

CSE 221: Algorithms

Greedy algorithms

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References

- 1 Jon Kleinberg and Éva Tardos, *Algorithm Design*. Pearson Education, 2006.
- 2 Michael T. Goodrich and Roberto Tamassia, *Data Structures and Algorithms in Java, Fourth Edition*. John Wiley & Sons, 2006.
- 3 T. H. Cormen, C. E. Leiserson, R. L. Rivest, and C. Stein, *Introduction to Algorithms, Second Edition*. The MIT Press, September 2001.

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Contents

- 1 Greedy algorithms
 - Introduction
 - Interval scheduling problem
 - Scheduling all Intervals problem
 - Fractional knapsack problem
 - Coin changing problem
 - What problems can be solved by greedy approach?
 - Conclusion

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Greedy design strategy

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- Main challenge is to determine the criteria on which the next item will be selected
- Often leads to very efficient solutions to optimization problems.
- **However, not all problems have greedy solutions.**

Optimal Solution

What is an Optimal Solution?

- Given a problem, more than one solution exist
- One of the solution is the best based on some given constraints, that solution is called the optimal solution

What is Global Optimal Solution?

- Optimal Solution to the main problem

What is local Optimal Solution?

- Optimal Solution to the subproblems

Contents

1 Greedy algorithms

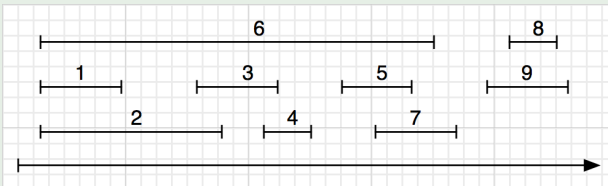
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Designing a greedy algorithm

Definition (Interval scheduling problem)

Given a set of schedules $I = \{I_i\}$, find the **largest** set $A \subseteq I$ such that the members of A are **non-conflicting**.

Example

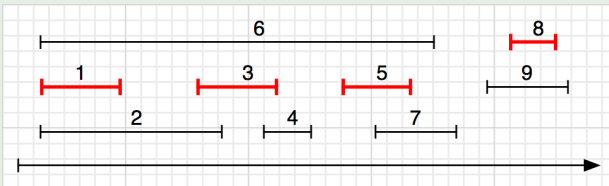


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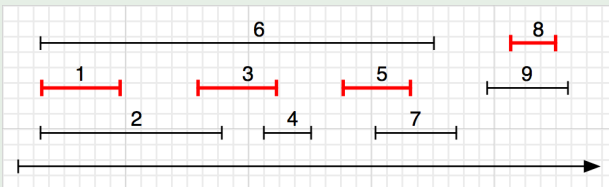
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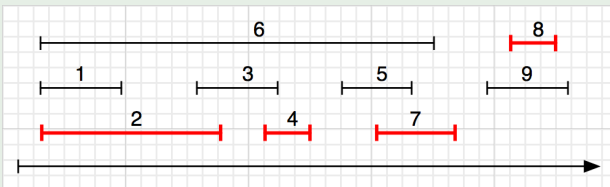
Is this the only “correct” answer?

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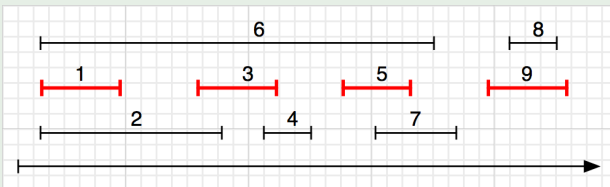
How about $\{2, 4, 7, 8\}$?

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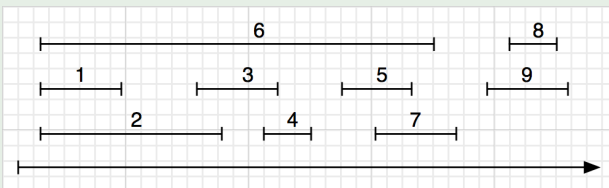
How about $\{2, 4, 7, 8\}$? $\{1, 3, 5, 9\}$?

Designing a greedy algorithm

Definition (Interval scheduling problem)

Given a set of schedules $I = \{I_i\}$, find $A \subseteq I$ such that the members of A are **non-conflicting** and $|A|$ is **maximized**.

Example



$A = \{1, 3, 5, 8\}$, $|A| = 4$.

Question

$\{1, 3, 5, 8\}$? $\{2, 4, 7, 8\}$? $\{1, 3, 5, 9\}$? ... **How many?**

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- 3 Pick (any one of) the largest subset from the ones that survive.

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Complexity

- There are $2^n - 1$ non-empty subsets, one or more of which may be a feasible solution.

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- There are $2^n - 1$ non-empty subsets, one or more of which may be a feasible solution.
- Each feasible solution must be scanned for conflict, which takes $O(n)$ time.
- The algorithm runs in $\Theta(n2^n)$ time \Rightarrow an **exponential time** algorithm!

Designing a greedy algorithm (continued)

Basic steps

To compute the maximal set of intervals that can be scheduled, the basic idea is to:

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- 4 And so on until there are no more requests remain.

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- 4 And so on until there are no more requests remain.

Key challenge

How to choose the “simple” rule to select the next interval that leads to an optimal solution?

Designing a greedy algorithm (continued)

Strategy 1. *Earliest First*

The idea is to start using the resource as early as possible.

- 1 Sort the intervals by starting time, breaking ties arbitrarily.
- 2 Pick the first one, removing it from the list along with all the intervals that conflict with it.
- 3 Repeat Step 2, until the list is empty.

Example



$|A| = ???$.

