# Parameter sensitivity and identifiability for a biogeochemical model of hypoxia in the northern Gulf of Mexico<sup>☆</sup>

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#### Abstract

Local sensitivity analyses and identifiable parameter subsets were used to describe numerical constraints of a hypoxia model for bottom waters of the northern Gulf of Mexico. The sensitivity of state variables differed considerably with parameter changes, although most variables were responsive to changes in parameters that influenced planktonic growth rates and less sensitive to physical or chemical parameters. Variation in sensitivity had a direct correspondence with identifiability, such that only small subsets of the complete parameter set had unique effects on the model output. Selecting parameters by decreasing sensitivity demonstrated that only eight of 51 total parameters had a sufficiently unique effect on model output for precise calibration. As a result, parameter selection heuristics were used to identify parameters for model calibration that depended on combined effects on output, relative sensitivity of each parameter, and ecological categories for the biogeochemical equations. The calibrated zero-dimensional (0-D) unit of the hypoxia model had improved precision if sensitive parameters were included in an identifiable subset. Extension of results to a three-dimensional grid of the Gulf of Mexico showed that sensitive parameters for the 0-D model translated to non-trivial changes in the areal estimates of hypoxia.

Keywords: Coastal General Ecosystem Model (CGEM), Gulf of Mexico (GOM), Hypoxia, Identifiability, Sensitivity

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#### 1. Introduction

Hypoxia formation in bottom waters of coastal oceans occurs primarily from excess nutrient inputs from land-based sources (Justíc et al., 1987; Diaz and Rosenberg, 1995; Howarth et al., 1996). These events are detrimental to aquatic organisms and have significant negative effects on economic resources derived from coastal ecosystems (Lipton and Hicks, 2003; Diaz and Rosenberg, 2011). An understanding of the biological, physical, and chemical processes that contribute to the growth of hypoxic areas is a critical concern for mitigating and preventing these negative impacts. Numerical ecosystem models are important tools that synthesize knowledge of ecosystem processes that contribute to hypoxia formation and for predicting the effects of proposed management activities or future scenarios (Scavia et al., 2004; Hagy and Murrell, 2007; Pauer et al., 2016). Unlike statistical models with more generic structures, simulation and process-based models include explicit descriptions of relevant processes that are constrained by empirical or observational 11 data relevant to the system of interest (e.g. Omlin et al., 2001b; Eldridge and Roelke, 2010). These models 12 are often coupled with hydrodynamic grids to provide spatially-explicit representations of patterns in three 13 dimensions (Warner et al., 2005; Zhao et al., 2010; Ganju et al., 2016). Combined hydrodynamic and bio-geo-chemical models have been developed specifically to describe hypoxic conditions on the Louisiana continental shelf (LCS) in the northern Gulf of Mexico (GOM) (Fennel et al. 2013; Obenour et al. 2015; 16 Pauer et al. 2016, Lehrter et al. in press). This area drains a significant portion of the continental United 17 States through the Mississippi-Atchafalaya River Basin (MARB) and is the second largest hypoxic area in 18 the world (Rabalais et al., 2002). Understanding processes that contribute to the frequency and duration of 19 hypoxic events remains a critical research goal for the region.

The development of a model represents a tradeoff between characteristics expected from the output and 21 information represented by the structural components. An ideal model is sufficiently generalizable across 22 systems, provides results that are precise given the inputs, and includes components that are realistic descrip-23 tions of actual processes (Levins, 1966). Given that these characteristics cannot be simultaneously achieved, models are developed in partial dependence of reality and theoretical constructs, completely separate from both, or dependent on one or the other (Morrison and Morgan, 1999; Ganju et al., 2016). These challenges are analogous to the well-known bias-variance tradeoff in statistical models that balances the competing 27 objectives of over- and under-fitting to an observed dataset. Process-based models are more commonly imbalanced between reality and theory, such that most are over-parameterized in an attempt to completely describe reality (Denman, 2003; Nossent and Bauwens, 2012; Petrucci and Bonhomme, 2014). Quantitative limitations of over-parameterization are analogous to degrees of freedom in standard statistical models as free parameters cannot be numerically estimated when constrained to an observed dataset (Kirchner, 2006). 32 More importantly, over-parameterization can limit use across systems outside of the data domain and impose uncertainty in model predictions as realistic values for every variable may not be known or inaccurately applied from existing studies (Durand et al., 2002; Refsgaard et al., 2007; Wade et al., 2008).

Model precision can be evaluated relative to the effects of initial conditions or the observed data used

for calibration, changes in parameter values, or variation in the structural components (i.e., observational, parameter, or structural uncertainty) (Beck, 1987). Evaluating effects of parameter changes is by far the most common and simplest approach. Although sensitivity analyses should be integrated with model development, parameters are often evaluated post-hoc as a form of 'damage control' for further calibration. This approach is sometimes called inverse modelling where results from sensitivity analyses are used to guide calibration or fit of the developed model to observations (Soetaert and Petzoldt 2010, or confronting models with data, 42 sensu Hilborn and Mangel 1997). Parameter sensitivity analysis combined with inverse modelling necessarily involves questions of parameter 'identifiability'. Redundancies in parameter effects lead to unidentifiable models where calibration is empirically impossible (i.e., standard algorithms will not converge) or parameter 45 values may be non-unique leading to the right answer for the wrong reason (Kirchner, 2006). Unidentifiable parameter sets have effects on model output that can be undone or compensated for by alteration of other 47 parameters. Identifiability issues are not foreign to hypoxia or eutrophication models (Omlin et al., 2001a; Estrada and Diaz, 2010; Mateus and Franz, 2015), although there is a clear need for greater integration of these concepts in practice (Fasham et al., 2006). This study describes a parameter sensitivity and identifiability analysis of a zero-dimensional (0-D) 51 unit of a larger spatial-temporal model of hypoxia dynamics on the LCS. The objectives were to provide 52 a statistical approach that demonstrates numerical limitations of parameter sets for model calibration and provide a framework for selecting parameters within the identifiability constraints. The specific goals were to 1) identify the parameters that have the greatest influence on state variables using local sensitivity analysis, 2) quantify the identifiability of subsets of the total parameter space based on sensitivity, 3) and provide a set of heuristics for choosing parameters based on sensitivity, identifiability, and parameter categories. The 0-D 57 model was calibrated with selected parameter subsets to demonstrate use of the selection heuristics to improve model precision. Sensitive parameters for the 0-D model were also varied in the larger 3-dimensional model to demonstrate scalability the results. In addition to dissolved oxygen (O<sub>2</sub>), other state variables that were 60 evaluated included ammonium, chlorophyll a (chl-a), irradiance, nitrate, particulate organic matter (POM), dissolved organic matter (DOM), and phosphorus. In general, we provide empirical results to support the 62 assumption that models are generally over-parameterized and only a finite and smaller subset of the complete

#### <sup>65</sup> 2. Methods

# 66 2.1. Model description

parameter set can be optimized.

Hypoxic events, defined as <2 mg L<sup>-1</sup> of O<sub>2</sub> (< 64 mmol m<sup>-3</sup>), occur seasonally in bottom waters in the northern GOM. The hypoxic area averages 15,540 km<sup>2</sup> annually (1993-2015) with minimum concentrations observed from late spring to early fall. Seasonal variation is strongly related to carbon and nutrient export from the MARB (Lohrenz et al., 2008; Bianchi et al., 2010), whereas hydrologic variation, currents, and wind patterns can affect vertical salinity gradients that contribute to hypoxia formation (Wiseman et al., 1997;

Paerl et al., 1998; Obenour et al., 2015). The Coastal General Ecosystem Model (CGEM) was developed to describe hypoxia dynamics on the LCS and includes elements from the Navy Coastal Ocean Model (Martin, 2000) for hydrodynamics and a biogeochemical model with multiple plankton groups, water-column metabolism, and sediment diagenesis (Eldridge and Roelke, 2010). The hydrodynamic component of CGEM provides a spatially-explicit description of hypoxia using an orthogonal grid with an approximate horizontal resolution of 1.9 km<sup>2</sup> and twenty equally-spaced vertical sigma layers on the shelf. The biogeochemical component includes equations for 36 state variables including six phytoplankton groups (with nitrogen and phosophorus quotas for each), two zooplankton groups, nitrate, ammonium, phosphate, dissolved inorganic carbon, oxygen, silica, and multiple variables for dissolved and particulate organic matter from different sources.

The core unit of CGEM is FishTank, a 0-D model that implements the biogeochemical equations in Eldridge and Roelke (2010) and does not include any advection, mixing, or sediment diagenesis. Although FishTank was developed for specific application in CGEM, it can easily be applied to other hydrodynamic grids. Results are based on time-dependent differential equations that describe energy flow between phytoplankton and zooplankton groups given nutrient uptake rates, organic matter inputs and losses, inherent optical properties, and temperature (Penta et al. 2008; Eldridge and Roelke 2010, see appendix in Lehrter et al. in press). A total of 108 equations are estimated at each time step to return values for each of the 36 state variables described by the model. In addition to the initial conditions, 251 parameter values for each of the equations are also supplied at model execution. Values for each of the parameters were based on estimates from the literature, field or laboratory-based measurements, or expert knowledge in absence of the former. As such, a sensitivity analysis of parameter values is warranted given that, for example, literature or field-based estimates may not apply under all scenarios or expert knowledge is not completely certain (Refsgaard et al., 2007).

