Testing XML technologies

Software Testing and Quality Assurance

Xml example

```
<?xml version="1.0" encoding="UTF-8"?>
<PersonList Type="Employee">
<Title> Value="Employee List"></Title>
<Contents>
<Employee>
<Name>John Barrimore</Name>
<No>18316</No>
<Deptno>d1</Deptno>
<Address>
<City>Seattle</City>
<Street>Abbey Rd</Street>
</Address>
</Employee>
</Contents>
</PersonList>
```

DTD

Document Type Definition

An optional prolog.

Xml Declaration

Processing Instructions

A Document Type Declaration

Processing Instructions

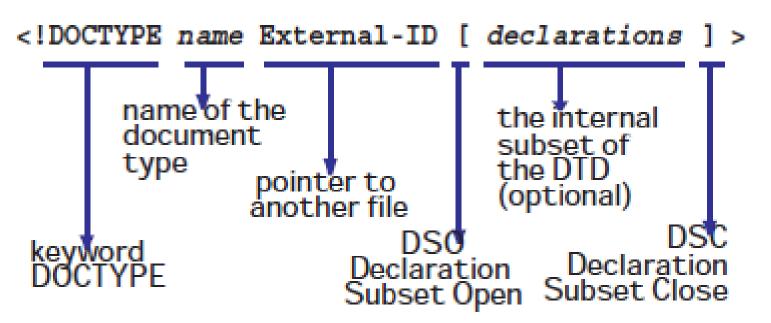
<?xml-stylesheet type="text/css" href="file.css"?>

A Document Type Declaration (DTD)

- The purpose of a Document Type Definition or DTD is to define the structure of a document encoded in XML (extended Markup Language).
- It's file that constrains or restricts certain elements and attributes to exist in XML document.

DTD

DOCTYPE Declaration



Well Formed XML

 Well Formed - The logical structure is not validated against the DTD. A well formed document follows a set of rules to qualify as "well formed".

Summary

- There must be one and only one document element.
- Every open tag must be closed.
- If an element is empty, it still must be closed.
 - Poorly-formed: <tag>
 - Well-formed: <tag></tag>
 - Also well-formed: <tag />

Summary

- Elements must be properly nested.
 - Poorly-formed: <a>
 - Well-formed: <a>
- Tag and attribute names are case sensitive.
- Attribute values must be enclosed in single or double quotes.

Valid xml

- A valid XML document is not the same as a well formed XML document.
- The first rule, for a valid XML document, is that it must be well formed.

Valid xml

- The second rule is that a valid XML document must conform to a document type.
- Rules that defines legal elements and attributes for XML documents are often called Document Type Definition (DTD) OR XML Schema

Is a Wellformed Document Valid?

 An example of a document that is well-formed but not valid based upon the XML grammar.

DTD example

```
<!DOCTYPE note
<!ELEMENT note (to,from,heading,body)>
<!ELEMENT to (#PCDATA)>
<!ELEMENT from (#PCDATA)>
<!ELEMENT heading (#PCDATA)>
<!ELEMENT body (#PCDATA)>
]>
```

Xml with dtd

```
    <!xml version="1.0" encoding="UTF-8"?>
    !DOCTYPE note SYSTEM "Note.dtd">
    <note>
    <to>Tove</to>
    <from>Jani</from>
    <heading>Reminder</heading>
    <body>Don't forget me this weekend!</body></note>
```

Why DTD?

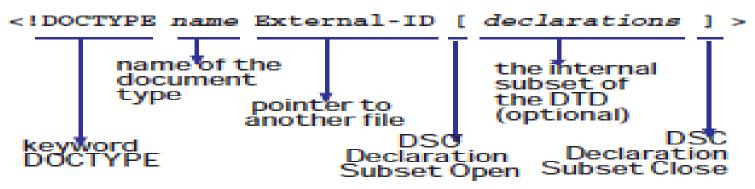
- It defines the document structure with a list of legal elements and attributes.
- your XML files can carry a description of its own format.
- independent groups of people can agree on a standard for interchanging data.
- you can verify that the data you receive from the outside world is valid.

