

**1. (5 pts.) Problem 1**

I understand the course policies.

**2. (36 pts.) Problem 2**

- (a)  $f = O(g)$ :  $\lim_{n \rightarrow \infty} \frac{6n \cdot 2^n + n^{100}}{3^n} = \lim_{n \rightarrow \infty} \frac{6n}{1.5^n} + \lim_{n \rightarrow \infty} \frac{n^{100}}{3^n} = 0$ , now since  $\lim_{n \rightarrow \infty} \frac{f(n)}{g(n)} = 0$ , we have  $f = O(g)$ .
- (b)  $f = \Theta(g)$ :  $\lim_{n \rightarrow \infty} \frac{\log 2n}{\log 3n} = \lim_{n \rightarrow \infty} \frac{\log 2 + \log n}{\log 3 + \log n} = 1$ .
- (c)  $f = \Omega(g)$ :  $\lim_{n \rightarrow \infty} \frac{\sqrt{n}}{\sqrt[3]{n}} = \infty$
- (d)  $f = \Omega(g)$ :  $\lim_{n \rightarrow \infty} \frac{\frac{n^2}{\log n}}{n(\log n)^4} = \lim_{n \rightarrow \infty} \frac{n}{(\log n)^5} = \infty$
- (e)  $f = \Theta(g)$ :  $n^2$  dominates both  $n \log n$ , and  $(\log n)^5$  terms, which implies that  $f = \Theta(n^2)$ ,  $g = \Theta(n^2)$ ,
- (f)  $f = \Omega(g)$ : Let  $k = \lfloor \sqrt{n} \rfloor$ , then we have  $f(k) = 8^{k^2} \cdot k^4$ ,  $g(k) = k!$ . Now, note that  $8^k \geq k$  for all  $k \geq 1$ , and so  $8^{k^2} = (8^k)^k \geq k^k$  for all  $k \geq 1$ . From Worksheet 1, we know that  $k^k \geq k!$  and so  $8^{k^2} \geq k!$  which also implies that  $8^{k^2} \cdot k^4 \geq k!$  for all  $k \geq 1$ . Thus, it follows from the definition of  $O$  that  $k! = O(8^{k^2} \cdot k^4)$  (taking  $c = 1$  and  $n_0 = 1$ ); it follows from the definition of Omega that  $f = \Omega(g)$ .
- (g)  $f = \Theta(g)$ : we can write  $8 \log n = \log(n^8) < \log(n^9 + \log n) < \log(n^{10}) = 10 \log n \Rightarrow f = \Theta(\log 2n)$ . Also,  $g = \log 2n = \log 2 + \log n = \Theta(\log n)$ .
- (h)  $f = O(g)$ : For function  $f$  we have  $f = (\log_2 n)^{\log_2 n} = 2^{(\log_2 \log_2 n)(\log_2 n)}$ . Then, we can write  $\lim_{n \rightarrow \infty} \frac{g(n)}{f(n)} = \lim_{n \rightarrow \infty} \frac{2^{(\log_2 n)^2}}{2^{(\log_2 \log_2 n)(\log_2 n)}} = \lim_{n \rightarrow \infty} 2^{(\log_2 n)^2 - (\log_2 \log_2 n)(\log_2 n)}$ . Now note that  $\lim_{n \rightarrow \infty} \frac{(\log_2 n)^2}{(\log_2 \log_2 n)(\log_2 n)} = \lim_{n \rightarrow \infty} \frac{\log_2 n}{\log_2 \log_2 n} = \infty$ , which also means that  $\lim_{n \rightarrow \infty} (\log_2 n)^2 - (\log_2 \log_2 n)(\log_2 n) = \infty$ , therefore we have  $\lim_{n \rightarrow \infty} \frac{g}{f} = \infty$ , this implies  $f = O(g)$ .
- (i)  $f = \Theta(g)$ : We can write  $f = n \log(n^{20}) = 20n \log n = \Theta(n \log n)$ , and  $g = \log(3n!) = \Theta(\log(n!))$ . Thus, it remains to show that  $\log(n!) = \Theta(n \log n)$ , but we know this is true, as we saw the proof in Worksheet 1, problem 2(i).

**3. (15 pts.) Problem 3**

By the formula for the sum of a partial geometric series, for  $c \neq 1$ :  $S(k) := \sum_{i=0}^k c^i = \frac{1-c^{k+1}}{1-c}$ . Thus,

- If  $c > 1$ , we have  $c^{k+1} > 1$ , and then  $S(k) = \frac{c^{k+1}-1}{c-1}$ . Now we can write  $\lim_{k \rightarrow \infty} \frac{S(k)}{c^k} = \lim_{k \rightarrow \infty} \frac{c - \frac{1}{c^k}}{c-1} = \frac{c}{c-1} - \frac{1}{c-1} \lim_{k \rightarrow \infty} \frac{1}{c^k}$ . Since  $c > 1$ , we can conclude  $\lim_{k \rightarrow \infty} \frac{1}{c^k} = 0$ . Therefore we have  $\lim_{k \rightarrow \infty} \frac{S(k)}{c^k} = \frac{c}{c-1}$ . Note that  $0 < \frac{c}{c-1} < \infty$ , therefore  $S(k) = \Theta(c^k)$
- If  $c = 1$ , then every term in the sum is 1. Thus,  $S(k) = k+1 = \Theta(k)$ .
- If  $c < 1$ , then  $\frac{1}{1-c} > \frac{1-c^{k+1}}{1-c} = S(k) > 1$ . Thus,  $S(k) = \Theta(1)$ .