The sensitivity of state variables to perturbations of all relevant parameters for the 108 equations was 95 estimated using a five minute timestep with daily output from January 1st to December 31st, 2006. Irrelevant parameters were removed for several reasons; parameters were not relevant for the 0-D model (i.e., hydrody-97 namic parameters), were considered physical constants, or had no effect given initial conditions. Additionally, FishTank includes six phytoplankton and two zooplankton groups to add complexity in community structure and foodweb dynamics. To remove obvious redundancies, the sensitivity analyses were conducted using only 100 one phytoplankton and one zooplankton group. The final set that was evaluated included 51 parameters that 101 were further grouped into one of six categories based on applicable biogeochemical components of the model: 102 optics (n = 4 parameters), organic matter (12), phytoplankton (22), temperature (2), and zooplankton (11). A full description of the model parameters is available as an appendix in Lehrter et al. in press. 104

# 2.2. Local sensitivity analysis

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A local sensitivity analysis was performed by evaluating the change in state variables following perturbation of each parameter from its original value (Soetaert and Petzoldt, 2010; RDCT (R Development

Core Team), 2017). Parameters were individually perturbed by a 50% increase of the original values and sensitivity S was estimated for each time step i given a change for parameter j as:

$$S_{ij} = \frac{\partial y_i}{\partial \Theta_j} \cdot \frac{w_{\Theta_j}}{w_{u_i}} \tag{1}$$

where the estimate is based on the change in the predicted value for response variable y divided by the change in the parameter  $\Theta_j$  multiplied by the quotient of scaling factors w for each. The scaling factors,  $w_{\Theta_j}$  for the parameter  $\Theta_j$  and  $w_{y_i}$  for response variable  $y_i$ , were set as the default value of the unperturbed parameter and the predicted value of  $y_i$  after perturbation (Soetaert and Petzoldt, 2010). Scaling makes the estimates unitless to compare model sensitivity to parameters and state variables that differ in relative magnitude. Sensitivity values for all j parameters were summarized as a single value across the time series from i = 1 to n as L1:

$$L1 = \sum |S_{ij}|/n \tag{2}$$

All parameters for each of the six equation categories (optics, organic matter, phytoplankton, temperature, and zooplankton) that had non-zero L1 were retained for identifiability analysis.

# 2.3. Identifiability and selecting parameter subsets

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The collinearity index  $\gamma$  provides a measure of potential redundancies in the response of a state variable to changes in parameter values. The index measures the linear dependence between sensitivity functions (i.e.,  $S_i$  for j parameters) described above for parameter subsets and was estimated from the minimum eigenvector of the cross-product of a selected sensitivity matrix (Brun et al., 2001; Omlin et al., 2001a):

$$\gamma = \frac{1}{\sqrt{\min\left(\text{EV}[\hat{S}^{\top}\hat{S}]\right)}} \tag{3}$$

where  $\gamma$  ranges from one to infinity for perfectly identifiable (orthogonal) or unidentifiable (perfectly collinear) parameter sets. The sensitivity functions were supplied as a matrix  $\hat{S}$  with rows i and columns j (eq. (1)) that 125 described deviations of predicted  $O_2$  following perturbations of each parameter. Thus,  $\gamma$  can be estimated 126 from results for any subset of parameter combinations. Sensitivity matrices were first normalized by dividing 127 by the square root of the summed residuals (Omlin et al., 2001a; Soetaert and Petzoldt, 2010). Estimates of  $\gamma$  greater than 10-15 suggest parameter sets are poorly identifiable (Brun et al., 2001; Omlin et al., 2001a), meaning parameter values that maximize precision on a calibration dataset are inestimable by conventional optimization algorithms. An intuitive interpretation of  $\gamma$  is provided by Brun et al. (2001), such that a 131 change in a state variable caused by a change in one parameter can be offset by the fraction  $1-1/\gamma$  by the 132 remaining parameters. That is,  $\gamma = 10$  suggests the relative change in  $O_2$  for an arbitrary parameter in the selected set can be compensated for by 90% with changes in the other parameters. 134

Parameter selection for model calibration must consider the competing objectives of increased precision with parameter inclusion and reduced identifiability as it relates to optimization. An additional challenge is a large number of combinations of parameter sets, which complicates selection given sensitivity differences and desired ecological categories of each parameter (e.g., parameters for a group of related structural equations could be of interest). Fig. 1 provides a simple graphic of the unique number of combinations that are possible for different subsets of 'complete' parameter sets of different sizes (i.e., n choose k combinations, n!/(k!(n-k)!)). The number of unique combinations increases with the total parameters in the set and is also maximized for moderate selections (e.g., selecting half the total). For example, over  $10^{14}$  combinations are possible by selecting 25 parameters from a set of 50.

A set of heuristics was developed that addresses the tradeoff in model complexity and identifiability given the challenges described above (see also Wagener et al., 2001). These rulesets were developed to select parameters with preference for those with high sensitivity and identifiability based on  $\gamma < 15$  as an acceptable threshold for subsets (e.g., 93% accountability between parameters). Selection heurestics also recognized that parameter categories (i.e., optics, organic matter, phytoplankton, temperature, zooplankton) may have unequal preferences by users given desired application of the model. For all selection heuristics, parameters were selected by decreasing sensitivity starting with the most sensitive until identifiability did not exceed  $\gamma = 15$  where selections were 1) blocked within each of five parameter categories, 2) independent of parameter category, 3) or considering all categories equally.

# 2.4. Model calibration and scalability

Selected parameter subsets from the seven heuristics were used to calibrate the 0-D model. This analysis demonstrated that different parameter sets can produce model output with differing precision and calibration effort given 1) differences in variable sensitivity to parameters in each subset, 2) differences in the parameters selected from different categories (heuristic difference), and 3) differences in identifiability values. Generally, this analysis was a proof of concept that the selection heuristics can lead to an 'optimal' model by maximizing the balance between sensitivity and identifiability.

A time series of O<sub>2</sub> from Weeks Bay, Alabama was used for model calibration. Daily observations from January 1<sup>st</sup>, 2006 to January 1<sup>st</sup>, 2007 at the Weeks Bay station of the Weeks Bay National Estuarine Reserve were used (Wenner et al., 2004). We chose this location because of the availability of data for model calibration compared to bottom waters in the northern GOM and the system exhibits hypoxia from eutrophication. This application also provided a practical example of how the FishTank model could be calibrated using data from alternative locations. Initial conditions for model input were modified to match conditions in Weeks Bay using observed water quality and nutrient data (all data from http://cdmo.baruch.sc.edu/). The O<sub>2</sub> time series was filtered to reduce physical effects of the tidal advection component on the observed data (sensu Beck et al. 2015). As such, the filtered data were comparable to a time series with no physical advection to match conditions of the 0-D FishTank model with no hydrodynamic component.

Each parameter subset was calibrated to most closely match the model output to the observed time 170 series. Parameter values were searched using the optim function in R that implemented the limited memory 171 modification of the BFGS quasi-Newton method (Byrd et al., 1995; Nocedal and Wright, 2006; RDCT 172 (R Development Core Team), 2017). This opimization scheme searches for values within the user-defined 173 lower and upper bounds of each parameter. We set the algorithm to search within  $\pm$ -50% of the default 174 parameter values. While we recognize that 'actual' parameter values could possibly extend beyond this 175 range, constraining the values to the range tested herein was expected to produce output that was more 176 interpretable within the context of the sensitivity functions defined above. Moreover, precise limits on each parameter were less of a concern for the current analysis as our primary goal was to demonstrate differences in 178 precision as a function of sensitivity and identifiability. The optimal values for each parameter were identified 179 based on convergence of the model results as a minimization of the root mean squared error (RMSE) between 180 the observed and modelled data. 181

As a final analysis, effects of parameter changes on the larger 3-dimensional model were evaluated to demonstrate that results from the 0-D FishTank model are scalable. For simplicity, only the most sensitive parameter in each category was selected. The CGEM model was run for one year using the default parameter conditions and by increasing each of the selected parameters by 50%. This produced six spatially-referenced time series (one default, five for each parameter change) from which changes in the daily hypoxic area (number of cells  $< 64 \text{ mmol } O_2$ ) were estimated.

## 188 3. Results

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#### 3.1. Local sensitivity analysis

Local sensitivity analyses showed that  $O_2$  was sensitive to perturbations in 38 of the 51 (75% of total) 190 parameters that were evaluated in FishTank (Fig. 2, Table 1). Within each parameter category, O2 was 191 sensitive to three parameters for optics (75% of all optic parameters), eight for organic matter (67%), 16 192 for phytoplankton (73%), one for temperature (50%), and 10 for zooplankton (91%). Although  $O_2$  had the 193 greatest sensitivity to parameters in the zooplankton category (as percentage of total), the relative effects 194 varied. Among all parameters, average sensitivity was  $L1 = 9.2 \times 10^{-3}$  with values ranging from L1 = $8.34 \times 10^{-8}$  for QminP (phytoplankton) to 0.05 for umax (phytoplankton). Within categories (excluding 196 temperature with one sensitive parameter), sensitivity ranged from  $4.39 \times 10^{-5}$  (astarOMA) to  $7.51 \times 10^{-4}$ 197 (astar490) for optics,  $4.17 \times 10^{-4}$  (KNH4) to  $6.15 \times 10^{-3}$  (KG1) for organic matter,  $8.34 \times 10^{-8}$  (QminP)198 to 0.05 (umax) for phytoplankton, and  $3.69 \times 10^{-5}$  (ZQp) to 0.05 (ZKa) for zooplankton (Table 1). Average 199 sensitivity values in each category were  $L1 = 2.81 \times 10^{-4}$  for optics,  $2.17 \times 10^{-3}$  for organic matter, 0.02 for temperature, 0.01 for phytoplankton, and 0.01 for zooplankton. 201

Local sensitivity analyses for the additional state variables (ammonium, chl-a, irradiance, nitrate, POM, DOM, and phosphorus) had similar results as  $O_2$  with some exceptions (Fig. 2 and Tables S1 to S7). All variables were sensitive to the same parameters as  $O_2$  (38 of 51 evaluated), although average sensitivity

differed between variables. Average L1 ranged from 0.02 for irradiance (Table S3) to 0.71 for DOM (Table S6). All average sensitivity values for the state variables were higher than the average for  $O_2$  ( $L1 = 9.2 \times 10^{-3}$ ). For each variable, L1 ranged from  $2.24 \times 10^{-6}$  (QminP) to 8.49 (mA) for ammonium (Table S1),  $1.38 \times 10^{-6}$ 207 (QminP) to 13.94 (mA) for chl-a (Table S2),  $1.92 \times 10^{-7}$  (QminP) to 0.13 (ZKa) for irradiance (Table S3), 208  $6.67 \times 10^{-7}$  (QminP) to 8.49 (umax) for nitrate (Table S4),  $6.41 \times 10^{-5}$  (KNH4) to 7.22 (mA) for POM 209 (Table S5),  $7.41 \times 10^{-5}$  (KNH4) to 14.25 (mA) for DOM (Table S6), and  $8.21 \times 10^{-7}$  (QminP) to 1.47 (ZKa) 210 for phosphate (Table S7). For the parameter categories, ammonium was most sensitive to phytoplankton 211 parameters (average L1 = 0.8 across all parameters in the category), chl-a to phytoplankton (L1 = 1.14), irradiance to zooplankton (L1 = 0.03), nitrate to zooplankton (L1 = 1.06), POM to temperature (L1 = 0.86), 213 DOM to temperature (L1 = 1.48), and phosphate to zooplankton (L1 = 0.31). Finally, average sensitivity 214 between parameter categories independent of the state variables ranged from  $8.38 \times 10^{-3}$  for optics (average 215 L1 across all variables) to 0.62 for phytoplankton. 216

