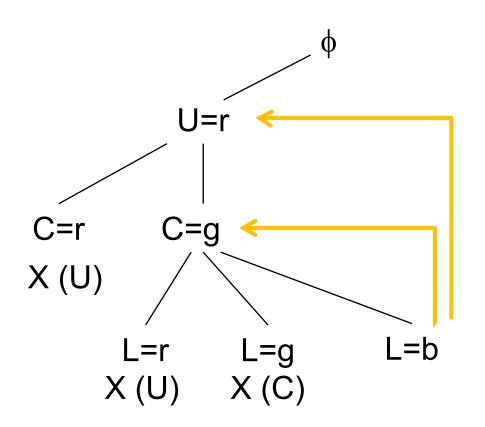
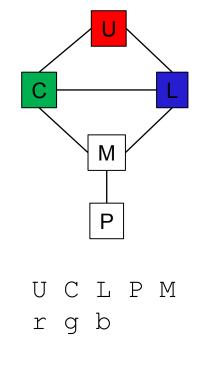
## **Looking Forward**



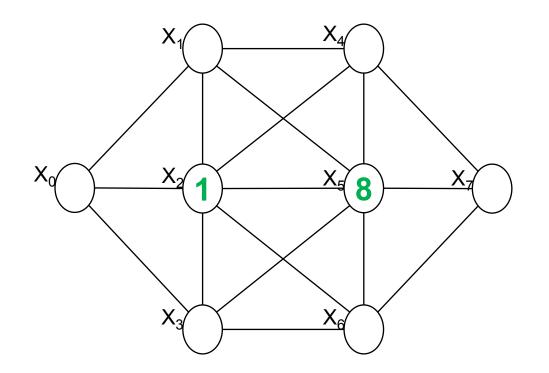


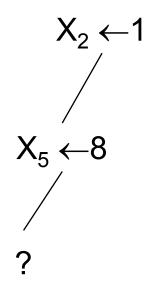


## Backtracking

As we move down the tree check back against higher decisions to make sure it is worth continuing

```
backtrack(V, D, C)
input: V (array of n int) D (2d array of n*d int) C (constraints)
output: (bool, V), where bool = true if a solution is found
1. var := 0 //start with the first variable
2. val := 0 //start with the first value
3. done := false //not finished yet
4. while not done
    if var == n return (true, values) //if reached end, stop
5.
6. else if var == -1 return (false, null)//if back to top, stop
7. else if val == -1 //if no values left
8.
  var := var-1 //step back to previous variable
9. val := nextval(var, values[var]) //continue to next value
\triangleleft 0.
     else if check(var, val, values, C) == false
11.
     val := nextval(var, val) //qet next value, or -1 if none
12.
     else
  check(var, val, values, C)
  output: true if no conflict for var<-val when checking back
  // For each constraint in C whose scope is \{var, X\}, where X is
  // before var, check var<-val against X's assignment in values
  //i.e. while searching the tree, each time we try a value for
  //a variable, check back against previous assignments to ensure
  //no constraint is violated
```





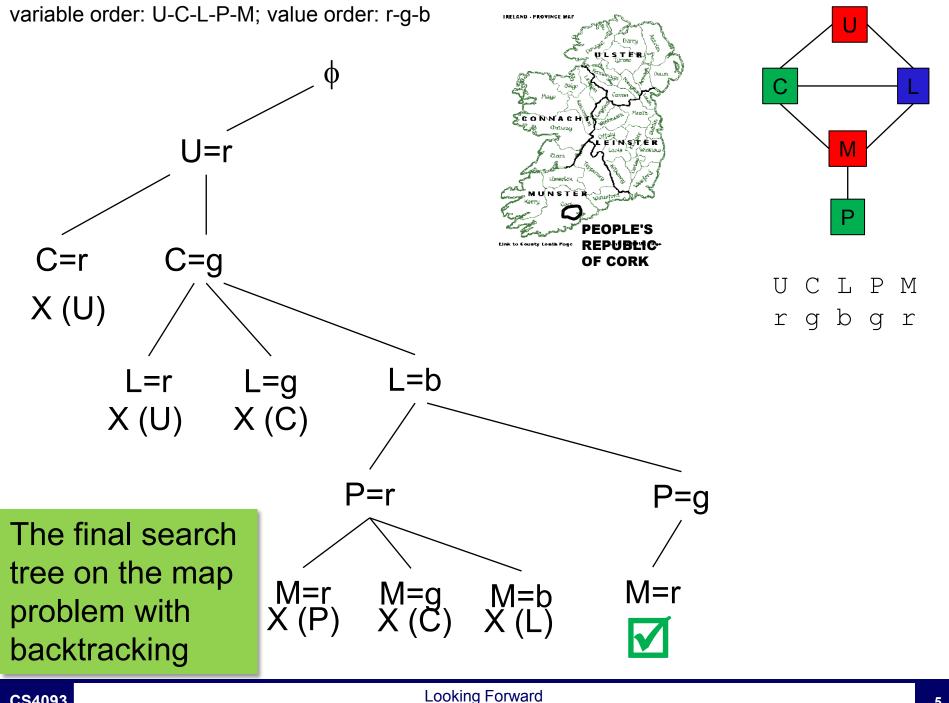
That was looking forward in the tree, into domains of variables we haven't assigned. Is there an algorithm?

At this point, we said:  $X_1$  cannot be 1 or 2 or 7 or 8

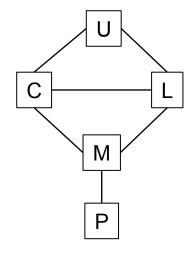
. . .

X<sub>0</sub> is the only variable that can still take value 7

. .

