	<b>Course:</b> <b>Program:</b> <b>Instructor:</b>	<b>Advance Database Concepts</b> <b>BS (Computer Science)</b> <b>Muhammad Ishaq Raza</b>
	<b>Practice Problems:</b>	<b>File Structures and Hashing</b>

**SOLUTION**

**Topic: File Structures and Hashing**

**Q1.** Assume a relation  $R(A, B, C)$  is given;  $R$  is stored as an ordered file (un-spanned) on non-key field  $C$  and contains 500,000 records. Attributes  $A$ ,  $B$  and  $C$  need 5 bytes of storage each, and blocks have a size of 2048 Bytes. Each  $A$  value occurs at an average 5 times in the database, each  $B$  value occurs 50 times in the database, and each  $C$  value occurs 50,000 times in the database. Assume there is no index structure exists.

Estimate the number of block fetches needed to compute the following queries (where  $C_a$  and  $C_c$  are integer constants):

- SELECT  $B, C$  FROM  $R$  WHERE  $A = C_a$ ;
- SELECT  $B, C$  FROM  $R$  WHERE  $C = C_c$ ;

**Answer:**

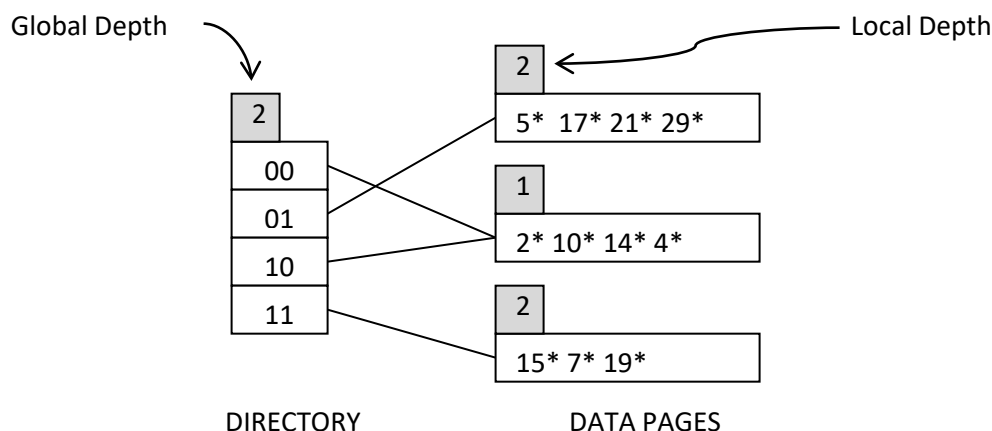
$r=500,000$ ;  $R=15$  bytes;  $B=2048$  bytes;  $bfr=2048/15=136$ ;  $b=500,000/136=3677$

