

323

# ARTIFICIAL INTELLIGENCE & EXPERT SYSTEMS

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## Local Search Algorithms

### Chapter 4





# Local search algorithms

- The search algorithms we have seen so far are systematic.
- This systematicity is achieved by keeping one or more paths in memory and by recording which alternatives have been explored at each point along the path and which have not.
- When a goal is found, the path to that goal is also a part of a solution to the problem.
- In many problems, the path to the goal is irrelevant.
- If the path to the goal does not matter, we might consider a different class of algorithms – **local search algorithms**.



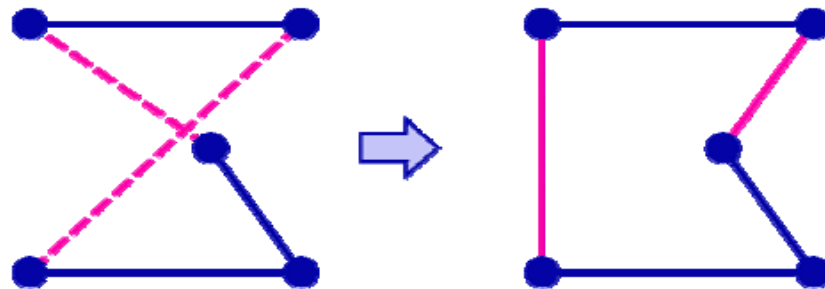
# Local search algorithms

- Local search algorithms work by keeping in memory just one current state (or perhaps a few), moving around the state space based on purely local information.
- The paths followed by the search are not retained.
- Local search algorithms are not systematic,
- They have two advantages:
  - (1) they use very little memory—usually a constant amount;
  - (2) they can often find reasonable solutions in large or infinite (continuous) state spaces for which systematic algorithms are unsuitable.

# Example: Travelling Salesperson Problem



- In addition to finding goals, local search algorithms are useful for solving optimization problems.
  - the aim is to find the best state according to an objective function.
  - start with any complete tour, perform pairwise exchanges

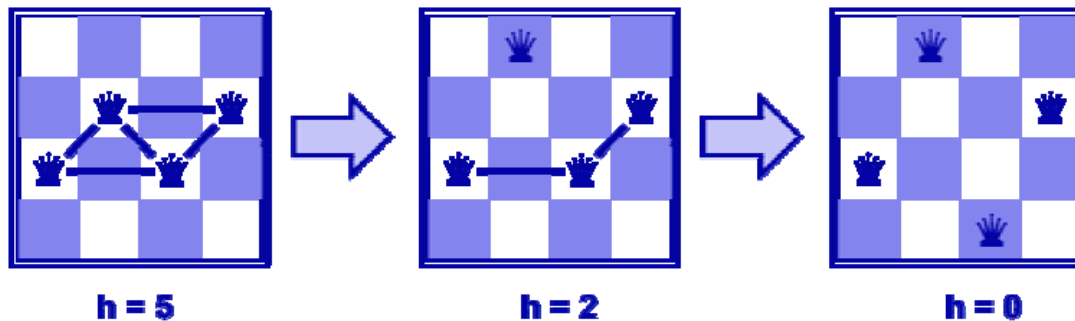


- variants of this approach get within 1% of optimal very quickly with thousands of cities



# Example: *n*-queens

- Put  $n$  queens on an  $n \times n$  board with no two queens on the same row, column, or diagonal
- What matters is the final configuration of queens, not the order in which they are added.
- Local search: start with all  $n$ , move a queen to reduce conflicts



- Almost always solves  $n$ -queens problems almost instantaneously for very large  $n$ , e.g.,  $n=1$  million



# Generate-and-Test

## Algorithm

1. Generate a possible solution.
2. Test to see if this is actually a solution.
3. Quit if a solution has been found.  
Otherwise, return to step 1.



# Hill Climbing Search

- Hill Climbing search is simply a loop that continually moves in the direction of increasing value – **uphill**.
- It terminates when it reaches a “**peak**” where no neighbor has a higher value.
- The algorithm does not maintain a search tree, so the current node only records the state and objective function value.



# Hill Climbing

- Searching for a **goal state** = Climbing to the **top of a hill**
- Generate-and-test + **direction to move**.
- **Heuristic function** to estimate how close a given state is to a goal state.





# Simple Hill Climbing

## Algorithm

1. Evaluate the initial state.
2. Loop until a solution is found or there are no new operators left to be applied:
  - Select and apply a new operator
  - Evaluate the new state:
    - goal  $\rightarrow$  quit
    - better than current state  $\rightarrow$  new current state



# Hill-climbing search

function HILL-CLIMBING(*problem*) returns a state that is a local maximum

inputs: *problem*, a problem

local variables: *current*, a node

*neighbor*, a node

*current* ← MAKE-NODE(INITIAL-STATE[*problem*])

loop do

*neighbor* ← a highest-valued successor of *current*

if VALUE[*neighbor*] ≤ VALUE[*current*] then return STATE[*current*]

*current* ← *neighbor*

At each step the current node is replaced by the best neighbor → the neighbor with the highest value.

# Hill-climbing search: 8-queens problem



- Each state has 8 queens on the board, one per column.
- The successor function returns all possible states generated by moving a single queen to another square in the same column.
  - so, each state has  $8 \times 7 = 56$  successors.
- **$h$  = number of pairs of queens that are attacking each other, either directly or indirectly**
- The global minimum of this function is zero.

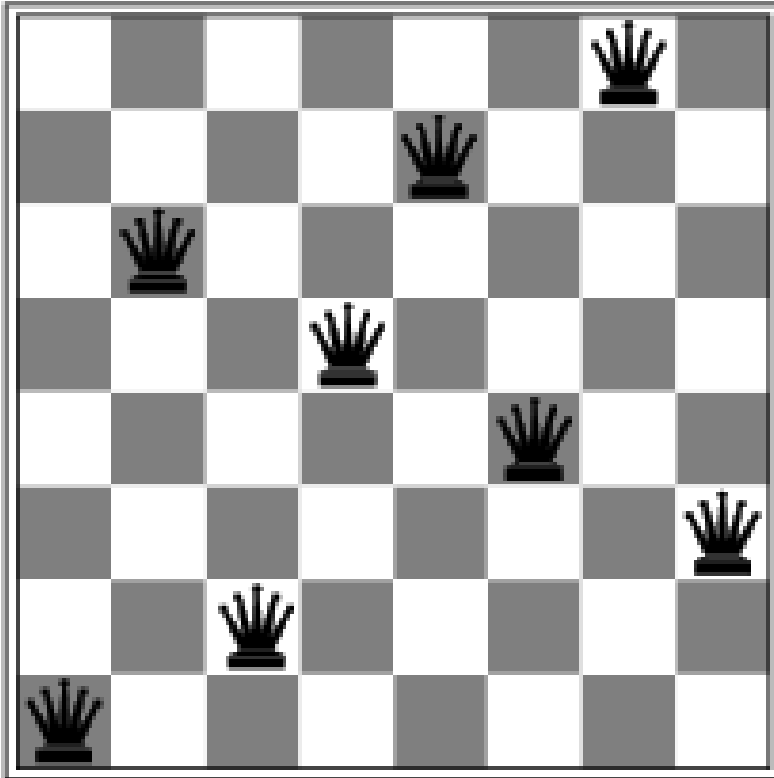
# Hill-climbing search: 8-queens problem



18	12	14	13	13	12	14	14
14	16	13	15	12	14	12	16
14	12	18	13	15	12	14	14
15	14	14	♚	13	16	13	16
♚	14	17	15	♚	14	16	16
17	♚	16	18	15	♚	15	♚
18	14	♚	15	15	14	♚	16
14	14	13	17	12	14	12	18

- $h = 17$  for the above state
- The figure also shows the values of all its successors, with the best successors  $h=12$ .

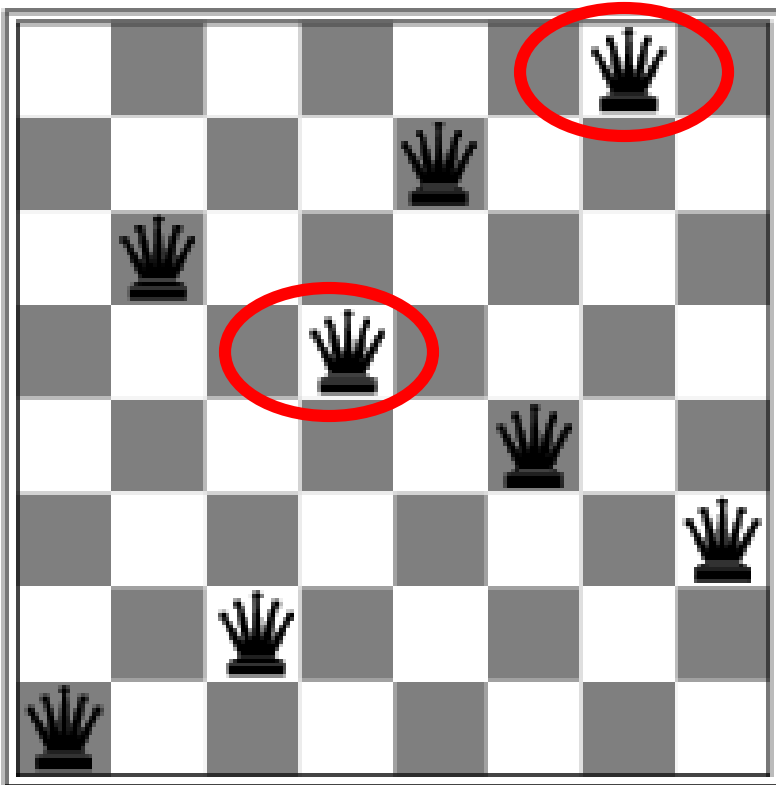
# Hill-climbing search: 8-queens problem



Hill-climbing algorithms choose randomly among the set of best successors, if there is more than one.