**4. (20 pts.) Problem 4**

- (a)  $f(n)$  is a degree  $d$  polynomial. We have  $\lim_{n \rightarrow \infty} \frac{f(n)}{n^k} = 0$ , for  $k > d$ . So, we can conclude that for  $k \geq d$ ,  $f(n) = O(n^k)$ . On the other hand, for  $k < d$ ,  $\lim_{n \rightarrow \infty} \frac{f(n)}{n^k} = \infty$ , which means that  $f(n) = \Omega(n^k)$  in this case. Also for  $k = d$ , we have  $\lim_{n \rightarrow \infty} \frac{f(n)}{n^k} = a_d$ , so  $f(n) = \Theta(n^k)$
- (b) Part (b) is a special case of part (c); same proof works. Alternatively, this problem can also be solved by remembering that  $\sum_{k=1}^n k^2 = \frac{n(n+1)(2n+1)}{6}$ .
- (c) Since  $k \leq n$  every term in the sum is at most  $n$ , so

$$\sum_{k=1}^n k^j = 1^j + \dots + n^j \leq n^j + \dots + n^j = \sum_{k=1}^n n^j = n^{j+1}.$$

We do something similar for the lower bound. The only additional idea is that we only look at the second half of the sum. The smallest element in the second half of the sum correspond to  $k = n/2$  (assuming without loss of generality that  $n$  is even). Then,

$$\sum_{k=1}^n k^j \geq \sum_{k=n/2}^n k^j \geq \sum_{k=n/2}^n (n/2)^j = 2^{-j-1} n^{j+1}.$$

- (d) First we show the upper bound.  $\sum_{i=1}^n \sum_{j \neq i, j=1}^n ij \leq (\sum_{i=1}^n i)^2 = (\frac{n(n+1)}{2})^2 = O(n^4)$ . For the lower bound, note that we can write:  $\sum_{i=1}^n \sum_{j \neq i, j=1}^n ij \geq \sum_{i=\frac{n}{2}}^n \sum_{j \neq i, j=\frac{n}{2}}^n ij \geq \binom{n}{2} (\frac{n}{2})^2 = \frac{n(n-1)}{2} \frac{n^2}{4} = \Omega(n^4)$

## 5. (24 pts.) Prove the statements

- Based on the definition,  $f(n) = O(g(n))$  means that there exist positive constants  $c$  and  $n_0$  such that  $0 \leq f(n) \leq cg(n)$  for all  $n \geq n_0$ . Thus,  $0 \leq \frac{1}{c}f(n) \leq g(n)$  for all  $n \geq n_0$ . As  $\frac{1}{c}$  is positive, given the definition of the  $\Omega$ -notation, we can deduce that  $f(n) = O(g(n))$  means the same as  $g(n) = \Omega(f(n))$ .
- According to the definition of  $\Theta$ -notation, we need to find two constants  $c_1$  and  $c_2$  such that  $0 \leq c_1(f(n) + g(n)) \leq \max(f(n), g(n)) \leq c_2(f(n) + g(n))$  for all  $n \geq n_0$  where  $n_0$  is a positive constant. Given that  $f(n)$  and  $g(n)$  are asymptotically non-negative, we can make the following conclusions:
  - $\max(f(n), g(n)) \leq f(n) + g(n)$
  - $\max(f(n), g(n))$  comprises at least half of  $f(n) + g(n)$ , meaning  $\max(f(n), g(n)) \geq 0.5(f(n) + g(n))$
  - $0.5(f(n) + g(n)) \geq 0$

As such,  $c_1$  could be 0.5 and  $c_2$  could be 1. Therefore,  $\max(f(n), g(n)) = \Theta(f(n) + g(n))$ .

- We know that one can directly translate between logarithms of different bases using the following fundamental identity:

$$\log_a n = \frac{\log_b n}{\log_b a}$$

So we can get  $\log_a n = \frac{1}{\log_b a} \log_b n \leq c \log_b n$ , we can say that  $\log_a n = \Theta(\log_b n)$

# Rubric:

## Problem 1

Assign full credit if “I understand the course policies” is written. Subtract one point if they forgot to mention their collaborators or write “none” for the collaborators.

## Problem 2

Each part is 4 pts: 2 pt for identifying right relation between  $f$  and  $g$  and 2 pts for a reasonable explanation.

## Problem 3

Case  $c > 1$ : 6 pts

Case  $c = 1$ : 3 pts

Case  $c < 1$ : 6 pts

## Problem 4

Each part is worth 5 pts.

part a: showing only one case is worth 2.5 pts.

part b-c-d: showing only upper bound is worth 2 pts, and showing only lower bound is worth 3 pts.

## Problem 5

1. This part is worth 8 points.  
5 points: the inference from  $0 \leq f(n) \leq cg(n)$  to  $0 \leq \frac{1}{c}f(n) \leq g(n)$   
3 points: emphasize  $\frac{1}{c}$  is positive
2. This part is worth 8 points.  
3 points: correctly prove the upper bound  
4 points: correctly prove the lower bound  
1 point: emphasize the lower bound i.e.  $c_1(f(n) + g(n))$  is non-negative
3. This part worth 8 points, students should get 4 point if they show  $\log_a n = \frac{\log_b n}{\log_b a}$ , and get the rest 4 point if they show  $\log_a n = \frac{1}{\log_b a} \log_b n \leq c \log_b n$