#### 217 3.2. Identifiability of parameter subsets and selection rules

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The identifiability analyses suggested that many parameter subsets exceeded the thresholds of  $\gamma = 10, 15$ . 218 Parameter identifiability for  $O_2$  decreased (increasing  $\gamma$ ) at different rates with increasing size of parameter 219 subsets depending on the parameter category or the number of top parameters that were selected (Fig. 3). 220 By category, identifiability was lowest for all combinations of parameter subsets in the phytoplankton (60% of subsets less than  $\gamma = 15, 43\%$  less than  $\gamma = 10$ ) and zooplankton categories (53.1% less than  $\gamma = 15, 40\%$ 222 less than  $\gamma = 10$ ), whereas all combinations were identifiable for optics (100% less than  $\gamma = 15, 10$ ) and a 223 majority identifiable for organic matter (91.9% less than  $\gamma = 15, 76.5\%$  less than  $\gamma = 10$ ). Identifiability for 224 parameters in the temperature category was not evaluated because O<sub>2</sub> was sensitive to only one parameter 225 (i.e.,  $\gamma = 1$ ). Parameter combinations for choosing from the top, top two, top three, and top four parameters in each category together had decreasing identifiability with the increasing size of the selection pool (e.g., top 227 one versus top four parameters, Fig. 3). The percentage of parameter subsets that were below the acceptable 228 thresholds for identifiability was 100% less than  $\gamma = 15, 10$  for the top parameter in each category, 90.6% and 229 80.7% for the top two, 80.7% and 70.9% for the top three, and 55.8% and 45.7% for the top four. Results for 230 the remaining state variables had similar patterns in identifiability with increasing size of parameter subsets 231 and selection categories, although differences in identifiability between state variables was observed (Fig. 4). 232 Most notably, nitrate was consistently the least identifiable variable (highest overall  $\gamma$ ), whereas  $O_2$  was 233 most identifiable. 234

An evaluation of the effects of individual parameters on  $\gamma$  suggested that some parameters had disproportionate effects on identifiability. Based on  $\gamma = 15$ , Fig. 3 suggests that most parameter sets for organic matter were identifiable, regardless of how many parameters were selected (i.e., two through eight). However, some subsets were not identifiable such that identification of one or more redundant parameters that are inflating  $\gamma$  values could provide useful information. Fig. 5 shows an alternative view of identifiability of  $O_2$  with exclusion and inclusion of individual parameters in different sets for the organic matter category. As

before, collinearity increases with more parameters in a subset, although the increase varies depending on which parameter was included or excluded from the set. For example, inclusion of KNO3 in a parameter set almost always inflated  $\gamma$ . All parameter subsets that did not include KNO3 were well below  $\gamma = 15$ , suggesting that exclusion of this parameter improves identifiability. Interestingly, the inclusion of some parameters caused a reduction in  $\gamma$ , which contradicts the general rule that more parameters caused reduced identifiability. For example, parameter sets that included KGcdom generally had lower  $\gamma$  values relative to those that excluded the parameter.

Results for each of the three selection heuristics (blocked by parameter category, independent of category, all categories equally) applied to each state variable differed in the number of selected parameters and dis-249 tribution of parameters within each category (Tables 2 to 4). In general, a corresponendence was observed 250 between the number of parameters that were selected given the threhold of  $\gamma = 15$  and relative identifiability 251 between the state variables. As noted above, nitrate was the least identifiable variable (Fig. 4), whereas other 252 variables (e.g., O<sub>2</sub>, irradiance) were more identifiable. The constraints on identifiability between variables 253 were demonstrated with the selection heuristics. For example, heuristics for nitrate typically selected only 254 one or two parameters that met the criteria as compared to more identifiable variables that included several 255 parameters. Overall, the first selection heuristic demonstrated that the number of parameters chosen by pa-256 rameter category differed independently of the state variables (Table 2). The number of selected parameters 257 averaged across state variables in decreasing order was 4.25 parameters from the phytoplankton category, 3.5 from organic matter, 2.75 from optics, and 2.38 from zooplankton. The second and third selection heuristics 259 (Tables 3 and 4) were similar, although more parameters were generally selected for the third heuristic given 260 equal importance between categories. 261

# 3.3. Model calibration and scalability

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Calibration of parameter values for each of the seven selection heuristics (Tables 2 to 4) produced model output that varied in precision and computational effort required to identify optimal values (Table 5). As expected, the number of required iterations of the optimization algorithm to minimize RMSE of observed  $O_2$  and model output varied in proportion to the number of parameters in a subset. For example, only six iterations were required to optimize the single parameter selected for the temperature category, whereas 1530 iterations were required for the eight parameters selected independent of category. No association was observed between collinearity ( $\gamma$ ) and number of iterations or calibration. Of the seven parameter subsets, selection independent of parameter category and equally within each category produced the largest reduction in RMSE values from the default output (starting RMSE 72.14, reduction to 66.77, 67.44, respectively), whereas the optimal values for parameters in the Optics category had the smallest reduction (72.12). Lower RMSE was associated with higher average sensitivity of a parameter subset. Parameters selected only in the optics category had the highest RMSE and lowest average L1 (2.81 × 10<sup>-4</sup>, three parameters), whereas parameters selected independent of category had the lowest RMSE and highest average L1 (0.03, eight parameters, Table 5).

The estimated areal extent of bottom-water hypoxia using default parameter values for the 3-dimensional 277 model peaked on August,  $28^{\rm th}$  at  $1.19 \times 10^4$  km<sup>2</sup> (Fig. 6a, August mean area  $8988 \pm 551$  km<sup>2</sup>, Fig. 6b). 278 Increases in the top sensitive parameters for O<sub>2</sub> in each category (Table 1) increased the estimated areal extent of hypoxia (Figs. 6c, 6e), with the exception of the parameter for optimum temperature of growth 280  $(Tref(nospA+nospZ)_{p1})$ , which caused a decrease (mean difference from July default area -1070  $\pm$  1161 281 km<sup>2</sup>, Fig. 6d). The largest increase in area was caused by an increase in the maximum growth rate of 282 phytoplankton (umax), with the greatest increase occurring in July (monthly mean increase of 4109  $\pm$  1085 283 km<sup>2</sup>, Fig. 6d). Overall, changes in hypoxia extent were largest for parameters with large sensitivity values (i.e., L1 = 0.05 for ZKa, L1 = 0.05 for umax). 285

#### 4. Discussion 286

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Common goals in the application of biogeochemical models are to 1) accurately describe the system 287 by matching predictions with observed data (Reckhow et al., 1990), and 2) provide a means of forecast-288 ing ecosystem condition with hypothesized management or environmental scenarios (Clark et al., 2001). 289 Although these objectives are the focus of most applications, the structural components and associated pa-290 rameters of process-based models should secondarily provide insight of ecosystem processes that are driving 291 observed changes. This latter objective represents a more generic scientific purpose of modelling that extends 292 beyond the benefits of describing and predicting change. Modelers aim to identify universal principles that 293 govern dynamics across systems and the constraint of model parameters to observations provides a means of supporting or refuting hypotheses (Hilborn and Mangel, 1997; Kirchner, 2006). Accordingly, this study provided a simple approach to use the effects of parameter perturbations on state variables to character-296 ize identifiable parameter subsets that varied by selection criteria. By doing so, we provided a simple and 297 preliminary approach towards improved model refinement that begins to address more general purposes of 298 model applications. Our specific results demonstrated that small parameter subsets relative to all sensitive parameters were within the identifiability thresholds described in the literature. The identifiable parameter 300 subsets varied considerably between state variables and the method for parameter selection. Use of iden-301 tifiable parameter subsets with sensitive parameters improved model precision and results for the smaller 302 model were scalable to the 3-dimensional model. In general, these results provide justification for the use of 303 explicit parameter selection heuristics to improve model precision.