- A local minimum with  $h = 1$  but every successor has a higher cost.

# Hill-climbing search: 8-queens problem



Hill-climbing algorithms choose randomly among the set of best successors, if there is more than one.

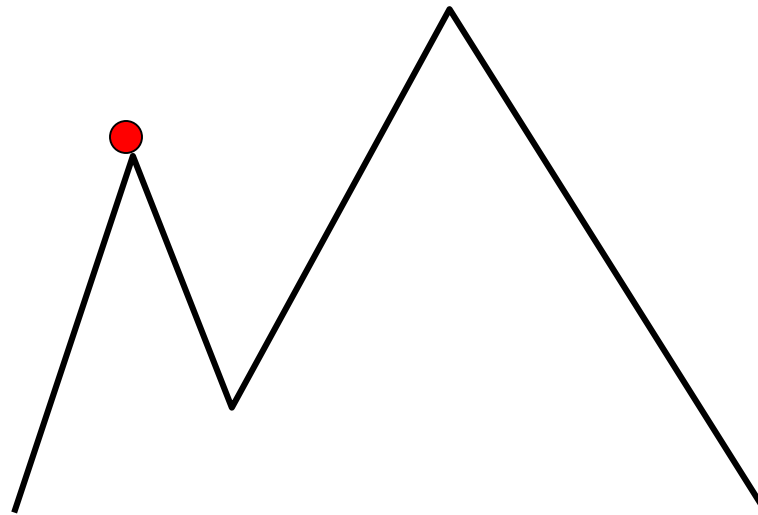
- A local minimum with  $h = 1$  but every successor has a higher cost.



# Hill Climbing: Disadvantages

## Local maximum

A state that is better than all of its neighbours,  
but not better than some other states far away.

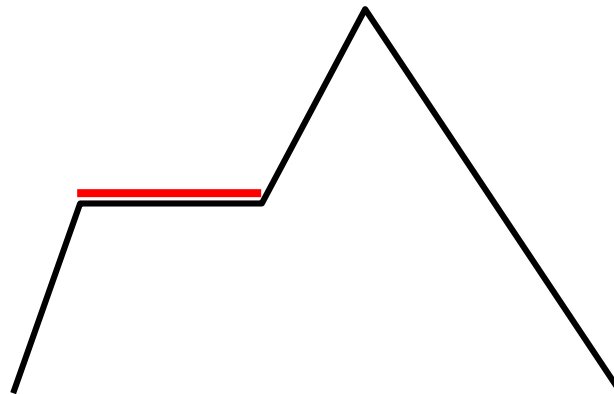




# Hill Climbing: Disadvantages

## Plateau

A flat area of the search space in which all neighbouring states have the same value.

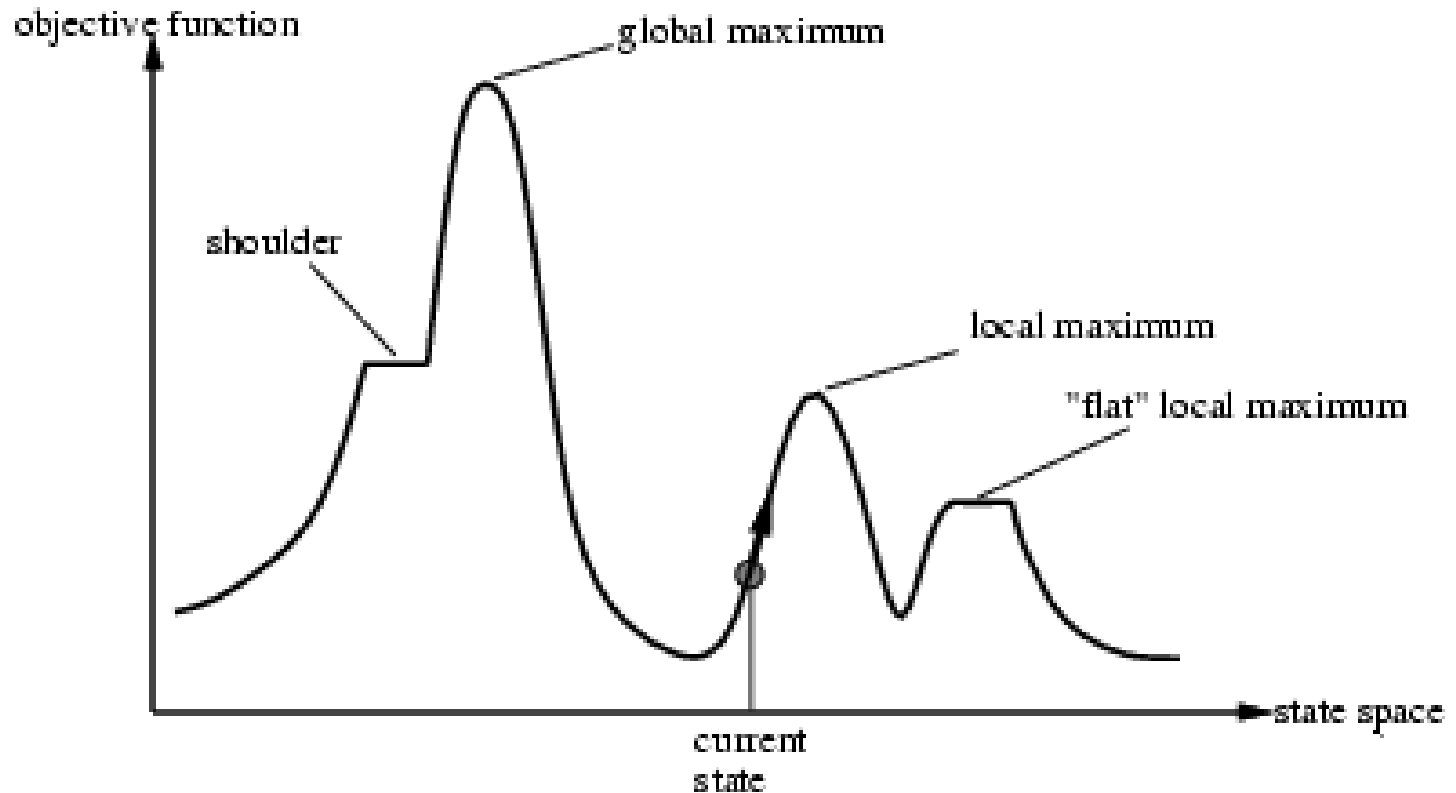




# Hill-climbing search



- Hill-climbing search modifies the current state by trying to improve it, as shown by the arrow
- **Problem:** depending on initial state, can get stuck in local maxima





# Hill Climbing: Disadvantages

## Ways Out

- **Backtrack** to some earlier node and try going in a different direction.
- Make a **big jump** to try to get in a new section.
- Moving in **several directions** at once.



# Stochastic Hill Climbing

- Generate successors randomly until one is better than the current state
- Good choice when each state has a very large number of successors
- Still, this is an **incomplete** algorithm
  - We may get stuck in a local maxima

# Random Restart Hill Climbing



- Generate start states randomly
- Then proceed with hill climbing
- Will eventually generate a goal state as the initial state
- Hard problems typically have a large number of local maxima
  - This may be a decent definition of “difficult” as related to search strategy



- Sometimes worse must you get in order to find the better

-Yoda





# Simulated annealing search

- **Idea:** escape local maxima by allowing some "bad" moves but **gradually decrease** their frequency.
- e.g. task of getting a ping-pong ball into the deepest crevice in a bumpy surface.
  - If we just let the ball roll, it will come to rest at a local minimum.
  - If we shake the surface, we can bounce the ball out of the local minimum.
- The trick is to shake just hard enough to bounce the ball out of local minima, but not hard enough get it from the global minimum.

# Simulated annealing search



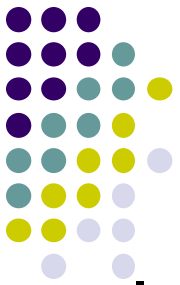
- The principle behind SA is similar to what happens when metals are cooled at a controlled rate
- The slowly decrease of temperature allows the atoms in the molten metal to line themselves up to form a regular crystalline structure that possesses a low density and a low energy.

