

# **ADVANCED QUANTUM MECHANICS**

<https://github.com/Muatyz/review-sheet>

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# Contents

<b>第一章 Homework</b>	<b>2</b>
1.1 Homework 1 . . . . .	2
1.1.1 Hermitian operators . . . . .	2
1.1.2 Matrix diagonalization and unitary transformation . . . . .	5
1.2 Homework 2 . . . . .	6
1.2.1 Angular momentum for 4-dimensional space . . . . .	6
1.2.2 Harmonic oscillator . . . . .	8
1.3 Homework 3 . . . . .	10
1.3.1 Schwinger boson representation . . . . .	10
1.3.2 1D tight-binding model . . . . .	12
1.4 Homework 4 . . . . .	14
1.4.1 Mean-field Solutions for Extended Hubbard Model . . . . .	14
1.5 Homework 5 . . . . .	17
1.5.1 Quantum Rotor Model . . . . .	17
<b>第二章 2022秋高等量子力学期末考核</b>	<b>19</b>
2.1 单项选择 . . . . .	19
2.2 多项选择 . . . . .	22
2.3 简答题 . . . . .	23
2.4 应用题 . . . . .	25

# 第一章 Homework

## 1.1 Homework 1

### 1.1.1 Hermitian operators

1. **Prove theorem 1: If  $A$  is Hermitian operator, then all its eigenvalues are real numbers, and the eigenvectors corresponding to different eigenvalues are orthogonal.**
2. **Prove theorem 2: If  $A$  is Hermitian operator, then it can be always diagonalized by unitary transformation.**



3. **Prove theorem 3:** Two diagonalizable operators  $A$  and  $B$  can be simultaneously diagonalized if, and only if,  $[A, B] = 0$ .

### 1.1.2 Matrix diagonalization and unitary transformation

1. Diagonalizing a matrix  $L$  corresponds to finding a unitary transformation  $V$  such that  $L = V\Lambda V^\dagger$ , where  $\Lambda$  is a diagonal matrix whose diagonal elements are eigenvalues,  $V$  is an unitary matrix whose column vectors are the corresponding eigenstates. Find a unitary matrix  $V$  that can diagonalize the Pauli matrix  $\sigma_{(z)}^x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ , and find the eigenvalues of  $\sigma_{(z)}^x$ .

2. The three components of the spin angular momentum operator  $\vec{S}$  for spin-1/2 are  $S^x$ ,  $S^y$ , and  $S^z$ . If we use the  $S^z$  representation, their matrix representations are given by  $\vec{S} = \frac{\hbar}{2}\vec{\sigma}$ , where the three components of  $\vec{\sigma}$  are the Pauli matrices  $\sigma^x$ ,  $\sigma^y$ , and  $\sigma^z$ .

Now consider using the  $S^x$  representation. Please list the order of basis vectors you have chosen in the  $S^x$  representation, and calculate the matrix representations of the three components of the operator  $\vec{S}$  in this representation.

## 1.2 Homework 2

### 1.2.1 Angular momentum for 4-dimensional space

Consider a 4-dimensional space with coordinates  $(x, y, z, w)$ .

1. Show that the operators  $L_i = \epsilon_{ijk}x_jp_k$  and  $K_i = wp_i - x_ip_w$  generate rotations in this space by showing that the transformations generated by these operators leave the four dimensional radius, defined by  $R^2 = x^2 + y^2 + z^2 + w^2$ , invariant.

2. Compute the commutators  $[L_i, K_j]$  and  $[K_i, K_j]$ .



### 1.2.2 Harmonic oscillator

1. Find the energy eigenvalues  $E_n$  and the corresponding wave functions  $\psi_n(x)$  for a one-dimensional quantum harmonic oscillator system.

2. Calculate  $\langle m|x|n\rangle$ ,  $\langle m|p|n\rangle$ ,  $\langle m|x^2|n\rangle$ , and  $\langle m|p^2|n\rangle$ .

