

Lecture 7: Maximum Independent Set

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1 Summary

1.1 Non-Trivial Algorithms:-

- Max/Min
- Multiplication of two-digit algorithm
- Colouring
- SAT/3-SAT

This allowed us to do :-

Reduction as a methodology to design algorithms as well as "proofs" that same problem could be hard.

Reduction in designing algorithms – >

Recursion

Tower of Hanoi

Mergesort

Quick Sort

Quick Select

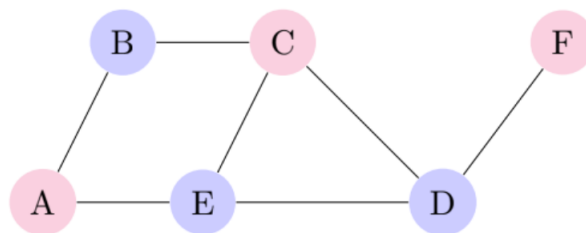
Now looking at the

"Backtracking" – Refinement of brute-force search. Build solution incrementally by invoking recursion to try all possibilities for the decision in each step.

2 Maximum Independent Set

Definition : A set $S \subseteq V(G)$ is an independent set if there are no edges between vertices in S .

$$\forall u, v \in S, uv \notin E.$$



Input: $G(V, E)$ **Output:** Find maximum size of independent set in G .

Algorithm 1: maxIndSet ($G = (V, E)$)

