

# Chapter No 1: Algorithms Analysis

## Algorithms

### Design

First we have to design a solution for a problem.

Person with the domain knowledge.

Any Language for humans (Preferably Mathematical Notation)

H/W & OS Independent

Analyze Algorithm

## Programs

### Implementation

Programmers will write the program, can be a designer of Algorithms also

Using Programming Language.

H/W & OS Dependent

Run/Testing

## Priossi Analysis

## Posteriori Testing

Algorithm based

Program based

Independent of  
language

Language Independent

H/W independent

H/W dependent

Time complexity  
& space Function

Watch Time (Total  
seconds by program)  
bytes of space  
occupied.

### Characteristics of Algorithm:

- 1- Input  $\Rightarrow$  Can Take 0, 1 or more inputs
- 2- Outputs  $\Rightarrow$  Must have at least 1 output
- 3- Definiteness  $\Rightarrow$  Every single line have  
a single & exact meaning  $\Rightarrow$   
Cannot use ( $\sqrt{-1}$ )  $\Rightarrow$
- 4- Finiteness  $\Rightarrow$  Limited set of steps.
- 5- Effectiveness  $\Rightarrow$  It should serve some  
purpose.

## How to Analyze an Algorithm (Factors)

- 1- Time
- 2- Space
- 3- Network  $\Rightarrow$  Data Transfers
- 4- Power consumption
- 5- CPU Registers

### Example:

Algorithm swap( $a, b$ )

$\begin{array}{l} \text{temp} = a \\ a = b \\ b = \text{temp} \end{array}$  ————— Takes 1 unit of time  
                          ||        ||        ||        ||  
                          ||        ||        ||        ||

1-Time function:  $f(n) = 3$ ,  $f(n) = 10000$   
Constant  $\rightarrow O(1)$

2- Space  
 $\downarrow \downarrow$   $\rightarrow$  temp  $\Rightarrow$  Local variables  $\Rightarrow 3$

$\begin{array}{c} a \\ 1 \\ b \\ 2 \end{array}$   
 $s(n) = 3$  —————  $O(1)$   
 $s(n) = 400$        $s(n) = 10000$  ~~23,4~~

## :Frequency Count Method:

Example:

$\rightarrow$   $\boxed{1 \ 3 \ 9 \ 27 \ 81 \ 243 \ 729 \ 13}$        $n=8$

Algorithm     $\text{Sum}(A, n)$

{

$S = 0;$  — {unit of time}

for ( $i \geq 0;$   $i \leq n;$   $i+1$ )  $\rightarrow n+1$

$S = S + A[i];$   $\rightarrow n$  times

return  $S;$  — 1

}

$$f(n) = 1 + 1 + 1 + n + n = 2n + 3$$

Degree of  
Polynomial  
 $\Downarrow$   
 $n$

order of =

$\Theta(n)$

Time  
Complexity

Space Complexity:

Variables:     $A = n, S = 1, n = 1$   
 $i = 1$

$S(n) = n + 3 \Rightarrow$  order of:  $O(n)$

Time  
Complexity

Space  
Complexity

Algorithm add (A, B, n)

$n \times n$  matrices

for ( $i=0$ ;  $i < n$ ;  $i++$ )  $\rightarrow n+1$

for ( $j=0$ ;  $j < n$ ;  $j++$ )  $\rightarrow n \times (n+1)$

$c[i] = A[i] + B[i]; \rightarrow n \times n$

Time Complexity

$$f(n) = (n+1) + n^2 + n + n^2 = 2n^2 + 2n + 1$$

Highest degree

$$O(n^2)$$

Space Complexity

$$\begin{aligned} A &\Rightarrow n^2 \\ B &\Rightarrow n^2 \\ C &\Rightarrow n^2 \end{aligned}, \quad \begin{aligned} n &\rightarrow 1 \\ i &\rightarrow 1 \\ j &\rightarrow 1 \end{aligned} \Rightarrow S(n) = 3n^2 + 3$$