3. Assume the quantum harmonic oscillator is in a thermal bath at temperature  $T$ ; find the partition function  $Z$  and the average energy  $\langle E \rangle$  of the system.

4. Prove that the inner product of coherent states is given by:

$$\langle \alpha | \beta \rangle = e^{-\frac{1}{2}(|\alpha|^2 + |\beta|^2) + \alpha^* \beta}$$

## 1.3 Homework 3

### 1.3.1 Schwinger boson representation

A two-dimensional quantum harmonic oscillator contains two decoupled free bosons, whose annihilation operators can be represented as  $a$  and  $b$  respectively.  $a = \frac{1}{\sqrt{2}}(x + ip_x)$ ,  $b = \frac{1}{\sqrt{2}}(y + ip_y)$ . They satisfy the commutation relations  $[a, a^\dagger] = [b, b^\dagger] = 1$  and  $[a, b] = [a, b^\dagger] = 0$ . This system has  $U(2)$  symmetry, which includes an  $SU(2)$  subgroup. Let's explore how to construct the  $SU(2)$  representation using bosonic operators. Define  $S^x = \frac{1}{2}(a^\dagger b + b^\dagger a)$ ,  $S^z = \frac{1}{2}(a^\dagger a - b^\dagger b)$ .

1. Express  $S^y$  in terms of  $a$  and  $b$ . [Hint: Make  $\vec{S} \times \vec{S} = i\vec{S}$ ]

2. Prove that  $S^y$  is actually related to the angular momentum operator of the harmonic oscillator  $L = xp_y - yp_x$ , namely  $S^y = \frac{L}{2}$ .

□

3. Define the following set of states, where  $s = 0, 1/2, 1, \dots$ , and  $m = -s, -s+1, \dots, s-1, s$  (they are called the Schwinger boson representation),

$$|s, m\rangle = \frac{(a^\dagger)^{s+m}}{\sqrt{(s+m)!}} \frac{(b^\dagger)^{s-m}}{\sqrt{(s-m)!}} |\Omega\rangle$$

where  $|\Omega\rangle$  is the state annihilated by  $a$  and  $b$ , i.e.,  $a|\Omega\rangle = b|\Omega\rangle = 0$ . Prove that the state  $|s, m\rangle$  is indeed a simultaneous eigenstate of  $\vec{S}^2 = (S^x)^2 + (S^y)^2 + (S^z)^2$  and  $S^z$ , with eigenvalues  $s(s+1)$  and  $m$  respectively. [Hint: Use the particle number basis.]

□

### 1.3.2 1D tight-binding model

The Hamiltonian of a periodic tight-binding chain of length  $L$  is given by the following expression:

$$H_{\text{chain}} = -t \sum_{n=1}^L \left( \hat{a}_n^\dagger \hat{a}_{n+1} + \hat{a}_{n+1}^\dagger \hat{a}_n \right)$$

where  $t$  is the hopping matrix element between adjacent sites  $n$  and  $n+1$ ,  $\hat{a}_n^\dagger$  creates a fermion at site  $n$ , and the set of operators  $\{\hat{a}_n^\dagger, \hat{a}_n; n = 1, \dots, L\}$  satisfies the standard anticommutation relations:

$$\{\hat{a}_n, \hat{a}_{n'}^\dagger\} = \delta_{nn'}, \quad \{\hat{a}_n, \hat{a}_{n'}\} = 0, \quad \{\hat{a}_n^\dagger, \hat{a}_{n'}^\dagger\} = 0$$

We assume periodic boundary conditions, i.e., we consider  $\hat{a}_{L+n}^\dagger = \hat{a}_n^\dagger$ . The purpose of this problem is to prove that this Hamiltonian can be diagonalized by a linear transformation of the discrete Fourier transform form:

$$b_k^\dagger = \frac{1}{\sqrt{L}} \sum_{n=1}^L e^{ikn} \hat{a}_n^\dagger$$

1. Let's require that  $b_k^\dagger$  remains invariant under any shift of the summation index  $n \rightarrow n + n'$  ("translation invariance"). Prove that this implies that the index  $k$  is quantized and determine the set of allowed  $k$  values. How many independent  $b_k^\dagger$  operators are there?

