



CS215 DISCRETE MATH

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Encoding the Inputs of Problems

- **Complexity** of a problem is measure w.r.t **the size of input**.
- In order to formally discuss how hard a problem is, we need to be **much more** formal than before about the **input size** of a problem.



Input Size Example: Composite

■ Example:

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Any integer $n > 0$ can be represented in the **binary number system** as a string $a_0a_1 \cdots a_k$ of length $\lceil \log_2(n+1) \rceil$.

Thus, a natural measure of input size is $\lceil \log_2(n+1) \rceil$ (or just **$\log_2 n$**)



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Using fixed length encoding, we write a_i as a binary string of length $m = \lceil \log_2 \max(|a_i| + 1) \rceil$.

This coding gives an input size nm .

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This makes $\Theta(n)$ comparisons, so it might seem **linear** and very **efficient**.

But, note that the input size of this problem is $\text{size}(n) = \log_2 n$, so the number of comparisons performed is actually $\Theta(n) = \Theta(2^{\text{size}(n)})$, which is **exponential**.

Input Size Example: Integer Multiplication

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The minimum input size is

$$s = \lceil \log_2(a + 1) \rceil + \lceil \log_2(b + 1) \rceil.$$

A natural choice is to use $t = \log_2 \max(a, b)$ since $\frac{s}{2} \leq t \leq s$.



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If L is the problem, and x is the input, we will often write $x \in L$ to denote a *yes* answer and $x \notin L$ to denote a *no* answer.

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Examples:

Knapsack vs. *Decision Knapsack* (DKnapsack)

Knapsack vs. DKnapsack

- We have a knapsack of capacity W (a positive integer) and n objects with weights w_1, \dots, w_n and values v_1, \dots, v_n , where v_i and w_i are positive integers.

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Optimization problem: (Knapsack)

Find the **largest value** $\sum_{i \in T} v_i$ of any subset T that fits in the knapsack, i.e., $\sum_{i \in T} w_i \leq W$.

Decision problem: (DKnapsack)

Given k , **is there a subset** of the objects that fits in the knapsack and has total value **at least k** ?

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Thus, if we prove that a given **decision problem** is hard to solve efficiently, then it is **obvious** that the **optimization problem** must be (at least as) **hard**.



Complexity Classes

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 - ◇ the classification of certain “**decision problems**” into several classes:
 - ◇ the class of “easy” problems
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How to classify decision problems?

A. Use **polynomial-time algorithms**.



Polynomial-Time Algorithms

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Example:

The standard multiplication algorithm has time $O(m_1 m_2)$, where m_1, m_2 denote the number of digits in the two integers, respectively.



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In terms of the *input size*, the complexity is $\Theta(2^N N^2)$.



Polynomial- vs. Nonpolynomial-Time

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In reality, an $O(n^{20})$ algorithm is **not** really practical.



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Definition (The **Class P**) The class P consists of all **decision problems** that are solvable in **polynomial time**. That is, there exists an algorithm that will decide in **polynomial time** if any given input is a **yes-input** or a **no-input**.



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How to prove that a decision problem is **not** in P?

A. You need to prove that there is **no** polynomial-time algorithm for this problem. (**much much harder**)



Certificates and Verifying Certificates

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Verifying a certificate: Given a presumed yes-input and its corresponding certificate, by making use of the given certificate, we verify that the input is actually a *yes-input*.



The Class NP

- **Definition** The class **NP** consists of all decision problems such that, for each **yes-input**, there exists a *certificate* which allows one to verify in **polynomial time** that the input is indeed a **yes-input**.



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NP – “nondeterministic polynomial-time”



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Just being able to verify a certificate in **polynomial time** does **not** necessarily mean we can tell **whether an input is a yes-input or a no-input in polynomial time**.

However, we are still **no** closer to solving it and do not know the answer. The search for a solution, though, has provided us with deep insights into **what distinguishes an “easy” problem from a “hard” one**.



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- If **Q** can be reduced to **Q'**,
then **Q** is “no harder to solve” than **Q'**.



