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ARTIFICIAL INTELLIGENCE & EXPERT SYSTEMS



Constraint Satisfaction Problems (CSPs)

Chapter 5



Outline

- Constraint Satisfaction Problems (CSP)
- Backtracking search for CSPs
- Local search for CSPs



Constraint satisfaction problems (CSPs)

- Problems can be solved by searching in a space of **states**.
- Standard search problem:
 - **state** is a "black box" – any data structure that supports successor function, heuristic function, and goal test.
- CSP:
 - **state** is defined by **variables** X_i with **values** from **domain** D_i
 - **goal test** is a set of **constraints** C_m specifying allowable combinations of values for subsets of variables
- Allows useful ***general-purpose*** algorithms with more power than standard search algorithms – ***problem specific***.



Constraint satisfaction problems (CSPs)

- A state of the problem is defined by an **assignment** of values to some or all of the variables. $\{X_i=v_i, X_j=v_j, \dots\}$
- An assignment that does not violate any constraints is called a **consistent** (legal) assignment.
- In a **complete** assignment every variable is mentioned.
- A **solution** to a CSP is a complete assignment that satisfies all the constraints.
- Some CSPs also require a solution that maximizes an **objective function**.

Example: Map-Coloring

A map of Australia showing each of its states and territories:



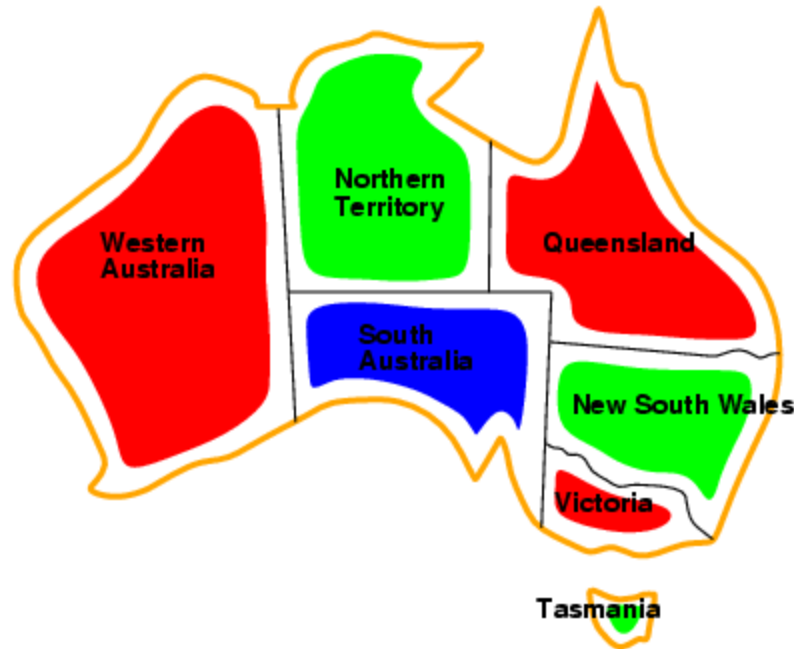
- Suppose that we are given the task of coloring each region either red, green or blue in such a way that no neighboring regions have the same color.
- To formulate this problem as a CSP, we first define
 - the variables,
 - the domain of each variable and
 - the constraints.

Example: Map-Coloring



- **Variables** WA, NT, Q, NSW, V, SA, T
- **Domains** $D_i = \{\text{red}, \text{green}, \text{blue}\}$
- **Constraints:** adjacent regions must have different colors
 - e.g., $WA \neq NT$ (if the language allows this), or
 - $(WA, NT) \in \{(\text{red}, \text{green}), (\text{red}, \text{blue}), (\text{green}, \text{red}), (\text{green}, \text{blue}), (\text{blue}, \text{red}), (\text{blue}, \text{green})\}$

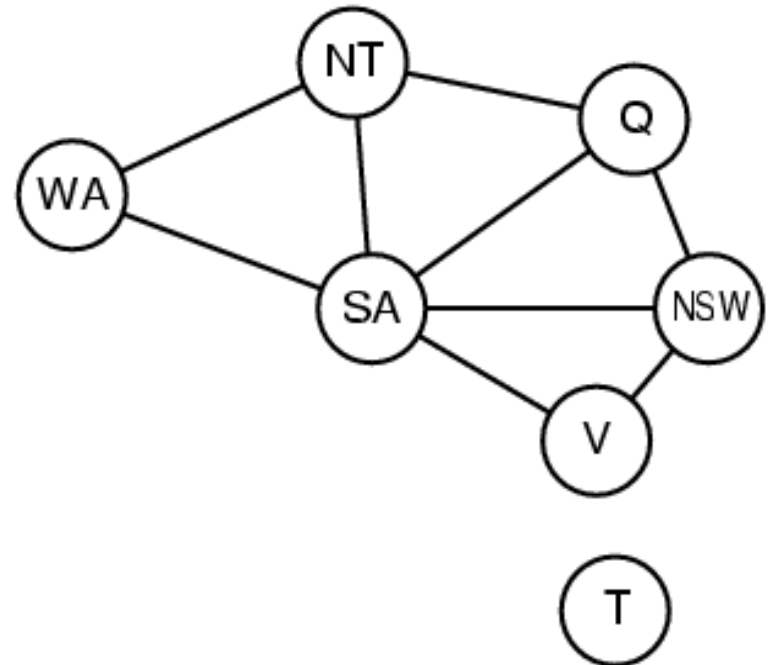
Example: Map-Coloring



- **Solutions** are assignments satisfying all constraints,
- e.g., WA = red, NT = green, Q = red, NSW = green, V = red, SA = blue, T = green

