}, A_+ : A_+ e^{-\frac{ie}{\hbar} \oint \vec{A} \cdot d\vec{l}} = A_+ e^{-\frac{ie}{\hbar} \iint \vec{B} \cdot d\vec{S}} = A_+ e^{-\frac{ie}{\hbar} \Phi}, A_{(-)} : A_{(-)} e^{\frac{ie}{\hbar} \Phi} = A_{(-)} e^{i2\pi \Phi / (2\Phi_0)} (\Phi_0 = \frac{h}{2e}), P = 2A^2 [1 + \cos^2(\frac{2\pi \Phi}{\Phi_0})] \leq 4A^2$ **输运现象** $\vec{J}_Q = -\kappa \nabla T; \vec{J}_Q = -D \nabla n; \vec{J}_e = -\sigma \nabla \varphi$ **(1)非平衡分布函数**: $f_n(\vec{r}, \vec{k}, t) \frac{d\vec{r} d\vec{k}}{(2\pi)^3} (t \text{ 的第 } n \text{ 能带中, 在 } (\vec{r}, \vec{k}) \text{ 处单位体积 } d\vec{r} d\vec{k} \text{ 某自旋的平均e数})$ **(2)非平衡电流** $\vec{J}_e = -en(\vec{r}, t) \vec{v}_d = -\frac{2}{(2\pi)^3} \int e \vec{v}_{\vec{k}} f(\vec{r}, \vec{k}, t) d\vec{k}$ **(3)平衡**: $\vec{J} = -\frac{2}{(2\pi)^3} \int e \vec{v}_{\vec{k}} f_0 d\vec{k} = 0$ **(4)从平衡到非平衡**: $\frac{d\vec{k}}{dt} = -\frac{e\vec{E}}{\hbar}, \vec{J} = -\frac{2}{(2\pi)^3} \int e \vec{v}_{\vec{k}} f d\vec{k} \neq 0$ **(5)玻尔兹曼方程** $\frac{\partial f}{\partial t} = (\frac{\partial f}{\partial t})_{\text{漂移}} + (\frac{\partial f}{\partial t})_{\text{碰撞}}$ **(I)**漂移无碰撞: $f(\vec{r}, \vec{k}, \vec{t}) = f(\vec{r} - \dot{\vec{r}} dt, \vec{k} - \dot{\vec{k}} dt, t - dt)$ **(II)**碰撞+漂移: $f(\vec{r}, \vec{k}, \vec{t}) = f(\vec{r} - \dot{\vec{r}} dt, \vec{k} - \dot{\vec{k}} dt, t - dt) + (\frac{\partial f}{\partial t})_{\text{碰撞}} dt$.稳态($\partial_t f = 0$) $\vec{k} \frac{\partial f}{\partial \vec{k}} + \dot{\vec{r}} \frac{\partial f}{\partial \vec{r}} = (\frac{\partial f}{\partial t})_{\text{碰撞}}$.近似条件: $f = f_0 + f_1 (f_1 \ll f_0), (\frac{\partial f}{\partial t}) = \frac{f_0 - f}{\tau} = -\frac{f_1}{\tau}$.近似玻尔兹曼方程: $\vec{k} \frac{\partial f_0}{\partial \vec{k}} + \dot{\vec{r}} \frac{\partial f_0}{\partial \vec{r}} = -\frac{f_1}{\tau}$ **(III)**直流 σ .仅 \vec{E} 下: $-\frac{e\vec{E}}{\hbar} \frac{\partial f_0}{\partial \vec{k}} = -\frac{f_1}{\tau}, \vec{J}_e = -\frac{2e}{(2\pi)^3} \int f \vec{v}_{\vec{k}} d\vec{k} = -\frac{e}{4\pi^3} \int (f_0 + f_1) \vec{v}_{\vec{k}} d\vec{k} = -\frac{e}{4\pi^3} \int f_1 \vec{v}_{\vec{k}} d\vec{k}$.已知 $f_1 = \frac{e\tau \vec{E}}{\hbar} \frac{\partial f_0}{\partial \vec{k}}; \frac{\partial f_0}{\partial \vec{k}} = \frac{\partial \epsilon}{\partial \epsilon} \frac{\partial \epsilon}{\partial \vec{k}}; \vec{k} = \frac{1}{\hbar} \frac{\partial \epsilon}{\partial \vec{k}}, \vec{J}_e = \frac{e^2}{4\pi^3} \int \tau \frac{\partial f_0}{\partial \epsilon} \vec{k}_{\vec{k}} (\vec{v}_{\vec{k}} \cdot \vec{E}) d\vec{k} = \frac{e^2}{4\pi^3} \int \tau \frac{\vec{v}_{\vec{k}} (\vec{v}_{\vec{k}} \cdot \vec{E})}{\hbar v_k} (-\frac{\partial f_0}{\partial \epsilon}) dS d\epsilon = \frac{e^2}{4\pi^3 \hbar} \int \tau \frac{\vec{v}_{\vec{k}} (\vec{v}_{\vec{k}} \cdot \vec{E})}{v_k} dS_F, \vec{J}_e = [\frac{e^2}{4\pi^3 \hbar} \int \tau \frac{\vec{v}_{\vec{k}} \vec{v}_{\vec{k}}}{v_k} dS_F] \cdot \vec{E} = \overleftrightarrow{\sigma} \cdot \vec{E}$ [例]立方晶系: $\sigma = \sigma_{xx} = \sigma_{yy} = \sigma_{zz} = \frac{(\sigma_{xx} + \sigma_{yy} + \sigma_{zz})}{3} = \frac{e^2}{4\pi^3 \hbar} \int \tau \frac{v_{\vec{k}}^2}{v_k} dS_F = \frac{1}{12\pi^3} \frac{e^2}{\hbar} \int \tau v_k dS_F$.各向同性 $m^*, \tau : \sigma = \frac{\tau e^2}{12\pi^3 m^*} \int k_F dS_F$.