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max  $\leftarrow$  0
for each subset  $S \subseteq V$  do
    check if  $S$  is an independent set
    if  $S$  is independent and  $|S| > \text{max}$ , then
        max =  $|S|$ 
Output max

```

Runtime: $O(2^n n^{O(1)})$

Statement: If MIS has $n^{O(1)}$ time algorithm then, so does 3-SAT or SAT.

$$3\text{-SAT} \rightarrow \text{MIS}$$

$$\phi = (\neg x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee \neg x_2 \vee x_3) \wedge (\neg x_1 \vee x_2 \vee x_3)$$

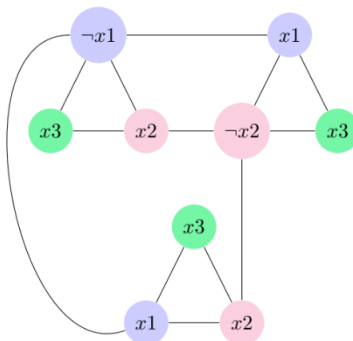
Interpreting SAT:

- Way to assign 0/1 to the variable such that formula is satisfiable (in each clause evaluates to true)
- Pick a literal from each clause and find a truth assignment to make each of them true.

→ You will fail if two of the literals you pick are in conflict.

Second view of the reduction.

$$\phi = (\neg x_1 \vee x_2 \vee x_3) \wedge (x_1 \vee \neg x_2 \vee x_3) \wedge (\neg x_1 \vee x_2 \vee x_3)$$



1. G_ϕ has a vertex for each literal in a clause.
2. Connect the three literals in the clause to form a triangle: the independent set will pick at most one vertex from each clause which will correspond to the literal to be set to true.
3. Connect two vertices if they label complementary literals: this ensures that the literals corresponding to an independent set do not have a conflict.

Lemma:- ϕ is satisfiable *iff* G_ϕ has max independent set of size m .
Where m = number of clause

By construction, $|\text{MIS in } G_\phi| \leq m$

ϕ is satisfiable \implies

Let a be the truth assignment satisfy ϕ . Pick one of the vertices corresponding to true literals, under a from each triangle.
(this is an independent set)

Trying in reverse

\Leftarrow

Let S be an independent set of size m .

1. S must contain one vertex from each clause.
2. S can't contain vertices labelled by conflicting literals.
3. Thus, it is possible to obtain a truth assignment that makes the literals in S true; such an assignment satisfies one literal in every clause.

for every variable $\neg x_i$ we have picked up \rightarrow we choose either x_i or $\neg x_i$

So, if we have selected x_i then we make $x_i = 1$.

And, if we have selected $\neg x_i$ then we make $\neg x_i = 1$

insert figure

Theorem: Unless SAT has polynomial time, MIS doesn't have polynomial time.

For a set S and vertex v

1. either $V \in S \rightarrow N(V) \not\subseteq S$
2. or may be $V \notin S$
3. **insert fig**

Here we can try to reduce the size of the problem in both the cases.

1. $V \in S \Rightarrow G - V - N(V)$
2. $V \notin S \Rightarrow G - V$

Algorithm 2: RecursiveMIS($G = (V, E)$)

If G is empty return 0

$a \leftarrow \text{RecursiveMIS}(G - V)$

$b \leftarrow \text{RecursiveMIS}(G - V - N(V))$

Output $\max(a, b)$

Runtime:

$$\begin{aligned}
T(n) &= T(n-1) + T(n-1 - \deg(V)) + O(n^2) \\
T(0) &= T(1) = 1 \\
\deg(V) &= 0 \rightarrow \text{worstcase} \\
\text{WorstCase} &\rightarrow T(n) = 2T(n-1) \\
&O(n^2)
\end{aligned}$$

Algorithm 3: RecursiveMIS($G = (V, E)$)

If $\Delta G = 0$, then return 0
 Let V be a vertex of maximum degree.
 $a \leftarrow \text{RecursiveMIS}(G - V)$
 $b \leftarrow \text{RecursiveMIS}(G - V - N(V))$
 Output $\max(a, b)$

Runtime:

$$\begin{aligned}
T(n) &= T(n-1) + T(n-2) \\
T(0) &= T(1) = 1 \\
&1.618^n
\end{aligned}$$

Correctness:
By Induction:

$$\begin{aligned}
&x^n \\
x^{n-1} + x^{n-2} &\leq x^n \\
\Rightarrow x^1 + 1 &\leq x^2
\end{aligned}$$

→ Can we do better?...

If $\Delta(a) \leq 1$
insert dot fig

$$MIS = V - E \quad T(n) \leq T(n-1) + T(n-3) \quad X^n \leq X^{n-1} + X^{n-3} = x^2 + 1 \quad 1.46^n$$

Algorithm 4: $N^2 \text{RecursiveMIS}(G = (V, E))$

If $\Delta G \leq 1$, then return $|V(G)| - |E(G)|$
 $V = \text{maximum degree}$
 $a \leftarrow N^2 \text{RecursiveMIS}(G - V)$
 $b \leftarrow N^2 \text{RecursiveMIS}(G - V - N(V))$
 Output $\max(a, b)$

Runtime:

$$\begin{aligned}
T(n) &= T(n-1) + T(n-3) + O(n^2) \\
T(n) &= O(n^2 * (1.46)^n)
\end{aligned}$$

$$\Delta G \leq 2$$

Algorithm 5: $N^3RecursiveMIS(G = (V, E))$

If $\Delta G \leq 2$

Problem solvable in polynomial time **return** ans after solving

$Let V = maximumdegree$

$a \leftarrow N^3RecursiveMIS(G - V)$

$b \leftarrow N^3RecursiveMIS(G - V - N(V))$

Output $\max(a, b)$

$$T(n) = T(n-1) + T(n-1-deg(V)) + O(n^2)$$

As the $deg(V) \geq 3$ outside the if block, this implies that $T(n-1-deg(V)) = T(n-1-3)$ **in the worst case**

$$T(n) = T(n-1) + T(n-4) + O(n^2) \quad T(n) \leq n^2 * (1.38)^n;$$

Exercise: Show that MIS on graph with $|G| \leq 3$ is polynomial time.

As evident from the above reductions, it is clear that unless SAT has polynomial time MIS on graphs having maximum degree 3 does not have polynomial time algorithm.

3-SAT

Input: A 3-SAT φ **Output:** Is φ satisfiable?

1. Let $C = x_1 \cup \neg x_2 \cup x_3$
2. If φ satisfiable
 - (a) Either x_1 is set to 1. Number of literals set for assignment is $n-1$.
 - (b) Or $\neg x_2$ is set to 1. Number of literals set for assignment is $n-1$.
 - (c) Or x_3 set to 1. Number of literals set for assignment is $n-1$.
3. Remove all clauses that are satisfied with the above partial assignment.
4. For each clause that are left, drop all the literals that are already set by partial assignment.

$$T(n) \leq T(n-1) + T(n-2) + T(n-3)$$

$$T(n) = O(1.83^n)$$

Thus, 3-SAT not like SAT.