State variables were most sensitive to parameters in the phytoplankton and zooplankton categories, particularly the maximum growth rates (umax for phytoplankton, Zumax for zooplankton), mortality coefficient for phytoplankton (mA), and the zooplankton half saturation coefficient for grazing (ZKa). An increase in the growth rate of primary producers has the potential to increase oxygen concentration through photosynthetic processes, although increased production of organic matter is balanced with respiration and bacterial decomposition that reduce O<sub>2</sub> in the water column. Similarly, increases in zooplankton abundance with increased growth rates causes a reduction in phytoplankton biomass through grazing, which is expected to

further deplete pools of organic matter. Most variables were also sensitive to variation in the half-saturation 312 grazing coefficient which moderates the concentration of nutrients that support half the maximum grazing 313 rate. Although the tradeoff between abundance, grazing, and decomposition is complex, the sensitivity of 314 model state variables to parameters that directly control the abundance of primary producers is in agree-315 ment with empirical observations of factors that influence hypoxia dynamics on the LCS (Fahnenstiel et al., 316 1995; Roelke, 2000; Eldridge and Roelke, 2010). The sensitivity of the model output to variation in other 317 parameters that relate to physical and chemical properties of the system was of secondary importance to 318 biological relationships. That is, state variables were sensitive to changes in light and temperature parameters, although to a lesser extent than phytoplankton and zooplankton parameters. As such, the differing 320 sensitivities of state variables to parameters in each of the categories was not unexpected given general 321 ecological relationships that are well understood and described by the model. 322

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A general conclusion from the identifiability analyses is that only limited subsets of parameters were identifiable within the constraints of local sensitivity analyses. These results support previous studies that have suggested similarly small subsets of parameters can be identified using traditional calibration schemes (e.g., Wheater et al., 1986; Ye et al., 1997; Omlin et al., 2001a). In addition to CGEM, these conclusions have relevance for other biogeochemical models that include numerous parameters and structural equations to characterize processes in the model domain. A general conclusion is that less complex models could potentially be beneficial given that only a small subset of parameters is identifiable and that ecosystem processes may in fact be sufficiently characterized with few parameters (Ye et al., 1997). Conversely, others have argued that model complexity is not in itself a disadvantage when parsimony is not the sole arbitrator of model structure (Reichert and Omlin, 1997). Over-parameterization can be useful if processes have importance that were not evaluated during model identification. Single objective functions that maximize model precision with identifiable parameters may also provide an incomplete characterization of model worth, which has prompted the development of probability-based models of hypoxia that explicitly include uncertainty in model components (e.g. Obenour et al., 2015). Our results demonstrated that approximately 75% of the evaluated parameters had an effect on the eight state variables, whereas CGEM includes a total of 36 variables and multiple plankton groups, not all of which have immediate concern for understanding hypoxia. The redundancies identified with the sensitivity analyses are only problematic if the primary interest is, for example, O<sub>2</sub> dynamics. Moreover, the proposed selection heuristics provide flexibility for choosing different parameters with the assumption that those chosen depend on the research or management question.

Results from the identifiability analyses provided additional insight into the interactions of parameters in large biogeochemical models. First, identifiability of parameter subsets was not related to the sensitivity of individual variables. As noted above, an identifiable parameter is one that has a unique effect on model predictions that cannot be compensated for or undone by changing other parameters. The magnitude of the effect of a parameter has no bearing on identifiability, which further complicates the selection of

parameters for calibration. Although identifiability is the primary limiting factor in choosing a set, the 348 relative sensitivities are more important for the decision to include or exclude individual parameters. For example, Table 5 and Fig. 6 demonstrated that parameter sets with sensitive parameters had improved model precision and that these parameters had affects that translated to the larger model. Our analysis addressed 351 this challenge by presenting multiple selection criteria for identifiable parameter sets that prioritized the 352 most sensitive parameters during the selection process. Similarly, identifiability was not always related to 353 the number of parameters in a set. Although the overwhelming trend was decreasing identifiability with 354 more parameters, the unique effects of including an individual parameter with an existing set often reduced the  $\gamma$  estimate. For example, Fig. 5 showed that including KGcdom, KO2, or nitmax in parameter sets more 356 often reduced  $\gamma$  relative to sets that excluded the parameters. These examples demonstrate the complex 357 interactions of parameter changes on variable response, highlighting the need to consider the combined and 358 individual effects of parameters on identifiability. The selection criteria proposed in our above analyses can 359 facilitate parameter selection and also provide diagnostic tools to identify parameters with disproportionate effects on  $\gamma$ . 361

# 4.1. Recommendations and conclusions

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An evaluation of sensitivity and identifiability of relevant parameter sets is a preliminary and simplistic approach to improving model predictions. In general, uncertainty analyses that lead to improved models are ultimately expected to increase our understanding of properties defining ecological relationships. The extension of simple parameter sensitivity analyses to the generalization of ecosystem properties requires additional analysis and model refinement. Further, the utility of a specific model depends on the question and objective for application to a specific system. For the above analysis, the FishTank model, as part of the larger CGEM application, was evaluated in the context of hypoxia effects on ecosystem condition and function. Our results have shown that relatively small subsets of parameters are identifiable given the complexity of the model, and as a result, we have provided a general approach to select parameter subsets depending on the ecological context (i.e., selection by parameter category, selection for specific state variables). We further demonstrated the effects of selected subsets on model precision and the potential for scalability to larger spatial-temporal models. Thus, the results described above have relevance for further model refinement with the specific goal of better understanding ecological dynamics that moderate hypoxia on the northern GOM. However, the general principles of sensitivity and parameter identifiability have broad applicability beyond this context and we argue that such methods should be more universally applied as an initial approach to quantify numerical constraints of biogeochemical models.

Specific approaches can be used to improve and build on the results presented herein, in addition to the more general considerations above. The effects of structural or observational uncertainty could be evaluated as an extension of the analyses presented above. For example, the sensitivity of O<sub>2</sub> to variation in the half-saturation constants for phytoplankton (the concentration supporting half the maximum uptake rate of nutrients) could vary given the initial nutrient concentrations (Eppley et al., 1969). Further, changes

in the ratio between nitrogen and phosphorus could affect the sensitivity of state variables to parameter 384 changes depending on the limiting nutrient. A more challenging analysis is an evaluation of the effects of structural components on model output, which requires exclusion or inclusion of explicit biogeochemical equations. Fortunately, the FishTank model includes several 'switches' that allow users to change the gov-387 erning equations that estimate state variables, such as switches that 'turn on' different structural equations 388 for light attenuation in the water column. This design is uncommon in biogeochemical models and could 389 be leveraged for an evaluation of structural uncertainty. As such, our analysis of parameter sensitivity and 390 identifiability could be combined with an evaluation of observational and structural uncertainty for a more complete characterization of the model, having implications for understanding drivers of hypoxia in coastal 392 waters. 393

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Table 1: Sensitivity of  $O_2$  to perturbations of individual parameters. Sensitivities are based on a 50% increase from the initial parameter value, where L1 summarizes differences in model output from the default (see eq. (2)). Parameters that did not affect  $O_2$  are not shown. Parameters are grouped by categories as optics, temperature, phytoplankton, zooplankton, and organic matter.

Description	Parameter	L1
Optics		
Chla specific absorption at 490 nm	a star 490	$7.51 \times 10^{-4}$
OMZ specific absorption at 490 nm	astarOMZ	$4.92 \times 10^{-5}$
OMA specific absorption at 490 nm	astarOMA	$4.39 \times 10^{-5}$
Temperature		
Optimum temperature for growth(C)	$Tref(nospA + nospZ)_{p1}$	0.02
Phytoplankton		
maximum growth rate	umax	0.05
mortality coefficient	mA	0.02
initial slope of the photosynthesis-irradiance relationship	alpha	0.02
edibility vector for Z1	ediblevector(Z1)	0.02
phytoplankton carbon/cell	Qc	0.01
phytoplankton growth respiration coefficient	respg	$8.36 \times 10^{-3}$
N-uptake rate measured at umax	vmaxN	$8.12 \times 10^{-3}$
phytoplankton basal respiration coefficient	respb	$6.94 \times 10^{-3}$
Phytoplankton threshold for grazing, is multiplied by VOLcell	Athresh	$4.57 \times 10^{-3}$
minimum N cell-quota	QminN	$4.32 \times 10^{-3}$
P-uptake rate measured at umax	vmaxP	$4.27 \times 10^{-3}$
coefficient for non-limiting nutrient	aN	$4.23 \times 10^{-3}$
phytoplankton volume/cell	volcell	$4.13 \times 10^{-3}$
half-saturation constant for P	Kp	$2.9 \times 10^{-3}$
half-saturation constant for N	Kn	$2.77 \times 10^{-4}$
minimum P cell-quota	QminP	$8.34 \times 10^{-8}$
Zooplankton		
half saturation coefficient for grazing	ZKa	0.05
zooplankton nitrogen/individual	ZQn	0.02
Zooplankton mortality constant for quadratic mortality	Zm	0.02
maximum growth rate of zooplankton	Zumax	0.02
assimilation efficiency as a fraction of ingestion	Zeffic	0.01
proportion of grazed phytoplankton lost to sloppy feeding	Zslop	$7.78 \times 10^{-3}$
Zooplankton growth-dependent respiration factor	Zrespg	$5.32 \times 10^{-3}$
Zooplankton biomass-dependent respiration factor	Zrespb	$2.96 \times 10^{-3}$
zooplankton carbon/individual	ZQc	$9.38 \times 10^{-5}$
zooplankton phosphorus/individual	ZQp	$3.69 \times 10^{-5}$
Organic Matter		
turnover rate for OM1A and OM1Z	KG1	$6.15 \times 10^{-3}$
turnover rate for OM2A and OM2Z	KG2	$3.14 \times 10^{-3}$
O2 concentration that inhibits denitrification	KstarO2	$3.04 \times 10^{-3}$
decay rate of CDOM, 1/day	KGcdom	$2.98 \times 10^{-3}$
half-saturation concentration for O2 utilization	KO2	$5.85 \times 10^{-4}$
half-saturation concentration for NO3 used in denitrification	KNO3	$5.8 \times 10^{-4}$
maximum rate of nitrification per day	nitmax	$4.99 \times 10^{-4}$
NH4 rate constant for nitrification	KNH4	$4.17 \times 10^{-4}$

<sup>\*</sup>Temperature parameters apply separately to phytoplankton (p1, one group) or zooplankton (z1, one group), denoted by subscripts

Table 2: Parameter identifiability (as  $\gamma$ , eq. (3)) by category for relevant state variables. Selections followed the first heuristic where parameters were selected within categories from most to least sensitive until  $\gamma > 15$ . Rank describes the relative parameter sensitivity in each category for each state variable. Duplicate parameters and ranks in the first two columns apply only to  $\gamma$  values in the same row (i.e., parameter ranks vary for each variable).

