

## Week 12: Affine transformations

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# Affine transformation - definition

- The map  $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$  is an **affine transformation** if there exist an  $m \times n$  matrix  $A$  and a vector  $\vec{b} \in \mathbb{R}^m$  such that

$$T(\vec{x}) = A\vec{x} + \vec{b}$$

- Affine transformation is a generalization of linear transformation. If  $\vec{b} = 0$ , then we have a linear transformation.

$$T(\vec{x}) = A\vec{x}$$

Find  $A$  and  $\vec{b}$ 

- $A$  and  $\vec{b}$  can be determined if we have a clear formula for  $T$ :

$$T \begin{pmatrix} x_1 \\ x_2 \\ \dots \\ x_n \end{pmatrix} = \begin{pmatrix} a_{11}x_1 + \dots + a_{1n}x_n + b_1 \\ a_{21}x_1 + \dots + a_{2n}x_n + b_2 \\ \vdots \\ a_{m1}x_1 + \dots + a_{mn}x_n + b_m \end{pmatrix}$$

- Then  $T(\vec{x}) = A\vec{x} + \vec{b}$  with

$$A = \begin{pmatrix} a_{11} & a_{12} & \dots & a_{1n} \\ a_{21} & a_{22} & \dots & a_{2n} \\ \vdots & \vdots & \ddots & \vdots \\ a_{m1} & a_{m2} & \dots & a_{mn} \end{pmatrix}, \quad \vec{b} = \begin{pmatrix} b_1 \\ b_2 \\ \vdots \\ b_m \end{pmatrix}$$

# Example 1

Which of the following maps are linear transformations? Affine transformations? Or none of these. Find the matrix  $A$  and vector  $\vec{b}$  of  $T$  in  $T(\vec{x}) = A\vec{x} + \vec{b}$  for affine transformations.

(a)  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  with  $T \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 2x + y^2 + 1 \\ y - x - 2 \end{pmatrix}$

(b)  $T : \mathbb{R}^2 \rightarrow \mathbb{R}^2$  with  $T \begin{pmatrix} x \\ y \end{pmatrix} = \begin{pmatrix} 2x + y \\ y - x \end{pmatrix}$

$$(c) \ T : \mathbb{R}^3 \rightarrow \mathbb{R}^3 \text{ by } T \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} x - z + 2y - 10 \\ y - x \\ y + z + 1 \end{pmatrix}$$

$$(d) \ T : \mathbb{R}^3 \rightarrow \mathbb{R}^2 \text{ by } T \begin{pmatrix} x \\ y \\ z \end{pmatrix} = \begin{pmatrix} x - z + 2y - 10 \\ y - x \end{pmatrix}$$

# Projection, reflection, shear, rotation

- Linear transformations fixed the origin  $O$ .

For any projection, or reflection, or shear, or rotation to be a linear transformation, the line/plane under consideration must go through  $O$ .

- When the line/plane under consideration doesn't go through  $O$ , we have an affine transformation.

## 3-step approach

**Idea:** Shift everything to the origin, do the linear map with respect to the origin, and then shift everything back.

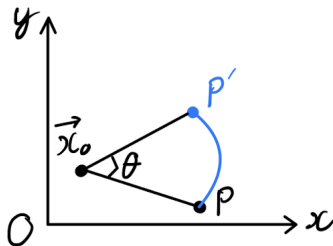
- 1 Translation by  $-\vec{x}_0$ , that is, map any point  $\vec{x}$  to  $\vec{x} - \vec{x}_0$
- 2 Perform linear transformation by matrix  $A$
- 3 Translation by  $\vec{x}_0$

**Question:** What is the image of  $\vec{x}$  when applying the 3-approach algorithm to  $\vec{x}$ ?

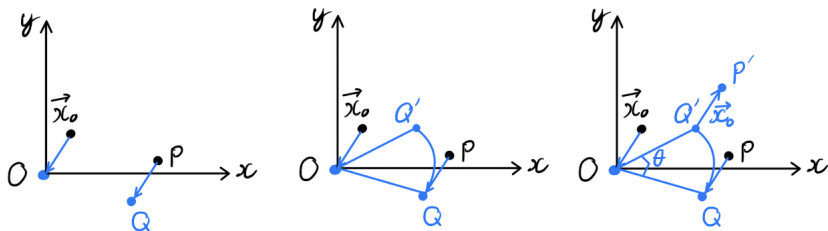


## Example 2

Assume we want to find the image  $P'$  of  $P$  by rotation about  $\vec{x}_0$  over angle  $\theta$ .



## Example 2: 3-step approach



- 1 Shift  $\vec{x}_0$  to  $O$  by the vector  $-\vec{x}_0$   

$$\vec{x}_0 \mapsto \vec{x}_0 - \vec{x}_0 = \vec{0} \text{ and } P \mapsto P - \vec{x}_0 = Q$$
- 2 Find the image  $Q'$  of  $Q$  under rotation about  $O$  over  $\theta$   

$$Q' = AQ \text{ with } A = \text{matrix of rotation}$$
- 3 Shift everything back by  $\vec{x}_0$

