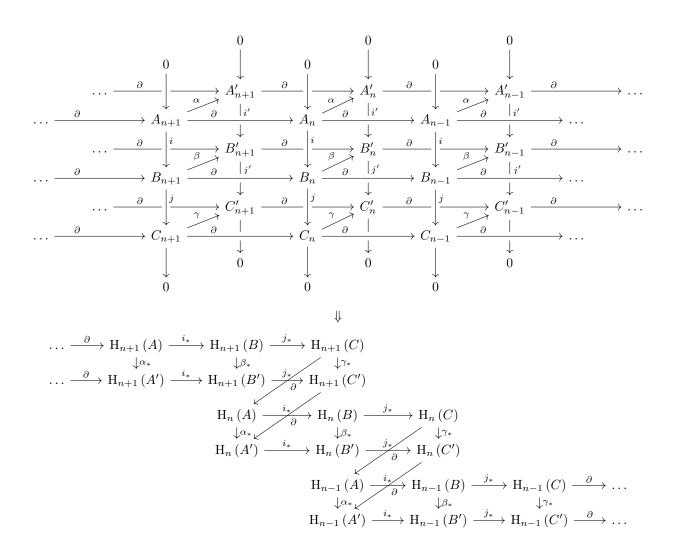
# M3P21 Geometry II: Algebraic Topology

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### Syllabus

Homotopy and homotopy type. Cell complexes. Basic constructions of the fundamental group. Seifertvan Kampen theorem. Covering spaces.  $\Delta$ -complexes. Simplicial homology. Singular homology. Homotopy invariance. Exact sequences and excision. Mayer-Vietoris sequences. Degree.

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# 0 Introduction

### 0.1 Introduction

Combines topological spaces with algebraic objects, which are groups.

Lecture 1 Friday 11/01/19

- How to show that a torus is not homeomorphic to a sphere?
- How to show that  $\mathbb{R}^n \ncong \mathbb{R}^m$  if  $n \neq m$ ?

We will follow chapter one and two from

• A Hatcher, Algebraic topology, 2002

The following are prerequisites.

- Point set topology. Topological spaces, continuous maps, product and quotient topologies, Hausdorff spaces, etc.
- Basic group theory. Normal subgroups and quotients, isomorphism theorems, free groups, presentation of groups, etc.

## 0.2 Some underlying geometric notions

## 0.2.1 Homotopy and homotopy type

Let X and Y be topological spaces and I = [0, 1].

**Definition.** A homotopy is a continuous map  $F: X \times I \to Y$ . For every  $t \in I$  we obtain a continuous map

$$\begin{array}{cccc} f_t & : & X & \longrightarrow & Y \\ & x & \longmapsto & f_t\left(x\right) = F\left(x,t\right) \end{array}.$$

**Definition.** Two continuous maps  $f_0, f_1 : X \to Y$  are **homotopic** if there exists a homotopy  $F : X \times I \to Y$  such that

$$f_0(x) = F(x,0), \qquad f_1(x) = F(x,1), \qquad x \in X.$$

We write  $f_0 \cong f_1$ . This is an equivalence relation. <sup>1</sup>

**Definition.** Let  $A \subseteq X$  be a subspace. A **retraction** of X onto A is a continuous map  $r: X \to A$  such that r(X) = A and  $r|_A = \mathrm{id}_A$ .

**Example.** If  $X \neq \emptyset$ ,  $p \in X$ , then X retracts to p by the constant map  $X \to \{p\}$ .

**Definition.** A **deformation retraction** of X onto  $A \subseteq X$  is a retraction that is homotopic to the identity. That is, there is a continuous map

$$F : X \times I \longrightarrow A (x,t) \longmapsto f_t(x) ,$$

such that  $f_0 = \mathrm{id}_X$  and  $f_1 : X \to A$  is the deformation retraction.

**Example.** The closed n-dimensional n-disc

$$D^n = \{ x \in \mathbb{R}^n \mid |x| \le 1 \}$$

deformation retracts to  $(0, ..., 0) \in \mathbb{R}^n$ . Let  $f_t(x) = t \cdot x$ . Then t = 1 implies that  $f_1 = \mathrm{id}_{\mathbb{D}^n}$  and t = 0 implies that  $f_0 : \mathbb{D}^n \to (0, ..., 0)$ .

 $<sup>^{1}\</sup>mathrm{Exercise}$ 

**Example.** Let  $S^n$  be the n-sphere,

$$\partial \mathbf{D}^{n+1} = \mathbf{S}^n = \{ x \in \mathbb{R}^n \mid |x| = 1 \}.$$

The cylinder  $S^n \times I$  deformation retracts to  $S^n \times \{0\}$ , by defining  $f_t(x,r) = (x,t \cdot r)$ .

An observation is that if X is a topological space, and  $f: X \to \{p\}$  for  $p \in X$  is a deformation retraction of X to p, then X is path-connected. Indeed, if  $F: X \times I \to X$  is a homotopy from  $\mathrm{id}_X$  to f and  $x \in X$  is a point, then this gives a path

$$\begin{array}{ccc} \mathbf{I} & \longrightarrow & X \\ t & \longmapsto & F\left(x,t\right) \end{array}$$

that connects x to p. This implies that not all retractions are deformation retractions.

**Example.** A retraction that is not a deformation retraction. Take a space that is not path-connected and retract it to a point. Let  $X = \{0,1\}$  with discrete topology. Then  $x \mapsto 0$  is a retraction, but not a deformation retraction because X is not path-connected.

**Definition.** A continuous map  $f: X \to Y$  is a **homotopy equivalence** if there is a continuous map  $g: Y \to X$  such that  $fg \cong id_Y$  and  $gf \cong id_X$ . If there exists a homotopy equivalence between X and Y, then X and Y are **homotopy equivalent** or they have the same **homotopy type**.

**Lemma 0.1.** A deformation retraction  $f: X \to A$  is a homotopy equivalence.

*Proof.* Let  $i:A\hookrightarrow X$  be the inclusion map. Then  $fi=\mathrm{id}_A$  and  $if=f\cong\mathrm{id}_X$  by definition.

Example. The disc with two holes is equivalent to



**Example.**  $\mathbb{R}^n$  deformation retracts to a point, by  $f_t(x) = t \cdot x$ .

Definition.

- X is **contractible** if it is homotopy equivalent to a point.
- A continuous map is **nullhomotopic** if it is homotopy equivalent to a constant map.

#### 0.2.2 Cell complexes

**Example.** The torus  $S^1 \times S^1$  is the union of a point, two open intervals, and the open disc  $\mathring{D}^2$ .

These are called **cells**. Can think of discs  $D^n$  glued together.

Lecture 2 Tuesday 15/01/19

**Definition.** A CW-complex, or cell complex, is a topological space X such that there exists a decomposition

$$X = \bigcup_{n \in \mathbb{N}} X^n,$$

where the  $X^n$  are constructed inductively in the following way.

- $X^n$  is a discrete set.
- For each  $n \ge 0$  there is an collection of closed n-discs  $\{D_{\alpha}^n\}$  together with continuous maps  $\phi_{\alpha}: \partial D_{\alpha}^n \to X^{n-1}$ , such that

$$X^n = X^{n-1} \sqcup \bigsqcup_{\alpha} \mathcal{D}_{\alpha}^n / \sim,$$

where  $x \sim \phi_{\alpha}(x)$  for all  $x \in \partial \mathcal{D}_{\alpha}^{n}$  for all  $\alpha$ .

• A subset  $U \subseteq X$  is open if and only if  $U \cap X^n$  is open for all n.

#### Remark.

• As a set,

$$X^n = X^{n-1} \sqcup \bigsqcup_{\alpha} e_{\alpha}^n,$$

where each  $e_{\alpha}^{n}$  is homeomorphic to an open n-disc. These  $e_{\alpha}^{n}$  are called the n-cells of X.

• If  $X = X^m$  for some m, then X is called **finite-dimensional**. The minimal m such that  $X = X^m$  is the **dimension** of X.

**Example.** The following are CW-complexes.

$$[0,1], \quad \mathbb{R}, \quad S^1, \quad \text{a graph}, \quad S^n = D^n/\partial D^n.$$

Can also decompose CW-complexes.

- The sphere  $S^2$  is one 0-cell, one 1-cell, and two 2-cells.
- The torus  $S^1 \times S^1$  is one 0-cell, two 1-cells, and one 2-cell.
- The Möbius strip is two 0-cells, three 1-cells, and one 2-cell.
- The Klein bottle is one 0-cell, two 1-cells, and one 2-cell.

**Definition.** If X is a CW-complex with finitely many cells the **Euler characteristic**  $\chi(X)$  of X is the number of even cells minus the number of odd cells.

**Fact.**  $\chi(X)$  does not depend of the choice of cells decomposition.

#### Example.

- $\chi(S^n) = 0$  if n is odd and  $\chi(S^n) = 2$  if n is even.
- $\chi\left(S^1 \times S^1\right) = 0$ .

This is the generalisation of the following observation by Leonhard Euler. Let P be a convex polyhedron, where V is the number of vertices of P, E is the number of edges of E, and E is the number of faces of E. Then E + F = 2.

**Example.** A topological space that is not a CW-complex.  $X = \{0, 1\}$  with trivial topology does not contain any closed points.

Fact. CW-complexes are always Hausdorff.

# 1 The fundamental group

#### 1.1 Basic constructions

#### 1.1.1 Paths and homotopy

Let X be a topological space. A **path** is a continuous map  $f: I \to X$ , where I = [0, 1].

**Definition.** Two paths  $f_0$  and  $f_1$  are **homotopic** if there exists a homotopy between  $f_0$  and  $f_1$  preserving the endpoints, that is a continuous map

$$\begin{array}{cccc} F & : & \mathbf{I} \times \mathbf{I} & \longrightarrow & X \\ & & (s,t) & \longmapsto & f_t \left( s \right) \end{array},$$

such that

$$f_t(0) = f_0(0),$$
  $f_t(1) = f_0(1),$   $t \in I,$   
 $F(s,0) = f_0(s),$   $F(s,1) = f_1(s),$   $s \in I.$ 

**Example.** Let  $X \subseteq \mathbb{R}^n$  be a convex set. Then all the paths in X are homotopic if they have the same endpoints. Let  $f_0, f_1 : I \to X$  be two paths such that  $f_0(0) = f_1(0)$  and  $f_0(1) = f_1(1)$ . Define

$$f_t(s) = (1 - t) f_0(s) + t f_1(s)$$
.

**Lemma 1.1.** Being homotopic is an equivalence relation on the set of paths with fixed endpoints. We will write  $f_0 \cong f_1$  for two homotopic paths  $f_0$  and  $f_1$ .

Proof.

- f is homotopic to f.
- If  $f_0$  is homotopic to  $f_1$  by a homotopy  $f_t$ , then  $f_1$  is homotopic to  $f_0$  by the homotopy  $f_{1-t}$ .
- If  $f_0$  is homotopic to  $f_1$  by a homotopy  $f_t$  and  $f_1 = g_0$  is homotopic to  $g_1$  by a homotopy  $g_t$ , then  $f_0$  is homotopic to  $g_1$  by the homotopy

$$h_t = \begin{cases} f_{2t} & 0 \le t \le \frac{1}{2} \\ g_{2t-1} & \frac{1}{2} \le t \le 1 \end{cases}.$$

Then

$$H : I \times I \longrightarrow X$$

$$(s,t) \longmapsto h_t(s)$$

is continuous because its restriction to the closed subsets  $I \times \left[0, \frac{1}{2}\right]$  and  $I \times \left[\frac{1}{2}, 1\right]$  is continuous, since if the restriction to two closed subsets is continuous then the restriction to the union of these subsets is continuous.

Let X be a topological space and I = [0, 1]. If  $f : I \to X$  is a path, [f] is the class of all paths on X homotopic to f.

Lecture 3 Wednesday 16/01/19

**Definition.** Let  $f, g: I \to X$  be two paths such that f(1) = g(0). The **product path**  $f \cdot g$  is the path

$$(f \cdot g)(s) = \begin{cases} f(2s) & 0 \le s \le \frac{1}{2} \\ g(2s-1) & \frac{1}{2} \le s \le 1 \end{cases}.$$

A convention is that whenever we write  $f \cdot g$  we implicitly assume f(1) = g(0).

**Lemma 1.2.** Let  $f_0, f_1, g_0, g_1$  be paths on X such that  $f_1 \cong f_0$  and  $g_0 \cong g_1$ . Then  $f_0 \cdot g_0 \cong f_1 \cdot g_1$ .

Proof.

$$\begin{array}{ccc}
I \times I & \longrightarrow & X \\
(s,t) & \longmapsto & (f_t \cdot g_t)(s)
\end{array}$$

is a homotopy between  $f_0 \cdot g_0$  and  $f_1 \cdot g_1$ .

**Remark.** Let  $\phi : [0,1] \to [0,1]$  be continuous such that  $\phi(0) = 0$  and  $\phi(1) = 1$ . If  $f : I \to X$  is a path, then  $f\phi \cong f$ . This is a **reparametrisation**. Define

$$\phi_t(s) = (1 - t)\phi(s) + ts,$$

then  $f\phi_t$  is a homotopy between  $f\phi$  and f.

For  $x \in X$ , let the **constant path** at x be

$$\begin{array}{cccc} \mathbf{c}_x & : & \mathbf{I} & \longrightarrow & X \\ & s & \longmapsto & x \end{array}.$$

For a path  $f: I \to X$ , define

$$\begin{array}{cccc} f^{-1} & : & \mathbf{I} & \longrightarrow & X \\ & & s & \longmapsto & f\left(1-s\right) \end{array}.$$

**Lemma 1.3.** Let  $f, g, h : I \to X$  be paths. Then

- 1.  $(f \cdot g) \cdot h \cong f \cdot (g \cdot h)$ ,
- 2.  $f \cdot c_{f(1)} \cong f$  and  $c_{f(0)} \cdot f \cong f$ , and
- 3.  $f \cdot f^{-1} \cong c_{f(0)}$  and  $f^{-1} \cdot f \cong c_{f(1)}$ .

Proof.

1.  $((f \cdot g) \cdot h) \phi = f \cdot (g \cdot h)$ , where

$$\phi(s) = \begin{cases} \frac{s}{2} & s \in [0, \frac{1}{2}] \\ s - \frac{1}{4} & s \in [\frac{1}{2}, \frac{3}{4}] \\ 2s - 1 & s \in [\frac{3}{4}, 1] \end{cases}$$

so  $(f \cdot g) \cdot h \cong f \cdot (g \cdot h)$  by reparametrisation.

2. Again reparametrisation, by

$$\psi\left(s\right) = \begin{cases} 2s & s \in \left[0,\frac{1}{2}\right] \\ 1 & s \in \left[\frac{1}{2},1\right] \end{cases}, \qquad \chi\left(s\right) = \begin{cases} 0 & s \in \left[0,\frac{1}{2}\right] \\ 2s-1 & s \in \left[\frac{1}{2},1\right] \end{cases}.$$

3. Define

$$H(s,t) = \begin{cases} f(\max\{1-2s,t\}) & s \in [0,\frac{1}{2}] \\ f(\max\{2s-1,t\}) & s \in [\frac{1}{2},1] \end{cases}.$$

H is continuous, and

$$H\left(s,0\right)=f^{-1}\cdot f,\qquad H\left(s,1\right)=\mathbf{c}_{f\left(1\right)}.$$

The inverse is similar.

**Definition.** A loop with basepoint  $x_0 \in X$  is a path  $f: I \to X$  such that  $f(0) = f(1) = x_0$ .

**Definition.** Denote by  $\pi_1(X, x_0)$  the set of **homotopy classes** [f] of loops  $f: I \to X$  with basepoint  $x_0$ .

**Proposition 1.4.**  $\pi_1(X, x_0)$  is a group with product  $[f][g] = [f \cdot g]$  and neutral element  $c_{x_0} : I \to X$ , the constant path at  $x_0$ .

*Proof.* Follows directly from Lemma 1.2 and Lemma 1.3.

**Definition.**  $\pi_1(X, x_0)$  is the fundamental group of X at  $x_0$ .

**Example.** Let  $X \subseteq \mathbb{R}^n$  be a convex set and  $x_0 \in X$ . Then  $\pi_1(X, x_0) = 0$ , since X is convex, so all loops are homotopic to each other.

## Example.

- The fundamental group of a space X with the trivial topology is trivial, since X is simply-connected, because all maps  $f: I \to X$  are continuous, so path-connected and all paths are homotopic.
- The fundamental group of a space X with the discrete topology is trivial, since  $f: I \to X$  is continuous implies that f is constant.

Assume  $x_0, x_1 \in X$  such that  $x_0$  and  $x_1$  are in the same path-component of X. Let  $h: I \to X$  be a path such that  $h(0) = x_0$  and  $h(1) = x_1$ . Define

$$\begin{array}{cccc} \beta_h & : & \pi_1\left(X,x_1\right) & \longrightarrow & \pi_1\left(X,x_0\right) \\ & \left[f\right] & \longmapsto & \left[h\cdot f\cdot h^{-1}\right] \end{array}.$$

This is well-defined by Lemma 1.2.

**Proposition 1.5.**  $\beta_h : \pi_1(X, x_1) \to \pi_1(X, x_0)$  is an isomorphism.

*Proof.* It is a homomorphism, since

$$\beta_{h}\left[f\cdot g\right] = \left[h\cdot f\cdot g\cdot h^{-1}\right] = \left[h\cdot f\cdot h^{-1}\right] \left[h\cdot g\cdot h^{-1}\right] = \beta_{h}\left[f\right]\cdot\beta_{h}\left[g\right],$$

and  $\beta_h\left[\mathbf{c}_{x_1}\right]=\left[\mathbf{c}_{x_1}\right].$  It is bijective with  $\left(\beta_h\right)^{-1}=\beta_{h^{-1}}.$ 

If X is path-connected, we often write  $\pi_1(X)$  instead of  $\pi_1(X, x_0)$ .

**Definition.** X is simply-connected if it is path-connected and  $\pi_1(X) = 0$ .

**Proposition 1.6.** X is simply-connected if and only if there exists a unique homotopy class of paths between any two points of X.

Proof.

 $\implies$  There exists a path between any two points. Let f and g be two paths from  $x_0$  to  $x_1$  for  $x_0, x_1 \in X$ . Then  $f \cdot g^{-1} \cong g \cdot g^{-1}$ , so

$$f \cong f \cdot g^{-1} \cdot g \cong g \cdot g^{-1} \cdot g \cong g.$$

 $\iff$  X is path-connected. Then  $x_1 = x_0$ , so all loops at  $x_0$  are homotopic to each other, so  $\pi_1(X) = 0$ .

#### 1.1.2 The fundamental group of the circle

The goal is to show that  $\pi_1(S^1) \cong \mathbb{Z}$ .

Lecture 4 Friday 18/01/19

**Definition.** A covering space of a space X is a space  $\widetilde{X}$  and a continuous map  $p:\widetilde{X}\to X$  such that for each  $x\in X$  there is an open  $x\in U\subseteq X$  such that

- $p^{-1}(U) = \bigcup_{j \in J} \widetilde{U_j}$ , where  $\widetilde{U_j} \subseteq \widetilde{X}$  is open,
- $\widetilde{U_i} \cap \widetilde{U_j} = \emptyset$  if  $i \neq j$ , and
- $p|_{\widetilde{U_j}}:\widetilde{U_j}\to U$  is a homeomorphism for all  $j\in J.$

Such a U is called **evenly covered**. The  $\widetilde{U}_{j}$  are called **sheets**.

Example.

$$\begin{array}{ccc} p & : & \mathbb{R} & \longrightarrow & \mathrm{S}^1 \\ & s & \longmapsto & (\cos 2\pi s, \sin 2\pi s) \end{array}.$$

**Definition.** Let  $p: \widetilde{X} \to X$  be a covering space. A **lift** of a continuous map  $f: Y \to X$  is a continuous map  $\widetilde{f}: Y \to \widetilde{X}$  such that  $p\widetilde{f} = f$ , so

$$Y \xrightarrow{\widetilde{f}} X$$

$$Y \xrightarrow{f} X$$

**Proposition 1.7** (Unique lifting property). Let  $p: \widetilde{X} \to X$  be a covering space and  $f: Y \to X$  be a continuous map. If there are two lifts  $\widetilde{f}_1, \widetilde{f}_2: Y \to \widetilde{X}$  of f such that  $\widetilde{f}_1(y) = \widetilde{f}_2(y)$  for some  $y \in Y$  and if Y is connected, then  $\widetilde{f}_1 = \widetilde{f}_2$ .

*Proof.* Let  $y \in Y$  and  $U \subseteq X$  be an evenly covered neighbourhood of f(y). Then

$$p^{-1}\left(U\right) = \bigcup_{j} \widetilde{U_{j}}.$$

Let  $\widetilde{U_1}$  be the sheet such that  $\widetilde{f_1}(y) \in \widetilde{U_1}$ , and let  $\widetilde{U_2}$  be the sheet such that  $\widetilde{f_2}(y) \in \widetilde{U_2}$ . Let  $N \subseteq Y$  be open and  $y \in N$  such that  $\widetilde{f_1}(N) \subseteq \widetilde{U_1}$  and  $\widetilde{f_2}(N) \subseteq \widetilde{U_2}$ . We have  $p\widetilde{f_1} = p\widetilde{f_2}$ . Then  $\widetilde{f_1}(y) = \widetilde{f_2}(y)$  if and only if  $\widetilde{U_1} = \widetilde{U_2}$ , if and only if  $\widetilde{f_1}\Big|_N = \widetilde{f_2}\Big|_N$ . Let

$$A = \left\{ y \in Y \mid \widetilde{f}_1(y) = \widetilde{f}_2(y) \right\},\,$$

so A is open and  $Y \setminus A$  is open. Thus  $A \neq \emptyset$  implies that A = Y.

**Proposition 1.8** (Homotopy lifting property). Let  $p: \widetilde{X} \to X$  be a covering space and  $F: Y \times I \to X$  be a continuous map such that there exists a lift  $\widetilde{f}_0: Y \times \{0\} \to \widetilde{X}$  of  $F|_{Y \times \{0\}}$ . Then there is a unique lift  $\widetilde{F}: Y \times I \to \widetilde{X}$  of F such that  $\widetilde{F}|_{Y \times \{0\}} = \widetilde{f}_0$ .

*Proof.* Let  $y_0 \in Y$  and  $t \in I$ . There are open  $y_0 \in N_t \subseteq Y$  and  $t \in (a_t, b_t) \subseteq I$  such that  $F(N_t \times (a_t, b_t)) \subseteq U \subseteq X$ , where  $U \subseteq X$  is open and evenly covered. Compactness of I implies that there exist

$$0 = t_0 < \cdots < t_m = 1,$$

and there exists  $y_0 \in N \subseteq Y$  open such that  $F(N \times [t_i, t_{i+1}]) \subseteq U_i \subseteq X$ , where  $U_i \subseteq X$  is open and evenly covered. We inductively construct a lift  $\widetilde{F}\Big|_{N \times I}$  of  $F|_{N \times I}$ .

- $\widetilde{F}\Big|_{N\times[0,0]} = \widetilde{f}_0\Big|_{N\times[0,0]}$  exists.
- Assume the lift has been constructed on  $N \times [0, t_i]$ . Let  $\widetilde{U_i} \subseteq \widetilde{X}$  be such that  $p|_{\widetilde{U_i}} : \widetilde{U_i} \to U_i$  such that  $\widetilde{F}(y_0, t_i) \subseteq \widetilde{U_i}$ . After shrinking N, may assume  $\widetilde{F}(N \times \{t_i\}) \subseteq \widetilde{U_i}$ . Define  $\widetilde{F}$  on  $N \times [t_i, t_{i+1}]$  to be composition of F with the homeomorphism  $p^{-1} : U_i \to \widetilde{U_i}$ .