Parameter	Rank	Ammonium	Chl-a	$O_2$	Irradiance	Nitrate	POM	DOM	Phosphate
Optics									
astar 490	1	1	1	1	1	1	1	1	1
astarOMA	2	7.33	5.42	_	5.36	_	7.78	7.87	-
astarOMZ	2	-	-	1.39	-	_	-	-	4.73
astarOMA	3	_	_	3.87	_	_	_	_	10.04
astarOMZ	3	7.58	5.51	-	6.02	_	7.91	7.87	-
Organic Matter		1.00	0.01		0.02		1.01	1.01	
KG1	1	_	_	1	_	_	1	_	1
KG1 $KG2$	1	-	-	-	-	-	-	1	-
KGz $KGcdom$	1	-	1	_	1	-	-	-	-
KstarO2	1	-	-	_	-	1	-		-
	1	1	-			1	-	-	-
nitmax	$\frac{1}{2}$	1	1 10	-	1.02	-	-	-	-
KG1			1.12		1.93	=	_	_	=
KG2	2	-	-	6	-	-	- 1 47	-	13.43
KGcdom	2	-	-	-	-	-	1.47	1.39	-
KNH4	2	4.03	-	-	-	-	-	-	-
KG1	3	4.09	-	-	-	-	-	-	-
KG2	3	-	-	-	8.19	-	-	-	-
KGcdom	3	-	-	-	-	-	-	-	13.75
KO2	3	-	-	-	-	-	14.07	11.96	-
KstarO2	3	-	-	6.04	-	-	-	-	-
KGcdom	4	4.19	-	6.12	-	-	-	-	-
KO2	4	-	-	-	-	-	-	-	14.68
KstarO2	4	-	-	-	10.65	-	14.08	-	-
KO2	5	9.47	-	8.61	-	-	-	-	-
Phytoplankton									
mA	1	1	1	-	-	-	1	1	-
umax	1	-	-	1	1	1	-	-	1
ediblevector(Z1)	2	1.13	1.17	-	-	-	1.15	-	-
mA	2	-	-	1.19	1.29	-	-	-	-
Qc	2	-	-	-	-	11.57	-	-	-
umax	2	-	-	-	-	-	-	1.21	-
vmaxP	2	-	-	-	-	-	-	-	7.45
alpha	3	-	-	1.44	1.98	-	-	-	-
ediblevector(Z1)	3	-	-	-	-	_	-	2.9	-
umax	3	2.73	2.11	_	_	_	3.26	_	_
alpha	4	3.55	4.57	-	_	_	_	_	_
ediblevector(Z1)	4	-	_	2.09	4.09	_	_	_	_
Qc	4	_	_	-	_	_	4.98	_	_
vmaxN	4	_	_	_	_	_	_	4.9	_
alpha	5	_	_	_	_	_	10.11	-	_
Qc	5	_	_	2.9	_	_	-	_	_
vmaxN	5	8.14	_	-	_	_	_	_	_
Athresh	6	11.27	_	_	_	_	_	_	_
respq	6	-	_	3.41	-	_	_	_	_
vmaxN	7	_	_	3.97	-	_	_	_	_
Zooplankton				0.01					
ZKa	1	_	_	1	1	1	_	_	1
ZKa $Zumax$	1	1	1	-	-	-	1	1	-
Zumax ZKa	$\overset{1}{2}$	-	$\frac{1}{4.31}$	-	-	-	$\frac{1}{7.3}$	$\frac{1}{5.43}$	-
ZRa $ZQn$	2	-	4.31	3.18	6.32	9.76			8.54
ZQn $Zm$			-				-	-	
Zm $Zumax$	3	-	-	4.57	- 6.02	-	-	-	-
		-	-	-	6.93	-	-	-	-
Zm	4		-	-	11.86		-	-	-
Zumax	4	-	-	5.2	-	-	-	-	

Table 3: Parameter identifiability (as  $\gamma$ , eq. (3)) for relevant state variables. Selections followed the second heuristic where parameters were selected independent of category from most to least sensitive (L1, eq. (2)), until  $\gamma > 15$ . Rank describes the relative parameter sensitivity in each category for each state variable (O: optics, OM: organic matter, P: phytoplankton, T: temperature, Z: zooplankton).

Selections by state variable	Parameter	L1	Rank	γ
Ammonium	1 4141110101		1001111	
1	mA	8.49	$1_{P}$	1
2	nitmax	1.54	$1_{\rm OM}$	1.16
3	Zumax	1.42	$1_{\rm Z}$	2.9
Chlorophyll			-2	
1	mA	13.94	$1_{P}$	1
2	Zumax	1.02	$1_{ m Z}$	1.18
Dissolved Oxygen	20,,,,,	1.02	-2	1110
1	umax	0.05	$1_{P}$	1
2	ZKa	0.05	$1_{\rm Z}$	2.17
3	mA	0.02	$^{-2}_{ m P}$	2.31
4	$Tref(nospA + nospZ)_{p1}$	0.02	$1_{\mathrm{T}}$	2.37
5	ZQn	0.02	$2_{\rm Z}$	4.69
6	alpha	0.02	$3_{\rm P}$	4.91
7	Zm	0.02	3z	6.73
8	Zumax	0.02	$4_{ m Z}$	6.81
DOM			-2	
1	mA	14.25	$1_{P}$	1
2	$Tref(nospA + nospZ)_{p1}$	1.48	$1_{\mathrm{T}}$	1.05
3	umax	1.11	$2_{\rm P}$	2.46
4	Zumax	1.01	$1_{\mathbf{Z}}^{\mathbf{I}}$	2.91
Irradiance				
1	ZKa	0.13	$1_{\mathbf{Z}}$	1
2	umax	0.09	$1_{\rm P}$	4.41
3	ZQn	0.06	$2_{\rm Z}$	7.54
4	mA	0.05	$2_{\rm P}$	8.17
5	KGcdom	0.05	$1_{\rm OM}$	9.44
6	alpha	0.04	$3_{\rm P}$	9.66
7	$\overline{Zumax}$	0.04	$3_{\mathbf{Z}}$	10.79
Nitrate				
1	umax	8.49	$1_{P}$	1
Phosphate				
1	ZKa	1.47	$1_{\mathbf{Z}}$	1
2	umax	0.78	$1_{\rm P}$	11.45
3	vmaxP	0.59	$2_{\rm P}$	11.48
4	ZQn	0.5	$2_{\rm Z}$	13.74
POM	-			
1	mA	7.22	$1_{P}$	1
2	Zumax	0.96	$1_{\mathbf{Z}}$	1.15
3	KG1	0.92	$1_{\rm OM}$	3.87

Table 4: Parameter identifiability (as  $\gamma$ , eq. (3)) for relevant state variables. Selections followed the third heuristic where parameters were selected equally within each category from most to least sensitive (L1, eq. (2)), until  $\gamma > 15$ . Rank describes the relative parameter sensitivity in each category for each state variable (O: optics, OM: organic matter, P: phytoplankton, T: temperature, Z: zooplankton).

Selections by state variable	Parameter	L1	Rank	γ
Ammonium				
1	mA	8.49	$1_{\mathrm{P}}$	1
2	nitmax	1.54	$1_{\rm OM}$	1.16
3	Zumax	1.42	$1_{\mathbf{Z}}$	2.9
4	$Tref(nospA + nospZ)_{p1}$	0.79	$1_{\mathrm{T}}$	3.46
5	astar490	0.03	$1_{\rm O}$	4.25
Chlorophyll	·			
1	mA	13.94	$1_{P}$	1
2	Zumax	1.02	$1_{\rm Z}$	1.18
3	$Tref(nospA + nospZ)_{p1}$	0.6	$1_{\mathrm{T}}$	2.62
4	KGcdom	0.07	$1_{\rm OM}$	3.24
5	astar490	0.02	1 <sub>O</sub>	5.98
Dissolved Oxygen				
1	umax	0.05	$1_{\rm P}$	1
2	ZKa	0.05	$1_{\mathbf{Z}}$	2.17
3	$Tref(nospA + nospZ)_{p1}$	0.02	$1_{\mathrm{T}}$	2.29
4	KG1	$6.15 \times 10^{-3}$	$1_{\rm OM}$	3.85
5	astar490	$7.51 \times 10^{-4}$	1 <sub>O</sub> M	3.89
6			-	
	mA	0.02	$^{2_{\mathrm{P}}}$	4.42
7	ZQn	0.02	$2_{\mathrm{Z}}$	5.22
DOM	4	14.05	1	1
1	mA	14.25	$1_{\rm P}$	1
2	$Tref(nospA + nospZ)_{p1}$	1.48	$1_{\mathrm{T}}$	1.05
3	Zumax	1.01	$1_{\mathrm{Z}}$	2.61
4	KG2	0.94	$1_{\text{OM}}$	3.39
5	astar 490	0.04	$1_{\rm O}$	4.46
6	umax	1.11	$2_{\mathrm{P}}$	6.02
7	ZKa	0.88	$2_{\mathrm{Z}}$	9.21
Irradiance	au.	0.10		
1	ZKa	0.13	$1_{\mathrm{Z}}$	1
2	umax	0.09	$1_{P}$	4.41
3	KGcdom	0.05	$1_{\rm OM}$	4.5
4	$Tref(nospA + nospZ)_{p1}$	0.03	$1_{\mathrm{T}}$	4.5
5	astar 490	0.02	$1_{\rm O}$	6.9
6	ZQn	0.06	$2_{\mathrm{Z}}$	10.63
7	mA	0.05	$2_{\mathrm{P}}$	11.21
8	KG1	$3.96 \times 10^{-3}$	$2_{\mathrm{OM}}$	14.65
9	astarOMA	$1.47 \times 10^{-3}$	$2_{\rm O}$	14.72
Nitrate				
1	umax	8.49	$1_{ m P}$	1
Phosphate				
1	ZKa	1.47	$1_{\mathbf{Z}}$	1
2	umax	0.78	$1_{\rm P}$	11.45
3	$Tref(nospA + nospZ)_{p1}$	0.16	$1_{\mathrm{T}}$	13.71
4	KG1	0.14	$1_{\rm OM}$	14.64
POM				
1	mA	7.22	$1_{\mathrm{P}}$	1
2	Zumax	0.96	$1_{\rm Z}$	1.15
3	KG1	0.92	$1_{\rm OM}$	3.87
4	$Tref(nospA + nospZ)_{p1}$	0.86	$1_{\mathrm{T}}$	3.93
5	astar490	0.03	1 <sub>O</sub>	5.81
	· · · · · · · · · · · · · · · · · · ·			

Table 5: Results of model calibration to observed  $O_2$  for each of seven parameter subsets selected by sensitivity within each parameter category (first), independent of category (second), and equally within each category (third). The collinearity values  $(\gamma)$  and parameters of each subset are from Tables 2 to 4. Mean L1 is the average of sensitivity values (eq. (2)) for the subset. RMSE shows the final error comparing observed and model output after the specified number of iterations for optimization.

