

Problem 1 (10 points). Solve each of the following recursions.

1. $T(n) = 2 \cdot T(n/2) + n \cdot \log n$
2. $T(n) = 4 \cdot T(n/2) + n \cdot (\log n)^2$
3. $T(m, n) = 4 \cdot T(m, n/2) + m \cdot n^2$

Solution.

1. We can use the *recursion tree* approach to solve it:

$$T(n) = \sum_{k=0}^{\log n} 2^k \cdot (n/2^k) \cdot \log(n/2^k) = \sum_{k=0}^{\log n} n \cdot (\log n - k) = n \cdot \log n \cdot (\log n + 1) - n \cdot \sum_{k=0}^{\log n} k = n \cdot \log n \cdot (\log n + 1) - n \cdot (\log n + 1) \cdot \log n / 2 = \Theta(n \cdot (\log n)^2)$$
2. We can use *lower and upper bounds* to solve it. Let $f(n) = n \cdot (\log n)^2$, $g_1(n) = n$, and $g_2(n) = n^{1.01}$. We have $f = O(g_2)$ and $f = \Omega(g_1)$. Let $T_1(n) = 4 \cdot T(n/2) + g_1(n)$ and $T_2(n) = 4 \cdot T(n/2) + g_2(n)$. We have $T_1(n) \leq T(n) \leq T_2(n)$. Solving $T_1(n)$ and $T_2(n)$ with master's theorem gives $T_1(n) = \Theta(n^2)$ and $T_2(n) = \Theta(n^2)$. Therefore, we have $T(n) = \Theta(n^2)$.
3. Notice that in subproblems $T(m, n/2)$ the first parameter m is the same with that in $T(m, n)$. Hence we can regard m as a “constant” in solving this recursion. Let $T'(n) = T(m, n)$. We have $T'(n) = 4 \cdot T(n/2) + m \cdot n^2$. By applying master's theorem, we have $T'(n) = \Theta(m \cdot n^2 \cdot \log n) = T(m, n)$.

NOTE: Following is the more general form of the master's theorem, which can be used to directly solve the above first two recursions. Let $T(n) = a \cdot T(n/b) + f(n)$ be the recursion we want to solve. Let constant $c = \log_b a$. Let $\epsilon > 0$ be any positive constant. We have

$$T(n) = \begin{cases} \Theta(n^c) & \text{if } f(n) = O(n^{c-\epsilon}) \\ \Theta(f(n) \cdot \log n) & \text{if } f(n) = \Theta(n^c \cdot (\log n)^k) \\ \Theta(f(n)) & \text{if } f(n) = \Omega(n^{c+\epsilon}) \end{cases}$$

Problem 2 (10 points). You are given a polygon with n vertices, represented as the coordinates of its n vertices $((x_1, y_1), (x_2, y_2), \dots, (x_n, y_n))$ along the polygon in counter-clockwise order. Design a linear-time algorithm to decide whether this polygon is convex.

Solution. The algorithm is to check, for any continuous 3 vertices, (p_k, p_{k+1}, p_{k+2}) , along the polygon, their orientation must be either “turn left”, or colinear (then p_{k+2} must be on the extension of $p_k p_{k+1}$).

function decide-convex-polygon ($P[1 \dots n]$)

 append $P[1]$ and $P[2]$ to P ;

 for $k = 1$ to n

 let vector $a = P[k+1] - P[k] := (a_1, a_2)$;

 let vector $b = P[k+2] - P[k] := (b_1, b_2)$;

 let $x = a_1 \cdot b_2 - a_2 \cdot b_1$;

 if $x > 0$: continue;

 if $x < 0$: return false;

 if $\|a\|_2 \leq \|b\|_2$: continue; /* when a and b are colinear, compute and compare their norms */

 else return false;

 end for;

 return true;

end function

Problem 3 (20 points). You are given a sorted array $S[1 \dots n]$ with n distinct integers, i.e., $S[i] < S[i+1]$, for all $1 \leq i < n$. Design a divide-and-conquer algorithm to decide whether there exists an index k such that $S[k] = k$. Your algorithm should run in $O(\log n)$ time.