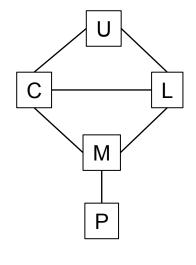






U C L P M

$$\varphi \\ [U\{r,g,b\},C\{r,g,b\},L\{r,g,b\},P\{r,g,b\},M\{r,g,b\}]$$

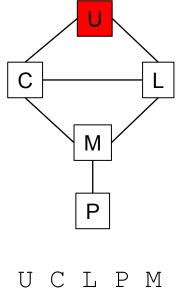


UCLPM

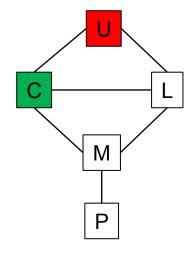
rrrr ggggg bbbbb

 $[C{g,b},L{g,b},P{r,g,b},M{r,g,b}]$ 

remove r as values for C and L

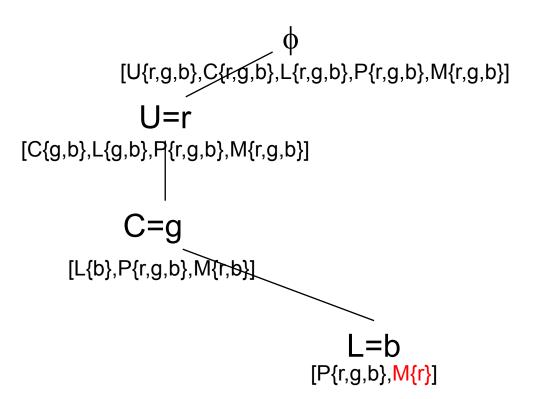


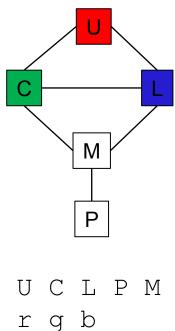
U C L P M



U C L P M r g

- . rr
- . g .
- b b b



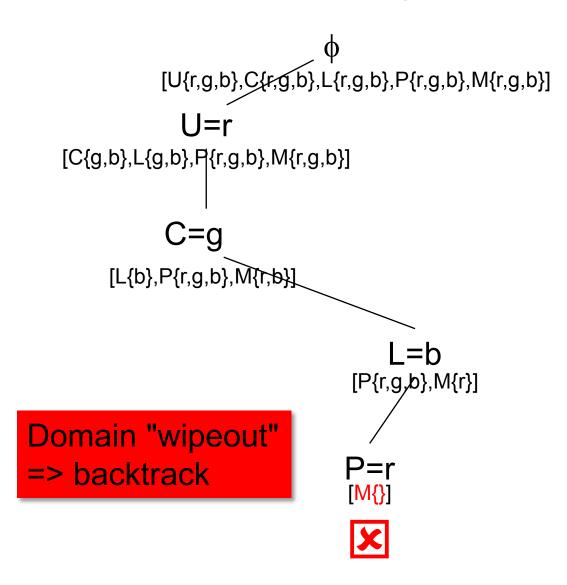


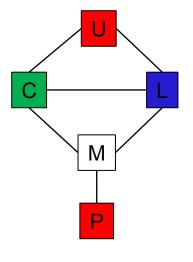
g b

r r

g

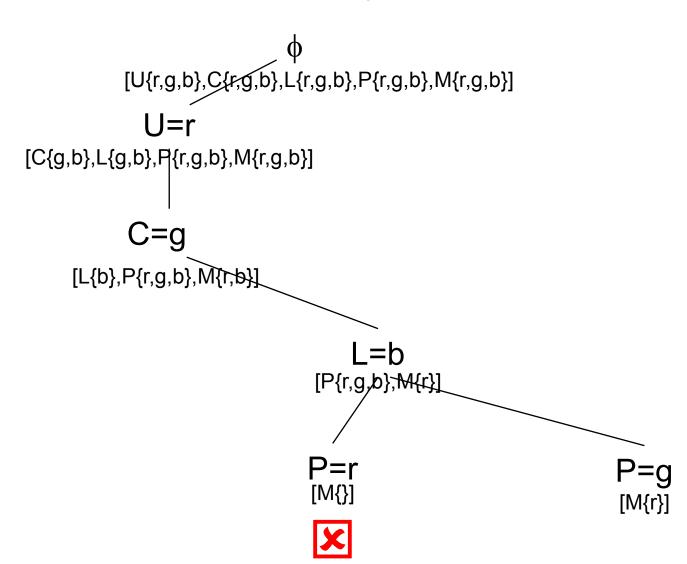
b

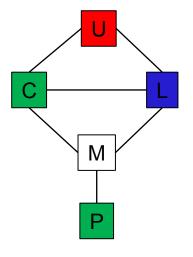




U C L P M r g b r

- •
- •
- •



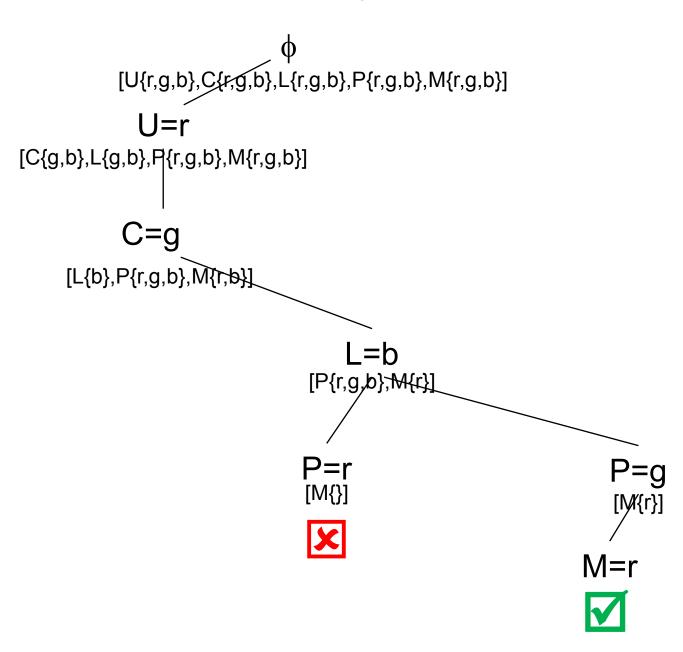


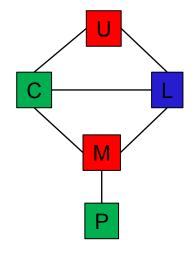
UCLPM rgbg

r

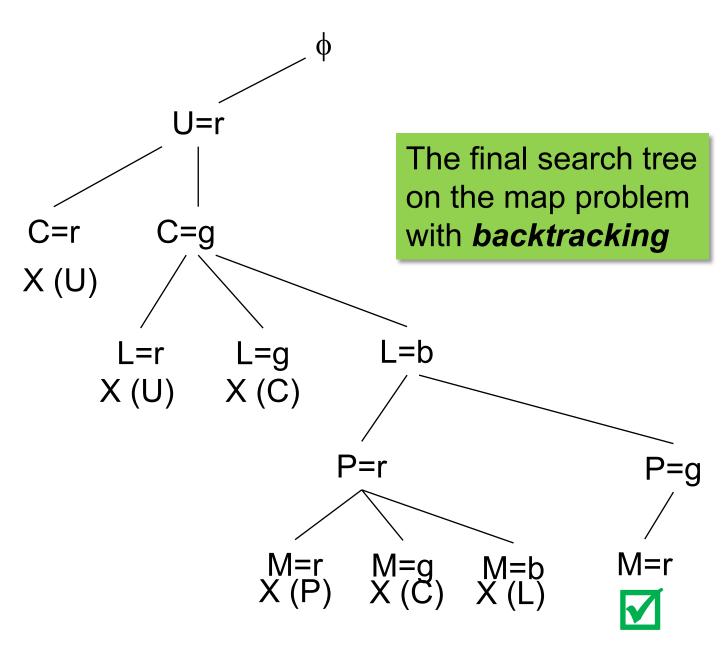
•

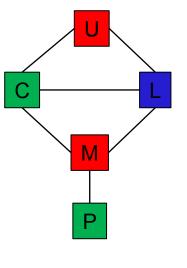
•





r g b g r





U C L P M r g b g r

## Forward checking

```
In backtracking, replace
  check(var, val, values, C)
with
  fcheck(var, val, domains, C)
```

where fcheck reduces all domains of unassigned variables that are constrained with *var*, based on assigning *var←val*. If any domain is emptied, return *false*; else return *true*.

Note: the complexity of backtracking is based on the size of the domains, so reducing the forward domains will shrink the tree.

But how should we implement it?

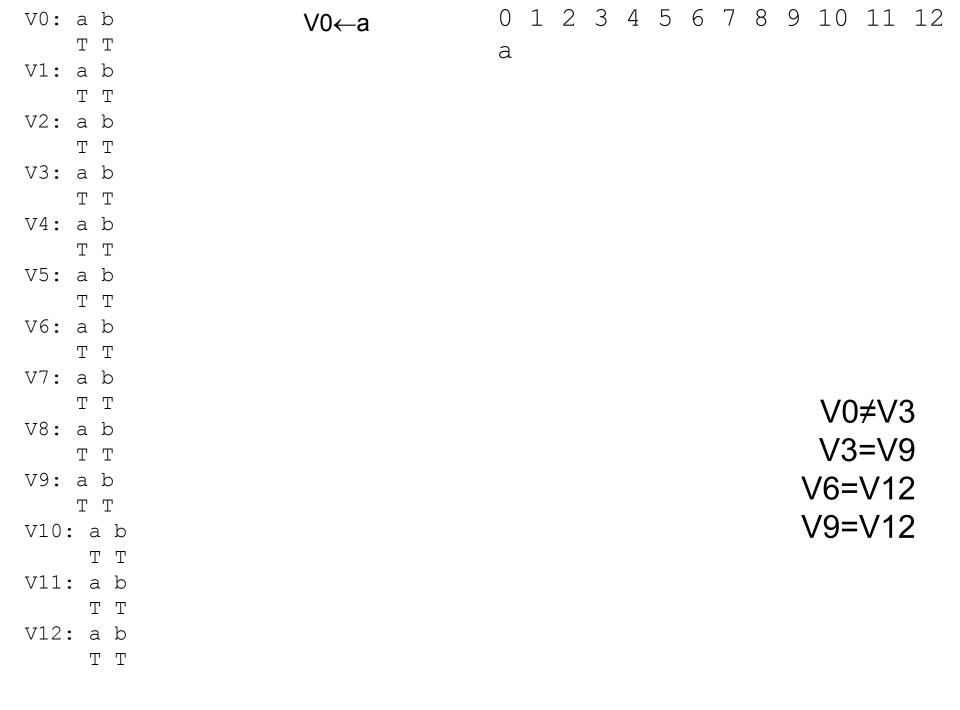
Maintain a separate copy of the domains, and remove (and replace) values in those domains as we move up and down the tree.

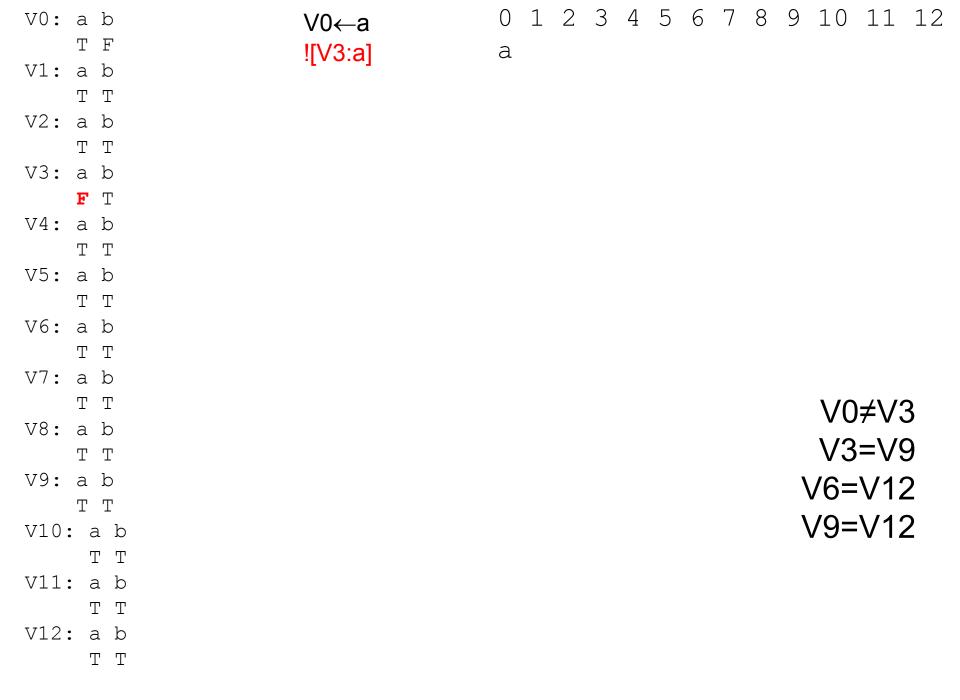
To make sure that we replace values properly, at each node in the search tree, maintain a data structure stating which values of which variables were removed because of this choice.

