

#### **Dublin City University School of Computing**

# APPLICATION FOR APPROVAL OF AN UNDERGRADUATE OR TAUGHT MASTERS PROJECT INVOLVING HUMAN PARTICIPANTS

#### Please read the following information carefully before completing and submitting your application.

- > Applications must be submitted via the project dashboard
- > Student applicants must include their supervisor as the Principal Investigator (PI). The form should be checked, approved and signed in digital form by the supervisor in advance of submission.
- > The application should consist of one electronic file only, in PDF format, with an electronic signature from the PI (the project supervisor) and yourselves, the students. The completed application must incorporate all supplementary documentation, especially those being given to the proposed participants.
- > All sections of the application form must be answered as instructed and within the word limits given.

Applications must be completed on the form; answers in the form of attachments will not be accepted, except where indicated. No hardcopy applications will be accepted. The project must not commence until approval has been received from the School Research Ethics Committee.

PROJECT TITLE	Echo Labs
PRINCIPAL INVESTIGATOR(S)	Monica Ward
The Principal Investigator is the project supervisor and s/he has primary responsibility for the project.	
START AND END DATE	1/10/20 – 7/05/2021
STUDENT NAME(S), COURSE AND	Munashe Chifungo & Fiona Tuite
YEAR (E.G. EC4)	CA, Year 4
LEVEL OF RISK	Notification only: YES
Please confirm that this project requires notification only	

4	LICTO	A TI\ / E		_
1	MSIR		DFTAIL	

1.1	WILL THE PRO	JECT BE UNDER	TAKEN ON-SITE A	T DUBLIN CITY	UNIVERSITY?
-----	--------------	---------------	-----------------	---------------	-------------

VILL	ΙП	ᆮ	ГГ	"
YES	or	N	0	
No				

If NO, state details of the off-campus location – provide details of the approval to gain access to that location in section 2.7.

Candidates will be able to test our software from home, due to the pandemic

#### **DECLARATION BY PRINCIPAL INVESTIGATOR / SUPERVISOR**

The information contained herein is, to the best of my knowledge and belief, accurate. I have read the University's current research ethics guidelines, and accept responsibility for the conduct of the procedures set out in the attached application in accordance with the form guidelines, the REC guidelines (https://www.dcu.ie/researchsupport/researchethics.shtml), the University's policy on Conflict of Interest, Code of Good Research Practice and any other condition laid down by the Dublin City University Research Ethics Committee. I have attempted to identify all risks related to the project that may arise in conducting this project and acknowledge my obligations and the rights of the participants.

If there exists any affiliation or financial interest for researcher(s) in this project or its outcomes or any other circumstances which might represent a perceived, potential or actual conflict of interest this should be declared in accordance with Dublin City University policy on Conflicts of Interest.

I and my co-investigators and/or supporting staff have the appropriate qualifications, experience and facilities to conduct the project set out in the attached application and to deal with any emergencies and contingencies related to the project that may arise. Supervisor(s) signature(s) are required as evidence that they have read and approve the submission.

#### Please note:

- 1. Any amendments to the original approved proposal must receive prior School Ethics Committee approval.
- 2. As a condition of approval investigators are required to document and report immediately to the School of Computing Ethics Committee any adverse events, any issues which might negatively impact on the conduct of the project and/or any complaint from a participant relating to their participation in the study

Electronic Signature(s):
Principal investigator / Supervisor: Monica Ward
Print Name(s) here: Monica Ward
Date: <u>29/03/2021</u>
I/We, the students on this proposal, have read and approve this submission
Student(s) signature(s): <u>Fiona Tuite, Munashe Lawrence Chifungo</u>
Print Name(s) here: Fiona Tuite, Munashe Lawrence Chifungo
Date: <u>29/03/2021</u>

# 2. PROJECT OUTLINE 2.1 LAY DESCRIPTION, AIMS & JUSTIFICATION, METHODOLOGY (up to 100 words) Please outline, in terms that any non-expert would understand, what your project is about, including what participants will be required to do. Please explain any technical terms or discipline-specific phrases. State the aims and significance of the project. The goal of our project is to connect students and lecturers in a computer lab like scenario. We intend to connect this bush wilding a walk application that allows are allowed as a property in the second to connect the second to

The goal of our project is to connect students and lecturers in a computer lab like scenario. We intend to accomplish this by building a web application that allows people to communicate over the internet using video calls and a chat system. Users should be able to accomplish lab tasks remotely.