球面F面: $\sigma = \frac{\tau}{3\pi^2} \frac{e^2 k_F^3}{m^*} = \frac{ne^2 \tau E_F}{m^*}$ **(6)热电势**. $T, \mu: \frac{\partial f_0}{\partial T} = -\frac{\partial f_0}{\partial \epsilon} \frac{\epsilon - \mu}{T}, \frac{\partial f_0}{\partial \mu} = -\frac{\partial f_0}{\partial \epsilon},$ 无 \vec{E} 方程: $-\frac{\partial f_0}{\partial \epsilon} \vec{v}_{\vec{k}} \cdot [\frac{\epsilon_k - \mu}{T} \nabla T + \nabla \mu] = -\frac{f_1}{\tau}$.电流密度: $\vec{J}_e = \frac{e}{4\pi^3} \int \tau \frac{(\vec{v}_{\vec{k}} \vec{v}_{\vec{k}}) \cdot \nabla \mu}{\hbar v_k} (-\frac{\partial f_0}{\partial \epsilon}) dS d\epsilon + \frac{e}{4\pi^3} \int \tau \frac{(\vec{v}_{\vec{k}} \vec{v}_{\vec{k}}) \cdot \nabla T}{\hbar v_k} (\frac{\epsilon - \mu}{T}) (-\frac{\partial f_0}{\partial \epsilon}) dS d\epsilon, \mu$ 梯度 $\frac{\nabla \mu}{e}$ 与外 \vec{E} 等价. **(7)热流**类比 $\vec{J}_e = \frac{e^2}{4\pi^3} \int \tau \frac{(\vec{v}_{\vec{k}} \vec{v}_{\vec{k}}) \cdot (\vec{E} + \frac{\nabla \mu}{e})}{\hbar v_k} (-\frac{\partial f_0}{\partial \epsilon}) dS d\epsilon + \frac{e}{4\pi^3} \int \tau \frac{(\vec{v}_{\vec{k}} \vec{v}_{\vec{k}}) \cdot \nabla T}{\hbar v_k} (\frac{\epsilon - \mu}{T}) (-\frac{\partial f_0}{\partial \epsilon}) dS d\epsilon$,定义热流: $\vec{J}_Q = \frac{1}{4\pi^3} \int (\epsilon_k - \mu) \vec{v}_{\vec{k}} f_1 d\vec{k} = -\frac{e}{4\pi^3} \int \vec{E} \cdot [\tau \frac{(\vec{v}_{\vec{k}} \vec{v}_{\vec{k}}) \cdot (\epsilon_k - \mu)}{\hbar v_k} (-\frac{\partial f_0}{\partial \epsilon}) dS d\epsilon] - \frac{1}{4\pi^3} \int \nabla T \cdot [\tau \frac{(\vec{v}_{\vec{k}} \vec{v}_{\vec{k}}) \cdot (\epsilon - \mu)}{\hbar v_k} (-\frac{\partial f_0}{\partial \epsilon}) dS d\epsilon]$.设 $\zeta_n = \frac{\tau}{12\pi^3 \hbar} \int v_k (\epsilon_k - \mu)^n (-\frac{\partial f_0}{\partial \epsilon}) dS d\epsilon, \vec{J}_e = e^2 \zeta_0 \vec{E} - \frac{e}{T} \zeta_1 (-\nabla T), \vec{J}_Q = -e \zeta_1 \vec{E} + \frac{1}{T} \zeta_2 (-\nabla T)$.无外 $\vec{E} : \vec{J}_e = 0 \Rightarrow e^2 \zeta_0 \vec{E} - \frac{e}{T} \zeta_1 (-\nabla T) = 0, \vec{E} = \frac{1}{eT} \frac{\zeta_1}{\zeta_0} (-\nabla T)$,热流密度: $\vec{J}_Q = \frac{1}{T} \left(\zeta_2 - \frac{\zeta_1^2}{\zeta_0} \right) (-\nabla T)$,热导率: $\kappa = \frac{1}{T} \left(\zeta_2 - \frac{\zeta_1^2}{\zeta_0} \right), \sigma = e^2 \zeta_0$.**(8)补充:热电势**热电场: $\vec{E} = \frac{1}{eT} \frac{\zeta_1}{\zeta_0} (-\nabla T)$,热电系数(单位 T 差下材料中 ϕ 的变化量): $S = -\frac{1}{eT} \frac{\zeta_1}{\zeta_0} = -\frac{\pi^3}{3} \frac{k_B^2 T}{e} \left[\frac{\partial \ln \sigma}{\partial \epsilon} \right]_{E_F} T \left(\frac{\partial \ln \langle \tau \rangle}{\partial \epsilon} + \frac{\partial \ln \langle v_k \rangle}{\partial \epsilon} + \frac{\partial \ln S}{\partial \epsilon} \right)$ **多e(0)原始**: $\hat{H}_T = \sum_i \frac{|\vec{p}_i|^2}{2m} + \sum_n \frac{|\vec{p}_n|^2}{2M_n} + \frac{1}{2} \sum'_{ij} \frac{1}{|\vec{r}_i - \vec{r}_j|} + \frac{1}{2} \sum'_{nn'} \frac{Z_n Z_{n'} e^2}{|\vec{R}_n - \vec{R}_{n'}|} + \sum_{n,i} V_n(\vec{r}_i - \vec{R}_n) + \hat{H}_R$ (价e动,原子实动,e间库伦,原子实间库伦,e和原子实之间,s-L修正)**(1)B-O绝热**.(I)e: $\hat{H}_e = \sum_i [\frac{|\vec{p}_i|^2}{2m} + \sum_n V_n(\vec{r}_i - \vec{R}_n)] + \frac{1}{2} \sum'_{ij} \frac{e^2}{|\vec{r}_i - \vec{r}_j|} + \hat{H}_R$,原子实: $\hat{H}_c = \sum_n \frac{|\vec{p}_n|^2}{2M_n} + \frac{1}{2} \sum'_{nn'} \frac{Z_n Z_{n'} e^2}{|\vec{R}_n - \vec{R}_{n'}|} + V_{ec}(\{\vec{R}_n\})$ (II) $\{ -\frac{\hbar^2}{2m} \sum_j \nabla_j^2 - \sum_{j,l} \frac{Z_l e^2}{|\vec{r}_j - \vec{R}_l|} + \frac{1}{2} \sum_{j \neq j'} \frac{e^2}{|\vec{r}_j - \vec{r}_{j'}|} - E \} \Psi(\{r_N\}) = 0, \hat{P}_{jj'} \Psi = -\Psi, .n(r) = n(r; \{R_N\}), E = E(\{R_N\})$.