When we backtrack over a choice, restore the values.

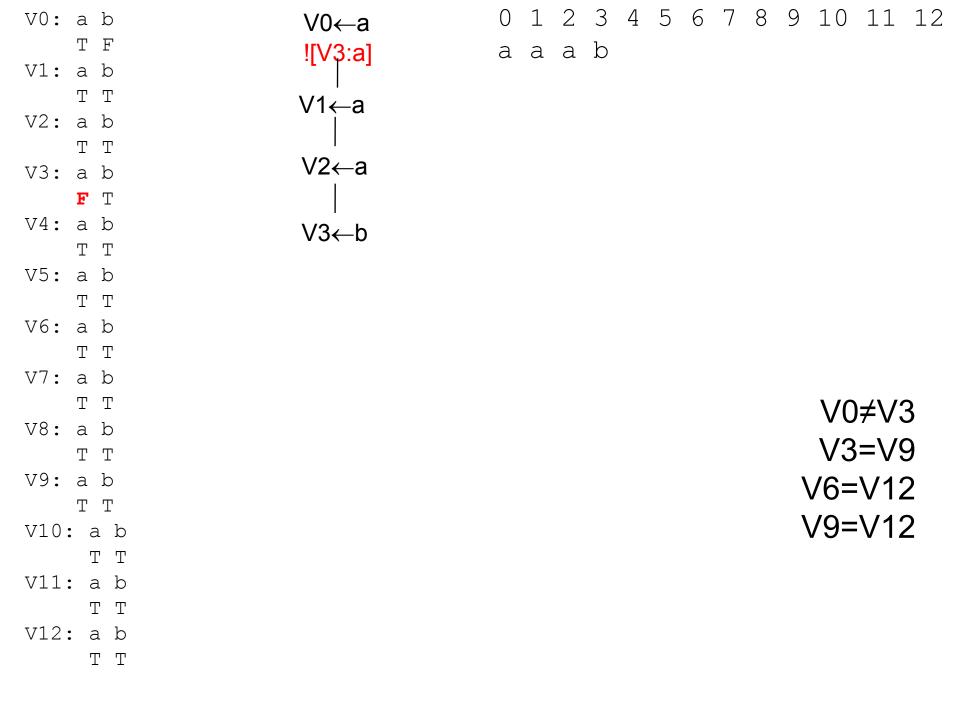
Rather than maintain the domain as a set of values, perhaps maintain each domain as a 2D array first row is the values second row is a boolean saying the value is still alive

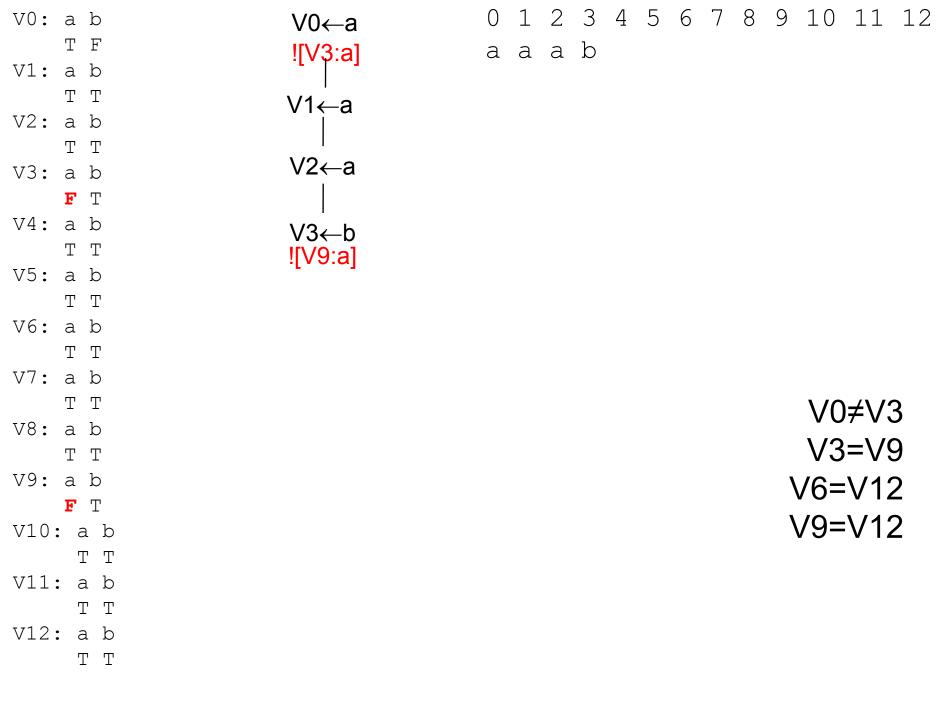
```
6
                                             4 5
                                                       8
                                                          9
                                                            10
V0: a b
    TT
V1: a b
    Τ
     Τ
V2: a b
V3: a b
    TT
V4: a b
V5: a b
V6: a b
    TT
V7: a b
    TT
                                                            V0≠V3
V8: a b
                                                            V3=V9
V9: a b
                                                           V6=V12
    TT
                                                           V9=V12
V10: a b
     TT
                                                all domains={a,b}
V11: a b
V12: a b
     TT
```

