

Lecture 5: Proof methods

Table of contents

- 1 Basic number theory
- 2 Elementary methods to proof
 - Direct proof
 - Proof by contraposition
 - Proof by contradiction
 - Proof of equivalence
- 3 Mathematical Induction
- 4 Strong Induction

Basic number theory

- An integer n is
 - **even** if there is an integer k such that $n = 2m$,
 - **odd** if there is an integer m such that $n = 2m + 1$

Two integers m and n have the **same parity** if they are both even or both odd.

- In general, an integer n is called **divisible** by another integer d if

$$n = dm \text{ for some integer } m.$$

We also call n a multiple of d .

Fundamental theorem of arithmetic

Prime Factorization

Any integer $n \geq 2$ can be expressed uniquely as

$$n = p_1^{e_1} p_2^{e_2} \cdots p_k^{e_k}, \quad (1)$$

where $e_1, \dots, e_k \in \mathbb{Z}^+$ and $p_1 < p_2 < \cdots < p_k$ are primes.

The equation (1) is called the **prime factorization** of n .

Greatest common divisor

$\gcd(a, b) =$ *largest positive integer d that divides both a and b .*

- 1 Factorize a and b .

Greatest common divisor

$\gcd(a, b) =$ *largest positive integer d that divides both a and b .*

- 1 Factorize a and b .
- 2 Find *common prime factors* of a and b , say p_1, \dots, p_k
 - Assume $p_1^{a_1} \dots p_k^{a_k}$ is the part of a containing p_1, \dots, p_k
 - Assume $p_1^{b_1} \dots p_k^{b_k}$ is the part of b containing p_1, \dots, p_k .

Greatest common divisor

$\gcd(a, b) =$ *largest positive integer d that divides both a and b .*

- ❶ Factorize a and b .
- ❷ Find *common prime factors* of a and b , say p_1, \dots, p_k
 - Assume $p_1^{a_1} \cdots p_k^{a_k}$ is the part of a containing p_1, \dots, p_k
 - Assume $p_1^{b_1} \cdots p_k^{b_k}$ is the part of b containing p_1, \dots, p_k .
- ❸ Put $c_i = \min\{a_i, b_i\}$ for $i = 1, \dots, k$. Then

$$\gcd(a, b) = p_1^{c_1} p_2^{c_2} \cdots p_k^{c_k}.$$

Example 1

(a) Find $\gcd(120, 500)$.

(b) Find $\gcd(124, 96)$.

Least common multiple

$\text{lcm}(a, b)$ = smallest positive integer l that is divisible by both a and b

- 1 Factorize a and b .

Least common multiple

$\text{lcm}(a, b)$ = smallest positive integer l that is divisible by both a and b

- 1 Factorize a and b .
- 2 Let p_1, \dots, p_n be *all primes* occurring in the prime factorization of either a or b . Assume

$$a = p_1^{a_1} p_2^{a_2} \cdots p_n^{a_n}, \quad b = p_1^{b_1} p_2^{b_2} \cdots p_n^{b_n},$$

where the $a_i, b_i \geq 0$ for all i .

Least common multiple

$\text{lcm}(a, b)$ = smallest positive integer l that is divisible by both a and b

- 1 Factorize a and b .
- 2 Let p_1, \dots, p_n be *all primes* occurring in the prime factorization of either a or b . Assume

$$a = p_1^{a_1} p_2^{a_2} \cdots p_n^{a_n}, \quad b = p_1^{b_1} p_2^{b_2} \cdots p_n^{b_n},$$

where the $a_i, b_i \geq 0$ for all i .

- 3 Conclusion

$$\text{lcm}(a, b) = p_1^{\max\{a_1, b_1\}} p_2^{\max\{a_2, b_2\}} \cdots p_n^{\max\{a_n, b_n\}}.$$

Example 2

Find $\text{lcm}(2^3 3^5 5^7, 2^4 3^3)$ and $\text{lcm}(1004, 256)$.

Relation between gcd and lcm

Lemma 1

Let a and b be positive integers. Then

$$\text{lcm}(a, b) = \frac{ab}{\text{gcd}(a, b)}.$$

Proof. Optional. See textbook.

Example. Verify that

$$\text{lcm}(1004, 256) = \frac{1004 \cdot 256}{\text{gcd}(1004, 256)}$$

Proof of a proposition

- Let p be a proposition.
- **Prove p (or show p)** means proving (or showing) that the truth value of p is 1.

Direct proof

- A **direct proof** of a conditional statement $p \rightarrow q$ is done in the following steps
 - 1 Assume that p is true.
 - 2 Show that q is also true.