**(2) H_2 Model**:(I)HL: $\Psi_{HL} = A[\varphi_H(r_1 - R_1) \varphi_H(r_2 - R_2) + \varphi_H(r_1 - R_2) \varphi_H(r_2 - R_1)] \chi_0$ (HL = Heitler-London). $\varphi_H(r)$ 是e轨道在基态; χ_0 代表自旋单子态.(II)Mullikan Ansatz: $\Psi_{\text{HF}} = \frac{1}{\sqrt{2}} \text{Det}[\varphi_m(r_1) \alpha(1) \varphi_m(r_2) \beta(2)]$.(III)JC: $\Psi_{JC} = \Psi(r_1, r_2) \chi_0$ **(III)Hartree-Fock**对e: $\hat{H} = -\sum_i \frac{\hbar^2}{2m_e} \nabla_{\vec{r}_i}^2 + \sum_i V_{\text{ion}}(\vec{r}_i) + \frac{e^2}{2} \sum_{(i \neq j)} \frac{1}{|\vec{r}_i - \vec{r}_j|}$,多体态: $\Psi^H(\{\vec{r}_i\}) = \phi_1(\vec{r}_1) \dots \phi_N(\vec{r}_N), E^H = \langle \Psi^H | \hat{H} | \Psi^H \rangle = \sum_i \langle \phi_i | \frac{-\hbar^2 \nabla_{\vec{r}_i}^2}{2m_e} + V_{\text{ion}}(\vec{r}) | \phi_i \rangle + \frac{e^2}{2} \sum_{ij(i \neq j)} \langle \phi_i \phi_j | \frac{1}{|\vec{r} - \vec{r}'|} | \phi_i \phi_j \rangle, \delta[E^H - \sum_i \epsilon_i (\langle \phi_i | \phi_i \rangle - 1)] = 0, \langle \delta \phi_i | \frac{-\hbar^2 \nabla_{\vec{r}_i}^2}{2m_e} + V_{\text{ion}}(\vec{r}) | \phi_i \rangle + e^2 \sum_{i \neq j} \langle \delta \phi_i \phi_j | \frac{1}{|\vec{r} - \vec{r}'|} | \phi_i \phi_j \rangle - \epsilon_i \langle \delta \phi_i | \phi_i \rangle = \langle \delta \phi_i | [-\frac{\hbar^2 \nabla_{\vec{r}_i}^2}{2m_e} + V_{\text{ion}} + e^2 \sum_{i \neq j} \langle \phi_j | \frac{1}{|\vec{r} - \vec{r}'|} | \phi_j \rangle - \epsilon] | \phi_i \rangle = 0$.Hatree: $[-\frac{\hbar^2 \nabla_{\vec{r}}^2}{2m_e} + V_{\text{ion}}(\vec{r}) + e^2 \sum_{j \neq i} \langle \phi_j | \frac{1}{|\vec{r} - \vec{r}'|} | \phi_j \rangle] \phi_i(\vec{r}) = 0$.Hatree势: $V_i^H(\vec{r}) = e^2 \sum_{i \neq j} \langle \phi_j | \frac{1}{|\vec{r} - \vec{r}'|} | \phi_j \rangle$.平均场近似:Hatree-Fock多体态: $\Psi^{\text{HF}}(\{\vec{r}_i\}) = \frac{1}{\sqrt{N!}} \begin{vmatrix} \phi_1(\vec{r}_1) & \phi_1(\vec{r}_N) \\ \phi_N(\vec{r}_1) & \phi_N(\vec{r}_N) \end{vmatrix} \cdot (\phi_i(\vec{r}) \approx \psi_i(\vec{r}) \chi_i(\dots))$ 能量: $E^{\text{HF}} = \langle \Psi^{\text{HF}} | \hat{H} | \Psi^{\text{HF}} \rangle = \sum_i \langle \phi_i | \frac{-\hbar^2 \nabla_{\vec{r}_i}^2}{2m_e} + V_{\text{ion}}(\vec{r}) | \phi_i \rangle + \frac{e^2}{2} \sum_{ij(i \neq j)} \langle \phi_i \phi_j | \frac{1}{|\vec{r} - \vec{r}'|} | \phi_i \phi_j \rangle - \frac{e^2}{2} \sum_{ij(i \neq j)} \langle \phi_i \phi_j | \frac{1}{|\vec{r} - \vec{r}'|} | \phi_j \phi_i \rangle, [-\frac{\hbar^2 \nabla_{\vec{r}}^2}{2m_e} + V_{\text{ion}} + V_i^H(\vec{r})] \phi_i(\vec{r}) - e^2 \sum_{j \neq i} \langle \phi_j | \frac{1}{|\vec{r} - \vec{r}'|} | \phi_j \rangle \phi_j(\vec{r}) = \epsilon_i \phi_i(\vec{r})$.密度: $\rho_i(\vec{r}) = |\phi_i(\vec{r})|^2, \rho(\vec{r}) = \sum_i \rho_i(\vec{r}); V_i^H(\vec{r}) = e^2 \sum_{j \neq i} \int \frac{\rho_j(\vec{r}')}{|\vec{r} - \vec{r}'|} d\vec{r}' = e^2 \int \frac{\rho(\vec{r}') - \rho_i(\vec{r}')}{|\vec{r} - \vec{r}'|} d\vec{r}'$ **粒子交换**: $\rho_i^X(\vec{r}, \vec{r}') = \sum_{j \neq i} \frac{\phi_i(\vec{r}') \phi_i^*(\vec{r}) \phi_j(\vec{r}') \phi_j^*(\vec{r})}{\phi_i(\vec{r}) \phi_i^*(\vec{r})}$;HF势: $V_i^{HF}(\vec{r}) = e^2 \int \frac{\rho(\vec{r}')}{|\vec{r} - \vec{r}'|} d\vec{r}' - e^2 \int \frac{\rho_i(\vec{r}') + \rho_i^X(\vec{r}, \vec{r}')}{|\vec{r} - \vec{r}'|} d\vec{r}'$,单HF: $[-\frac{\hbar^2 \nabla_{\vec{r}}^2}{2m_e} + V_{\text{ion}}(\vec{r}) + V_i^{HF}(\vec{r})] \phi_i(\vec{r}) = \epsilon_i \phi_i(\vec{r})$.**(IV)Jellium Model Model(均匀电子气)** $\phi_i(\vec{r}) = \frac{e^{i\vec{k}_i \cdot \vec{r}}}{\sqrt{\Omega}}$ (Ω 为晶胞体积.均匀电子气的波矢的数值范围为 $k \in [0, k_F]$). $\frac{4\pi}{3} r_s = \frac{\Omega}{N} = n^{-1} =$