Participants will be required to simulate a remote lab scenario. This would entail joining a virtual lab session, communicating using the chat and video call and completing simple tasks that appear in the lab.

#### 2.2 PARTICIPANT PROFILE

List and very briefly describe each participant group where applicable. For instance, participant group 1 will consist of..., participant group 2 will consist of... etc. Provide the number, age range and source of participants. Please provide a justification of your proposed sample size.

Participant group one will consist of computing students. There will be 5 participants age range 18+. Participants will be sourced from our peer group in college.

We believe that 5 students are sufficient, this way we will have a good spread of opinions on our project.

#### 2.3 PARTICIPANT RECRUITMENT

YES or NO

Please provide specific details as to how you will be recruiting participants. How will people be informed that you are doing this research? How will they be approached and asked if they are willing to participate? If you are mailing or phoning people, please explain how you have obtained their names and contact details. If a recruitment advertisement is to be used, please ensure you attach a copy to this application (Approx. 100 words).

As participants will be sourced from our peer group from college, we will simply be asking them from within our class group chat on Facebook messenger or our discord group

#### 2.41 IS IT LIKELY THAT ANY PARTICIPANTS COULD BE CONSIDERED POTENTIALLY VULNERABLE?

Are some or all participants vulnerable in any way? (e.g by virtue of the group they belong to, people who have undergone traumatic or adverse emotional events, people with diminished cognitive ability, power relations between students and participants etc.)?

<mark>participants</mark>			done w
THE IDENTIT	V OF THE DARTION ANTO		
YES or NO	Y OF THE PARTICIPANTS E	BE PROTECTED?	
Yes			
f NO, please explain v	<mark>/hv</mark>		

IF YOU ANSWERED YES TO 2.5, PLEASE ANSWER THE FOLLOWING QUESTION:

2.6 HOW WILL THE ANONYMITY OF THE PARTICIPANTS BE RESPECTED?

Please bear in mind that where the sample size is very small, it may be impossible to guarantee anonymity/confidentiality of participant identity. Participants involved in such projects need to be advised of this limitation in the Plain Language Statement/Information Sheet. If you intend to fully anonymize the data, please provide details

The user will need to use an email address to sign up/login to use this web app, however this data will be encrypted, and fake display names will also be used in order to protect the user's identity. Once the semester is over, all user information will be removed from our product.

#### 2.7 LEGAL LIMITATIONS TO DATA CONFIDENTIALITY

Participants need to be made aware that confidentiality of information provided cannot always be guaranteed by researchers and can only be protected within the limitations of the law - i.e., it is possible for data to be subject to subpoena, freedom of information claim or mandated reporting by some professions. This information should be included in your Plain Language Statement and Informed Consent Form. Depending on the project proposal and academic discipline, you may need to state additional specific limitations.

State how and where participants will be informed of these limitations

We will provide a Plain language statement and informed consent form detailing the legal limitation of confidentiality of information where users can read about the specifics of these limitations and

#### 2.8(a) EXPLAIN HOW PARTICIPANTS ARE TO BE RECRUITED

Please provide specific details as to how you will be recruiting participants. How will people be informed that you are doing this research? How will they be approached and asked if they are willing to participate? If you are e-mailing, mailing or phoning people, please explain how you have obtained their names and contact details. If a recruitment advertisement is to be used, please ensure you attach a copy to this application.

As participants will be sourced from our peer group from college, we will simply be asking them from within our class group chat on Facebook messenger or our discord group

#### 2.8(b) CHILD PARTICIPANTS (anyone under 18 years old)

If your participants include children, you must confirm that you are in compliance with the research specific guidelines as detailed in "Keeping Children Safe - Policies and Procedures supporting Child Protection at DCU" - available at: https://www4.dcu.ie/sites/default/files/policy/157%20-%20child protection handbook rev1%282%29%281%29.pdf

Please indicate your compliance with the following guidelines:	Mark here
We confirm that we have read and agree to act in accordance with the DCU Child Protection policy and procedures	
We confirm that we have put in place safeguards for the children participating in the project	
We confirm that we have supports in place for children who may disclose current or historical abuse (whether or not this is the focus of the project)	

# 2.9 PLEASE EXPLAIN WHEN, HOW, WHERE, AND TO WHOM RESULTS WILL BE DISSEMINATED, INCLUDING WHETHER PARTICIPANTS WILL BE PROVIDED WITH ANY INFORMATION AS TO THE FINDINGS OR OUTCOMES OF THE PROJECT?