# Simulated annealing search



- One can prove: If  $T$  decreases slowly enough, then simulated annealing search will find a global optimum with probability approaching 1.
- Widely used in VLSI layout, airline scheduling, etc





# Simulated annealing search

- like hill-climbing identify the quality of the local improvements
- instead of picking the best move, pick one randomly- say the change in objective function is  $\delta$
- if  $\delta$  is positive, then move to that state
- otherwise:
  - move to this state with probability proportional to  $\delta$
  - thus: worse moves (very large negative  $\delta$ ) are executed less often

# Simulated annealing search



- There is always a chance of escaping from local maxima over time, make it less likely to accept locally bad moves
- (Can also make the size of the move random as well, i.e., allow “large” steps in state space)

# Simulated annealing



**function** SIMULATED-ANNEALING( *problem*, *schedule*) **return** a solution state

**input:** *problem*, a problem

*schedule*, a mapping from time to temperature

**local variables:** *current*, a node.

*next*, a node.

*T*, a “temperature” controlling the prob. of downward steps

*current*  $\leftarrow$  MAKE-NODE(INITIAL-STATE[*problem*])

**for** *t*  $\leftarrow$  1 **to**  $\infty$  **do**

*T*  $\leftarrow$  *schedule*[*t*]

**if** *T* = 0 **then return** *current*

*next*  $\leftarrow$  a randomly selected successor of *current*

$\Delta E \leftarrow$  VALUE[*next*] - VALUE[*current*]

**if**  $\Delta E > 0$  **then** *current*  $\leftarrow$  *next*

**else** *current*  $\leftarrow$  *next* only with probability  $e^{\Delta E / T}$



# Temperature T

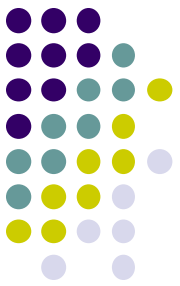
- high T: probability of “locally bad” move is higher
- low T: probability of “locally bad” move is lower
- typically, T is decreased as the algorithm runs longer
- i.e., there is a “temperature schedule”

# Simulated Annealing in Practice

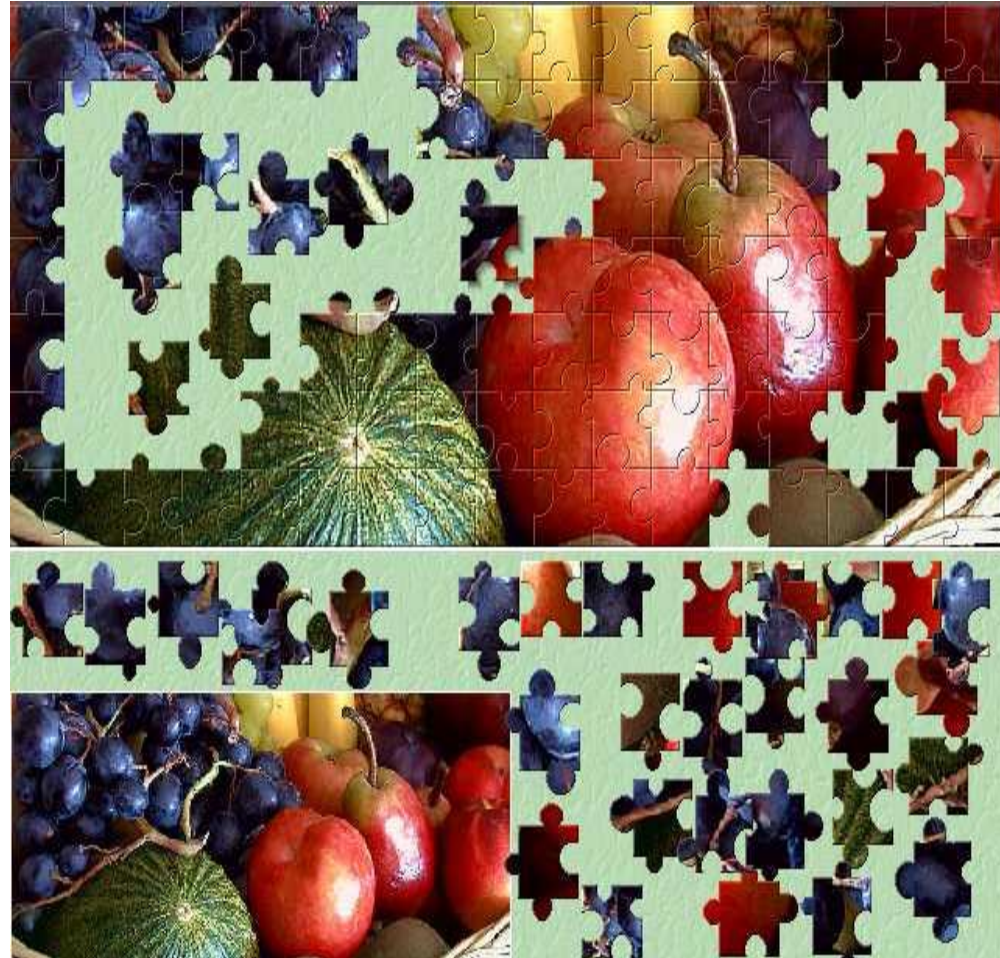


- method proposed in 1983 by IBM researchers for solving VLSI layout problems (Kirkpatrick et al, *Science*, 220:671-680, 1983).
  - theoretically will always find the global optimum
- Other applications: Traveling salesman, Graph partitioning, Graph coloring, Scheduling, Facility Layout, Image Processing, ...
- useful for some problems, but can be very slow
  - slowness comes about because  $T$  must be decreased very gradually to retain optimality

# Jigsaw puzzles – Intuitive usage of Simulated Annealing



- Given a jigsaw puzzle such that one has to obtain the final shape using all pieces together.
- Starting with a random configuration, the human brain unconditionally chooses certain moves that tend to the solution.
- However, certain moves that may or may not lead to the solution are accepted or rejected with a certain small probability.
- The final shape is obtained as a result of a large number of iterations.

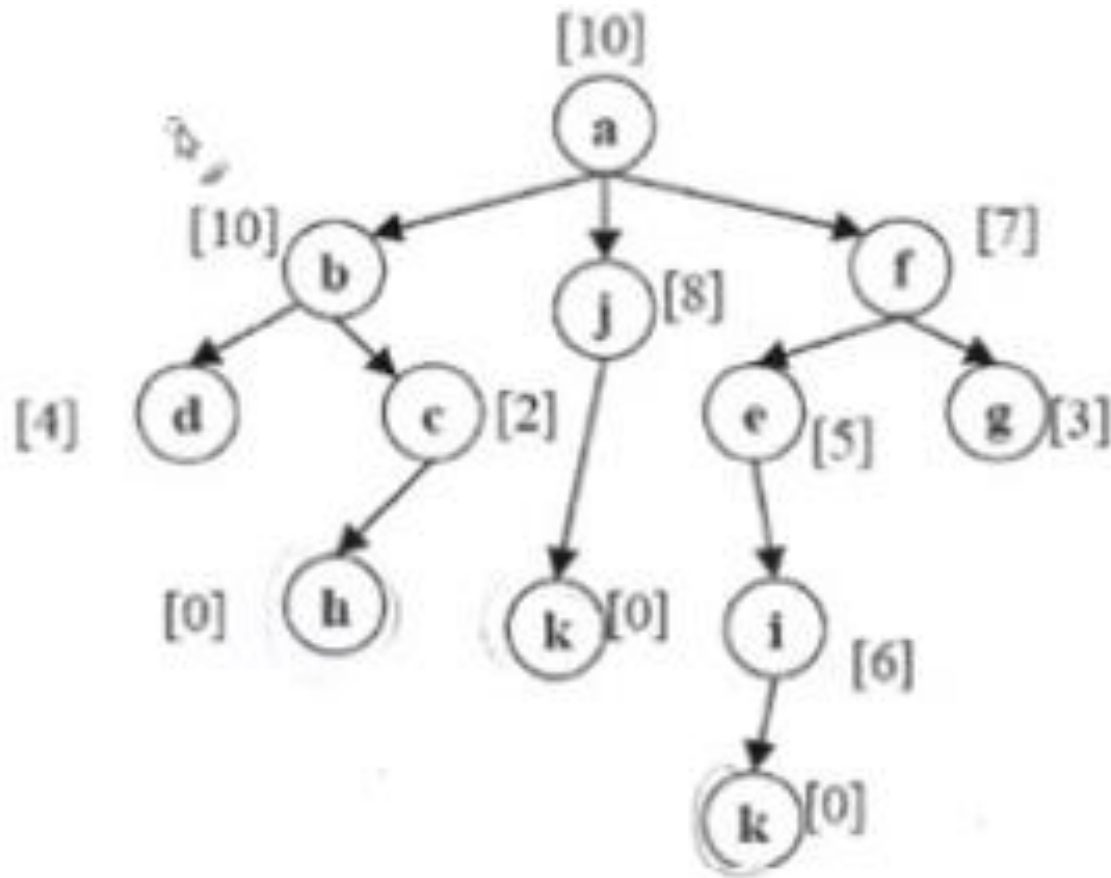




# Local beam search

- Keep track of  $k$  states rather than just one
- Start with  $k$  randomly generated states
- At each iteration, all the successors of all  $k$  states are generated
- If any one is a goal state, stop; else select the  $k$  best successors from the complete list and repeat.