DTD Declaration

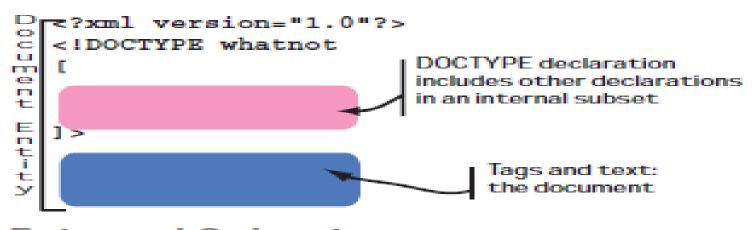
- In general we can say that there are two main types of DTDs:
 - > Internal
 - External
- Internal DTDs reside within the XML instance file
- External DTDs reside in an separate DTD document.
- Later we will find out how to merge the two to formulate a mixed DTD.

Internal DTD Declaration

DOCTYPE Declaration



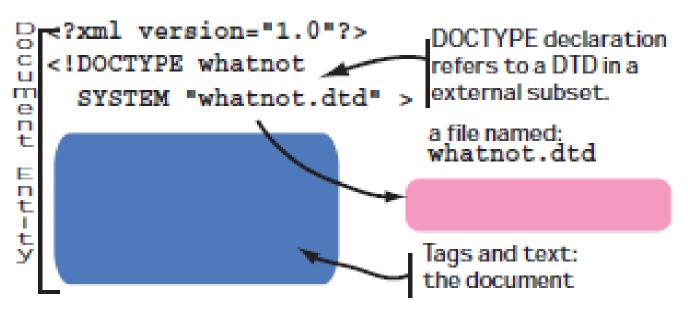
Internal Subset



```
<?xml version="1.0"?>
<!DOCTYPE body
[
     <!ELEMENT body (#PCDATA)>
     <!ATTLIST body
        color CDATA #IMPLIED>
]
>
<body color="blue">Content Goes Here</body>
```

External DTD Declaration

External Subset



External DTDs

- External DTDs come in two forms:
 - > LOCAL
 - > PUBLIC
- Regardless of whether you are using a local or public DTD, to link an external DTD to a document, you must include a DOCTYPE declaration within your XML document just as you should with HTML or XML document.

```
<?xml version="1.0"?>
<!DOCTYPE address-book SYSTEM "catalog.dtd">
<address-book>
 <contact>
   <last-name>Smith
   <first-name>Joe</first-name>
   <phone type="home">408-555-5555</phone>
   <email>Joe.Smith@samplemail.com
 </contact>
</address-book>
```

Specifying a Local DTD

 To specify a DTD on a LOCAL, non-public server, you would use the following format for including the DOCTYPE declaration:

<!DOCTYPE root-element SYSTEM "uri-of-dtd">

Specifying a Public DTD

 To specify a DTD on a PUBLIC server that is widely known and advertised, you would use the following format within the DOCTYPE declaration:

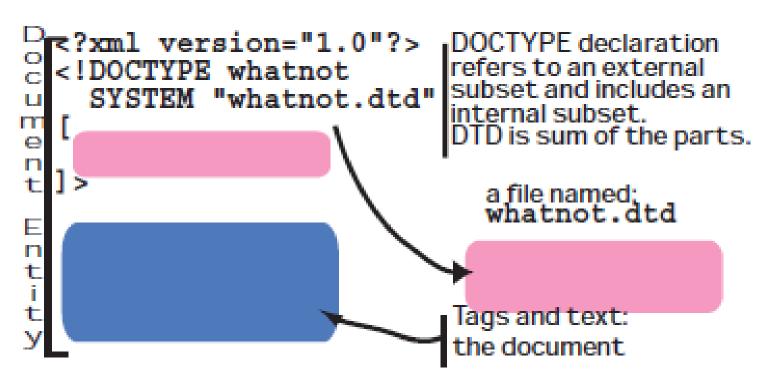
```
<!DOCTYPE root-element PUBLIC "public-identifier"
    "uri-of-dtd">
```

```
<?xml version="1.0"?>
<!DOCTYPE html PUBLIC "-//W3C//DTD XHTML 1.0 Strict//EN"
    "http://www.w3.org/TR/xhtml1/DTD/xhtml1-strict.dtd">

<html>
    <head>
        <title>Sample Document</title>
        </head>
        <body>Content Goes Here</body>
<html>
```