Highest Degree

$$O(n^2)$$

①

$(i=n; i \geq 0; i--)$ ,  $(i=n; i \geq 0; i+2)$ ;  
 for ( ~~$i \geq 0$~~ ;  $i < n$ ;  $i++$ ) —  $n+1$

stmt; —  $n$

$O(n)$

②

for ( $i=0; i < n; i++$ ) —  $n+1$

for ( $j=0; j < n; j++$ )  $n \rightarrow n \times (n+1) \Rightarrow n^2+n$

stmt; —  $n \times n \Rightarrow n^2$

Thus  $O(n^2)$

③

for ( $i=0; i \leq n; i++$ )

for ( $j=0; j \leq i; j++$ )

stmt;

}

$i$	$j$	No. of times
0	0	0
1	0, 1	1
2	0, 1, 2	2
3	0, 1, 2, 3	3

$$0+1+2+3+\dots+n = \frac{n(n+1)}{2} \Rightarrow \frac{n^2+n}{n}$$

Time =  $O(n^2)$

Complexity

i	P	no of times
1	0+1=1	
2	3	
3	6	
4	10	
K	$1+2+3+\dots+K$	
	$P > n$	

And  $P = 0+1+2+3+\dots+K$   
 $= \frac{K(K+1)}{2}$

$$\Rightarrow \frac{K(K+1)}{2} > n$$

$$\Rightarrow K^2 > n$$

$$\Rightarrow K > \sqrt{n}$$

Let  $n = 9$

$\Rightarrow$  First time

$$i=1, P=0 < n \Rightarrow T$$

$$P=0+1$$

No 2:  $i=2, P=1 < 9 \Rightarrow T$

$$P=1+2=3$$

$\Downarrow$

No 3:  $P=3 < 9 \Rightarrow T, i=3$

$$P=3+3=6$$

$\Downarrow$

No 4:  $P=6 < 9 \Rightarrow T, i=4$

$$P=6+4=10$$

$\Downarrow$

$$P=10 > 9 \Rightarrow \text{False}$$

Thus  $\mathcal{O}(\sqrt{n}) \Rightarrow n=9 \Rightarrow \sqrt{9}=3$

⑤

```
for(i=1; i<n; i=i*2)
{
    stmt;
}
```

i	
1	
$1 \times 2 = 2$	
$2 \times 2 = 2^2$	
$2^2 \times 2 = 2^3$	
$2^3 \times 2 = 2^4$	
$2^k$	

Assume  $i \geq n$

Since  $i \geq 2^k$

$$1. \quad 2^k \geq n$$

$$2^k = n$$

$$k = \log_2 n$$

$$\Rightarrow O(\log_2 n)$$

⑥

```
for(i=n; i>=1; i=i/2)
{
    stmt;
}
```

$\frac{n}{2}, \frac{n}{2^2}, \frac{n}{2^3}$	
	1

$$\frac{n}{2^k}$$

Assume  $i \geq 1$

$$\therefore \frac{n}{2^k} \leq 1$$

$$n \leq 2^k$$

$$k = \log_2 n \Rightarrow O(\log_{\sqrt{2}} n)$$

⑦

```
for(i=0; i<n; i++)
{
    stmt;
}
```

$$i \times i \geq n \Rightarrow i^2 \geq n \Rightarrow i^2 \leq n$$

$$i = \sqrt{n}$$

$$O(\sqrt{n})$$

⑧  $\text{for } (i=0; i < n; i++)$   
 {  
 }  $\longrightarrow n$   
 $\text{for } (j=0; j < n; j++)$   
 {  
 }  $\longrightarrow n$   
 $\longrightarrow 2n \Rightarrow O(n)$

⑨  $P=0$   
 $\text{for } (i=1; i < n; i=i*2)$   
 {  
 }  $P+1 \longrightarrow \log n = P$   
 $\text{for } (j=1; j < P; j=j*2)$   
 {  
 }  $\text{stmt} \longrightarrow \log P$