Direct proof

- A **direct proof** of a conditional statement $p \rightarrow q$ is done in the following steps
 - 1 Assume that p is true.
 - 2 Show that q is also true.
- Other forms of direct proofs: **constructive proof, exhaustive proof, proof by counterexample.**

Example 3 (Direct proof of conditional statement)

Prove that if n is an odd integer, then n^2 is odd.

Example 4 (Constructive proof)

An integer n is called a perfect square if it can be written as the square of an integer, say $n = k^2$.

Show that there exists a perfect square which can be written as the sum of two perfect squares.

Example 5 (Proof by counterexample)

Disprove the following proposition:

For any two real numbers a, b

$$\text{If } a < b, \text{ then } a^2 < b^2.$$

Vacuous proof

- $p \rightarrow q$ is true whenever p is false.
- If we can show that p is false, then we have a proof for $p \rightarrow q$.
This type of proof is called **vacuous proof**.

Example 6

(a) Let $P(n)$ be the predicate “If $n > 1$, then $n^2 > n$ ” defined the domain of integers. Show that $P(0)$ is true.

(b) Prove that if n is an integer with $10 \leq n \leq 15$ which is a perfect square, then n is also a perfect cube.

Proof by contraposition

- **Proof by contraposition** uses the equivalence between a conditional proposition and its contrapositive

$$p \rightarrow q \equiv \neg q \rightarrow \neg p.$$

- In the proof by contraposition for $p \rightarrow q$, we do the following
 - 1 Assume that $\neg q$ is true.
 - 2 Show that $\neg p$ follows.

Example 7

Let n be an integer. Prove that if n^2 is odd, then n is odd.

Proof by contradiction

Assume we want to prove a proposition p .

In the method of **proof by contradiction**, we show that $\neg p \rightarrow \mathbf{F}$.

- 1 Suppose that p is false.

Proof by contradiction

Assume we want to prove a proposition p .

In the method of **proof by contradiction**, we show that $\neg p \rightarrow \mathbf{F}$.

- 1 Suppose that p is false.
- 2 Draw a contradiction upon the assumption given by the problem and the assumption that p is false.

Exercise 1

Prove that $\sqrt{2}$ is an irrational number.

Hint. Any rational number can be written as $\frac{a}{b}$ with $a, b \in \mathbb{Z}$.

Proof of equivalence

- Biconditional statement is the conjunction of 2 conditional statements

$$p \leftrightarrow q \equiv (p \rightarrow q) \wedge (q \rightarrow p).$$

Proof of equivalence

- Biconditional statement is the conjunction of 2 conditional statements

$$p \leftrightarrow q \equiv (p \rightarrow q) \wedge (q \rightarrow p).$$

- To prove $p \leftrightarrow q$, we need to show
 - 1 $p \rightarrow q$ and
 - 2 $q \rightarrow p$.

Example 8

Prove that n is odd if and only if n^2 is odd.

Mathematical induction (weak induction)

Assume we want to prove $P(n)$ for all integers $n \geq n_0$.
There are two main steps in **mathematical induction**.

Mathematical induction (weak induction)

Assume we want to prove $P(n)$ for all integers $n \geq n_0$.

There are two main steps in **mathematical induction**.

- ❶ **Basis step:** Show that $P(n_0)$ is true.
- ❷ **Inductive step:** Prove $P(k) \rightarrow P(k+1)$ for any $k \geq n_0$.
 - Assume that $P(k)$ is true.
 - Prove $P(k+1)$.

Rationale of mathematical induction

- 1 By the basis step: $P(n_0)$ is true.

Rationale of mathematical induction

① By the basis step: $P(n_0)$ is true.

② By the inductive step

For any $k \geq n_0$, $P(k+1)$ is true whenever $P(k)$ is true.

So the following propositions are true:

$$P(n_0), P(n_0 + 1), \dots, P(k), P(k + 1), \dots$$

$\therefore P(n)$ is true for any $n \geq n_0$.

Example 9

Use mathematical induction to prove the following propositions

$$P(n) : 1 + 2 + \cdots + n = \frac{n(n+1)}{2} \text{ for any } n \geq 1.$$

Example 9

Use mathematical induction to prove the following propositions

$$P(n) : 1 + 2 + \cdots + n = \frac{n(n+1)}{2} \text{ for any } n \geq 1.$$

❶ **Basis step.** $P(1)$ is true because $1 = \frac{1 \times (1+1)}{2}$.