Results will be detailed in a report at the completion of the project. They will be provided to the DCU School of Computing as part of our project assessment.

Participants will not be provided with the results of the testing.

2.10	ARE OTHER APPROVALS REQUIRED TO GAIN ACCESS TO ANOTHER LOCATION, ORGANISATION,
	SCHOOL FTC 2

YES or NO

If YES, please specify from whom and attach a copy of the approval documentation. If this is not yet available, please explain when this will be obtained.

#### 3. RISK AND RISK MANAGEMENT

#### 3.1 EXPLAIN AND JUSTIFY THE STATED LEVEL OF RISK TO PARTICIPANTS

You must provide a justification that the stated level of risk and its corresponding level of review is notification only and not Full Committee or Expedited, as indicated on the cover page of your application. Note that the level of risk may be influenced by the vulnerability of the research group, the methods employed and the nature of the project itself. For further information on risk levels, please refer to the Levels of Review information on the website: <a href="https://www.dcu.ie/researchsupport/researchethics.shtml">https://www.dcu.ie/researchsupport/researchethics.shtml</a>

There is no risk. Participants will be kept anonymous except to each other during video calls

#### 3.2 POTENTIAL RISKS TO PARTICIPANTS AND RISK MANAGEMENT PROCEDURES

Identify, as far as possible, all potential risks to participants (physical, psychological, social, legal, economic, etc.), associated with the proposed project. Will your project involve deception, investigation of participants involved in illegal activities, performance of any acts which might diminish the self-esteem of participants or cause them to experience embarrassment, regret or depression? Please explain what risk management procedures will be put in place to minimise these risks.

There are no risk elements to participating in our project testing.

3.3	ARE THERE LIKELY TO BE ANY BENEFITS (DIRECT OR INDIRECT) TO PARTICIPANTS FROM THIS
	RESEARCH?

\LUL	<u> </u>	CIII	
YES	or	NO	
No			

If YES, provide details

#### 3.4 ARE THERE ANY SPECIFIC RISKS TO YOURSELVES IN CARRYING OUT THIS PROJECT?

Examples include use of dangerous materials, asking certain types of questions, The project being undertaken in certain locations, researchers working alone in isolated areas, etc.

YES	or	NO	
No			

If YES, please describe and explain what risk management procedures will be put in place to minimise these risks

#### 3.5 DEALING WITH ADVERSE/UNEXPECTED OUTCOMES

Please describe what measures/protocols you have put in place in the event that there are any unexpected outcomes or adverse effects to participants arising from involvement in the project.

Testing will be done from the comfort of participants homes, as we are testing software there should be no unexpected outcomes

#### 3.6 SUPPORT FOR PARTICIPANTS

Depending on risks to participants you may need to consider having additional support for participants during/after the study. Consider whether your project would require additional support, e.g., external counselling available to participants. Please advise what support will be available.

No support is necessary

#### 3.7 HOW WILL THE CONDUCT OF THE PROJECT BE MONITORED?

	in recruiting or interviewing, administering procedures, etc.) to ensure that it conforms with the procedures set out in this application. In the case of student projects please give details of how the supervisor(s) will monitor the conduct of the project.
	The students will have regular meetings with the supervisor.
3.8	DO YOU PROPOSE TO OFFER PAYMENTS OR INCENTIVES TO PARTICIPANTS?  YES OF NO No
	If YES, please provide further details
3.9	DO ANY OF THE RESEARCHERS ON THIS PROJECT HAVE A PERSONAL, PHILOSOPHICAL, FINANCIAL, POLITICAL, IDEOLOGICAL, OR COMMERCIAL INTEREST IN ITS OUTCOME THAT MIGHT INFLUENCE THE INTEGRITY OF THE PROJECT OR BIAS THE CONDUCT OR REPORTING OF THE PROJECT, OR UNDULY DELAY OR OTHERWISE AFFECT THEIR PUBLICATION?
	No  If YES, please specify how this conflict of interest will be addressed
	If YES, please specify now this conflict of interest will be addressed

# 4. PERSONAL DATA - COMPLIANCE WITH THE GENERAL DATA PROTECTION REGULATION (GDPR) Applicant declaration:

0	I understand that the proposed project, as set out in this form, is to be carried out by me in my capacity as a student of Dublin City University.	YES or NO	Yes

#### What does "Personal Data" mean?

Personal data is any information about a living person, where that person is identified or could be identified, either from the data itself or when it is combined with other data.