a.  $O(b) = 3677$

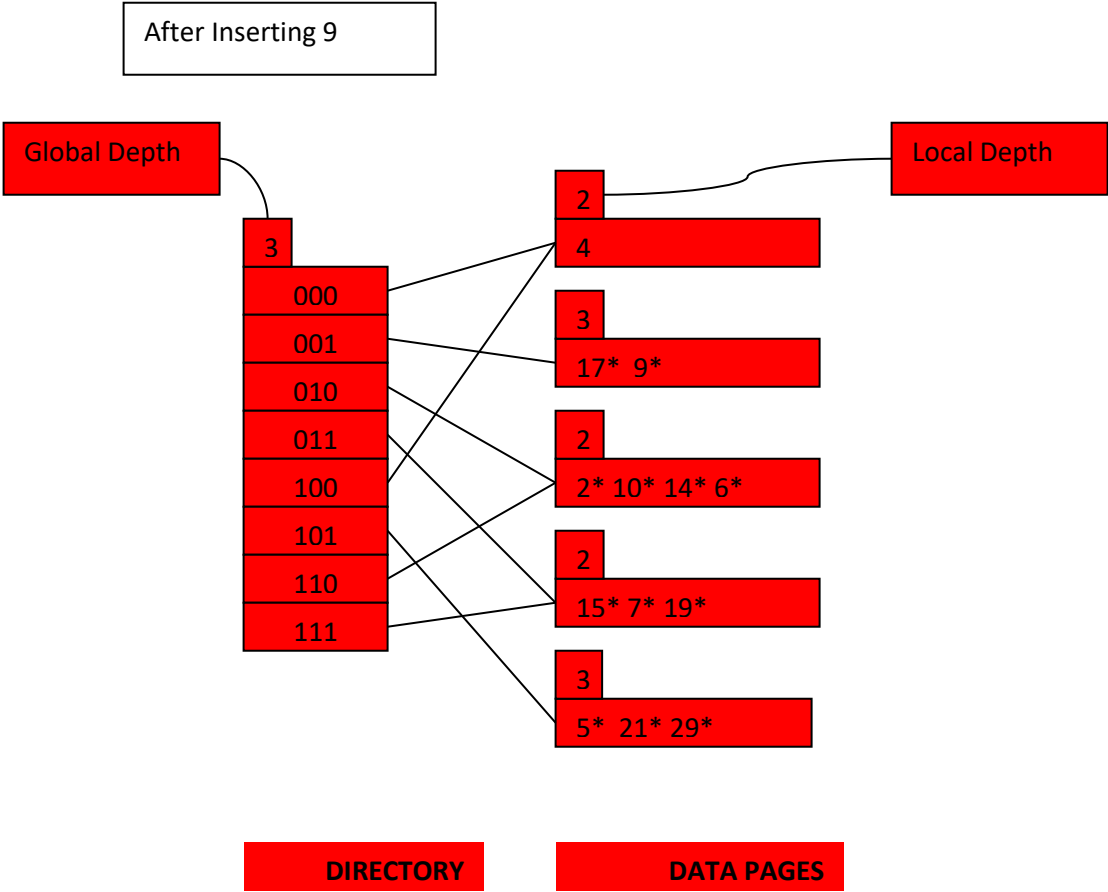
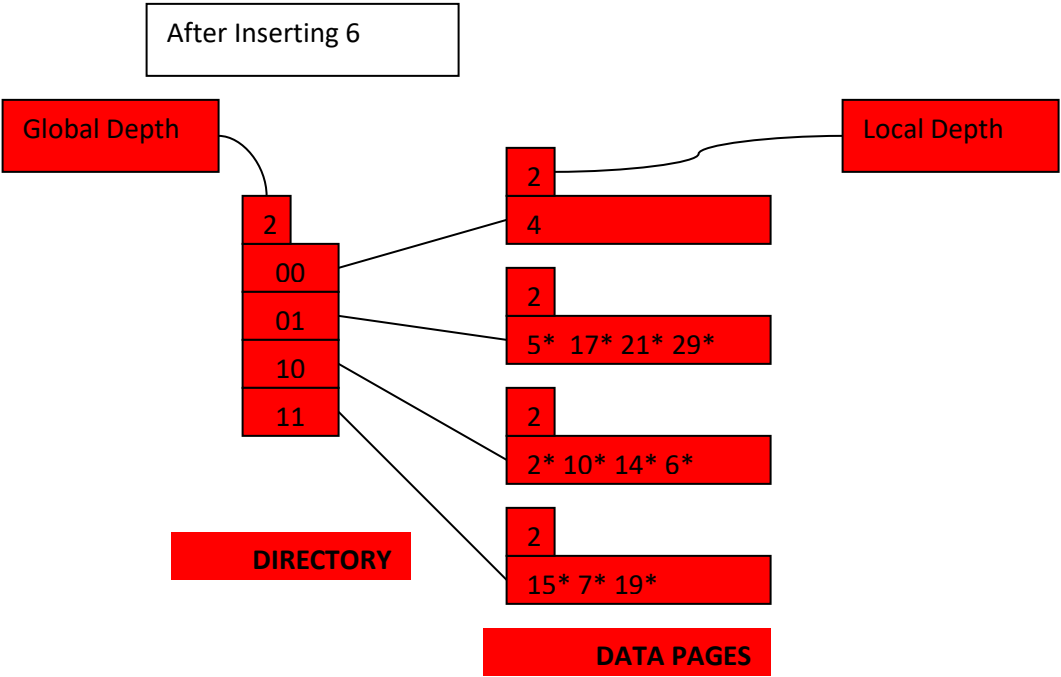
b.  $O(\log(b) + s/bfr - 1) = O(12 + 50,000/136 - 1) = O(12 + 368 - 1) = 379$

**Q2.** Assume the extendible hash index in the figure. Each bucket overflow leads to a split. Draw the index after the insertion of record with the search keys: **6, 9** (*two diagrams one after inserting each record are required*).