2. Verify that the set of  $b_k$  and  $b_k^\dagger$  operators also satisfies the above standard anticommutation relations. That is:

$$\{b_k, b_{k'}^\dagger\} = \delta_{kk'}, \quad \{b_k, b_{k'}\} = 0, \quad \{b_k^\dagger, b_{k'}^\dagger\} = 0$$

**Hint:** Use the identity  $\sum_{m=1}^L e^{i\frac{2\pi}{L}m} = 0$ .

3. Prove that the inverse transformation of the above has the form:

$$a_n^\dagger = \frac{1}{\sqrt{L}} \sum_k e^{-ikn} b_k^\dagger$$

where the sum is over the set of allowed  $k$  values determined in (a).

4. Show that  $b_k^\dagger$  is indeed a creation operator of a single-particle eigenstate of  $H_{\text{chain}}$  by proving that its commutator with the Hamiltonian has the form  $[H_{\text{chain}}, b_k^\dagger] = \varepsilon_k b_k^\dagger$ . Give the explicit expression for the corresponding eigenvalue  $\varepsilon_k$ .

## 1.4 Homework 4

### 1.4.1 Mean-field Solutions for Extended Hubbard Model

The Hamiltonian of the extended Hubbard model can be written as:

$$\hat{H} = -t \sum_{\langle i,j \rangle, \sigma} \left( c_{i\sigma}^\dagger c_{j\sigma} + \text{h.c.} \right) + U \sum_i n_{i\uparrow} n_{i\downarrow} + V \sum_{\langle i,j \rangle} n_i n_j$$

where:

- $c_{i\sigma}^\dagger$  and  $c_{i\sigma}$  are the fermionic creation and annihilation operators for an electron with spin  $\sigma$  at site  $i$ .
- $n_{i\sigma} = c_{i\sigma}^\dagger c_{i\sigma}$  is the number operator for electrons with spin  $\sigma$  at site  $i$ .
- $n_i = \sum_{\sigma} c_{i\sigma}^\dagger c_{i\sigma}$  is the number operator for total electrons at site  $i$ .
- $U > 0$  is the strength of the on-site interaction between electrons.
- $V > 0$  is the strength of the interaction between electrons at neighboring sites.
- $t > 0$  is the hopping strength of the electrons.

**We consider the case of half-filling for two lattice sites ( $\langle N \rangle = \langle n_{1\uparrow} + n_{1\downarrow} + n_{2\uparrow} + n_{2\downarrow} \rangle$ ). In the mean-field approximation, calculate the ground state energy  $E_{\text{MF}}$ . Please consider initial mean-field values with following four cases.**

1. **Case 1: Paramagnetic(PM). Initial mean-field value  $\langle n_{i\sigma} \rangle = \frac{1}{2}$ .**

2. **Case 2: Ferromagnetic(FM). Initial mean-field value  $\langle n_{i\uparrow} \rangle = 1$  and  $\langle n_{i\downarrow} \rangle = 0$ .**



3. **Case 3: Anti-ferromagnetic(AFM). Initial mean-field value  $\langle n_{1\uparrow} \rangle = \langle n_{2\downarrow} \rangle = 1 - \alpha$  and  $\langle n_{1\downarrow} \rangle = \langle n_{2\uparrow} \rangle = \alpha$ .**

4. **Case 4: Charge density wave(CDW). Initial mean-field value  $\langle n_{1\uparrow} \rangle = \langle n_{1\downarrow} \rangle = 1 - \alpha$  and  $\langle n_{2\uparrow} \rangle = \langle n_{2\downarrow} \rangle = \alpha$ .**