# Local beam search



Local Beam Search

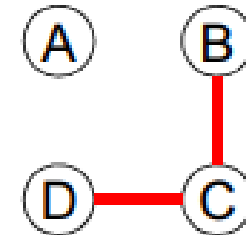
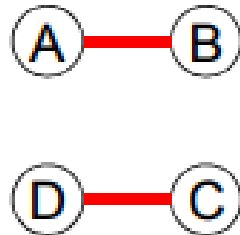
**k** is the beam width.



# Local beam search

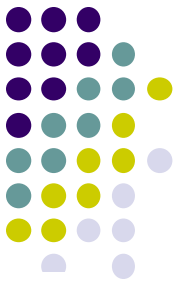


## Travelling Salesman Problem

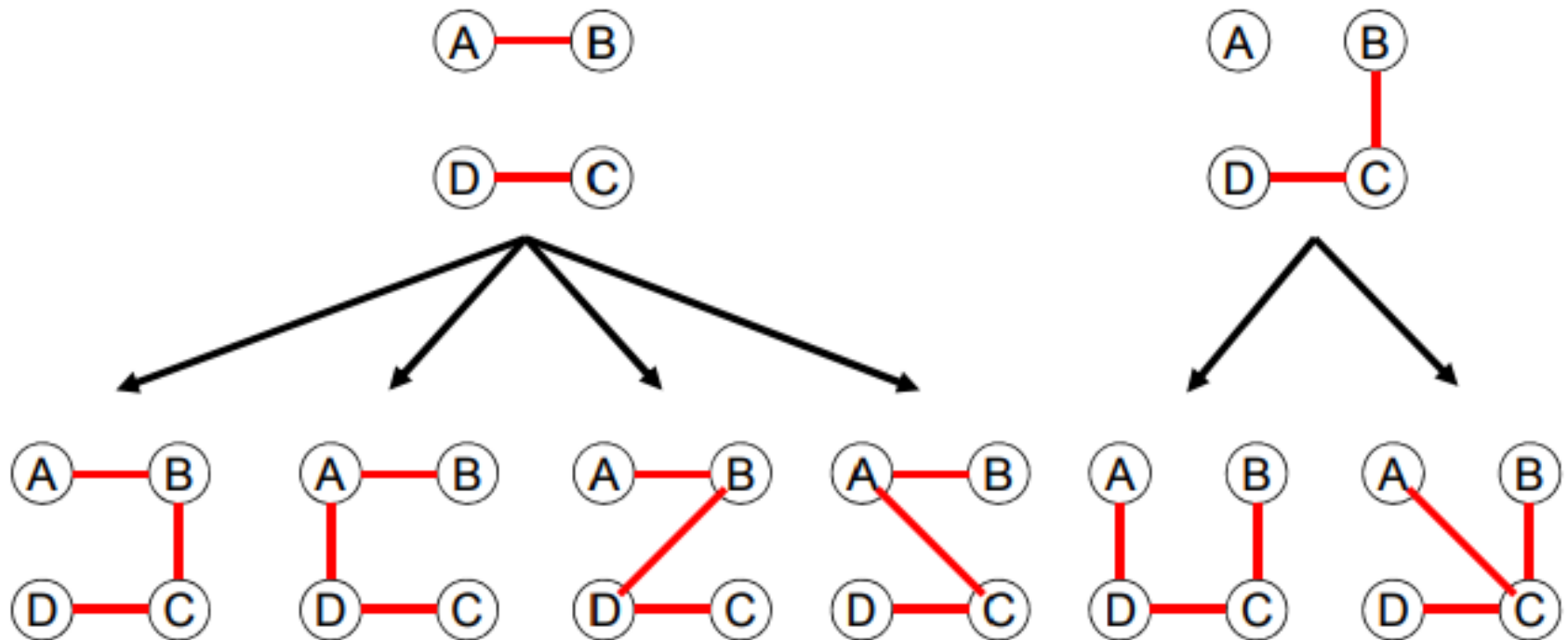


Keeps track of  $k$  states rather than just 1.  
 $k=2$  in this example. Start with  $k$  randomly generated states.

# Local beam search

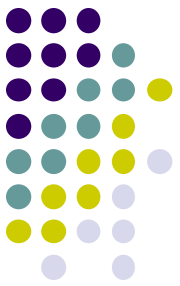


Travelling Salesman Problem ( $k=2$ )

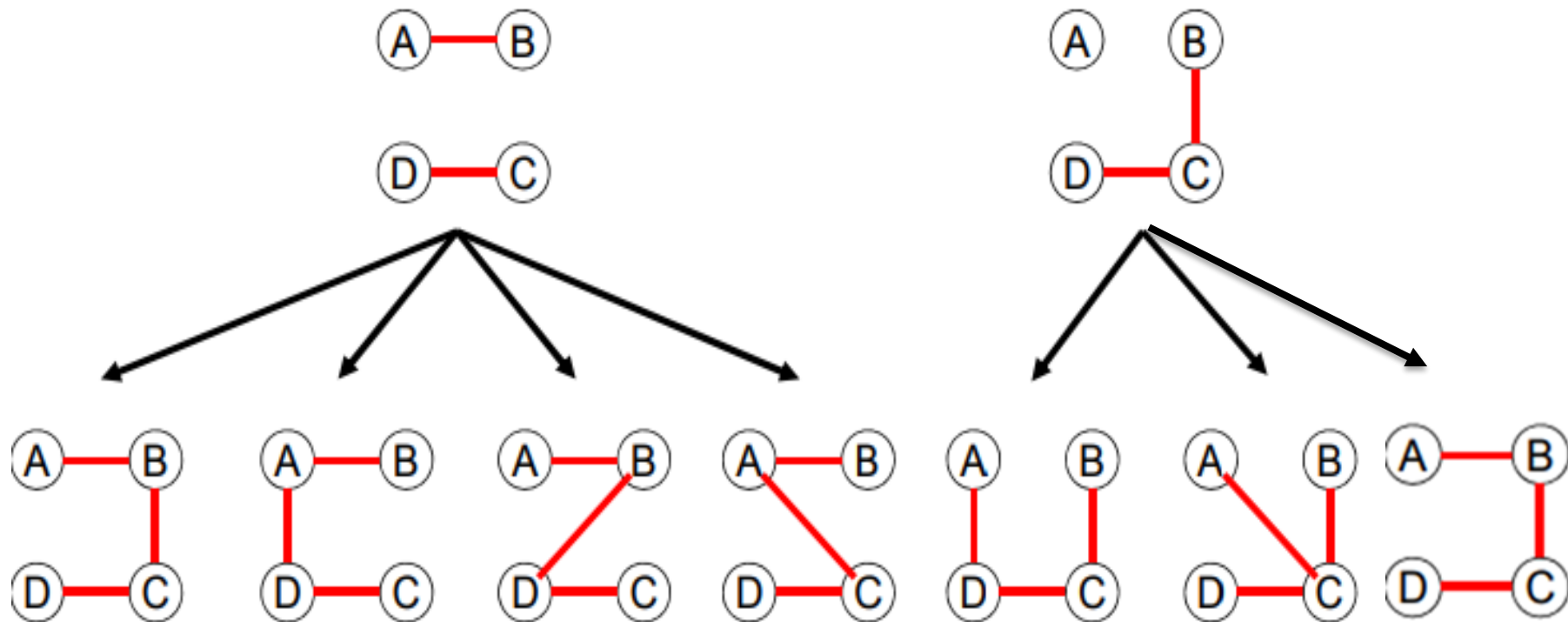


Generate all successors of all the  $k$  states

# Local beam search



Travelling Salesman Problem ( $k=2$ )

