## 0.1 Homework 1

## 0.1.1 Hermitian operators

- 1. Prove theorem 1: If A is Hermitian operator, then all its eigenvalues are real numbers, and the eigenvectors corresponding to different eigenvalues are orthogonal.
  - (a) Since A is Hermitian, we have  $A^{\dagger} = A$ . Let  $\lambda$  be an eigenvalue of A and v the corresponding eigenvector, so

$$Av = \lambda v$$
.

Consider the inner product

$$\langle v, Av \rangle = \langle v, \lambda v \rangle = \lambda \langle v, v \rangle = \lambda ||v||^2.$$
  
 $\langle Av, v \rangle = \langle \lambda v, v \rangle = \lambda^* \langle v, v \rangle = \lambda^* ||v||^2.$ 

So we have  $\lambda ||v||^2 = \lambda^* ||v||^2$ , which implies  $\lambda = \lambda^*$ , so  $\lambda$  is real(since  $||v||^2$  is not zero, as  $v \neq 0$ ).

(b) Let  $\lambda_1$  and  $\lambda_2$  be two different eigenvalues of A, and  $v_1$  and  $v_2$  the corresponding eigenvectors, so we have

$$Av_1 = \lambda_1 v_1, \quad Av_2 = \lambda_2 v_2.$$

Consider the inner product

$$\begin{split} \langle v_1, A v_2 \rangle &= \langle v_1, \lambda_2 v_2 \rangle = \lambda_2 \langle v_1, v_2 \rangle, \\ \langle A v_1, v_2 \rangle &= \langle \lambda_1 v_1, v_2 \rangle = \lambda_1 \langle v_1, v_2 \rangle. \end{split}$$

Since A is Hermitian, we have  $\langle v_1, Av_2 \rangle = \langle Av_1, v_2 \rangle$ , so we have  $(\lambda_1 - \lambda_2) \langle v_1, v_2 \rangle = 0$ , which implies  $\langle v_1, v_2 \rangle = 0$ (since  $\lambda_1 \neq \lambda_2$ ).  $\square$ 

2. Prove theorem 2: If A is Hermitian operator, then it can be always diagonalized by unitary transformation.

Let  $\{\lambda_1, \lambda_2, \cdots, \lambda_n\}$  be the eigenvalues of A, and  $\{v_1, v_2, \cdots, v_n\}$  the corresponding eigenvectors.

By theorem 1, we have  $\langle v_1, v_2 \rangle = \delta_{ij}$ .

We define the unitary matrix as  $U = [v_1, v_2, \dots, v_n]$ , so we have  $U^{\dagger}U = \mathbb{I}$ . Now we compute  $U^{\dagger}AU$ . Since  $Av_i = \lambda_i v_i$ , we have

$$U^{\dagger}AU = \begin{pmatrix} v_1^{\dagger} \\ v_2^{\dagger} \\ \vdots \\ v_n^{\dagger} \end{pmatrix} A \begin{pmatrix} v_1 & v_2 & \cdots & v_n \end{pmatrix} = \begin{pmatrix} v_1^{\dagger}Av_1 & v_1^{\dagger}Av_2 & \cdots & v_1^{\dagger}Av_n \\ v_2^{\dagger}Av_1 & v_2^{\dagger}Av_2 & \cdots & v_2^{\dagger}Av_n \\ \vdots & \vdots & \ddots & \vdots \\ v_n^{\dagger}Av_1 & v_n^{\dagger}Av_2 & \cdots & v_n^{\dagger}Av_n \end{pmatrix}$$
$$= \begin{pmatrix} \lambda_1 & 0 & \cdots & 0 \\ 0 & \lambda_2 & \cdots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & \lambda_n \end{pmatrix} = \Lambda.\Box$$

- 3. Prove theorem 3: Two diagonalizable operators A and B can be simultaneously diagonalized if, and only if, [A, B] = 0.
  - (a) Let's say

$$A|v\rangle = \lambda |v\rangle, \quad B|v\rangle = \mu |v\rangle.$$

where  $|v\rangle$  is the eigenvector of A and B,  $\lambda$  and  $\mu$  are the corresponding eigenvalues.

So

$$[A, B]|v\rangle = (AB - BA)|v\rangle = (AB|v\rangle - BA|v\rangle) = (\lambda\mu - \mu\lambda)|v\rangle = 0.$$

for all  $|v\rangle$ , which means [A, B] = 0.

(b) Let's say [A, B] = 0. And we have

$$A|v\rangle = \lambda|v\rangle,$$
  
 $AB|v\rangle = BA|v\rangle = B\lambda|v\rangle = \lambda (B|v\rangle),$ 

which means  $B|v\rangle$  is also the eigenvector of A with eigenvalue  $\lambda$ . And apply the same method to all  $|v\rangle$  of A, we can find a common set of eigenvectors of A and B within the degenerate subspace.  $\Box$ 

## 0.1.2 Matrix diagonalization and unitary transformation

1. Diagonalizing a matrix L corresponds to finding a unitary transformation V such that  $L = V\Lambda V^\dagger$ , where  $\Lambda$  is a diagonal matrix whose diagonal elements are eigenvalues, V is an unitary matrix whose column vectors are the corresponding eigenstates. Find a unitary matrix V that can diagonalize the Pauli matrix  $\sigma^x_{(z)} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ , and find the eigenvalues of  $\sigma^x_{(z)}$ .