$$\frac{3\pi}{k_F^3} \cdot \frac{\hbar^2}{2m_e a_0^2} = \frac{e^2}{2a_0} = 1\text{Ry.态方程}[-\frac{\hbar^2 \nabla_{\vec{r}}^2}{2m_e} - e^2 \int \frac{\rho_k^{HF}(\vec{r}, \vec{r}')}{|\vec{r} - \vec{r}'|} d\vec{r}'] \phi_{\vec{k}}(\vec{r}) = \epsilon_{\vec{k}} \phi_{\vec{k}}(\vec{r}). \text{平面波证明: } -\frac{\hbar^2 \nabla^2}{2m_e} \frac{e^{i\vec{k} \cdot \vec{r}}}{\sqrt{\Omega}} = \frac{\hbar^2 k^2}{2m_e} \frac{e^{i\vec{k} \cdot \vec{r}}}{\sqrt{\Omega}}, e^2 [\int \frac{\rho_k^{HF}(\vec{r}, \vec{r}')}{|\vec{r} - \vec{r}'|} d\vec{r}'] \phi_{\vec{k}}(\vec{r}) = \frac{-e^2}{\sqrt{\Omega}} \int \frac{\rho_k^{HF}(\vec{r}, \vec{r}')}{|\vec{r} - \vec{r}'|} d\vec{r}' e^{i\vec{k} \cdot \vec{r}} = \frac{-e^2}{\sqrt{\Omega}} \sum_{\vec{k}'} \int \frac{\phi_{\vec{k}'}(\vec{r}') \phi_{\vec{k}}^*(\vec{r}) \phi_{\vec{k}'}(\vec{r}) \phi_{\vec{k}}^*(\vec{r}')}{\phi_{\vec{k}}(\vec{r}) \phi_{\vec{k}}^*(\vec{r})} \frac{1}{|\vec{r} - \vec{r}'|} d\vec{r}' e^{i\vec{k} \cdot \vec{r}} = \frac{-e^2}{\sqrt{\Omega}} \sum_{\vec{k}'} \int \frac{e^{-i(\vec{k} - \vec{k}') \cdot (\vec{r} - \vec{r}')}}{\Omega} \frac{d\vec{r}' e^{i\vec{k} \cdot \vec{r}}}{|\vec{r} - \vec{r}'|}, (\int \frac{1}{r} e^{i\vec{k} \cdot \vec{r}} d\vec{r} = \frac{4\pi^2}{k^2}, \sum_{\vec{k}} f(\vec{k}) = \frac{\Omega}{(2\pi)^3} \int f(\vec{k}) d\vec{k}), \frac{-4\pi^2}{\sqrt{\Omega}} [\int_{k < k_F} \frac{d\vec{k}'}{(2\pi)^3} \frac{1}{|\vec{k} - \vec{k}'|^2}] e^{i\vec{k} \cdot \vec{r}} = -\frac{e^2}{\pi} k_F F(\frac{k}{k_F}) \frac{e^{i\vec{k} \cdot \vec{r}}}{\sqrt{\Omega}}. (F(x) = 1 + \frac{1-x^2}{2x} \ln |\frac{1+x}{1-x}|). (II) \phi_{\vec{k}}(\vec{r}) \text{能量: } \epsilon_{\vec{k}} = \frac{\hbar^2 k^2}{2m_e} - \frac{e^2}{\pi} k_F F(\frac{k}{k_F}), \text{总能量 } E^{HF} = 2 \sum_{k < k_F} \frac{\hbar^2 |\vec{k}|^2}{2m_e} - \frac{e^2 k_F^2}{\pi} \sum_{k < k_F} [1 + \frac{k_F^2 - k^2}{2k k_F} \ln |\frac{k_F + k}{k_F - k}|]. \text{平均能: } \frac{E^{HF}}{N} = \frac{3}{5} \epsilon_F - \frac{3}{4} \frac{e^2 k_F}{\pi} = [\frac{2.21}{(r_s/a_0)^2} - \frac{0.916}{(r_s/a_0)}] \text{Ry. 交换能: } \frac{E^X}{N} = -\frac{3e^2}{4} (\frac{3}{\pi})^{\frac{1}{3}} n^{\frac{1}{3}} = -1.447(a_0^3 n)^{\frac{1}{3}} \text{Ry; 高n: } \frac{E}{N} = [\frac{2.21}{(r_s/a_0)^2} - \frac{0.916}{(r_s/a_0)} + 0.0622 \ln \frac{r_s}{a_0} - 0.096 + \mathcal{O}(\frac{r_s}{a_0})] \text{DFT(1)} \mathcal{H} = T + W + V = -\sum_i \frac{\hbar^2}{2m_e} \nabla_{\vec{r}_i}^2 + \sum_i V_{\text{ion}}(\vec{r}_i) + \frac{e^2}{2} \sum_{ij(j \neq i)} \frac{1}{|\vec{r}_i - \vec{r}_j|}. \text{DF: } F[n(r)] = \langle \Psi | T + W | \Psi \rangle = F[n(\vec{r})] = T^S[n(\vec{r})] + \frac{e^2}{2} \iint \frac{n(\vec{r}) n(\vec{r}')}{|\vec{r} - \vec{r}'|} d\vec{r} d\vec{r}' + E^{XC}[n(\vec{r})], E[n(\vec{r})] = \langle \Psi | \mathcal{H} | \Psi \rangle = F[n(\vec{r})] + \int V(\vec{r}) n(\vec{r}) d\vec{r}; \text{变分: } \delta n(\vec{r}) = \delta \phi_i(\vec{r}) \phi_i(\vec{r}); \text{约束 } \int \delta n(\vec{r}) d\vec{r} = \int \delta \phi_i(\vec{r}) \phi_i(\vec{r}) d\vec{r} = 0, \text{Kohn-Sham: } [-\frac{\hbar^2}{2m_e} \nabla_{\vec{r}}^2 + V^{\text{eff}}(\vec{r}, n(\vec{r}))] \phi_i(\vec{r}) = \epsilon_i \phi_i(\vec{r}) (V^{\text{eff}}(\vec{r}, n(\vec{r})) = V(\vec{r}) + e^2 \int \frac{n(\vec{r}')}{|\vec{r} - \vec{r}'|} d\vec{r}' + \frac{\delta E^{XC}[n(\vec{r})]}{\delta n(\vec{r})}). E^{XC}[n(\vec{r})] = \int n(\vec{r}) \epsilon^{XC}([n], \vec{r}) d\vec{r}. \text{LDA: } E_{\text{LDA}}^{XC} = \int \epsilon^{XC}[n(\vec{r})] n(\vec{r}) d\vec{r}; \text{GGA: } E_{\text{GGA}}^{XC} = \int \epsilon^{XC}[n(\vec{r}), |\nabla n(\vec{r})|] n(\vec{r}) d\vec{r}. \text{Kittle(1) Wannier 正交: } \Psi_k = N^{-\frac{1}{2}} e^{ik \cdot r} u_k(\vec{r}), \int d\vec{r} w^*(\vec{r} - \vec{r}_n) w(\vec{r} - \vec{r}_n) = \frac{1}{N} \sum_{kk'} e^{ikr_n} e^{-ik'r_n} \delta_{kk'} = \delta_{nm}. \text{线晶格: } \psi_k = N^{-\frac{1}{2}} e^{ikx} u_0(x), w(x - x_n) = N^{-1} u_0(x) \sum e^{ik(x-x_n)} = u_0(x) \frac{1}{N} \frac{L}{2\pi} \int_{-\pi/a}^{\pi/a} e^{ik(x-x_m)} dk = u_0(x) \frac{\sin \frac{\pi}{a}(x-x_n)}{\frac{\pi}{a}(x-x_n)}. (2) \text{2D 矩形 } \vec{a} = 2A\hat{x}, \vec{b} = 4A\hat{y}. \vec{A} = \frac{\pi}{a}\hat{x}, \vec{B} = \frac{\pi}{2A}\hat{y}. \vec{G} = \frac{2\pi}{4A}(2g_1\hat{x} + g_2\hat{y}). 2 \frac{\pi k_F^2}{(2\pi)^2} = Z, k_F = \sqrt{\frac{4\pi^2}{A^2}} (3) \text{3D 六角密 } a, c. U(\vec{r}) = \sum_{n=1}^m U(\vec{r} - \vec{R}_n) = \sum_{n=1}^m \sum_G U(G) e^{iG(r-R_n)} = \sum_G S(\vec{G}) e^{iG \cdot r}. G = m_1 \vec{b}_1 + m_2 \vec{b}_2 + m_3 \vec{b}_3. a_1 = a(1, 0, 0), a_2 = a(-\frac{1}{2}, \frac{\sqrt{3}}{2}, 0), a_3 = c(0, 0, 1); b_1 = \frac{2\pi}{a}(1, \frac{1}{\sqrt{3}}, 0), b_2 = \frac{2\pi}{a}(0, \frac{2}{\sqrt{3}}, 0), b_3 = \frac{2\pi}{c}(0, 0, 1). \vec{G} = 2\pi[\frac{m_1}{a}\hat{x} + (-\frac{m_1}{\sqrt{3}a} + \frac{m_2}{\sqrt{3}a})\hat{y} + \frac{m_3}{c}\hat{z}]. S(\vec{G}) = 1 + e^{-i2\pi(\frac{2m_1}{3} + \frac{m_2}{3} + \frac{m_3}{2})} (i) \vec{G}_c(m_1, m_2 = 0, m_3 = \pm 1) S(G) = 0, U(\pm \vec{G}_c = 0) (ii) \vec{G} = \pm 2\vec{G}_c S(\pm 2\vec{G}_c) = 2, U(\pm 2\vec{G}_c \neq 0). U(\pm \vec{G}_c) = 0, \text{能区 } \uparrow, \text{容 } 4\text{Ne. (4) 开轨道 } d_{\text{BZ}} = G, B \perp \partial \text{BZ}. \frac{\hbar d\vec{k}}{dt} = \frac{-e\vec{v} \times \vec{B}}{c}. T = \int dt = \int_0^G \frac{ch}{evB} dk = \frac{chG}{evB}. \text{if } \vec{B} = B\hat{z}, \frac{dU_x}{dt} = \frac{-ev_y B}{mc}, \frac{dU_y}{dt} = \frac{ev_x B}{mc}, \frac{dU_z}{dt} = 0. (5) \text{K 的 De Hass-van Alphen } \Delta(\frac{1}{B}) = \frac{2\pi e}{\hbar c S}, S = \pi k_F^2, k_F = (\frac{3\pi^2 N}{V})^{\frac{1}{3}}. n = \frac{2}{a^3}, \Delta(\frac{1}{B}) = 5.46 \times 10^{-9} \text{G}^{-1}. \text{极值轨道 } A_n = (\frac{\hbar c}{eB})^2 S_n (S_n = \pi k_F^2) (6) \text{开轨道磁致 R. } \sigma_{yy} = S\sigma_0. \text{if } \omega_c \tau \ll 1. \overleftrightarrow{\sigma} = [Q^{-2}, Q^{-1}, 0, Q^{-1}, S, 0, 0, 0, 1] (Q = \omega_c \tau). \text{霍尔: } j_y = \sigma_{yx} E_x + \sigma_{yy} E_y = 0, E_y = \frac{-\sigma_{yx} E_x}{\sigma_{yy}} = \frac{-E_x}{SQ}. j_x = \sigma_{xx} E_x + \sigma_{xy} E_y = \frac{\sigma_0(S+1)E_x}{SQ^2}, \rho = \frac{E_x}{j_x} = \frac{SQ^2}{\sigma_0(S+1)} \text{Yan(1) 周期势 } V(x) = \{\frac{m\omega^2}{0, [(n-1)a+b, na-b]}\}. \bar{V} = \frac{1}{4b} \int_{-b}^b \frac{m\omega^2}{2} (b^2 - x^2) dx = \frac{b^2 m\omega^2}{6}. V_n = \frac{1}{a} \int_{-b}^b e^{\frac{-i2\pi n t}{a}} V(t) dt = \frac{1}{a} \int_{-b}^b \frac{m\omega^2}{2} (b^2 - t^2) e^{\frac{-i2\pi n t}{a}} dt = \frac{m\omega^2 b^2}{2\pi^3 n^3} (8 \sin \frac{n\pi}{2} - 4n\pi \cos \frac{n\pi}{2}). |2V_1| = \frac{8m\omega^2 b^2}{\pi^3}, |2V_2| = \frac{m\omega^2 b^2}{\pi^2}. (2) \text{紧束缚. (bcc): } a(\frac{\pm 1}{2}, \frac{\pm 1}{2}, \frac{\pm 1}{2}); (fcc) a(\frac{\pm 1}{2}, \frac{\pm 1}{2}, 0) \text{式晶格. 