

Chapter 18: Concurrency Control

Serial Schedule:

In a serial Schedule, a transaction only starts when the other transaction has finished execution.

T_1	T_2	A	B
		25	25
READ(A,t)			
t := t+100			
WRITE(A,t)		125	
READ(B,t)			
t := t+100			
WRITE(B,t)			125
	READ(A,s)		
	s := s*2		
	WRITE(A,s)	250	
	READ(B,s)		
	s := s*2		
	WRITE(B,s)		250

Figure 18.3: Serial schedule in which T_1 precedes T_2

T_1	T_2	A	B
		25	25
READ(A,t)			
t := t+100			
WRITE(A,t)		125	
	READ(A,s)		
	s := s*2		
	WRITE(A,s)	250	
READ(B,t)			
t := t+100			
WRITE(B,t)			125
	READ(B,s)		
	s := s*2		
	WRITE(B,s)		250

Figure 18.5: A serializable, but not serial, schedule

Conflicts:

1. Two actions of the same transaction, e.g., $ri(X)$; $w_i(Y)$, always conflict.
2. Two writes of the same database element by different transactions conflict. That is, $w_i(X)$; $w_j(X)$ is a conflict.
3. A read and a write of the same database element by different transactions also conflict. That is, $ri(X)$; $w_j(X)$ is a conflict, and so is $w_i(X)$; $rj(X)$.

Example 18.6: Consider the schedule

$r_1(A)$; $w_1(A)$; $r_2(A)$; $w_2(A)$; $r_1(B)$; $w_1(B)$; $r_2(B)$; $w_2(B)$; Conflicting pairs:

Solution:

serial schedule: $r_1(A)$; $w_1(A)$; $r_2(A)$; $w_2(A)$; $r_1(B)$; $w_1(B)$; $r_2(B)$; $w_2(B)$; $ri(X)$; $w_i(Y)$
 Is me hum ne $r_1(A)$; $w_1(A)$; $r_2(A)$; $r_1(B)$; $w_2(A)$; $w_1(B)$; $r_2(B)$; $w_2(B)$; $w_i(X)$; $w_j(X)$
 transaction 1 $r_1(A)$; $w_1(A)$; $r_1(B)$; $r_2(A)$; $w_2(A)$; $w_1(B)$; $r_2(B)$; $w_2(B)$; $ri(X)$; $w_j(X)$
 waley pehle $r_1(A)$; $w_1(A)$; $r_1(B)$; $r_2(A)$; $w_1(B)$; $w_2(A)$; $r_2(B)$; $w_2(B)$;
 laney 2 waley $r_1(A)$; $w_1(A)$; $r_1(B)$; $r_2(A)$; $w_1(B)$; $w_2(A)$; $r_2(B)$; $w_2(B)$;
 baad mein $r_1(A)$; $w_1(A)$; $r_1(B)$; $w_1(B)$; $r_2(A)$; $w_2(A)$; $r_2(B)$; $w_2(B)$;

Example 18.7: The following schedule S involves three transactions, T_1 , T_2 , and T_3 .

$S: r_2(A)$; $r_1(B)$; $w_2(A)$; $r_3(A)$; $w_1(B)$; $w_3(A)$; $r_2(B)$; $w_2(B)$;

Find the conflicting pairs of the same database element by comparing with remaining ones:

$r_2(A); w_2(A)$	$r_1(B); w_1(B)$	$w_2(A); r_3(A)$ c.p	$r_3(A); w_3(A)$	$w_1(B); r_2(B)$ c.p	$r_2(B); w_2(B)$
$r_2(A); r_3(A)$ c.p	$r_1(B); r_2(B)$	$w_2(A); w_3(A)$ c.p		$w_1(B); w_2(B)$ c.p	
$r_2(A); w_3(A)$ c.p	$r_1(B); w_2(B)$ c.p				

Sort the conflicting pairs:

$r_2(A); r_3(A)$	$r_1(B); w_2(B)$
$r_2(A); w_3(A)$	$w_1(B); r_2(B)$
$w_2(A); r_3(A)$	$w_1(B); w_2(B)$
$w_2(A); w_3(A)$	$T_1 <_s T_2$
$T_2 <_s T_3$	



$S: \underline{r_2(A)}$; $\underline{r_1(B)}$; $w_2(A)$; $r_3(A)$; $w_1(B)$; $w_3(A)$; $r_2(B)$; $w_2(B)$;

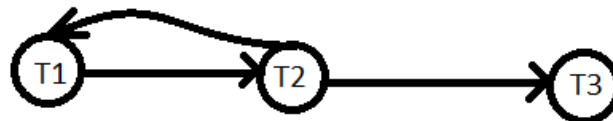
$r_1(B); r_2(A); w_2(A); \underline{r_3(A)}; w_1(B); w_3(A); r_2(B); w_2(B)$
 $r_1(B); r_2(A); \underline{w_2(A)}; w_1(B); r_3(A); w_3(A); r_2(B); w_2(B)$
 $r_1(B); \underline{r_2(A)}; w_1(B); w_2(A); r_3(A); w_3(A); r_2(B); w_2(B)$
 $r_1(B); w_1(B); r_2(A); w_2(A); r_3(A); \underline{w_3(A)}; r_2(B); w_2(B)$
 $r_1(B); w_1(B); r_2(A); w_2(A); \underline{r_3(A)}; r_2(B); w_3(A); w_2(B)$
 $r_1(B); w_1(B); r_2(A); w_2(A); r_2(B); r_3(A); \underline{w_3(A)}; w_2(B)$
 $r_1(B); w_1(B); r_2(A); w_2(A); r_2(B); \underline{r_3(A)}; w_2(B); w_3(A)$
 $r_1(B); w_1(B); r_2(A); w_2(A); r_2(B); w_2(B); r_3(A); w_3(A)$

Example 18.9: Consider the schedule

$S_1: r_2(A); r_1(B); w_2(A); r_2(B); r_3(A); w_1(B); w_3(A); w_2(B);$

r2(A);w2(A) r2(A);r3(A) r2(A);w3(A) c.p	r1(B);r2(B) r1(B);w1(B) r1(B);w2(B) c.p	w2(A);r3(A) c.p w2(A);w3(A) c.p	r2(B);w1(B) c.p r2(B);w2(B)
r3(A);w3(A)	w1(B);w2(B) c.p		

r2(A);w3(A)	r1(B);w2(B)	r2(B);w1(B)
w2(A);r3(A)	T1 <sub>s T2	T2 <sub>s T1
w2(A);w3(A)		
T2 <sub>s T3		



If **there is a cycle** in the precedence graph, then the schedule is **not conflict-serializable**.

If the precedence **graph has no cycles**, then we can reorder the schedule's actions using legal swaps of adjacent actions, until the **schedule becomes a serial schedule**.

Enforcing Serializability by Locks:

Locks:

Consistency of Transactions: Actions and locks must relate in the expected ways:

- A transaction can only read or write an element if it previously was granted a lock on that element and hasn't yet released the lock.
- If a transaction locks an element, it must later unlock that element.

Legality of Schedules:

- Locks must have their intended meaning: no two transactions may have locked the same element without one having first released the lock.