Personal Data is defined in <u>Article 4(1) of the GDPR</u> and can include, but is not limited to the following: hard-copy information (e.g. files, records); electronic information (e.g. databases, online survey returns); written information; consent declarations, interview notes, still or moving images; audio & visual recordings; IP addresses; an individual's handwriting; clinical or medical data; diagnostic or other clinical imaging; etc.

Further information is available from the DCU Data Protection Unit

#### 4.1 ASSESSING DATA PROTECTION RISKS & REQUIREMENTS

#### Note 1: What does 'Minor' and 'Vulnerable Individual' mean?

A **minor** is defined as an individual below 18 years of age. Where the processing relates to 'electronic marketing' the age limit is reduced to 16 years. A **vulnerable individual** may be anyone who is unable to consent to, or oppose, the processing of his or her personal data for any reason. Both of these are of particular importance if the project compels the provision of data from individuals.

#### Note 2: What does 'large scale processing' mean?

The GDPR does not define what constitutes large-scale. EU guidance recommends that the following factors, in particular, be considered when determining whether the processing is carried out on a large scale:

- the number of data subjects (either as a specific number or proportion of the relevant population);
- the volume of data and/or the range of different data items being processed;
- the duration, or permanence, of the data processing activity; &
- the geographical extent of the processing activity.

Examples of large-scale processing include, but are not limited to:

- processing of patient data in the regular course of business by a hospital;
- processing of travel data of individuals using a public transport system (e.g. tracking via travel cards);
- processing of real time geo-location data of customers of an international fast food chain for statistical purposes by a processor specialised in these activities;
- processing of customer data in the regular course of business by an insurance company or a bank;
- processing of personal data for behavioural advertising by a search engine; &
- processing of data (content, traffic, location) by telephone or internet service providers.

Examples that do **not** constitute large-scale processing include, but are not limited to:

- processing of patient data by an individual physician; and
- processing of personal data relating to criminal convictions and offences by an individual lawyer.

A. Applicant Data Protection Assessment Questionnaire – Part I		I	
1	Does your project include living human subjects?	YES or NO	Yes
2	Does your project include the use of any information (i.e. 'Personal Data') relating to an identified, or identifiable, person?	YES or NO	Yes

3	Does your project include the use of identifiers such as: a name, an identification number, location data, an online identifier, or other similar identifiers?	YES or NO	No
4	Does your project include the use of Personal Data specific to the physical, physiological, genetic, mental, economic, cultural or social identity of any living individual?	YES or NO	No

If you answered 'Yes' to one or more of Questions 1-4 above, please continue to Part II below (otherwise proceed to the next section of this form). You should also consult with your Supervisor / Principal Investigator to ensure adequate Data Protection compliance measures are in place.

	B. Applicant Data Protection Assessment Questionnaire – Part II				
5(a)	Does your project include the use of Personal Data of individuals which reveals any of the attributes or characteristics below?	YES or NO	No		
	If 'Yes,' please indicate which will be used in your project (tick all that apply):				
	racial or ethnic origin	YES or NO			
	political opinions	YES or NO			
	religious or philosophical beliefs	YES or NO			
	trade union membership	YES or NO			
	genetic data	YES or NO			
	biometric data	YES or NO			
	data concerning health	YES or NO			
	data concerning a natural person's sex life or sexual orientation	YES or NO			
5(b)	Does your project include the use of Personal Data relating to minors or vulnerable individuals? (See <b>Note 1</b> , below)	YES or NO	No		
6	Does your project include the use of Personal Data of individuals relating to their criminal convictions and/or offences?	YES or NO	No		
7	Does your project include large-scale processing of personal data relating to living individuals?	YES or NO	No		
	This may include: a wide range or large volume of personal data; processing which takes place over a large geographical area; or where a large number of people are affected (e.g. over 100 individuals); or where the processing is extensive or has long-lasting effects. (See <b>Note 2</b> , below)				
8	Does your project include any form of automated processing of personal data, used to evaluate certain personal aspects relating to a living individual?	YES or NO	Yes		
	In particular, to analyse or predict aspects concerning that person's performance at work, economic situation, health, personal preferences, interests, reliability, behaviour, location or movements				
9	Does your project include any partners which are third parties outside of DCU?  e.g. Research partners, third party software providers or other providers such as translation or transcription services, etc.	YES or NO	No		

10 (a)	the EU or the ÉEA?		No
	i.e. the EEA is the European Economic Area (the EU plus Norway, Liechtenstein and Iceland)		
10 (b)	If 'Yes', please state which non-EU or EEA country is involved:		
11	Does the project require the matching or combining of separate datasets of information on individuals in a way that would exceed their reasonable expectations of privacy?	YES or NO	No
	An example would be combining mobile phone location data along with any other dataset to identify individuals.		