Designing a greedy algorithm (continued)

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Example (using *Earliest First* strategy)



$$|A| = 1.$$

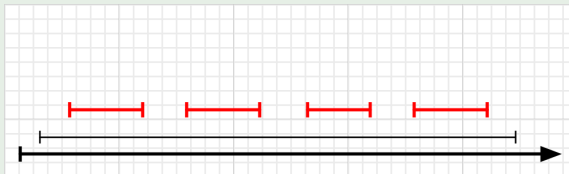
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Example (using an optimal strategy)



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Designing a greedy algorithm (continued)

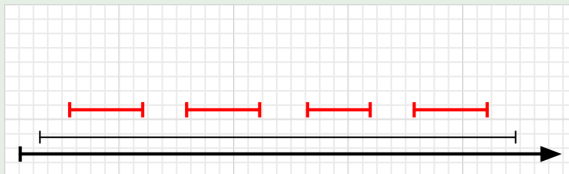
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This strategy does not lead to an optimal solution.

Example (using an optimal strategy)



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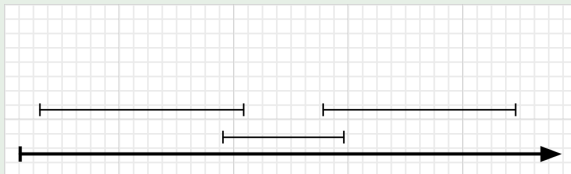
Designing a greedy algorithm (continued)

Strategy 2. *Shortest First*

The *Earliest First* strategy failed perhaps because it missed the shorter intervals, which would accommodate more intervals.

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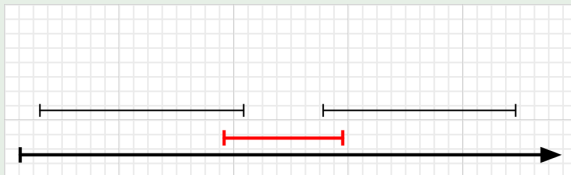
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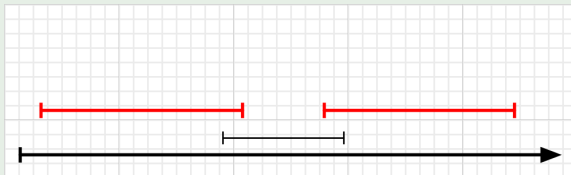
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Designing a greedy algorithm (continued)

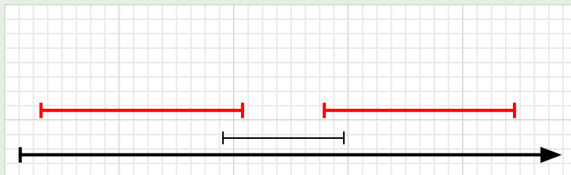
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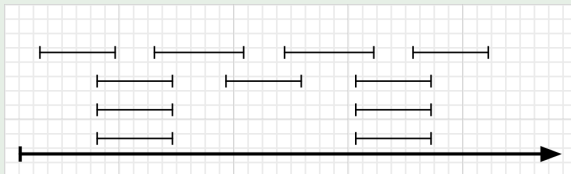
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Strategy 3. *Least-conflict First*

The *Shortest First* strategy failed perhaps because the shorter ones had more conflicts, and ruled out too many intervals in the process.

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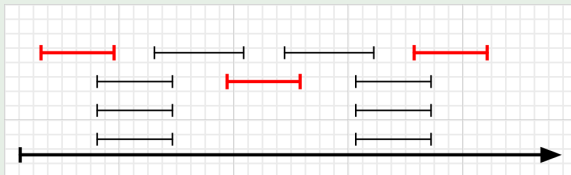
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Example (using *Shortest First* strategy)



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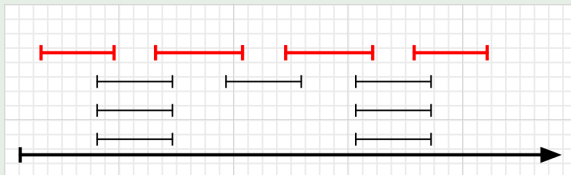
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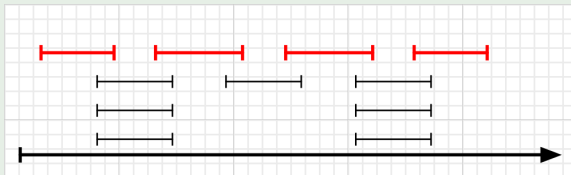
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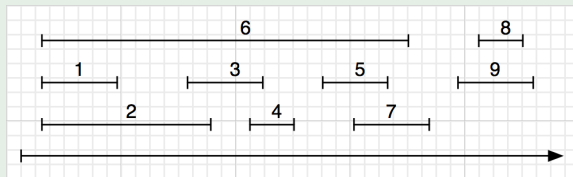
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Strategy 4. *Finish First*

The idea is to free up the resource as early as possible.

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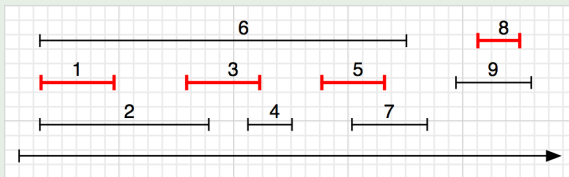
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Example (using optimal *Finish First* strategy)



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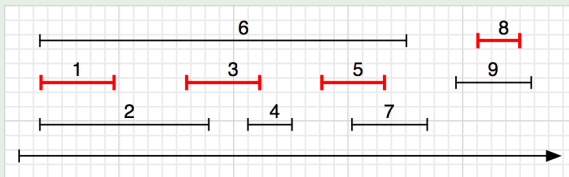
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This strategy is the one that works.