Solution. The algorithm is to do a *binary search*. We compare $S[n/2]$ with $n/2$. If $S[n/2] = n/2$ then we find such index. If $S[n/2] > n/2$, then we can claim that for any $k > n/2$ we must have $S[k] > k$. This is because all integers in S are distinct and sorted in ascending order. Therefore for any $k > n/2$ we have $S[k] \geq S[n/2] + (k - n/2) > n/2 + k - n/2 = k$. In other words, when we have $S[n/2] > n/2$ when we can discard the second half of the array, and only search such index in the first half. With the same reasoning, when we have $S[n/2] < n/2$ when we can discard the first half of the array, and only search such index in the second half.

We define the recursive function `decide-index` (S, a, b) with parameters array $S[1 \dots n]$, two indices a and b satisfying $1 \leq a \leq b \leq n$, returns an index k if $S[k] = k$ and $a \leq k \leq b$, and returns false if no such k can be found.

```
function decide-index ( $S[1 \dots n], a, b$ )
    if  $a = b$  and  $S[a] = a$ : return  $a$ ;
    if  $a = b$  and  $S[a] \neq a$ : return false;
    let  $m = (a + b) / 2$ ;
    if  $S[m] = m$ : return  $m$ ;
    if  $S[m] > m$ : return decide-index ( $S, a, m - 1$ );
    if  $S[m] < m$ : return decide-index ( $S, m + 1, b$ );
end function
```

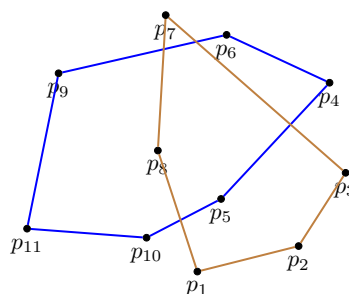
With the above recursive function, the call of `decide-index` ($S, 1, n$) will give us such k if $S[k] = k$ in the entire array, and return false if no such index can be found in the entire array.

Notice how to analyze the running time of this algorithm. First, the array S never changes during the recursive call. So we can always pass a *pointer* of S , which takes constant space, to every call of `decide-index`. This means that n is *not* the input size of the recursive function. In fact, the input size of `decide-index` should be defined as $b - a$, as it will be reduced by half in every iteration.

Let $T(k)$ be the running time of `decide-index` (S, a, b) when $k = b - a$ (regardless the size of S). We then have $T(k) = T(k/2) + O(1)$. Therefore, we have $T(k) = O(\log k)$. We eventually need to run `decide-index` ($S, 1, n$), which therefore takes $O(\log n)$ time.

Problem 4 (20 points). Given the following two convex polygons $C_1 = (p_1, p_2, p_3, p_7, p_8)$ and $C_2 = (p_5, p_4, p_6, p_9, p_{11}, p_{10})$, compute the convex hull of $C_1 \cup C_2$ using the linear time algorithm described within the divide-and-conquer algorithm for convex hull:

1. Partition C_2 into two sorted list, C_2^{UP} and C_2^{LOW} , such that the points in each list are sorted w.r.t. the anchor point p_1 in counter-clockwise order.
2. Give the merged list of C_2^{UP} and C_2^{LOW} , denoted as C_2' , such that all points in C_2' are sorted w.r.t. the anchor point p_1 in counter-clockwise order.
3. Give the merged list C_2' and C_1 , denoted as C , such that all points in C are sorted w.r.t. the anchor point p_1 in counter-clockwise order.
4. Run the Graham-Scan-Core algorithm with C as input: give the status of the stack as each point in C gets processed.

**Solution.**

1. $C_2^{UP} = (p_4, p_6, p_9)$ and $C_2^{LOW} = (p_{11}, p_{10}, p_5)$.
2. $C'_2 = (p_4, p_5, p_6, p_9, p_{10}, p_{11})$.
3. $C = (p_1, p_2, p_3, p_4, p_5, p_6, p_7, p_8, p_9, p_{10}, p_{11})$.
4. The status of stack is as follows:
 - processing p_1 : $[p_1]$
 - processing p_2 : $[p_1, p_2]$
 - processing p_3 : $[p_1, p_2, p_3]$
 - processing p_4 : $[p_1, p_2, p_3, p_4]$
 - processing p_5 : $[p_1, p_2, p_3, p_4, p_5]$
 - processing p_6 : $[p_1, p_2, p_3, p_4]$
 - processing p_6 : $[p_1, p_2, p_3, p_4, p_6]$
 - processing p_7 : $[p_1, p_2, p_3, p_4, p_6, p_7]$
 - processing p_8 : $[p_1, p_2, p_3, p_4, p_6, p_7, p_8]$
 - processing p_9 : $[p_1, p_2, p_3, p_4, p_6, p_7]$
 - processing p_9 : $[p_1, p_2, p_3, p_4, p_6, p_7, p_9]$
 - processing p_{10} : $[p_1, p_2, p_3, p_4, p_6, p_7, p_9, p_{10}]$
 - processing p_{11} : $[p_1, p_2, p_3, p_4, p_6, p_7, p_9]$
 - processing p_{11} : $[p_1, p_2, p_3, p_4, p_6, p_7, p_9, p_{11}]$