Selection heuristic	γ	n parameters	mean L1	Iterations	$RMSE^*$
1					
Optics	3.87	3	$2.81 \times 10^{-4}$	49	72.12
Organic Matter	8.61	5	$3.18 \times 10^{-3}$	154	68.7
Phytoplankton	3.97	7	0.02	1725	68.3
Temperature	1	1	0.02	6	71.76
Zooplankton	5.2	4	0.03	279	71.22
2					
Independent of category	6.81	8	0.03	1530	66.77
3					
Equally within category	5.22	7	0.03	1005	67.44

<sup>\*</sup>Starting RMSE = 72.140

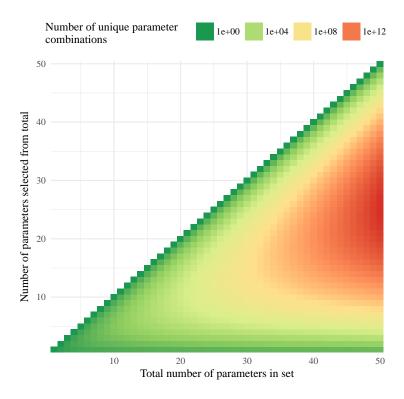


Fig. 1: Examples of unique parameter combinations from different parameter sets and number of selected parameters. The number of combinations are shown for increasing numbers of selected parameters from the total in the set, where 50 parameter sets are shown each with one through 50 total parameters. Note that the number of unique combinations is shown as the natural-log.



Fig. 2: Sensitivity values (L1, eq. (2)) of all state variables to changes in a 50% increase in parameter values. Parameters are grouped by category: optics, organic matter, phytoplankton, zooplankton, temperature, and zoplankton. See Table 1 for L1 values for  $O_2$  and Tables S1 to S7 for the other state variables.

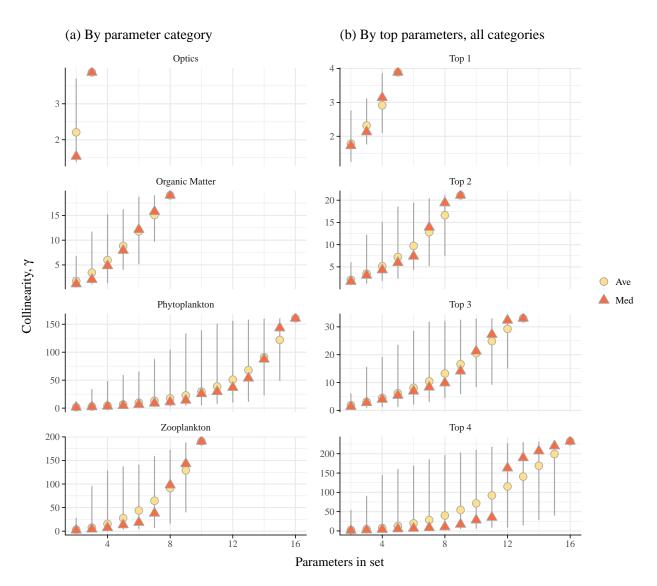


Fig. 3: Collinearity ( $\gamma$  as a measure of identifiability, eq. (3)) of parameter subsets for O<sub>2</sub>. Plots in (a) show collinearity by parameter categories and (b) shows collinearity by selecting the top 1 through 4 parameters in all categories. Lines represent collinearity ranges for the possible combinations given the number of parameters in the set. The temperature category is not shown because O<sub>2</sub> was sensitive to only one parameter (i.e.,  $\gamma = 1$ ).

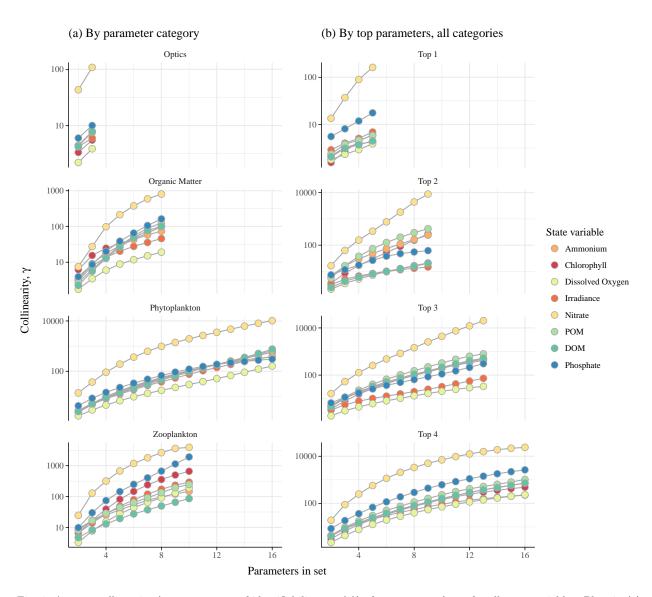


Fig. 4: Average collinearity ( $\gamma$  as a measure of identifiability, eq. (3)) of parameter subsets for all state variables. Plots in (a) show collinearity by parameter categories and (b) shows collinearity by selecting the top 1 through 4 parameters in all categories. Collinearity was averaged for all combinations in a parameter set to evaluate relative differences between state variables. The temperature category is not shown because all state variables were sensitive to only one parameter (i.e.,  $\gamma = 1$ ).

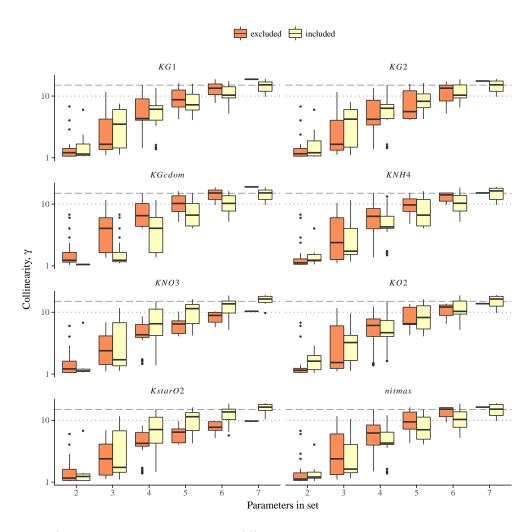


Fig. 5: Collinearity ( $\gamma$  as a measure of identifiability, eq. (3)) for  $O_2$  of organic matter parameters for subset combinations in Fig. 3. Collinearity is evaluated for subsets that excluded and included the parameters at the top of each plot. Collinearity of including all eight parameters is in Fig. 3. Grey lines indicate potential thresholds at  $\gamma = 10, 15$  for maximum acceptable collinearity.

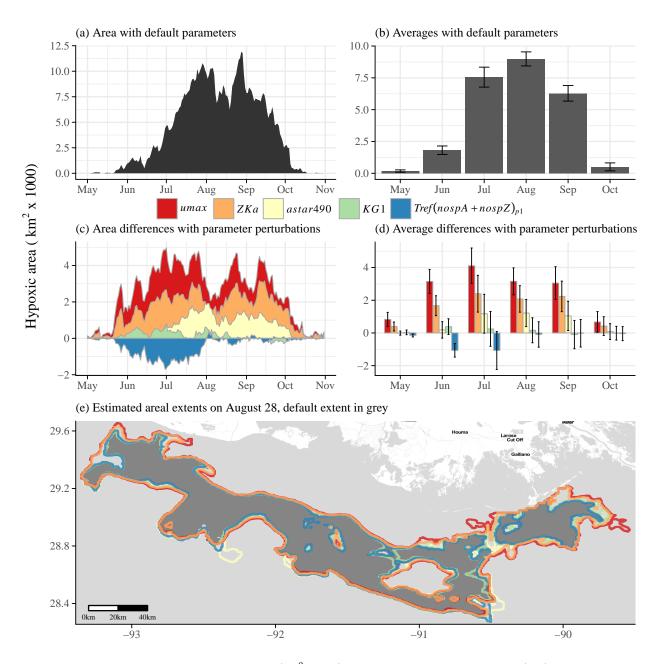


Fig. 6: Estimated hypoxic area and monthly averages (km $^2 \times 1000$ ) using the default parameter settings (a, b) and the differences using a 50% increase in each parameter (c, d). The selected parameters were the top sensitive in each parameter category for O<sub>2</sub> in Fig. 2 and Table 1. Error bars are 95% confidence intervals for the monthly averages in (b) and the difference in monthly averages (d) from the perturbed and default results. Areal extent of estimated hypoxia on August 28<sup>th</sup> using the defaulat parameters (grey) and estimated changes with parameter perturbations is shown in (e).

Table S1: Sensitivity of ammonium to perturbations of individual parameters. Sensitivities are based on a 50% increase from the initial parameter value, where L1 summarizes differences in model output from the default (see eq. (2)). Parameters that did not affect ammonium are not shown. Parameters are grouped by categories as optics, temperature, phytoplankton, zooplankton, and organic matter.