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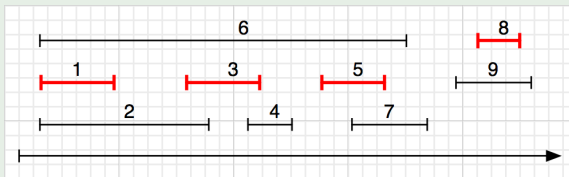
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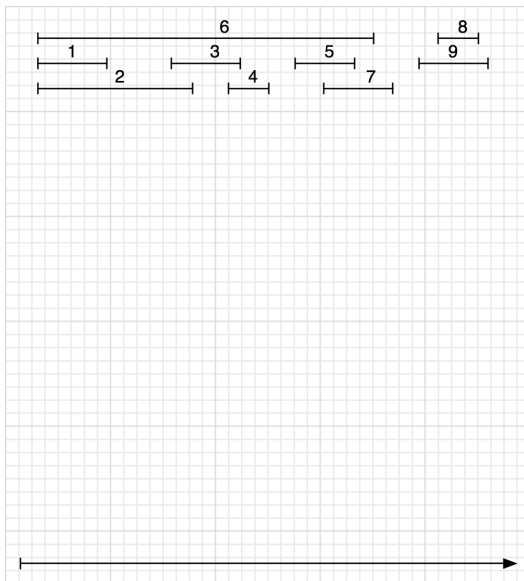
This strategy is the one that works. But can you prove that it works?

Example (using optimal *Finish First* strategy)

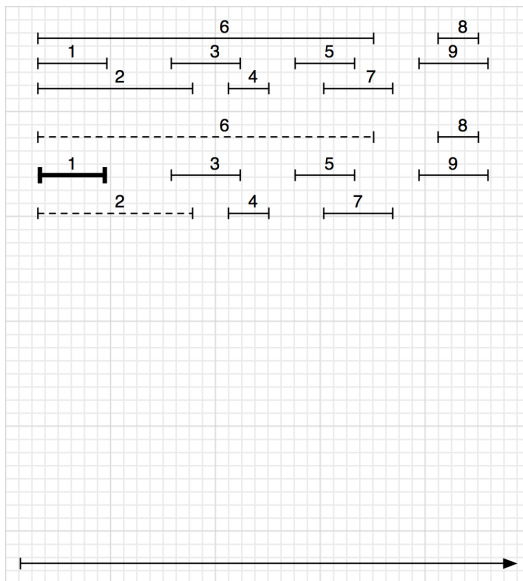


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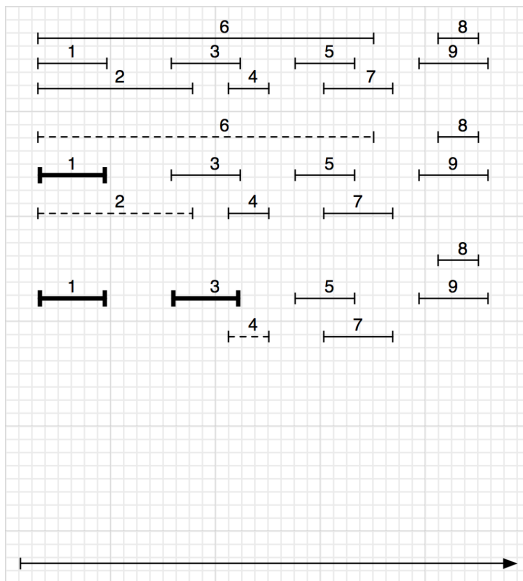
Interval scheduling in action



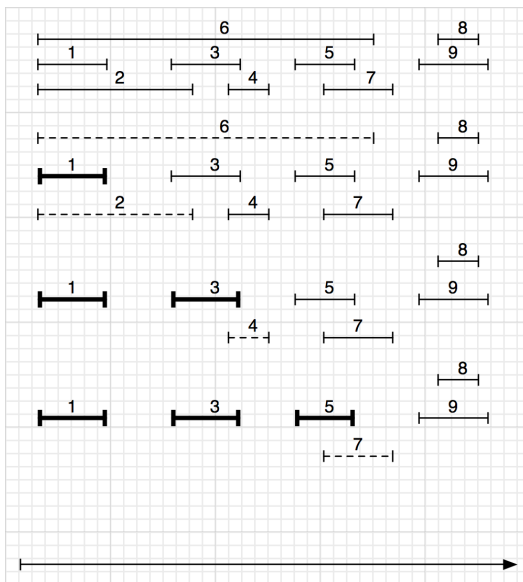
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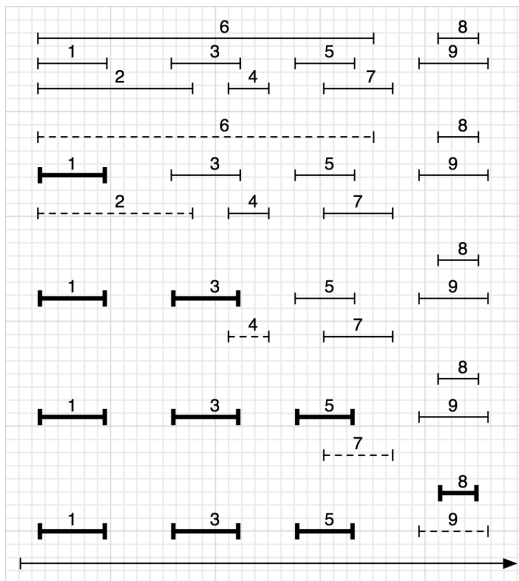
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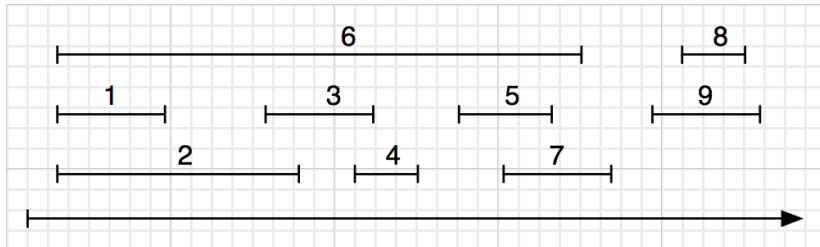
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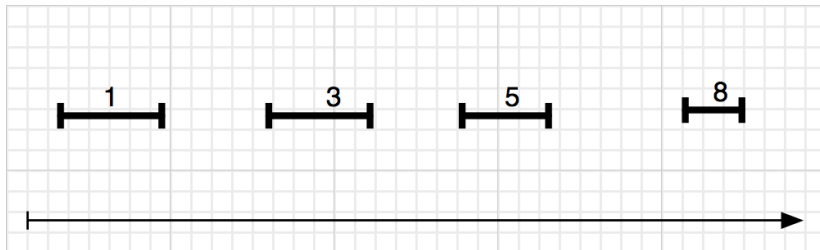
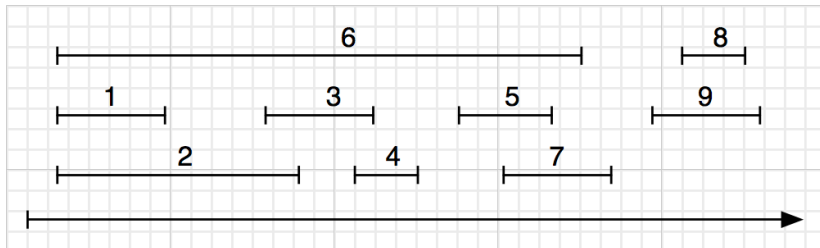
Interval scheduling in action