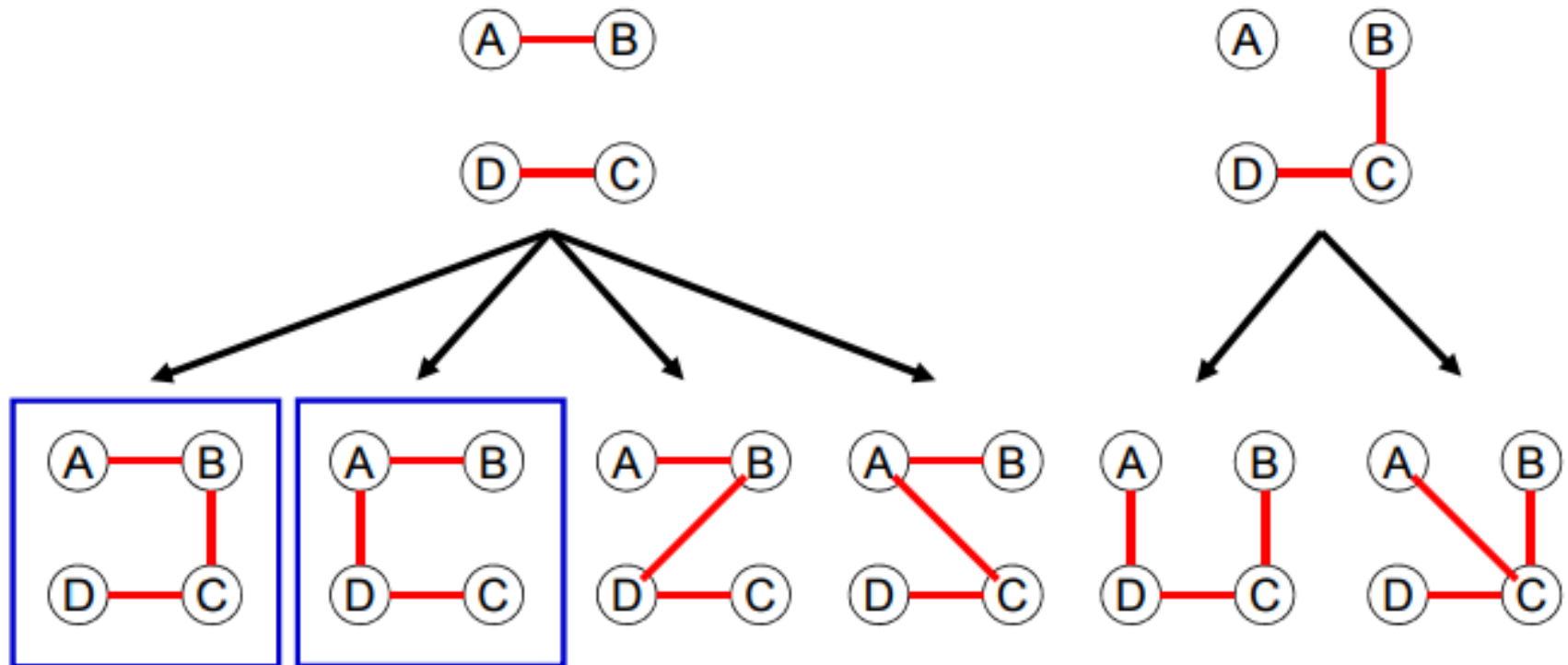


None of these is a goal state so we continue

# Local beam search

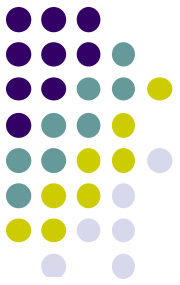


Travelling Salesman Problem ( $k=2$ )

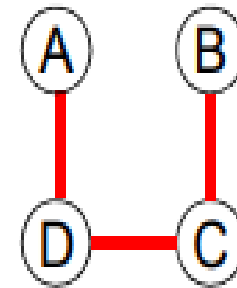
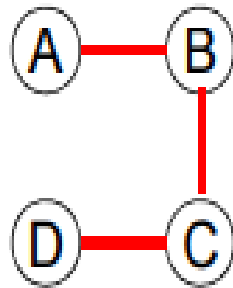


Select the best  $k$  successors from the  
**complete** list

# Local beam search



Travelling Salesman Problem ( $k=2$ )



Repeat the process until goal found

# Local beam search



- How is this different from  $k$  random restarts in parallel?
- **Random-restart search:** each search runs independently of the others.
- **Local beam search:** useful information is passed among the  $k$  parallel search threads
  - Eg. One state generates good successors while the other  $k-1$  states all generate bad successors, then the more promising states are expanded

# Local beam search



- **Disadvantage:** all  $k$  states can become stuck in a small region of the state space
- To fix this, use **stochastic beam search**
- **Stochastic beam search:**
  - Doesn't pick best  $k$  successors
  - Chooses  $k$  successors at random, with probability of choosing a given successor being an increasing function of its value



# Genetic algorithms

- Like natural selection in which an organism creates offspring according to its fitness for the environment.
- Essentially a variant of stochastic beam search that combines two parent states
- Over time, population contains individuals with high fitness



# Genetic algorithms - definitions

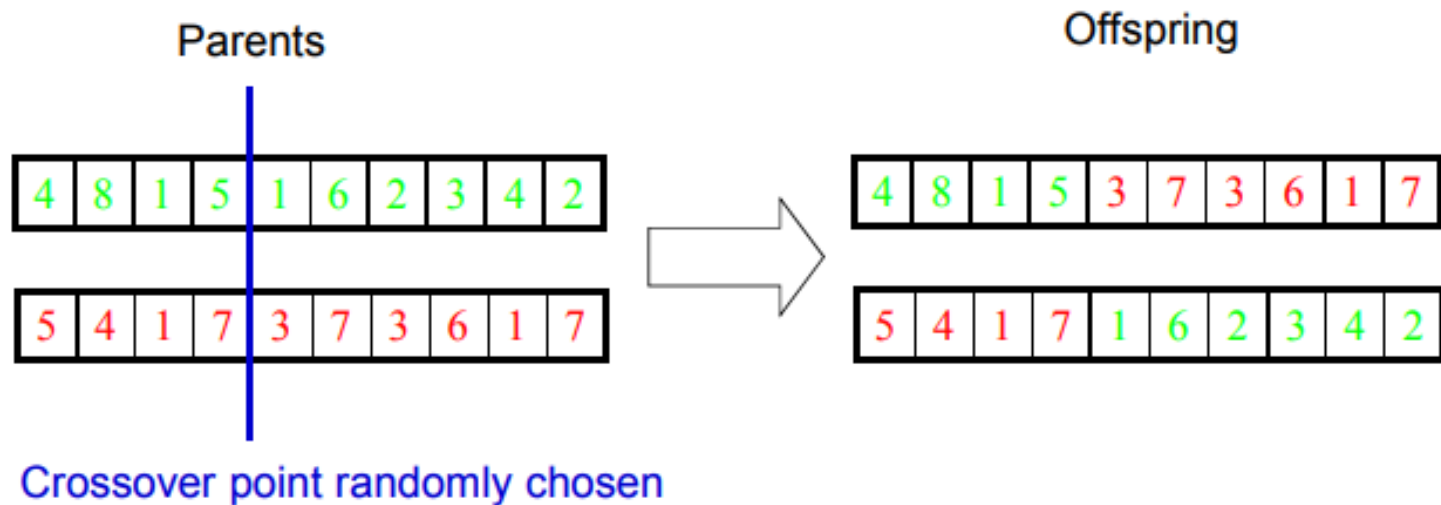


- A successor state is generated by combining two parent states rather than modifying a single state.
- Start with  $k$  randomly generated states (**population**)
- A state is represented as a string over a finite alphabet (often a string of 0s and 1s)
- Evaluation function (**fitness function**). Higher values for better states.
- Produce the next generation of states by **selection**, **crossover**, and **mutation**

# Genetic algorithms - definitions



- **Selection**: Pick two random individuals for reproduction
- **Crossover**: Mix the two parent strings at the crossover point



# Genetic algorithms - definitions



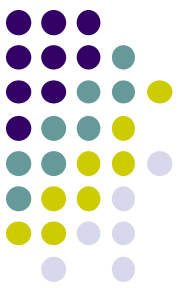
- **Mutation**: randomly change a location in an individual's string with a small independent probability

4	8	1	5	1	6	2	3	4	2
---	---	---	---	---	---	---	---	---	---



4	8	1	5	1	6	0	3	4	2
---	---	---	---	---	---	---	---	---	---

Randomness aids in avoiding small local extrema



# Genetic algorithms - overview

Population = Initial population

Iterate until some individual is fit enough or enough time has elapsed:

NewPopulation = Empty

For 1 to size(Population)

    Select pair of parents ( $P_1, P_2$ ) using Selection( $P$ , Fitness Function)

    Child  $C$  = Crossover( $P_1, P_2$ )

    With small random probability, Mutate( $C$ )