Constraint graph

- **Binary CSP:** each constraint relates two variables.
- **Constraint graph:** nodes are variables, arcs are constraints.
- General-purpose CSP algorithms use the graph structure to speed up search – **an exp. reduction in complexity.**
 - e.g., Tasmania is an independent subproblem!





Varieties of CSPs

- Discrete variables
 - finite domains:
 - n variables, domain size $d \rightarrow O(d^n)$ complete assignments
 - exponential in the number of variables.
 - e.g., map coloring, 8-queens problem, Boolean CSPs.
 - infinite domains:
 - set of integers, set of strings, etc.
 - e.g., job scheduling, variables are start/end days for each job
 - need a constraint language, e.g., $\text{StartJob}_1 + 5 \leq \text{StartJob}_3$
- Continuous domains— common in the real world
 - e.g., start/end times for Hubble Space Telescope observations.
 - **linear programming** problems can be solved in time polynomial in the number of variables.

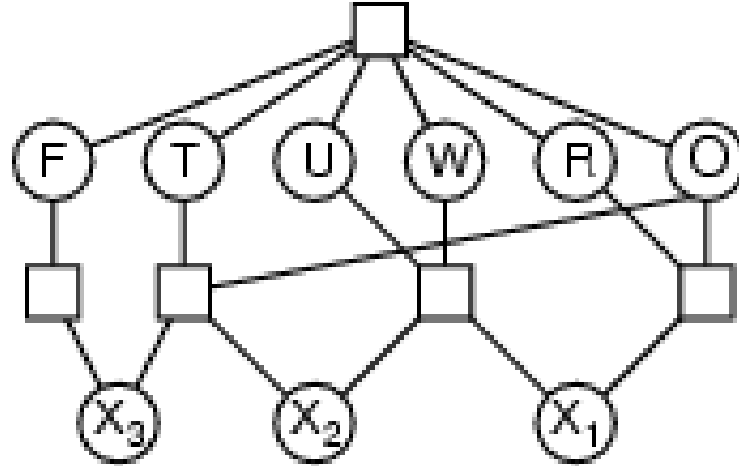


Varieties of constraints

- **Unary** constraints involve a single variable,
 - e.g., $SA \neq \text{green}$
- **Binary** constraints involve pairs of variables,
 - e.g., $SA \neq WA$
 - it can be represented as a **constraint graph**.
- **Higher-order** constraints involve 3 or more variables,
 - e.g., cryptarithmic puzzles.
 - each letter represents a different digit.
 - The aim is to find a substitution of digits for letters such that the resulting sum is arithmetically correct.

Example: Cryptarithmic

$$\begin{array}{r} \text{TWO} \\ + \text{TWO} \\ \hline \text{FOUR} \end{array}$$



- Variables: $F T U W$
 $R O X_1 X_2 X_3$
- Constraints: $Alldiff(F, T, U, W, R, O)$
 - $O + O = R + 10 \cdot X_1$
 - $X_1 + W + W = U + 10 \cdot X_2$
 - $X_2 + T + T = O + 10 \cdot X_3$
 - $X_3 = F, T \neq 0, F \neq 0$




Varieties of constraints

- **Preferences** (soft constraints),
 - e.g., red is better than green.
 - often representable by a cost for each variable assignment.
 - CSPs with preferences can be solved using optimization search methods.
 - e.g. in a university timetabling problem :
 - Prof. X might prefer teaching in the morning whereas Prof. Y prefers teaching in the afternoon.
 - A timetable that has Prof. X teaching at 2 p.m. would still be a solution but would not be an optimal one.
 - assigning an afternoon slot for Prof. X costs 2 points, where as a morning slot costs 1.




Real-world CSPs

- Assignment problems
 - e.g., who teaches what class
 - Timetabling problems
 - e.g., which class is offered when and where?
 - Transportation scheduling
 - Factory scheduling
-
- Notice that many real-world problems involve real-valued variables



Standard search formulation (incremental)

- **Initial state**: the empty assignment $\{ \}$, all variables are unassigned.
 - **Successor function**: assign a value to an unassigned variable that does not conflict with current assignment.
→ fail if no legal assignments.
 - **Goal test**: the current assignment is complete.
1. **This is the same for all CSPs**
 2. Every solution appears at depth n with n variables
→ use depth-first search
 3. Path is irrelevant, so can also use **complete-state formulation** – every state is a complete assignment that might or might not satisfy the constraints.
 4. Local search methods work well with this formulation.