$\Rightarrow O(\log P) \Rightarrow O(\log \log n)$

⑩  $\text{for } (i=0; i < n; i++) \longrightarrow n$   
 {  
 }  $\text{for } (j=1; j < n; j=j*2) \longrightarrow n \times \log n$   
 {  
 }  $\text{stmt} \longrightarrow n \times \log n$   
 {  
 }  $\Rightarrow f(n) = (2n \log n) + n$

$\boxed{\downarrow}$   
 $O(n \log n)$

## Classes of Time Function

$O(1) \Rightarrow$  Constant

$O(\log n) \Rightarrow$  Logarithmic

$O(n) \Rightarrow$  Linear

$O(n^2) \Rightarrow$  Quadratic

$O(n^3) \Rightarrow$  Cubic

$O(2^n) \Rightarrow$  Exponential

Komparison

Based on weightage

$\log n < \sqrt{n} < n \log n < n < n^2 < n^3 \dots < 2^{n/2} < 2^n$

## Asymptotic Notations:

Big Oh  $[O(n)] \Rightarrow$  Worst Case (Upper Bound)

Big Omega  $[\Omega(n)] \Rightarrow$  Best Case (Lower Bound)

Theta  $[\Theta(n)] \Rightarrow$  Average Case (Average Bound)

Big Oh - When to use

$f(n) = O(g(n))$  if and only if there exists positive constant  $C$  and

such that

$n_0$

$$f(n) \leq C * g(n) \text{ for}$$

all  $n$ 's (numbers or cases) greater than  $n_0$

Example:

$$f(n) = 2n + 3$$

Form:

$$f(n) \leq C * g(n)$$

$\downarrow$

$$2n + 3 \leq 7n$$

$f(n) \text{ or sub. } \leq \text{ sub. } \text{ or. } \text{ or. } \text{ or. } \text{ or. }$

- $n$  greater  $\sim$

$n_0$  is starting value from where

$$f(n) \geq C * g(n)$$

To get this,

$$\begin{aligned} 2n+3 &\leq 2n+3 \star(n) \\ \Rightarrow 2n+3 &\leq 2n+3n \Rightarrow 2n+3 \leq 5n \\ \text{Agar ham } n \text{ ki jagah value} \\ \text{put karen } \cancel{n} \\ \Rightarrow \text{for all values } &\geq 1 \end{aligned}$$

Yahan  $\therefore f(n) = O(n)$

because polynomial degree

Now, if Big-Oh is  $f(n) = O(n)$

Time function  $\hookrightarrow$  greater  $\hookrightarrow O(1) \hookrightarrow$  less  $O(1)$

- Int'l Ch Big-Oh

$$1 \leq f(n) \leq cn \quad \boxed{O(n \log n) < n^2 < n^3 \dots < 2^n < 3^n < n!}$$

lower bound  
 $\Omega(n)$ ,  $\Omega(\log n)$   
all true

upper bound

$O(n^2), O(n \log n)$  all are true  
→ closer to  $O(n)$

Average Bound

$\Theta(n) \rightarrow$  We cannot use any other

Not Related to Best, Worst or

Average Case.

## Example Asymptotic Notation

①

$$f(n) = 2n^2 + 3n + 4$$

For Big-Oh - O

$$\begin{aligned} 2n^2 + 3n + 4 &\leq 2n^2 + 3n^2 + 4n^2 \\ 2n^2 + 3n + 4 &\leq 9n^2 \quad ; n \geq 1 \\ f(n) &= O(n^2) \end{aligned}$$

For Big-Omega - Ω

$$2n^2 + 3n + 4 \geq 1 \times n^2 \Rightarrow f(n) \leq \Omega(n^2)$$

For Theta - Θ

$$\begin{aligned} 1(n^2) &\leq 2n^2 + 3n + 4 \leq 9(n^2) \\ \Rightarrow f(n) &= \Theta(n^2) \end{aligned}$$