After finitely many steps we obtain a lift  $\widetilde{F}: N \times I \to \widetilde{X}$ , where  $y_0 \in N \subseteq Y$  is open, so for each  $y \in Y$  there is a neighbourhood  $N_y \subseteq Y$  such that  $F|_{N_y \times I}: N_y \times I \to X$  lifts. For all  $y \in Y$ ,  $\{y\} \times I$  is connected and can be lifted, so Proposition 1.7 implies that the lift of  $N \times I$  is unique. Thus there is a unique lift  $\widetilde{F}: Y \times I \to \widetilde{X}$ .

**Example.** Let X be a topological space and A be discrete. Then  $p: X \times A \to X$  is a covering space. This is the **trivial covering**. Show the unique lifting property and the homotopy lifting property for the trivial covering.

**Corollary 1.9.** Let  $f: I \to X$  be a path,  $f(0) = x_0$ , and  $p: \widetilde{X} \to X$  be a covering space. For each  $\widetilde{x_0} \in p^{-1}(x_0)$ , there is a unique lift  $\widetilde{f}: I \to \widetilde{X}$  such that  $\widetilde{f}(0) = \widetilde{x_0}$ .

*Proof.* Proposition 1.8 for 
$$Y$$
 a point.

 $<sup>^2{\</sup>rm Exercise}$ 

**Theorem 1.10.** Let  $x_0 = (1,0) \in S^1$ . Then  $\pi_1(S^1, x_0)$  is the infinite cyclic group generated by the homotopy class of the loop

$$\begin{array}{cccc} \omega & : & \mathbf{I} & \longrightarrow & \mathbf{S}^1 \\ & s & \longmapsto & (\cos 2\pi s, \sin 2\pi s) \end{array}.$$

Remark.

•  $[\omega]^n = [\omega_n]$ , where

$$\omega_n(s) = (\cos 2\pi n s, \sin 2\pi n s).$$

•

$$p : \mathbb{R} \longrightarrow S^1$$

$$s \longmapsto (\cos 2\pi s, \sin 2\pi s)$$

is a covering space.

•  $\omega_n$  lifts to

$$\widetilde{\omega_n}$$
:  $I \longrightarrow \mathbb{R}$ 
 $s \longmapsto ns$ ,

such that  $\widetilde{\omega_n}(0) = 0$  and  $\widetilde{\omega_n}(1) = n$ .

 $Proof\ of\ Theorem\ 1.10.$ 

- If  $f: I \to S^1$  is a loop at  $x_0$ , then the homotopy lifting property implies that there exists a lift  $\widetilde{f}: I \to \mathbb{R}$  such that  $\widetilde{f}(0) = 0$ . Since  $p\left(\widetilde{f}(1)\right) = f(1) = x_0$ , then  $\widetilde{f}(1) = n$  for some  $n \in \mathbb{Z}$ . Then  $\widetilde{\omega_n}: I \to \mathbb{R}$  is another path such that  $\widetilde{\omega_n}(0) = 0$  and  $\widetilde{\omega_n}(1) = n$ , so  $\widetilde{f} \cong \widetilde{\omega_n}$ . Let  $F: I \times I \to \mathbb{R}$  be a homotopy equivalence between  $\widetilde{f}$  and  $\widetilde{\omega_n}$ . Then  $pF: I \times I \to S^1$  gives a homotopy between  $p\widetilde{f} = f$  and  $p\widetilde{\omega_n} = \omega_n$ .
- Let  $m, n \in \mathbb{Z}$  and assume  $\omega_m \cong \omega_n$ . Let  $F: I \times I \to S^1$  be a homotopy. Then

$$F(0,t) = \omega_m(t)$$
,  $F(1,t) = \omega_n(t)$ ,  $F(s,0) = F(s,1) = x_0$ ,  $s,t \in I$ 

The unique lifting property implies that  $\widetilde{\omega_n}, \widetilde{\omega_m} : I \to \mathbb{R}$  are unique lifts such that  $\widetilde{\omega_n}(0) = 0 = \widetilde{\omega_m}(0)$ . The homotopy lifting property implies that F lifts uniquely to a homotopy  $\widetilde{F} : I \times I \to \mathbb{R}$  between  $\widetilde{\omega_n}$  and  $\widetilde{\omega_m}$ , and  $\widetilde{F}(s,1) \in \mathbb{Z}$  for all  $s \in I$ . Thus  $\widetilde{F}(s,1) = n = m$ , so  $\omega_m \cong \omega_n$  if and only if n = m.

Lecture 5 is a problems class.

**Theorem 1.11.** Every non-constant polynomial  $p \in \mathbb{C}[z]$  has a root in  $\mathbb{C}$ .

*Proof.* May assume  $p(z) = z^n + a_1 z^{n-1} + \cdots + a_n$ . Assume p has no roots in  $\mathbb{C}$ . For each  $r \in \mathbb{R}_{\geq 0}$  we obtain a loop

$$\begin{array}{cccc} f_r & : & \mathbf{I} & \longrightarrow & \mathbb{C} \\ & s & \longmapsto & \frac{p\left(re^{2\pi is}\right)/p\left(r\right)}{\left|p\left(re^{2\pi is}\right)/p\left(r\right)\right|} \end{array},$$

so  $|f_r(s)| = 1$ . Then  $f_r(0) = 1$  and  $f_r(1) = 1$ , so  $f_r$  is a loop based at 1. Then  $f_0$  is the constant loop at 1, and  $f_r(s)$  depends continuously on r, so  $f_r \cong f_0$  for all  $r \in \mathbb{R}_{\geq 0}$  and  $[f_r] = [f_0] = 0 \in \pi_1(S^1)$ . Fix  $r \in \mathbb{R}_{\geq 0}$  such that r > 1 and  $r > |a_1| + \cdots + |a_n|$ . For |z| = r we have

$$|z^n| > (|a_1| + \dots + |a_n|) |z^{n-1}| \ge |a_1 z^{n-1}| + \dots + |a_n| \ge |a_1 z^{n-1} + \dots + |a_n|.$$

Hence, for  $0 \le t \le 1$  the polynomial

$$p_t(z) = z^n + t \left( a_1 z^{n-1} + \dots + a_n \right)$$

has no root z with |z| = r. Define

$$F_r\left(t,s\right) = \frac{p_t\left(re^{2\pi is}\right)/p_t\left(r\right)}{\left|p_t\left(re^{2\pi is}\right)/p_t\left(r\right)\right|}.$$

Then  $F_r(0,s) = \omega_n(s)$  and  $F_r(1,s) = f_r(s)$ , so  $[\omega_n] = [f_r] = 0 \in \pi_1(S^1)$ . Theorem 1.10 implies that n = 0, so p is constant.

See Hatcher Theorem 1.9 and Theorem 1.10 for more applications.

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**Proposition 1.12.** Let X and Y be path-connected topological spaces,  $x_0 \in X$ , and  $y_0 \in Y$ . Then

$$\pi_1(X \times Y, (x_0, y_0)) \cong \pi_1(X, x_0) \times \pi_1(Y, y_0).$$

Proof. A map

$$\begin{array}{cccc} f & : & Z & \longrightarrow & X \times Y \\ & z & \longmapsto & (g\left(z\right), h\left(z\right)) \end{array}$$

is continuous if and only if  $g: Z \to X$  and  $h: Z \to Y$  are continuous. For Z = I,

$$\{ \text{ loops in } X \times Y \text{ based } (x_0, y_0) \} \longleftrightarrow \{ \text{ loops in } X \text{ based } x_0 \} \times \{ \text{ loops in } Y \text{ based } y_0 \}.$$

Two loops

are homotopic if and only if  $g_1 \cong g_2$  and  $h_1 \cong h_2$ , so there is a bijection

$$\pi_1(X \times Y, (x_0, y_0)) \cong \pi_1(X, x_0) \times \pi_1(Y, y_0).$$

Then  $f_1 \cdot f_2 = (g_1 \cdot g_2, h_1 \cdot h_2)$  and the constant loop is mapped to the constant loop, so this is also a group isomorphism.

**Example.** The torus  $S^1 \times S^1$  has

$$\pi_1 \left( S^1 \times S^1 \right) \cong \pi_1 \left( S^1 \right) \times \pi_1 \left( S^1 \right) \cong \mathbb{Z}^2.$$

#### 1.1.3 Induced homomorphisms

Let X and Y be topological spaces,  $x_0 \in X$ , and  $\phi: X \to Y$ . An observation is that  $\phi$  induces a homomorphism

$$\phi_* : \pi_1(X, x_0) \longrightarrow \pi_1(Y, \phi(x_0)) [f] \longmapsto [\phi f]$$

 $\phi_*$  is well-defined, since if  $f_t$  is a homotopy between the loops  $f_0$  and  $f_1$  based at  $x_0$ , then  $\phi f_t$  is a homotopy of loops between  $\phi f_0$  and  $\phi f_1$ . Moreover,  $\phi (f \cdot g) = (\phi f) \cdot (\phi g)$  and  $\phi$  maps the constant path at  $x_0$  to the constant path at  $\phi(x_0)$ , so  $\phi$  is a homomorphism.

#### Proposition 1.13.

1. Let  $\psi: X \to Y$  and  $\phi: Y \to Z$  be continuous maps between topological spaces,  $x_0 \in X$ , and

$$\psi_* : \pi_1(X, x_0) \to \pi_1(Y, \psi(x_0)), \qquad \phi_* : \pi_1(Y, \psi(x_0)) \to \pi_1(Z, \phi\psi(x_0)),$$

$$(\phi\psi)_* : \pi_1(X, x_0) \to \pi_1(Z, \phi\psi(x_0)).$$

Then  $(\phi \psi)_* = \phi_* \psi_*$ .

2. Let  $id_X: X \to X$  be the identity then

$$(id_X)_{*}: \pi_1(X, x_0) \to \pi_1(X, x_0)$$

is the identity.

Proof.

1. Let  $f: I \to X$  be a loop at  $x_0$ , then

$$(\phi\psi)_*([f]) = [(\phi\psi)f] = [\phi(\psi f)] = \phi_*([\psi f]) = \phi_*\psi_*([f]).$$

2.  $(id_X)_*([f]) = [id_X f] = [f]$ .

These two observations yield in particular that if  $\phi: X \to Y$  is a homeomorphism with inverse  $\psi: Y \to X$ , then

$$\phi_* : \pi_1(X, x_0) \to \pi_1(Y, \phi(x_0))$$

is an isomorphism with inverse  $\psi_*$ .

**Proposition 1.14.** Let  $\phi: X \to Y$  be a homotopy equivalence. Then

$$\phi_*: \pi_1\left(X, x_0\right) \to \pi_1\left(Y, \phi\left(x_0\right)\right)$$

is an isomorphism for all  $x_0 \in X$ .

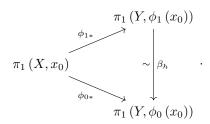
Recall that if  $x_0, x_1 \in X$  and  $h: I \to X$  is a path such that  $h(0) = x_0$  and  $h(1) = x_1$ , then we obtain an isomorphism

$$\begin{array}{cccc} \beta_h & : & \pi_1\left(X,x_1\right) & \longrightarrow & \pi_1\left(X,x_0\right) \\ & \left[f\right] & \longmapsto & \left[h\cdot f\cdot h^{-1}\right] \end{array}.$$

**Lemma 1.15.** Let  $\phi_t: X \to Y$  be a homotopy and  $x_0 \in X$ . Define the path

$$h: I \longrightarrow Y$$
  
 $s \longmapsto \phi_s(x_0)$ ,  $h(0) = \phi_0(x_0)$ ,  $h(1) = \phi_1(x_0)$ .

Then  $\phi_{0*} = \beta_h \phi_{1*}$ , that is the following diagram commutes.



*Proof.* For  $t \in I$ , define the path

$$\begin{array}{cccc} h_{t} & : & \mathbf{I} & \longrightarrow & X \\ & s & \longmapsto & h\left(ts\right) \end{array}, \qquad h_{t}\left(0\right) = \phi_{0}\left(x_{0}\right), \qquad h_{t}\left(1\right) = h\left(t\right) = \phi_{t}\left(x_{0}\right). \end{array}$$

Let f be a loop at  $x_0$ . Define

$$F_t = h_t \cdot (\phi_t f) \cdot h_t^{-1}.$$

Then  $F_t$  is a loop at  $\phi_0(x_0)$ , which is continuous in t. So  $F_t$  is a homotopy of loops between

$$F_0 = h_0 \cdot (\phi_0 f) \cdot h_0^{-1} \cong \phi_0 f, \qquad F_1 = h_1 \cdot (\phi_1 f) \cdot h_1^{-1} = h \cdot (\phi_1 f) \cdot h^{-1}.$$

Hence

$$\phi_{0*}\left([f]\right) = \left[\phi_0 f\right] = \left[h\cdot \left(\phi_1 f\right)\cdot h^{-1}\right] = \beta_h\left(\left[\phi_1 f\right]\right) = \beta_h \phi_{1*}\left([f]\right).$$

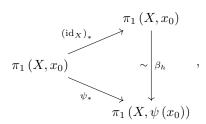
Lemma 1.15 implies in particular the following.

Corollary 1.16. If  $\psi: X \to X$  is continuous and  $\psi \cong id_X$ , then

$$\psi_* : \pi_1(X, x_0) \to \pi_1(X, \psi(x_0))$$

is an isomorphism for all  $x_0 \in X$ .

*Proof.* By Lemma 1.15 there is a path h from  $\psi(x_0)$  to  $x_0$  such that



so  $\psi_* = \beta_h$  hence an isomorphism.

Proof of Proposition 1.14. Let  $\phi: X \to Y$  be a homotopy equivalence. Let  $\psi: Y \to X$  be a homotopy inverse of  $\phi$ , that is  $\phi \psi \cong \mathrm{id}_Y$  and  $\psi \phi \cong \mathrm{id}_X$ . Then

$$\pi_{1}\left(X,x_{0}\right) \xrightarrow{\phi_{*}} \pi_{1}\left(Y,\phi\left(x_{0}\right)\right) \xrightarrow{\psi_{*}} \pi_{1}\left(X,\psi\phi\left(x_{0}\right)\right) \xrightarrow{\phi_{*}} \pi_{1}\left(Y,\psi\phi\psi\left(x_{0}\right)\right).$$

Have to show that  $\phi_*$  is bijective. The observation above implies that  $(\psi\phi)_* = \psi_*\phi_*$  is an isomorphism, so  $\phi_*$  is injective and  $\psi_*$  is surjective. Similarly  $(\phi\psi)_* = \phi_*\psi_*$  is an isomorphism, so  $\psi_*$  is injective and  $\phi_*$  is surjective.

**Lemma 1.17.** Let X be a topological space and  $x_0 \in X$ . Assume

$$X = \bigcup_{\alpha \in \Lambda} A_{\alpha},$$

such that

- the  $A_{\alpha}$  are all open and path-connected,
- $x_0 \in A_\alpha$  for all  $\alpha \in \Lambda$ , and
- all the intersections  $A_{\alpha} \cap A_{\beta}$  are path-connected for all  $\alpha, \beta \in \Lambda$ .

If f is a loop in X at  $x_0$ , then we can write

$$[f] = [h_1] \dots [h_m],$$

such that the  $h_i$  are loops at  $x_0$ , and each contained in a single  $A_{\alpha_i}$ .

*Proof.* f is continuous, so for all  $s \in I$  there is an open neighbourhood  $V_s$  such that  $f(V_s)$  such that  $f(V_s) \subseteq A_\alpha$  for some  $\alpha$ . We can choose  $V_s$  to be an interval  $(a_s, b_s)$  such that  $f([a_s, b_s]) \subseteq A_\alpha$ . Then I is compact, so a finite number of such intervals cover I, so there is a partition

$$0 = s_0 < \dots < s_m = 1$$
,

such that  $f([s_{i-1}, s_i]) \subseteq A_{\alpha_i}$  for some  $\alpha_i$ . Let  $f_i$  be the path obtained by restricting f to  $[s_{i-1}, s_i]$ , and rescaling. Then  $f \cong f_1 \cdots f_m$  for  $f_i \subseteq A_{\alpha_i}$  and  $A_{\alpha_i} \cap A_{\alpha_j}$  is path-connected. Let  $g_i$  be a path from  $x_0$  to  $f(s_i)$  in  $A_{\alpha_i} \cap A_{\alpha_{i+1}}$ . Let  $g_0$  and  $g_m$  be the constant loops at  $x_0$ . Then  $h_i = g_{i-1} \cdot f_i \cdot g_i^{-1}$  is a loop based at  $x_0$  and  $h_i \subseteq A_{\alpha_i}$ . Thus

$$f \cong (g_0 \cdot f_1 \cdot g_1^{-1}) \cdot \cdots \cdot (g_{m-1} \cdot f_m \cdot g_m^{-1}),$$

so 
$$[f] = [h_1] \dots [h_m]$$
.

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**Example.** Möbius strip M deformation retracts to  $S^1$ . Thus  $\phi: M \to S^1$  is a homotopy equivalence, so  $\pi_1(M) \cong \pi_1(S^1) \cong \mathbb{Z}$ .

**Example.** There is no deformation retraction of S<sup>1</sup> to a point  $p \in S^1$  because  $\pi_1(S^1) \ncong \pi_1(p)$ .

**Example.** There is no retraction of the disc  $D^2$  to its boundary  $S^1 \subseteq D^2$ . Assume there is a retraction  $r: D^2 \to S^1$ , consider the embedding  $i: S^1 \hookrightarrow D^2$ . Then  $ri = id_{S^1}$ . Thus

$$\begin{array}{ccc} \pi_1 \left( \mathbf{S}^1 \right) & \xrightarrow{i_*} & \pi_1 \left( \mathbf{D}^2 \right) & \xrightarrow{r_*} & \pi_1 \left( \mathbf{S}^1 \right) \\ & & & & & & & & \\ \mathbb{Z} & & & 0 & & \mathbb{Z} \end{array},$$

so  $r_*i_*(\pi_1(S^1)) = 0$  but  $r_*i_* = (ri)_* = \mathrm{id}_{\pi_1(S^1)}$ , a contradiction.

**Theorem 1.18** (Brouwer fixed point theorem). Let  $h: D^2 \to D^2$  be a continuous map. Then h has a fixed point, that is there exists  $x \in D^2$  such that h(x) = x.

*Proof.* Assume  $h(x) \neq x$  for all  $x \in D^2$ . Define  $r : D^2 \to S^1$  by defining r(x) to be the intersection of the ray starting at h(x) towards x with  $S^1$ . Then r is continuous, and if  $x \in S^1$ , then r(x) = x, so r is a retraction, a contradiction.

Lemma 1.17 implies that if  $U_1, U_2 \subseteq X$  are open and path-connected such that  $U_1 \cup U_2 = X$  and  $U_1 \cap U_2$  is path-connected and  $x_0 \in U_1 \cap U_2$ , then every  $[f] \in \pi_1(X, x_0)$  can be factorised as

$$[f] = [g_1][h_1]...[g_n][h_n],$$

such that the  $g_i$  are loops at  $x_0$  contained in  $U_1$  and the  $h_i$  are loops at  $x_0$  contained in  $U_2$ . In other words,  $i_1: U_1 \hookrightarrow X$  and  $i_2: U_2 \hookrightarrow X$ , so

$$i_{1*}: \pi_1(U_1, x_0) \to \pi_1(X, x_0), \qquad i_{2*}: \pi_1(U_2, x_0) \to \pi_1(X, x_0).$$

Lemma 1.17 implies that  $i_{1*}(\pi_1(U_1, x_0)) \cup i_{2*}(\pi_1(U_2, x_0))$  generate  $\pi_1(X, x_0)$ .

**Proposition 1.19.**  $\pi_1(S^n) = 0 \text{ if } n \geq 2.$ 

*Proof.* Let

$$U_1 = S^n \setminus \{(1, 0, \dots, 0)\}, \qquad U_2 = S^n \setminus \{(-1, 0, \dots, 0)\}.$$

Then  $U_1 \cong \mathbb{R}^n$  and  $U_2 \cong \mathbb{R}^n$ , by stereographic projection. Then  $U_1 \cup U_2 = S^n$  and  $U_1 \cap U_2$  is path-connected. Let  $x_0 \in U_1 \cap U_2$ . Then  $\pi_1(U_1, x_0) = 0$  and  $\pi_1(U_2, x_0) = 0$ , so Lemma 1.17 implies that  $\pi_1(S^n, x_0)$ .

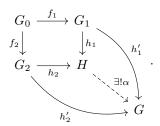
## 1.2 Seifert-van Kampen theorem

## 1.2.1 Free products with amalgamation

**Definition.** If S is a set, then  $F_S$  is the **free group** on S. We can write any group G as a quotient of some free group  $F_S$ ,  $G = F_S / \langle \langle R \rangle \rangle$ , where  $\langle \langle R \rangle \rangle$  is the **normal closure** of  $R \subseteq F_S$ , the smallest normal subgroup of  $F_S$  containing R. We write  $G = \langle S \mid R \rangle$ . This is called a **presentation** of G.

Let  $G_0, G_1, G_2$  be groups, and  $f_1: G_0 \to G_1$  and  $f_2: G_0 \to G_2$  be homomorphisms.

**Definition.** A group H together with homomorphisms  $h_1: G_1 \to H$  and  $h_2: G_2 \to H$  such that  $h_1f_1 = h_2f_2$  is an **amalgamated product** of  $G_1$  and  $G_2$  over  $G_0$  if it satisfies the following universal property. For every group G and all homomorphisms  $h'_1: G_1 \to G$  and  $h'_2: G_2 \to G$  such that  $h'_1f_1 = h'_2f_2$ , there exists a unique homomorphism  $\alpha: H \to G$  such that  $h'_1 = \alpha h_1$  and  $h'_2 = \alpha h_2$ , so



**Theorem 1.20.** Given  $f_1: G_0 \to G_1$  and  $f_2: G_0 \to G_2$ . Then there exists an amalgamated product, unique up to isomorphism. We denote it by  $G_1 * G_2$ .

Proof. Worksheet 2.  $\Box$ 

 $G_0 = \{ \mathrm{id} \}$  is the **free product**. We write  $G_1 * G_2$  instead of  $G_1 *_{\{\mathrm{id}\}} G_2$ . Let  $G_1 = \langle S_1 \mid R_1 \rangle$  and  $G_2 = \langle S_2 \mid R_2 \rangle$ . Then  $G_1 * G_2 = \langle S_1 \sqcup S_2 \mid R_1 \cup R_2 \rangle$ , with injections  $G_i \hookrightarrow G_1 * G_2$  for i = 1, 2. More generally,

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$$G_1 * G_2 \cong G_1 * G_2/N.$$

where N is the normal closure of the set

$$\{f_1(g) f_2(g)^{-1} \mid g \in G_0\} \subseteq G_1 * G_2.$$

#### 1.2.2 The Seifert-van Kampen theorem

**Theorem 1.21** (Seifert-van Kampen). Let X be a topological space and  $U_1, U_2 \subseteq X$  be open and path-connected such that  $X = U_1 \cup U_2$  and  $U_1 \cap U_2$  is path-connected and let  $x_0 \in U_1 \cap U_2$ . Then

$$\pi_1(X, x_0) \cong \pi_1(U_1, x_0) \underset{\pi_1(U_1 \cap U_2, x_0)}{*} \pi_2(U_2, x_0) \cong \pi_1(U_1, x_0) * \pi_1(U_2, x_0) / N,$$

where N is the normal closure of the set

$$\left\{ j_{1*}\left(\omega\right)j_{2*}\left(\omega\right)^{-1} \mid \omega \in \pi_1\left(U_1 \cap U_2, x_0\right) \right\},\,$$

and  $j_i: U_1 \cap U_2 \hookrightarrow U_i$ , so

Proof of Theorem 1.21. Appendix A.1.