Standard search formulation (incremental)

- We gave a formulation of CSPs as search problems.
- Using this formulation, any of the search algorithms can solve CSPs.
 - Suppose we apply breath-first search.
 - The branching factor at the top level is nd – any of d values can be assigned to any of n variables.
 - At the next level, the branching factor is $(n-1)d$, and so on for n levels.
 - We generate a tree with $n!d^n$ leaves.
 - However, there are only d^n possible complete assignments!!!



Backtracking search

- Variable assignments are **commutative**.
 - A problem is commutative if the order of application of any given set of actions has no effect on the outcome.

i.e., [WA = red then NT = green] same as [NT = green then WA = red]
 - Only need to consider assignments to a single variable at each node.
 - e.g. we might have a choice between $SA=red$, $SA=green$ and $SA=blue$.
 - but, we would never choose between $SA=red$ and $WA=blue$
- $b = d$ and there are d^n leaves.



Backtracking search

- Depth-first search for CSPs with single-variable assignments is called **backtracking** search.
- Backtracking search is the basic uninformed algorithm for CSPs.
- Can solve n -queens for $n \approx 25$.

Backtracking search **

```
function BACKTRACKING-SEARCH(csp) returns a solution, or failure
  return RECURSIVE-BACKTRACKING({}, csp)

function RECURSIVE-BACKTRACKING(assignment, csp) returns a solution, or failure
  if assignment is complete then return assignment
  var ← SELECT-UNASSIGNED-VARIABLE(Variables[csp], assignment, csp)
  for each value in ORDER-DOMAIN-VALUES(var, assignment, csp) do
    if value is consistent with assignment according to Constraints[csp] then
      add { var = value } to assignment
      result ← RECURSIVE-BACKTRACKING(assignment, csp)
      if result ≠ failure then return result
      remove { var = value } from assignment
  return failure
```

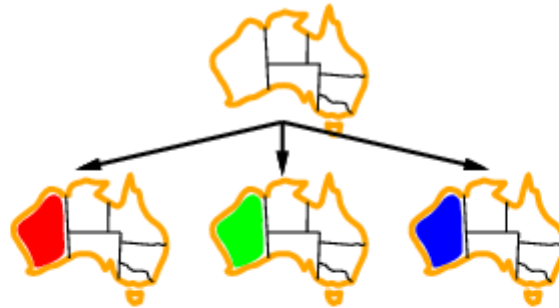
The algorithm is modeled on the recursive depth-first search.