## 1.5 Homework 5

### 1.5.1 Quantum Rotor Model

The angular coordinate of a quantum rotor is  $\theta \in [0, 2\pi)$ , note that  $\theta \pm 2\pi$  and  $\theta$  are equivalent. The eigenstate of the operator  $\hat{\theta}$  is represented by  $|\theta\rangle$ , and  $\theta \pm 2\pi$  represents the same state as  $|\theta\rangle$ . Define the rotation operator for the quantum rotor as  $\hat{R}(\alpha)$ ,

$$\hat{R}(\alpha) = \int_0^{2\pi} d\theta |\theta - \alpha\rangle \langle \theta|$$

Thus  $\hat{R}(\alpha)|\theta\rangle = |\theta - \alpha\rangle$ , and  $\hat{R}(2\pi)$  is the identity operator.

The rotation operator  $\hat{R}(\alpha)$  is a unitary operator, its generator is the Hermitian operator  $\hat{N}$ , which is related to the angular momentum operator of the quantum rotor  $\hat{L}$  by  $\hat{L} = \hbar\hat{N}$ , so  $\hat{R}(\alpha) = e^{i\hat{N}\alpha}$ , and in the  $\hat{\theta}$  representation, we have  $\hat{N} = -i\frac{\partial}{\partial\theta}$ .

Consider a specific quantum rotor model, its Hamiltonian is

$$\hat{H} = \frac{1}{2} \left( \hat{N} - \frac{1}{2} \right)^2 - g \cos 2\hat{\theta}$$

where  $g \cos 2\hat{\theta}$  is a small external potential, which can be treated as a perturbation. Assuming  $|N\rangle$  is the eigenstate of the operator  $\hat{N}$  with eigenvalue  $N$ , i.e.,  $\hat{N}|N\rangle = N|N\rangle$ . It can be calculated that  $|N\rangle$  is expanded in terms of  $|\theta\rangle$  as

$$|N\rangle = \frac{1}{\sqrt{2\pi}} \int_0^{2\pi} d\theta e^{iN\theta} |\theta\rangle$$

1. Use the fact that  $\hat{R}(2\pi)$  is the identity operator to prove that  $N$  must be an integer.

2. Consider the unperturbed Hamiltonian  $\hat{H}_0 = \frac{1}{2} \left( \frac{1}{2} \hat{N} - \frac{1}{2} \right)^2$ , prove that  $|N\rangle$  is also an eigenstate of  $\hat{H}_0$ , and find its eigenenergy, demonstrating that each energy level is doubly degenerate.
3. Using the basis set  $\{|N\rangle\}$ , write down the representation matrix for the perturbation term  $\hat{V} = -g \cos 2\hat{\theta}$ , and prove that the perturbation does not connect degenerate levels (i.e., if  $|N\rangle$  and  $|N'\rangle$  are degenerate, then  $\langle N|\hat{V}|N'\rangle = 0$ ). Therefore, although the energy levels of  $\hat{H}_0$  are degenerate, we can still use non-degenerate perturbation theory.
4. Calculate the perturbation correction to each energy level  $E_N$  up to second order in  $g$ , and prove that all degeneracies of the energy levels remain unlifted.