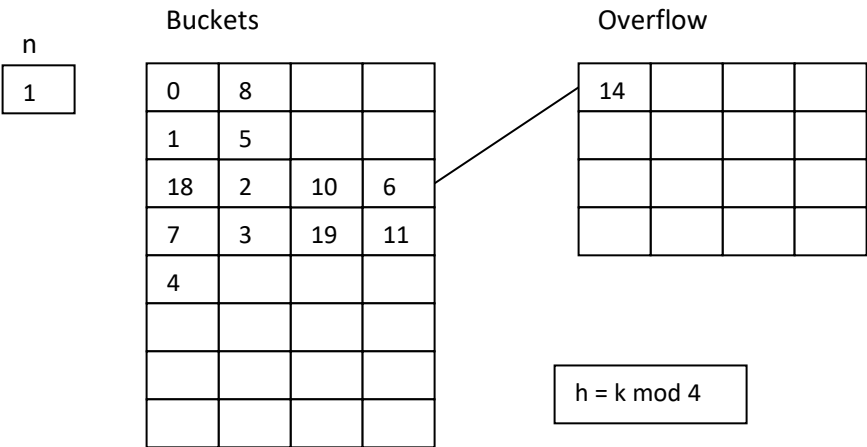
- Directory array size (i.e. bucket size) is 4
- To find the Bucket for  $r$ , take last 'global depth' number of bits of  $h(r)$ , we denote  $r$  by  $h(r)$ . If  $h(r) = 5 = \text{binary } 101$  it is in the bucket pointed to by 01 (*least significant bits*).



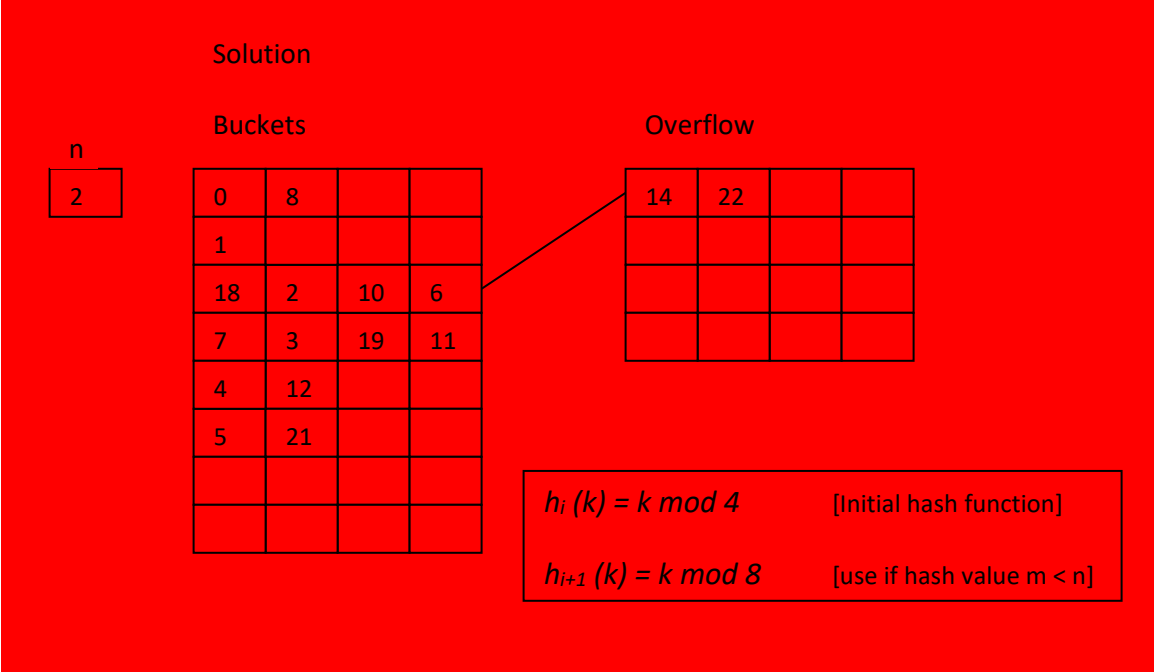
Answer:



Q3. Assume the linear hashing index in the figure. Insert the records with the following search keys: **22, 21, 12**