Interval scheduling in action (continued)



Interval scheduling in action (continued)



An $O(n \lg n)$ greedy algorithm for interval scheduling

SCHEDULE-INTERVALS(I) $\triangleright I = \{I_i\}, I_i = (s_i, f_i)$

- 1 $R =$ Sorted requests in order of finishing times such that $f_i \leq f_j$ when $i < j$.
- 2 Create an array $S[1..n]$ with starting times such that $S[i]$ contains s_i .
- 3 $A = \{R_1\}$ \triangleright select first interval from sorted list
- 4 $f = f_1$
- 5 **while** there are more intervals in S to look at
- 6 **do** $j =$ first interval for which $s_j \geq f$
- 7 $A \leftarrow A \cup \{j\}$
- 8 $f \leftarrow f_j$
- 9 **return** A

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- The sorting step in takes $O(n \lg n)$ time.

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9  return  $A$ 
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Analysis

- The sorting step in takes $O(n \lg n)$ time.
- Creating the starting time array $S[1..n]$ takes $O(n)$ time.

An $O(n \lg n)$ greedy algorithm for interval scheduling

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6      do  $j =$  first interval for which  $s_j \geq f$ 
7           $A \leftarrow A \cup \{j\}$ 
8           $f \leftarrow f_j$ 
9  return  $A$ 

```

Analysis

- The sorting step in takes $O(n \lg n)$ time.
- Creating the starting time array $S[1..n]$ takes $O(n)$ time.
- The single pass through the array S takes $O(n)$ time

An $O(n \lg n)$ greedy algorithm for interval scheduling

SCHEDULE-INTERVALS(I) $\triangleright I = \{I_i\}, I_i = (s_i, f_i)$

- 1 $R =$ Sorted requests in order of finishing times such that $f_i \leq f_j$ when $i < j$.
- 2 Create an array $S[1..n]$ with starting times such that $S[i]$ contains s_i .
- 3 $A = \{R_1\}$ \triangleright select first interval from sorted list
- 4 $f = f_1$
- 5 **while** there are more intervals in S to look at
- 6 **do** $j =$ first interval for which $s_j \geq f$
- 7 $A \leftarrow A \cup \{j\}$
- 8 $f \leftarrow f_j$
- 9 **return** A

Analysis

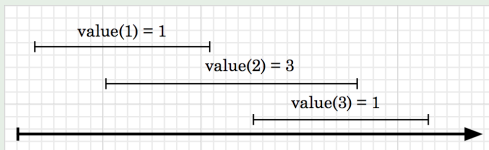
- The sorting step in takes $O(n \lg n)$ time.
- Creating the starting time array $S[1..n]$ takes $O(n)$ time.
- The single pass through the array S takes $O(n)$ time
- An $O(n \lg n)$ time algorithm for a problem with a natural search space of $O(n^{2^n})$.

Extension: weighted interval scheduling problem

Definition (Weighted interval scheduling problem)

Given a set of schedules $I = \{I_i\}$, with associated weights $W = \{w_i\}$, find $A \subseteq I$ such that the members of A are **non-conflicting** and the total weight $\sum_{i \in A} w_i$ is **maximized**.

Example



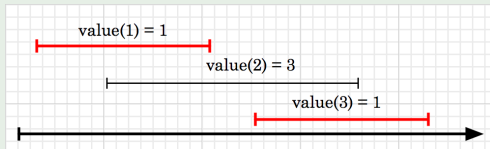
$$|A| = ???, \sum_{i \in A} w_i = ???.$$

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Example (using our greedy strategy)

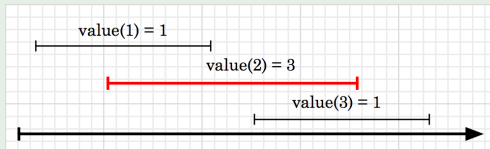


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Example (using an optimal strategy)



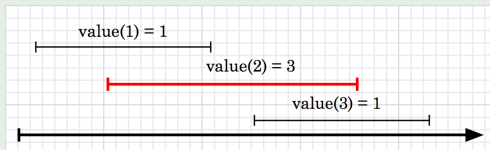
$$|A| = 1, \sum_{i \in A} w_i = 3.$$