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 $\textbf{Theorem 1.22} \ (\textbf{Seifert-van Kampen}, \ \textbf{strong version}). \ \textit{Let} \ \textit{X} \ \textit{be a path-connected topological space such that}$ 

- $X = \bigcup_{\alpha} A_{\alpha}$ ,
- $A_{\alpha}, A_{\alpha} \cap A_{\beta}, A_{\alpha} \cap A_{\beta} \cap A_{\gamma}$  are open and path-connected for all  $\alpha, \beta, \gamma$ , and
- $x_0 \in \bigcap_{\alpha} A_{\alpha}$ .

Then

$$\pi_1(X, x_0) \cong *\pi_1(A_\alpha, x_0)/N,$$

where  $N \subseteq *\pi_1(A_\alpha, x_0)$  is the normal closure of the set

$$\left\{ \left(i_{\alpha\beta}\right)_{*}\left(\omega\right)\left(i_{\beta\alpha}\right)_{*}\left(\omega\right)^{-1} \mid \omega \in \pi_{1}\left(A_{\alpha} \cap A_{\beta}\right)\right\},\,$$

and  $i_{\alpha\beta}: A_{\alpha} \cap A_{\beta} \hookrightarrow A_{\alpha}$  is the inclusion.

**Example.** Let  $S^1 \vee S^1$  be the wedge product. Fix  $x \in S^1$  and  $y \in S^1$ . Then

$$S^1 \vee S^1 = S^1 \sqcup S^1/x \sim y = \qquad b \qquad a$$

Let

$$A = \bigcirc$$
,  $B = \bigcirc$ ,  $A \cap B = \bigcirc$ 

Then  $\pi_1(A) \cong \langle b \rangle \cong \mathbb{Z}$ ,  $\pi_1(B) \cong \langle a \rangle \cong \mathbb{Z}$ , and  $\pi_1(A \cap B) = \{id\}$ , and  $A, B, A \cap B$  are open and path-connected. Van Kampen implies that

$$\pi_1\left(\mathrm{S}^1\vee\mathrm{S}^1\right)\cong\pi_1\left(A\right)*\pi_1\left(B\right)\cong\mathbb{Z}*\mathbb{Z}\cong\mathrm{F}_{\{a,b\}}.$$

More generally, let  $X = S_{a_1}^1 \vee \cdots \vee S_{a_n}^1$ . Induction implies that

$$\pi_1(X) = \mathbb{Z} * \cdots * \mathbb{Z} \cong \mathcal{F}_{\{a_1,\dots,a_n\}}.$$

Similarly, let  $X = \bigvee_{\alpha \in \Lambda} S^1_{\alpha}$ . Strong version of van Kampen implies that

$$\pi_1(X) = \underset{\alpha \in \Lambda}{*} \mathbb{Z} = \mathcal{F}_{\Lambda}.$$

**Example.** Let T be a torus and  $x_0 \in T$ . Let

 $A = T \setminus \{\text{small closed disc } D\}, \qquad B = \{\text{open set that contains } D \text{ and } x_0\}.$ 

- A is homotopy equivalent to  $S^1 \vee S^1$ , so  $\pi_1(A) \cong F_{\{a,b\}}$ .
- B is homeomorphic to  $D^2$ , so  $\pi_1(B) = \{id\}.$
- $A \cap B$  is homotopy equivalent to  $S^1$ , so  $\pi_1(A \cap B) \cong \mathbb{Z}$ .

Then  $A, B, A \cap B$  are open and path-connected. Van Kampen implies that

$$\pi_1(T) \cong \pi_1(A) / \langle \langle i_* (\pi_1(A \cap B)) \rangle \rangle$$
,

where  $i:A\cap B\hookrightarrow A$ . Then

$$i_*: \pi_1(A \cap B) = \langle \omega \rangle \longrightarrow \pi_1(A)$$
  
 $\omega \longmapsto aba^{-1}b^{-1}$ ,

so

$$\pi_1(T) \cong \mathcal{F}_{\{a,b\}} / \left\langle \left\langle aba^{-1}b^{-1} \right\rangle \right\rangle = \left\langle a,b \mid aba^{-1}b^{-1} \right\rangle \cong \mathbb{Z}^2.$$

### 1.2.3 Applications to CW-complexes

Let X be a path-connected topological space. Let Y be the space obtained by attaching 2-cells  $\{e_{\alpha}^2\}$  to X along maps  $\phi_{\alpha}: \partial \mathbf{D}^2 = \mathbf{S}^1 \to X$ . Consider the loops

$$\phi_{\alpha}' : I \longrightarrow X s \longmapsto \phi_{\alpha} (\cos 2\pi s, \sin 2\pi s) ,$$

based at  $\phi'_{\alpha}(0)$ . Let  $\gamma_{\alpha}$  be a path from  $x_0$  to  $\phi'_{\alpha}(0)$  for each  $\alpha$ . Then  $\gamma_{\alpha} \cdot \phi_{\alpha} \cdot \gamma_{\alpha}^{-1}$  is a loop at  $x_0$ . After attaching  $e_{\alpha}^2$ , the loop  $\gamma_{\alpha} \cdot \phi_{\alpha} \cdot \gamma_{\alpha}^{-1}$  is homotopic to the constant loop at  $x_0$ . Let  $N \subseteq \pi_1(X, x_0)$  be the normal closure of all the elements of the form  $[\gamma_{\alpha} \cdot \phi_{\alpha} \cdot \gamma_{\alpha}^{-1}]$ . The inclusion  $i: X \hookrightarrow Y$  yields

$$i_*: \pi_1(X, x_0) \to \pi_1(Y, x_0),$$

and  $N \subseteq \ker i_*$ .

**Proposition 1.23.** This inclusion  $i: X \hookrightarrow Y$  induces a surjection

$$i_*: \pi_1(X, x_0) \to \pi_1(Y, x_0)$$
,

and  $\ker i_* = N$ , so

$$\pi_1(Y, x_0) \cong \pi_1(X, x_0) / N.$$

*Proof.* Construct a space Z from Y by attaching a strip  $I \times I$  to Y by identifying the lower edge  $I \times \{0\}$  with the path  $\gamma_{\alpha}$  and the right edge  $\{1\} \times I$  with an arch on  $e_{\alpha}^2$ . Attach all the left edges of the strips with each other. Then Z deformation retracts to Y. Choose a point  $y_{\alpha} \in e_{\alpha}^2$  for each  $\alpha$ , such that  $y_{\alpha}$  is not contained in X or in the attached strip. Let

$$A = Z \setminus \bigcup_{\alpha} \{y_{\alpha}\}, \qquad B = Z \setminus X.$$

- A deformation retracts to X.
- B is homotopy equivalent to a point.
- $A \cap B$  is homotopy equivalent to

{paths 
$$\gamma_{\alpha}$$
 from  $x_0$  to loops  $\phi'_{\alpha}$ } =  $\phi'_{\alpha}$   $\gamma_{\alpha}$   $\gamma_{\alpha}$   $\gamma_{\alpha}$   $\gamma_{\alpha}$   $\gamma_{\alpha}$ 

Then  $A, B, A \cap B$  are open and path-connected. Van Kampen implies that

$$\pi_1(Y) \cong \pi_1(Z) = \pi_1(A) / \langle \langle j_* (\pi_1(A \cap B)) \rangle \rangle$$

where  $j: A \cap B \hookrightarrow A$  is the inclusion. So  $\langle \langle j_* (\pi_1 (A \cap B)) \rangle \rangle$  is exactly N. Thus  $\pi_1 (A) = \pi_1 (X)$ .

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Corollary 1.24. For every group G there exists a two-dimensional CW-complex  $X_G$  such that  $\pi_1(X_G) = G$ . Proof. Let  $G = \langle \{g_\alpha\} \mid \{r_\beta\} \rangle$  be a presentation of G, that is  $G = F_{\{g_\alpha\}} / \langle \langle \{r_\beta\} \rangle \rangle$ . Seen last time that  $\pi_1(\bigvee_{g_\alpha} S_{g_\alpha}^1) = F_{\{g_\alpha\}}$ . Each word  $r_\beta$  defines a loop in  $\bigvee_{g_\alpha} S_{g_\alpha}^1$ . Attach 2-cells to  $\bigvee_{g_\alpha} S_{g_\alpha}^1$  along the loops defined by the relations  $\{r_\beta\}$ . Call this new CW-complex Y. Proposition 1.23 implies that

$$\pi_1(Y, x_0) \cong \pi_1(X, x_0) / \langle \langle \{r_\beta\} \rangle \rangle \cong \mathbb{F}_{\{q_\alpha\}} / \langle \langle \{r_\beta\} \rangle \rangle \cong G.$$

**Remark.** Let  $X = \bigcup_n X^n$  be a CW-complex, path-connected. Proposition 1.23 can be used to show the following two facts.

- The inclusion  $X^1 \hookrightarrow X$  induces a surjective homomorphism  $\pi_1(X^1) \to \pi_1(X)$ .
- The inclusion  $X^2 \hookrightarrow X$  induces an isomorphism  $\pi_1(X^2) \to \pi_1(X)$ .

# 1.3 Covering spaces

#### 1.3.1 Lifting properties

Let X be a topological space. Recall that a covering space is  $p: \widetilde{X} \to X$  such that each  $x \in X$  has an open neighbourhood U such that

$$p^{-1}\left(U\right) = \bigcup_{\alpha} \widetilde{U_{\alpha}},$$

where  $U_{\alpha}$  are pairwise disjoint and  $p|_{\widetilde{U_{\alpha}}}:\widetilde{U_{\alpha}}\to U$  is a homeomorphism for all  $\alpha$ .

#### Example.

Let  $f: Y \to X$  be a continuous map. A lift of f is a continuous map  $\widetilde{f}: Y \to \widetilde{X}$  such that  $p\widetilde{f} = f$ , where  $p: \widetilde{X} \to X$  is a covering space. Let Y be connected.

- Unique lifting property states that if two lifts  $\widetilde{f}_1$  and  $\widetilde{f}_2$  of f coincide at one point, then they coincide on all of Y.
- Homotopy lifting property states that if  $f_t: Y \to X$  is a homotopy and  $\widetilde{f_0}: Y \to \widetilde{X}$  is a lift of  $f_0$  then there exists a unique homotopy  $\widetilde{f_t}: Y \to \widetilde{X}$  of  $\widetilde{f_0}$  that lifts  $f_t$ .

#### Remark.

- If Y is a point, this is called the **path lifting property**. Let  $f: I \to X$  be a path with  $f(0) = x_0$ . If  $\widetilde{x_0} \in p^{-1}(x_0)$ , then there is a unique path  $\widetilde{f}: I \to \widetilde{X}$  lifting f and starting at  $\widetilde{x_0}$ .
- In particular, the lift of a constant path is constant.
- This implies in particular that the lift of a homotopy of paths is again a homotopy of paths. The endpoints  $f_t(0)$  and  $f_t(1)$  define constant paths as t varies.

Fix  $x_0 \in X$  and  $\widetilde{x_0} \in \widetilde{X}$  such that  $p(\widetilde{x_0}) = x_0$ , so

$$p_*: \pi_1\left(\widetilde{X}, \widetilde{x_0}\right) \to \pi_1\left(X, x_0\right).$$

To every element in  $\pi_1(X, x_0)$  we can associate a homotopy class of paths in  $\widetilde{X}$  starting at  $\widetilde{x_0}$ .

#### Proposition 1.25.

1.  $p_*: \pi_1\left(\widetilde{X}, \widetilde{X_0}\right) \to \pi_1\left(X, x_0\right)$  is injective.

2.  $p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_0}\right)\right) \subseteq \pi_1\left(X,x_0\right)$  consists of the homotopy classes of loops at  $x_0$  whose lifts to  $\widetilde{X}$  starting at  $\widetilde{x_0}$  are loops.

Proof.

- 1. Let  $\widetilde{f}_0: I \to \widetilde{X}$  be a loop at  $\widetilde{x_0}$  such that  $\left[\widetilde{f}_0\right] \in \ker p_*$ , so  $p\widetilde{f}_0 = f_0$  is homotopic to the constant loop at  $x_0$ . Let  $f_t: I \to X$  be a homotopy between  $f_0$  and the constant loop. Homotopy lifting property and remark implies that  $f_t$  lifts to a homotopy  $\widetilde{f}_t$  of paths between  $\widetilde{f}_0$  and the constant loop, so  $\left[\widetilde{f}_0\right] = \operatorname{id} \in \pi_1\left(\widetilde{X}, \widetilde{x_0}\right)$  and  $p_*$  is injective.
- 2. Let  $f: I \to X$  be a loop at  $x_0$  that lifts to a loop  $\widetilde{f}$  at  $\widetilde{x_0}$ . Then  $p\widetilde{f} = f$ , so  $p_*\left(\left[\widetilde{f}\right]\right) = [f]$ . On the other hand, if  $f: I \to X$  is a loop at  $x_0$  such that there exists a loop  $\widetilde{f}: I \to \widetilde{X}$  at  $\widetilde{x_0}$  with  $p_*\left(\left[\widetilde{f}\right]\right) = [f]$ , then f is homotopic to  $p\widetilde{f}$ . Homotopy lifting property implies that there exists a loop  $\widetilde{f}': I \to \widetilde{X}$  at  $x_0$  such that  $p\widetilde{f}' = f$ .

Let  $p:\widetilde{X}\to X$  be a covering space. Let  $U\subseteq X$  be an evenly covered neighbourhood of  $x\in X$ . Let

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$$p^{-1}(U) = \bigsqcup_{\alpha \in \Lambda} \widetilde{U_{\alpha}}.$$

Then the cardinality  $|p^{-1}(x)|$  of  $p^{-1}(x)$  is exactly the cardinality of  $|\Lambda|$ . The set of sheets is in bijection with  $p^{-1}(x)$ . So the cardinality of  $p^{-1}(x)$  is locally constant. If X is connected, the cardinality of  $p^{-1}(x)$  is constant.

**Notation.** Let X and Y be topological spaces,  $x \in X$ , and  $y \in Y$ . A continuous map

$$f:(X,x)\to (Y,y)$$

is a continuous map  $f: X \to Y$  such that f(x) = y.

**Proposition 1.26.** Let X and  $\widetilde{X}$  be path-connected and

$$p: \left(\widetilde{X}, \widetilde{x_0}\right) \to (X, x_0)$$

be a covering space. Then the number of sheets of p equals the index of  $p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_0}\right)\right)$  in  $\pi_1\left(X,x_0\right)$ .

*Proof.* Let g be a loop in X at  $x_0$  and  $\widetilde{g}$  be its lift to  $\widetilde{X}$  starting at  $\widetilde{x_0}$ . Let  $H = p_* \left( \pi_1 \left( \widetilde{X}, \widetilde{x_0} \right) \right)$  and let  $[h] \in H$ . Then  $h \cdot g$  lifts to a path  $\widetilde{h} \cdot \widetilde{g}$  in  $\widetilde{X}$  starting at  $\widetilde{x_0}$  with the same endpoint as  $\widetilde{g}$ , because  $\widetilde{h}$  is a loop, by Proposition 1.25. Define

$$\Phi : \{ \text{cosets of } H \text{ in } \pi_1\left(X, x_0\right) \} \longrightarrow p^{-1}\left(x_0\right) \\ H\left[g\right] \longmapsto \widetilde{g}\left(1\right) ,$$

so  $\Phi$  is well-defined. Want to show that  $\Phi$  is bijective.

- $\Phi$  is surjective because  $\widetilde{X}$  is path-connected. Let  $\widetilde{g}$  be a path in  $\widetilde{X}$  from  $\widetilde{x_0}$  to any point  $\widetilde{x_0'} \in p^{-1}(x_0)$ , then  $g = p \cdot \widetilde{g}$  and  $\Phi(H[g]) = \widetilde{x_0'}$ .
- $\Phi$  is injective, since if  $\Phi(H[g_1]) = \Phi(H[g_2])$  then the lift  $\widetilde{g_1} \cdot \widetilde{g_2}^{-1}$  of  $g_1 \cdot g_2^{-1}$  defines a loop in  $\widetilde{X}$  at  $\widetilde{x_0}$ . Proposition 1.25 implies that  $[g_1][g_2]^{-1} \in H$ , so  $H[g_1] = H[g_2]$ .

We say that a topological space X has a certain property (P) locally if for each point  $x \in X$  and each neighbourhood U of x there is an open neighbourhood  $V \subseteq U$  having this property (P).

**Example.** X is locally path-connected or X is locally simply-connected.

#### Proposition 1.27. Let

$$p: \left(\widetilde{X}, \widetilde{x_0}\right) \to (X, x_0)$$

be a covering space and

$$f: (Y, y_0) \to (X, x_0)$$

a continuous map, where Y is path-connected and locally path-connected. Then there is a lift

$$\widetilde{f}:(Y,y_0)\to \left(\widetilde{X},\widetilde{x_0}\right)$$

if and only if

$$f_*\left(\pi_1\left(Y,y_0\right)\right)\subseteq p_*\left(\pi_1\left(\widetilde{X},\widetilde{X_0}\right)\right),$$

so

$$(\widetilde{X}, \widetilde{x_0}) \xrightarrow{\widetilde{f}} (X, x_0)$$

$$(Y, y_0) \xrightarrow{f} (X, x_0)$$

Proof.

 $\implies$  Clear, because  $f = p\widetilde{f}$  implies  $f_* = p_*\widetilde{f}_*$ .

← Assume

$$f_*\left(\pi_1\left(Y,y_0\right)\right)\subseteq p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_0}\right)\right).$$

For each  $y \in Y$  choose a path  $\gamma$  from  $y_0$  to y, so  $f\gamma$  is a path in X from  $x_0$  to f(y). By path lifting, we can lift  $f\gamma$  to a path  $\widetilde{f\gamma}$  in  $\widetilde{X}$  starting at  $\widetilde{x_0}$ . Define the map

$$\widetilde{f} : (Y, y_0) \longrightarrow (\widetilde{X}, \widetilde{x_0}) 
y \longmapsto \widetilde{f} \gamma (1).$$

- This map is well-defined, that is does not depend on the choice of  $\gamma$ . Let  $\gamma'$  be another path from  $y_0$  to y. Then  $h_0 = (f\gamma') \cdot (f\gamma)^{-1}$  is a loop at  $x_0$  and

$$[h_0] \in f_* (\pi_1 (Y, y_0)) \subseteq p_* (\pi_1 (\widetilde{X}, \widetilde{x_0})).$$

Proposition 1.25 implies that can lift  $h_0$  to a loop  $\widetilde{h_0}$  at  $\widetilde{x_0}$ . The first half of  $\widetilde{h_0}$  is  $\widetilde{f\gamma'}$  and the second half is  $\widetilde{f\gamma}^{-1}$ , so  $\widetilde{f\gamma}(1) = \widetilde{f\gamma'}(1)$ . Thus  $\widetilde{f}$  is well-defined.

– We have  $p\widetilde{f} = f$ , so  $\widetilde{f}$  lifts f.

- It remains to show that  $\widetilde{f}$  is continuous. Let  $y \in Y$  and let U be an evenly covered neighbourhood of f(y). Let  $\widetilde{U}$  be the sheet above U such that  $\widetilde{f}(y) \in \widetilde{U}$ , so  $p|_{\widetilde{U}} : \widetilde{U} \to U$  is a homeomorphism. Let  $V \subseteq Y$  be a path-connected neighbourhood of y such that  $f(V) \subseteq U$ . Fix a path  $\gamma$  from  $y_0$  to y. Let  $y' \in V$  be arbitrary and  $\eta$  be a path from y to y', so  $\gamma \cdot \eta$  is a path from  $y_0$  to y'. Then  $(f\gamma) \cdot (f\eta)$  is a path in U from  $x_0$  to f(y'), and  $\widetilde{f\eta} = (p|_{\widetilde{U}})^{-1} f\eta$ , so  $\widetilde{f}|_{V} = (p|_{\widetilde{U}})^{-1} f$ . Thus  $\widetilde{f}|_{V} : V \to \widetilde{U}$  is continuous, so  $\widetilde{f}$  is continuous.

## 1.3.2 The classification of covering spaces

**Definition.** A covering space  $p: \widetilde{X} \to X$  is a **universal cover** if  $\widetilde{X}$  is simply-connected.

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**Definition.** A topological space X is **semilocally simply-connected** if each  $x \in X$  has a neighbourhood U such that

$$i_*: \pi_1(U, x) \to \pi_1(X, x)$$

is trivial, where  $i:U\hookrightarrow X$  is the inclusion.

**Example.** Let  $X = \bigcup_n C_n \subseteq \mathbb{R}^2$  be the **Hawaiian earrings**, where  $C_n \subseteq \mathbb{R}^2$  is the circle of radius 1/n and centre (1/n, 0). Then X is not semilocally simply-connected.

**Proposition 1.28.** If  $p: \widetilde{X} \to X$  is a universal cover, then X is semilocally simply-connected.

*Proof.* Let  $U \subseteq X$  be an evenly covered neighbourhood of  $x_0 \in X$ ,  $\widetilde{U} \subseteq \widetilde{X}$  be a sheet over U, and  $\gamma \subseteq U$  be a loop at  $x_0$ , so  $\gamma$  lifts to a loop  $\widetilde{\gamma} \subseteq \widetilde{U}$  at  $\widetilde{x_0}$ . Then  $\widetilde{\gamma}$  is homotopic to the constant loop at  $\widetilde{x_0}$ . Composing this homotopy with p implies that  $\gamma$  is homotopic to the constant loop at  $x_0$  in X, so

$$\pi_1(U, x_0) \to \pi_1(X, x_0)$$

is trivial.

**Theorem 1.29.** Let X be path-connected, locally path-connected, and semilocally simply-connected. Then there exists a universal cover  $p: \widetilde{X} \to X$ .

Remark. If

$$p: \left(\widetilde{X}, \widetilde{x_0}\right) \to (X, x_0)$$

is a universal cover, each point  $\widetilde{x} \in \widetilde{X}$  can be joined to  $\widetilde{x_0}$  by a unique homotopy class of paths, by Proposition 1.6.

 $\left\{ \text{points in } \widetilde{X} \right\} \iff \left\{ [\gamma] \mid \gamma \text{ is a path in } \widetilde{X} \text{ starting at } \widetilde{x_0} \right\} \iff \left\{ [\gamma] \mid \gamma \text{ is a path in } X \text{ starting at } x_0 \right\},$ 

by the homotopy lifting property.

*Proof.* Let  $x_0 \in X$ , and

$$\widetilde{X} = \left\{ [\gamma] \mid \gamma \text{ is a path in } X \text{ starting at } x_0 \right\}, \qquad \begin{array}{ccc} p & : & \widetilde{X} & \longrightarrow & X \\ & [\gamma] & \longmapsto & \gamma \left( 1 \right) \end{array}.$$

Have to

- 1. give  $\widetilde{X}$  a topology,
- 2. show that  $p: \widetilde{X} \to X$  is a covering, and
- 3. show that  $\widetilde{X}$  is simply-connected.

Recall that a basis for a topology on a set Y is a collection  $\mathcal{B}$  of subsets such that

- $Y = \bigcup_{U \in \mathcal{B}} U$ , and
- if  $U_1, U_2 \in \mathcal{B}$  and  $y \in U_1 \cap U_2$  then there exists  $V \in \mathcal{B}$  such that  $y \in V$  and  $V \subseteq U_1 \cap U_2$ .

A basis defines a topology on Y, by  $A \subseteq Y$  is open if and only if A is the union of elements of  $\mathcal{B}$ . A map  $f: Z \to Y$  is continuous if and only if  $f^{-1}(U)$  is open for all  $U \in \mathcal{B}$ .