    Add  $C$  to NewPopulation

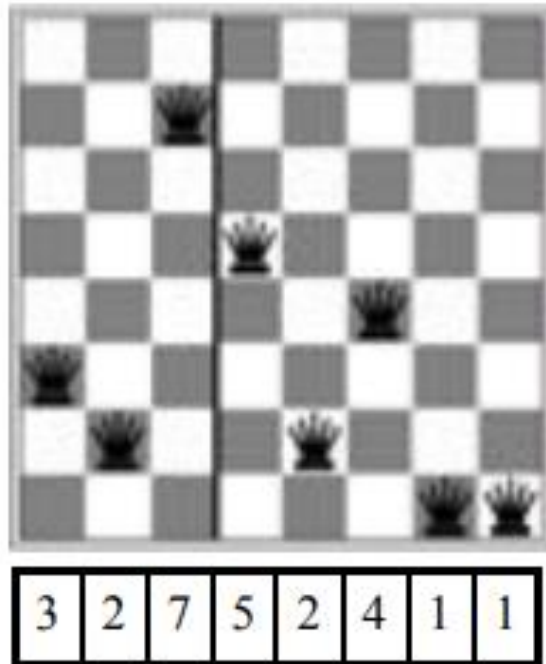
Population = NewPopulation

Return individual in Population with best Fitness Function

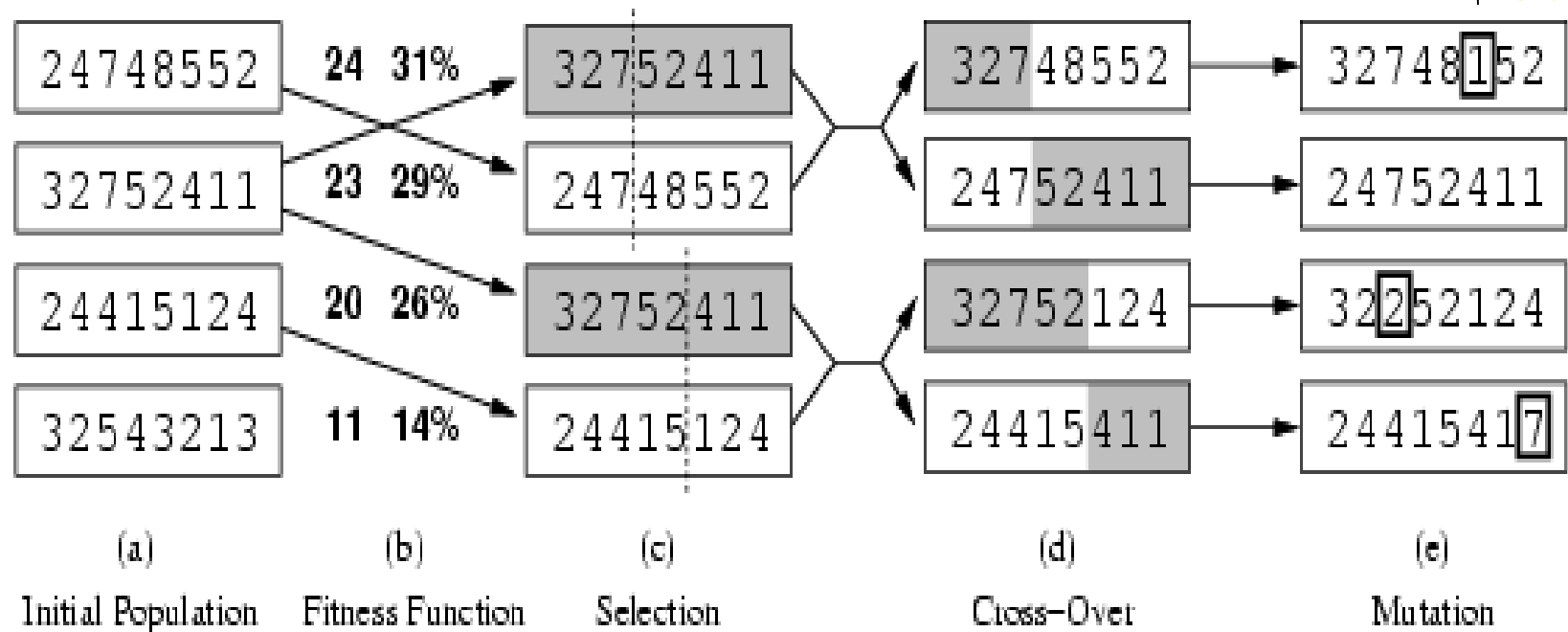


# Example- 8 queens

- **Fitness Function**: number of nonattacking pairs of queens (28 is the value for the solution)
- Represent 8-queens state as an 8 digit string in which each digit represents position of queen



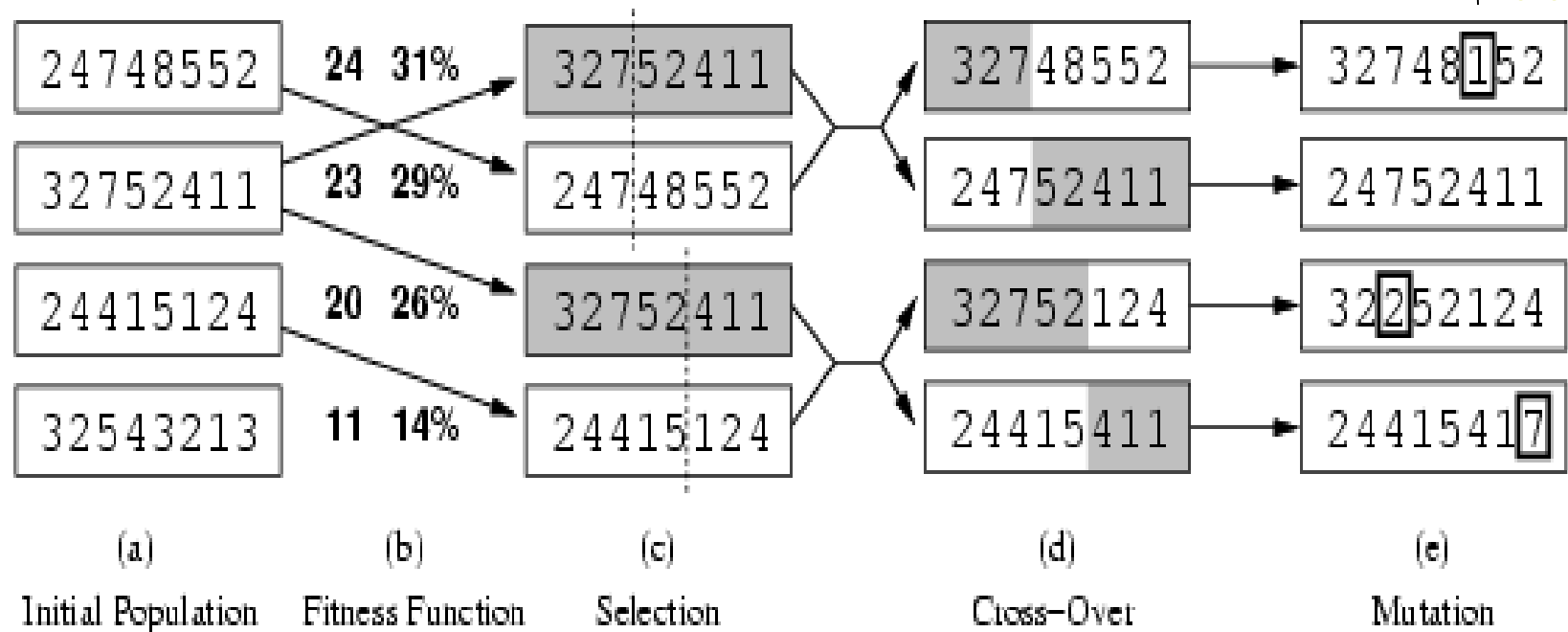
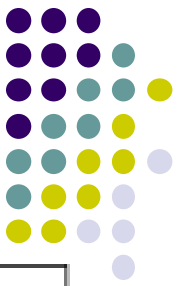
# Example- 8 queens



(a) shows a population of four 8-digit strings representing 8-queens states, each range from 1 to 8.

The production of the next generation of states is shown in Figure (b) – (e).

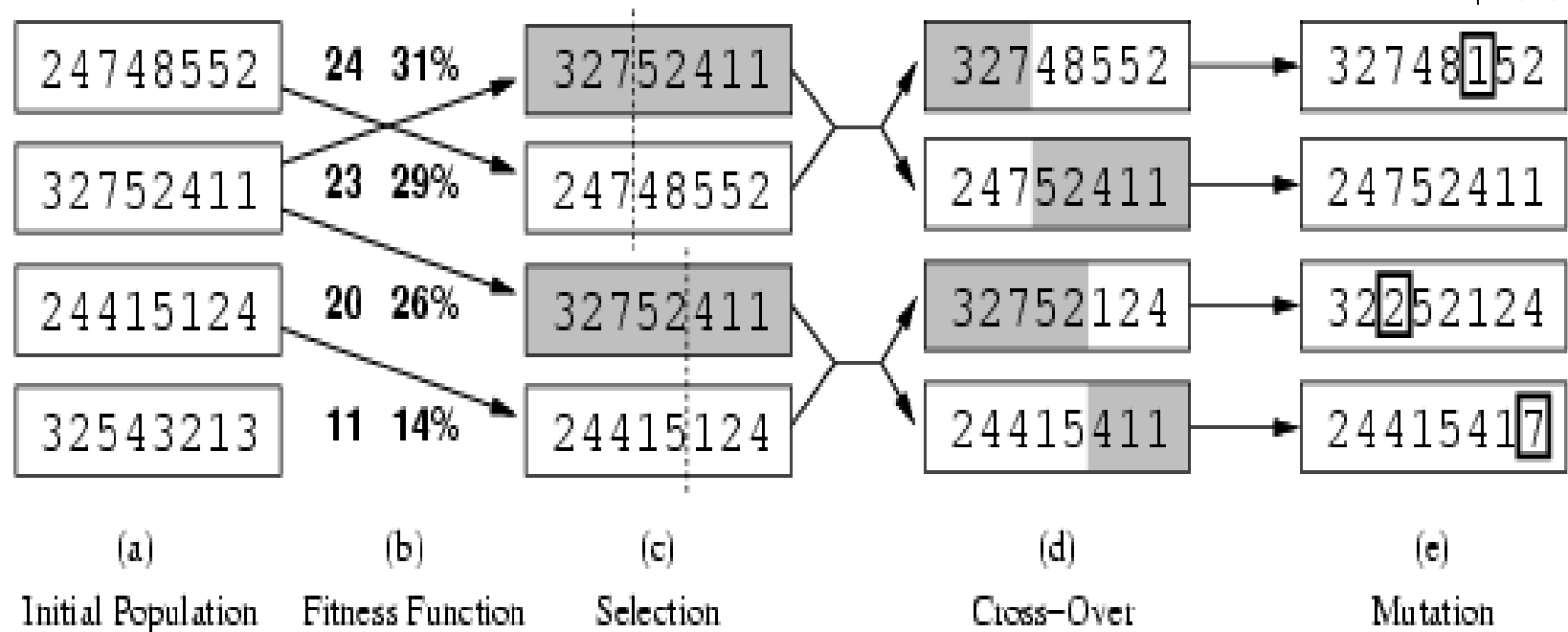
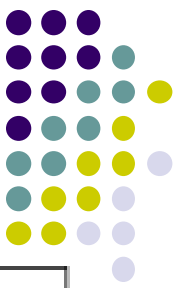
# Example- 8 queens



In (b), each state is rated by the evaluation function – fitness function. We use the number of nonattacking pairs of queens – 28 for a solution.