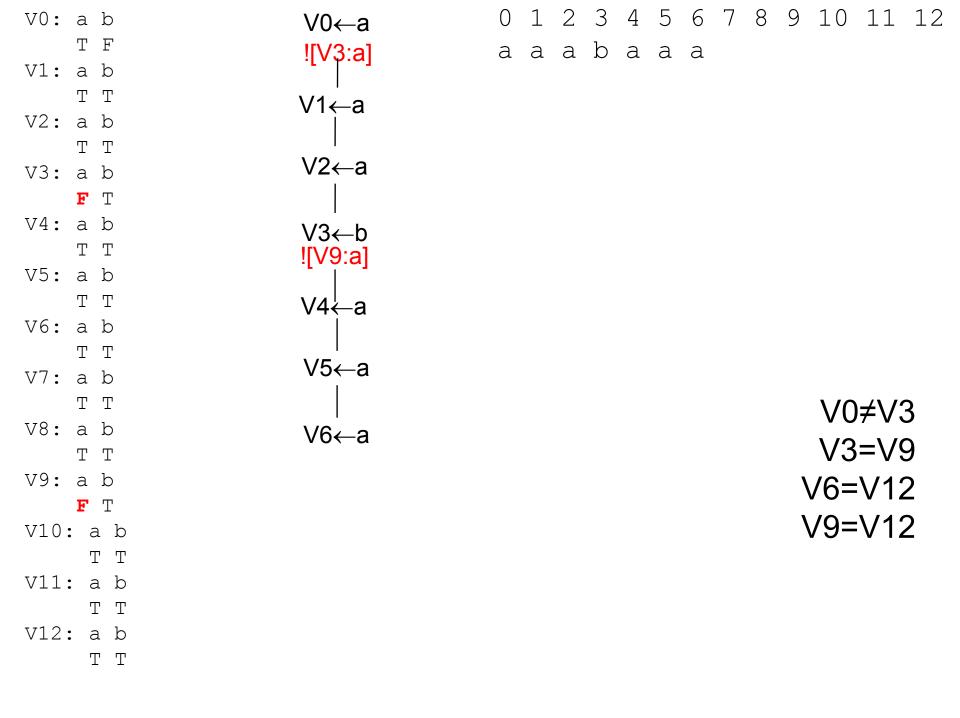




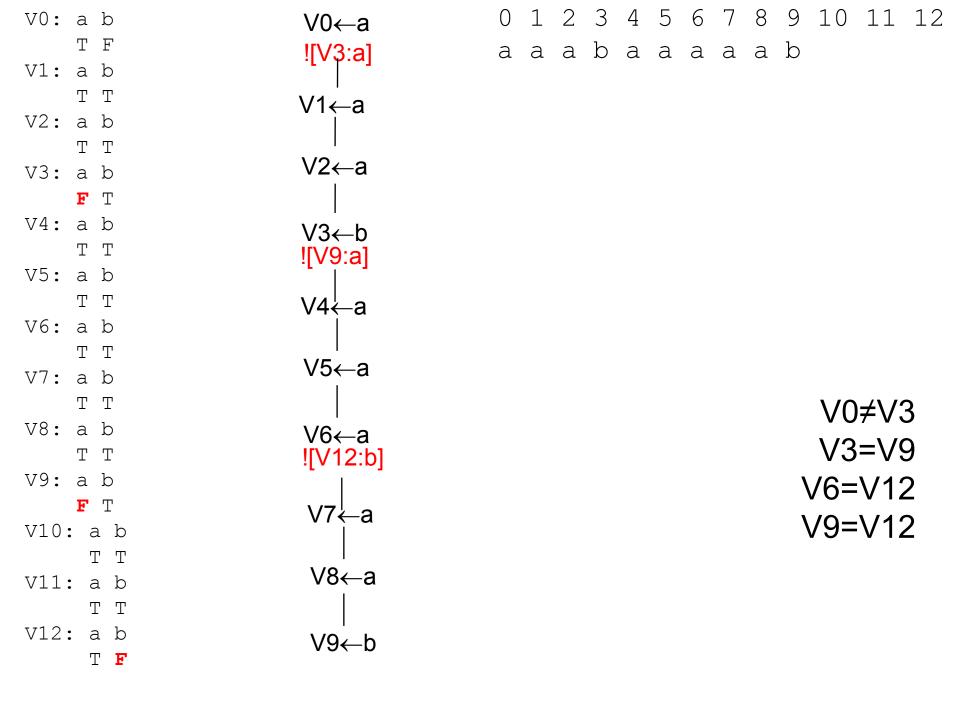


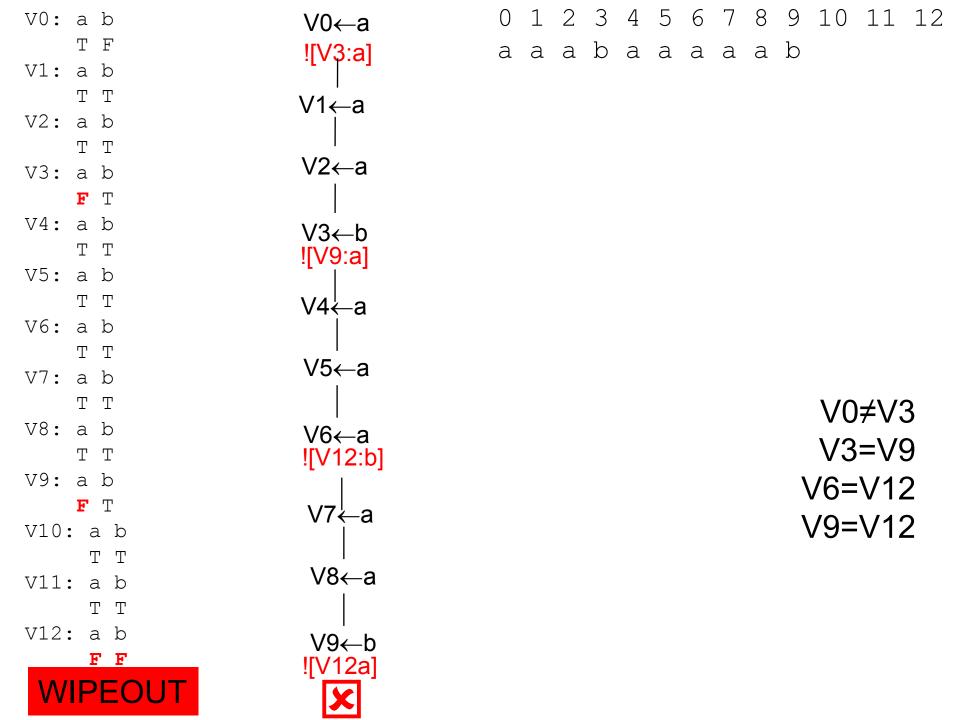


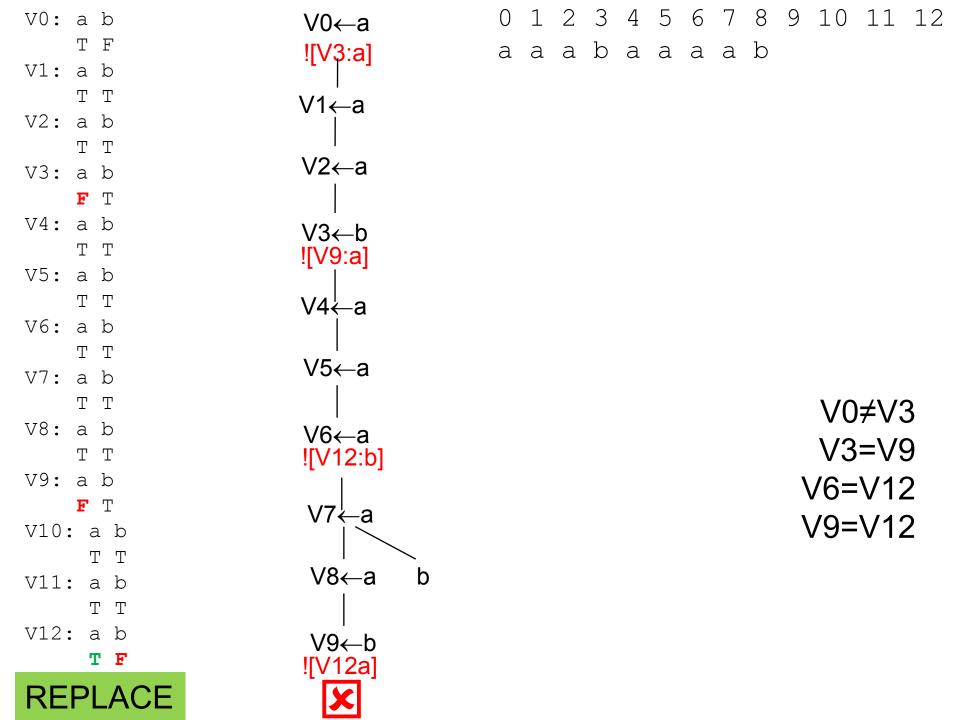


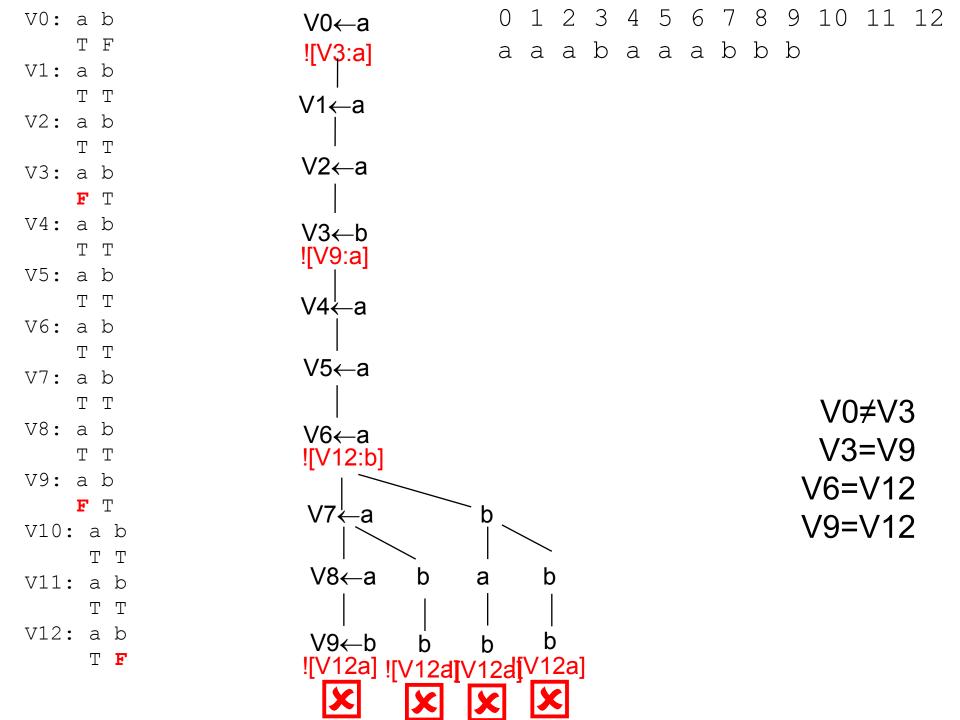


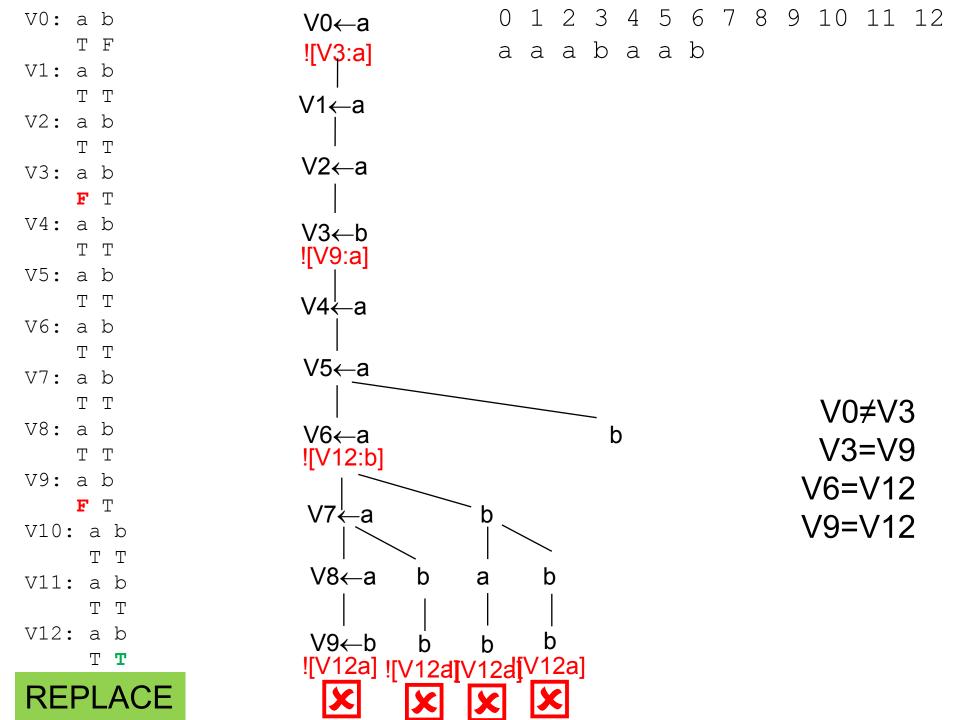


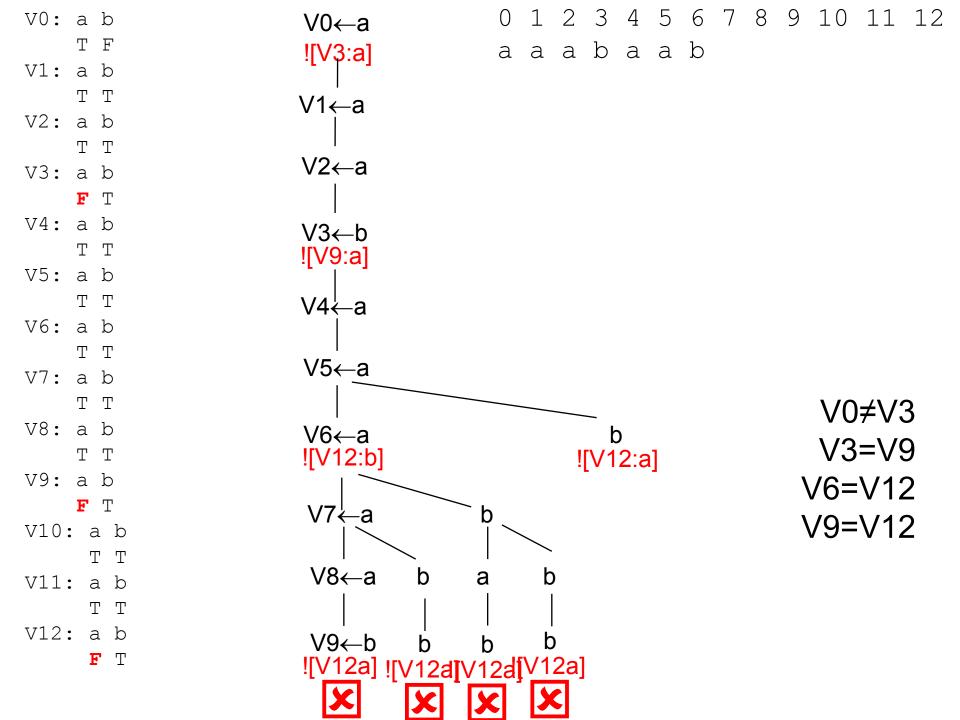


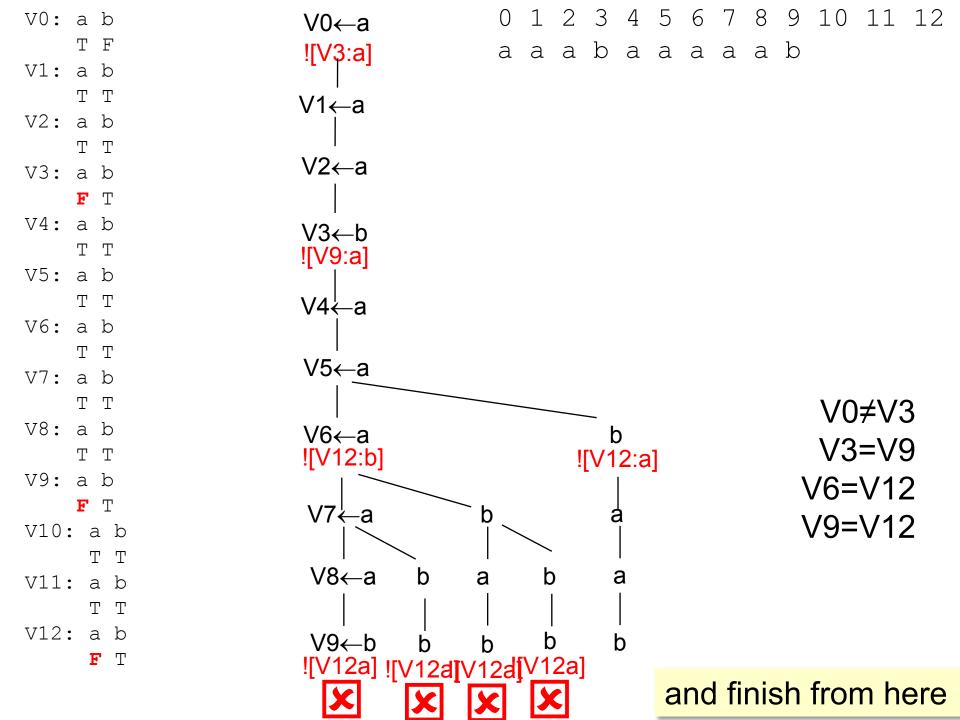












```
fc(V, D, C)
input: V (array of n int) D (2d array of n*d int) C (constraints)
output: (bool, V), where bool = true if a solution is found
0: dom := copied augmented D
1. var := 0 //start with the first variable
2. val := 0 //start with the first value
3. stack := {}
4. done := false //not finished yet
5. while not done
6. if var == n return (true, values) //if reached end, stop
7. else if var == -1 return (false, null)//if back to top, stop
8. else if val == -1 //if no values left
9. var := var-1 //step back to previous variable
10. restore(pop(stack), &dom); //replace dels from var<-val
11. val := nextval(var, values[var]) //continue to next value
12. else if fcheck(var, val, &dom, &stack, C) == false
13.
       restore(pop(stack),&dom); //replace dels from var<-val
14.
    val := nextval(var, val) //get next value, or -1 if none