Mixed DTDs Declaration

Internal and External Subsets



```
<?xml version="1.0"?>
<!DOCTYPE address-book SYSTEM "catalog.dtd"</pre>
    <!ELEMENT phone (home | work)>
    <!ELEMENT home (#PCDATA)>
    <!ELEMENT work (#PCDATA)>
<address-book>
  <contact>
    <last-name>Smith</last-name>
    <first-name>Joe</first-name>
    <phone><home>408-555-5555</phone></phone>
  </contact>
</address-book>
```

```
<?xml version="1.0"?>
<!DOCTYPE html PUBLIC "-//W3C//DTD XHTML 1.0 Strict//EN"</pre>
   "http://www.w3.org/TR/xhtml1/DTD/xhtml1-strict.dtd"
    <!ELEMENT body (#PCDATA)>
   <!ATTLIST body
      color CDATA #IMPLIED>
>
<html>
 <head>
    <title>Sample Title</title>
 </head>
 <body color="blue">Content Goes Here</body>
</html>
```

The Building Blocks of XML Documents

- Elements
- Attributes

Declaring Elements

Defining elements within a DTD is done using an
 ! ELEMENT> declaration.

- <!ELEMENT element-name category> or
- <!ELEMENT element-name (element-content)>

<!ELEMENT

catalog

element content>

← DTD File

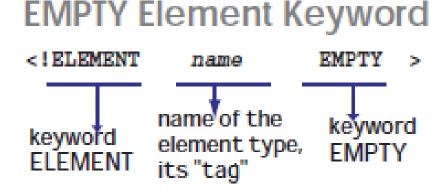
What an <!ELEMENT> Can Contain

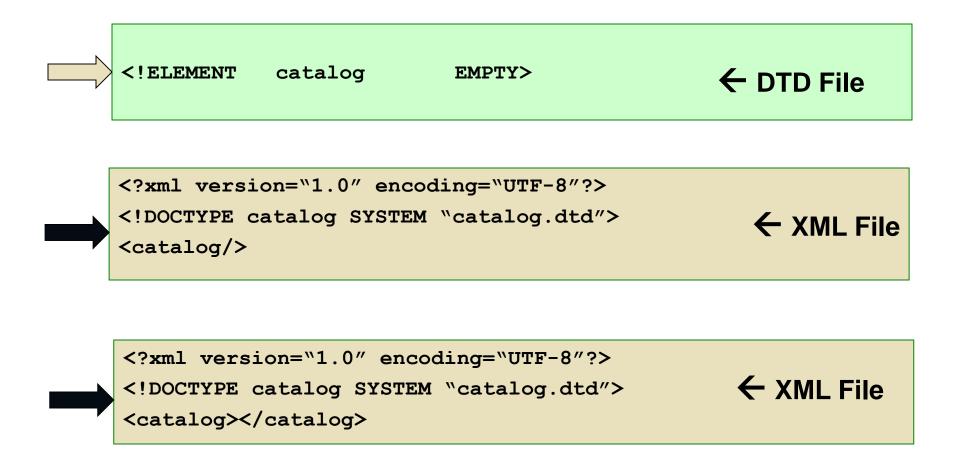
 An <!ELEMENT> declaration can contain several different types of content which include the following:

- > EMPTY.
- > PCDATA.
- > ANY.
- > Children Elements
- > Mixed Content

EMPTY

- <!ELEMENT> declarations that include the EMPTY value allow us to create empty elements within our xml.
- The word EMPTY must be entered in uppercase as it is case-sensitive.