②

$$f(n) = n^2 \log n + n$$

$$\begin{aligned} 1(\log n) &\leq n^2 \log n + n \leq 10 [n^2 \log n] \\ \Rightarrow O(n^2 \log n) &\leq f(n) \leq \Theta(n^2 \log n) \end{aligned}$$

③

$$f(n) = n!$$

$$c_1 * g(n) \leq f(n) \leq c_2 * h(n)$$

$$1 \times 2 \times 3 \times 4 \times 5 \times \dots \times n! \leq n! \leq n \times n \times n \times n \times n \times \dots$$

$$1 \leq n! \leq n^n$$

$$\Omega(1) \leftarrow O(n^n)$$

$\sqrt[n]{60}$  is common base.  
omega, Big-Oh

④  $f(n) = \log n!$

$$\log 1 + \log 2 + \dots + \log n \leq \log n! \leq \log n + \log n + \dots + \log n$$

$$\log 1 \leq \log n! \leq \log n^n$$

$$\Rightarrow 1 \leq \log n! \leq n \log n$$

$$\Omega(1), \quad O(n \log n)$$

### Properties of Asymptotic Notations

General:

- If  $f(n)$  is  $\Theta(g(n))$  then  $c_1 f(n)$  is  $O(g(n))$   
e.g. if  $f(n) = 2n^2 + 5 \Rightarrow O(n^2)$   
then  $7 \cdot f(n) = 7(2n^2 + 5) = 14n^2 + 35 \Rightarrow O(n^2)$

- If  $f(n)$  is given then  $f(n)$  is  $O(f(n))$   
e.g.  $f(n) = n^2 \Rightarrow O(n^2)$

### Transitive

- If  $f(n)$  is  $O(g(n))$  and  $g(n)$  is  $O(h(n))$   
then  $f(n) = O(h(n))$

e.g.

$$f(n) = n, g(n) = n^2, h(n) = n^3$$

$\Rightarrow n$  is  $O(n^2)$  and  $n^2$  is  $O(n^3)$   
then  $n$  is  $O(n^3)$

### Symmetric

If  $f(n)$  is  $\Theta(g(n))$  then  
 $g(n)$  is  $\Theta(f(n))$

e.g.

$$f(n) = n^2, g(n) = n^2 \\ f(n) \Rightarrow \Theta(n^2), g(n) \Rightarrow \Theta(n^2)$$

Only True for  $\Theta$

### Transpose Symmetric: (True for $O$ and $\Omega$ )

If  $f(n) = O(g(n))$  then  $g(n)$  is  $\Omega(f(n))$

e.g.

$$f(n) = n, g(n) = n^2$$

upper Bound  
 $O(n^2)$

lower Bound  
 $\Omega(n)$

Another:

- If  $f(n) = O(g(n))$   
and  $f(n) = \Omega(g(n))$

$$g(n) \leq f(n) \leq g(n)$$

$$\therefore f(n) = \Theta(g(n))$$

- Addition:

If  $f(n) = O(g(n))$

$$d(n) = O(h(n))$$

$$f(n) + d(n) = O(\max(g(n), h(n)))$$

$\Leftarrow$  Left expression  $\forall c_1, c_2$  example  $c_1$

e.g.

$$\begin{aligned} \text{if } f(n) &= n \\ d(n) &= n^2 \end{aligned}$$

$$f(n) + d(n) = n + n^2 \Rightarrow O(n^2)$$

Higher degree polynomial

Big-O notation

## Multiplication:

$$f(n) = O(g(n))$$

$$d(n) = O(h(n))$$

$$f(n) * d(n) = O(g(n) * h(n))$$

e.g

$$f(n) = ^nO(n)$$

$$d(n) = ^{n^2}O(n^2)$$

$$\Rightarrow f(n) * d(n) = n * n^2 = n^3 \Rightarrow O(n^3)$$

## Compositions of Functions:

We compare function to see which is greater (upper bound) and which is smaller (lower bound).