## 第二章 2022秋高等量子力学期末考核

### 2.1 单项选择

1. 让大量热化的自旋通过 Stern-Gerlach 装置SG,测得  $S_z^+$  的概率是?
2. Pauli 矩阵  $\sigma^x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ ,  $\sigma^y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ ,  $\sigma^z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ , 那么  $\sigma^x \sigma^z$  等于?
3. 混态可以用混态的密度矩阵来描述. 假设系统处于态  $|\phi_i\rangle$  的概率为  $p_i$ , 注意  $\sum_i p_i = 1$ , 那么该系统的密度矩阵为  $\rho = \sum_i |\phi_i\rangle p_i \langle \phi_i|$ , 那么  $\text{Tr}[\rho]$  应满足?
4. 如果  $\rho$  是混态的密度矩阵, 那么  $\text{Tr}[\rho^2]$  应满足?
5. 考虑系统哈密顿量  $H$  不显含时间, 时间演化算符为  $U(t, 0) = e^{-iHt/\hbar}$ . 在海森堡绘景中, 我们让算符承载时间演化, 海森堡绘景中的算符定义为  $A_H(t) = U^\dagger(t, 0)AU(t, 0)$ , 其中  $A$  是薛定谔绘景中的算符, 如果  $A$  不显含时间, 那么  $dA_H(t)/dt$  等于?
6. 电磁场中电荷为  $q$  的单粒子哈密顿量为  $H = \frac{(\vec{p} - q\vec{A})^2}{2m} + q\phi$ , 那么薛定谔方程  $i\hbar \frac{\partial \psi}{\partial t} = H\psi$  满足规范不变性:  $\vec{A} \rightarrow \vec{A} - \nabla\Lambda$ ,  $\phi \rightarrow \phi + \frac{\partial \Lambda}{\partial t}$ ,  $\psi \rightarrow ?$



7. 角动量的对易关系为  $[J_i, J_j] = i\hbar\epsilon_{ijk}J_k$ , 升降算符定义为  $J_{\pm} = J_x \pm iJ_y$ , 那么  $[J_+, J_-] = ?$

8. 二维谐振子的哈密顿量为  $H = \hbar\omega \left( a_1^\dagger a_1 + a_2^\dagger a_2 + 1 \right)$  其第一激发态的简并度为?

9. 量子比特  $A$  和  $B$  构成双量子比特体系, 双量子比特态  $|\psi\rangle$  中量子比特  $A$  的纠缠熵定义为  $S(A) = -\text{Tr}[\rho_A \ln \rho_A]$ , 其中  $\rho_A$  是约化密度矩阵, 由密度矩阵求迹掉量子比特  $B$  的自由度得到. 考虑自旋单态  $|\psi\rangle = \frac{1}{\sqrt{2}}(|\uparrow\downarrow\rangle - |\downarrow\uparrow\rangle)$ , 计算可得量子比特  $A$  的纠缠熵为?

10. 假设哈密顿量  $H$  是厄密的, 其基态能量为  $E_0$ , 给定某个态  $\Psi$ , 测得能量期望值为  $E[\Psi] = \frac{\langle \Psi | H | \Psi \rangle}{\langle \Psi | \Psi \rangle}$ ,  $E(\Psi)$  和  $E_0$  的关系为?

## 2.2 多项选择

1. 与总角动量算符的平方  $\vec{J}^2$  对易的算符在  $(J_x, J_y, J_z, J_+, J_-)$  中有?
2. 在原子单位制下  $\hbar = c = 1$ , 和能量同单位的量在 (距离, 动量, 时间, 质量, 角动量) 中有?
3. 宇称算符  $\mathbb{P}$  连续作用两次为恒等变换, 这说明宇称算符  $\mathbb{P}$  的本征值在  $(0, 1, -1, i, -i)$  中有?

4. 如果算符  $A$  满足  $A^2 = A$ , 那么算符  $A$  的本征值有  $(0, 1, -1, i, -i)$  中有?
5. 玻色子产生和湮灭算符满足对易关系  $[b_\alpha^\dagger, b_\beta^\dagger] = [b_\alpha, b_\beta] = 0$ ,  $[b_\alpha, b_\beta^\dagger] = \delta_{\alpha\beta}$ , 那么和总粒子数算符  $N = \sum_\alpha b_\alpha^\dagger b_\alpha$  对易的算符在  $(b_\alpha, b_\alpha^\dagger b_\alpha, b_\alpha^\dagger b_\beta, b_\alpha^\dagger b_\beta b_\mu, b_\alpha^\dagger b_\beta b_\mu^\dagger b_\nu)$  中有?