Elements with Parsed Character Data

- Elements with only parsed character data are declared with #PCDATA
- PCDATA is text that will be parsed by a parser.
 Tags inside the text will treated as markup and entities will be expanded
- The word PCDATA must be enclosed in parenthesis with a preceding '#' and entered in uppercase as it is case-sensitive.

```
<!ELEMENT element_name (#PCDATA)>
```



ANY

 <!ELEMENT> declarations that include the value ANY allow us include any type of parsable content, including text and other elements, in our elements within our XML instance file.

• The word ANY must be entered in uppercase as it is case-sensitive.

```
<!ELEMENT element_name ANY>
```

Children Elements

- As mentioned before, the <!ELEMENT> declarations can contain children elements allowing for the nesting of elements within a document.
- Children elements can be added in a variety of ways including adding a single element or multiple elements and optional elements.

A Single Child Element

- <!ELEMENT> declarations that include other elements as values to allow us to specify children elements within our xml.
- Children elements should be listed after the parent <!ELEMENT>.

```
<!ELEMENT element_name (child_element)>
```

Declaring Multiple Children

Multiple children elements can be specified within an
 ! ELEMENT> declarations by separating them by commas
 (,) within parenthesis.

```
<!ELEMENT element_name (child_element,child_element)>
```

```
<!ELEMENT catalog (department, card)>
<!ELEMENT department (#PCDATA)>
<!ELEMENT card (#PCDATA)>
```

Optional Children Elements

 <!ELEMENT> declarations that include zero or one of a single child element as values can be specified by placing a question mark (?) immediately after the child element name.

```
<!ELEMENT element_name (child_element?)>
```

An Example of Declaring Zero or One Occurrences of an Element

```
<!ELEMENT catalog (card?)>
<!ELEMENT card (#PCDATA)>

<!ELEMENT card (#PCDATA)>

<?xml version="1.0" encoding="UTF-8"?>
<DOCTYPE catalog SYSTEM "catalog.dtd">
<catalog> </catalog>
```

```
(room?)>
<!ELEMENT
             class
< | ELEMENT
                         (seats)>
             room
                                           0 or 1 room
< | ELEMENT
                         (seat)>
             seats
<! ELEMENT
             seat
                         (#PCDATA)>
<?xml version="1.0" encoding="UTF-8"?> °
<!DOCTYPE class SYSTEM "class.dtd">
<class>
  <room>
    <seats>
      <seat>1</seat>
    </seats>
  </room>
</class>
```

Zero or More Children Elements

 <!ELEMENT> declarations that include zero or more of a single child element as values can be specified by placing a splat (*) immediately after the child element name.

```
<!ELEMENT element_name (child_element*)>
```

```
<!ELEMENT
                          (room?) >
              class
 < | ELEMENT
                          (seats?)>
              room
 < | ELEMENT
            seats
                          (seat*)>
                                              0 or many seats
 <!ELEMENT seat
                          (#PCDATA)>
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE class SYSTEM "class.dtd">
<class>
 <room>
   <seats></seats>
 </room>
 <?xml version="1.0" encoding="UTF-8"?>
 <!DOCTYPE class SYSTEM "class.dtd">
 <class>
   <room>
     <seats/>
   </room>
 </class>
```

```
<!ELEMENT
             class
                         (room?)>
< | ELEMENT
                         (seats?)>
              room
< | ELEMENT
              seats
                         (seat*)>
<!ELEMENT
             seat
                         (#PCDATA) >
                                              0 or many seats
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE class SYSTEM "class.dtd">
<class>
  <room>
   <seats>
    <seat>1</seat>
     <seat>2></seat>
   </seats>
 </room>
</class>
```