If you answered 'Yes' to one or more of these questions, you should make sure that you have strong and secure data privacy risk mitigation safeguards in place, discuss these with your supervisor.

## 4.2 WILL ANONYMISATION OR PSEUDONYMISATION OF THE PERSONAL DATA, WHERE APPLICABLE, BE UNDERTAKEN?

Anonymisation is the process of removing personal identifiers, both direct and indirect, that may lead to an individual being identified. Pseudonymisation is the processing of personal data in such a manner that the personal data can no longer be attributed to a specific living individual without the use of additional information, provided that such additional information is kept separately and is subject to technical and organisational measures to ensure its security.

YES	or	NO
Yes	•	

If YES, please explain below the methods by which you intend to anonymise/pseudonymise the personal data:

Users will be provided with a fake display name.

#### 5. DATA/SAMPLE STORAGE, SECURITY AND DISPOSAL

For the purpose of this section the term 'Data' includes personal data that is in a raw or a processed state (e.g. interview audiotape, transcript or analysis, etc.). The term 'Samples' include body fluids and/or tissue samples.

#### 5.1 HOW AND WHERE WILL THE DATA/SAMPLES BE STORED?

DCU recommends that any data stored electronically offsite should utilise the DCU Google Drive. Alternative offsite storage will need to be justified and must meet data protection and GDPR compliance requirements.

Data will be stored using DCU Google drive.

#### 5.2 WHO WILL HAVE ACCESS TO DATA/SAMPLES?

If people other than the main researchers have access, please name who they are and explain for what purpose.

Only the researchers and the project supervisor

#### 5.3 HOW LONG IS THE DATA TO BE HELD OR RETAINED?

Note that, with very few exceptions, **Personal Data** may not be retained indefinitely. It is up to the project team to establish an upper retention limit for each category of Personal Data used within the project and to ensure it is applied at the expiry of that limit. The School of Computing Research Ethics Committee recommends that Personal Data is retained until after the resit Pab for the current academic year.

Data will be held until the end of the School of Computing expo in May 2021

# 5.4 IF YOUR PROJECT DOES INVOLVE THE USE OF PERSONAL DATA THEN WILL THIS BE USED AT A LATER DATE FOR THE PURPOSE OF PUBLICATION OF THE RESULTS OF THE PROJECT?



Where it is intended that the personal data used in the project will be used at a later date for the purposes of publication please explain how consent to do so will be obtained.

# 5.5 IF THE DATA/SAMPLES ARE TO BE DISPOSED OF AT THE END OF THE PROJECT PLEASE EXPLAIN HOW, WHEN AND BY WHOM THIS WILL BE DONE?

Note that simply deleting files is not sufficiently secure. The additional steps to be taken to maintain data security should be given. **Personal data** must be disposed of in a safe and secure manner at the end of its retention period. If the data is stored in (a) a paper-based format, then shredding or disposal via a secure bin is recommended; or (b) in an electronic-based format, then deletion of the record or the full anonymization of the data is recommended. If data/samples are **not** being disposed of, please justify that intention.

How will the data/samples be disposed of?	
Please describe the means by which the personal data will be deleted or destroyed. This includes personal data held in hard copy and digital formats.	All data will be deleted manually from DCU Google Drive.
By whom will the data/samples be disposed?	
Please indicate the designated team member(s) with responsibility for deletion and/or destruction of the research project's personal data.	It will be disposed of by the researchers