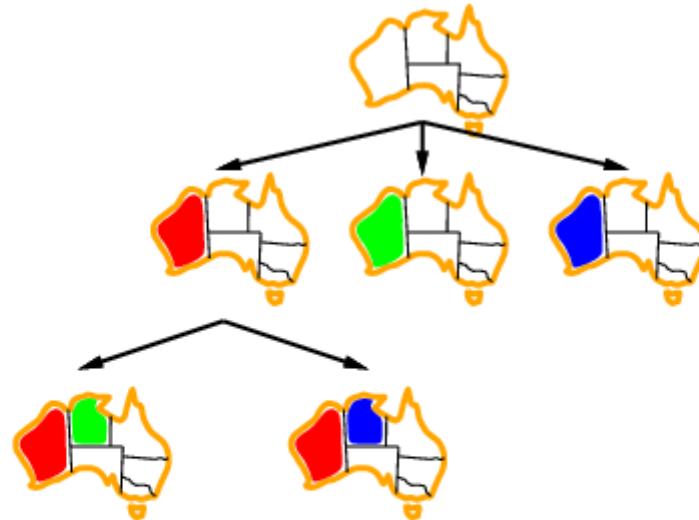
Backtracking example



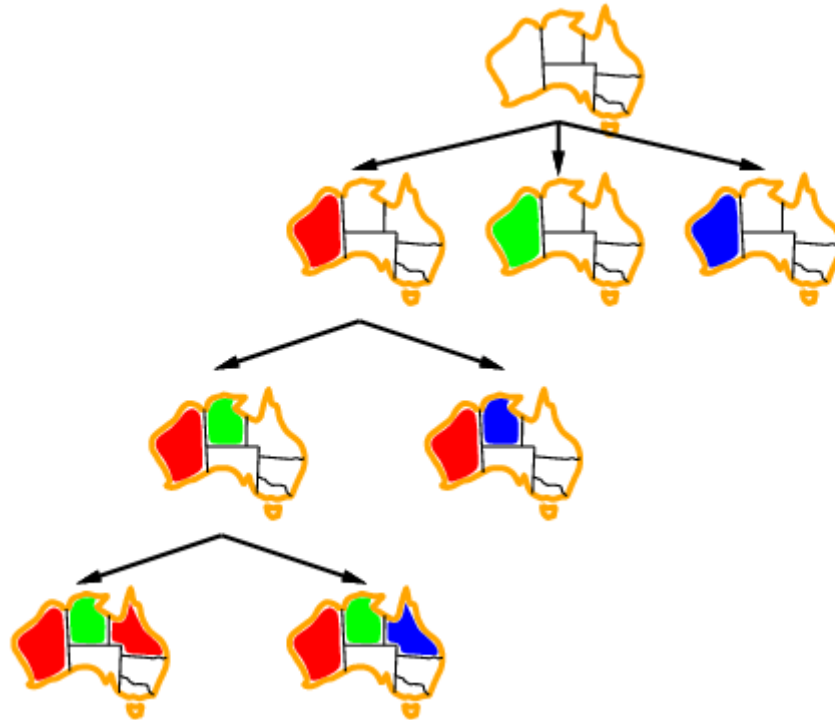
Backtracking example



Backtracking example



Backtracking example





Improving backtracking efficiency

- **General-purpose** methods can give huge gains in speed:
 - Which variable should be assigned next?
 - In what order should its values be tried?
 - Can we detect inevitable failure early?
 - When a path fails – it means, a state is reached in which a variable has no legal values – can the search avoid repeating this failure in the subsequent paths?



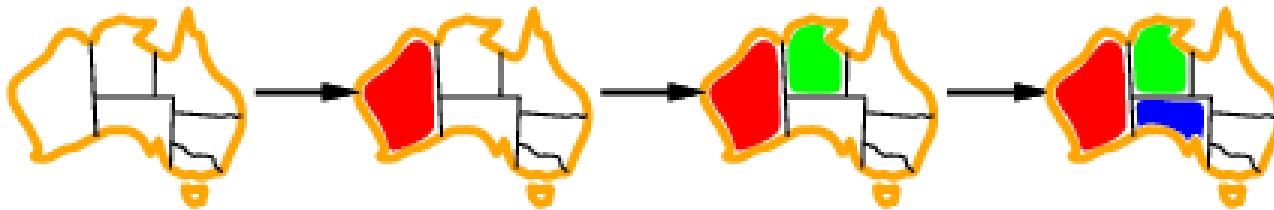
Most constrained variable

$var \leftarrow \text{SELECT-UNASSIGNED-VARIABLE}(Variables[csp], assignment, csp)$

- Selects the next unassigned variable in the order given by the list $Variables[csp]$.
- This static variable ordering seldom results in the most efficient search.
 - e.g. after the assignments for $WA=red$ and $NT=green$, there is only one possible value for SA .
 - So it makes sense to assign $SA=blue$ next rather than assigning Q .
 - After SA is assigned, the choices for Q , NSW , and V are all forced.

Most constrained variable

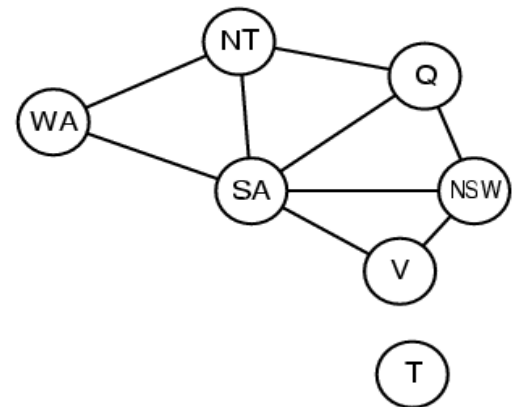
- Most constrained variable:
choose the variable with the fewest legal values.



- minimum remaining values (MRV) heuristic.
 - It picks a variable that is most likely to cause a failure soon.
 - If there is a variable X with zero legal values remaining, the MRV will select X and failure will be detected immediately.

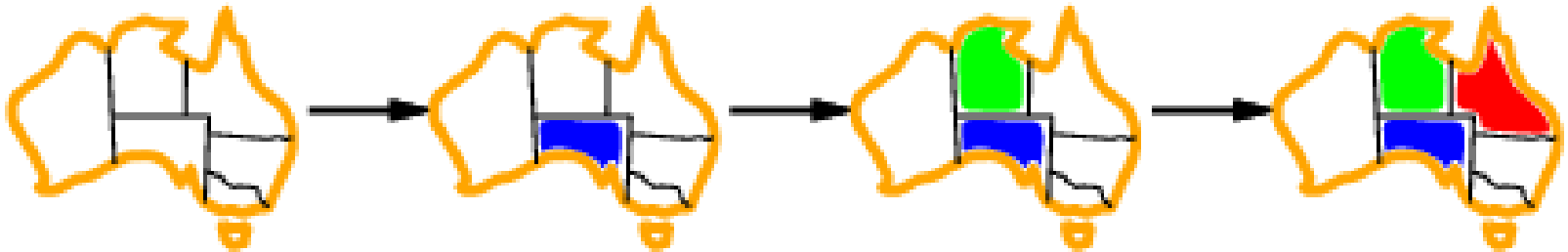
MRV heuristic

- The MRV heuristic doesn't help in choosing the first region to color in Australia because every region has 3 legal colors – **degree heuristic**.
- Degree heuristic tries to reduce the branching factor by selecting the variable that has the largest number of constraints on.
 - *SA* has the highest degree, 5; other variables have degree 2 or 3 and *T* has 0.