原胞长 } a, \text{内相对距 } b. s: \phi(r) = \frac{1}{\sqrt{N}} \sum_{R_m} [e^{ikR_m} \rho(r - R_m) + C_B e^{ikR_m} \rho(r - R_m^B)]. E(k) = E - J_0 - \sum e^{-ikR_m} J(R_m) = E - J_0 - (J_1 + e^{-ika} J_2) e^{-ik[(n-1)a+b]} = E - J_0 - 2J_1 \cos \frac{ka}{2} e^{-ik[(n-\frac{1}{2})a+b]}. \text{Cu 中 Zn. F 球相切 } \partial \text{BZ: } N = \frac{V k_F^3}{3\pi^2} = \frac{V\sqrt{3}\pi}{a^3}, \frac{2x+1}{1+x} = \frac{\sqrt{3}\pi}{4} (x = n(Zn) : n(Cu)) (4) \text{椭圆散 } \epsilon(\vec{k}) = \hbar^2 \sum_i \frac{k_i^2}{2m_i}. N = 2(\frac{L}{2\pi})^3 \frac{4}{3} (\frac{\epsilon}{\hbar^2})^{\frac{3}{2}} (m_x m_y m_z)^{\frac{1}{2}}. D(\epsilon) = \frac{dN}{d\epsilon} = \frac{V}{2\pi^2} (\frac{2}{\hbar^2})^{\frac{3}{2}} (m_x m_y m_z)^{\frac{1}{2}} \epsilon^{\frac{1}{2}}. U - U_0 = \frac{\pi^2}{6} g(\epsilon) (k_B T)^2, \frac{\partial U}{\partial T} = \frac{\pi^2}{3} g(\epsilon) k_B T^2, \text{自由: } C_V = \frac{\pi^2 k_B^2 T}{3} \frac{V}{2\pi^2} (\frac{2m}{\hbar^2})^{\frac{3}{2}} \epsilon_F^{\frac{1}{2}}; \text{椭圆: } C_V = \frac{\pi^2 k_B^2 T}{3} \frac{V}{2\pi^2} (\frac{2}{\hbar^2})^{\frac{3}{2}} (m_x m_y m_z)^{\frac{1}{2}} \epsilon_F^{\frac{1}{2}}. (4) \text{1D 导体 } \epsilon(k) = \epsilon_0 - \frac{\Delta}{2} \cos ka. T = 0, k_F \cdot v = \frac{de}{dk} = \frac{a\Delta}{2\hbar} \sin ka. z = \int v dt = \frac{\pi^2}{2eE} (\cos ka - 1), k = k_0 - \frac{eEt}{\hbar}, v = \frac{dZ}{dt} = \frac{\Delta a}{2\hbar} \sin k_0 a - \frac{eEa t}{\hbar}. v_{max} = \frac{\Delta a}{2\hbar}, \omega_{BO} = \frac{eEa}{\hbar}. E_F \text{ 以下均占, 以上均空: } j = \frac{-e}{L} \int_{-k_F}^{k_F} v(t) \frac{2dk}{2\pi} = \frac{e\Delta}{\pi\hbar} \sin k_F a \sin \frac{eEa t}{\hbar}. N = \frac{2k_F}{L} 2, j_{max} = \frac{e\Delta}{\pi\hbar} \sin k_F a = \frac{\Delta e}{\pi\hbar} \sin \frac{\pi n a}{2}. (5) \text{非抛 (i)} \frac{\hbar^2 k^2}{2m_e} = \epsilon(1 + \alpha\epsilon). g(\epsilon) = \frac{2}{(2\pi)^3} \int \frac{dS_{\epsilon}}{|\nabla_k E(k)|} \cdot \frac{\hbar^2 |k|}{m} = \nabla_k \epsilon (1 + 2\alpha\epsilon), g(\epsilon) = \frac{2}{(w\pi^3)} \int \frac{dS}{\hbar^2 |k|} m(1 + 2\alpha\epsilon) = \frac{4\pi k^2}{(2\pi)^3} \frac{m(1 + 2\alpha\epsilon)}{\hbar^2 k}, k = \frac{\sqrt{2m\epsilon(1 + \alpha\epsilon)}}{\hbar}, g(\epsilon) = \frac{m(1 + 2\alpha\epsilon)}{\pi^2 \hbar^3} \sqrt{2m\epsilon(1 + \alpha\epsilon)}. (5) \mu = 0.5eV. m_h^* = 2m_e^*, \mu = \frac{E_c + E_V}{2} + \frac{3k_B T}{4} \ln \frac{m_h^*}{m_e^*}. \text{设价带顶 } 0, \mu = 0.25eV + \frac{3k_B T_1}{4} \ln \frac{2m_e}{m_e}, \Delta\mu = \frac{3k_B \Delta T}{4} \ln 2. (6) \text{测带隙 } n = p \propto T^{\frac{3}{2}} e^{\frac{-E_g}{2k_B T}}, \sigma \propto \frac{1}{U}, \ln U = C + \frac{E_g}{2k_B T} (7) \text{有效质量 } E_{1,2}(\vec{k}) = E_V - \frac{\hbar^2}{2m} \{AK^2 \pm [B^2 k^4 + C^2(k_x^2 k_y^2 + k_y^2 k_z^2 + k_z^2 k_x^2)]\}. (i) [1, 0, 0] : k_x = k, k_y = k_z = 0. E(\vec{k}) = E_V - \frac{\hbar^2}{2m} (A \pm B) k_x^2, \frac{1}{m^*} = \frac{-d^2 E}{\hbar^2 dk^2} = \frac{A \pm B}{m}; (ii) \forall i, k_i = \frac{k}{\sqrt{3}}. E = E_V - \frac{\hbar^2}{2m} \{k^2 (A^2 \pm (B^2 + \frac{C^2}{3})^{\frac{1}{2}})\}, m^* = \frac{m}{A^2 \pm (B^2 + \frac{C^2}{3})^{\frac{1}{2}}} (8) \text{杂质轨道 } E_g = 0.23eV, \epsilon = 18, m_e^* = 0.015m. \text{电离 } E_d = \frac{e^4 m_e}{2\epsilon^2 \hbar^2}. \text{基态半径 } a_d = \frac{e\hbar^2}{mne^2}. \text{杂质重叠临界浓度 (fcc): } V = Na^3, N_0 = \frac{3V}{4\pi a_d^3}, C_{\min} = \frac{N_0}{4N}. (9) \sigma_{\min} \cdot \sigma = ne\mu_n + pe\mu_p, np = n_i^2, \sigma = \frac{n_i^2 e \mu_n}{p} + pe\mu_p. \text{Min: } p = \sqrt{\frac{\mu_n}{\mu_p}} n_i, n = \sqrt{\frac{\mu_p}{\mu_n}} n_i. \sigma = 2n_i e \sqrt{\mu_n + \mu_p}, \sigma_i = n_i e (\mu_n + \mu_p), \sigma = \frac{2\sigma_i \sqrt{\mu_n \mu_p}}{\mu_n + \mu_p}. (10) \text{施主电离. 施主密度 } n = 10^{13} \text{cm}^{-3}, \text{电离 } E_d = 1\text{meV}, m^* = 0.01m. \text{低温 } (k_B T \ll E_g), n \approx (n_0 N_d)^{\frac{2}{3}} e^{\frac{-E_d}{k_B T}} (n_0 = 2(\frac{m_e k_B T}{2\pi \hbar^2})^{\frac{3}{2}}). \text{霍尔系数 } R_H = \frac{-1}{nec} (11) \text{双载流 (i) 霍尔迁移率 } \mu_h = \frac{e\tau_h}{m_h c}, \mu_e = \frac{e\tau_e}{m_e c}. \vec{E} = E_x \hat{x}, \vec{B} = B_z \hat{z}. (E_y)_h = \frac{e\tau_h B_z E_x}{m_h c} = \frac{\mu_h B_z E_x}{c}, (E_y)_h = \frac{-e\tau_e B_z E_x}{m_e c} = \frac{-\mu_e B_z E_x}{c} \cdot \hat{y} : (j_y)_h = \sigma_h (E_y)_h = -pe\mu_h \frac{\mu_h B_z E_x}{c}, (j_y)_e = \sigma_e (E_y)_e = ne\mu_e \frac{\mu_e B_z E_x}{c}. j_y = (j_y)_h + (j_y)_e = \frac{e}{c} (n\mu_e^2 - p\mu_h^2) B_z E_x. \text{霍尔电场 } \sigma E_y + j_y = 0, E_y = \frac{(p\mu_h^2 - n\mu_e^2) B_z E_x}{c(p\mu_h + n\mu_e)}. j_x = (pe\mu_h + ne\mu_e) E_x, E_y = \frac{(p - nb^2) B_z j_x}{(p + nb^2)^2 ec} (b = \frac{\mu_e}{\mu_h}). R_H = \frac{E_y}{j_x B_z}. (ii) \text{磁致 R. 强 } \vec{B} (\omega_c \tau \gg 1). m_e (\frac{d}{dt} + \frac{1}{\tau_e}) v_e = -e(\vec{E} + \frac{\vec{v}_e}{c} \times \vec{B}), m_h (\frac{d}{dt} + \frac{1}{\tau_h}) v_h = e(\vec{E} + \frac{\vec{v}_h}{c} \times \vec{B}). \vec{B} = B\hat{z}. \text{稳定: } \frac{dv_e}{dt} = \frac{dv_h}{dt} = 0. v_{ex} = \frac{-e\tau_e E_x}{m_e} - \frac{e\tau_e B v_{ey}}{cm_e} = \frac{-e\tau_e E_x}{m_e} - \omega_e \tau_e v_{ey}; v_{ey} = \frac{e\tau_e E_y}{m_e} + \omega_e \tau_e v_{ex}; v_{ez} = \frac{-e\tau_e E_z}{m_e} (v_e = \frac{eB}{m_e c}). \text{解 } v_{ex} = \frac{-e\tau_e (B_x - \omega_e \tau_e B_y)}{m_e [1 + (\omega_e \tau_e)^2]}, v_{ey} = \frac{-e\tau_e (E_y + \omega_e \tau_e E_x)}{m_e [1 + (\omega_e \tau_e)^2]}, v_e = \frac{-e\tau_e E_x}{m_e} \cdot \text{空穴: 变e, 换h. 设 } a = \frac{e\tau_e}{m_e [1 + (\omega_e \tau_e)^2]}, b = \frac{e\tau_h}{m_h [1 + (\omega_h \tau_h)^2]}. j = e(pv_h - nv_e), j_x = e[(pb + na)E_x + (pb\omega_h \tau_h - na\omega_e \tau_e)E_y]; j_y = e[(na\omega_e \tau_e - pb\omega_h \tau_h)E_x + (pb + na)E_y]; j_z = e^2 [\frac{\tau_h p}{m_h} + \frac{\tau_e n}{m_e}] E_z. j_y = \sigma_{yx} E_x + \sigma_{yy} E_y + \sigma_{yz} E_z, \sigma_{yx} = e(na\omega_e \tau_e - pb\omega_h \tau_h) = e[\frac{n\tau_e \omega_e \tau_e}{m_e [1 + (\omega_e \tau_e)^2]} - \frac{p\tau_h \omega_h \tau_h}{m_h [1 + (\omega_h \tau_h)^2]}] \approx e[\frac{ne}{m_e \omega_e} - \frac{pe}{m_h \omega_h}] \approx \frac{ec(n-p)}{B}. \text{霍尔电场: } j_y = 0, E_y = \frac{(pb\omega_h \tau_h - na\omega_e \tau_e) E_x}{pb + na}, Q_i = \omega_i \tau_e \ll 1, E_y \approx (\frac{pe\tau_h}{m_h Q_h^2} + \frac{n\tau_e \tau_e}{m_e Q_e^2})^{-1} (\frac{pe\tau_h}{m_h Q_h} - \frac{n\tau_e \tau_e}{m_e Q_e}) E_x = -(n-p)(\frac{p}{Q_h} + \frac{n}{Q_e})^{-1} E_x. \text{有效 } \sigma \cdot j_x = \sigma_{\text{eff}} E_x = e[(pb + na)E_x + (pbQ_h - naQ_e)E_y] \approx e[(\frac{pe\tau_h}{m_h Q_h^2} + \frac{n\tau_e \tau_e}{m_e Q_e^2}) E_x + (\frac{pe\tau_h}{m_h Q_h} - \frac{n\tau_e \tau_e}{m_e Q_e}) E_y], Q = \omega\tau = \frac{eB\tau}{mc}, j_x \approx \frac{ec}{B} [(\frac{p}{Q_h} + \frac{n}{Q_e}) E_x + (p-n)E_y] = \frac{ec}{B} [(\frac{p}{Q_h} + \frac{n}{Q_e}) E_x + (p-n)^2 (\frac{p}{Q_h} + \frac{n}{Q_e})^{-1} E_x] = \sigma_{\text{eff}} E_x. (12) \text{椭圆色散与斜 H. } \epsilon = \sum_i \frac{\hbar^2 k_i^2}{2m_i}, \vec{H} : \alpha, \beta, \gamma (\text{方向余弦}). \vec{v}(k) = \frac{\nabla_k E}{\hbar} = \sum_i \frac{\hbar k_i}{m_i} \hat{x}_i, B = B(\alpha\hat{x} + \beta\hat{y} + \gamma\hat{z}). \frac{\hbar d\vec{k}}{dt} = -\hbar eB[(\frac{k_1}{m_1} \hat{x} + \frac{k_2}{m_2} \hat{y} + \frac{k_3}{m_3} \hat{z}) \times (\alpha\hat{x} + \beta\hat{y} + \gamma\hat{z})]. \text{方程组 } \frac{dk_1}{dt} = -eB(\frac{k_2 \alpha}{m_2} - \frac{k_3 \beta}{m_3}); \frac{dk_2}{dt} = -eB(\frac{k_3 \alpha}{m_3} - \frac{k_1 \alpha}{m_1}), \frac{dk_3}{dt} = -eB(\frac{k_1 \beta}{m_1} - \frac{k_2 \alpha}{m_2}). \text{试解 } k_i = k_{i0} e^{i\omega t}, \text{系数行列式: } \text{Det}[i\omega, \frac{eB\alpha}{m_2}, \frac{-eB\beta}{m_3}, \frac{-eB\alpha}{m_1}, i\omega, \frac{eB\alpha}{m_3}, \frac{eB\beta}{m_1}, \frac{-eB\alpha}{m_2}, i\omega] = 0, \omega = eB \sqrt{\frac{\alpha^2}{m_2 m_3} + \frac{\gamma^2}{m_1 m_2} + \frac{\beta^2}{m_1 m_3}} = \frac{Be}{m^*} (13) \text{圆筒 F 面 } S = \frac{\pi r^2}{\cos \alpha}, \Delta(\frac{1}{B}) = \frac{2\pi e}{\hbar S} = \frac{2e \cos \alpha}{\hbar r^2}. \text{回旋质量: } \vec{B} = B \sin \alpha \hat{x} + B \cos \alpha \hat{z}. E = \frac{\hbar^2}{2m} (k_x^2 + k_y^2). \vec{v}_k = \frac{\nabla E}{\hbar} = \frac{\hbar}{m} (k_x \hat{x} + k_y \hat{y}). \text{方程组 } \frac{dk_x}{dt} = -\frac{eB \cos \alpha k_y}{m}; \frac{dk_y}{dt} = \frac{eB \cos \alpha k_x}{m}; \frac{dk_z}{dt} = \frac{eB k_0 \sin \alpha}{m}, k_y(t) = k_y(0) e^{\frac{ieB \cos \alpha t}{m}}. (14) \text{非抛 (ii) 色散: } \frac{\hbar^2 |\vec{k}|^2}{2m} = E(1 + \alpha E). \frac{\hbar^2 |k|}{m} = \nabla_k E(1 + 2\alpha E), \vec{v} = \frac{\nabla_k E}{\hbar} E, \hbar \frac{d\vec{k}}{dt} = -e\vec{v} \times \vec{B} = \frac{-e\hbar B}{m(1 + 2\alpha E)}, T = \oint \frac{d\vec{k}}{|\frac{d\vec{k}}{dt}|} = \frac{2\pi k}{m(1 + 2\alpha E)} = \frac{2\pi m(1 + 2\alpha E)}{eB}, \omega = \frac{eB}{m(1 + 2\alpha E)}, m_c^* = m(1 + 2\alpha E). (15) \text{铁磁态 (Jellium) 完全极化: } V' = 2V, k_F' = 2^{\frac{1}{3}} k_F, \frac{3\epsilon_F}{5} \propto k_F^2, \frac{3e^2 k_F}{5} \propto k_F, (2^{\frac{1}{3}} - 1) \frac{2.21}{(\frac{r_s}{a_0})^2} - (2^{\frac{1}{3}} - 1) \frac{0.916}{(\frac{r_s}{a_0})} < 0, \frac{r_s}{a_0} > 5.45 (16) \text{Jellium 发散 } \phi_i = \frac{e^{i\vec{k}_i \cdot \vec{r}}}{\sqrt{\Omega}}, E_2 = \frac{-e^2}{2} \sum_{ij} \langle \phi_i \phi_j | \frac{1}{|\vec{r} - \vec{r}'|} | \phi_i \phi_j \rangle = \frac{-e^2 N^2}{2\Omega^2} \int \frac{e^{-i\vec{k}_i \cdot \vec{r}} e^{-i\vec{k}_j \cdot \vec{r}'} e^{i\vec{k}_i \cdot \vec{r}} e^{i\vec{k}_j \cdot \vec{r}'}}{|\vec{r} - \vec{r}'|} d\vec{r} d\vec{r}' = \frac{-e^2 N^2}{2\Omega^2} \int \frac{d\vec{r} d\vec{r}'}{|\vec{r} - \vec{r}'|}. \text{Avg. } \frac{E_2}{N} = \frac{-e^2 N}{2\Omega^2} \int \frac{d\vec{r} d\vec{r}'}{|\vec{r} - \vec{r}'|} \propto \frac{N}{\Omega^2} \int \frac{d(\vec{r} - \vec{r}') d(\vec{r} + \vec{r}')}{|\vec{r} - \vec{r}'|} \propto N^{\frac{3}{2}}.$$