Description	Parameter	L1
Optics		
Chla specific absorption at 490 nm	astar 490	0.03
OMA specific absorption at 490 nm	astarOMA	$1.63 \times 10^{-3}$
OMZ specific absorption at 490 nm	astarOMZ	$1.5 \times 10^{-3}$
Temperature	4664, 61112	1.0 % 10
Optimum temperature for growth(C)	$Tref(nospA + nospZ)_{p1}$	0.79
Phytoplankton	0(11)791	
mortality coefficient	mA	8.49
edibility vector for Z1	ediblevector(Z1)	1.32
maximum growth rate	umax	0.65
initial slope of the photosynthesis-irradiance relationship	alpha	0.6
N-uptake rate measured at umax	vmaxN	0.46
Phytoplankton threshold for grazing, is multiplied by VOLcell	Athresh	0.29
coefficient for non-limiting nutrient	aN	0.17
phytoplankton growth respiration coefficient	respq	0.16
phytoplankton basal respiration coefficient	respb	0.15
half-saturation constant for P	Kp	0.14
phytoplankton volume/cell	volcell	0.14
minimum N cell-quota	QminN	0.1
P-uptake rate measured at umax	vmaxP	0.1
phytoplankton carbon/cell	Qc	0.03
half-saturation constant for N	Kn	0.01
minimum P cell-quota	QminP	$2.24 \times 10^{-6}$
Zooplankton	4,,,,,,,	
maximum growth rate of zooplankton	Zumax	1.42
assimilation efficiency as a fraction of ingestion	Zeffic	0.76
half saturation coefficient for grazing	ZKa	0.74
zooplankton nitrogen/individual	ZQn	0.62
Zooplankton mortality constant for quadratic mortality	Zm	0.5
proportion of grazed phytoplankton lost to sloppy feeding	Zslop	0.3
Zooplankton growth-dependent respiration factor	Zrespq	0.22
Zooplankton biomass-dependent respiration factor	Zrespb	0.16
zooplankton phosphorus/individual	ZQp	$1.07 \times 10^{-3}$
zooplankton carbon/individual	ZQc	$1.44 \times 10^{-4}$
Organic Matter	240	1111/110
maximum rate of nitrification per day	nitmax	1.54
NH4 rate constant for nitrification	KNH4	0.66
turnover rate for OM1A and OM1Z	KG1	0.07
decay rate of CDOM, 1/day	KGcdom	0.07
half-saturation concentration for O2 utilization	KO2	0.06
O2 concentration that inhibits denitrification	KstarO2	0.05
turnover rate for OM2A and OM2Z	KG2	0.03
half-saturation concentration for NO3 used in denitrification	KNO3	$7.55 \times 10^{-3}$

Table S2: Sensitivity of chl-a to perturbations of individual parameters. Sensitivities are based on a 50% increase from the initial parameter value, where L1 summarizes differences in model output from the default (see eq. (2)). Parameters that did not affect chl-a are not shown. Parameters are grouped by categories as optics, temperature, phytoplankton, zooplankton, and organic matter.

Description	Parameter	L1
Optics		
Chla specific absorption at 490 nm	a star 490	0.02
OMA specific absorption at 490 nm	astarOMA	$1.45 \times 10^{-3}$
OMZ specific absorption at 490 nm	astarOMZ	$1.13 \times 10^{-3}$
Temperature		
Optimum temperature for growth(C)	$Tref(nospA + nospZ)_{p1}$	0.6
Phytoplankton		
mortality coefficient	mA	13.94
edibility vector for Z1	ediblevector(Z1)	0.95
maximum growth rate	umax	0.85
initial slope of the photosynthesis-irradiance relationship	alpha	0.62
N-uptake rate measured at umax	vmaxN	0.53
phytoplankton growth respiration coefficient	respg	0.26
Phytoplankton threshold for grazing, is multiplied by VOLcell	Athresh	0.25
phytoplankton basal respiration coefficient	respb	0.24
coefficient for non-limiting nutrient	aN	0.17
half-saturation constant for P	Kp	0.14
P-uptake rate measured at umax	vmaxP	0.12
phytoplankton volume/cell	volcell	0.1
minimum N cell-quota	QminN	0.07
phytoplankton carbon/cell	Qc	0.02
half-saturation constant for N	Kn	0.01
minimum P cell-quota	QminP	$1.38 \times 10^{-6}$
Zooplankton		
maximum growth rate of zooplankton	Zumax	1.02
half saturation coefficient for grazing	ZKa	0.85
assimilation efficiency as a fraction of ingestion	Zeffic	0.57
zooplankton nitrogen/individual	ZQn	0.52
Zooplankton mortality constant for quadratic mortality	Zm	0.41
proportion of grazed phytoplankton lost to sloppy feeding	Zslop	0.23
Zooplankton growth-dependent respiration factor	Zrespq	0.17
Zooplankton biomass-dependent respiration factor	Zrespb	0.14
zooplankton phosphorus/individual	ZQp	$1.29 \times 10^{-3}$
zooplankton carbon/individual	ZQc	$7.55 \times 10^{-5}$
Organic Matter	240	1.00 X 10
decay rate of CDOM, 1/day	KGcdom	0.07
turnover rate for OM1A and OM1Z	KG1	0.03
turnover rate for OM2A and OM2Z	KG1 $KG2$	0.03
O2 concentration that inhibits denitrification	KstarO2	0.01
half-saturation concentration for O2 utilization	KO2	$3.35 \times 10^{-3}$
half-saturation concentration for NO3 used in denitrification	KNO3	$1.19 \times 10^{-3}$
maximum rate of nitrification per day	nitmax	$3.4 \times 10^{-5}$
NH4 rate constant for nitrification	KNH4	$2.97 \times 10^{-5}$
14114 Late Constant for Intrincation	1111114	2.91 × 10

Table S3: Sensitivity of irradiance to perturbations of individual parameters. Sensitivities are based on a 50% increase from the initial parameter value, where L1 summarizes differences in model output from the default (see eq. (2)). Parameters that did not affect irradiance are not shown. Parameters are grouped by categories as optics, temperature, phytoplankton, zooplankton, and organic matter.

Description	Parameter	L1
Optics		
Chla specific absorption at 490 nm	a star 490	0.02
OMA specific absorption at 490 nm	astarOMA	$1.47 \times 10^{-3}$
OMZ specific absorption at 490 nm	astarOMZ	$1.34 \times 10^{-3}$
Temperature		
Optimum temperature for growth(C)	$Tref(nospA + nospZ)_{p1}$	0.03
Phytoplankton		
maximum growth rate	umax	0.09
mortality coefficient	mA	0.05
initial slope of the photosynthesis-irradiance relationship	alpha	0.04
edibility vector for Z1	ediblevector(Z1)	0.04
N-uptake rate measured at umax	vmaxN	0.03
Phytoplankton threshold for grazing, is multiplied by VOLcell	Athresh	0.02
coefficient for non-limiting nutrient	aN	0.01
phytoplankton growth respiration coefficient	respg	0.01
half-saturation constant for P	Kp	0.01
P-uptake rate measured at umax	vmaxP	$9.48 \times 10^{-3}$
phytoplankton basal respiration coefficient	respb	$9.38 \times 10^{-3}$
phytoplankton volume/cell	volcell	$8.1 \times 10^{-3}$
minimum N cell-quota	QminN	$5.75 \times 10^{-3}$
phytoplankton carbon/cell	Qc	$3.78 \times 10^{-3}$
half-saturation constant for N	Kn	$9.81 \times 10^{-4}$
minimum P cell-quota	QminP	$1.92 \times 10^{-7}$
Zooplankton		
half saturation coefficient for grazing	ZKa	0.13
zooplankton nitrogen/individual	ZQn	0.06
maximum growth rate of zooplankton	Zumax	0.04
Zooplankton mortality constant for quadratic mortality	Zm	0.04
assimilation efficiency as a fraction of ingestion	Zeffic	0.03
proportion of grazed phytoplankton lost to sloppy feeding	Zslop	0.02
Zooplankton growth-dependent respiration factor	Zrespg	0.01
Zooplankton biomass-dependent respiration factor	Zrespb	$9.67 \times 10^{-3}$
zooplankton phosphorus/individual	ZQp	$9.34 \times 10^{-5}$
zooplankton carbon/individual	ZQc	$1.99 \times 10^{-5}$
Organic Matter		
decay rate of CDOM, 1/day	KGcdom	0.05
turnover rate for OM1A and OM1Z	KG1	$3.96 \times 10^{-3}$
turnover rate for OM2A and OM2Z	KG2	$9.88 \times 10^{-4}$
O2 concentration that inhibits denitrification	KstarO2	$7.2 \times 10^{-4}$
half-saturation concentration for O2 utilization	KO2	$3.54 \times 10^{-4}$
half-saturation concentration for NO3 used in denitrification	KNO3	$6.18 \times 10^{-5}$
maximum rate of nitrification per day	nitmax	$1.72 \times 10^{-6}$
NH4 rate constant for nitrification	KNH4	$1.48 \times 10^{-6}$

Table S4: Sensitivity of nitrate to perturbations of individual parameters. Sensitivities are based on a 50% increase from the initial parameter value, where L1 summarizes differences in model output from the default (see eq. (2)). Parameters that did not affect nitrate are not shown. Parameters are grouped by categories as optics, temperature, phytoplankton, zooplankton, and organic matter.

Description	Parameter	L1
Optics		•
Chla specific absorption at 490 nm	a star 490	0.02
OMZ specific absorption at 490 nm	astarOMZ	$1.27 \times 10^{-3}$
OMA specific absorption at 490 nm	astarOMA	$1.19 \times 10^{-3}$
Temperature		
Optimum temperature for growth(C)	$Tref(nospA + nospZ)_{p1}$	0.3
Phytoplankton		_
maximum growth rate	umax	8.49
phytoplankton carbon/cell	Qc	0.89
initial slope of the photosynthesis-irradiance relationship	alpha	0.7
edibility vector for Z1	ediblevector(Z1)	0.33
mortality coefficient	mA	0.27
Phytoplankton threshold for grazing, is multiplied by VOLcell	Athresh	0.2
N-uptake rate measured at umax	vmaxN	0.19
coefficient for non-limiting nutrient	aN	0.13
phytoplankton growth respiration coefficient	respg	0.11
phytoplankton volume/cell	volcell	0.1
P-uptake rate measured at umax	vmaxP	0.1
half-saturation constant for P	Kp	0.09
minimum N cell-quota	QminN	0.09
phytoplankton basal respiration coefficient	respb	0.07
half-saturation constant for N	Kn	$7.06 \times 10^{-3}$
minimum P cell-quota	QminP	$6.67 \times 10^{-7}$
Zooplankton		
half saturation coefficient for grazing	ZKa	7.59
zooplankton nitrogen/individual	ZQn	1.17
Zooplankton mortality constant for quadratic mortality	Zm	0.7
maximum growth rate of zooplankton	Zumax	0.34
proportion of grazed phytoplankton lost to sloppy feeding	Zslop	0.26
assimilation efficiency as a fraction of ingestion	$Zef\!\!f\!\!f\!\!ic$	0.25
Zooplankton growth-dependent respiration factor	Zrespg	0.17
Zooplankton biomass-dependent respiration factor	Zrespb	0.1
zooplankton carbon/individual	ZQc	$3.8 \times 10^{-3}$
zooplankton phosphorus/individual	ZQp	$8.59 \times 10^{-4}$
Organic Matter		
O2 concentration that inhibits denitrification	KstarO2	0.78
half-saturation concentration for NO3 used in denitrification	KNO3	0.07
decay rate of CDOM, 1/day	KGcdom	0.04
half-saturation concentration for O2 utilization	KO2	0.03
turnover rate for OM1A and OM1Z	KG1	0.02
turnover rate for OM2A and OM2Z	KG2	0.01
maximum rate of nitrification per day	nitmax	$9.96 \times 10^{-3}$
NH4 rate constant for nitrification	KNH4	$9.87 \times 10^{-3}$

Table S5: Sensitivity of POM to perturbations of individual parameters. Sensitivities are based on a 50% increase from the initial parameter value, where L1 summarizes differences in model output from the default (see eq. (2)). Parameters that did not affect POM are not shown. Parameters are grouped by categories as optics, temperature, phytoplankton, zooplankton, and organic matter.