Most constraining variable

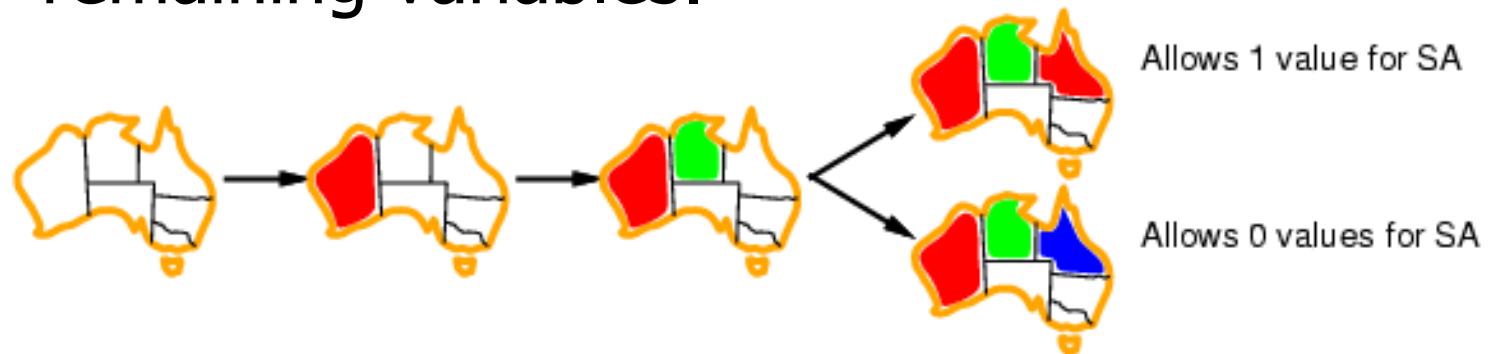
- Most constraining variable:
 - choose the variable with the most constraints on remaining variables



- The MRV heuristic is a more powerful guide, but the degree heuristic can be useful as tie-breaker.

Least constraining value (LCV)

- Given a variable, choose the least constraining value:
 - the one that rules out the fewest values in the remaining variables.



- In general, the heuristic is trying to leave the maximum flexibility for subsequent variable assignments.



Forward checking

- So far our search algorithm considers the constraints on a variable only at the time that the variable is chosen by *SELECT-UNASSIGNED-VARIABLE*.
- However, by looking at some of the constraints earlier in the search or before the search has started, we can reduce the search space.
- One way to make better use of constraints during search is called **forward checking**.

Forward checking

■ Idea:

- Keep track of remaining legal values for unassigned variables.
- Terminate search when any variable has no legal values.



WA

NT

Q

NSW

V

SA

T

Initial domains



Forward checking



	WA	NT	Q	NSW	V	SA	T
Initial domains	<div><div>red</div><div>green</div><div>blue</div></div>	<div><div>red</div><div>green</div><div>blue</div></div>	<div><div>red</div><div>green</div><div>blue</div></div>	<div><div>red</div><div>green</div><div>blue</div></div>	<div><div>red</div><div>green</div><div>blue</div></div>	<div><div>red</div><div>green</div><div>blue</div></div>	<div><div>red</div><div>green</div><div>blue</div></div>
After $WA=red$	<div><div>red</div></div>	<div><div></div><div>green</div><div>blue</div></div>	<div><div>red</div><div>green</div><div>blue</div></div>	<div><div>red</div><div>green</div><div>blue</div></div>	<div><div>red</div><div>green</div><div>blue</div></div>	<div><div></div><div>green</div><div>blue</div></div>	<div><div>red</div><div>green</div><div>blue</div></div>

$WA=red$ is assigned first; then forward checking deletes red from the domains of the neighboring variables NT and SA .

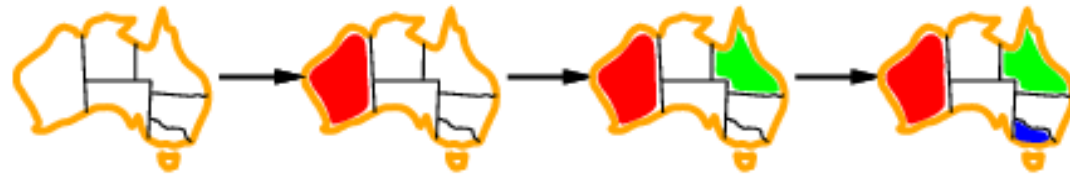
Forward checking



	WA	NT	Q	NSW	V	SA	T	
Initial domains	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>
After $WA=red$	<div><div>■</div><div>■</div><div>■</div></div>	<div><div></div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div></div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>
After $Q=green$	<div><div>■</div><div>■</div><div>■</div></div>	<div><div></div><div></div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div></div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div></div><div></div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>

After $Q=green$, green is deleted from the domains of NT , SA and NSW .