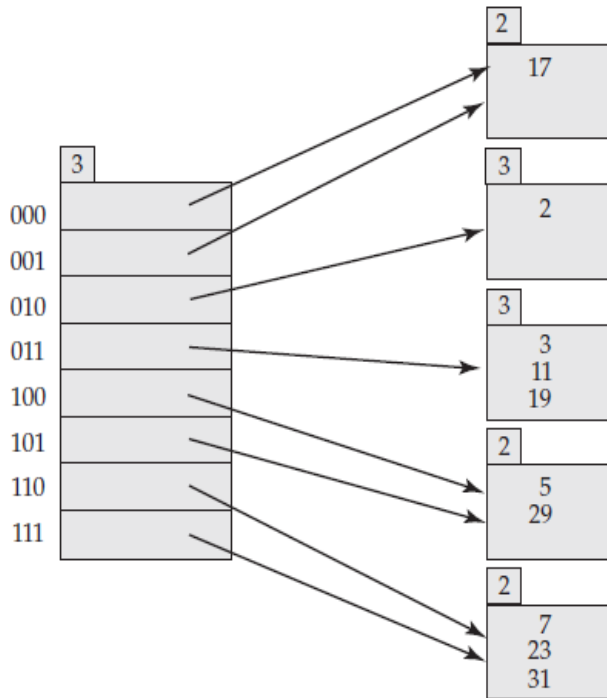
Answer:



**Q4.** Suppose that we are using extendable hashing on a file that contains records with the following search-key values: 5, 7, 11, 17, 18, 19, 23, 27, 37, 39

Show the extendable hash structure for this file if the hash function is  $h(k) = k \bmod 8$  and buckets can hold three records. Show your working.

**Answer:** Extendable hash structure:



**Q5.** Suppose you are building an extensible hash index on a table of 25,000 rows. Key values are 8 bytes, a pointer (block/record) to a row is 8 bytes, and a disk block is 2048 bytes. Assume all keys are distinct.

- What is the (lowest possible) global depth? Provide valid reasons.
- What is the average occupancy of a bucket, assuming all buckets have a local depth equal to the global depth from part (a)? Justify your answer.

**Answer:**

**a.** Bucket entries will be key/pointer pairs, so 16 bytes each.  $\text{Floor}(2048/16) = 128$  entries / bucket.  $25,000/128 =$  at least 196 buckets needed. Since the directory is always a power of 2 size, it will have at least  $2^8 = 256$  entries, so the global depth is 8.

**b.** If all buckets have local depth equal to global depth, then every pointer in the directory points to a unique bucket. Thus, there are 256 buckets.  $256 * 128 =$  capacity of 32,768.  $25,000/32,768 \approx 76.3\%$  occupancy.

**Q6.** Consider the following values: 5, 7, 12, 11, 9.

**a.** Show the extendable hash structures when the above hash values are added in the file (in order) and buckets can hold 2 records. Show your working.

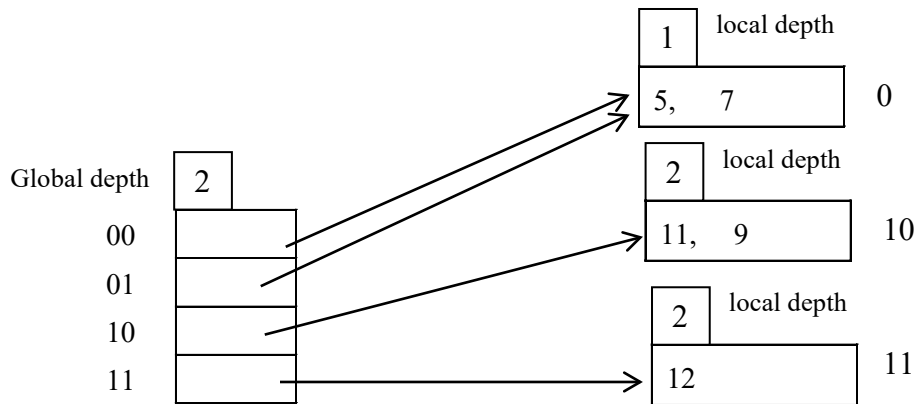
**b.** Show the dynamic hash structures when the above hash values are added in the file (in order) and buckets can hold 2 records. Show your working.