1. Let  $\mathcal{U}$  be the collection of all path-connected open sets  $U \subseteq X$  such that  $\pi_1(U) \to \pi_1(X)$  is trivial. Then  $X = \bigcup_{U \in \mathcal{U}} U$  because X is semilocally simply-connected. Let  $U_1, U_2 \in \mathcal{U}$  and  $y \in U_1 \cap U_2$ , and let  $y \in V \subseteq U_1 \cap U_2$  be path-connected and open. Then

$$V \hookrightarrow \longrightarrow U_1 \hookrightarrow X$$

$$\pi_1(V) \longrightarrow \pi_1(U_1) \xrightarrow{\text{trivial}} \pi_1(X)$$

so  $V \in \mathcal{U}$ , so  $\mathcal{U}$  is a basis for the topology on X. For  $U \in \mathcal{U}$  and  $\gamma$  a path in X from  $x_0$  to a point in U, we define

$$U_{[\gamma]} = \{ [\gamma \cdot \eta] \mid \eta \text{ a path in } U \text{ such that } \eta(0) = \gamma(1) \} \subseteq \widetilde{X}.$$

 $U_{[\gamma]}$  only depends on the class  $[\gamma]$ , so  $p|_{U_{[\gamma]}}:U_{[\gamma]}\to U$  is bijective. Surjective because U is path-connected and injective because all paths  $\eta$  in U with the same endpoint are homotopic. Claim that  $\{U_{[\gamma]}\}$  forms a basis on  $\widetilde{X}$ .

- $\bigcup_{U \in \mathcal{U}, \gamma} U_{[\gamma]} = \widetilde{X}$ , because  $\bigcup_{U \in \mathcal{U}} U = X$ .
- Observe that if  $[\gamma'] \in U_{[\gamma]}$  then  $U_{[\gamma]} = U_{[\gamma']}$ . If  $\gamma' = \gamma \cdot \eta$  for  $\eta$  a path in U, then elements in  $U_{[\gamma']}$  have the form  $[\gamma \cdot \eta \cdot \mu]$ , so  $U_{[\gamma']} \subseteq U_{[\gamma]}$ . The elements in  $U_{[\gamma]}$  have the form

$$[\gamma \cdot \mu] = \left[\gamma \cdot \eta \cdot \eta^{-1} \cdot \mu\right] = \left[\gamma' \cdot \eta^{-1} \cdot \mu\right],$$

so  $U_{[\gamma]} \subseteq U_{[\gamma']}$ . Consider  $U_{[\gamma]}$  and  $V_{[\gamma']}$  and let  $[\gamma''] \in U_{[\gamma]} \cap V_{[\gamma']}$ , so  $U_{[\gamma]} = U_{[\gamma'']}$  and  $V_{[\gamma']} = V_{[\gamma'']}$ . Let  $W \in \mathcal{U}$  such that  $W \subseteq U \cap V$  and such that  $\gamma''(1) \in W$ , so  $W_{[\gamma'']} \subseteq U_{[\gamma'']} \cap V_{[\gamma'']}$  and  $[\gamma''] \in W_{[\gamma'']}$ . This proves the claim.

2.  $p|_{U_{[\gamma]}}: U_{[\gamma]} \to U$  is a homeomorphism. It is bijective, let  $V_{[\gamma']} \subseteq U_{[\gamma]}$  be an element of the basis, so  $p\left(V_{[\gamma']}\right) = V \in \mathcal{U}$ . Then  $p^{-1}\left(V\right) \cap U_{[\gamma]} = V_{[\gamma']}$ . Thus  $p: \widetilde{X} \to X$  is continuous. If  $U \in \mathcal{U}$ , then

$$p^{-1}\left(U\right) = \bigsqcup_{\left[\gamma\right]} U_{\left[\gamma\right]},$$

so  $p:\widetilde{X}\to X$  is a covering space.

3. Let  $\widetilde{x_0} \in \widetilde{X}$  be the class of the constant path at  $x_0$ . Let  $[\gamma] \in \widetilde{X}$  be arbitrary. Then  $\gamma : [0,1] \to X$  and  $\gamma(0) = x_0$ . Let  $\gamma_t$  be the path in X defined by

$$\gamma_{t}\left(s\right) = \begin{cases} \gamma\left(s\right) & s \in \left[0, t\right] \\ \gamma\left(t\right) & s \in \left[t, 1\right] \end{cases}.$$

Then

$$\begin{array}{ccccc} \widetilde{\gamma} & : & \mathbf{I} & \longrightarrow & \widetilde{X} \\ & t & \longmapsto & [\gamma_t] \end{array}$$

is a path in  $\widetilde{X}$  from  $\widetilde{x_0}$  to  $[\gamma]$ , so  $\widetilde{X}$  is path-connected. Recall that  $p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_0}\right)\right)$  consists of the classes of loops at  $x_0$  in X that lifts to loops in  $\widetilde{X}$  at  $\widetilde{x_0}$ . Let  $[\gamma] \in p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_0}\right)\right)$ . Then  $\gamma$  lifts to a loop at  $\widetilde{x_0}$  by  $t \mapsto [\gamma_t]$ . Because it is a loop we have  $\widetilde{x_0} = [\gamma_1] = [\gamma]$ , so  $\gamma$  is homotopic to the constant loop. Thus  $p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_0}\right)\right) = \{\mathrm{id}\}$ , so  $\widetilde{X}$  is simply-connected.

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Let  $p:\widetilde{X}\to X$  be a covering space, so  $p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_0}\right)\right)\subseteq\pi_1\left(X,x_0\right)$ .

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**Proposition 1.30.** Let X be path-connected, locally path-connected, and semilocally simply-connected. Then for every subgroup  $H \subseteq \pi_1(X, x_0)$  there is a covering space  $p: X_H \to X$  such that  $p_*(\pi_1(X_H, \widetilde{x_0})) = H$  for some basepoint  $x_0$ .

*Proof.* Let  $\widetilde{X}$  be as constructed above. Define  $X_H = \widetilde{X}/\sim$ , where  $[\gamma] \sim [\gamma']$  if  $\gamma(1) = \gamma'(1)$  and  $[\gamma \cdot (\gamma')^{-1}] \in H$ . This is an equivalence relation.

- $[\gamma] \sim [\gamma]$  because id  $\in H$ .
- $[\gamma] \sim [\gamma']$  implies that  $[\gamma'] \sim [\gamma]$  because H contains all its inverses.
- $[\gamma] \sim [\gamma']$  and  $[\gamma'] \sim [\gamma'']$  implies that  $[\gamma] \sim [\gamma'']$  because H is closed under product.

Then

$$\widetilde{X} \longrightarrow \widetilde{X}/\sim = X_H$$

$$\downarrow \qquad \qquad p$$

Let  $U_{[\gamma]}$  and  $U_{[\gamma']}$  be basis neighbourhoods. If  $[\gamma] \sim [\gamma']$  then  $[\gamma \cdot \eta] \sim [\gamma' \cdot \eta]$ , so p is a covering space, and  $p^{-1}(U) = \bigcup_{\gamma} U_{[\gamma]}$ . Let  $\widetilde{x_0} \in X_H$  be the equivalence class of the constant path  $c_{x_0}$  at  $x_0$ . Let  $\gamma$  be a loop in X at  $x_0$  such that  $[\gamma] \in p_*(\pi_1(X_H, \widetilde{x_0}))$ . Again  $t \mapsto [\gamma_t]$  is a lift of  $\gamma$  at  $\widetilde{x_0}$ . Then

$$t\mapsto [\gamma_t] \text{ is a loop in } X_H \quad \Longleftrightarrow \quad [\gamma_1]=[\gamma]=[\mathbf{c}_{x_0}] \text{ in } X_H \quad \Longleftrightarrow \quad [\gamma]\sim [\mathbf{c}_{x_0}] \quad \Longleftrightarrow \quad \gamma\in H.$$

**Definition.** We say that two covering spaces  $p_1:\widetilde{X_1}\to X$  and  $p_2:\widetilde{X_2}\to X$  are **isomorphic** if there exists a homeomorphism  $f:\widetilde{X_1}\to\widetilde{X_2}$  such that

$$\widetilde{X_1} \xrightarrow{f} \widetilde{X_2}$$
 $X$ 
 $\downarrow p_2$ 
 $X$ 

**Proposition 1.31.** Let X be path-connected and locally path-connected and  $x_0 \in X$ . Two path-connected covering spaces  $p_1: \widetilde{X}_1 \to X$  and  $p_2: \widetilde{X}_2 \to X$  are isomorphic via an isomorphism  $f: \widetilde{X}_1 \to \widetilde{X}_2$  mapping a basepoint  $\widetilde{x}_1 \in p_1^{-1}(x_0)$  to a basepoint  $\widetilde{x}_2 \in p_2^{-1}(x_0)$  if and only if

$$p_{1*}\left(\pi_1\left(\widetilde{X}_1,\widetilde{x}_1\right)\right) = p_{2*}\left(\pi_1\left(\widetilde{X}_2,\widetilde{x}_2\right)\right).$$

Proof.

$$\Longrightarrow$$
 If

$$f: \left(\widetilde{X_1}, \widetilde{x_1}\right) \to \left(\widetilde{X_2}, \widetilde{x_2}\right)$$

is an isomorphism, then  $p_1 = p_2 f$ , so

$$p_{1*}\left(\pi_1\left(\widetilde{X_1},\widetilde{x_1}\right)\right) \subseteq p_{2*}\left(\pi_1\left(\widetilde{X_2},\widetilde{x_2}\right)\right),$$

and 
$$p_2 = p_1 f^{-1}$$
, so

$$p_{2*}\left(\pi_1\left(\widetilde{X}_2,\widetilde{x}_2\right)\right) \subseteq p_{1*}\left(\pi_1\left(\widetilde{X}_1,\widetilde{x}_1\right)\right).$$

 $\iff$  Assume

$$p_{1*}\left(\pi_1\left(\widetilde{X_1},\widetilde{x_1}\right)\right) = p_{2*}\left(\pi_1\left(\widetilde{X_2},\widetilde{x_2}\right)\right).$$

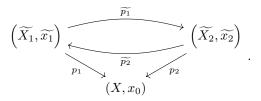
By lifting criterion in Proposition 1.27, we can lift  $p_1$  to a continuous map

$$\widetilde{p_1}: \left(\widetilde{X_1}, \widetilde{x_1}\right) \to \left(\widetilde{X_2}, \widetilde{x_2}\right),$$

and  $p_2$  to a continuous map

$$\widetilde{p_2}:\left(\widetilde{X_2},\widetilde{x_2}\right)\to\left(\widetilde{X_1},\widetilde{x_1}\right),$$

so  $p_1\widetilde{p_2} = p_2$  and  $p_2\widetilde{p_1} = p_1$ .



Then  $\widetilde{p_1}\widetilde{p_2}$  fixes the point  $\widetilde{x_2} \in \widetilde{X_2}$ . By the unique lifting property in Proposition 1.7,  $\widetilde{p_1}\widetilde{p_2} = \mathrm{id}_{\widetilde{x_2}}$ . Similarly,  $\widetilde{p_2}\widetilde{p_1} = \mathrm{id}_{\widetilde{x_1}}$ , so  $\widetilde{p_1}$  is an isomorphism.

Fix  $x_0 \in X$ ,  $\widetilde{x_1} \in p_1^{-1}(x_0)$ , and  $\widetilde{x_2} \in p_2^{-1}(x_0)$ . A basepoint preserving isomorphism

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$$f:\left(\widetilde{X_{1}},\widetilde{x_{1}}\right)\rightarrow\left(\widetilde{X_{2}},\widetilde{x_{2}}\right)$$

is an isomorphism such that  $f(\widetilde{x_1}) = \widetilde{x_2}$ .

**Theorem 1.32** (Galois correspondence). Let X be path-connected, locally path-connected, and semilocally simply-connected, and  $x_0 \in X$ . Then

1. there is a bijection

$$\left\{\begin{array}{c} \textit{path-connected covering spaces } p: \left(\widetilde{X}, \widetilde{x_0}\right) \to (X, x_0) \\ \textit{up to basepoint preserving isomorphisms} \end{array}\right\} \qquad \Longleftrightarrow \qquad \left\{\begin{array}{c} \textit{subgroups} \\ H \subseteq \pi_1\left(X, x_0\right) \end{array}\right\},$$

2. if we ignore the basepoints, this correspondence gives a bijection

$$\left\{\begin{array}{c} path\text{-}connected\ covering\ spaces\ p:\widetilde{X}\to X\\ up\ to\ isomorphisms \end{array}\right\} \qquad \Longleftrightarrow \qquad \left\{\begin{array}{c} conjugacy\ classes\ of\ subgroups\\ H\subseteq \pi_1\left(X,x_0\right) \end{array}\right\}.$$

Proof.

1. To a covering space

$$p: \left(\widetilde{X}, \widetilde{x_0}\right) \to \left(X, x_0\right),$$

we associate the subgroup

$$p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_0}\right)\right) \subseteq \pi_1\left(X,x_0\right).$$

Proposition 1.30 and Proposition 1.31 show that this is well-defined on the isomorphism classes and it is bijective.

2. Let  $p: \widetilde{X} \to X$  be a covering space and  $\widetilde{x_1}, \widetilde{x_2} \in p^{-1}(x_0)$ . Let

$$H_{i} = p_{*}\left(\pi_{1}\left(\widetilde{X}, \widetilde{x_{i}}\right)\right) \subseteq \pi_{1}\left(X, x_{0}\right), \qquad i = 1, 2.$$

Let  $\widetilde{\gamma}$  be a path from  $\widetilde{x_1}$  to  $\widetilde{x_2}$ . Let  $\gamma = p\widetilde{\gamma}$  be a loop at  $x_0$ . Let  $[f] \in \pi_1(X, x_0)$ . Then  $[f] \in H_1$  if and only if the lift  $\widetilde{f}$  is a loop at  $\widetilde{x_1}$ . Then  $\widetilde{\gamma}^{-1} \cdot \widetilde{f} \cdot \widetilde{\gamma}$  is a loop at  $\widetilde{x_2}$ , so

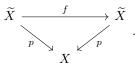
$$p_* \left( \widetilde{\gamma}^{-1} \cdot \widetilde{f} \cdot \widetilde{\gamma} \right) = \gamma^{-1} \cdot f \cdot \gamma,$$

so  $[\gamma]^{-1}[f][\gamma] \in H_2$ . Thus  $[\gamma]^{-1}H_1[\gamma] \subseteq H_2$ . Similarly,  $[\gamma]H_2[\gamma]^{-1} \subseteq H_1$ . Conversely, let  $H_1 \subseteq \pi_1(X, x_0)$  as above and  $[\delta] \in \pi_1(X, x_0)$  be an arbitrary element. Let  $\widetilde{\delta}$  be a lift of  $\delta$  such that  $\widetilde{\delta}(0) = \widetilde{x_0}$  and define  $\widetilde{x_3} = \widetilde{\delta}(1)$ . Then the same construction yields

$$p_*\left(\pi_1\left(\widetilde{X},\widetilde{X_3}\right)\right) = \left[\delta\right]^{-1} H_1\left[\delta\right].$$

1.3.3 Deck transformations and group actions

**Definition.** Let  $p: \widetilde{X} \to X$  be a covering space. A **deck-transformation** is an isomorphism from  $\widetilde{X}$  to itself.



The group of deck-transformations is denoted by G  $(\widetilde{X})$ .

Example.

• Let

$$\begin{array}{cccc} p & : & \mathbb{R} & \longrightarrow & \mathrm{S}^1 \subseteq \mathbb{C} \\ & t & \longmapsto & e^{2\pi i t} \end{array}.$$

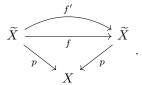
Then  $f: \mathbb{R} \to \mathbb{R}$  such that p(f(t)) = p(t) if and only if  $e^{2\pi i f(t)} = e^{2\pi i t}$ , if and only if f(t) = t + n, so  $G(\mathbb{R}) \cong \mathbb{Z}$ .

• Let

$$\begin{array}{cccc} p & : & \mathbf{S}^1 & \longrightarrow & \mathbf{S}^1 \\ & z & \longmapsto & z^n \end{array}.$$

Then  $G(S^1) \cong \mathbb{Z}/n\mathbb{Z}$ .

An observation is that if  $\widetilde{X}$  is path-connected then  $f \in G\left(\widetilde{X}\right)$  is uniquely determined by where it sends a single point.



If f(x) = f'(x) for a single x, by unique lifting f = f'. So the identity is the only deck-transformation with a fixed point.

**Definition.** A covering space  $p:\widetilde{X}\to X$  is **normal**, or **regular**, or **Galois**, if for each  $x\in X$  and every pair  $\widetilde{x},\widetilde{x'}\in p^{-1}(x)$  there is an  $f\in G\left(\widetilde{X}\right)$  such that  $f\left(\widetilde{x}\right)=\widetilde{x'}$ .

# Example.

- $p: \mathbb{R} \to S^1$  is normal.
- $p: S^1 \to S^1$  is normal.

## Proposition 1.33. Let

$$p: \left(\widetilde{X}, \widetilde{x_0}\right) \to (X, x_0)$$

be a path-connected covering space, and X be path-connected and locally path-connected. Then  $p: \widetilde{X} \to X$  is normal if and only if

$$H = p_* \left( \pi_1 \left( \widetilde{X}, \widetilde{x_0} \right) \right) \subseteq \pi_1 \left( X, x_0 \right)$$

is a normal subgroup.

Proof. Let  $\widetilde{x_1} \in p^{-1}(x_0)$ , let  $\widetilde{\gamma}$  be a path from  $\widetilde{x_0}$  to  $\widetilde{x_1}$  and  $\gamma = p(\widetilde{\gamma})$ . Then  $[\gamma]$  conjugates H to  $p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_1}\right)\right)$  so  $[\gamma]H[\gamma]^{-1}=H$ , if and only if  $H=p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_1}\right)\right)$ , by Proposition 1.31 if and only if  $f\left(\widetilde{x_0}\right)=\widetilde{x_1}$ . So  $G\left(\widetilde{X}\right)$  acts transitively on  $p^{-1}\left(x_0\right)$  if and only if  $H\subseteq\pi_1\left(X,x_0\right)$  is a normal subgroup. Let  $x_0'\in X$  be another point and h a path from  $x_0$  to  $\widetilde{x_0}$ . Let  $\widetilde{h}$  be a lift of h such that  $\widetilde{h}\left(0\right)=\widetilde{x_0}$ . Set  $\widetilde{x_0}=\widetilde{h}\left(1\right)$  and  $p\left(\widetilde{x_0'}\right)=x_0'$ . Then

$$\pi_{1}\left(\widetilde{X},\widetilde{x_{0}}\right) \xrightarrow{\beta_{\widetilde{h}}} \pi_{1}\left(\widetilde{X},\widetilde{x'_{0}}\right) \\ p_{*} \downarrow \qquad \qquad \downarrow p_{*} \\ \pi_{1}\left(X,x_{0}\right) \xrightarrow{\beta_{h}} \pi_{1}\left(X,x'_{0}\right)$$

Thus  $H \subseteq \pi_1(X, x_0)$  is normal if and only if

$$p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_0'}\right)\right) \subseteq \pi_1\left(X,x_0'\right)$$

is normal, as before if and only if G  $\left(\widetilde{X}\right)$  acts transitively on  $p^{-1}\left(x_0'\right)$ .

#### Proposition 1.34. Let

$$p: \left(\widetilde{X}, \widetilde{x_0}\right) \to (X, x_0)$$

be a covering space, X be path-connected and locally path-connected, and  $\widetilde{X}$  be path-connected. Let  $H = p_*\left(\pi_1\left(\widetilde{X},\widetilde{x_0}\right)\right)$  and  $N\left(H\right) \subseteq \pi_1\left(X,x_0\right)$  be the normaliser of H. Then  $G\left(\widetilde{X}\right)$  is isomorphic to  $N\left(H\right)/H$ . In particular,

ullet if  $\widetilde{X}$  is normal, then

$$G\left(\widetilde{X}\right) \cong \pi_1\left(X, x_0\right) / H,$$

ullet if  $\widetilde{X}$  is the universal cover, then

$$G\left(\widetilde{X}\right) \cong \pi_1\left(X, x_0\right).$$

*Proof.* Read the proof of this in Hatcher.  $^3$ 

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<sup>&</sup>lt;sup>3</sup>Exercise

**Example.** Let  $X = S^1 \vee S^1$ , so  $\pi_1(X) = F_{\{a,b\}}$ . Then the following are covering spaces.

• A normal covering space

$$\widetilde{X} = \left( \overbrace{x} \right) \underbrace{b} \underbrace{a} \qquad p_* \left( \pi_1 \left( \widetilde{X}, \widetilde{x_0} \right) \right) = \left\langle a, b^2, bab^{-1} \right\rangle \stackrel{2}{\subseteq} \mathcal{F}_{\{a,b\}}$$

In general, a two-oriented graph is a covering space of X.

• Not a normal covering space

$$\widetilde{X} = (a + b + a + b)$$

$$p_* \left(\pi_1 \left(\widetilde{X}, \widetilde{x_0}\right)\right) = \langle b^2, bab^{-1}, a^2, aba^{-1} \rangle$$

• A normal covering space

The universal cover is a tree.

**Example.** Let  $T = S^1 \times S^1$ , so  $\pi_1(T) = \mathbb{Z}^2$ . This is abelian, so all covering spaces are normal. The universal cover is

$$\begin{array}{ccc} \mathbb{R}^2 & \longrightarrow & \mathrm{S}^1 \times \mathrm{S}^1 \\ (s,t) & \longmapsto & \left(e^{2\pi i s}, e^{2\pi i t}\right) \end{array},$$

since  $\mathbb{R}^2$  is simply-connected. Check that it is a covering space. <sup>4</sup> More generally, if  $p:\widetilde{X}\to X$  and  $q:\widetilde{Y}\to Y$  are covering spaces then

$$\begin{array}{ccc} \widetilde{X} \times \widetilde{Y} & \longrightarrow & X \times Y \\ (x,y) & \longmapsto & (p\left(x\right),q\left(y\right)) \end{array}$$

is again a covering space. For example,

$$\begin{array}{cccc} \mathbf{S}^1 \times \mathbf{S}^1 & \longrightarrow & \mathbf{S}^1 \times \mathbf{S}^1 \\ (z_1, z_2) & \longmapsto & (z_1^n, z_2^m) \end{array}.$$

**Example.** Worksheet 3 exercise 7. Let

$$\mathbb{RP}^n = \mathbb{R}^{n+1} \setminus \{0\} / \sim = S^n / \sim$$

be the **projective** n-space, the space of all lines through the origin in  $\mathbb{R}^{n+1}$ , where  $x \sim -x$ . Let  $p: \mathbb{S}^n \to \mathbb{RP}^n$  be the quotient map. Claim that this is a covering space. Let  $[x] \in \mathbb{RP}^n$ . Then  $p^{-1}([x]) = \{\pm x\}$ . Let U be an open neighbourhood of x such that  $U \cap (-U) = \emptyset$ , so  $p(U) = \{[x] \mid x \in U\}$ . Then  $p^{-1}(p(U)) = U \cup (-U)$  is open and disjoint. Thus  $p|_U: U \to p(U)$  is a homeomorphism, so it is a covering space.

•  $n \geq 2$  implies that  $S^n$  is simply-connected, so  $S^n \to \mathbb{RP}^n$  is a universal cover. Then

$$\{\mathrm{id}\} = p_* (\pi_1 (S^n)) \stackrel{2}{\subseteq} \pi_1 (\mathbb{RP}^n),$$

so  $|\pi_1(\mathbb{RP}^n)| = 2$ . Thus  $\pi_1(\mathbb{RP}^n) \cong \mathbb{Z}/2\mathbb{Z}$ .

• n=1 implies that  $\mathbb{RP}^1=\mathrm{S}^1$ , so

is a covering space.