Example 9

Use mathematical induction to prove the following propositions

$$P(n) : 1 + 2 + \cdots + n = \frac{n(n+1)}{2} \text{ for any } n \geq 1.$$

- ❶ **Basis step.** $P(1)$ is true because $1 = \frac{1 \times (1+1)}{2}$.
- ❷ **Inductive step.** Assume that $P(k)$ is true for some $k \geq 1$:

$$1 + 2 + \cdots + k = \frac{k(k+1)}{2}. \quad (2)$$

We need to prove $P(k+1)$:

$$1 + 2 + \cdots + k + (k+1) = \frac{(k+1)(k+2)}{2}$$

$$P(n) : 1 + 2 + \cdots + n = \frac{n(n+1)}{2} \text{ for any } n \geq 1$$

- Adding $k + 1$ to both sides of (2), we obtain

$$1 + 2 + \cdots + k + (k + 1) = \frac{k(k + 1)}{2} + (k + 1) = \frac{(k + 1)(k + 2)}{2},$$

proving $P(k + 1)$.

$$P(n) : 1 + 2 + \cdots + n = \frac{n(n+1)}{2} \text{ for any } n \geq 1$$

- Adding $k + 1$ to both sides of (2), we obtain

$$1 + 2 + \cdots + k + (k + 1) = \frac{k(k + 1)}{2} + (k + 1) = \frac{(k + 1)(k + 2)}{2},$$

proving $P(k + 1)$.

- Therefore, we proved $1 + 2 + \cdots + n = \frac{n(n+1)}{2}$ for any $n \geq 1$ by mathematical induction.

Example 10: Geometric sum

(a) Let $r \neq 1$ be a real number, prove

$$P(n) : \sum_{k=0}^n ar^k = a + ar + \cdots + ar^n = \frac{a(1 - r^{n+1})}{1 - r} \text{ for any } n \geq 1.$$

(b) Use (a) to show that $1 + \frac{1}{2} + \cdots + \frac{1}{2^{n-1}} \leq 2$ for any $n \geq 1$.

Strong induction

Assume we want to prove $P(n)$ for all integers $n \geq n_0$.

There are two main steps in **strong induction**.

Strong induction

Assume we want to prove $P(n)$ for all integers $n \geq n_0$.

There are two main steps in **strong induction**.

- 1 **Basis step.** Show that $P(n_0)$ is true.
- 2 **Inductive step.** Prove the following for any $k \geq n_0$

$$(P(n_0) \wedge P(n_0 + 1) \wedge \cdots \wedge P(k)) \rightarrow P(k + 1)$$

- Assume $P(n_0), \dots, P(k)$ are true for some $k \geq n_0$.
- Prove that $P(k + 1)$ is true.

Rationale of strong induction

By the basis step, $P(n_0)$ is true.

By the inductive step,

- $P(n_0)$ is true $\rightarrow P(n_0 + 1)$ is true.

Rationale of strong induction

By the basis step, $P(n_0)$ is true.

By the inductive step,

- $P(n_0)$ is true $\rightarrow P(n_0 + 1)$ is true.
- $P(n_0)$ and $P(n_0 + 1)$ are true $\rightarrow P(n_0 + 2)$ is true.

Rationale of strong induction

By the basis step, $P(n_0)$ is true.

By the inductive step,

- $P(n_0)$ is true $\rightarrow P(n_0 + 1)$ is true.
- $P(n_0)$ and $P(n_0 + 1)$ are true $\rightarrow P(n_0 + 2)$ is true.
- $P(n_0), P(n_0 + 1)$ and $P(n_0 + 2)$ are true $\rightarrow P(n_0 + 3)$ is true.
-

Rationale of strong induction

By the basis step, $P(n_0)$ is true.

By the inductive step,

- $P(n_0)$ is true $\rightarrow P(n_0 + 1)$ is true.
- $P(n_0)$ and $P(n_0 + 1)$ are true $\rightarrow P(n_0 + 2)$ is true.
- $P(n_0), P(n_0 + 1)$ and $P(n_0 + 2)$ are true $\rightarrow P(n_0 + 3)$ is true.
-
- $P(n_0), P(n_0 + 1), \dots, P(k)$ are true $\rightarrow P(k + 1)$ is true.

.....
Therefore, $P(n)$ is true for any $n \geq n_0$.

Example 11

The Fibonacci sequence $\{F_n\}$ is defined by

$$F_1 = F_2 = 1,$$

$$F_n = F_{n-1} + F_{n-2} \text{ for any } n \geq 3.$$

Prove that $F_n = \frac{1}{\sqrt{5}} \left(\left(\frac{1+\sqrt{5}}{2} \right)^n - \left(\frac{1-\sqrt{5}}{2} \right)^n \right)$ for any $n \geq 1$.

Solution. Let's first try the usual induction.

Example 11

The Fibonacci sequence $\{F_n\}$ is defined by

$$F_1 = F_2 = 1,$$

$$F_n = F_{n-1} + F_{n-2} \text{ for any } n \geq 3.$$

Prove that $F_n = \frac{1}{\sqrt{5}} \left(\left(\frac{1+\sqrt{5}}{2} \right)^n - \left(\frac{1-\sqrt{5}}{2} \right)^n \right)$ for any $n \geq 1$.