Description	Parameter	L1
Optics		
Chla specific absorption at 490 nm	a star 490	0.03
OMA specific absorption at 490 nm	astarOMA	$1.73 \times 10^{-3}$
OMZ specific absorption at 490 nm	astarOMZ	$1.49 \times 10^{-3}$
Temperature		
Optimum temperature for growth(C)	$Tref(nospA + nospZ)_{p1}$	0.86
Phytoplankton		
mortality coefficient	mA	7.22
edibility vector for Z1	ediblevector(Z1)	0.9
maximum growth rate	umax	0.89
phytoplankton carbon/cell	Qc	0.67
initial slope of the photosynthesis-irradiance relationship	alpha	0.67
N-uptake rate measured at umax	vmaxN	0.45
phytoplankton growth respiration coefficient	respg	0.29
phytoplankton basal respiration coefficient	respb	0.24
Phytoplankton threshold for grazing, is multiplied by VOLcell	Athresh	0.22
minimum N cell-quota	QminN	0.21
coefficient for non-limiting nutrient	aN	0.14
half-saturation constant for P	Kp	0.11
phytoplankton volume/cell	volcell	0.1
P-uptake rate measured at umax	vmaxP	0.09
half-saturation constant for N	Kn	0.01
minimum P cell-quota	QminP	$7.35 \times 10^{-4}$
Zooplankton	-	
maximum growth rate of zooplankton	Zumax	0.96
half saturation coefficient for grazing	ZKa	0.79
assimilation efficiency as a fraction of ingestion	Zeffic	0.54
zooplankton nitrogen/individual	ZQn	0.49
Zooplankton mortality constant for quadratic mortality	Zm	0.39
proportion of grazed phytoplankton lost to sloppy feeding	Zslop	0.27
Zooplankton growth-dependent respiration factor	Zrespq	0.16
Zooplankton biomass-dependent respiration factor	Zrespb	0.12
zooplankton carbon/individual	ZQc	$9.64 \times 10^{-3}$
zooplankton phosphorus/individual	ZQp	$1.06\times10^{-3}$
Organic Matter		
turnover rate for OM1A and OM1Z	KG1	0.92
decay rate of CDOM, 1/day	KGcdom	0.07
half-saturation concentration for O2 utilization	KO2	0.04
O2 concentration that inhibits denitrification	KstarO2	0.02
turnover rate for OM2A and OM2Z	KG2	0.01
half-saturation concentration for NO3 used in denitrification	KNO3	$3.72 \times 10^{-3}$
maximum rate of nitrification per day	nitmax	$6.98 \times 10^{-5}$
NH4 rate constant for nitrification		$6.41 \times 10^{-5}$
half-saturation concentration for NO3 used in denitrification maximum rate of nitrification per day	KNO3	$\begin{array}{c} 3.72 \times 10^{-3} \\ 6.98 \times 10^{-5} \end{array}$

Table S6: Sensitivity of dissolved organic matter to perturbations of individual parameters. Sensitivities are based on a 50% increase from the initial parameter value, where L1 summarizes differences in model output from the default (see eq. (2)). Parameters that did not affect dissolved organic matter are not shown. Parameters are grouped by categories as optics, temperature, phytoplankton, zooplankton, and organic matter.

Description	Parameter	L1
Optics		
Chla specific absorption at 490 nm	astar 490	0.04
OMA specific absorption at 490 nm	astarOMA	$2.48 \times 10^{-3}$
OMZ specific absorption at 490 nm	astarOMZ	$2.04 \times 10^{-3}$
Temperature		
Optimum temperature for growth(C)	$Tref(nospA + nospZ)_{p1}$	1.48
Phytoplankton	V( 1 · 1 /P1	
mortality coefficient	mA	14.25
maximum growth rate	umax	1.11
edibility vector for Z1	ediblevector(Z1)	0.94
N-uptake rate measured at umax	vmaxN	0.86
initial slope of the photosynthesis-irradiance relationship	alpha	0.85
phytoplankton carbon/cell	Qc	0.67
phytoplankton growth respiration coefficient	respq	0.36
phytoplankton basal respiration coefficient	respb	0.29
coefficient for non-limiting nutrient	aN	0.25
minimum N cell-quota	QminN	0.24
Phytoplankton threshold for grazing, is multiplied by VOLcell	$\tilde{A}thresh$	0.22
half-saturation constant for P	Kp	0.2
P-uptake rate measured at umax	vmaxP	0.14
phytoplankton volume/cell	volcell	0.1
half-saturation constant for N	Kn	0.02
minimum P cell-quota	QminP	$4.37 \times 10^{-3}$
Zooplankton	•	
maximum growth rate of zooplankton	Zumax	1.01
half saturation coefficient for grazing	ZKa	0.88
assimilation efficiency as a fraction of ingestion	Zeffic	0.58
zooplankton nitrogen/individual	ZQn	0.54
Zooplankton mortality constant for quadratic mortality	Zm	0.41
Zooplankton growth-dependent respiration factor	Zrespg	0.17
Zooplankton biomass-dependent respiration factor	Zrespb	0.13
proportion of grazed phytoplankton lost to sloppy feeding	Zslop	0.12
zooplankton carbon/individual	ZQc	0.04
zooplankton phosphorus/individual	ZQp	$1.69 \times 10^{-3}$
Organic Matter		
turnover rate for OM2A and OM2Z	KG2	0.94
decay rate of CDOM, 1/day	KGcdom	0.1
half-saturation concentration for O2 utilization	KO2	0.04
turnover rate for OM1A and OM1Z	KG1	0.04
O2 concentration that inhibits denitrification	KstarO2	0.03
half-saturation concentration for NO3 used in denitrification	KNO3	$3.16\times10^{-3}$
maximum rate of nitrification per day	nitmax	$8.44 \times 10^{-5}$
NH4 rate constant for nitrification	KNH4	$7.41 \times 10^{-5}$

Table S7: Sensitivity of phosphate to perturbations of individual parameters. Sensitivities are based on a 50% increase from the initial parameter value, where L1 summarizes differences in model output from the default (see eq. (2)). Parameters that did not affect phosphate are not shown. Parameters are grouped by categories as optics, temperature, phytoplankton, zooplankton, and organic matter.

Description	Parameter	L1
Optics		
Chla specific absorption at 490 nm	a star 490	$9.01 \times 10^{-3}$
OMZ specific absorption at 490 nm	astarOMZ	$5.21 \times 10^{-4}$
OMA specific absorption at 490 nm	astarOMA	$5.13 \times 10^{-4}$
Temperature		
Optimum temperature for growth(C)	$Tref(nospA + nospZ)_{p1}$	0.16
Phytoplankton		
maximum growth rate	umax	0.78
P-uptake rate measured at umax	vmaxP	0.59
edibility vector for Z1	ediblevector(Z1)	0.25
initial slope of the photosynthesis-irradiance relationship	alpha	0.23
mortality coefficient	mA	0.2
N-uptake rate measured at umax	vmaxN	0.18
Phytoplankton threshold for grazing, is multiplied by VOLcell	Athresh	0.13
coefficient for non-limiting nutrient	aN	0.11
phytoplankton growth respiration coefficient	respg	0.09
phytoplankton volume/cell	volcell	0.06
phytoplankton basal respiration coefficient	respb	0.06
minimum N cell-quota	QminN	0.04
half-saturation constant for P	Kp	0.03
half-saturation constant for N	Kn	$6.97 \times 10^{-3}$
phytoplankton carbon/cell	Qc	$6.68 \times 10^{-3}$
minimum P cell-quota	QminP	$8.21 \times 10^{-7}$
Zooplankton		
half saturation coefficient for grazing	ZKa	1.47
zooplankton nitrogen/individual	ZQn	0.5
Zooplankton mortality constant for quadratic mortality	Zm	0.35
maximum growth rate of zooplankton	Zumax	0.26
assimilation efficiency as a fraction of ingestion	Zeffic	0.19
proportion of grazed phytoplankton lost to sloppy feeding	Zslop	0.15
Zooplankton growth-dependent respiration factor	Zrespq	0.1
Zooplankton biomass-dependent respiration factor	Zrespb	0.06
zooplankton phosphorus/individual	ZQp	$6.43 \times 10^{-3}$
zooplankton carbon/individual	ZQc	$3.38 \times 10^{-5}$
Organic Matter		
turnover rate for OM1A and OM1Z	KG1	0.14
turnover rate for OM2A and OM2Z	KG2	0.06
decay rate of CDOM, 1/day	KGcdom	0.02
half-saturation concentration for O2 utilization	KO2	0.01
O2 concentration that inhibits denitrification	KstarO2	$7.29 \times 10^{-3}$
half-saturation concentration for NO3 used in denitrification	KNO3	$1.19 \times 10^{-3}$
maximum rate of nitrification per day	nitmax	$2.7 \times 10^{-5}$
NH4 rate constant for nitrification	KNH4	$2.64 \times 10^{-5}$
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