## 2.3 简答题

1. 中心势场中的单粒子哈密顿量为  $H = \frac{\vec{p}^2}{2M} + V(r)$ . 轨道角动量  $\vec{L} = \vec{r} \times \vec{p}$ , 那么  $[\vec{L}, H] = ?$



2. 考虑一阶近似, 当  $i \neq f$  时, 跃迁概率为

$$P_{i \rightarrow f}(t) = \frac{1}{\hbar^2} \left| \int_0^t dt' \langle f | V(t') | i \rangle e^{i\omega_{fi}t'} \right|^2$$

其中  $\hbar\omega_{fi} = E_f - E_i$ . 当微扰为

$$V(t) = \begin{cases} V e^{-i\omega t} & t > 0 \\ 0 & t < 0 \end{cases}$$

跃迁概率为?

3. \*

4. 动量空间中自由粒子的 **Dirac** 方程可以写为

$$(E - \vec{\sigma} \cdot \vec{p}) \chi_+(\vec{p}) = m \chi_-(\vec{p}), \quad (E + \vec{\sigma} \cdot \vec{p}) \chi_-(\vec{p}) = m \chi_+(\vec{p})$$

当质量  $m = 0$  时, 两个 **Weyl** 旋量之间没有耦合, 得到动量空间中的 **Weyl** 方程

$$(E - \vec{\sigma} \cdot \vec{p}) \chi_+ = 0, \quad (E + \vec{\sigma} \cdot \vec{p}) \chi_- = 0$$

定义螺旋度算符为  $\frac{1}{2} \hat{p} \cdot \vec{\sigma}$ , 其中  $\hat{p} = \frac{\vec{p}}{|\vec{p}|}$ , 那么可知 **Weyl** 旋量  $\chi_{\pm}$  恰好是螺旋度算符的本征态, 本征值分别为?

5.

2.4 应用题

1. 矩阵对角化和表象变换

- (a) 对角化矩阵  $L$  就是去找到幺正变换  $V$ , 使得  $L = V\Lambda V^\dagger$ , 其中  $\Lambda$  是一个对角矩阵, 它的对角元是本征值.  $V$  是一个幺正矩阵, 它的列矢量是本征矢, 和  $\Lambda$  中的本征值一一对应. 找到一个能对角化 **Pauli** 矩阵  $\sigma^x = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$  的幺正矩阵  $V$ , 并找到  $\sigma^x$  的本征值.

- (b) 自旋  $1/2$  的自旋角动量算符  $\vec{S}$  的三个分量为  $S^x, S^y, S^z$ . 如果采用  $S^z$  表象, 它们的矩阵表示为  $\vec{S} = \frac{\hbar}{2}\vec{\sigma}$ , 其中  $\vec{\sigma}$  的三个分量为 **Pauli** 矩阵  $\sigma^x, \sigma^y, \sigma^z$ . 现在考采用  $S^x$  表象, 请列出  $S^x$  表象中你约定的基矢顺序, 并求出在该表象下算符  $\vec{S}$  的三个分量的矩阵表示.

## 2. 谐振子问题

一维谐振子的哈密顿量为

$$H = \frac{p^2}{2m} + \frac{1}{2}m\omega^2 x^2$$

坐标算符  $x$  和动量算符  $p$  满足对易式  $[x, p] = i\hbar$ . 对动量算符和坐标算符进行重新标度

$$p = P\sqrt{\hbar m\omega}, \quad x = Q\sqrt{\frac{\hbar}{m\omega}}$$

注意新的坐标算符  $Q$  和动量算符  $P$  是无量纲的, 哈密顿量重新写为

$$H = \frac{1}{2}\hbar\omega(P^2 + Q^2)$$

引入玻色子产生和湮灭算符,  $a^\dagger$  和  $a$ .

$$a = \frac{1}{\sqrt{2}}(Q + iP), \quad a^\dagger = \frac{1}{\sqrt{2}}(Q - iP)$$

(a) 计算  $[Q, P]$ ,  $[a, a^\dagger]$ ,  $[a, a^\dagger a]$ ,  $[a^\dagger, a^\dagger a]$ ;

(b) 将哈密顿量  $H$  用  $a$  和  $a^\dagger$  表示, 并求出全部能级;

(c) 在能量表象中, 计算  $a$  和  $a^\dagger$  的矩阵元.