Solution. Let's first try the usual induction.

- ❶ Basis step: It's clear that $F_1 = \frac{1}{\sqrt{5}} \left(\left(\frac{1+\sqrt{5}}{2} \right)^1 - \left(\frac{1-\sqrt{5}}{2} \right)^1 \right)$
- ❷ Inductive step: Assume that $F_k = \frac{1}{\sqrt{5}} \left(\left(\frac{1+\sqrt{5}}{2} \right)^k - \left(\frac{1-\sqrt{5}}{2} \right)^k \right)$.

$$\text{We need to prove } F_{k+1} = \frac{1}{\sqrt{5}} \left(\left(\frac{1+\sqrt{5}}{2} \right)^{k+1} - \left(\frac{1-\sqrt{5}}{2} \right)^{k+1} \right).$$

We need to use $F_{k+1} = F_k + F_{k-1}$. But what is F_{k-1} ?

Example 12: Strong induction

- 1 Basis step: It's clear that the claim is true for $n = 1$ and $n = 2$

Example 12: Strong induction

- 1 Basis step: It's clear that the claim is true for $n = 1$ and $n = 2$
- 2 Inductive step: Assume that the claim holds for any $n \in \{1, \dots, k\}$

$$F_n = \frac{1}{\sqrt{5}} \left(\left(\frac{1 + \sqrt{5}}{2} \right)^n - \left(\frac{1 - \sqrt{5}}{2} \right)^n \right) \text{ for any } n \in \{1, \dots, k\}$$

We need to prove that the claim holds for $n = k + 1$, that is,

$$F_{k+1} = \frac{1}{\sqrt{5}} \left(\left(\frac{1 + \sqrt{5}}{2} \right)^{k+1} - \left(\frac{1 - \sqrt{5}}{2} \right)^{k+1} \right).$$

Exercise 2

Show that if $n \geq 2$ is an integer, then n can be written as a product of primes.

Solution. Define

$P(n)$: n can be written as the product of primes.

We need to prove $P(n)$ for any integer $n \geq 2$.

Exercise 2

Show that if $n \geq 2$ is an integer, then n can be written as a product of primes.

Solution. Define

$P(n)$: n can be written as the product of primes.

We need to prove $P(n)$ for any integer $n \geq 2$.

Basis step.

$P(2)$ is true because 2 is a prime itself.

Inductive step

Inductive step.

Assume $P(2), P(3), \dots, P(k)$ are true for some $k \geq 2$. We need to prove

$P(k+1)$: $k+1$ can be written as a product of primes.

Consider two cases concerning the primality of $k+1$.

❶ **Cases 1:** $k+1$ is a prime

In this case, it is clear that $k+1$ is a product of primes.

❷ **Case 2:** $k+1$ is a composite.

There exists a prime p which is a divisor of $k+1$. Write

$$k+1 = pm \text{ for some integer } m.$$

Case 2: $k + 1 = pm$ for some integer m

Idea: Use inductive assumption to write m as a product of primes.
All that left is to guarantee that $m \in \{2, \dots, k\}$, that is, $2 \leq m \leq k$.

Case 2: $k + 1 = pm$ for some integer m

Idea: Use inductive assumption to write m as a product of primes.

All that left is to guarantee that $m \in \{2, \dots, k\}$, that is, $2 \leq m \leq k$.

- Note that $m > 1$ because if $m = 1$, then $k + 1 = p$ is a prime, a contradiction.
- Further, $m = \frac{k+1}{p} \leq \frac{k+1}{2} < k + 1$. So

$$2 \leq m \leq k.$$

Case 2: $k + 1 = pm$ for some integer m

Idea: Use inductive assumption to write m as a product of primes.

All that left is to guarantee that $m \in \{2, \dots, k\}$, that is, $2 \leq m \leq k$.

- Note that $m > 1$ because if $m = 1$, then $k + 1 = p$ is a prime, a contradiction.
- Further, $m = \frac{k+1}{p} \leq \frac{k+1}{2} < k + 1$. So

$$2 \leq m \leq k.$$

- By the inductive assumption, $P(m)$ is true $\Rightarrow m$ is a product of primes. Thus, $k + 1 = pm$ is a product of primes.

\therefore Any $n \geq 2$ is a product of primes.

Exercise 3

Let the sequence $\{a_n\}_{n=1}^{\infty}$ be defined by

$$a_1 = 3, a_2 = 15, a_{n+1} = 5a_n - 4a_{n-1} \text{ for any } n \geq 2$$

- (a) Find a_1, a_2, a_3, a_4, a_5 . Could you guess a formula for a_n ?
- (b) Using the correct type of induction, prove your guess in part a.

Exercise 4