Extension: weighted interval scheduling problem

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$$|A| = 1, \sum_{i \in A} w_i = 3.$$

Hmmm...

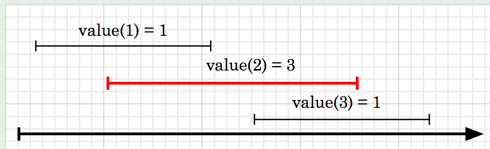
There is no greedy solution for the weighted interval scheduling problem!

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Hmmm...

There is no greedy solution for the weighted interval scheduling problem! Why? (see **Greedy Choice property** later)

Contents

1 Greedy algorithms

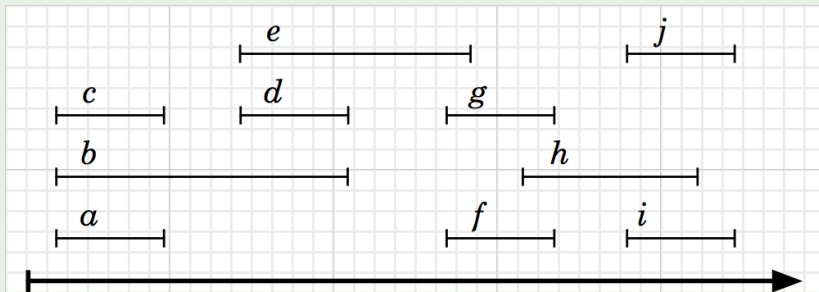
- Introduction
- Interval scheduling problem
- Scheduling all Intervals problem
- Fractional knapsack problem
- Coin changing problem
- What problems can be solved by greedy approach?
- Conclusion

Scheduling all intervals greedy algorithm

Definition

Given a set of schedules $I = \{I_i\}$, find the minimum number of resources needed to schedule I such that the intervals on each resource are non-conflicting.

Example

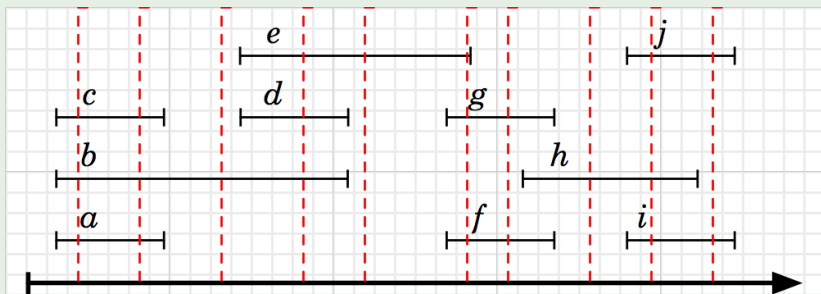


Scheduling all intervals greedy algorithm

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Given a set of schedules $I = \{I_i\}$, find the minimum number of resources needed to schedule I such that the intervals on each resource are non-conflicting.

Example



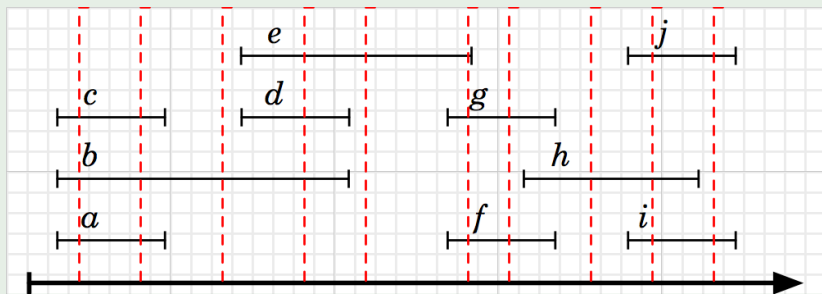
Depth = Maximum number of intervals at any point in time.

Scheduling all intervals greedy algorithm

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Given a set of schedules $I = \{I_i\}$, find the minimum number of resources needed to schedule I such that the intervals on each resource are non-conflicting.

Example



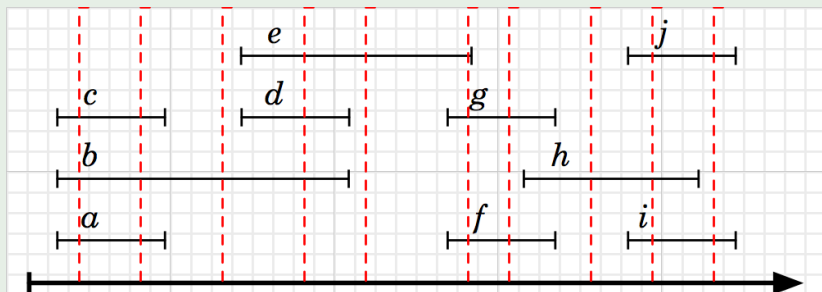
Depth = 3

Scheduling all intervals greedy algorithm

Definition

Given a set of schedules $I = \{I_i\}$, find the minimum number of resources needed to schedule I such that the intervals on each resource are non-conflicting.

Example



Depth = 3 \implies Minimum # of resources needed = 3

A greedy algorithm for scheduling all intervals

SCHEDULE-INTERVALS(I) $\triangleright I = \{I_i\}, I_i = (s_i, f_i)$

- 1 $R =$ Sorted requests in order of starting times, breaking ties arbitrarily, such that $s_i \leq s_j$ when $i < j$.
- 2 $m \leftarrow 0$ \triangleright the optimal number of resources needed to schedule R
- 3 **while** $R \neq \emptyset$
- 4 **do** $req =$ extract the next element in R
- 5 **if** there is a resource j with no interval conflicting with req
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Complexity

$$T(n) = O(n \lg n).$$

A greedy algorithm for scheduling all intervals

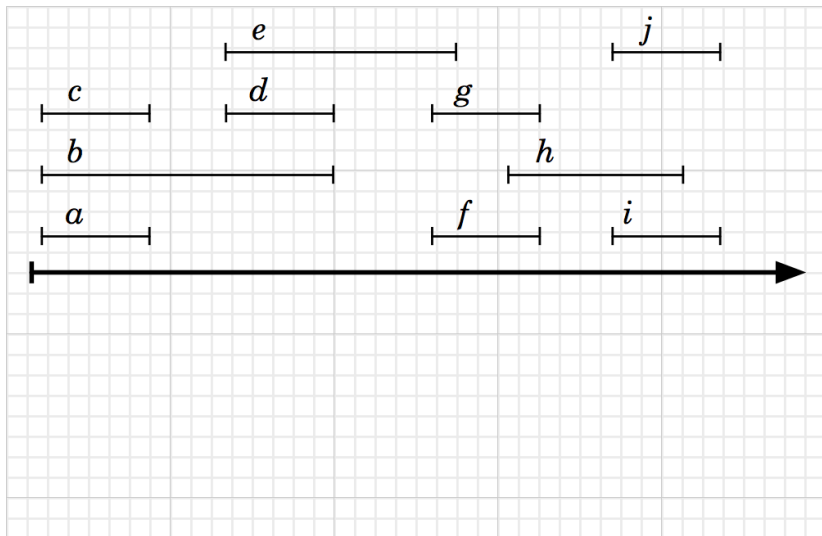
SCHEDULE-INTERVALS(I) $\triangleright I = \{I_i\}, I_i = (s_i, f_i)$

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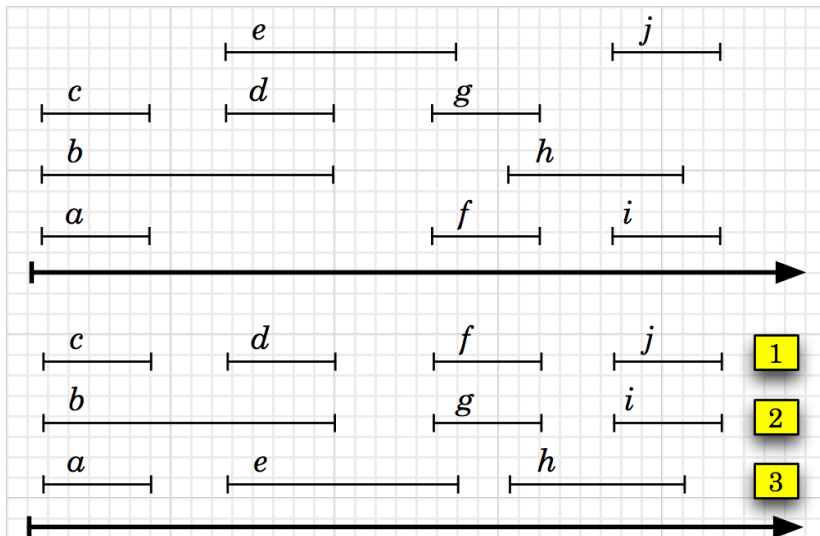
Complexity

$T(n) = O(n \lg n)$. Prove it.

Scheduling all intervals in action



Scheduling all intervals in action



Contents

1 Greedy algorithms

- Introduction
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- **Fractional knapsack problem**