15.
    else
16. values[var] := val //assign current value
17. var := var + 1 //move to next var
18. val := 0
                        //start with first value
```

```
fcheck(var, val, *dom, *stack, C)
output: true if no wipeout for var<-val when checking forwards

// For each constraint in C whose scope is {var, X}, where X is
// after var, check var<-val against X's domain, removing
// incompatible values from *dom, and before returning pushing
// those deletions as one entry onto the top of *stack

//i.e. while searching the tree, each time we try a value for
//a variable, check forward against unassigned variables to
//remove bad values
```

Forward checking still thrashes. E.g., repeated search over choices for V7 and V8, even though no solution is possible in that area of the tree.

## Can we improve it?

- record conflicts and combine with CBJ?
  - Yes (but we won't in CS4093 see Prosser 1993)
- when a domain drops to a single value, why wait?
  - bring it forward and assign immediately

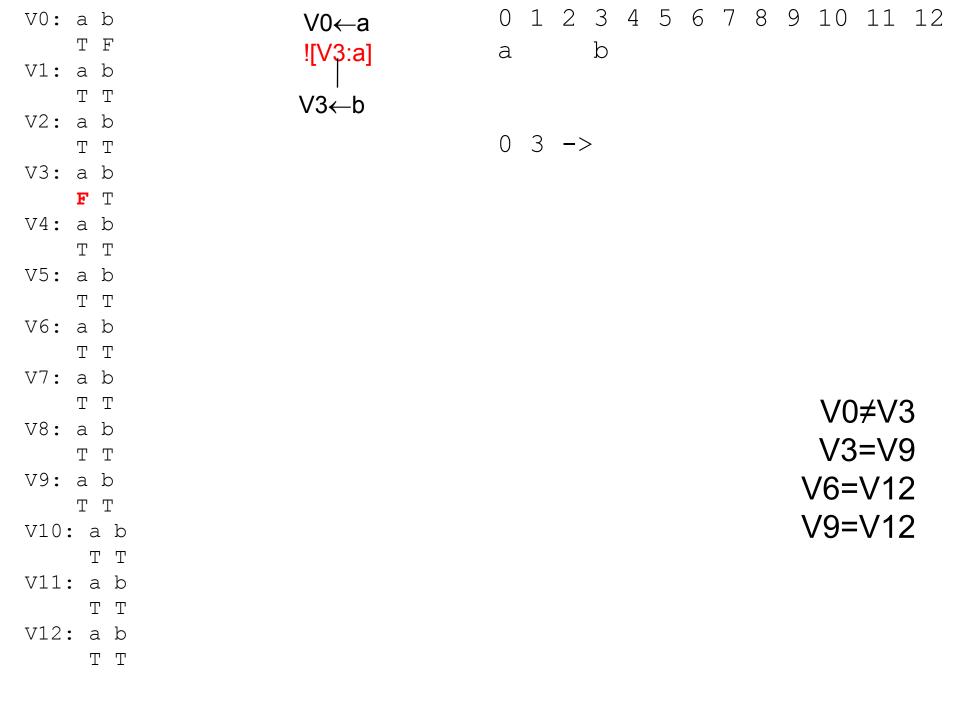
V0: a b V0←a TF ![V3:a] V1: a b V2: a b V3: a b F Τ V4: a b V5: a b V6: a b ТТ V7: a b V8: a b V9: a b ТТ V10: a b V11: a b V12: a b