One Or More Children Elements

• <!ELEMENT> declarations that include **one or more** of a single child element as values can be specified by placing a **plus sign** (+) immediately after the child element name.

```
<!ELEMENT element_name (child_element+)>
```

```
(room?)>
<!ELEMENT
              class
                         (seats?)>
< | ELEMENT
              room
                                             1 or many seats
< | ELEMENT
                         (seat+)>
              seats
                          (#PCDATA) >
<!ELEMENT
              seat
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE class SYSTEM "class.dtd">
<class>
  <room>
    <seats>
     <seat>1</seat>
   </seats>
 </room>
</class>
```

```
(room?) >
<!ELEMENT
             class
< | ELEMENT
                         (seats?)>
             room
                                            1 or many seats
< | ELEMENT
                         (seat+)>
             seats
<!ELEMENT
             seat
                         (#PCDATA) >
<?xml version="1.0" encoding="UTF-8"?> ° C
<!DOCTYPE class SYSTEM "class.dtd">
<class>
  <room>
   <seats>
                                               ← XML File
     <seat>1</seat>
     <seat>2></seat>
   </seats>
  </room>
</class>
```

```
<!ELEMENT
              class
                          (room?)>
 < | ELEMENT
                          (seats?)>
              room
 < | ELEMENT
              seats
                          (seat+)>
                                              1 or many seats
 <!ELEMENT
                          (#PCDATA)>
              seat
<?xml version="1.0" encoding="UTF-8"?>
<!DOCTYPE class SYSTEM "class.dtd">
<class>
  <room>
   <seats></seats>
 </room>
</class>
                                                Invalid-
<?xml version="1.0" encoding="UTF-8"?>
                                                no <set>
<!DOCTYPE class SYSTEM "class.dtd">
                                                elements
<class>
 <room>
   <seats/>
 </room>
</class>
```

```
<!ELEMENT
            class
                       (windows?, room, door+)>
< | ELEMENT
                       (seats*)>
            room
< | ELEMENT
           seats
                       (seat+)>
                                                       ← DTD File
<!ELEMENT
                       (#PCDATA)>
          seat
<!ELEMENT
            windows
                       (#PCDATA)>
<!ELEMENT
                       (#PCDATA)>
            door
```

Declaring A Choice of Children

 When we have a choice of two or more children elements within an <!ELEMENT> declaration, they must be enclosed in parenthesis and must be separated by a pipe (|).

```
<!ELEMENT element_name (child_element|child_element)>
```

```
<!ELEMENT catalog (card | cards)>
<!ELEMENT cards (card+)>
<!ELEMENT card (#PCDATA)>
```

```
<!ELEMENT catalog (card | cards)>
<!ELEMENT cards (card+)>
<!ELEMENT card (#PCDATA)>
```

```
<!ELEMENT
            class
                      ((windows|lights), room, door+)>
< | ELEMENT
            room
                      (seats*)>
< | ELEMENT
            seats
                      (seat+)>
<!ELEMENT
            seat
                      (#PCDATA)>
                                                      ← DTD File
<!ELEMENT
            lights
                      (#PCDATA)>
<!ELEMENT
            windows
                      (#PCDATA)>
                      (#PCDATA)>
<!ELEMENT
            door
```

```
<!ELEMENT
            class
                      ((windows|lights), room, door+)>
< | ELEMENT
                      (seats*)>
            room
< | ELEMENT
            seats
                      (seat+)>
<!ELEMENT
            seat
                      (#PCDATA)>
                                                     ← DTD File
<!ELEMENT
            lights
                     (#PCDATA)>
           windows
<!ELEMENT
                      (#PCDATA)>
<!ELEMENT
            door
                      (#PCDATA)>
```