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Fractional knapsack problem

Definition (fractional knapsack problem)

Given a set S of n items, such that each item i has a positive benefit b_i and a positive weight w_i , the goal is to find the maximum-benefit subset that does not exceed a given weight W , allowing for fractional items.

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- Maximum-benefit subset is then maximizing $\sum_{i \in S} b_i(x_i/w_i)$.

Fractional knapsack problem

Key question

- What strategy to use to select the next item (and the amount of it)?

Fractional knapsack problem

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- At least three different measures one can attempt to optimize when determining which object to select next

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Key question

- What strategy to use to select the next item (and the amount of it)?
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- Total Profit
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Let's see which measure to optimize...

Fractional knapsack problem

Item	Benefit	Weight
A	25	18 kg
B	15	10 kg
C	24	15 kg

Capacity $W=20$

Fractional knapsack problem

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Fractional knapsack problem

First Strategy: Greedy method using **Profit** as it's measure. At each step it will choose an object that increases the profit the **most**.

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- Capacity $W = 20$
- A has the maximum benefit 25. So select this. Remaining Capacity: $20 - 18 = 2$

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- So, finally, total benefit = $25 + 3.2 = 28.2$

Fractional knapsack problem

- Note here, If we select C as first element and B as second element then,

Fractional knapsack problem

- Note here, If we select C as first element and B as second element then,
- Total benefit = $24 + 15(5/10) = 31.5$
- So, previous solution is not the optimal one.
- Thus, First Strategy fails.

Fractional knapsack problem

Second Strategy: Greedy method using **Capacity** as it's measure will, at each step choose an object that increases the capacity the **least**.

- B has the least weight 10. So select this. Remaining Capacity:
 $20 - 10 = 10$

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- Accumulated profit by adding $x_C = 10$ to the knapsack is:
 $24(10/15)=16$

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- Accumulated profit by adding $x_C = 10$ to the knapsack is:
 $24(10/15)=16$
- So, total benefit= $15 + 16 = 31$.

Fractional knapsack problem

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Fractional knapsack problem

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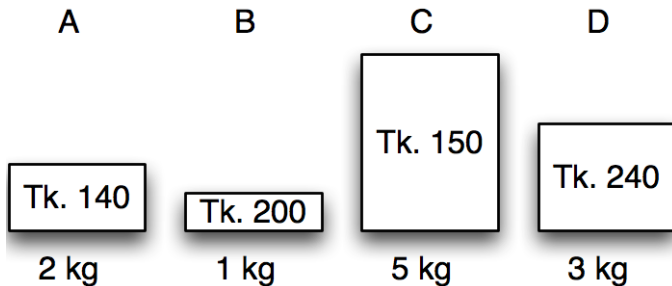
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- Accumulated profit by adding $x_C = 10$ to the knapsack is:
 $24(10/15)=16$
- So, total benefit= $15 + 16 = 31$. Again, it is not the optimal one.