#### 6. PLAIN LANGUAGE STATEMENT (Attach to this document. Up to a max of 400 words)

A Plain Language Statement (PLS) should be used in all cases. This is written information in plain language that you will be providing to participants, outlining the nature of their involvement in the project and inviting their participation. The PLS should specifically describe what will be expected of participants, the risks and inconveniences for them, and other information relevant to their involvement. Please note that the language used must reflect the participant age group and corresponding comprehension level—if your participants have different comprehension levels (e.g. both adults and children) then separate forms should be prepared for each group. The PLS can be embedded in an email to which an online survey is attached, or handed/sent to individuals in advance of their consent being sought. See link to sample templates on the website: https://www.dcu.ie/researchsupport/ethicsapproval.shtml

## PLEASE CONFIRM WHETHER THE FOLLOWING ISSUES HAVE BEEN ADDRESSED IN YOUR PLAIN LANGUAGE STATEMENT/ INFORMATION SHEET FOR PARTICIPANTS:

Note that this list is a check-list of all of the things that you should include in your plain language statement, if they are relevant (they are in most cases). In the earlier sections of this form you have already written the text that can be used to create your plain language statement. References to the relevant sections are provided on each line.

	YES or NO
Introductory Statement (Student(s) and supervisor names, school, title of the project) [Table, p	
1]	Yes
What is this project about? [section 2.1]	Yes
Why is this project being conducted? [section 2.1]	Yes
What will the participant be expected to do/have to do if they decide to participate in the	Yes
study?[section 2.1]	
How will their privacy be protected? [section 2.5, section 2.6]	Yes
How will the data be used and subsequently disposed of? [section 5.3]	Yes
What are the legal limitations to data confidentiality? [section 2.7]	Yes
Are there any benefits of taking part in the study? [section 3.3]	Yes
Are there any risks of taking part in the study? [section 3.2]	Yes
Confirmation that participants can change their mind at any stage and withdraw from the study	Yes
[see plain language statement template, appendix 1]	
How will participants find out what happens with the project? [section 2.9]	Yes
Contact details for further information [see plain language statement template, appendix 1]	Yes

If any of these issues are marked NO, please justify their exclusion:
N/A

#### 7. INFORMED CONSENT FORM (Attach to this document. Approx. 300 words, see appendices 2 and 3 for templates.)

In most cases where interviews or focus groups are taking place, an Informed Consent Form is required. This is an important document requiring participants to indicate their consent to participate in the study and give their signature. In cases where an anonymous questionnaire is being used, it is not enough to include a tick box in the questionnaire. Participants should indicate their consent to each aspect of the research in a staged manner by checking mandatory checkboxes.

See link to sample templates on the website: https://www.dcu.ie/researchsupport/ethicsapproval.shtml

NB – IF AN INFORMED CONSENT FORM IS NOT BEING USED, THE REASON FOR THIS MUST BE JUSTIFIED HERE.

#### 8. ASSENT FORM & PLAIN LANGUAGE STATEMENT FOR CHILDREN (Attach to this document.)

A child specific Plain Language Statement (PLS) should be used in project where children will be involved. The PLS must be written in a way that is understandable for children within your targeted age group. It also must state, in plain language, the nature of their involvement in the project and inviting their participation. The PLS should specifically describe what will be expected of participants, the risks and inconveniences for them, and other information relevant to their involvement. In addition, child participants should also be provided with an Assent Form. Parents/guardians will be provided with the Informed Consent Form, but each child should provide assent before taking part in the project. The Assent Form needs to be understandable to the age-group you are targeting. See link to sample templates on the website: https://www.dcu.ie/researchsupport/researchethics.shtml

NB – IF AN ASSENT FORM IS NOT BEING USED. THE REASON FOR THIS MUST BE JUSTIFIED HERE.
---

There are no minors involved in this study

#### 9. SUBMISSION CHECKLIST (Attach to this document)

Please confirm that <u>all</u> supplementary information is included in your application (in electronic copy). If questionnaire or interview questions are submitted in draft form, please indicate this by putting (draft) after YES. A copy of the final documentation must be submitted for final approval when available.

My application has been collated as one electronic PDF file which includes the following documentation:	INCLUDED (mark as YES)	NOT APPLICABLE (mark as N/A)
Recruitment advertisement [consistent with section 2.3]		n/a
Plain language statement/Information Statement [see section 6 and appendix 1]	yes	
Informed Consent form [see appendices 2 and 3]	Yes	
Informed Assent form (children only)		n/a
Evidence of external approvals related to the research [see sections 1.1 and 2.10]		n/a
Questionnaire/Survey	Yes	
Interview/Focus Group Questions		n/a

# Appendix 1 DUBLIN CITY UNIVERSITY Plain Language Statement

#### Introduction to the Study

The study you are being asked to participate in is to test the web application currently named "Echo Labs". The study is being conducted by undergraduate students of DCU Fiona Tuite and Munashe Chifungo. The supervisor for this study is Monica Ward.