Function 1  
n

Function 2  
 $n^2$

$\sqrt{n}$  is not directly for comparison. Simple is Function 1 is smaller and Function 2 is greater.

i.e. 
$$\begin{cases} n < n^2 \\ O(n) \leq O(n^2) \end{cases}$$

Prove  $\lim_{n \rightarrow \infty} \frac{O(n)}{O(n^2)} = 0$  Mathematically

we can say  $O(n) \leq Cn$  and  $O(n^2) \geq cn^2$

### Way 1:

Sample values	Put sample values	
	Function 1	Function 2
1	$n^2$	$n^3$
2	$2^2 = 4$	$2^3 = 8$
3	$3^2 = 9$	$3^3 = 27$
4	$4^2 = 16$	$4^3 = 64$

Thus,

$$n^2 < n^3 \text{ (As per value)}$$

### Second Way: (Applying log):

$n^2$	$n^3$
$\log n^2$	$\log n^3$
$2 \log n$	$3 \log n$
Rule of log.	
$2 \log n$	$3 \log n$
Some log	Rules:

$$1: \log ab = \log a + \log b$$

$$2: \log a/b = \log a - \log b$$

$$3: \log a^b = b \log a$$

$$4: \cancel{a^b} \quad a^{\log_c b} = b^{\log_c a}$$

$$5: a^b = n \text{ then } b = \log_a n$$

Example:  $f(n) = n^2 \log n$        $g(n) = n \log(n)^2$

$$f(n) = n^2 \log n$$

$$g(n) = n \log(n)^2$$

Applying Log on both sides

$$\log(n^2 \log n)$$

$$\log[n(\log n)^2]$$

$$\log n^2 + \log \log n$$

$$\log n + \log(\log n)^2$$

$$2\log n + \log \log n$$

$$\log n + 2\log \log n$$

Bigger term

$$2\log n > \log n$$

Thus

$$f(n) > g(n)$$

Example

$$f(n) = 3n^{\sqrt{n}}$$

$$g(n) = 2^{\sqrt{n}} \log_2 n$$

$$3n^{\sqrt{n}}$$

$$\textcircled{2} \log_2(n^{\sqrt{n}})$$

"

$$(n^{\sqrt{n}})^{\log_2 2} = n^{\sqrt{n}}$$

using 4th formula

$$\Rightarrow \textcircled{3} n^{\sqrt{n}}$$

$$n^{\sqrt{n}}$$

$$\Rightarrow 3n^{\sqrt{n}} > n^{\sqrt{n}}$$

But asymptotically they have

$$O(n^{\sqrt{n}}) = O(n^{\sqrt{n}})$$

Example:

$$n^{\log n}$$

Applying log

$$\log(n^{\log n})$$

$$\log n \times \log n$$

$$\log^2 n$$

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$$2^{\sqrt{n}}$$

$$\log 2^{\sqrt{n}}$$

$$\sqrt{n} \log^2 1$$

$$\sqrt{n}$$

Apply

$$\log(\log^2 n)$$

$$\log n^{1/2}$$

$$\Rightarrow \frac{1}{2} \log(\log n)$$

$$\frac{1}{2} \log n$$

smaller

Greater

Examples

$$f(n) = 2^{\log n}, g(n) = n^{\sqrt{n}}$$

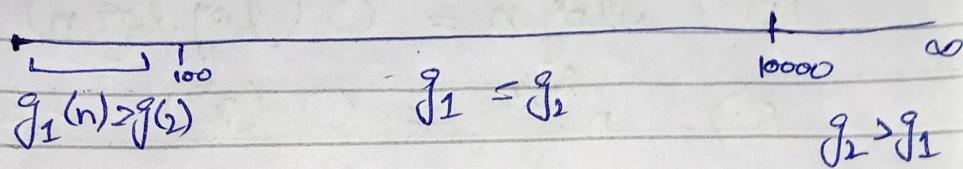
$$f(n) = 2n, g(n) = 3n$$

$$f(n) = 2^n, g(n) = 2^{2n}$$

## Last Type

$$g_1(n) = \begin{cases} n^3 & n < 100 \\ n^2 & n \geq 100 \end{cases}$$

$$g_2(n) = \begin{cases} n^2 & < 10,000 \\ n^3 & \geq 10,000 \end{cases}$$



Y1 is approach of infinity ↴

↑ is greater of the function

i function of n  
is greater

$$\Rightarrow g_1(n) < g_2(n)$$

True or False

1)  $(n+k)^m = O(n^m)$  True

2)  $2^{n+1} = O(2^n)$  True

3)  $2^{2^n} = O(2^n)$  False

4)  $\sqrt{\log n} = O(\log \log n)$ , False

5)  $n^{\log n} = O(2^n)$  True

Best, Worst, Average Case:

For this we will take example of

- A: Linear Search
- B: Binary Search Tree

A: Linear Search

a	8	6	12	5	9	8	7	10	15	19	20
	0	1	2	3	4	5	6	7	8	9	10

Case 1: Key = 7, Found in 7 iteration  
on Index 6

Case 2: Key = 21, Not Found

Best Case:

1st element  $\leq$  1  $\leq$  2.

Index "0"  $\leq$ .

Best Case Time = 1  $\Rightarrow O(1)$

~~O(n)~~ =  $O(1)$

$B(n)$

Worst Case:

Last Index Element i.e.  
Index 10 = 20

Worst Case Time =  $n \Rightarrow O(n)$

$w(n) = O(n)$

## Average Case:

All Possible Cases time  
No. of Cases

This is very difficult to determine.

$$\text{Avg.time} = \frac{[1+2+3+\dots+n]}{n} \Rightarrow \frac{\frac{n(n+1)}{2}}{n}$$

$$= \frac{n+1}{2}$$

$$\Rightarrow A(n) = \frac{n+1}{2}$$

$$B(n) = 1$$

$$w(n) = n$$

$$A(n) = \frac{n+1}{2}$$

Using Asymptotic Notation:

$$B(n) = 1, \quad B(n) = O(1) \Rightarrow O(1)$$

$$B(n) = \Omega(1) \Rightarrow$$

$$w(n) = n, \quad w(n) = O(n) \Rightarrow O(n)$$

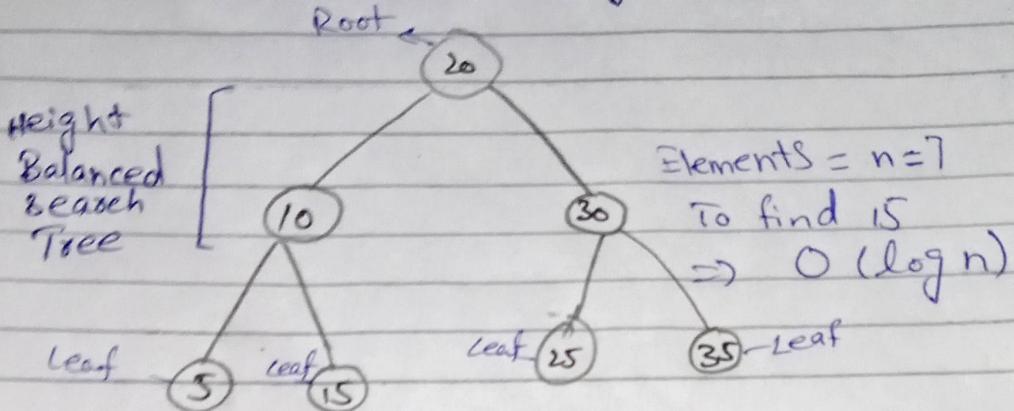
$$w(n) = \Omega(n) \Rightarrow$$

$$A(n) = \frac{n+1}{2} \Rightarrow A(n) = O(n) \Rightarrow O(n)$$

$$A(n) = \Omega(n) \Rightarrow$$

### 3- Binary Search

Elements are already sorted.



Best Case - Searching for Root Ele

$$B(n) = O(1)$$

Worst Case - Searching for Leaf Ele

$$W(n) = \log n$$

$$\Rightarrow \min W(n) = \log n$$

$$\max W(n) = n$$