**c.** Show the linear hash structures when the above hash values are added in the file (in order), start with empty table with 2 buckets ( $M = 2$ ), split = 0, and a load factor threshold = 0.9. Splitting must be controlled by monitoring the file load factor with  $l = r/N$ , where  $r$  is the current number of file records, and  $N$  is the current number of file buckets. Use the mod hash function, first (initial hash function)  $h_0 = K \bmod M$ , second  $h_1 = K \bmod 2M$ , etc.

**Answer:**

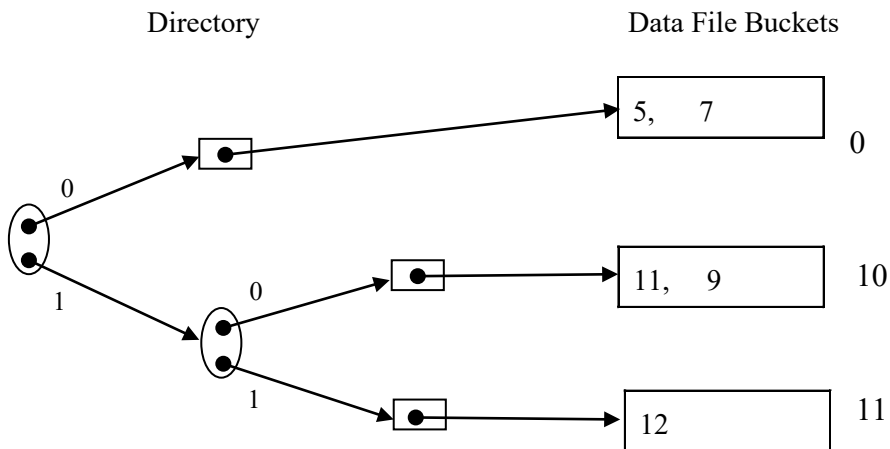
**a. Extendable Hashing**

**$h(K)$  values:** 5 (0101), 7 (0111), 12 (1100), 11 (1011), 9 (1001).



**b. Dynamic Hashing**

**$h(K)$  values:** 5 (0101), 7 (0111), 12 (1100), 11 (1011), 9 (1001).



c. Answer: Linear Hashing

Next Split at	Bucket no	Hash function	Elements	Comments
0	0	Mod 2		
	1	Mod 2		

5

Next Split at	Bucket no	Hash function	Elements	Comments
0	0	Mod 2		
	1	Mod 2	5	Load factor $0.5 < 0.9$

7

Next Split at	Bucket no	Hash function	Elements	Comments
0	0	Mod 2		
	1	Mod 2	5, 7	Load factor $1 > 0.9$ ; need split

After the split

Next Split at	Bucket no	Hash function	Elements	Comments
1	0	Mod 4		
	1	Mod 2	5, 7	Load factor $.67 < 0.9$ ;
	2	Mod 4		

12

Next Split at	Bucket no	Hash function	Elements	Comments
1	0	Mod 4	12	
	1	Mod 2	5, 7	Load factor $1 > 0.9$ ; need split
	2	Mod 4		

Next Split at	Bucket no	Hash function	Elements	Comments
0	0	Mod 4	12	
	1	Mod 4	5	Load factor $0.75 < 0.9$ ;
	2	Mod 4		
	3	Mod 4	7	

After split (Now  $M=4$ )

Next Split at	Bucket no	Hash function	Elements	Comments
0	0	Mod 4	12	
	1	Mod 4	5	Load factor 1>0.9; Need split
	2	Mod 4		
	3	Mod 4	7, 11	
Next Split at	Bucket no	Hash function	Elements	Comments
1	0	Mod 8		
	1	Mod 4	5	Load factor 0.75<0.9;
	2	Mod 4		
	3	Mod 4	7,11	
	4	Mod 8	12	



Next Split at	Bucket no	Hash function	Elements	Comments
1	0	Mod 8		
	1	Mod 4	5, 9	Load factor 1>0.9; Split
	2	Mod 4		
	3	Mod 4	7,11	
	4	Mod 8	12	
Next Split at	Bucket no	Hash function	Elements	Comments
2	0	Mod 8		
	1	Mod 8	9	
	2	Mod 4		
	3	Mod 4	7,11	
	4	Mod 8	12	
	5	Mod 8	5	