#### **Data Being Collected**

- Email addresses
- Passwords

#### The Purpose of The Data

The email address and password will be used to create an account on the platform.

The information will be stored and used to collect metrics on user performance and interactions on the application.

This data will be encrypted; therefore no one will have access to this information. A fake identification display name will also be used in order to maintain privacy.

#### What Will be required from you

For testing purposes, you will be given roles of student or tutor. You will then be required to create an account and create/join a lab session. Once in the lab there will be given a set of tasks to complete from us. After this, you will be asked to fill out a questionnaire about your experience using the software.

#### What happens to your data?

Once the project has been completed and graded, we will be destroying any data that is collected in June.

#### **Confidentiality Statement**

Confidentiality of information can only be protected within the limitations of the law - i.e., it is possible for data to be subject to subpoena, freedom of information claim or mandated reporting by some professions.

#### **Participation is Voluntary**

At any point during this study, you may withdraw. Withdrawing consent may mean that no future data collection will take place but previously collected data will still be processed etc.

If participants have concerns about this study and wish to contact an independent person, please contact:

The Secretary, Dublin City University Research Ethics Committee, c/o Research and Innovation Support, Dublin City University, Dublin 9. Tel 01-7008000, e-mail rec@dcu.ie

#### **Informed Consent Form**

Echo Labs
Dublin City University –
School of Computing
Principal Investigators:
Monica Ward
Fiona Tuite
Munashe L Chifungo

#### Confirmation of particular requirements as highlighted in the Plain Language Statement

As detailed in the plain language statement, you will be required to participate in a questionnaire. Other than performing tasks in the Echo Labs platform there will be nothing else required for the purpose of this study.

Participant – please complete the following (Circle Yes or No for each question)	
I have read the Plain Language Statement (or had it read to me)	Yes/No
I understand the information provided	Yes/No
I understand the information provided in relation to data protection	Yes/No
I have had an opportunity to ask questions and discuss this study	Yes/No
I have received satisfactory answers to all my questions	Yes/No
I am aware that my interview will be audiotaped	Yes/No

#### Confirmation that involvement in the Study is voluntary

I may withdraw from the Study at any point.

I am aware of and understand the arrangements being made to protect my data including that the confidentiality of information provided is subject to legal limitations.

I am aware that the data will be held for a particular period before being destroyed by the investigators

#### Signature:

I have read and understood the information in this form. My questions and concerns have been answered by the researchers, and I have a copy of this consent form. Therefore, I consent to take part in this project

Participants Signature:	
Name in Block Capitals:	
Witness:	 
Date:	

### Appendix 3 – Questions asked after using Echo labs

# Echo Labs Questionnaire

	1	2	3	4	5			
Very Bad						Excellent		
Was it diffi	cult to r	equest	help?					
Mark only o	ne oval.							
Yes								
No								
f yes, plea	ase exp	lain why	/?					
f yes, plea	ase exp	lain why	<b>y</b> ?					
f yes, plea	ase exp	lain why	/?					
f yes, plea	ase exp	lain why	/?					
f yes, plea	ase exp	lain why	/?					
If yes, plea	ase exp	lain why	y? 					
If yes, plea	ase exp	lain why	y? 					
				e scale	of 1-5			
If yes, plea	he audi			e scale	of 1-5			
How was t	he audi			e scale	of 1-5			

	e oval.							
	1	2	3	4	5			
Very Bad						Excellent		
Would this	web an	plicatio	n be be	eneficia	to vou	r learning e	xperience?	
Mark only or					,,,,,,			
Yes								
No								
Mayb	oe							
Rate the c	hat exp	erience	on a s	cale of	1-5			
	e oval.							
Mark only one					-			
Mark only one	1	2	3	4	5			
Mark only one Very Bad	1	2	3	4	5	Excellent		
	1	2	3	4	5	Excellent		
Very Bad							nce?	
							nce?	
Very Bad							nce?	
Very Bad							nce?	

	Was navigating through the application difficult?
	Mark only one oval.
	Yes
	No
	Other:
).	If answered no, explain why?
1.	What are some changes you would make to the overall web application?