 $<sup>^4</sup>$ Exercise

# 2 Homology

Higher homotopy groups  $\pi_n(X, x_0)$  are groups of basepoint preserving homotopies of continuous  $\phi: I^n \to X$  such that  $\phi(\partial I^n) = x_0$ .

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Example.

$$\pi_1\left(\mathbf{S}^n\right) = \begin{cases} \mathbb{Z} & n=1\\ 0 & \text{otherwise} \end{cases}, \qquad \pi_2\left(\mathbf{S}^n\right) = \begin{cases} \mathbb{Z} & n=2\\ 0 & \text{otherwise} \end{cases},$$

$$\pi_3\left(\mathbf{S}^n\right) = \begin{cases} \mathbb{Z} & n=2,3\\ 0 & \text{otherwise} \end{cases}, \qquad \pi_i\left(\mathbf{S}^2\right) = \begin{cases} \mathbb{Z}/2\mathbb{Z} & i=4,5\\ \mathbb{Z}/12\mathbb{Z} & i=6 \end{cases}.$$

Homology is more suitable. The following is the plan.

- Simplicial homology.
- Singular homology.
- Technical machinery to show that they coincide.
- Applications.

# 2.1 $\Delta$ -complexes

**Definition.** Let  $m, n \geq 0$ .

- An n-simplex in  $\mathbb{R}^m$  is the convex hull of a set V of n+1 points in  $\mathbb{R}^m$  that are not all contained in an affine (n-1)-dimensional subspace of  $\mathbb{R}^m$ .
- The standard *n*-simplex is the convex hull of the standard basis  $\{e_1, \ldots, e_{n+1}\}$  in  $\mathbb{R}^{n+1}$ ,

$$\{(x_0,\ldots,x_n)\in\mathbb{R}^{n+1}\mid x_i\geq 0,\ x_0+\cdots+x_n=1\}.$$

- An **ordered** *n*-simplex is an *n*-simplex with an ordering on the vertices. We denote it by  $[v_0, \ldots, v_n]$ , where  $v_0, \ldots, v_n$  are the vertices in ascending order.
- The **standard ordered** *n***-simplex** is the ordered *n*-simplex

$$[e_1,\ldots,e_{n+1}]$$

in  $\mathbb{R}^{n+1}$ . It is denoted by  $\Delta^n$ .

• Let  $[v_0, \ldots, v_{n+1}]$  be an *n*-simplex in  $\mathbb{R}^m$  and let  $L \subseteq \mathbb{R}^m$  be the affine subspace spanned by  $v_0, \ldots, v_n$ . Then there exists a unique affine morphism

$$\begin{array}{ccc} L & \longrightarrow & \mathbb{R}^{n+1} \\ v_i & \longmapsto & e_{i+1} \end{array}, \qquad i = 0, \dots, n.$$

This gives a homeomorphism from  $[v_0, \ldots, v_n]$  to  $\Delta^n$  that preserves this ordering.

• For  $n \geq 1$ , the **faces** of an ordered n-simplex  $[v_0, \ldots, v_n]$  are the ordered (n-1)-simplices

$$[v_0,\ldots,\widehat{v_i},\ldots,v_n]$$
.

 $\hat{v}_i$  means we omit the vertex  $v_i$ .

- The union of all the faces of a simplex  $\Delta$  is the **boundary**  $\partial \Delta$ .
- The **interior** of  $\Delta$  is  $\mathring{\Delta} = \Delta \setminus \partial \Delta$ .

**Example.** Let  $\Delta^2 = [e_1, e_2, e_3]$ . Then  $\partial \Delta^2 = [e_1, e_2] \cup [e_1, e_3] \cup [e_2, e_3]$ .

**Definition.** Let X be a topological space. A  $\Delta$ -complex structure on X is a collection of continuous maps

$$\sigma_{\alpha}: \Delta^{\mathrm{n}(\alpha)} \to X, \qquad \alpha \in A, \qquad \mathrm{n}(\alpha) \in \mathbb{N},$$

such that

- 1. the restriction  $\sigma_{\alpha}|_{\mathring{\Delta}^{\mathbf{n}(\alpha)}}$  is injective for all  $\alpha \in A$  and for each  $x \in X$  there is a unique  $\alpha \in A$  such that  $x \in \sigma_{\alpha} \left(\mathring{\Delta}^{\mathbf{n}(\alpha)}\right)$ ,
- 2. the restriction of  $\sigma_{\alpha}$  to a face of  $\Delta^{n(\alpha)}$  is equal to  $\sigma_{\beta}$  for some  $\beta \in A$  and  $n(\beta) = n(\alpha) 1$ , and
- 3.  $U \subseteq X$  is open if and only if  $\sigma_{\alpha}^{-1}(U)$  is open in  $\Delta^{n(\alpha)}$  for all  $\alpha \in A$ .

An observation is that  $\sigma: \bigsqcup_{\alpha \in A} \Delta^{\mathbf{n}(\alpha)} \to X$  induced by the  $\sigma_{\alpha}$  is a quotient map, since it is surjective by 1 and  $U \subseteq X$  is open if and only if  $\sigma^{-1}(U)$  is open by 3.

**Remark.** One can show that an X with a  $\Delta$ -complex structure is a CW-complex.

#### Example.

- Torus or Klein bottle is two  $\Delta^2$ , three  $\Delta^1$ , and one  $\Delta^0$ .
- $S^2$  is a tetrahedron.
- **Dunce hat**, by identifying all the three faces of the standard 2-simplex with each other, is one  $\Delta^2$ , one  $\Delta^1$ , and one  $\Delta^0$ .

## 2.2 Simplicial homology

#### 2.2.1 Simplicial homology

Let X be a  $\Delta$ -complex. The group of n-chains  $\Delta_n(X)$  is the free abelian group on the n-simplices  $\sigma_\alpha: \Delta^{n(\alpha)} \to X$ , where  $n(\alpha) = n$ . So an element in  $\Delta_n(X)$  is of the form

$$\sum_{\alpha \in A, \ \mathbf{n}(\alpha) = n} c_{\alpha} \cdot \sigma_{\alpha}, \qquad c_{\alpha} \in \mathbb{Z},$$

where all but finitely many of the  $c_{\alpha}$  are zero.

**Example.** Let K be a Klein bottle.

- $\Delta_0(K) = \{n \cdot v \mid n \in \mathbb{Z}\} = \mathbb{Z} \cdot v \cong \mathbb{Z}.$
- $\Delta_1(K) = \{n_1 \cdot a + n_2 \cdot b + n_3 \cdot c \mid n_1, n_2, n_3 \in \mathbb{Z}\} = \mathbb{Z} \cdot a \oplus \mathbb{Z} \cdot b \oplus \mathbb{Z} \cdot c \cong \mathbb{Z}^3$ .
- $\Delta_2(K) = \{n_1 \cdot U + n_2 \cdot V \mid n_1, n_2 \in \mathbb{Z}\} = \mathbb{Z} \cdot U \oplus \mathbb{Z} \cdot V \cong \mathbb{Z}^2$ .
- $\Delta_n(K) = 0$  for  $n \geq 3$ .

Similarly for a torus T.

Define the **boundary homomorphism** by

$$\begin{array}{cccc} \partial_n & : & \Delta_n\left(X\right) & \longrightarrow & \Delta_{n-1}\left(X\right) \\ & & \sigma_{\alpha} & \longmapsto & \sum_{i=0}^n \left(-1\right)^i \left.\sigma_{\alpha}\right|_{\left[v_0,\ldots,\widehat{v_i},\ldots,v_n\right]} \end{array}$$

Moreover, we define  $\partial_0 = 0$ .

**Example.** Let  $\sigma: [v_0, v_1, v_2, v_3] \to X$ . Then

$$\partial_{3}\left(\sigma\right) = \left.\sigma\right|_{\left[v_{1}, v_{2}, v_{3}\right]} - \left.\sigma\right|_{\left[v_{0}, v_{2}, v_{3}\right]} + \left.\sigma\right|_{\left[v_{0}, v_{1}, v_{3}\right]} - \left.\sigma\right|_{\left[v_{0}, v_{1}, v_{2}\right]}.$$

Lemma 2.1. The composition

$$\Delta_{n}\left(X\right) \xrightarrow{\partial_{n}} \Delta_{n-1}\left(X\right) \xrightarrow{\partial_{n-1}} \Delta_{n-2}\left(X\right)$$

is the zero map.

Lecture 19 Friday 22/02/19 *Proof.* Let  $\sigma: [v_0, \ldots, v_n] \to X$  be an *n*-simplex. Then

$$\partial_n \left( \sigma \right) = \sum_{i=0}^n \left( -1 \right)^i \left. \sigma \right|_{\left[ v_0, \dots, \widehat{v_i}, \dots, v_n \right]},$$

so

$$\left(\partial_{n-1} \circ \partial_{n}\right)(\sigma) = \sum_{j < i} \left(-1\right)^{i} \left(-1\right)^{j} \left.\sigma\right|_{\left[v_{0}, \dots, \widehat{v_{j}}, \dots, \widehat{v_{i}}, \dots, v_{n}\right]} + \sum_{j > i} \left(-1\right)^{i} \left(-1\right)^{j-1} \left.\sigma\right|_{\left[v_{0}, \dots, \widehat{v_{i}}, \dots, \widehat{v_{j}}, \dots, v_{n}\right]} = 0.$$

If n = 1, clear.

## 2.2.2 Algebraic situation

A chain complex of abelian groups is a diagram  $(C_{\bullet}, \partial)$  of the form

$$\dots \xrightarrow{\partial_{n+1}} C_n \xrightarrow{\partial_n} \dots \xrightarrow{\partial_1} C_0 \xrightarrow{\partial_0} 0$$

where the  $C_i$  are abelian groups and the  $\partial_n$  are group homomorphisms such that  $\partial_n \circ \partial_{n-1} = 0$  for all n. Then  $\partial_n$  are **boundary homomorphisms**. The elements in  $C_n$  are n-chains. Let

$$Z_n = \ker \partial_n \subseteq C_n, \quad B_n = \operatorname{im} \partial_{n+1} \subseteq C_n.$$

The elements in  $Z_n$  are **cycles** and the elements in  $B_n$  are **boundaries**. Since  $\partial_{n+1} \circ \partial_n = 0$ , we have that  $B_n \subseteq Z_n$ . The *n*-th homology group of this chain complex is defined by

$$H_n(C_{\bullet}, \partial) = Z_n/B_n.$$

So, by Lemma 2.1

$$\dots \xrightarrow{\partial_{n+1}} \Delta_n(X) \xrightarrow{\partial_n} \dots \xrightarrow{\partial_1} \Delta_0(X) \xrightarrow{\partial_0} 0$$

is a chain complex. The n-th simplicial homology group is

$$H_n^{\Delta}(X) = \ker \partial_n / \operatorname{im} \partial_{n+1}$$
.

**Example.** Let  $X = S^1$ . Then

- $\ker \partial_0 = \mathbb{Z}$  and  $\operatorname{im} \partial_1 = 0$ , so  $H_0^{\Delta}(X) \cong \mathbb{Z}$ .
- ker  $\partial_1 = \Delta_1(X)$  and im  $\partial_2 = 0$ , so  $H_1^{\Delta}(X) \cong \mathbb{Z}$ .
- $H_n^{\Delta}(X) = 0$  if  $n \geq 2$ .

**Example.** Let T be a torus. Then

- $\ker \partial_0 = \mathbb{Z}$  and  $\operatorname{im} \partial_1 = 0$ , so  $H_0^{\Delta}(T) \cong \mathbb{Z}$ .
- $\partial_2(U) = a + b c$  and  $\partial_2(V) = a + b c$ , and  $\{a, b, a + b c\}$  is a basis for  $\Delta_1(T)$ .

$$\ker \partial_1 = \Delta_1(T), \quad \operatorname{im} \partial_2 = \mathbb{Z} \cdot (a+b-c),$$

so  $H_1^{\Delta}(T) \cong \mathbb{Z} \oplus \mathbb{Z}$ .

•  $\mathrm{H}_2^{\Delta}(T) \cong \mathbb{Z}$ . <sup>5</sup>

Lecture 20 is a problems class.

<sup>5</sup>Exercise

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# 2.3 Singular homology

## 2.3.1 Singular homology

A singular *n*-simplex in a topological space X is a continuous map  $\sigma: \Delta^n \to X$ . Let  $C_n(X)$  be the free abelian group on the set of all singular simplices in X, that is the elements in  $C_n(X)$  are finite formal sums

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$$\sum_{i} n_i \sigma_i, \qquad n_i \in \mathbb{Z},$$

where  $\sigma_i : \Delta^n \to X$  are singular *n*-simplices. The elements in  $C_n(X)$  are called **singular** *n*-chains. Define a **boundary map** 

$$\begin{array}{cccc} \partial_n & : & \mathrm{C}_n\left(X\right) & \longrightarrow & \mathrm{C}_{n-1}\left(X\right) \\ & \sigma & \longmapsto & \sum_{i=0}^n \left(-1\right)^i \left.\sigma\right|_{\left[v_1,\ldots,\widetilde{v_i},\ldots,v_n\right]} \,, \end{array}$$

for a singular *n*-simplex  $\sigma$ . Extend it linearly to  $C_n(X)$ .

**Lemma 2.2.**  $\partial_n \circ \partial_{n+1} = 0$ .

*Proof.* The same proof as for Lemma 2.1.

We obtain a chain complex

$$\dots \xrightarrow{\partial_{n+1}} C_n(X) \xrightarrow{\partial_n} \dots \xrightarrow{\partial_1} C_0(X) \xrightarrow{\partial_0} 0.$$

**Remark.** Often we write  $\partial$  instead of  $\partial_n$ .

We define the n-th singular homology group by

$$H_n(X) = \ker \partial_n / \operatorname{im} \partial_{n+1}.$$

An observation is that if X and Y are homeomorphic then  $H_n(X) \cong H_n(Y)$ .

**Proposition 2.3.** Let X be a topological space and  $X = \bigcup_{\alpha} X_{\alpha}$  be the decomposition into its path-components. Then

$$H_n(X) \cong \bigoplus_{\alpha} H_n(X_{\alpha}).$$

*Proof.* A singular n-simplex  $\sigma:\Delta^n\to X$  has a path-connected image. So

$$C_n(X) = \bigoplus_{\alpha} C_n(X_{\alpha}).$$

The boundary maps  $\partial_n$  preserve this decomposition, so  $\partial_n(C_n(X_\alpha)) \subseteq C_{n-1}(X_\alpha)$  implies that  $\ker \partial_n$  and  $\operatorname{im} \partial_{n+1}$  split as well as direct sums, so

$$H_n(X) = \ker \partial_n / \operatorname{im} \partial_{n+1} \cong \bigoplus_{\alpha} H_n(X_{\alpha}).$$

**Proposition 2.4.** If X is a path-connected, and as always  $X \neq \emptyset$ , topological space, then

$$H_0(X) \cong \mathbb{Z}$$
.

Hence for X arbitrary  $H_0(X)$  is a direct sum of  $\mathbb{Z}$ 's, one for each path-component.

*Proof.*  $\partial_0 = 0$ , so  $H_0(X) = C_0(X) / \operatorname{im} \partial_1$ . Define

Then  $\epsilon$  is surjective. It is enough to show that  $\ker \epsilon = \operatorname{im} \partial_1$ . This implies by the isomorphism theorem  $H_0(X) \cong \mathbb{Z}$ . Let  $\sigma : \Delta^1 \to X$  be a 1-simplex. Then

$$\partial_1 (\sigma) = \sigma|_{[v_1]} - \sigma|_{[v_0]},$$

so  $\epsilon(\partial_1(\sigma)) = 0$ , so im  $\partial_1 \subseteq \ker \epsilon$ . On the other hand,  $\epsilon(\sum_i n_i \sigma_i) = 0$  implies that  $\sum_i n_i = 0$ . The  $\sigma_i$  correspond to points  $\sigma_i([v])$  in X. Choose a basepoint  $x_0 \in X$  and let

be the singular 0-simplex. Let  $\tau_i$  be a path from  $x_0$  to  $\sigma_i([v])$ . Consider  $\tau_i$  as a singular 1-simplex  $\tau_i$ :  $[v_0, v_1] \to X$ . We have  $\partial_1 \circ \tau_i = \sigma_i - \sigma_0$ , so

$$\partial_1 \left( \sum_i n_i \tau_i \right) = \sum_i n_i \left( \sigma_i - \sigma_0 \right) = \sum_i n_i \sigma_i - \sum_i n_i \sigma_0 = \sum_i n_i \sigma_i.$$

Thus  $\ker \epsilon \subseteq \operatorname{im} \partial_1$ .

**Proposition 2.5.** If X is a point, then

$$H_n(X) = \begin{cases} \mathbb{Z} & n = 0 \\ 0 & n > 0 \end{cases}.$$

*Proof.* For each n there exists a unique singular n-simplex  $\partial_n:\Delta^n\to X$ , so  $\mathrm{C}_n\left(X\right)\cong\mathbb{Z}$  for all n. Then

$$\partial_n (\sigma_n) = \sum_{i=0}^n (-1)^i \sigma_{n-1} = \begin{cases} 0 & n \text{ odd} \\ \sigma_{n-1} & n \text{ even} \end{cases}$$

so  $\partial_n = 0$  if n is odd and  $\partial_n$  is an isomorphism if n is even, and

$$\ldots \xrightarrow{\partial_{2}} C_{1}(X) \xrightarrow{\partial_{1}} C_{0}(X) \xrightarrow{\partial_{0}} 0$$

$$\ldots \xrightarrow{0} \mathbb{Z} \xrightarrow{\sim} \mathbb{Z} \xrightarrow{0} 0$$

so  $H_n = \ker \partial_n / \operatorname{im} \partial_{n+1} = 0$  if  $n \ge 1$  and  $H_0(X) \cong \mathbb{Z}$ .

# 2.3.2 Reduced homology groups

The reduced homology groups  $\widetilde{H}_n(X)$  are the homology groups of the augmented chain complex

$$\dots \xrightarrow{\partial_2} C_1(X) \xrightarrow{\partial_1} C_0(X) \xrightarrow{\partial_0} \mathbb{Z} \xrightarrow{\epsilon} 0,$$

where  $\epsilon$  is as in proof of Proposition 2.4. Then

$$H_n(X) \cong \widetilde{H_n}(X), \qquad n \ge 1.$$

Seen in the proof of Proposition 2.4 that  $\epsilon$  is surjective and  $\epsilon \circ \partial_1 = 0$ , so im  $\partial_1 \subseteq \ker \epsilon$ , so  $\epsilon$  induces a surjective homomorphism

$$\phi_{\epsilon}: \mathrm{H}_{0}(X) = \mathrm{C}_{0}(X) / \mathrm{im} \, \partial_{1} \to \mathbb{Z}.$$

Then  $\ker \phi_{\epsilon} = \ker \epsilon / \operatorname{im} \partial_{1} = \widetilde{\operatorname{H}_{0}}(X)$ , so  $\operatorname{H}_{0}(X) / \widetilde{\operatorname{H}_{0}}(X) \cong \mathbb{Z}$ , so

$$H_0(X) \cong \widetilde{H_0}(X) \oplus \mathbb{Z}.$$

# 2.4 Homotopy invariance

Let  $(A_{\bullet}, \partial)$  and  $(B_{\bullet}, \partial)$  be two chain complexes. A **chain map**  $f: (A_{\bullet}, \partial) \to (B_{\bullet}, \partial)$  is a collection of homomorphisms  $f_n: A_n \to B_n$  such that  $\partial \circ f_n = f_{n+1} \circ \partial$ , that is the following diagram commutes.

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If X and Y are topological spaces and  $f: X \to Y$  is a continuous map define the homomorphisms

$$f_{\#}: C_{n}(X) \longrightarrow C_{n}(Y)$$
  
 $\sigma: \Delta^{n} \to X \longmapsto f \circ \sigma: \Delta^{n} \to Y$ ,

and extend it linearly to  $C_n(X)$ . Then

$$\left(f_{\#}\circ\partial\right)\left(\sigma\right)=f_{\#}\left(\sum_{i=0}^{n}\left(-1\right)^{i}\sigma|_{\left[v_{0},...,\widehat{v_{i}},...,v_{n}\right]}\right)=\sum_{i=0}^{n}\left.\left(f\circ\sigma\right)\right|_{\left[v_{0},...,\widehat{v_{i}},...,v_{n}\right]}=\left(\partial\circ f_{\#}\right)\left(\sigma\right),$$

so  $f_{\#} \circ \partial = \partial \circ f_{\#}$ , so  $f_{\#}$  defines a chain map

 $f_{\#}$  maps cycles to cycles, since  $\alpha \in C_n(X)$  such that  $\partial \circ \alpha = 0$ , so

$$(\partial \circ f_{\#})(\alpha) = (f_{\#} \circ \partial)(\alpha) = 0.$$

 $f_{\#}$  maps boundaries to boundaries, since

$$f_{\#} \circ (\partial \circ \beta) = \partial \circ (f_{\#} \circ \beta)$$
.

 $f_{\#}(\ker \partial_n) \subseteq \ker \partial_n$  and  $f_{\#}(\operatorname{im} \partial_{n+1}) \subseteq \operatorname{im} \partial_{n+1}$ , so  $f_{\#}$  induces a homomorphism

$$f_*: H_n(X) \to H_n(Y)$$
.

The following are observations.

•  $X \xrightarrow{g} Y \xrightarrow{f} Z$ , so  $(f \circ g)_{\#} = f_{\#} \circ g_{\#}$ , since

$$\Delta^n \xrightarrow{\sigma} X \xrightarrow{g} Y \xrightarrow{f} Z$$
,

so 
$$f \circ (g \circ \sigma) = (f \circ g) \circ \sigma$$
, so  $(f \circ g)_* = f_* \circ g_*$ .

•  $(\mathrm{id}_X)_* = \mathrm{id}_{\mathrm{H}_n(X)}$ .

**Theorem 2.6.** If two continuous maps  $f, g: X \to Y$  are homotopic, then

$$f_* = q_* : H_n(X) \to H_n(Y)$$
.

Corollary 2.7. If  $f: X \to Y$  is a homotopy equivalence, then

$$f_*: \mathrm{H}_n\left(X\right) \to \mathrm{H}_n\left(Y\right)$$

is an isomorphism.

*Proof.* Let  $g: Y \to X$  be a continuous map such that  $f \circ g \cong \operatorname{id}_Y$  and  $g \circ f = \operatorname{id}_X$ . Then  $f_* \circ g_* = (f \circ g)_* = (\operatorname{id}_Y)_* = \operatorname{id}$ . Similarly  $g_* \circ f_* = \operatorname{id}$ , so  $f_*$  is an isomorphism.