Find the eigenvalues of  $\sigma^x_{(z)}$  by solving the characteristic equation

$$\det(\sigma_{(z)}^x - \lambda I) = \det\begin{pmatrix} -\lambda & 1\\ 1 & -\lambda \end{pmatrix} = \lambda^2 - 1 = 0,$$

So we have  $\lambda = \pm 1$ . For  $\lambda_+ = 1$ , we have

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = 1 \cdot \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} \Rightarrow v_1 = v_2.$$

So the eigenvector corresponding to  $\lambda_+$  is  $|+\rangle_{(z)}^x = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\1 \end{pmatrix}$ . For  $\lambda_- = -1$ , we have

$$\begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} = -1 \cdot \begin{pmatrix} v_1 \\ v_2 \end{pmatrix} \Rightarrow v_1 = -v_2.$$

So the eigenvector corresponding to  $\lambda_-$  is  $|-\rangle_{(z)}^x = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -1 \end{pmatrix}$ . The eigenvectors have been normalized, so the unitary matrix V is  $[|+\rangle_{(z)}^x, |-\rangle_{(z)}^x] = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$ . The diagonal matrix  $\Lambda$  contains the eigenvalues on the diagonal, which means

$$\Lambda = \operatorname{diag}\{\lambda_+, \lambda_-\} = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} = \sigma^z_{(z)}$$

Thus we diagonalized the Pauli matrix  $\sigma_{(z)}^x$  by the unitary transformation V:

$$\sigma^x_{(z)} = V^\dagger \Lambda V = V^\dagger \sigma^z_{(z)} V$$

We notice that the diagnosed matrix  $\Lambda$  is just the Pauli matrix  $\sigma_{(z)}^z$ , which means we can transform the representation of the Pauli matrix  $\sigma^z$  to the  $\sigma^x$  representation by the unitary transformation V:

$$\sigma_{(z)}^x = V^{\dagger} \sigma_{(z)}^z V = V^{\dagger} \sigma_{(x)}^x V \Rightarrow \sigma_{(x)}^x = \left(V^{\dagger}\right)^{-1} \sigma_{(z)}^x (V)^{-1}$$

 $\sigma^x_{(z)}$  is the matrix of  $\sigma^x$  in the  $\sigma^z$  representation. Noticed that  $V=V^\dagger=V^{-1}$ , so

$$\sigma_{(x)}^x = V \sigma_{(z)}^x V$$

2. The three components of the spin angular momentum operator  $\vec{S}$  for spin-1/2 are  $S^x$ ,  $S^y$ , and  $S^z$ . If we use the  $S^z$  representation, their matrix representations are given by  $\vec{S} = \frac{\hbar}{2} \vec{\sigma}$ , where the three components of  $\vec{\sigma}$  are the Pauli matrices  $\sigma^x$ ,  $\sigma^y$ , and  $\sigma^z$ .

Now consider using the  $S^x$  representation. Please list the order of basis vectors you have chosen in the  $S^x$  representation, and calculate the matrix representations of the three components of the operator  $\vec{S}$  in this representation.

Within  $S^z$  representation, we have

$$S_{(z)}^x = \frac{\hbar}{2}\sigma_{(z)}^x = \frac{\hbar}{2} \begin{pmatrix} 0 & 1\\ 1 & 0 \end{pmatrix}$$

From the previous question, we have found the eigenvalues and corresponding eigenvectors:

$$|+\rangle_x = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\1 \end{pmatrix}, \quad |-\rangle_x = \frac{1}{\sqrt{2}} \begin{pmatrix} 1\\-1 \end{pmatrix}.$$

The matrix V that transforms the  $S^z$  representation to the  $S^x$  representation is

$$V = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1\\ 1 & -1 \end{pmatrix}$$

In the  $S^z$  representation, we have

$$S_{(z)}^{x} = \frac{\hbar}{2}\sigma^{x} = \frac{\hbar}{2}\begin{pmatrix} 0 & 1\\ 1 & 0 \end{pmatrix}, \quad S_{(z)}^{y} = \frac{\hbar}{2}\sigma^{y} = \frac{\hbar}{2}\begin{pmatrix} 0 & -i\\ i & 0 \end{pmatrix}, \quad S_{(z)}^{z} = \frac{\hbar}{2}\sigma^{z} = \frac{\hbar}{2}\begin{pmatrix} 1 & 0\\ 0 & -1 \end{pmatrix}.$$

So

$$\begin{split} S^x_{(x)} &= V S^x_{(z)} V = \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \\ S^y_{(x)} &= V S^y_{(z)} V = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, \\ S^z_{(x)} &= V S^z_{(z)} V = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \frac{\hbar}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} = \frac{\hbar}{2} \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}. \end{split}$$

So the basis vectors in the  $S^x$  representation are

$$|+\rangle_{(x)}^x = \begin{pmatrix} 1\\0 \end{pmatrix}, \quad |-\rangle_{(x)}^x = \begin{pmatrix} 0\\1 \end{pmatrix}.$$