Declaring Mixed Content

 Declaring mixed content is like declaring a choice with one exception – the first choice is the #PCDATA data type within parenthesis with a * following the closing parenthesis.

```
<!ELEMENT element_name (#PCDATA | child_element)*>
```

```
<!ELEMENT
            class
                       (#PCDATA|windows|lights|room|door)*>
                      (seats*)>
< | ELEMENT
            room
< | ELEMENT
            seats
                      (seat+)> 0 or many seats
<!ELEMENT
            seat
                      (#PCDATA)>
            lights
<!ELEMENT
                     (#PCDATA)>
<!ELEMENT
            windows
                      (#PCDATA)>
                                                      ← DTD File
                      (#PCDATA)>
<!ELEMENT
            door
```

```
<! ELEMENT
            class
                       (#PCDATA|windows|lights|room|door) *>
< | ELEMENT
            room
                       (seats*)>
                       (seat+)> 0 or many seats
< | ELEMENT
            seats
<!ELEMENT
            seat
                       (#PCDATA)>
                                                       ← DTD File
<!ELEMENT
            lights
                       (#PCDATA)>
            windows
<!ELEMENT
                       (#PCDATA)>
<!ELEMENT
            door
                       (#PCDATA)>
```

Summary

Element Declaration

BLEMENT</th <th>name</th> <th>(content-model) ></th>	name	(content-model) >
keyword ELEMENT	name of the element type, its "tag"	formal definition of the element's allowed content

Connectors

,	"Then "	Follow with (in sequence)	
	"Or"	Select (only) one from the group	
Only one connector type per group — no mixing!			

Occurrence Indicators

(no indicator)	Required	One and only one
?	Optional	None or one
*	Optional, repeatable	None, one, or more
+	Required, repeatable	One or more

Summary

#PCDATA in Models (first, OR bars, asterisk) (#PCDATA) (#PCDATA | elem1 | elem2) * element keyword #PCDATA Vertical Bar include the * ANY Element Keyword <!RLRMRNT</pre> A NEW name of the keyword keywofd element type, ANY ELEMENT EMPTY Element Keyword <!RI-RMRNT</pre> RMPTY mame name of the keyword keyword element type, **EMPTY** ELEMENT

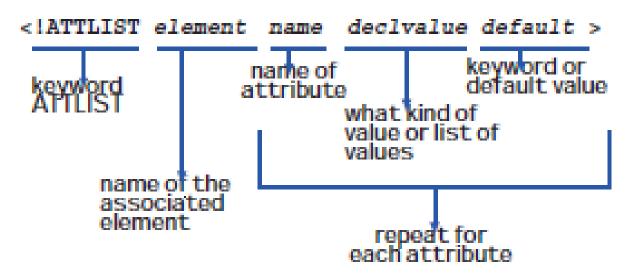
Attributes

- Defining attributes within a DTD is done using an <!ATTLIST> declaration.
- <!ATTLIST> declarations are composed of several parts including the element name that the attribute list belongs to, the name of the attribute, the type of content it can contain and how it is required.

```
<!ATTLIST element_name
   attribute_name attribute_type default_value
>
```

Attributes

Attribute Declaration



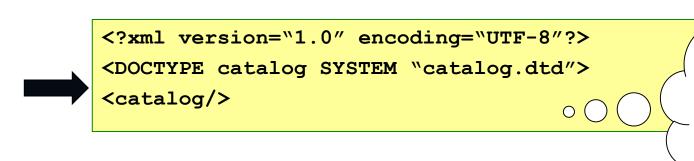
More About Attributes

- When including the <!ATTLIST> declaration, it must be placed IMMEDIATELY after the <!ELEMENT> declaration that it is associated with. Children <!ELEMENT>s will follow the <!ATTLIST> declaration.
 - Multiple attributes for one <!ELEMENT> declaration are contained within the same <!ATTLIST> declaration.

Values of Attributes

- An <!ATTLIST> declaration can be defined as having one
 of four different value which include the following:
 - > value
 - This is the DTD defined default value.
 - > #REQUIRED
 - An attribute with this value must be included.
 - > #IMPLIED
 - An attribute with this value is optional.
 - > #FIXED value
 - This defines fixed values for the given attribute.

An Example using #REQUIRED



This is invalid since the attribute is missing.