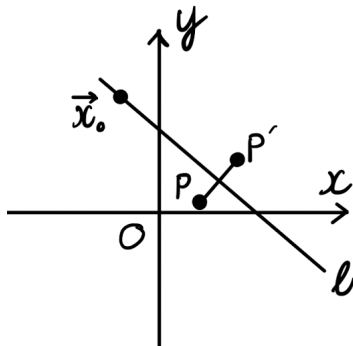
$$P' = Q' + \vec{x}_0$$

## Example 2

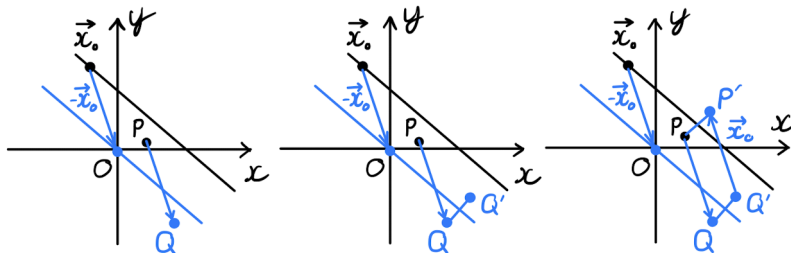
Find the image of  $\begin{pmatrix} 1 \\ 2 \end{pmatrix}$  under rotation about  $\vec{x}_0 = \begin{pmatrix} 10 \\ 1 \end{pmatrix}$  over  $\theta = 30^\circ$ .

# Example 3

Assume we want to find the image  $P'$  of  $P$  by reflection through a line  $l$  which goes through  $\vec{x}_0$ .



## Example 3: 3-step approach



- 1 Shift  $l$  to a line through  $O$  by  $-\vec{x}_0$   
 $l \mapsto l'$  and  $P \mapsto Q$
- 2 Find the image  $Q'$  of  $Q$  under reflection through  $l'$   
 $Q' = AQ$  with  $A =$  matrix of reflection through  $l'$
- 3 Shift everything back by  $\vec{x}_0$

$$P' = Q' + \vec{x}_0$$

## Example 3

Let  $l : x + 2y = 3$  be a line in  $\mathbb{R}^2$ . Find the image of  $\begin{pmatrix} 1 \\ 2 \end{pmatrix}$  under reflection through  $l$ .

# Comments

Using the above idea, we will find the matrix  $A$  and the vector  $\vec{b}$  for the cases of projections, reflections, shear, rotations in  $\mathbb{R}^2$ .

# Projection in $\mathbb{R}^2$

## Theorem 1

(a) The orthogonal projection onto  $\vec{x} = \vec{x}_0 + t\vec{d}$  is

$$T(\vec{x}) = M\vec{x} + (I_2 - M)\vec{x}_0 \text{ with } M = \frac{1}{\|\vec{d}\|^2} \vec{d}\vec{d}^T$$

(b) The skew projection onto  $ax + by = c$  in the direction  $\vec{v}$  is

$$T(\vec{x}) = M\vec{x} + \frac{c}{\vec{v} \cdot \vec{n}} \vec{v} \text{ with } M = I_2 - \frac{1}{\vec{v} \cdot \vec{n}} \vec{v}\vec{n}^T$$



# Reflection in $\mathbb{R}^2$

## Theorem 2

(a) The orthogonal reflection through  $\vec{x} = \vec{x}_0 + t\vec{d}$  is

$$T(\vec{x}) = M\vec{x} + (I_2 - M)\vec{x}_0 \text{ with } M = \frac{2}{\|\vec{d}\|^2} \vec{d}\vec{d}^T - I_2$$

(b) The skew projection through  $ax + by = c$  in the direction  $\vec{v}$  is

$$T(\vec{x}) = M\vec{x} + \frac{c}{\vec{v} \cdot \vec{n}} \vec{v} \text{ with } M = I_2 - \frac{2}{\vec{v} \cdot \vec{n}} \vec{v}\vec{n}^T$$

## Example 4

Consider the line  $l : x + 3y = 5$  in  $\mathbb{R}^2$ .

(a) Describe the projection onto  $l$  in the form  $T(\vec{x}) = A\vec{x} + \vec{b}$ .

Find the image of the line  $x - y = 1$  under  $T$ .

(b) Describe the reflection through  $l$  in the form  $T(\vec{x}) = A\vec{x} + \vec{b}$ .  
Find the image of the line  $x - y = 1$  under  $T$ .

# Shear in $\mathbb{R}^2$

## Theorem 3

The shear with respect to the line  $ax + by = c$  in the direction of shearing vector  $\vec{v}$  is given by

$$T(\vec{x}) = M\vec{x} - \frac{c}{\|\vec{n}\|} \vec{v} \text{ with } M = I_2 + \frac{1}{\|\vec{n}\|} \vec{v} \vec{n}^T$$

## Example 5

Describe the shear with respect to  $l : 3x + 4y = 10$  in the direction of the shearing vector  $\vec{v} = \begin{pmatrix} 8 \\ -6 \end{pmatrix}$ .

# Rotation in $\mathbb{R}^2$

## Theorem 4

The rotation about the point  $\vec{x}_0$  over the angle  $\theta$  is given by

$$T(\vec{x}) = M\vec{x} + (I_2 - M)\vec{x}_0 \text{ with } M = \begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$$

## Example 6

- (a) Describe the rotation about  $\vec{x}_0 = \begin{pmatrix} 4 \\ 6 \end{pmatrix}$  over  $\theta = 30^\circ$ .
- (b) Find the image of the line  $x - 2y = 2$ .