- Thus, second strategy fails.

Fractional knapsack problem

Third Strategy: Strives to achieve a balance between the rate at which profit increases and the rate at which capacity is used.

- At each step, include the object which has the maximum profit per unit of capacity used.
- That means, objects are considered in order of the ratio b_i/w_i .

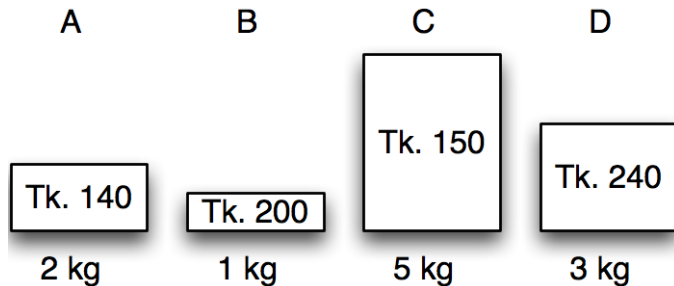
Fractional knapsack in action



Item	Benefit	Weight
A	140	2 kg
B	200	1 kg
C	150	5 kg
D	240	3 kg

Calculate benefit/kg – the **value index**.

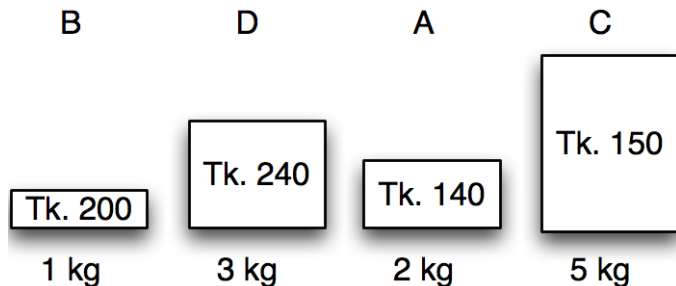
Fractional knapsack in action



Item	Benefit	Weight	Value index
A	140	2 kg	70
B	200	1 kg	200
C	150	5 kg	30
D	240	3 kg	80

Sort by **non-increasing** value index.

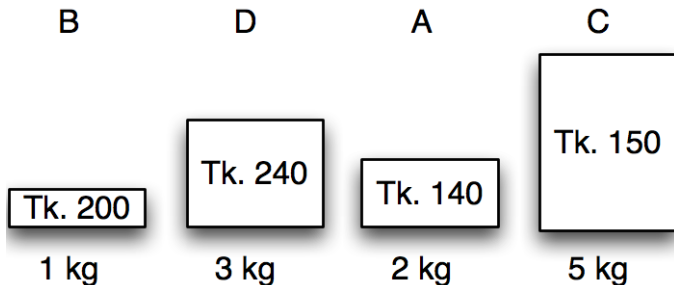
Fractional knapsack in action



Item	Benefit	Weight	Value index
B	200	1 kg	200
D	240	3 kg	80
A	140	2 kg	70
C	150	5 kg	30

Maximum weight: 5 kg

Fractional knapsack in action



Item	Benefit	Weight	Value index	Chosen
B	200	1 kg	200	0 kg
D	240	3 kg	80	0 kg
A	140	2 kg	70	0 kg
C	150	5 kg	30	0 kg



Maximum weight:

5 kg

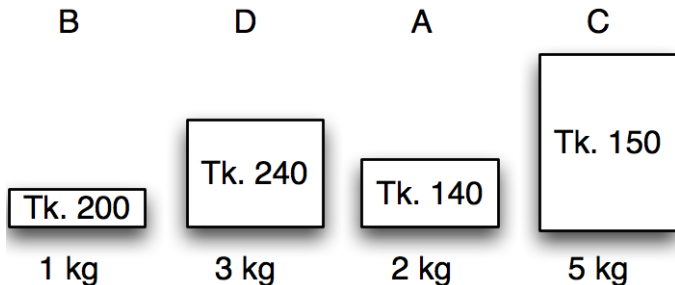
Remaining:

5 kg

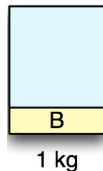
Benefit:

0 kg

Fractional knapsack in action

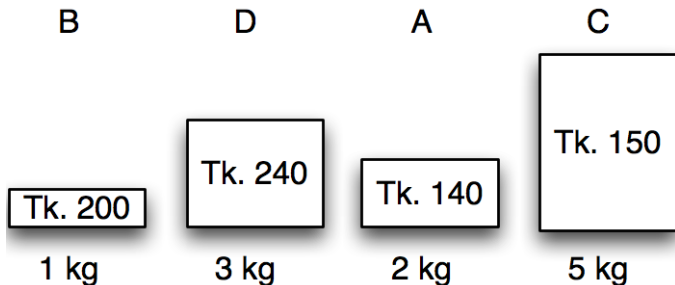


Item	Benefit	Weight	Value index	Chosen
B	200	1 kg	200	1 kg
D	240	3 kg	80	0 kg
A	140	2 kg	70	0 kg
C	150	5 kg	30	0 kg

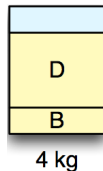


Maximum weight: 5 kg Remaining: 4 kg Benefit: 200 kg

Fractional knapsack in action

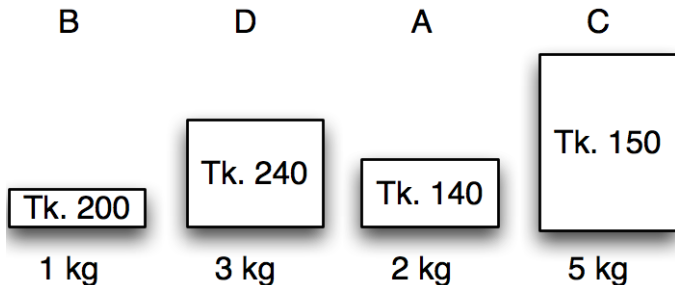


Item	Benefit	Weight	Value index	Chosen
B	200	1 kg	200	1 kg
D	240	3 kg	80	3 kg
A	140	2 kg	70	0 kg
C	150	5 kg	30	0 kg

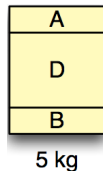


Maximum weight: 5 kg Remaining: 1 kg Benefit: 440 kg

Fractional knapsack in action

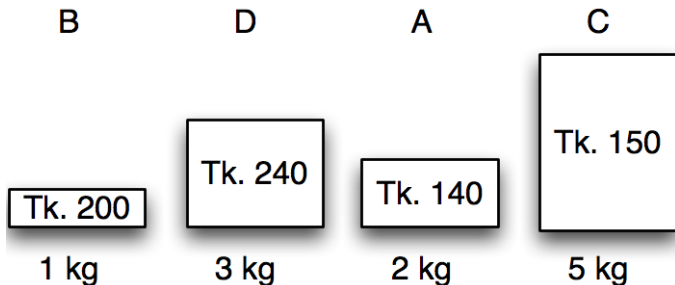


Item	Benefit	Weight	Value index	Chosen
B	200	1 kg	200	1 kg
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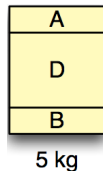


Maximum weight: 5 kg Remaining: 0 kg Benefit: 510 kg

Fractional knapsack in action



Item	Benefit	Weight	Value index	Chosen
B	200	1 kg	200	1 kg
D	240	3 kg	80	3 kg
A	140	2 kg	70	1 kg
C	150	5 kg	30	0 kg



Maximum weight: 5 kg Remaining: 0 kg Benefit: 510 kg

Fractional knapsack greedy algorithm

FRACTIONAL-KNAPSACK(S, W) $\triangleright S = \{(w_i, b_i)\}$

- 1 **for** each item $i \in S$
- 2 **do** $x_i \leftarrow 0$ \triangleright amount of item i chosen ($0 \leq x \leq w_i$)
- 3 $v_i \leftarrow b_i/w_i$ \triangleright compute *value index*
- 4 Sort the items in non-increasing order of the ratio b_i/w_i
- 5 $w \leftarrow 0$
- 6 **while** $w < W$
- 7 **do** $i =$ extract from S the item with highest value index
 \triangleright greedy choice
- 8 **if** $w + w_i \leq W$
- 9 **then** $x_i = w_i$
- 10 **else** $x_i = W - w$ \triangleright fill up the remaining with i
- 11 $w \leftarrow w + x_i$
- 12 **return** x $\triangleright x_i$ contains amount of item i chosen

Fractional knapsack greedy algorithm