The values of the four states are 24, 23, 20 and 11.

# Example- 8 queens



The probability of being chosen for reproducing is proportional to the fitness score :

✓  $24/(24+23+20+11) = 31\%$

✓  $23/(24+23+20+11) = 29\%$  etc.



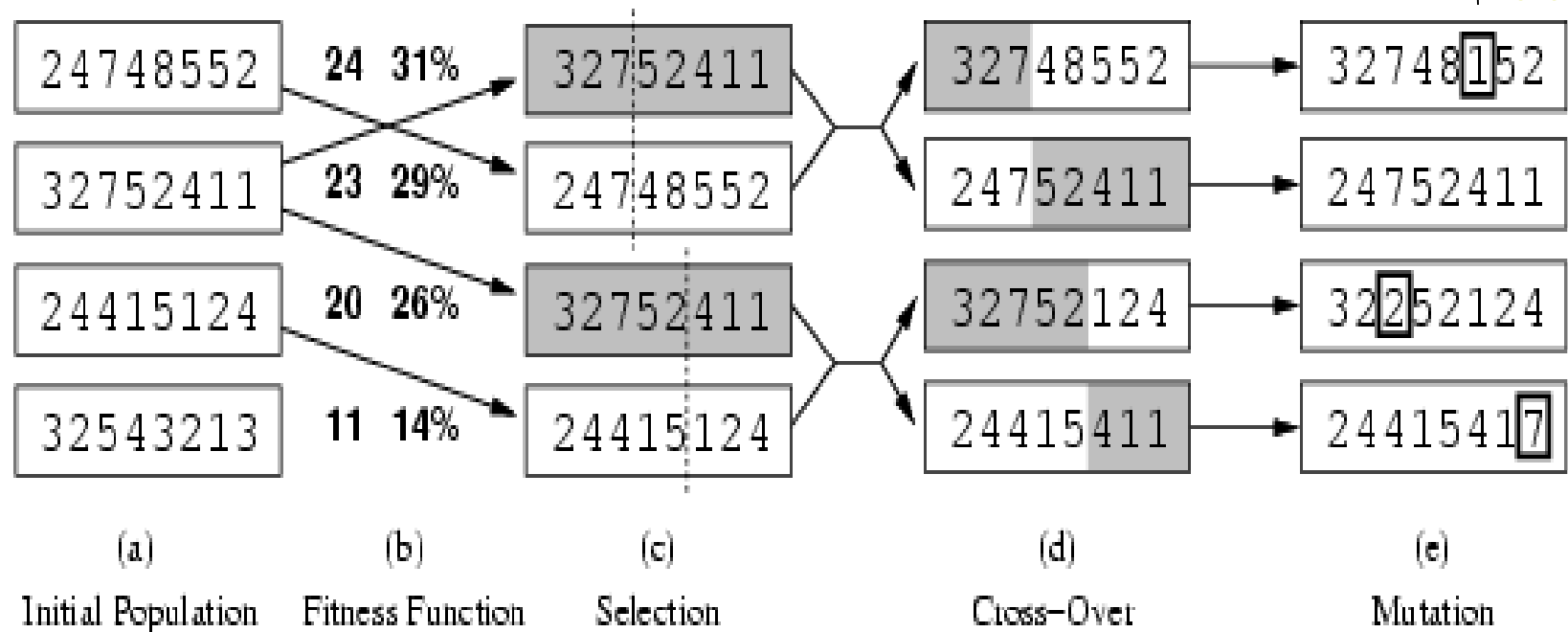
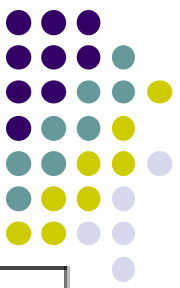
# Example- 8 queens (fitness function)



Values of Fitness Function

Probability of selection  
(proportional to fitness score)

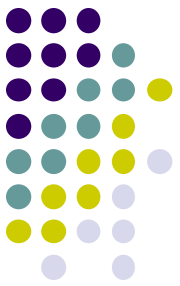
# Example- 8 queens



In (c), a random choice of two pairs is selected for reproduction, in accordance with the probabilities in (b).

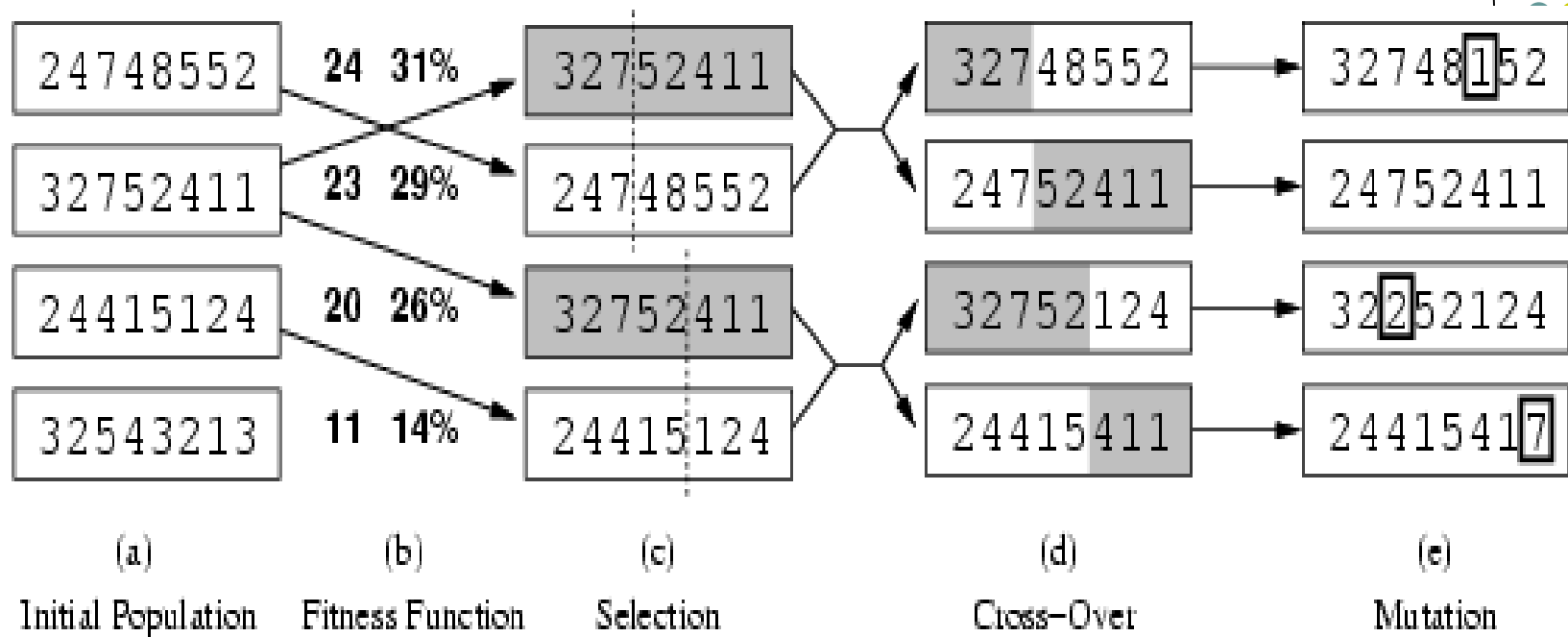
- one individual is selected twice and one not at all.