Example.

$$H_n\left(\mathbb{R}^k\right) = \begin{cases} \mathbb{Z} & n = 0\\ 0 & \text{otherwise} \end{cases}, \qquad \widetilde{H_n}\left(\mathbb{R}^k\right) = 0.$$

Proof of Theorem 2.6. Let  $F: X \times I \to Y$  be a homotopy from f to g and  $\sigma: \Delta_n \to X$  be a singular n-simplex. Consider the map

$$\Delta^n \times I \xrightarrow{\sigma \times I} X \times I \xrightarrow{F} Y.$$

Then  $\Delta^n \times I$  is not a simplex. But we can subdivide  $\Delta^n \times I$  into (n+1) simplices. In general, we can decompose  $\Delta^n \times I$  into n+1 distinct (n+1)-simplices

$$[v_0,\ldots,v_i,w_i,\ldots,w_n], \qquad i=0,\ldots,n.$$

Define **prism-operators** 

$$\begin{array}{cccc} P & : & \mathcal{C}_{n}\left(X\right) & \longrightarrow & \mathcal{C}_{n+1}\left(Y\right) \\ & \sigma & \longmapsto & \sum_{i=0}^{n}\left(-1\right)^{i}F\circ\left(\sigma\times\mathrm{id}\right)|_{\left[v_{0},\ldots,v_{i},w_{i},\ldots,w_{n}\right]} \end{array},$$

for  $\sigma: \Delta^n \to X$  a singular *n*-simplex, so

$$\dots \xrightarrow{\partial} C_{n+1}(X) \xrightarrow{\partial} C_n(X) \xrightarrow{\partial} C_{n-1}(X) \xrightarrow{\partial} \dots$$

$$g_{\#} \downarrow f_{\#} \qquad P \qquad \qquad P$$

Claim that

$$\partial \circ P = g_{\#} - f_{\#} - P \circ \partial,$$

if and only if  $g_{\#} - f_{\#} = \partial \circ P + P \circ \partial$ . The claim implies the theorem, since if  $\alpha \in C_n(X)$  is a cycle, then

$$g_{\#}(\alpha) - f_{\#}(\alpha) = (\partial \circ P)(\alpha) + (P \circ \partial)(\alpha) = (\partial \circ P)(\alpha),$$

so  $g_{\#}(\alpha) - f_{\#}(\alpha)$  is a boundary. Thus  $g_{\#}(\alpha)$  and  $f_{\#}(\alpha)$  are in the same homology class, so  $g_{*}([\alpha]) = f_{*}([\alpha])$ , where  $[\alpha]$  is the homology class of  $\alpha$ . Let  $\sigma: \Delta^{n} \to X$  be a singular n-simplex. Then

$$(\partial \circ P)(\sigma) = \partial \left( \sum_{i=0}^{n} (-1)^{i} F \circ (\sigma \times id)|_{[v_{0},\dots,v_{i},w_{i},\dots,w_{n}]} \right)$$

$$= \sum_{j \leq i} (-1)^{i} (-1)^{j} F \circ (\sigma \times id)|_{[v_{0},\dots,\widehat{v_{j}},\dots,v_{i},w_{i},\dots,w_{n}]}$$

$$+ \sum_{j \geq i} (-1)^{i} (-1)^{j+1} F \circ (\sigma \times id)|_{[v_{0},\dots,v_{i},w_{i},\dots,\widehat{w_{j}},\dots,w_{n}]}.$$

If i = j the two sums cancel except for

$$F\circ \left(\sigma\times \mathrm{id}\right)|_{\left[\widehat{v_{0}},w_{0},\ldots,w_{n}\right]}=g\circ \sigma=g_{\#}\left(\sigma\right), \qquad -F\circ \left(\sigma\times \mathrm{id}\right)|_{\left[v_{0},\ldots,v_{n},\widehat{w_{n}}\right]}=-f\circ \sigma=-f_{\#}\left(\sigma\right).$$

The terms with  $i \neq j$  sum up to  $(P \circ \partial)(\sigma)$ , since we have

$$(P \circ \partial) (\sigma) = \sum_{j < i} (-1)^{i} (-1)^{j} F \circ (\sigma \times \mathrm{id})|_{[v_{0}, \dots, \widehat{v_{j}}, \dots, v_{i}, w_{i}, \dots, w_{n}]}$$
$$+ \sum_{j > i} (-1)^{i} (-1)^{j+1} F \circ (\sigma \times \mathrm{id})|_{[v_{0}, \dots, v_{i}, w_{i}, \dots, \widehat{w_{j}}, \dots, w_{n}]}.$$

**Remark.** One can show that there are also induced homomorphisms

$$f_*: \widetilde{\mathrm{H}_n}\left(X\right) \to \widetilde{\mathrm{H}_n}\left(Y\right)$$

invariant under homotopy. <sup>6</sup>

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<sup>&</sup>lt;sup>6</sup>Exercise

## 2.5 Exact sequences and excision

#### 2.5.1 Exact sequences

Let  $A \subseteq X$  be a subspace. What is the relationship between  $H_n(A)$ ,  $H_n(X)$ ,  $H_n(X/A)$ ?

**Definition.** A sequence of group homomorphisms of abelian groups

$$\dots \xrightarrow{\alpha_{n+1}} A_n \xrightarrow{\alpha_n} \dots$$

is **exact** at  $A_n$  if ker  $\alpha_n = \operatorname{im} \alpha_{n+1}$ . The sequence is **exact** if it is exact at  $A_n$  for all n.

An observation is if the sequence is exact, then

- $\alpha_n \alpha_{n+1} = 0$ , so exact sequences are chain complexes, and
- the homology groups of this chain complex are all trivial.

#### Example.

- $0 \to A \xrightarrow{\alpha} B$  is exact if and only if  $\ker \alpha = 0$ , if and only if  $\alpha$  is injective.
- $A \xrightarrow{\alpha} B \to 0$  is exact if and only if im  $\alpha = B$ , if and only if  $\alpha$  is surjective.
- $0 \to A \xrightarrow{\alpha} B \to 0$  is exact if and only if  $\alpha$  is an isomorphism.
- $0 \to A \xrightarrow{\alpha} B \xrightarrow{\beta} C \to 0$  is exact if and only if  $\alpha$  is injective,  $\beta$  is surjective, and  $\ker \beta = \operatorname{im} \alpha$ , hence  $\beta$  induces an isomorphism

$$C \cong B/\operatorname{im} \alpha = B/A$$
.

This is called a **short exact sequence**.

**Definition.** Let X be a topological space and  $A \subseteq X$ . Then A is a **strong deformation retract** of X if there exists a retraction  $r: X \to A$  such that r is homotopic to the identity, and  $F: I \times X \to X$  continuous such that

$$F(0,x) = x$$
,  $F(1,x) = r(x)$ ,  $F(t,a) = a$ ,  $x \in X$ ,  $a \in A$ ,  $t \in I$ .

Let X be a topological space and  $A \subseteq X$  a non-empty closed subspace. Then (X, A) is called a **good pair** if A has a neighbourhood in X that strongly deformation retracts to A.

#### Example.

- $(D^n, S^{n-1})$  is a good pair, since  $S^{n-1}$  is a deformation retract of  $D^n \setminus \{0\}$ .
- Let  $A = \{1/n \mid n \in \mathbb{N}\} \cup \{0\} \subseteq [0, 1]$  then ([0, 1], A) is not a good pair.

**Theorem 2.8.** Let (X, A) be a good pair, then there is an exact sequence

$$\cdots \to \widetilde{\mathrm{H}_{1}}\left(A\right) \xrightarrow{i_{*}} \widetilde{\mathrm{H}_{1}}\left(X\right) \xrightarrow{j_{*}} \widetilde{\mathrm{H}_{1}}\left(X/A\right) \xrightarrow{\partial} \widetilde{\mathrm{H}_{0}}\left(A\right) \xrightarrow{i_{*}} \widetilde{\mathrm{H}_{0}}\left(X\right) \xrightarrow{j_{*}} \widetilde{\mathrm{H}_{0}}\left(X/A\right) \to 0,$$

where  $i: A \hookrightarrow X$  is the inclusion and  $j: X \to X/A$  is the quotient.

#### Corollary 2.9.

$$\widetilde{\mathbf{H}_{i}}\left(\mathbf{S}^{n}\right) = \begin{cases} \mathbb{Z} & i = n \\ 0 & i \neq n \end{cases}.$$

*Proof.*  $(D^n, S^{n-1})$  is a good pair. Let n > 0. Recall that  $D^n/S^{n-1} \cong S^n$ , so

$$\dots \longrightarrow \widetilde{\mathbf{H}_{i}} \left( \mathbf{S}^{n-1} \right) \xrightarrow{i_{*}} \widetilde{\mathbf{H}_{i}} \left( \mathbf{D}^{n} \right) \xrightarrow{j_{*}} \widetilde{\mathbf{H}_{i}} \left( \mathbf{S}^{n} \right) \xrightarrow{\partial} \widetilde{\mathbf{H}_{i-1}} \left( \mathbf{S}^{n-1} \right) \xrightarrow{i_{*}} \widetilde{\mathbf{H}_{i-1}} \left( \mathbf{D}^{n} \right) \xrightarrow{j_{*}} \widetilde{\mathbf{H}_{i-1}} \left( \mathbf{S}^{n} \right) \longrightarrow \dots$$

Then  $\widetilde{\mathrm{H}_{i}}\left(\mathrm{S}^{n}\right)\cong\widetilde{\mathrm{H}_{i-1}}\left(\mathrm{S}^{n-1}\right)$  for i>0, so

$$\dots \to \widetilde{\mathrm{H}_{1}}\left(\mathbf{S}^{n-1}\right) \overset{i_{*}}{\to} \widetilde{\mathrm{H}_{1}}\left(\mathbf{D}^{n}\right) \overset{j_{*}}{\to} \widetilde{\mathrm{H}_{1}}\left(\mathbf{S}^{n}\right) \overset{\partial}{\to} \widetilde{\mathrm{H}_{0}}\left(\mathbf{S}^{n-1}\right) \overset{i_{*}}{\to} \widetilde{\mathrm{H}_{0}}\left(\mathbf{D}^{n}\right) \overset{j_{*}}{\to} \widetilde{\mathrm{H}_{0}}\left(\mathbf{S}^{n}\right) \to 0$$

n > 0 and i > 0, so  $\widetilde{H_i}(S^n) \cong \widetilde{H_{i-1}}(S^{n-1})$ , and  $\widetilde{H_0}(S^n) = 0$ . We know that  $\widetilde{H_0}(S^0) \cong \mathbb{Z}$  and  $\widetilde{H_n}(S^0) = 0$ , by Proposition 2.3 and Proposition 2.5. Doing induction on n,

$$\widetilde{\mathbf{H}}_{i}\left(\mathbf{S}^{n}\right) = \begin{cases} \mathbb{Z} & i = n \\ 0 & i \neq n \end{cases}.$$

Corollary 2.10. There exists no retraction  $r: \mathbb{D}^n \to \partial \mathbb{D}^n$ .

*Proof.* Assume there exists such an  $r: \mathbb{D}^n \to \partial \mathbb{D}^n$ . Let  $i: \partial \mathbb{D}^n \to \mathbb{D}^n$ . Then  $ri = \mathrm{id}_{\partial \mathbb{D}^n}$ , so  $r_*i_* = (ri)_* = \mathrm{id}$ , so

$$\begin{array}{cccc} \widetilde{\mathbf{H}_{n-1}} \left( \partial \mathbf{D}^{n} \right) & \xrightarrow{i_{*}} & \widetilde{\mathbf{H}_{n-1}} \left( \mathbf{D}^{n} \right) & \xrightarrow{r_{*}} & \widetilde{\mathbf{H}_{n-1}} \left( \partial \mathbf{D}^{n} \right) \\ \mathbb{R} & & \mathbb{R} & & \mathbb{R} \\ \mathbb{Z} & & 0 & \mathbb{Z} & & \end{array}.$$

Thus  $i_* = 0$  and  $r_* = 0$ , a contradiction.

**Theorem 2.11** (Brouwer fixed point theorem). Every continuous map  $f: \mathbb{D}^n \to \mathbb{D}^n$  has a fixed point.

*Proof.* Assume there exists a fixed point then construct as in dimension two a retraction  $D^n \to \partial D^n$ , a contradiction to Corollary 2.10.

#### 2.5.2 Relative homology groups

Let X be a topological space and  $A \subseteq X$  be a subspace. Define

$$C_n(X, A) = C_n(X) / C_n(A)$$
.

Let  $\partial: \mathrm{C}_n(X) \to \mathrm{C}_{n-1}(X)$  be the boundary map then  $\partial(\sigma: \Delta^n \to A) \in \partial(\mathrm{C}_n(A)) \subseteq \mathrm{C}_{n-1}(A)$ . So  $\partial$  induces a homomorphism

$$\partial: C_n(X,A) \to C_{n-1}(X,A)$$
,

such that  $\partial \circ \partial = 0$ . This gives a chain complex

$$\cdots \to C_{n+1}(X,A) \xrightarrow{\partial} C_n(X,A) \xrightarrow{\partial} C_{n-1}(X,A) \to \cdots$$

- The homology groups  $H_n(X, A)$  of this complex are the **relative homology groups**.
- The relative *n*-chains are  $C_n(X, A)$ .
- The **relative** *n*-cycles are  $\ker \partial \subseteq C_n(X, A)$ , of the form  $[\alpha]$  for  $\alpha \in C_n(X)$  such that  $\partial(\alpha) \in C_{n-1}(A)$ .
- The **relative** *n*-boundaries are im  $\partial \subseteq C_n(X, A)$ , of the form  $[\alpha]$  for  $\alpha \in C_n(X)$  such that  $\alpha = \partial \beta + \gamma$  for  $\beta \in C_{n+1}(X)$  and  $\gamma \in C_n(A)$ .

## A short exact sequence of chain complexes is

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$$0 \to (A_{\bullet}, \partial) \xrightarrow{i} (B_{\bullet}, \partial) \xrightarrow{j} (C_{\bullet}, \partial) \to 0,$$

for i and j chain maps, where

$$0 \to A_n \xrightarrow{i} B_n \xrightarrow{j} C_n \to 0$$

is a short exact sequence for all n, so

A short exact sequence of chain complexes always yields a long exact sequence of homology groups

$$\cdots \to \operatorname{H}_{n}(A) \xrightarrow{i_{*}} \operatorname{H}_{n}(B) \xrightarrow{j_{*}} \operatorname{H}_{n}(C) \xrightarrow{\partial} \operatorname{H}_{n-1}(A) \xrightarrow{i_{*}} \operatorname{H}_{n-1}(B) \xrightarrow{j_{*}} \operatorname{H}_{n-1}(C) \to \cdots$$

This is the **zig-zag lemma**. First we construct the **connecting map**  $\partial: H_n(C) \to H_{n-1}(A)$ . Let  $c \in C_n$  be a cycle.

- j is surjective, so c = j(b) for some  $b \in B_n$ .
- $j(\partial(b)) = \partial(j(b)) = \partial c = 0$ , so  $\partial b \in \ker j \subseteq B_{n-1}$ , so  $\partial(b) = i(a)$  for some  $a \in A_{n-1}$ , by exactness.
- $\partial(a) = 0$ , since  $i(\partial(a)) = \partial(i(a)) = \partial(\partial(b)) = 0$  and i is injective, so  $\partial(a) = 0$ .

$$a \in A_{n-1}$$

$$\downarrow i$$

$$b \in B_n \xrightarrow{\partial} \in \partial (b) \in B_{n-1} \cdot$$

$$\downarrow j$$

$$c \in C_n$$

Define

$$\begin{array}{cccc} \partial & : & \mathcal{H}_n\left(C\right) & \longrightarrow & \mathcal{H}_{n-1}\left(A\right) \\ & & [c] & \longmapsto & [a] \end{array}.$$

This is well-defined.

- a is uniquely determined by  $\partial(b)$  because i is injective.
- If we choose b' instead of b, then j(b') = j(b), so j(b' b) = j(b') j(b) = 0, so  $b' b \in \ker j = \operatorname{im} i$ , hence b' b = i(a') for some  $a' \in A_n$ , so b' = b + i(a'). If we replace b by b' = b + i(a') this corresponds to replacing a by  $a + \partial(a')$ , because

$$i(a + \partial(a')) = i(a) + i(\partial(a')) = \partial(b) + \partial(i(a')) = \partial(b + i(a')),$$

and  $[a] = [a + \partial (a')].$ 

• A different choice of c in its homology class has the form  $c + \partial(c')$  for some  $c' \in C_{n+1}$ . Let  $b' \in B_{n+1}$  such that j(b') = c'. Then

$$c + \partial(c') = c + \partial(i(b')) = i(b) + i(\partial(b')) = i(b + \partial(b')),$$

so b is replaced by  $b + \partial(b')$  but  $\partial(b) = \partial(b + \partial b')$ , so  $\partial(b)$  is unchanged and hence a is unchanged.

The map  $\partial: H_n(C) \to H_{n-1}(A)$  is a homomorphism, since if  $\partial([c_1]) = [a_1]$  and  $\partial([c_2]) = [a_2]$  via elements  $b_1$  and  $b_2$  in  $B_n$ , then

$$j(b_1 + b_2) = j(b_1) + j(b_2) = c_1 + c_2,$$
  $i(a_1 + a_2) = i(a_1) + i(a_2) = \partial(b_1) + \partial(b_2) = \partial(b_1 + b_2),$  so  $\partial([c_1] + [c_2]) = [a_1] + [a_2].$ 

Theorem 2.12. The sequence

$$\cdots \to \operatorname{H}_{n}(A) \xrightarrow{i_{*}} \operatorname{H}_{n}(B) \xrightarrow{j_{*}} \operatorname{H}_{n}(C) \xrightarrow{\partial} \operatorname{H}_{n-1}(A) \xrightarrow{i_{*}} \operatorname{H}_{n-1}(B) \xrightarrow{j_{*}} \operatorname{H}_{n-1}(C) \to \cdots$$

is exact.

Proof. Diagram chase, see Hatcher.

Let i be the inclusion and j be the quotient.

$$\begin{array}{cccc}
0 & 0 & \downarrow \\
\downarrow & \downarrow & \downarrow \\
\dots & \xrightarrow{\partial} & C_n(A) & \xrightarrow{\partial} & C_{n-1}(A) & \xrightarrow{\partial} & \dots \\
\downarrow i & & \downarrow i & \downarrow i \\
\dots & \xrightarrow{\partial} & C_n(X) & \xrightarrow{\partial} & C_{n-1}(X) & \xrightarrow{\partial} & \dots \\
\downarrow j & & \downarrow j & \downarrow j \\
\dots & \xrightarrow{\partial} & C_n(X,A) & \xrightarrow{\partial} & C_{n-1}(X,A) & \xrightarrow{\partial} & \dots \\
\downarrow 0 & & 0 & & 0
\end{array}$$

This diagram commutes, so this is a short exact sequence of chain complexes. Zig-zag gives a long exact sequence of homology groups

$$\cdots \rightarrow \operatorname{H}_{1}(A) \xrightarrow{i_{*}} \operatorname{H}_{1}(X) \xrightarrow{j_{*}} \operatorname{H}_{1}(X,A) \xrightarrow{\partial} \operatorname{H}_{0}(A) \xrightarrow{i_{*}} \operatorname{H}_{0}(X) \xrightarrow{j_{*}} \operatorname{H}_{0}(X,A) \rightarrow 0.$$

What is  $\partial: H_n(X, A) \to H_{n-1}(A)$ ? If  $[a] \in H_n(X, A)$  is represented by a cycle  $\alpha \in C_n(X)$ , then  $\partial([\alpha])$  is the class of the cycle  $\partial(\alpha)$ , so  $\partial([\alpha]) = [\partial(\alpha)]$ . We also obtain a short exact sequence of the augmented chain complex

so if  $A \neq \emptyset$ , then  $\widetilde{\mathrm{H}_n}(X,A) = \mathrm{H}_n(X,A)$  for all n. We also have a long exact sequence

$$\cdots \rightarrow \widetilde{\operatorname{H}_{n}}(A) \rightarrow \widetilde{\operatorname{H}_{n}}(X) \rightarrow \widetilde{\operatorname{H}_{n}}(X,A) \rightarrow \widetilde{\operatorname{H}_{n-1}}(A) \rightarrow \widetilde{\operatorname{H}_{n-1}}(X) \rightarrow \widetilde{\operatorname{H}_{n-1}}(X,A) \rightarrow \cdots$$

An observation is if  $x_0 \in X$  then  $H_n(X, x_0) \cong \widetilde{H_n}(X)$  for all n. Another observation is that a continuous map  $f: X \to Y$  such that  $f(A) \subseteq B$  induces a chain map

$$f_{\#}: \mathcal{C}_n(X,A) \to \mathcal{C}_n(Y,B)$$
.

since  $f_{\#}: \mathcal{C}_n(X) \to \mathcal{C}_n(Y)$  maps  $\mathcal{C}_n(A)$  to  $\mathcal{C}_n(B)$  so it is well-defined on the quotient, and hence homomorphisms

$$f_*: H_n(X,A) \to H_n(Y,B)$$
.

This is functorial, so  $(f \circ g)_* = f_* \circ g_*$ .

**Definition.** A homotopy between two maps

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$$f,g:(X,A)\to(Y,B)$$

is a continuous map  $F: I \times X \to Y$  such that

$$F(0,x) = f(x)$$
,  $F(1,x) = g(x)$ ,  $F(s,a) \in B$ ,  $x \in X$ ,  $s \in I$ ,  $a \in A$ .

### Proposition 2.13. If

$$f,g:(X,A)\to (Y,B)$$

are homotopic, then

$$f_* = g_* : H_n(X, A) \to H_n(Y, B)$$
.

*Proof.* Analogous to proof of Theorem 2.6. Prism operator  $P: C_n(X) \to C_{n+1}(Y)$  maps  $C_n(A)$  to  $C_n(B)$  so it induces a map

$$P': C_n(X)/C_n(A) \to C_{n+1}(Y)/C_{n+1}(B)$$
,

and 
$$\partial P' + P' \partial = g_{\#} - f_{\#}$$
, so  $f_* = g_*$ .

Let (X, A, B) be a triple for X a topological space and  $B \subset A \subset X$ , so

$$(A,B) \to (X,B) \to (X,A)$$
.

There is a short exact sequence of chain complexes

$$0 \longrightarrow C_{n}(A, B) \longrightarrow C_{n}(X, B) \longrightarrow C_{n}(X, A) \longrightarrow 0$$

$$C_{n}(A)/C_{n}(B) \qquad C_{n}(X)/C_{n}(B) \qquad C_{n}(X)/C_{n}(A)$$

so there is a long exact sequence

$$\cdots \rightarrow \operatorname{H}_{n}(A,B) \rightarrow \operatorname{H}_{n}(X,B) \rightarrow \operatorname{H}_{n}(X,A) \rightarrow \operatorname{H}_{n-1}(A,B) \rightarrow \operatorname{H}_{n-1}(X,B) \rightarrow \operatorname{H}_{n-1}(X,A) \rightarrow \cdots$$

### 2.5.3 Excision

**Theorem 2.14** (Excision). Let X be a topological space and  $Z \subset A \subset X$  be subspaces such that the closure  $\overline{Z}$  of Z is contained in the interior  $\mathring{A}$  of A. Then the inclusion

$$(X \setminus Z, A \setminus Z) \hookrightarrow (X, A)$$

 $induces\ isomorphisms$ 

$$H_n(X \setminus Z, A \setminus Z) \xrightarrow{\sim} H_n(X, A)$$
,

for all n. Equivalently, let  $A, B \subseteq X$  such that  $\mathring{A} \cup \mathring{B} = X$ . Then the inclusion

$$(B, A \cap B) \hookrightarrow (X, A)$$

 $induces\ isomorphisms$ 

$$H_n(B, A \cap B) \xrightarrow{\sim} H_n(X, A)$$
,

for all n.

Why equivalent? Set  $B = X \setminus Z$  and  $Z = X \setminus B$ . Then  $A \cap B = A \setminus Z$  and  $\overline{Z} = X \setminus \mathring{B}$ . Then  $\overline{Z} \subseteq \mathring{A}$  if and only if  $X = \mathring{A} \cup \mathring{B}$ .

**Proposition 2.15.** Let (X, A) be a good pair. Then the quotient map

$$q:(X,A)\to (X/A,A/A)$$

 $induces\ isomorphisms$ 

$$q_*: \operatorname{H}_n(X, A) \xrightarrow{\sim} \operatorname{H}_n(X/A, A/A) \cong \widetilde{\operatorname{H}_n}(X/A),$$

for all n.

*Proof.* Let  $V \subseteq X$  be a neighbourhood of A that strongly deformation retracts to A. Then (V, A) is homotopy equivalent to (A, A), so

$$H_n(V, A) \cong H_n(A, A) = 0.$$

The triple (X, V, A) where  $A \subset V \subset X$  induces a long exact sequence

$$\dots \longrightarrow \operatorname{H}_{n}(V, A) \longrightarrow \operatorname{H}_{n}(X, A) \longrightarrow \operatorname{H}_{n}(X, V) \longrightarrow \operatorname{H}_{n-1}(V, A) \longrightarrow \dots$$

$$0 , \qquad 0 , \qquad 0$$

so

$$H_n(X, A) \cong H_n(X, V)$$
.