Forward checking



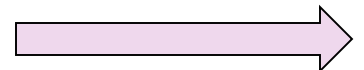
	WA	NT	Q	NSW	V	SA	T
Initial domains	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>
After $WA=red$	<div><div>■</div><div>■</div><div>■</div></div>	<div><div></div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div></div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>
After $Q=green$	<div><div>■</div><div>■</div><div>■</div></div>	<div><div></div><div></div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div></div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div></div><div></div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>
After $V=blue$	<div><div>■</div><div>■</div><div>■</div></div>	<div><div></div><div></div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div></div><div></div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div></div><div></div><div></div></div>	<div><div>■</div><div>■</div><div>■</div></div>

After $V=blue$, blue is deleted from the domains of NSW and SA , leaving SA with no legal values.



Forward checking

- Forward checking has detected that the partial assignment $\{WA=red, Q=green, V=blue\}$ is inconsistent with the constraints of the problem.
- The algorithm will therefore backtrack immediately.
- Although forward checking detects many inconsistencies, it does NOT detect all of them.
 - e.g. when $WA=red$ and $Q=green$, both NT and SA are forced to be blue.
 - but they are adjacent and they can not have the same value.
 - forward checking does not detect this as an inconsistency.



Constraint propagation

- Forward checking propagates information from assigned to unassigned variables, but doesn't provide early detection for all failures:

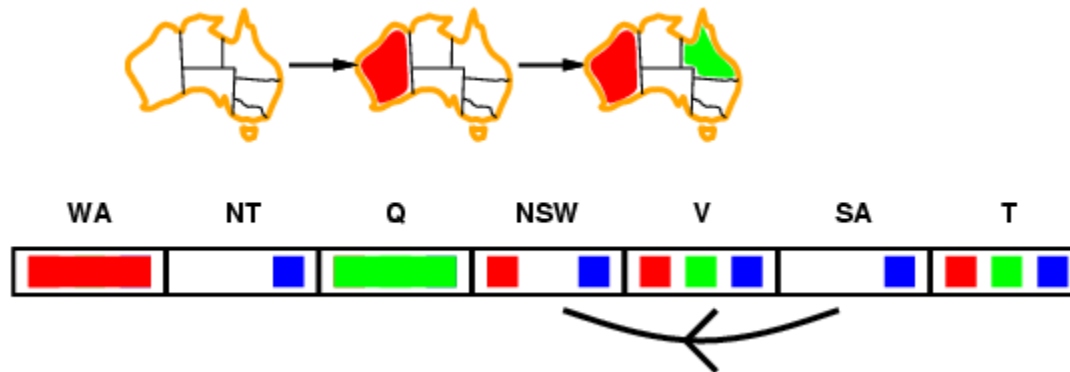


	WA	NT	Q	NSW	V	SA	T	
Initial domains	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>
After $WA=red$	<div><div>■</div><div>■</div><div>■</div></div>	<div><div></div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div></div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>
After $Q=green$	<div><div>■</div><div>■</div><div>■</div></div>	<div><div></div><div></div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div></div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div></div><div></div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>	<div><div>■</div><div>■</div><div>■</div></div>

- NT and SA cannot both be blue!
- Constraint propagation repeatedly enforces constraints locally.

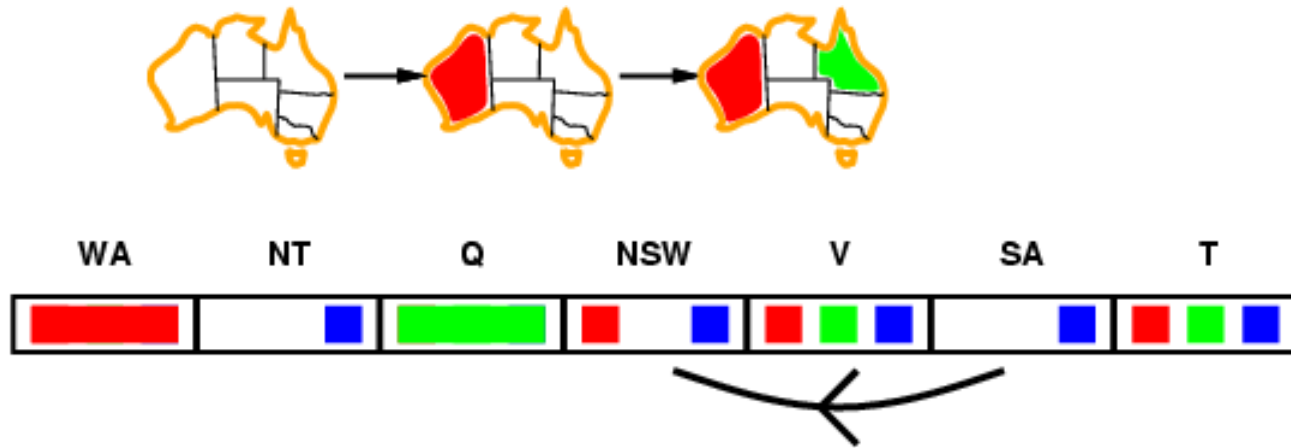
Arc consistency

- Simplest form of propagation makes each arc **consistent**.
- $X \rightarrow Y$ is consistent iff
for **every** value x of X there is **some** allowed y .