An Example using #IMPLIED

```
<!ELEMENT
            catalog
                      EMPTY>
<!ATTLIST
            catalog
                                                 ← DTD File
            catid
                                #IMPLIED
                      CDATA
<?xml version="1.0" encoding="UTF-8"?>
<DOCTYPE catalog SYSTEM "catalog.dtd">
                                                 ← XML File
<catalog catid="D123"/>
<?xml version="1.0" encoding="UTF-8"?>
                                                ← XML File
<DOCTYPE catalog SYSTEM "catalog.dtd">
<catalog/>
```

An Example using #FIXED Values

<?xml version="1.0" encoding="UTF-8"?>

<DOCTYPE catalog SYSTEM "catalog.dtd">

<catalog catid="D456"/>

```
<!ELEMENT
            catalog
                      EMPTY>
            catalog
<! ATTLIST
            catid
                      CDATA
                                #FIXED "D123"
<?xml version="1.0" encoding="UTF-8"?>
<DOCTYPE catalog SYSTEM "catalog.dtd">
                                                  ← XML File
<catalog catid="D123"/>
<?xml version="1.0" encoding="UTF-8"?>
                                                 Attribute is
<DOCTYPE catalog SYSTEM "catalog.dtd">
                                                   missing.
<catalog/>
```

Invalid

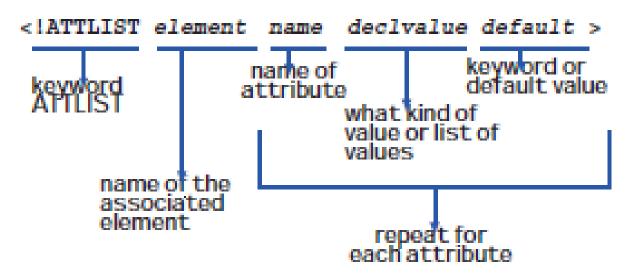
value used.

Summary

Attribute Defaults		
"value"	If attribute is omitted, assume this value.	
#REQUIRED	Required. Document is not valid if no	
	value is provided.	
#IMPLIED	Optional. Not constrained; no default can	
	be inferred; an application is free to handle	
	as appropriate.	
#FIXED	Fixed value. (Requires a value as well as	
"value"	the keyword.) If the attribute appears with	
	a different value, that's an error.	

Attributes

Attribute Declaration



Declaring Attributes

- An <!ATTLIST> declaration can be defined as one of several different types which include the following:
 - > CDATA
 - The value is character data
 - Enumerated Types

The value must be one from an enumerated list

ID The value is a unique id

Unique value must begin with a Non-Integer value

An Example using CDATA

```
<?xml version="1.0" encoding="UTF-8"?>
<DOCTYPE catalog SYSTEM "catalog.dtd">
<catalog catid="D456"/>
```

An Example using Enumerated Types

```
<!ELEMENT
           catalog
                     EMPTY>
<!ATTLIST
            catalog
                                          "D123" ← DTD File
            catid
                     (D123|D234|D345)
 <?xml version="1.0" encoding="UTF-8"?>
                                                 Attribute
                                               and value is
<DOCTYPE catalog SYSTEM "catalog.dtd">
<catalog/>
                                                 assumed.
<?xml version="1.0" encoding="UTF-8"?>
<DOCTYPE catalog SYSTEM "catalog.dtd">
<catalog catid="D123"/>
                                                   Bad
                                                Example.
 <?xml version="1.0" encoding="UTF-8"?>
 <DOCTYPE catalog SYSTEM "catalog.dtd">
 <catalog catid="D456"/>
```

Summary

```
<!ATTLIST book
publisher CDATA #IMPLIED
reseller CDATA #FIXED "MyStore"
ISBN ID #REQUIRED
inPrint (yes|no) "yes" >
```

Disadvantages of DTD

- DTD has its own syntax.
- Precise number of element repetitions can't be achieved.
- · Limited number of data types.
- Only 1 DTD can be referenced from within the XML document.

Thank you!