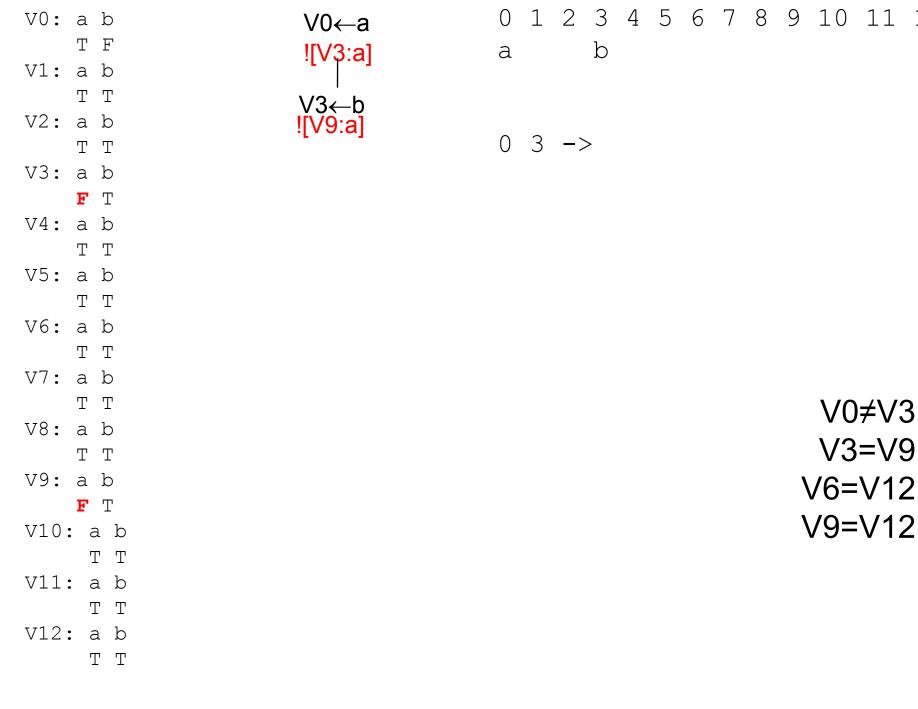
0 1 2 3 4 5 6 7 8 9 10 11 12 a

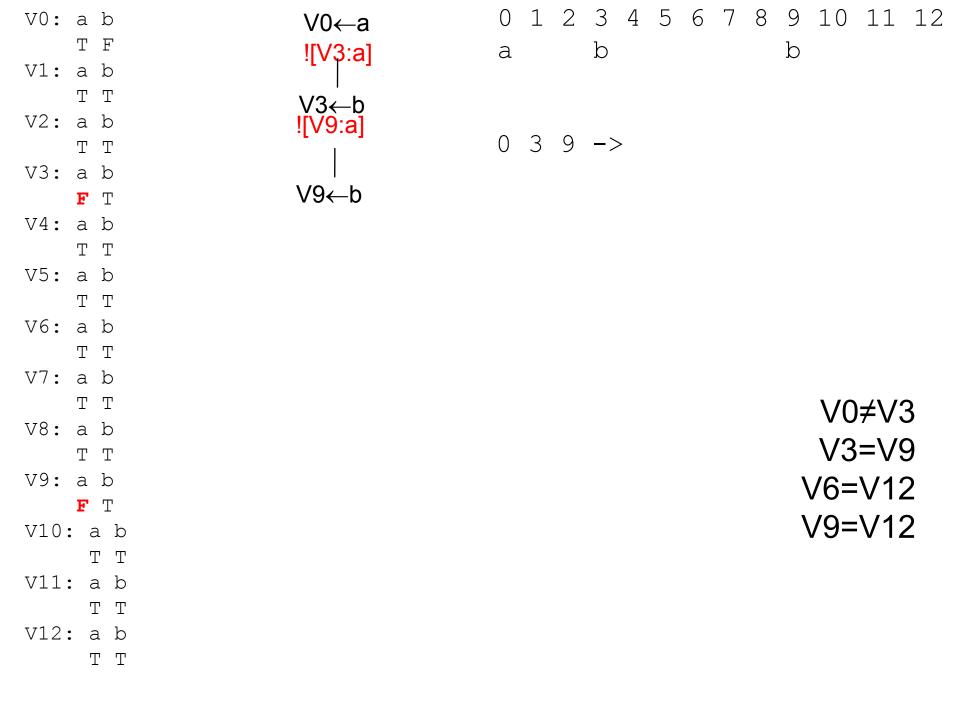
At this point, V3 only has one value. Do it now.

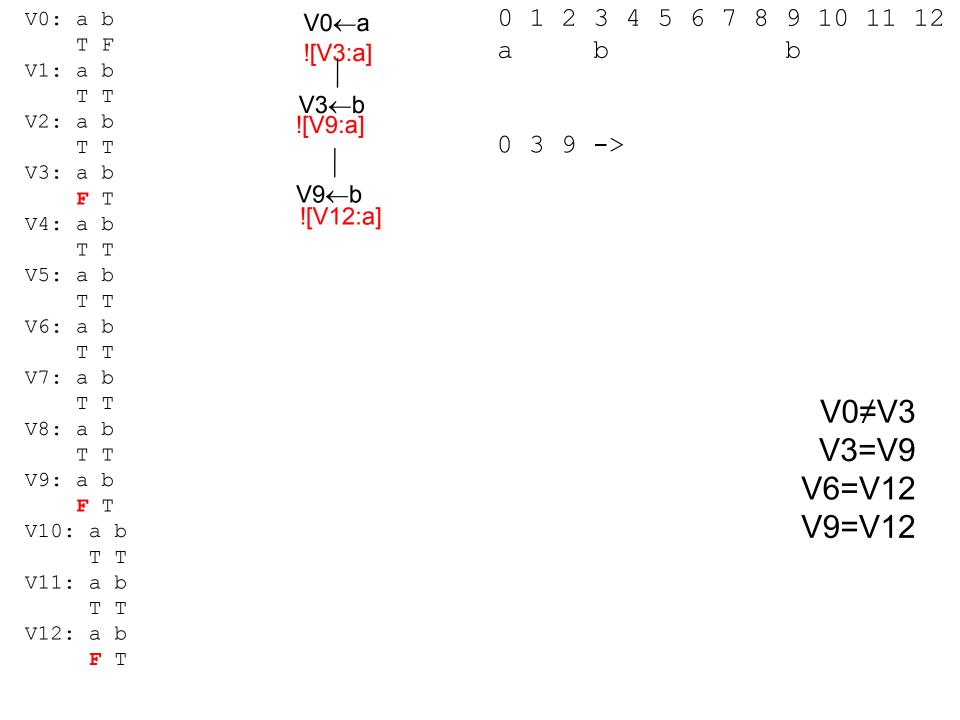
Maintain the search tree in a stack?