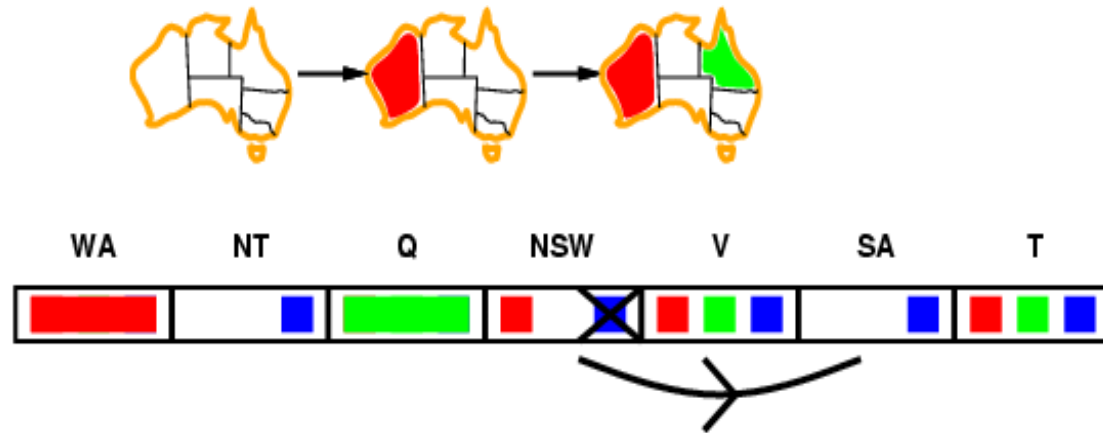
- e.g. the arc from *SA* to *NSW*.
 - the arc is consistent if for every value x of *SA*, there is some value y of *NSW* that is consistent with x .

Arc consistency



- The current domains: $SA = \{blue\}$ and $NSW = \{red, blue\}$.
- For $SA = \{blue\}$, there is a consistent assignment for NSW. It is $NSW = \{red\}$.
- Therefore, the arc from SA to NSW is **consistent**.

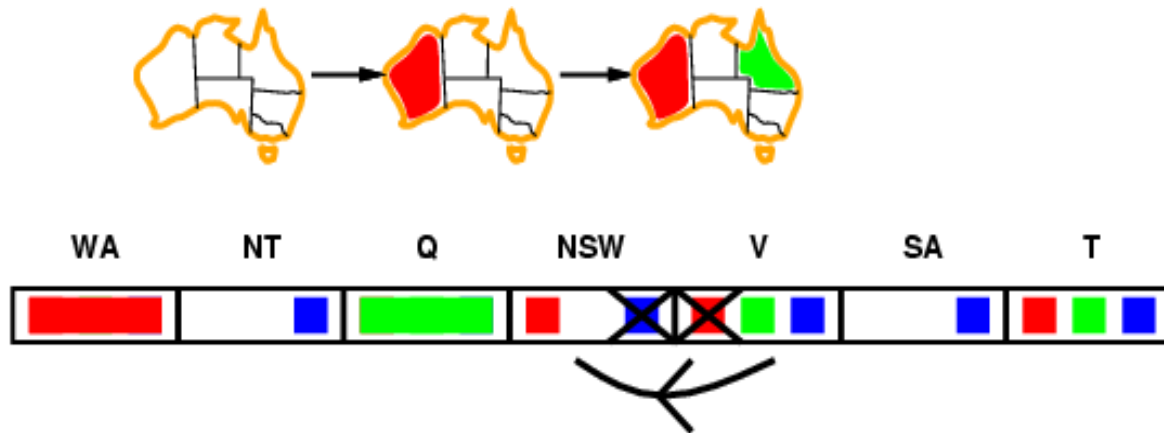
Arc consistency



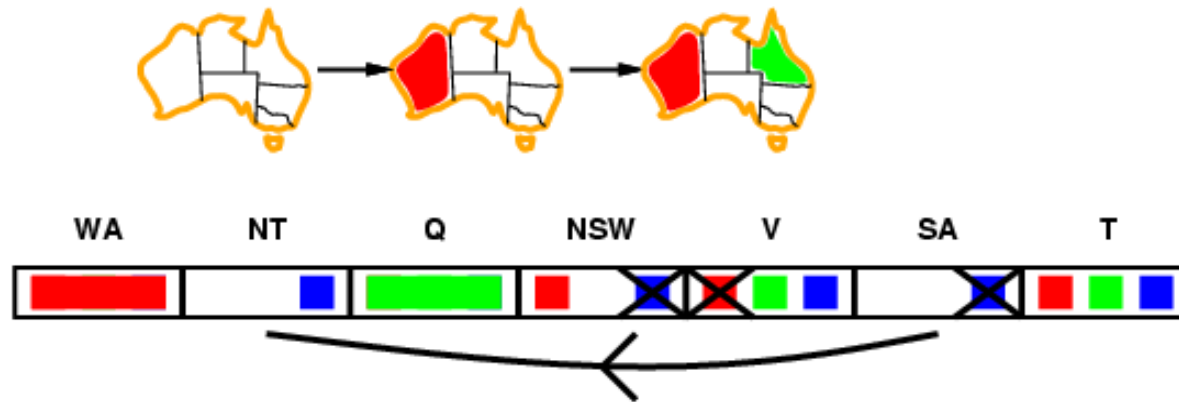
- The reverse arc from *NSW* to *SA* is **NOT consistent**.
- For the assignment *NSW=blue*, there is no consistent assignment for *SA*.
- The arc can be made consistent by deleting the value blue from the domain of *NSW*.