# Example- 8 queens (selection)



Notice 3 2 7 5 2 4 1 1 is selected twice while  
3 2 5 4 3 2 1 3 is not selected at all

# Example- 8 queens

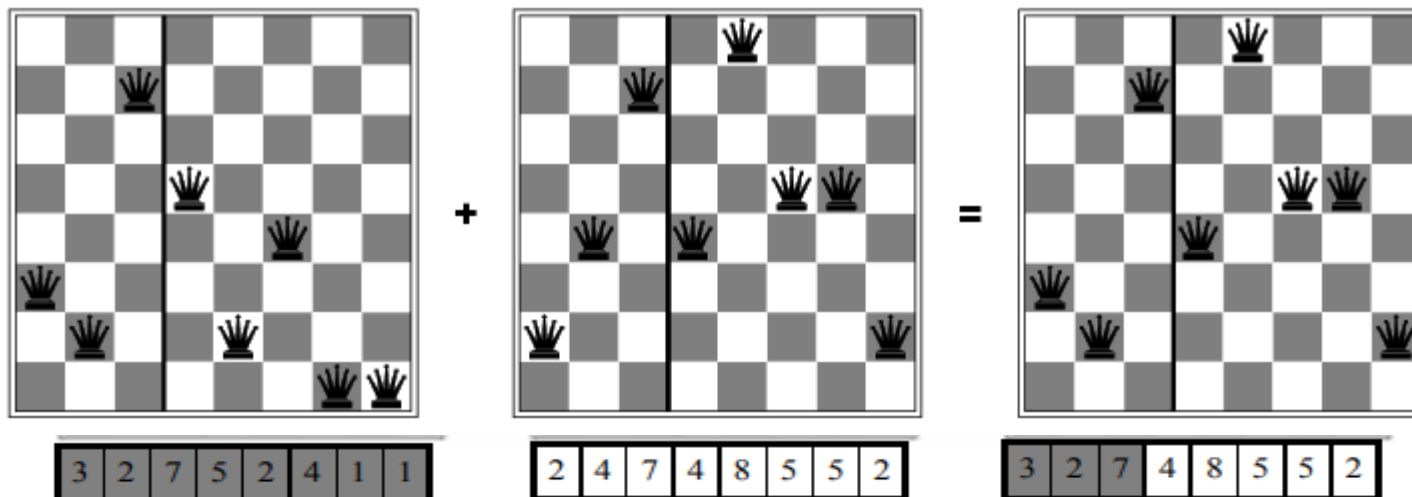
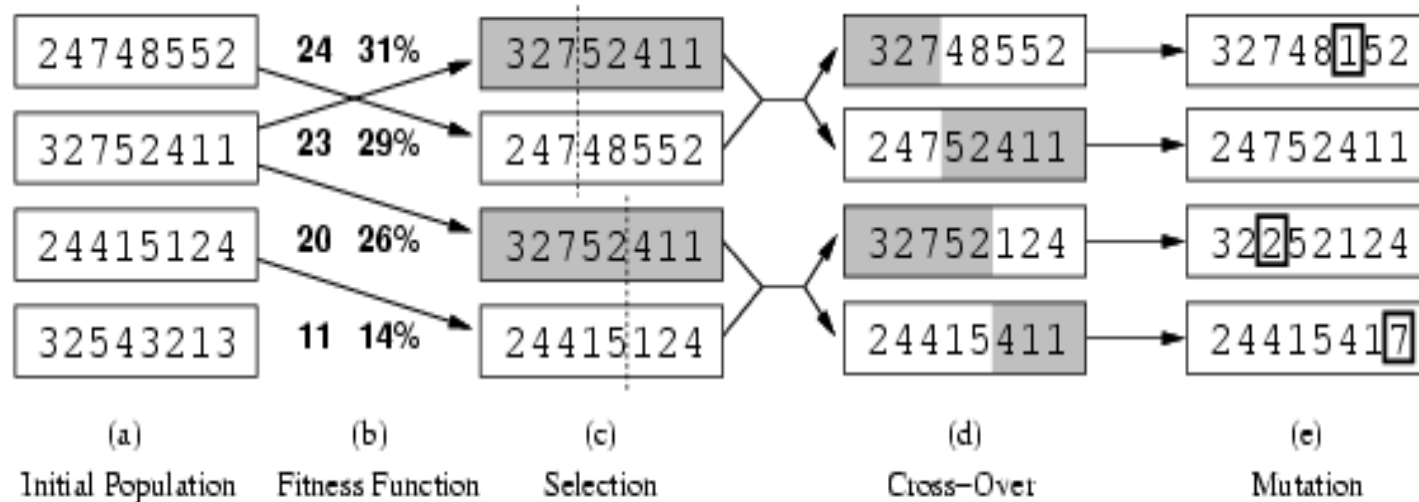
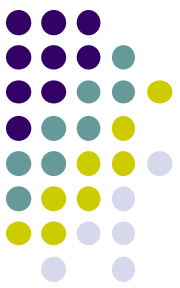


For each pair, a **croosover** point is randomly chosen.

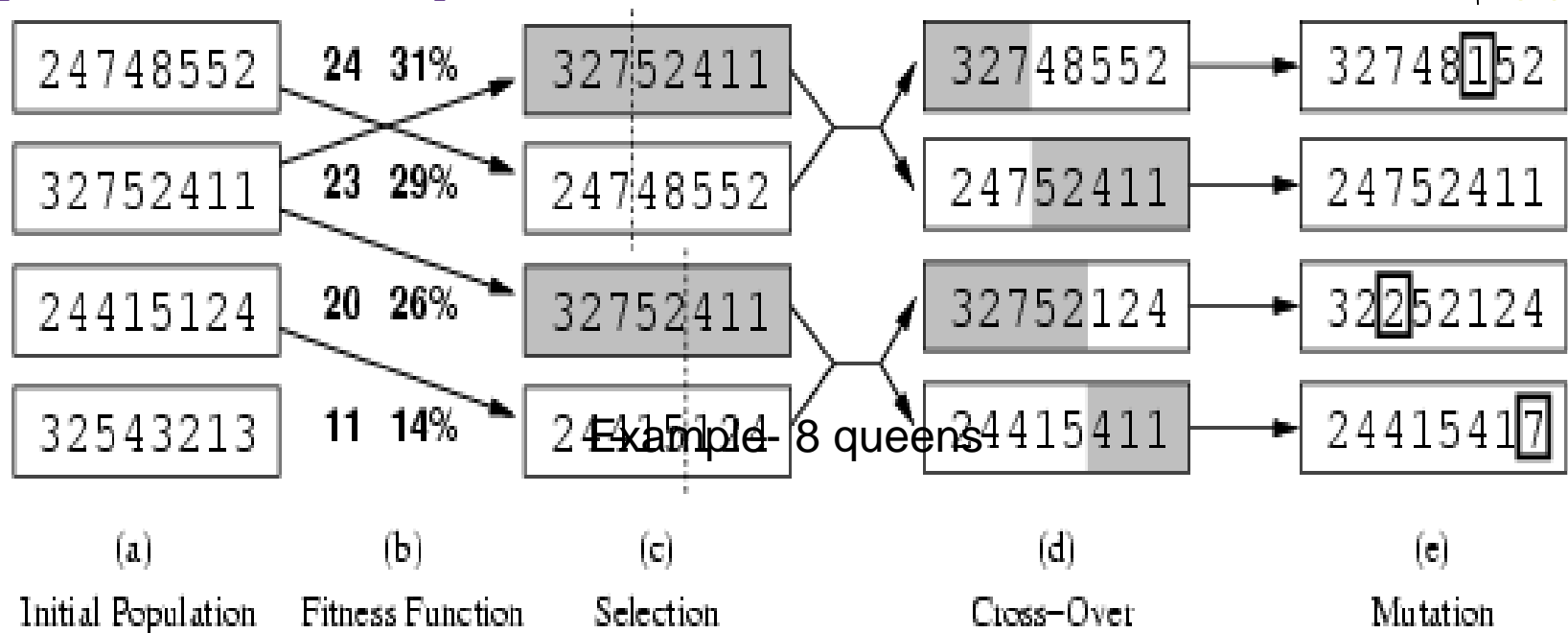
In (d), the offsprings are created by crossing over the parent strings at the crossover point.

- e.g. the first child of the first pair gets the first three digits from the first parent and the remaining digits from the second parent.

# Example- 8 queens (crossover)

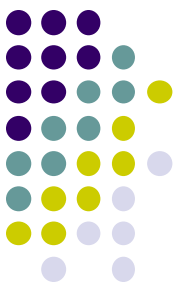


# Example- 8 queens (mutation)



Finally, in (e), each location is subject to random mutation with probability.

- e.g. in the 8-queens problem, choose a queen at random and move it to a random square in its column.



# Hill Climbing Example

Consider the following search problem:

- the set of states  $S = \{s_0, s_1, s_2, s_3, s_4, s_5\}$
- successors of  $s_0$  are  $\{s_0, s_1, s_2\}$
- successors of  $s_1$  are  $\{s_1, s_2, s_3\}$
- successors of  $s_2$  are  $\{s_2, s_3\}$
- successors of  $s_3$  are  $\{s_0, s_3, s_4\}$
- successors of  $s_4$  are  $\{s_4, s_5\}$
- successors of  $s_5$  are  $\{s_2, s_3, s_5\}$
- objective function  $f$  is as follows:  $f(s_0) = 0$ ,  $f(s_1) = 3$ ,  $f(s_2) = 2$ ,  $f(s_3) = 4$ ,  $f(s_4) = 1$ , and  $f(s_5) = 5$ .



# Hill Climbing Example

- Trace hill climbing search starting in state  $s_0$  (indicate which state is considered at each iteration, and which solution is returned when the search terminates).
- Does it return the optimal solution?
- Which (if any) states are local maxima and global maxima?





# Problem Example

## Problem Example

Consider a GA with chromosomes consisting of six genes  $x_i = abcdef$ , and each gene is a number between 0 and 9. Suppose we have the following population of four chromosomes:

$$x_1 = 435216$$

$$x_2 = 173965$$

$$x_3 = 248012$$

$$x_4 = 908123$$

and let the fitness function be  $f(x) = (a + c + e) - (b + d + f)$ .

1. Sort the chromosomes by their fitness
2. Do one-point crossover in the middle between the 1st and 2nd fittest, and two-points crossover (points 2, 4) for the 2nd and 3rd.
3. Calculate the fitness of all the offspring