## 3. 角动量耦合

两个大小相等, 属于不同自由度的角动量  $\vec{J}_1$  和  $\vec{J}_2$  耦合成总角动量  $\vec{J} = \vec{J}_1 + \vec{J}_2$ , 设  $\vec{J}_1^2 = \vec{J}_2^2 = j(j+1)\hbar^2$ ,  $J^2 = J(J+1)\hbar^2$ ,  $J = 2j, 2j-1, \dots, 1, 0$ . 在总角动量量子数  $J = 0$  的状态下, 求  $J_{1,z}$  和  $J_{2,z}$  的可能取值及相应概率.

## 4. 自旋-1 模型

考虑自旋-1 体系, 自旋算符为  $\vec{S}$ , 考虑  $(\vec{S}^2, S^z)$  表象, 基矢顺序为  $|1, 1\rangle, |1, 0\rangle, |1, -1\rangle$ , 简记为  $|+1\rangle, |0\rangle, |-1\rangle$ . 设  $\hbar = 1$ .

(a) 写出  $S^x$  和  $S^z$  的矩阵表示.

- (b) 考虑哈密顿量  $H(\lambda) = H_0 + \lambda V$ , 其中  $H_0 = (S^z)^2$ ,  $V = S^x + S^z$ . 考虑为  $\lambda V$  微扰, 利用微扰论计算微扰后的各能级和各能态, 其中能级微扰准确到二阶, 能态微扰准确到一阶.

## 5. 均匀电子气

考虑三维相互作用均匀电子气, 哈密顿量为  $H = H_0 + H_I$ . 考虑系统体积为  $V = L^3$ , 每个方向的系统尺寸为  $L$ . 采用箱归一化, 所以  $\vec{k}$  是离散的,  $\vec{k} = \frac{2\pi}{L}(n_x, n_y, n_z)$ ,  $n_x, n_y, n_z$  为整数. 采用二次量子化的语言, 可给出哈密顿量在动量空间的形式.  $H_0$  为单体部分:

$$H_0 = \sum_{\vec{k}\sigma} \varepsilon_{\vec{k}} c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma}$$

其中  $\varepsilon_{\vec{k}} = \frac{\hbar^2 \vec{k}^2}{2m}$  是自由电子的色散关系. 用  $\varepsilon_F$  表示费米能,  $k_F$  表示费米波矢的大小.

$H_I$  为两体相互作用部分,

$$H_I = \frac{1}{2V} \sum_{\vec{k}_1, \vec{k}_2, \vec{q}} \sum_{\sigma\sigma'} v(q) c_{\vec{k}_1+\vec{q},\sigma}^\dagger c_{\vec{k}_2-\vec{q},\sigma'}^\dagger c_{\vec{k}_2,\sigma'} c_{\vec{k}_1,\sigma}$$

$v(q)$  是相互作用  $v(x)$  的傅里叶变换形式,  $q = |\vec{q}|$ ,  $x = |\vec{x}|$ ,

$$v(q) = \frac{1}{V} \int v(x) e^{-i\vec{q}\cdot\vec{x}} d^3\vec{x}$$

这里我们考虑短程势, 也就是说  $v(q=0)$  不发散.

自由电子气零温下处于电子填充到费米能  $\varepsilon_F$  的费米海态(Fermi sea state), 简记为 **FS**, 利用费米子产生算符作用到真空态上可以表示 **FS** 态为

$$|\text{FS}\rangle = \prod_{k < k_F, \sigma} c_{k\sigma}^\dagger |0\rangle$$



- (a) 考虑零温下的自由电子气, 计算总粒子数  $N$  和粒子数密度  $n$ , 计算总能量  $E^{(0)}$  并把总能量密度  $E^{(0)}/V$  表示成粒子数密度  $n$  的函数.