Arc consistency

- If X loses a value, neighbors of X need to be rechecked.



Arc consistency



- Apply arc consistency to the arc from *SA* to *NT*.
- Both variables have the domain $\{blue\}$.
- The result is that blue must be deleted from the domain of *SA*, leaving the domain empty.
- Arc consistency detects failure earlier than forward checking.
- Can be run as a preprocessor or after each assignment.

Arc consistency algorithm AC-3

```
function AC-3(csp) returns the CSP, possibly with reduced domains
  inputs: csp, a binary CSP with variables  $\{X_1, X_2, \dots, X_n\}$ 
  local variables: queue, a queue of arcs, initially all the arcs in csp

  while queue is not empty do
     $(X_i, X_j) \leftarrow \text{REMOVE-FIRST}(\textit{queue})$ 
    if RM-INCONSISTENT-VALUES( $X_i, X_j$ ) then
      for each  $X_k$  in NEIGHBORS[ $X_i$ ] do
        add  $(X_k, X_i)$  to queue



---


function RM-INCONSISTENT-VALUES( $X_i, X_j$ ) returns true iff remove a value
  removed  $\leftarrow$  false
  for each  $x$  in DOMAIN[ $X_i$ ] do
    if no value  $y$  in DOMAIN[ $X_j$ ] allows  $(x, y)$  to satisfy constraint( $X_i, X_j$ )
      then delete  $x$  from DOMAIN[ $X_i$ ]; removed  $\leftarrow$  true
  return removed
```

- AC-3 uses a queue to keep track of the arcs that need to be checked for inconsistency.
- After applying AC-3, either every arc is arc-consistent or some variable has an empty domain (thus the CSP cannot be solved).

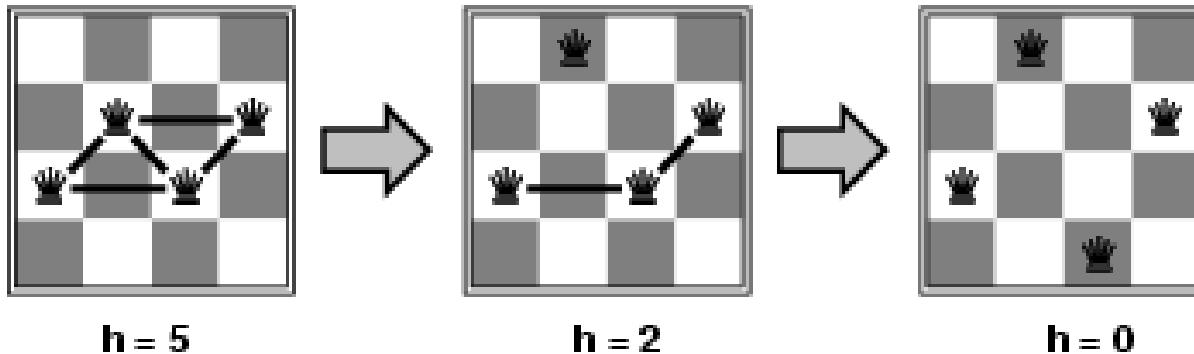


Local search for CSPs

- Hill-climbing, simulated annealing typically work with "complete" states, i.e., all variables assigned.
- To apply to CSPs:
 - allow states with unsatisfied constraints.
 - operators **reassign** variable values.
- Variable selection: randomly select any conflicted variable.
- Value selection by **min-conflicts** heuristic:
 - choose value that violates the fewest constraints.
 - i.e., hill-climb with $h(n)$ = total number of violated constraints.

Example: 4-Queens

- **States:** 4 queens in 4 columns ($4^4 = 256$ states)
- **Actions:** move queen in column
- **Goal test:** no attacks
- **Evaluation:** $h(n) = \text{number of attacks}$



- Given random initial state, can solve n -queens in almost constant time for arbitrary n with high probability (e.g., $n = 10,000,000$)



Summary

- CSPs are a special kind of problem:
 - states defined by values of a fixed set of variables.
 - goal test defined by constraints on variable values.
 - CSP can be represented by a constraint graph.
- Backtracking = depth-first search with one variable assigned per node.
- Variable ordering and value selection heuristics help significantly.
- Forward checking prevents assignments that guarantee later failure.
- Constraint propagation (e.g., arc consistency) does additional work to constrain values and detect inconsistencies.
- Local search using the min-conflicts heuristic is usually effective in practice.