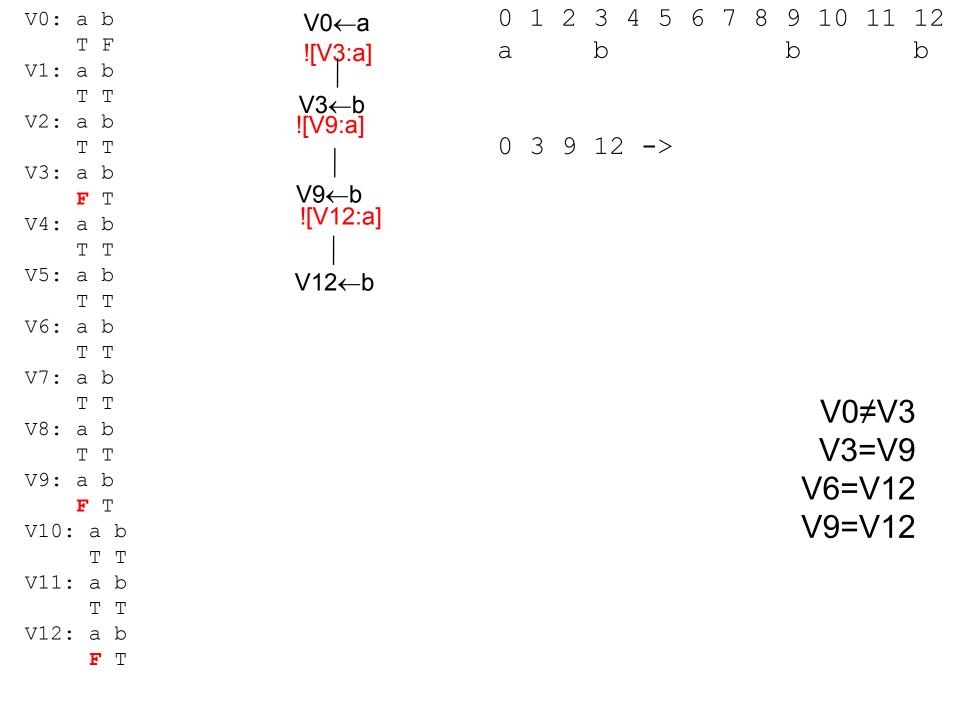
V0≠V3 V3=V9 V6=V12 V9=V12

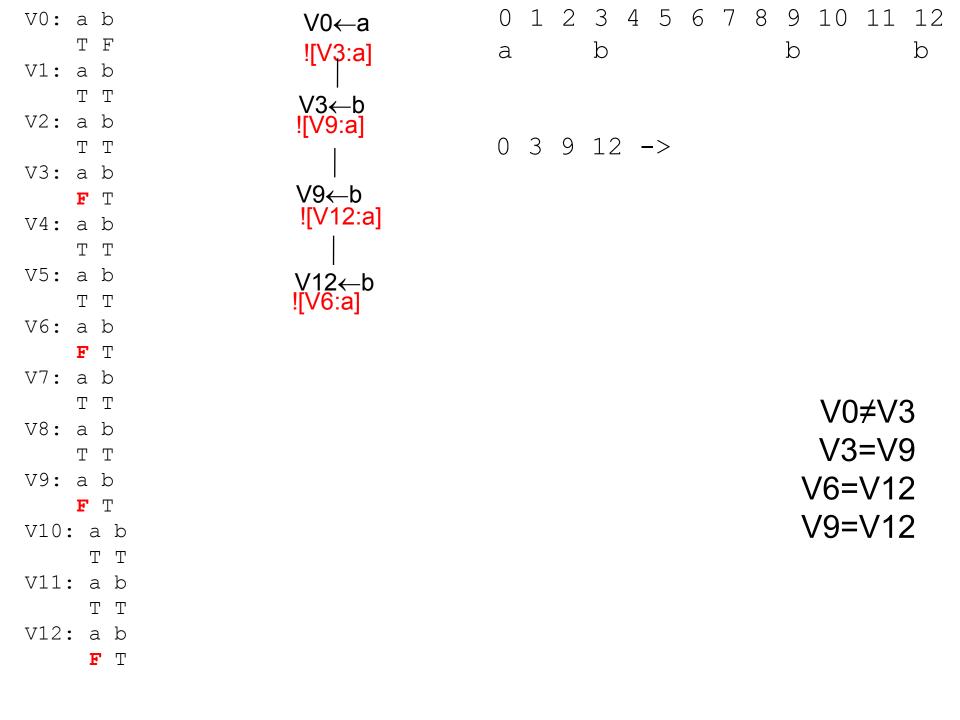


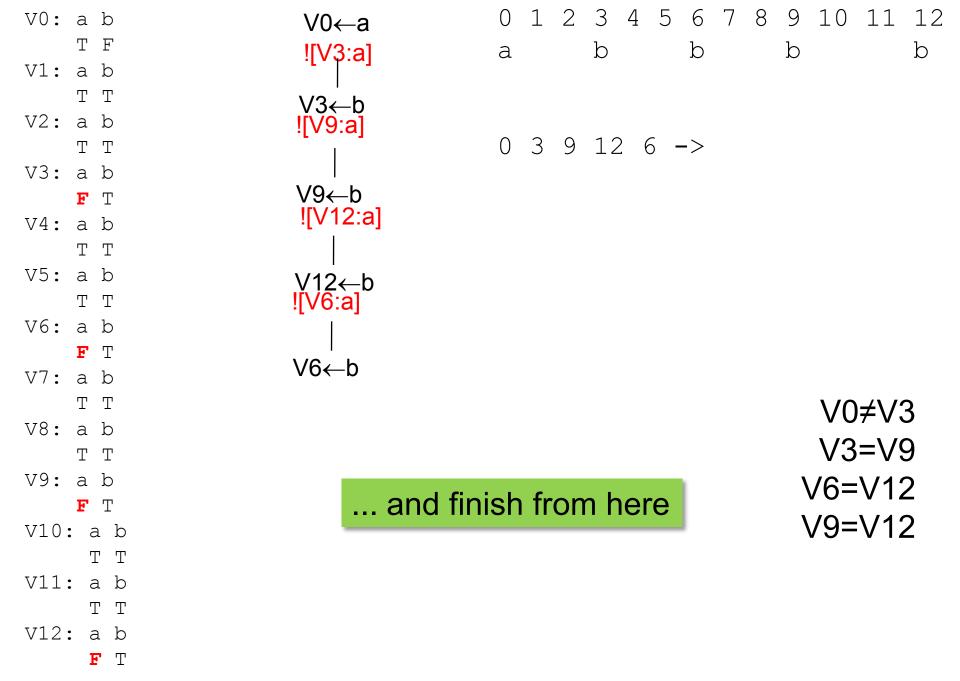












Forward checking still thrashes. E.g., repeated search over choices for V7 and V8, even though no solution is possible in that area of the tree.

### Can we improve it?

- record conflicts and combine with CBJ?
  - Yes (but we won't in CS4093 see Prosser 1993)
- when a domain drops to a single value, why wait?
  - bring it forward and assign immediately
- make the variable order dynamic, and always choose the variable with the smallest domain?

#### Exercise

How would you modify the FC algorithm to allow the variable ordering to change during search?

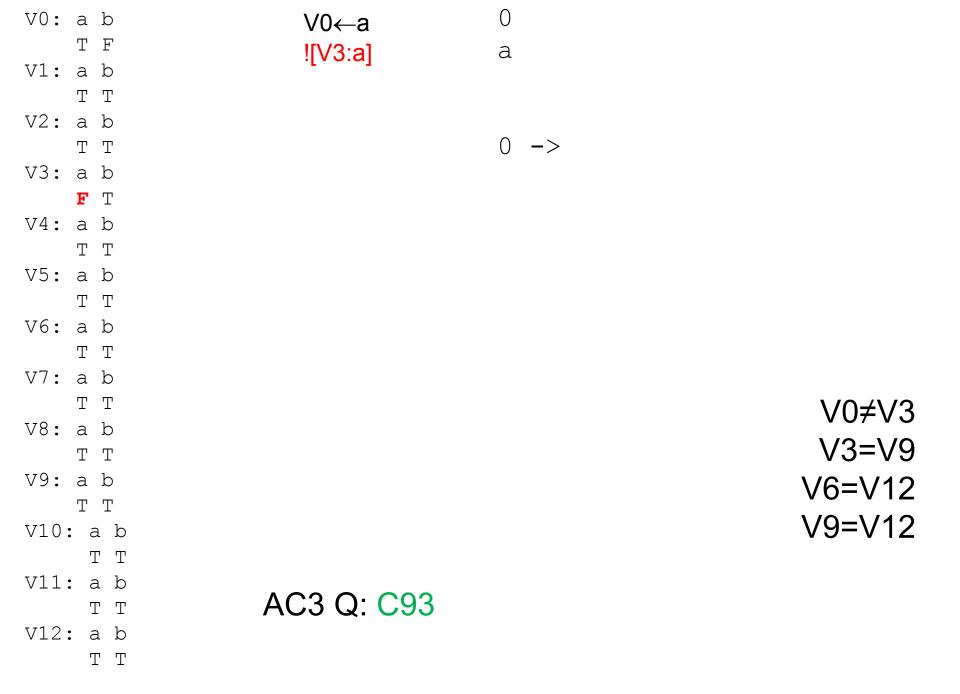
Note: in our original version, we numbered the variables, and simply stepped though the sequence.

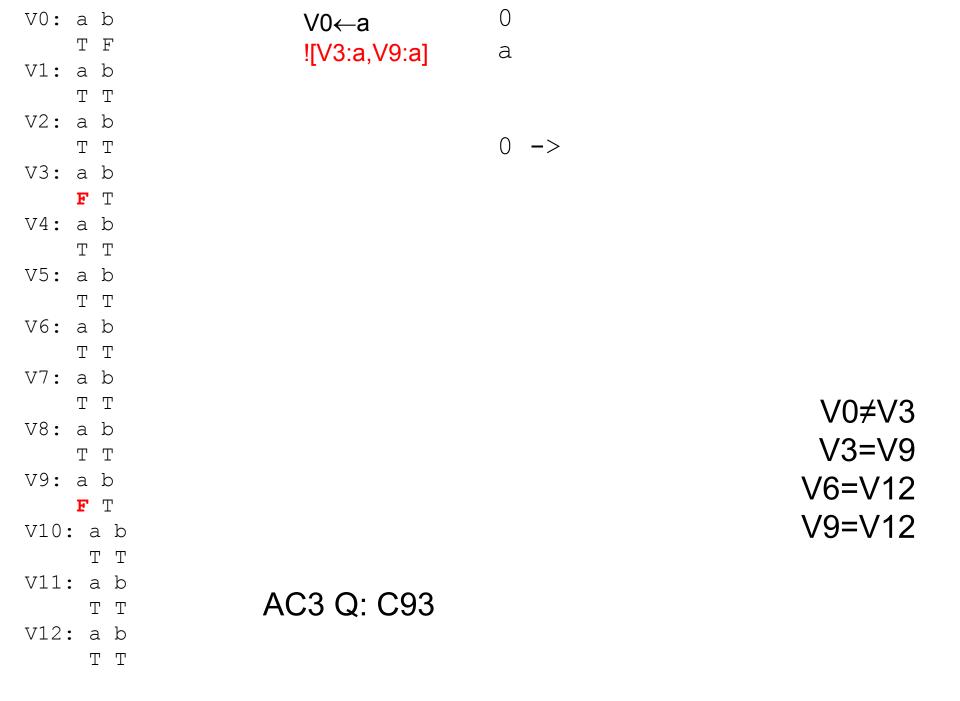
(Hint: see the traces above)

Forward checking still thrashes. E.g., repeated search over choices for V7 and V8, even though no solution is possible in that area of the tree.