The same with the triple (X/A, V/A, A/A), so again

$$H_n(V/A, A/A) \cong H_n(A/A, A/A) = 0.$$

This gives a long exact sequence

$$H_n(X/A, A/A) \cong H_n(X/A, V/A)$$
.

Consider the diagram

- This diagram commutes.
- $q: X \to X/A$  induces a homeomorphism  $X \setminus A \to X/A \setminus A/A$ , so j is an isomorphism.
- $\alpha$  and  $\beta$  are isomorphisms by the excision theorem.

Thus

$$q_*: H_n(X, A) \to H_n(X/A, A/A)$$

is an isomorphism.

Proof of Theorem 2.8. Long exact sequence of pair (X, A) with reduced homology

$$\cdots \to \widetilde{\mathrm{H}_{n}}\left(A\right) \to \widetilde{\mathrm{H}_{n}}\left(X\right) \to \widetilde{\mathrm{H}_{n}}\left(X,A\right) \to \widetilde{\mathrm{H}_{n-1}}\left(A\right) \to \widetilde{\mathrm{H}_{n-1}}\left(X\right) \to \widetilde{\mathrm{H}_{n-1}}\left(X,A\right) \to \ldots,$$

so

$$\widetilde{H_n}(X, A) = H_n(X, A) \cong \widetilde{H_n}(X/A)$$
,

by last time.

**Corollary 2.16.** Let  $\{X_{\alpha}\}$  for  $\alpha \in A$  be a collection of topological spaces and  $x_{\alpha} \in X_{\alpha}$  such that  $(X_{\alpha}, x_{\alpha})$  is a good pair, for all  $\alpha \in A$ . Let  $\bigvee_{\alpha} X_{\alpha}$  be the wedge sum with respect to the points  $x_{\alpha}$ . Then there is an isomorphism

$$\widetilde{\mathrm{H}_{n}}\left(\bigsqcup_{\alpha}X_{\alpha}\right)\cong\bigoplus_{\alpha}\widetilde{\mathrm{H}_{n}}\left(X_{\alpha}\right)\stackrel{\sim}{\longrightarrow}\widetilde{\mathrm{H}_{n}}\left(\bigvee_{\alpha}X_{\alpha}\right).$$

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*Proof.*  $(X,A) = (\bigsqcup_{\alpha} X_{\alpha}, \bigsqcup_{\alpha} \{x_{\alpha}\})$  is a good pair, so Proposition 2.15 implies that

$$\operatorname{H}_{n}(X,A) \cong \operatorname{H}_{n}\left(\bigvee_{\alpha} X_{\alpha}, \bigsqcup_{\alpha} \{x_{\alpha}\} / \bigsqcup_{\alpha} \{x_{\alpha}\}\right) \cong \widetilde{\operatorname{H}_{n}}\left(\bigvee_{\alpha} X_{\alpha}\right),$$

and

$$H_n(X, A) \cong \bigoplus_{\alpha} H_n(X_{\alpha}, x_{\alpha}) \cong \bigoplus_{\alpha} \widetilde{H_n}(X_{\alpha}).$$

Example.

$$\widetilde{\mathbf{H}_n}\left(\mathbf{S}^1 \vee \mathbf{S}^1\right) \cong \widetilde{\mathbf{H}_n}\left(\mathbf{S}^1\right) \oplus \widetilde{\mathbf{H}_n}\left(\mathbf{S}^1\right) \cong \begin{cases} 0 & n=0\\ \mathbb{Z} \oplus \mathbb{Z} & n=1\\ 0 & n \geq 2 \end{cases}$$

$$\widetilde{\mathbf{H}_{n}}\left(\mathbf{S}^{1}\vee\mathbf{S}^{1}\vee\mathbf{S}^{2}\right)\cong\widetilde{\mathbf{H}_{n}}\left(\mathbf{S}^{1}\right)\oplus\widetilde{\mathbf{H}_{n}}\left(\mathbf{S}^{1}\right)\oplus\widetilde{\mathbf{H}_{n}}\left(\mathbf{S}^{2}\right)\cong\begin{cases}0&n=0\\\mathbb{Z}\oplus\mathbb{Z}&n=1\\\mathbb{Z}&n=2\\0&n\geq3\end{cases}.$$

Recall that

$$\mathbf{H}_{n}^{\Delta}\left(\mathbf{S}^{1}\times\mathbf{S}^{1}\right) = \begin{cases} \mathbb{Z} & n=0\\ \mathbb{Z}\oplus\mathbb{Z} & n=1\\ \mathbb{Z} & n=2\\ 0 & n>3 \end{cases}.$$

We will see that singular and simplicial homology coincide in Appendix A.2, so  $S^1 \vee S^1 \vee S^2$  and  $S^1 \times S^1$  have isomorphic homology groups, but they are not homotopy equivalent.

**Theorem 2.17** (Invariance of dimension). Let  $U \subseteq \mathbb{R}^m$  and  $V \subseteq \mathbb{R}^n$  be open, non-empty. If U and V are homeomorphic, then m = n.

*Proof.* For  $x \in U$  set  $A = \mathbb{R}^m \setminus \{x\}$  and B = U. Excision implies that

$$H_k(U, U \setminus \{x\}) \cong H_k(\mathbb{R}^m, \mathbb{R}^m \setminus \{x\})$$
.

Long exact sequence of a pair implies that

$$\dots \longrightarrow \widetilde{\mathrm{H}_{k}} \left( \mathbb{R}^{m} \right) \longrightarrow \widetilde{\mathrm{H}_{k}} \left( \mathbb{R}^{m}, \mathbb{R}^{m} \setminus \{x\} \right) \longrightarrow \widetilde{\mathrm{H}_{k-1}} \left( \mathbb{R}^{m} \setminus \{x\} \right) \longrightarrow \widetilde{\mathrm{H}_{k-1}} \left( \mathbb{R}^{m} \right) \longrightarrow \dots ,$$

so  $H_k(\mathbb{R}^m, \mathbb{R}^m \setminus \{x\}) \cong \widetilde{H_{k-1}}(\mathbb{R}^m \setminus \{x\})$ . Then  $\mathbb{R}^m \setminus \{x\}$  deformation retracts to  $S^{m-1}$ , so

$$\mathrm{H}_{k}\left(U,U\setminus\left\{ x\right\} \right)=egin{cases} \mathbb{Z}&k=m\\ 0&\mathrm{otherwise} \end{cases}.$$

Similarly

$$\mathbf{H}_{k}\left(V,V\setminus\left\{ x\right\} \right)=\begin{cases} \mathbb{Z}&k=n\\ 0&\text{otherwise}\end{cases}.$$

Let  $h:U\to V$  be a homeomorphism then this induces isomorphisms

$$h_*: H_k(U, U \setminus \{x\}) \to H_k(V, V \setminus \{h(x)\}),$$

for all k, so m = n.

### 2.5.4 Naturality

Proposition 2.18 (Naturality of connecting homomorphisms). Let

$$(A_{\bullet}, \partial), (B_{\bullet}, \partial), (C_{\bullet}, \partial), (A'_{\bullet}, \partial), (B'_{\bullet}, \partial), (C'_{\bullet}, \partial)$$

be chain complexes. Consider a commutative diagram of chain maps

$$0 \longrightarrow A_{\bullet} \xrightarrow{i} B_{\bullet} \xrightarrow{j} C_{\bullet} \longrightarrow 0$$

$$\downarrow^{\alpha} \qquad \downarrow^{\beta} \qquad \downarrow^{\gamma} \qquad ,$$

$$0 \longrightarrow A'_{\bullet} \xrightarrow{i'} B'_{\bullet} \xrightarrow{j'} C'_{\bullet} \longrightarrow 0$$

where the rows are short exact sequences. Then the induced diagram

$$\dots \longrightarrow \operatorname{H}_{n}(A) \xrightarrow{i_{*}} \operatorname{H}_{n}(B) \xrightarrow{j_{*}} \operatorname{H}_{n}(C) \xrightarrow{\partial} \operatorname{H}_{n-1}(A) \xrightarrow{i_{*}} \operatorname{H}_{n-1}(B) \xrightarrow{j_{*}} \operatorname{H}_{n-1}(C) \longrightarrow \dots$$

$$\downarrow^{\alpha_{*}} \qquad \downarrow^{\beta_{*}} \qquad \downarrow^{\gamma_{*}} \qquad \downarrow^{\alpha_{*}} \qquad \downarrow^{\beta_{*}} \qquad \downarrow^{\gamma_{*}}$$

$$\dots \longrightarrow \operatorname{H}_{n}(A') \xrightarrow{i'_{*}} \operatorname{H}_{n}(B') \xrightarrow{j'_{*}} \operatorname{H}_{n}(C') \xrightarrow{\partial} \operatorname{H}_{n-1}(A') \xrightarrow{i'_{*}} \operatorname{H}_{n-1}(B') \xrightarrow{j'_{*}} \operatorname{H}_{n-1}(C') \longrightarrow \dots$$

is commutative.

*Proof.* The first two squares commute by functoriality.

$$\begin{array}{cccc} \partial & : & \mathcal{H}_n\left(C\right) & \longrightarrow & \mathcal{H}_{n-1}\left(A\right) \\ & & [c] & \longmapsto & [a] \end{array},$$

SO

$$a \in A_{n-1}$$

$$\downarrow i$$

$$b \in B_n \xrightarrow{\partial} \in \partial(b) \in B_{n-1} :$$

$$\downarrow j$$

$$c \in C_n$$

Then  $\gamma(c) = \gamma(j(b)) = j'(\beta(b))$  and  $i'(\alpha(a)) = \beta(i(a)) = \beta(\partial(b)) = \partial(\beta(b))$ , so

and 
$$i'(\alpha(a)) = \beta(i(a)) = \beta(\partial(b)) = \partial(a)$$

$$\alpha(a) \in A'_{n-1}$$

$$\downarrow i'$$

$$\beta(b) \in B'_n \xrightarrow{\partial} \in \partial(\beta(b)) \in B'_{n-1},$$

$$\downarrow j'$$

$$\gamma(c) \in C'_n$$

so  $\partial [\gamma(c)] = [\alpha(a)]$  and hence  $\partial (\gamma_*[c]) = \alpha_*[a] = \alpha_*(\partial [c])$ .

## 2.6 Mayer-Vietoris sequences

### 2.6.1 The Mayer-Vietoris sequence

The main ingredient of the proof of the excision theorem is **barycentric subdivision**. Let X be a topological space and  $\mathcal{U} = \{U_i\}$  be a collection of subspaces whose interiors form an open cover of X. Define  $C_n^{\mathcal{U}} \subseteq C_n(X)$  as the subgroup of all chains of the form  $\sum_i n_i \sigma_i$  such that the image of  $\sigma_i$  is contained in some  $U_j \in \mathcal{U}$ . Then  $\partial : C_n(X) \to C_{n-1}(X)$  satisfies  $\partial (C_n^{\mathcal{U}}(X)) \subseteq C_{n-1}^{\mathcal{U}}(X)$  so the  $C_n^{\mathcal{U}}(X)$  define a chain complex. Let  $H_n^{\mathcal{U}}(X)$  be the homology groups with respect to this chain complex.

**Proposition 2.19.** The inclusion  $i: C_n^{\mathcal{U}}(X) \hookrightarrow C_n(X)$  induces isomorphisms  $H_n^{\mathcal{U}}(X) \cong H_n(X)$  for all n. *Proof.* Hatcher page 119.

**Notation.** If  $\mathcal{U} = \{A, B\}$  we write  $C_n(A + B)$  instead of  $C_n^{\mathcal{U}}(X)$ .

**Theorem 2.20** (Mayer-Vietoris sequence). Let X be a topological space,  $A, B \subseteq X$  such that  $\mathring{A} \cup \mathring{B} = X$ , and

$$i_1: A \cap B \hookrightarrow A, \qquad i_2: A \cap B \hookrightarrow B, \qquad j_1: A \hookrightarrow X, \qquad j_2: B \hookrightarrow X$$

be inclusions. Then there is an exact sequence

$$\cdots \to \operatorname{H}_{1}\left(A \cap B\right) \xrightarrow{\Phi} \operatorname{H}_{1}\left(A\right) \oplus \operatorname{H}_{1}\left(B\right) \xrightarrow{\Psi} \operatorname{H}_{1}\left(X\right) \xrightarrow{\partial} \operatorname{H}_{0}\left(A \cap B\right) \xrightarrow{\Phi} \operatorname{H}_{0}\left(A\right) \oplus \operatorname{H}_{0}\left(B\right) \xrightarrow{\Psi} \operatorname{H}_{0}\left(X\right) \to 0,$$

where  $\Phi(x) = (i_{1*}(x), -i_{2*}(x)), \ \Psi(x,y) = j_{1*}(x) + j_{2*}(y), \ and \ \partial$  is the connecting homomorphism.

*Proof.* Let a sequence of chain complexes be

$$0 \to C_n(A \cap B) \xrightarrow{\phi} C_n(A) \oplus C_n(B) \xrightarrow{\psi} C_n(A + B) \to 0,$$

where  $\phi(x) = (x, -x)$  and  $\psi(x, y) = x + y$ .

- $\phi$  is injective.
- $\operatorname{im} \phi \subseteq \ker \psi$ .
- If  $(x,y) \in \ker \psi$ , then y = -x, and  $x \in C_n(A)$  and  $y \in C_n(B)$ , so  $x \in C_n(A \cap B)$ , so  $\ker \psi \subseteq \operatorname{im} \phi$ .
- $\psi$  is surjective by the definition of  $C_n(A+B)$ .

So this is a short exact sequence of chain complexes. This induces a long exact sequence of homology groups

$$\dots \to \operatorname{H}_{1}\left(A \cap B\right) \stackrel{\Phi}{\to} \operatorname{H}_{1}\left(A\right) \oplus \operatorname{H}_{1}\left(B\right) \stackrel{\Psi}{\to} \operatorname{H}_{1}^{A+B}\left(X\right) \stackrel{\partial}{\to} \operatorname{H}_{0}\left(A \cap B\right) \stackrel{\Phi}{\to} \operatorname{H}_{0}\left(A\right) \oplus \operatorname{H}_{0}\left(B\right) \stackrel{\Psi}{\to} \operatorname{H}_{0}^{A+B}\left(X\right) \to 0 \\ \operatorname{H}_{1}\left(X\right) \qquad \qquad \operatorname{H}_{0}\left(X\right)$$

by barycentric division.

If  $A \cap B \neq \emptyset$  we can augment these chain complexes and obtain a short exact sequence between these augmented chain complexes

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$$\begin{array}{ccccc}
\vdots & & \vdots & & \vdots \\
\downarrow & & \downarrow & & \downarrow \\
0 & \longrightarrow & C_0 (A \cap B) & \xrightarrow{\phi} & C_0 (A) \oplus C_0 (B) & \xrightarrow{\psi} & C_0 (A+B) & \longrightarrow 0
\end{array}$$

$$\begin{array}{ccccc}
\downarrow^{\epsilon} & & \downarrow^{\epsilon} & & \downarrow^{\epsilon} \\
0 & \longrightarrow & \mathbb{Z} & \longrightarrow & \mathbb{Z} \oplus \mathbb{Z} & \longrightarrow & \mathbb{Z} & \longrightarrow & 0
\end{array}$$

This induces a long exact sequence of homology groups

$$\cdots \to \widetilde{\mathrm{H_{1}}}\left(A \cap B\right) \xrightarrow{\Phi} \widetilde{\mathrm{H_{1}}}\left(A\right) \oplus \widetilde{\mathrm{H_{1}}}\left(B\right) \xrightarrow{\Psi} \widetilde{\mathrm{H_{1}}}\left(X\right) \xrightarrow{\partial} \widetilde{\mathrm{H_{0}}}\left(A \cap B\right) \xrightarrow{\Phi} \widetilde{\mathrm{H_{0}}}\left(A\right) \oplus \widetilde{\mathrm{H_{0}}}\left(B\right) \xrightarrow{\Psi} \widetilde{\mathrm{H_{0}}}\left(X\right) \to 0$$

This is the Mayer-Vietoris sequence for reduced homology groups.

**Note.** This is the same as in the non-reduced case, but we need to assume that  $A \cap B \neq \emptyset$ .

An observation is that if  $A \cap B$  is path-connected, then  $\widetilde{H_0}(A \cap B) = 0$ , so we have an exact sequence

$$\dots \longrightarrow \widetilde{\mathrm{H}_{1}}\left(A \cap B\right) \stackrel{\Phi}{\longrightarrow} \widetilde{\mathrm{H}_{1}}\left(A\right) \oplus \widetilde{\mathrm{H}_{1}}\left(B\right) \stackrel{\Psi}{\longrightarrow} \widetilde{\mathrm{H}_{1}}\left(X\right) \stackrel{\partial}{\longrightarrow} \widetilde{\mathrm{H}_{0}}\left(A \cap B\right) \longrightarrow \dots$$

Thus

$$H_1(X) \cong H_1(A) \oplus H_1(B) / \Phi(H_1(A \cap B))$$
.

This is the abelianised version of the theorem of Seifert-van Kampen.

**Example.** Let  $X = S^n \subseteq \mathbb{R}^{n+1}$  and let  $x \in S^n$ . Define  $A = S^n \setminus \{x\}$  and  $B = S^n \setminus \{-x\}$ . Then A and B are contractible, so  $\widetilde{H_n}(A) = \widetilde{H_n}(B) = 0$  for all n, and  $A \cap B$  deformation retracts to  $S^{n-1}$ . Mayer-Vietoris implies that

$$\dots \longrightarrow \widetilde{\mathbf{H}_{i}} (A) \oplus \widetilde{\mathbf{H}_{i}} (B) \longrightarrow \widetilde{\mathbf{H}_{i}} (X) \longrightarrow \widetilde{\mathbf{H}_{i-1}} (A \cap B) \longrightarrow \widetilde{\mathbf{H}_{i-1}} (A) \oplus \widetilde{\mathbf{H}_{i-1}} (B) \longrightarrow \dots$$

$$0 \qquad \qquad \widetilde{\mathbf{H}_{i-1}} (\mathbf{S}^{n-1}) \qquad \qquad 0$$

so  $\widetilde{\mathrm{H}_{i}}\left(\mathrm{S}^{n}\right)\cong\widetilde{\mathrm{H}_{i-1}}\left(\mathrm{S}^{n-1}\right)$  for  $n\geq1$ . We know  $\widetilde{\mathrm{H}_{0}}\left(\mathrm{S}^{0}\right)\cong\mathbb{Z}$  and  $\widetilde{\mathrm{H}_{0}}\left(\mathrm{S}^{n}\right)=0$  for  $n\geq1$ , so induction and knowledge on  $\mathrm{H}_{n}\left(\mathrm{S}^{0}\right)$  implies that

$$\widetilde{\mathbf{H}_k}\left(\mathbf{S}^n\right) = \begin{cases} \mathbb{Z} & k = n\\ 0 & \text{otherwise} \end{cases}.$$

**Example.** Let  $U, V \subseteq \mathbb{R}^n$  be two path-connected open subsets such that  $U \cup V = \mathbb{R}^n$ . Then  $U \cap V$  is path-connected as well. It is enough to show that  $H_0(U \cap V) \cong \mathbb{Z}$ , if and only if  $\widetilde{H_0}(U \cap V) = 0$ . Then  $U \cap V \neq \emptyset$  because  $\mathbb{R}^n$  is connected, and U and V are open, so  $\mathring{U} = U$  and  $\mathring{V} = V$ , so  $\mathring{U} \cup \mathring{V} = \mathbb{R}^n$ . Mayer-Vietoris long exact sequence for reduced homology groups implies that

$$\dots \longrightarrow \widetilde{\mathrm{H}_{1}} \left( \mathbb{R}^{n} \right) \longrightarrow \widetilde{\mathrm{H}_{0}} \left( U \cap V \right) \longrightarrow \widetilde{\mathrm{H}_{0}} \left( U \right) \oplus \widetilde{\mathrm{H}_{0}} \left( V \right) \longrightarrow \widetilde{\mathrm{H}_{0}} \left( \mathbb{R}^{n} \right) \longrightarrow 0 \\ 0 \qquad \qquad 0 \\ ,$$

since  $\mathbb{R}^n$  is contractible, so  $\widetilde{\mathrm{H}_k}\left(\mathbb{R}^n\right)=0$  for all k, and  $\widetilde{\mathrm{H}_0}\left(U\right)=\widetilde{\mathrm{H}_0}\left(V\right)=0$ , because U and V are path-connected. Thus  $\widetilde{\mathrm{H}_0}\left(U\cap V\right)=0$ .

### 2.6.2 Classical applications

**Definition.** Let X and Y be topological spaces. A continuous map  $\phi: X \to Y$  is an **embedding** if it is a homeomorphism to its image.

**Example.** If X is compact and Y is Hausdorff, and  $\phi: X \to Y$  is a continuous and injective map, then  $\phi$  is an embedding, since  $\phi: X \to \phi(X)$  is continuous and bijective and  $\phi(X)$  is Hausdorff, so worksheet 1 implies that  $\phi$  is a homeomorphism  $X \to \phi(X)$ .

### Proposition 2.21.

- 1. Let  $h: D^k \to S^n$  be an embedding, then  $\widetilde{H_i}\left(S^n \setminus h\left(D^k\right)\right) = 0$  for all i.
- 2. Let  $h: S^k \to S^n$  be an embedding, with k < n, then

$$\widetilde{\mathbf{H}_{i}}\left(\mathbf{S}^{n}\setminus h\left(\mathbf{S}^{k}\right)\right) = \begin{cases} \mathbb{Z} & i=n-k-1\\ 0 & otherwise \end{cases}.$$

 $\textbf{Corollary 2.22.} \ \textit{Let} \ h: S^1 \rightarrow S^2 \ \textit{be an embedding. Then} \ S^2 \setminus h\left(S^1\right) \ \textit{consists of exactly two path-components}.$ 

*Proof.* 
$$\widetilde{H_0}\left(S^2 \setminus h\left(S^1\right)\right) \cong \mathbb{Z}$$
 by Proposition 2.21.

**Corollary 2.23** (Jordan curve theorem). Let  $h: S^1 \to \mathbb{R}^2$  be an embedding. Then  $\mathbb{R}^2 \setminus h\left(S^1\right)$  consists of exactly two path-components.

*Proof.* 
$$\mathbb{R}^2$$
 is homeomorphic to  $S^2 \setminus \{x\}$ , by stereographic projection.

Similarly,  $\mathbb{R}^n \setminus h(\mathbb{S}^{n-1})$  consists of exactly two path-components.

Proof of Proposition 2.21.

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#### 1. Induction on k.

$$k = 0$$
.  $S^n \setminus h(D^0) \cong \mathbb{R}^n$ , so  $\widetilde{H}_i(S^n \setminus h(D^n)) = 0$  for all  $n$ .

