



REGULATORY COMPLIANCE

SAAGROEG TRADING GROUP ("we," "us," or "our") is committed to maintaining regulatory compliance in the delivery of our financial consulting services. This page outlines our adherence to key regulations governing our operations in the New York and Johannesburg stock exchanges.

LICENSING AND REGULATION

We are committed to adhering to all licensing and registration requirements imposed by regulatory authorities in New York and Johannesburg. Our services may require registration with relevant regulatory bodies, and we ensure that all such requirements are met.

ANTI-MONEY LAUNDERING (AML) AND KNOW YOUR CUSTOMER (KYC) COMPLIANCE

In accordance with applicable AML and KYC regulations, we have implemented policies and procedures to verify the identity of our clients, report suspicious transactions, and prevent money laundering and terrorist financing activities.

PRIVACY AND DATA PROTECTION

We adhere to all data protection laws and regulations, including but not limited to the General Data Protection Regulation (GDPR) and the California Consumer Privacy Act (CCPA). For detailed information about our data protection practices, please refer to our Privacy Policy.

INVESTMENT ADVISER COMPLIANCE

In providing investment advice, we operate in compliance with all relevant regulations governing investment advisers. Our commitment is to act in the best interests of our clients and provide investment recommendations in a fiduciary capacity when applicable.



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CONFLICTS OF INTEREST

We have established procedures to identify and manage potential conflicts of interest within our organization to ensure the fair and transparent provision of financial information and investment opportunities to our clients.

DISCLOSURE AND TRANSPARENCY

We have procedures in place for handling client complaints and resolving disputes in accordance with applicable regulations. For more information on this process, please contact our customer support.

COMPLAINTS AND DISPUTE RESOLUTION

Our services may contain links to third-party websites or resources. We do not endorse or control these third-party sites and are not responsible for their content, accuracy, or availability. Your use of third-party links is at your own risk.

CHANGES TO REGULATORY COMPLIANCE

We regularly review and update our compliance procedures to ensure that we remain in line with changes to regulatory requirements in New York and Johannesburg.

CONTACT US

If you have any questions or concerns regarding our regulatory compliance, please contact us at:

133 Albany Road, North End, Port Elizabeth, Eastern Cape, 6001

Contact Number: (012) 345 6789

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