### Can we improve it?

- record conflicts and combine with CBJ?
  - Yes (but we won't in CS4093 see Prosser 1993)
- when a domain drops to a single value, why wait?
  - bring it forward and assign immediately
- make the variable order dynamic, and always choose the variable with the smallest domain?
- whenever a domain is reduced, why not apply AC?





```
V0: a b
                      V0←a
    TF
                      ![V3:a,V9:a]
                                      а
V1: a b
    TT
V2: a b
V3: a b
    F T
V4: a b
V5: a b
V6: a b
    ТТ
V7: a b
    TT
                                                                V0≠V3
V8: a b
                                                                V3=V9
V9: a b
                                                              V6=V12
    F T
                                                              V9=V12
V10: a b
V11: a b
                   AC3 Q: <del>C93</del>, C12-9
V12: a b
```

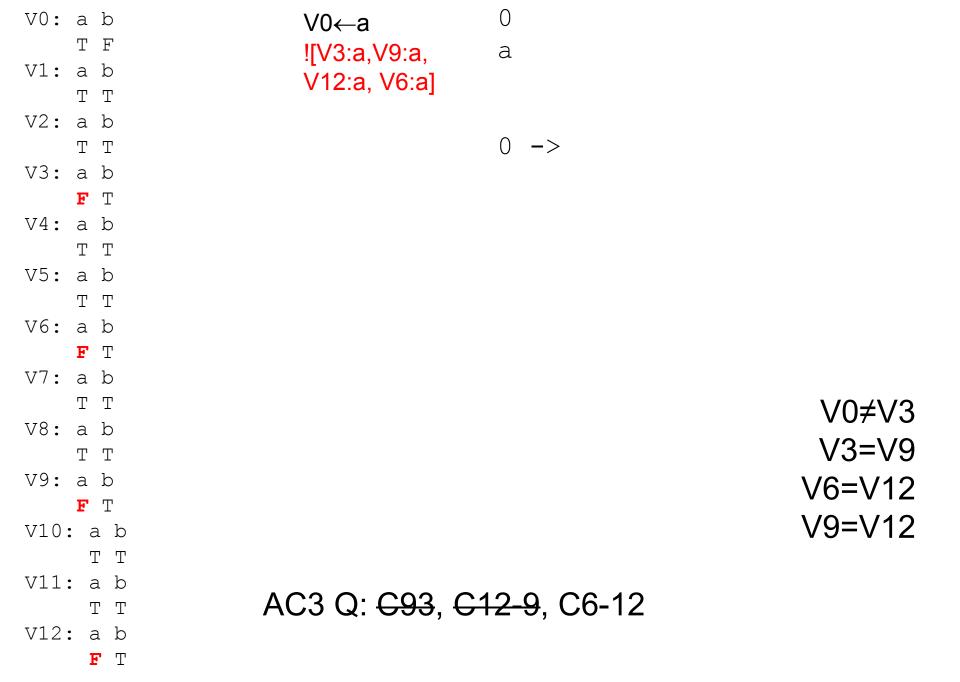
TT

```
V0: a b
                      V0←a
    TF
                      ![V3:a,V9:a,
                                      а
V1: a b
                      V12:a]
    TT
V2: a b
                                      0 ->
V3: a b
    F T
V4: a b
V5: a b
V6: a b
    ТТ
V7: a b
    ТТ
                                                                V0≠V3
V8: a b
                                                                V3=V9
V9: a b
                                                              V6=V12
    F T
                                                              V9=V12
V10: a b
V11: a b
                   AC3 Q: <del>C93</del>, C12-9
V12: a b
```

F T

```
V0: a b
                       V0←a
    TF
                       ![V3:a,V9:a,
                                        а
V1: a b
                       V12:a]
    TT
V2: a b
                                        0 ->
V3: a b
    F T
V4: a b
V5: a b
V6: a b
    TT
V7: a b
    TT
                                                                   V0≠V3
V8: a b
                                                                   V3=V9
V9: a b
                                                                 V6=V12
    F T
                                                                 V9=V12
V10: a b
V11: a b
                    AC3 Q: <del>C93</del>, <del>C12-9</del>, C6-12
V12: a b
```

F T



```
6
                                            1 2 3 4 5
                                                            7
                                                                8
                                                                  9
                                                                     10
V0: a b
                        V0←a
    TF
                        ![V3:a,V9:a,
                                                  b
                                                          b
                                                                   b
                                                                              b
                                         а
V1: a b
                        V12:a, V6:a]
    TT
V2: a b
                                            3 9 12 6 <del>-></del>
V3: a b
    F
      Τ
                                        and finish from here ...
V4: a b
V5: a b
V6: a b
    F T
V7: a b
                                                                      V0≠V3
V8: a b
                                                                      V3=V9
V9: a b
                                                                    V6=V12
    F T
                                                                    V9=V12
V10: a b
V11: a b
                    AC3 Q: <del>C93</del>, <del>C12-9</del>, <del>C6-12</del>
V12: a b
```

F T

## MAC: Maintaining Arc Consistency

```
mac(V, D, C)
input: V (array of n int) D (2d array of n*d int) C (constraints)
output: (bool, V), where bool = true if a solution is found
0: dom := copied augmented D
1. var := 0 //start with the first variable
2. val := 0 //start with the first value
3. stack := {}
4. done := false //not finished yet
5. while not done
6. if var == n return (true, values) //if reached end, stop
7. else if var == -1 return (false, null)//if back to top, stop
8. else if val == -1 //if no values left
9. var := var-1 //step back to previous variable
10. restore(pop(stack),&dom); //replace dels from var<-val
11.
   val := nextval(var, values[var]) //continue to next value
12.
    else if fc-ac(var, val, &dom, &stack, C) == false
13.
       restore(pop(stack),&dom); //replace dels from var<-val
14. val := nextval(var, val) //get next value, or -1 if none
15.
    else
16. values[var] := val //assign current value
17. var := var + 1 //move to next var
18.
   val := 0
                      //start with first value
```

```
fc-ac(var, val, *dom, *stack, C)
output: true if no wipeout for var<-val when checking forwards

// For each constraint in C whose scope is {var, X}, where X is
// after var, check var<-val against X's domain, removing
// incompatible values from *dom, then establish AC on the
// unassigned domains, and before returning pushing
// those deletions as one entry onto the top of *stack

//i.e. while searching the tree, each time we try a value for
//a variable, check forward against unassigned variables to
//remove bad values
```

# Things to note

- AC algorithms are polynomial (e.g. AC2001 is O(ed²)),
   while backtracking is exponential (O(dn))
  - ed² seems like a lot of work at possibly every node in the search tree, but if the problem is big enough it will pay off
  - do more work at each node, and reduce the tree size
- Arc consistency during search is the core of constraint programming
  - on practical problems, it will be run millions of times
  - efficient data structures and algorithms are critical
- Any AC algorithm can be slotted into the MAC algorithm
  - (or indeed, any consistency algorithm, but in practice, going higher than AC is rarely worth it)

### Exercise 2

- Solve the Crystal maze problem by hand, using first FC and then MAC (using AC3)
  - use the version with binary inequality constraints, on slide
     6 of Lecture 1
  - try the fixed variable ordering X<sub>2</sub>, X<sub>5</sub>, X<sub>1</sub>, X<sub>4</sub>, X<sub>3</sub>, X<sub>6</sub>, X<sub>0</sub>, X<sub>7</sub>

### Exercise 3

 have a look at Marc van Dongen's "How to solve the Zebra problem"

http://www.cs.ucc.ie/~dongen/ZEBRA.pdf

### **Notes**

- Forward checking was first proposed by Haralick and Elliott (1980)
- Results in that paper and others led people to believe maintaining AC was too expensive, until Sabin and Freuder (1994)

- R. M. Haralick and G. L. Elliott. "Increasing tree search efficiency for constraint satisfaction problems". *Artificial Intelligence*, 14:263–313, 1980.
- D. Sabin and E. Freuder (1994), "Contradicting conventional wisdom in constraint satisfaction", *Proceedings of the European Conference on Artificial Intelligence*, pp125--129, 1994.

### Next lecture ...

Using extra knowledge when maintaining arc consistency:

the alldifferent global constraint

The map colouring example and the CSPs were adapted from examples by Patrick Prosser