- (b) 计算能量的一阶修正  $E^{(1)} = \langle \mathbf{FS} | H_I | \mathbf{FS} \rangle$ .

- (c) 利用 **Hatree Fock** 平均场近似, 并假设平均场参数是自旋对角的, 并且保持了自旋对称性, 以及平移对称性, 因此我们期待  $\langle c_{\vec{k}\sigma}^\dagger c_{\vec{k}'\sigma'} \rangle = \langle c_{\vec{k}\sigma}^\dagger c_{\vec{k}\sigma} \rangle \delta_{\vec{k},\vec{k}'} \delta_{\sigma,\sigma'}$ , 以及  $\langle c_{\vec{k}\uparrow}^\dagger c_{\vec{k}\uparrow} \rangle = \langle c_{\vec{k}\downarrow}^\dagger c_{\vec{k}\downarrow} \rangle$ . 计算系统总能量, 并与  $E^{(0)} + E^{(1)}$  比较大小.

## 6. 量子转子模型

量子转子的角度坐标  $\theta \in [0, 2\pi)$ , 注意  $\theta \pm 2\pi$  和  $\theta$  是等价的. 用  $|\theta\rangle$  表现  $\hat{\theta}$  算符的本征态,  $|\theta \pm 2\pi\rangle$  和  $|\theta\rangle$  是相同的态. 定义量子转子的转动算符为  $\hat{R}(\alpha)$ ,

$$\hat{R}(\alpha) = \int_0^{2\pi} d\theta |\theta - \alpha\rangle \langle \theta|$$

所以  $\hat{R}(\alpha)|\theta\rangle = |\theta - \alpha\rangle$ , 并且  $\hat{R}(2\pi)$  是单位算符.

转动算符  $\hat{R}(\alpha)$  是一个么正算符, 它的产生子为厄米算符  $\hat{N}$ , 与量子转子的角动量算符  $\hat{L}$  的关系为  $\hat{L} = \hbar\hat{N}$ , 所以  $\hat{R}(\alpha) = e^{i\hat{N}\alpha}$ , 在  $\hat{\theta}$  表象下可求得  $\hat{N} = -i\frac{\partial}{\partial\theta}$ .

考虑一个特定的量子转子模型, 它的哈密顿量为

$$H = \frac{1}{2} \left( \hat{N} - \frac{1}{2} \right)^2 - g \cos(2\hat{\theta})$$

其中  $g \cos(2\hat{\theta})$  是一个小的外势, 可以当成微扰处理. 假设  $|N\rangle$  是算符  $\hat{N}$  的本征态, 本征值为  $N$ , 即  $\hat{N}|N\rangle = N|N\rangle$ . 可计算出  $|N\rangle$  用  $|\theta\rangle$  展开为

$$|N\rangle = \frac{1}{\sqrt{2\pi}} \int_0^{2\pi} e^{iN\theta} |\theta\rangle d\theta$$

(a) 利用  $\hat{R}(2\pi)$  是单位算符证明  $N$  必须是整数.

(b) 考虑无微扰时的哈密顿量  $H_0 = \frac{1}{2} \left( \hat{N} - \frac{1}{2} \right)^2$ , 证明  $|N\rangle$  也是  $H_0$  的本征态, 并求出本征能量, 证明每个能级都是两重简并的.

(c) 采用  $\{|N\rangle\}$  作为基组, 写出微扰项  $V = -g \cos(2\hat{\theta})$  的表示矩阵, 并证明微扰不会连接简并的能级(即如果  $|N\rangle$  和  $|N'\rangle$  简并, 那么  $\langle N|V|N'\rangle = 0$ ). 因此尽管  $H_0$  的能级是简并的, 我们仍然可以使用非简并微扰论.

(d) 计算每个能级  $E_N$  的微扰修正到  $g$  的二阶, 并证明此时所有的能级简并仍然没有被解除.