Problem 5 (10 points). Analysis the expected running time of the following randomized algorithm for sorting. You may assume that all elements in A are distinct.

```

function combined-sort (array  $A[1 \dots n]$ )
  if  $n = 1$ , then return  $A$ ;
  select  $k$  from  $\{1, 2, \dots, n\}$  uniformly at random;
  compute  $A_L$  as the list of elements in  $A$  that are smaller than  $A[k]$ ;
  compute  $A_R$  as the list of elements in  $A$  that are larger than  $A[k]$ ;
   $X_L = \text{merge-sort}(A_L)$ ;
   $X_R = \text{merge-sort}(A_R)$ ;
  return  $(X_L, A[k], X_R)$ .
end function

```

Solution. Let $T(n)$ be the running time of combined-sort (A) when array A contains n elements. Notice that $T(n)$ is a random variable as there is randomization within the algorithm. Notice also that this algorithm is not a recursive algorithm. Let $M(m)$ be the running time of merge-sort when its input size is m . We know that $M(m) = O(m \cdot \log m)$. We have $T(n) = O(n) + M(|A_L|) + M(|A_R|)$. Notice that $|A_L|$ is also a random variable with distribution of $\Pr(|A_L| = i) = 1/n$, for any $0 \leq i \leq n-1$. Similarly,

$\Pr(|A_R| = i) = 1/n$, for any $0 \leq i \leq n-1$. Take expectation w.r.t. the randomness of $|A_L|$ and $|A_R|$ on both sides. We have $T(n) = O(n) + 2 \cdot \sum_{i=0}^{n-1} 1/n \cdot M(i) = O(n) + 2/n \cdot \sum_{i=0}^{n-1} O(i \cdot \log i) = O(n \cdot \log n)$.

Problem 6 (30 points). The square of a matrix A is its product with itself, i.e., AA .

1. Show that 5 multiplications are sufficient to compute the square of a 2×2 matrix.
2. What is wrong with the following algorithm for computing the square of an $n \times n$ matrix?
“Use a divide-and-conquer algorithm as in Strassen’s algorithm, except that instead of getting 7 subproblems of size $n/2$, we now get 5 subproblems of size $n/2$ thanks to part (1). Using the same analysis as in Strassen’s algorithm, we can conclude that the algorithm runs in time $O(n^{\log_2 5})$ ”
3. Show that if $n \times n$ matrices can be squared in $O(n^c)$ time for certain constant c , then any two $n \times n$ matrices can be multiplied in $O(n^c)$.

Solution.

1. We have

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \times \begin{bmatrix} a & b \\ c & d \end{bmatrix} = \begin{bmatrix} a^2 + bc & ab + bd \\ ac + cd & bc + d^2 \end{bmatrix}$$

It suffice to compute a^2 , bc , $b(a+d)$, $c(a+d)$, and d^2 .

2. The problem with above reasoning is that, as the recursion goes, the subproblems are not “square of a matrix” any more.
3. Given any two $n \times n$ matrices A and B , build a new matrix of size $2n \times 2n$:

$$X = \begin{bmatrix} A & B \\ 0 & 0 \end{bmatrix}$$

We can then compute the square of X using the given algorithm. The running time would be $O((2n)^c) = O(n^c)$, as c is a constant. Notice that

$$X \times X = \begin{bmatrix} A & B \\ 0 & 0 \end{bmatrix} \times \begin{bmatrix} A & B \\ 0 & 0 \end{bmatrix} = \begin{bmatrix} A^2 & AB \\ 0 & 0 \end{bmatrix}$$

which gives the product of A and B . Therefore, we have an algorithm that can compute AB in $O(n^c)$ time.