```
FRACTIONAL-KNAPSACK( $S, W$ )   $\triangleright S = \{(w_i, b_i)\}$ 

1  for each item  $i \in S$ 
2      do  $x_i \leftarrow 0$        $\triangleright$  amount of item  $i$  chosen ( $0 \leq x \leq w_i$ )
3           $v_i \leftarrow b_i/w_i$        $\triangleright$  compute value index
4  Sort the items in non-increasing order of the ratio  $b_i/w_i$ 
5   $w \leftarrow 0$ 
6  while  $w < W$ 
7      do  $i =$  extract from  $S$  the item with highest value index
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8          if  $w + w_i \leq W$ 
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Complexity

$T(n) = O(n \lg n)$.

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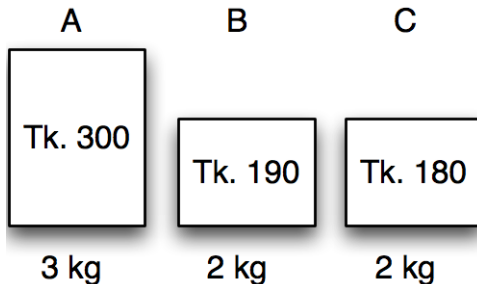
$T(n) = O(n \lg n)$. Prove it.

Extension: 0/1 knapsack problem

Exactly the same as the [Fractional Knapsack Problem](#), except that fractional quantities are not allowed.

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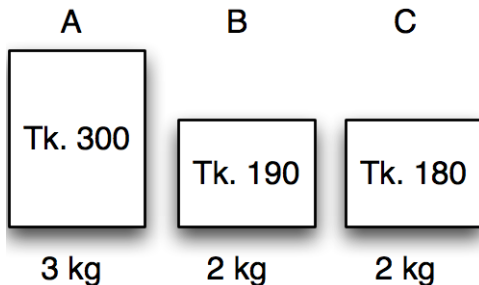
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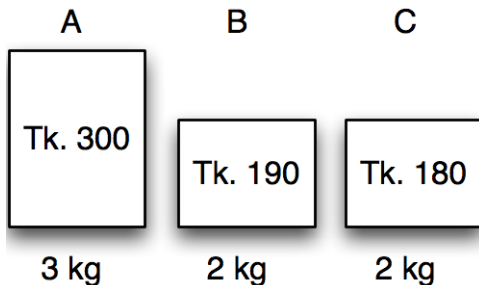
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Benefit: 300

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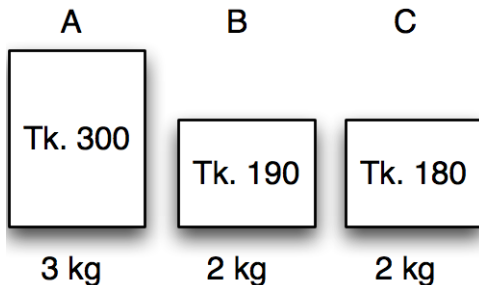
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Optimal solution: items B and C

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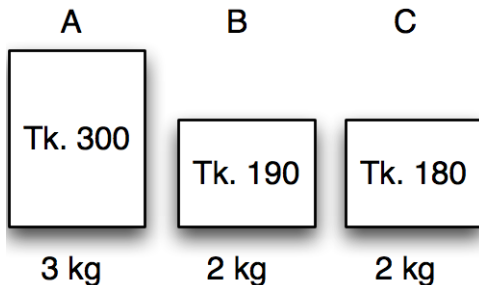
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The 0/1 Knapsack Problem does not have a greedy solution!

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Why?

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Given coin denominations in $\{C\}$, make change for a given amount A with the minimum number of coins.

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Key question

Does a greedy approach always produce the optimal solution?

Coin changing problem (continued)

Coin denominations, $C = \{12, 5, 1\}$

Amount to change, $A = 15$

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Key observation

Correctness depends on the choice of coins, so greedy strategy does not provide a general solution to this problem!

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Subproblem optimality If the optimal solution to the entire problem contain optimal solution to the subproblems, then it has the subproblem optimality property.

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- Except for a few select problems, it is far better to use **Dynamic Programming** to solve such optimization problems.
- So why study greedy algorithms? Because there are very efficient provably correct greedy algorithms for many common problems (wait till we study graph algorithms).