 $k-1\mapsto k$ . Let  $h: \mathbf{D}^k\to \mathbf{S}^n$  be an embedding. Replace  $\mathbf{D}^k$  by  $\mathbf{I}^k$ . For a contradiction, assume there is a cycle  $\alpha$  in  $\mathbf{S}^n\setminus h\left(\mathbf{I}^k\right)$  that is not a boundary in  $\mathbf{S}^n\setminus h\left(\mathbf{I}^k\right)$ . Claim that there is a nested sequence of intervals

$$[0,1] = I_0 \supseteq I_1 \supseteq \dots,$$

such that  $I_i$  is of length  $\frac{1}{2^i}$  and such that  $\alpha$  is a cycle in  $S^n \setminus h\left(I^{k-1} \times I_i\right)$  but not a boundary in  $S^n \setminus h\left(I^{k-1} \times I_i\right)$ . Let  $A = S^n \setminus h\left(I^{k-1} \times \left[0, \frac{1}{2}\right]\right)$  and  $B = S^n \setminus h\left(I^{k-1} \times \left[\frac{1}{2}, 1\right]\right)$ , so  $A \cap B = S^n \setminus h\left(I^k\right)$  and  $A \cup B = S^n \setminus h\left(I^{k-1} \times \left\{\frac{1}{2}\right\}\right)$ . Induction hypothesis implies that  $\widetilde{H}_j\left(A \cup B\right) = 0$  for all j. Mayer-Vietoris implies that

$$\ldots \to \widetilde{\mathbf{H}_{j+1}} (A \cup B) \to \widetilde{\mathbf{H}_{j}} (A \cap B) \xrightarrow{\sim} \widetilde{\mathbf{H}_{j}} (A) \oplus \widetilde{\mathbf{H}_{j}} (B) \to \widetilde{\mathbf{H}_{j}} (A \cup B) \to \ldots,$$

SO

$$\widetilde{\mathbf{H}_{j}}\left(\mathbf{S}^{n}\setminus h\left(\mathbf{I}^{k}\right)\right)\cong\widetilde{\mathbf{H}_{j}}\left(\mathbf{S}^{n}\setminus h\left(\mathbf{I}^{k-1}\times\left[0,\frac{1}{2}\right]\right)\right)\oplus\widetilde{\mathbf{H}_{j}}\left(\mathbf{S}^{n}\setminus h\left(\mathbf{I}^{k-1}\times\left[\frac{1}{2},1\right]\right)\right).$$

Hence  $\alpha$  is a cycle but not a boundary in  $S^n \setminus h\left(I^{k-1} \times \left[0, \frac{1}{2}\right]\right)$  or  $S^n \setminus h\left(I^{k-1} \times \left[\frac{1}{2}, 1\right]\right)$ . This gives us  $I_1$ . Iterating, this proves the claim. By induction,  $\alpha$  is a boundary of some cycle  $\beta$  in  $S^n \setminus h\left(I^{k-1} \times \{x\}\right)$  for any  $x \in I$ , so in particular, for  $\{x\} = \bigcap_i I_i$ . Then  $\beta = \sum_i n_i \sigma_i$  is a sum of finitely many singular simplices. The images of the  $\sigma_i$  are compact. But  $S^n \setminus h\left(I^{k-1} \times I_i\right)$  form an open cover of  $S^n \setminus h\left(I^{k-1} \times \{x\}\right)$ . So, by compactness,  $\beta$  is a chain in  $S^n \setminus h\left(I^{k-1} \times I_i\right)$  for some i. Thus  $\alpha$  is a boundary in  $S^n \setminus h\left(I^{k-1} \times I_i\right)$ , a contradiction.

## 2. Induction on k.

$$k = 0$$
.  $S^n \setminus h(S^0) \cong S^{n-1} \times \mathbb{R}$ , so

$$\widetilde{\mathbf{H}_{i}}\left(\mathbf{S}^{n}\setminus h\left(\mathbf{S}^{0}\right)\right)\cong\begin{cases}\mathbb{Z} & i=n-k-1\\0 & \text{otherwise}\end{cases}$$
.

 $k-1 \mapsto k$ . Let  $h: S^k \to S^n$  be an embedding and  $S^k = D_+^k \cup D_-^k$ . Let  $A = S^n \setminus h\left(D_+^k\right)$  and  $B = S^n \setminus h\left(D_-^k\right)$ , so 1 implies that  $\widetilde{H}_i(A) = 0$  and  $\widetilde{H}_i(B) = 0$  for all i, and  $A \cap B = S^n \setminus h\left(S^k\right)$  and  $A \cup B = S^n \setminus h\left(S^{k-1}\right)$ . Mayer-Vietoris implies that

$$\ldots \to \widetilde{\mathrm{H}_{i+1}}(A) \oplus \widetilde{\mathrm{H}_{i+1}}(B) \to \widetilde{\mathrm{H}_{i}}(A \cup B) \stackrel{\sim}{\to} \widetilde{\mathrm{H}_{i+1}}(A \cap B) \to \widetilde{\mathrm{H}_{i}}(A) \oplus \widetilde{\mathrm{H}_{i}}(B) \to \ldots ,$$

by 1, so

$$\widetilde{\mathbf{H}_{i+1}}\left(\mathbf{S}^{n}\setminus h\left(\mathbf{S}^{k-1}\right)\right)\cong \widetilde{\mathbf{H}_{i}}\left(\mathbf{S}^{n}\setminus h\left(\mathbf{S}^{k}\right)\right)\cong \begin{cases} \mathbb{Z} & i+1=n-(k-1)-1\\ 0 & \text{otherwise} \end{cases},$$

by induction.

Lecture 29 is a problems class.

Lecture 29 Tuesday 19/03/19

# 2.7 Degree

Let  $n \geq 1$ . We have seen that  $H_n(S^n) \cong \langle a \rangle \cong \mathbb{Z}$ . Let  $f: S^n \to S^n$  be a continuous map, so  $f_*: H_n(S^n) \to H_n(S^n)$  is a homomorphism. Then  $f_*$  is given by  $f_*(\alpha) = d\alpha$  for some  $d \in \mathbb{Z}$  depending only on f. This integer is the **degree** of f.

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Proposition 2.24. The following are observations.

- 1.  $\deg \operatorname{id}_{S^n} = 1$ .
- 2. If f is not surjective, then deg f = 0.
- 3. If  $f \cong g$ , then  $f_* = g_*$ , so  $\deg f = \deg g$ .
- 4.  $\deg fg = \deg f \deg g$ . In particular, if f is a homotopy equivalence, then  $\deg f = \pm 1$ .
- 5. Let

$$R_i: S^n \longrightarrow S^n$$
  
 $(x_1, \dots, x_i, \dots, x_{n+1}) \longmapsto (x_1, \dots, -x_i, \dots, x_{n+1})$ 

be the reflection map. Then  $\deg R_i = -1$ .

6. The antipodal map

$$\begin{array}{ccccc} -\operatorname{id}_{\mathbf{S}^n} & : & \mathbf{S}^n & \longrightarrow & \mathbf{S}^n \\ & x & \longmapsto & -x \end{array}$$

has degree  $(-1)^{n+1}$ .

7. If  $f: S^n \to S^n$  has no fixed points, then  $\deg f = (-1)^{n+1}$ .

Hopf implies that if deg  $f = \deg g$  then  $f \cong g$ .

Proof. 1 and 3 are clear.

2. Let  $x_0 \in S^n \setminus f(S^n)$ . So f factors as  $f = i \circ f'$ , where

$$S^n \xrightarrow{f'} S^n \setminus \{x_0\} \xrightarrow{i} S^n$$

 $H_n(S^n \setminus \{x_0\}) = 0$  since  $S^n \setminus \{x_0\}$  is contractible, so  $f_* = i_* \circ f'_* = 0$ .

4.  $(fg)_* = f_*g_*$ , and there exists  $g: S^n \to S^n$  such that  $fg \cong id_{S^n}$ , so

$$\deg f \deg g = \deg fg = \deg \mathrm{id}_{\mathbf{S}^n} = 1.$$

5. It is enough to show it for i = 1. Induction on n.

n = 1.  $R_1(x_1, x_2) = (-x_1, x_2)$ . Then  $\omega : t \mapsto (\cos 2\pi t, \sin 2\pi t)$  implies that  $R_1([\omega]) = -[\omega]$ , so  $\deg R_1 = -1$ .

 $n-1\mapsto n$ . Claim that there is an isomorphism  $\phi: H_n(S^n) \xrightarrow{\sim} H_{n-1}(S^{n-1})$  such that

$$\begin{array}{ccc}
H_{n}\left(\mathbf{S}^{n}\right) & \stackrel{\phi}{\longrightarrow} & H_{n-1}\left(\mathbf{S}^{n-1}\right) \\
\downarrow_{R_{1*}} & & \downarrow_{R_{1*}} \\
H_{n}\left(\mathbf{S}^{n}\right) & \stackrel{\phi}{\longrightarrow} & H_{n-1}\left(\mathbf{S}^{n-1}\right)
\end{array}$$

commutes. Let

$$N = (0, \dots, 0, 1), \qquad S = (0, \dots, 0, -1), \qquad U = S^n \setminus \{N\}, \qquad V = S^n \setminus \{S\},$$

so  $R_1(U) = U$  and  $R_1(V) = V$ . There is a commutative diagram of chain maps

$$0 \longrightarrow C_{\bullet}(U \cap V) \longrightarrow C_{\bullet}(U) \oplus C_{\bullet}(V) \longrightarrow C_{\bullet}(U+V) \longrightarrow 0$$

$$\downarrow^{R_{1\#}} \qquad \qquad \downarrow^{R_{1\#} \oplus R_{1\#}} \qquad \downarrow^{R_{1\#}} \qquad .$$

$$0 \longrightarrow C_{\bullet}(U \cap V) \longrightarrow C_{\bullet}(U) \oplus C_{\bullet}(V) \longrightarrow C_{\bullet}(U+V) \longrightarrow 0$$

This induces a commutative diagram

$$\begin{split} & \mathbf{H}_{n}\left(\mathbf{S}^{n}\right) \stackrel{\partial}{\longrightarrow} \mathbf{H}_{n-1}\left(U \cap V\right) \xleftarrow{i_{*}} & \mathbf{H}_{n-1}\left(\mathbf{S}^{n-1}\right) \\ & \downarrow_{R_{1*}} & \downarrow_{R_{1*}} & \downarrow_{R_{1*}} \\ & \mathbf{H}_{n}\left(\mathbf{S}^{n}\right) \stackrel{\partial}{\longrightarrow} \mathbf{H}_{n-1}\left(U \cap V\right) \xleftarrow{i_{*}} & \mathbf{H}_{n-1}\left(\mathbf{S}^{n-1}\right) \end{split}$$

where

$$i: \mathbf{S}^{n-1} \longrightarrow U \cap V$$
  
 $(x_1, \dots, x_n) \longmapsto (x_1, \dots, x_n, 0)$ 

is a homotopy equivalence. Then  $i_*$  is an isomorphism because i is a homotopy equivalence and  $\partial$  is an isomorphism as seen last week. The first square commutes by naturality and the second square commutes by functoriality.

6.  $-id_{S^n} = R_1 \dots R_{n+1}$ , so

$$\deg - \mathrm{id}_{S^n} = \deg R_1 \dots \deg R_{n+1} = (-1)^{n+1}$$
.

7. If  $f(x) \neq x$  for all  $x \in S^n$ , then the line segment from f(x) to -x defined by

$$t \mapsto (1-t) f(x) - tx$$

does not pass through the origin. Define

$$f_t(x) = \frac{(1-t) f(x) - tx}{|(1-t) f(x) - tx|},$$

so  $f_t$  is a homotopy from f to  $-id_{S^n}$ . Thus

$$\deg f = \deg - \mathrm{id}_{S^n} = (-1)^{n+1}$$
.

**Proposition 2.25.** If n is even, then  $\mathbb{Z}/2\mathbb{Z}$  is the only non-trivial group that can act freely by homeomorphisms on  $S^n$ .

Proof. Let G be a group acting freely by homeomorphisms on  $S^n$ , so  $G \subseteq \operatorname{Homeo} S^n$ . So for  $f \in G$ ,  $\deg f = \pm 1$  by 4, and  $\deg fg = \deg f \deg g$  for all  $f, g \in G$  by 3, so the degree defines a homeomorphism  $d: G \to \{\pm 1\} \cong \mathbb{Z}/2\mathbb{Z}$ . The action is free, so if  $g \in G \setminus \{\operatorname{id}\}$ , then g has no fixed points, so 7 and g even implies that  $\deg g = (-1)^{n+1} = -1$ . Then  $\ker d = \{\operatorname{id}\}$ , so g is injective, so  $g = \{\operatorname{id}\}$  or  $g \cong \mathbb{Z}/2\mathbb{Z}$ .

**Definition.** A vector field on  $S^n$  is a continuous map  $v : S^n \to \mathbb{R}^{n+1}$  such that for each  $x \in S^n$ , v(x) is tangent to  $S^n$  at x, that is v(x) and x are orthogonal.

**Theorem 2.26** (Hairy ball theorem).  $S^n$  admits a continuous vector field  $v: S^n \to \mathbb{R}^{n+1}$  that is nowhere zero if and only if n is odd.

*Proof.* If  $v(x) \neq 0$  for all  $x \in S^n$ , let

$$v' : S^n \longrightarrow \mathbb{R}^{n-1}$$
 $x \longmapsto \frac{v(x)}{|v(x)|}$ .

Define

$$f_t(x) = \cos(t\pi) x + \sin(t\pi) v'(x).$$

Then  $f_t(x) \in S^n$  for all  $x \in S^n$  and for all  $t \in I$ , so  $f_t$  is a homotopy from  $id_{S^n}$  to  $-id_{S^n}$ , so

$$1 = \deg id_{S^n} = \deg - id_{S^n} = (-1)^{n+1}$$
.

Thus n is odd. Conversely, if n = 2k - 1,

$$v(x_1,\ldots,x_{2k})=(-x_2,x_1,\ldots,-x_{2k},x_{2k-1})$$

is a vector field on  $S^n$ .

## A Proofs

# A.1 The Seifert-van Kampen theorem

Proof of Theorem 1.21. Consider the natural homomorphism

$$\Phi: \pi_1(U_1, x_0) * \pi_1(U_2, x_0) \to \pi_1(X, x_0).$$

 $\Phi$  is surjective by Lemma 1.17, and  $N \subseteq \ker \Phi$ . Want to show that  $N = \ker \Phi$ . A **factorisation** of an element  $[f] \in \pi_1(X, x_0)$  is a formal product  $[f_1] \dots [f_k]$  such that

- each  $f_i$  is a loop at  $x_0$  in one of the  $U_i$  and  $[f_i] \in \pi_1(U_i, x_0)$  is its homotopy class, and
- the loop  $f_1 \cdot \cdots \cdot f_k$  is homotopic to f in X.

A factorisation of [f] is a word in  $\pi_1(U_1, x_0) * \pi_1(U_2, x_0)$  that is mapped to [f] by  $\Phi$ . Two factorisations of [f] are **equivalent** if they are related by finitely many of the following two moves.

- If  $[f_i]$  and  $[f_{i+1}]$  lie in the same group  $\pi_1(U_i, x_0)$ , exchange  $[f_i][f_{i+1}]$  with  $[f_i \cdot f_{i+1}]$ . These are the relations in  $\pi_1(U_i, x_0) * \pi_1(U_i, x_0)$ .
- If  $f_i$  is a loop in  $U_1 \cap U_2$ , consider  $[f_i]$  as an element in  $\pi_1(U_1, x_0)$  instead of  $\pi_1(U_2, x_0)$ , and vice versa. These are the relations in  $\pi_1(U_1, x_0) * \pi_1(U_2, x_0) / N$ .

Given  $[f] \in \pi_1(X, x_0)$ , we want to show that any two factorisations of [f] are equivalent. Let  $[f_1] \dots [f_k]$  and  $[f'_1] \dots [f'_l]$  be two factorisations of [f], so the two loops  $f_1 \dots f_k$  and  $f'_1 \dots f'_k$  are homotopic. Let  $F: I \times I \to X$  be a homotopy. By compactness, there exist

$$0 = s_0 < \dots < s_m = 1, \qquad 0 = t_0 < \dots < t_n = 1,$$

such that  $R_{i,j} = [s_{i-1}, s_i] \times [t_{j-1}, t_j]$  and  $F(R_{ij}) \subseteq U_1$  or  $F(R_{ij}) \subseteq U_2$ . May assume  $0 = s_0 < \cdots < s_m = 1$  subdivides the products  $f_1 \cdot \cdots \cdot f_k$  and  $f'_1 \cdot \cdots \cdot f'_k$ . Relabel the  $R_{ij}$  to  $R_1, \ldots, R_{mn}$ .

mn-m+1		mn
:	٠	:
1		m

A path  $\gamma$  in I × I from left to right gives a loop  $F|_{\gamma}$  in X at  $x_0$ . Let  $\gamma_r$  be the path separating the first r rectangles from the others, so

$$F|_{\gamma_0} \cong f_1 \cdot \dots \cdot f_k, \qquad F|_{\gamma_{mn}} = f'_1 \cdot \dots \cdot f'_l.$$

Let v be a grid point. Choose a path  $g_v$  in X from  $x_0$  to F(v), such that  $g_v$  is contained in  $U_1 \cap U_2$  if  $F(v) \in U_1 \cap U_2$  and in a single  $U_i$  otherwise. This gives us a factorisation of  $\left[F|_{\gamma_r}\right]$  into loops only contained in  $U_1$  or  $U_2$ . The factorisations associated to  $\gamma_r$  and  $\gamma_{r+1}$  are equivalent, because the homotopy between  $F|_{\gamma_r}$  and  $F|_{\gamma_{r+1}}$  by pushing  $\gamma_r$  through  $R_r$  takes place within a single  $U_i$ .

# A.2 The equivalence of simplicial and singular homology

Lemma A.1 (Five lemma). Consider the following diagram of abelian groups

$$\begin{array}{ccccc} A & \xrightarrow{i} & B & \xrightarrow{j} & C & \xrightarrow{k} & D & \xrightarrow{l} & E \\ \downarrow^{\alpha} & & \downarrow^{\beta} & & \downarrow^{\gamma} & & \downarrow_{\delta} & & \downarrow^{\epsilon} & . \\ A & \xrightarrow{i'} & B' & \xrightarrow{j'} & C' & \xrightarrow{k'} & D' & \xrightarrow{l'} & E' \end{array}$$

If the rows are exact and  $\alpha, \beta, \delta, \epsilon$  are isomorphisms, then  $\gamma$  is an isomorphism.

*Proof.* It is enough to show

- if  $\beta$  and  $\delta$  are surjective and  $\epsilon$  is injective, then  $\gamma$  is surjective, and
- if  $\beta$  and  $\delta$  are injective and  $\alpha$  is surjective, then  $\gamma$  is injective.

Let  $n \geq 1$ . Then

$$H_n(\Delta^n, \partial \Delta^n) \cong \widetilde{H_n}(\Delta^n/\partial \Delta^n) \cong \widetilde{H_n}(S^n) \cong \mathbb{Z},$$

and  $H_0(\Delta^0, \partial \Delta^0) \cong \mathbb{Z}$ .

**Lemma A.2.**  $H_n(\Delta^n, \partial \Delta^n)$  is generated by the class of the cycle  $i_n : \Delta^n \to \Delta^n$ .

*Proof.*  $i_n$  is a cycle. Induction on n.

n = 0. H<sub>0</sub> ( $\Delta^0, \emptyset$ ) is generated by  $[i_0]$ .

 $n-1\mapsto n$ . Let  $\Lambda\subseteq\partial\Delta^n$  be the union of all but one of the (n-1)-dimensional faces of  $\Delta^n$ . Then  $\Delta^n$  strongly deformation retracts to  $\Lambda$ , so

$$H_i(\Delta^n, \Lambda) = H_i(\Lambda, \Lambda) = 0.$$

Long exact sequence of the triple  $\Lambda \subseteq \partial \Delta^n \subseteq \Delta^n$  implies that

$$\dots \to \operatorname{H}_n\left(\Delta^n,\Lambda\right) \to \operatorname{H}_n\left(\Delta^n,\partial\Delta^n\right) \xrightarrow{\sim} \operatorname{H}_{n-1}\left(\partial\Delta^n,\Lambda\right) \to \operatorname{H}_{n-1}\left(\Delta^n,\Lambda\right) \to \dots \\ 0 \qquad \qquad 0 \qquad \qquad 0$$

Note that  $\partial \Delta^n/\Lambda$  is homeomorphic to  $\Delta^{n-1}/\partial \Delta^{n-1}$ , which are good pairs, so

$$\begin{split} \mathbf{H}_{n}\left(\Delta^{n},\partial\Delta^{n}\right) &\cong \mathbf{H}_{n-1}\left(\partial\Delta^{n},\Lambda\right) \cong \widetilde{\mathbf{H}_{n-1}}\left(\partial\Delta^{n}/\Lambda\right) \\ &\cong \widetilde{\mathbf{H}_{n-1}}\left(\Delta^{n-1}/\partial\Delta^{n-1}\right) \cong \mathbf{H}_{n-1}\left(\Delta^{n-1},\partial\Delta^{n-1}\right). \end{split}$$

One can check that  $[i_n]$  maps to  $[\pm i_{n-1}]$  along these isomorphisms, so induction implies that  $H_n(\Delta^n, \partial \Delta^n)$  is generated by  $[i_n]$ .

Let X be a topological space with a  $\Delta$ -complex structure, so there is a simplicial chain complex

$$\cdots \to \Delta_{n+1}(X) \to \Delta_n(X) \to \Delta_{n-1}(X) \to \cdots$$

Every simplicial chain complex can be viewed as a singular n-chain, so we obtain an inclusion of chain complexes  $\Delta_{\bullet}(X) \to C_{\bullet}(X)$ .

**Theorem A.3.** This inclusion of chain complexes induces an isomorphism  $H_n^{\Delta}(X) \xrightarrow{\sim} H_n(X)$  for all n.

*Proof.* We only consider the case where the  $\Delta$ -complex structure on X is finite-dimensional, that is  $\Delta_m(X) = 0$  for all m > k, and the maximal such k is dim X. Induction on k, the dimension.

$$k=0.$$
  $\mathrm{H}_{n}^{\Delta}\left(X\right)\cong\mathrm{H}_{n}\left(X\right)$  for X points.

 $k-1 \mapsto k$ . Let  $X^l$  be the l-skeleton of X consisting of all simplices of dimension at most l. Then  $\mathcal{H}_n^{\Delta}\left(X^k,X^{k-1}\right)$  are the homology groups of the chain complex

$$\cdots \to \Delta_{k+1}\left(X^{k}\right)/\Delta_{k+1}\left(X^{k-1}\right) \to \Delta_{k}\left(X^{k}\right)/\Delta_{k}\left(X^{k-1}\right) \to \Delta_{k-1}\left(X^{k}\right)/\Delta_{k-1}\left(X^{k-1}\right) \to \ldots,$$

so

$$H_n^{\Delta}\left(X^k, X^{k-1}\right) = \begin{cases} 0 & n \neq k \\ \text{free abelian group with basis the $k$-simplices of $X$} & n = k \end{cases}.$$

The short exact sequence of chain complexes

$$0 \to \Delta_n\left(X^{k-1}\right) \to \Delta_n\left(X^k\right) \to \Delta_n\left(X^k\right)/\Delta_n\left(X^{k-1}\right) \to 0$$

gives a long exact sequence

which commutes by naturality, where  $\beta$  and  $\epsilon$  are isomorphisms by induction. Consider the continuous map

$$\Phi: \bigsqcup_{\alpha} \left( \Delta_{\alpha}^{k}, \partial \Delta_{\alpha}^{k} \right) \to \left( X^{k}, X^{k-1} \right).$$

This induces an isomorphism

$$H_n(X^k, X^{k-1}) \cong H_n\left(\bigsqcup_{\alpha} \Delta_{\alpha}^k, \bigsqcup_{\alpha} \partial \Delta^k\right) = \bigoplus_{\alpha} H_n\left(\Delta_{\alpha}^k, \partial \Delta_{\alpha}^k\right),$$

which is the free abelian group on  $i_{n\alpha}: \Delta_{\alpha}^n \to \Delta_{\alpha}^n$  by Lemma A.2, so  $\alpha$  and  $\delta$  are isomorphisms. Thus five lemma implies that  $\gamma$  is an isomorphism.