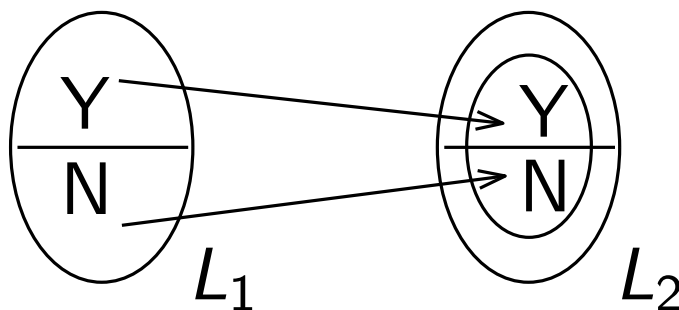
Polynomial-Time Reductions

- Let L_1 and L_2 be two decision problems



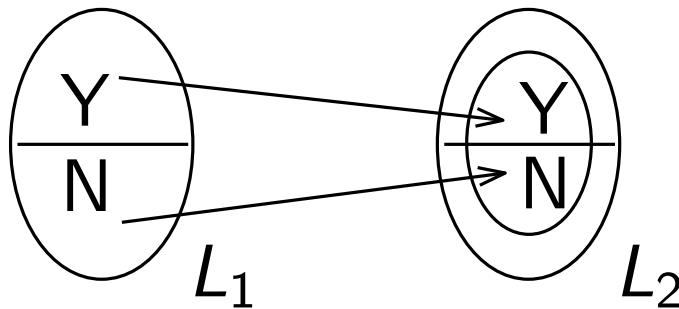
Polynomial-Time Reductions

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- A *polynomial-time reduction* from L_1 to L_2 is a transformation f with the following two properties:
 - (1) f transforms an input x for L_1 into an input $f(x)$ for L_2 s.t.
 - a yes-input of L_1 maps to a yes-input of L_2 , and a no-input of L_1 maps to a no-input of L_2
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If such an f exists, we say that L_1 is *polynomial-time reducible* to L_2 , and write $L_1 \leq_P L_2$.

Polynomial-Time Reductions

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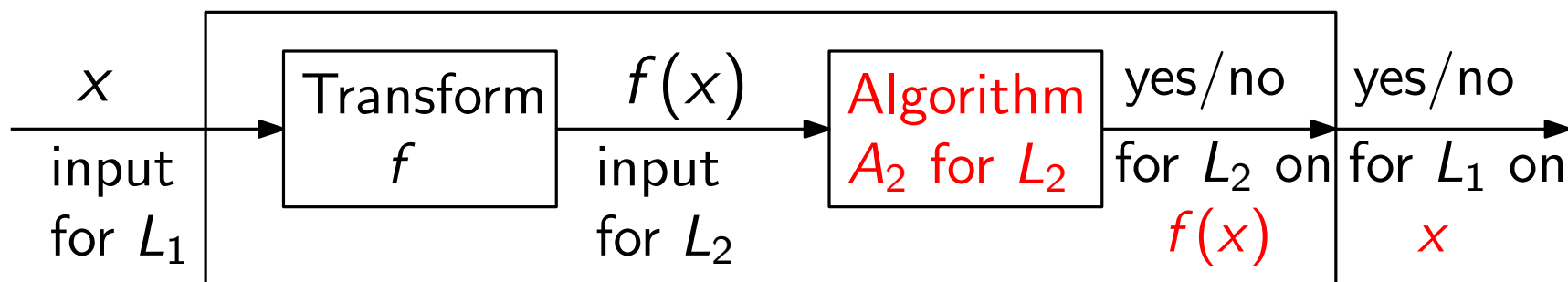
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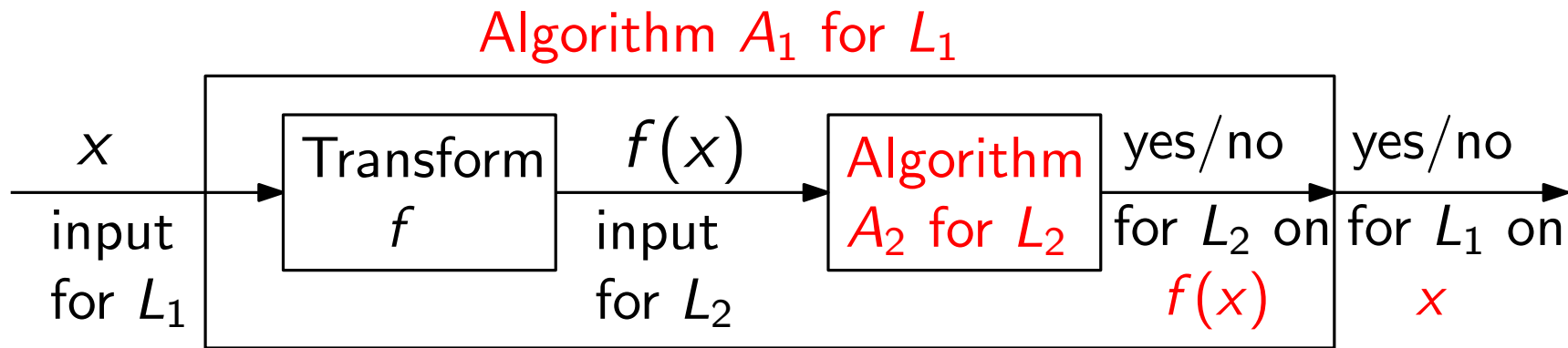
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Algorithm A_1 for L_1



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- If A_2 is polynomial-time algorithm, so is A_1

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Proof. $L_2 \in P$ means we have a polynomial-time algorithm A_2 for L_2 . Since $L_1 \leq_P L_2$, we have a polynomial-time transformation f mapping input x for L_1 to an input for L_2 .



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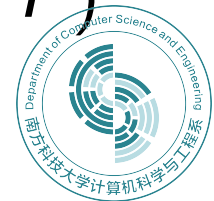
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Note: The converse (if $L_1 \leq_P L_2$ and $L_1 \in P$, then $L_2 \in P$) is **not true**.



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The class *NPC* of NP-Complete problems consists of all decision problems L s.t.

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Intuitively, *NPC* consists of all the **hardest** problems in NP.



NP-Completeness and Its Properties

■ **Theorem** Let L be any problem in NPC.

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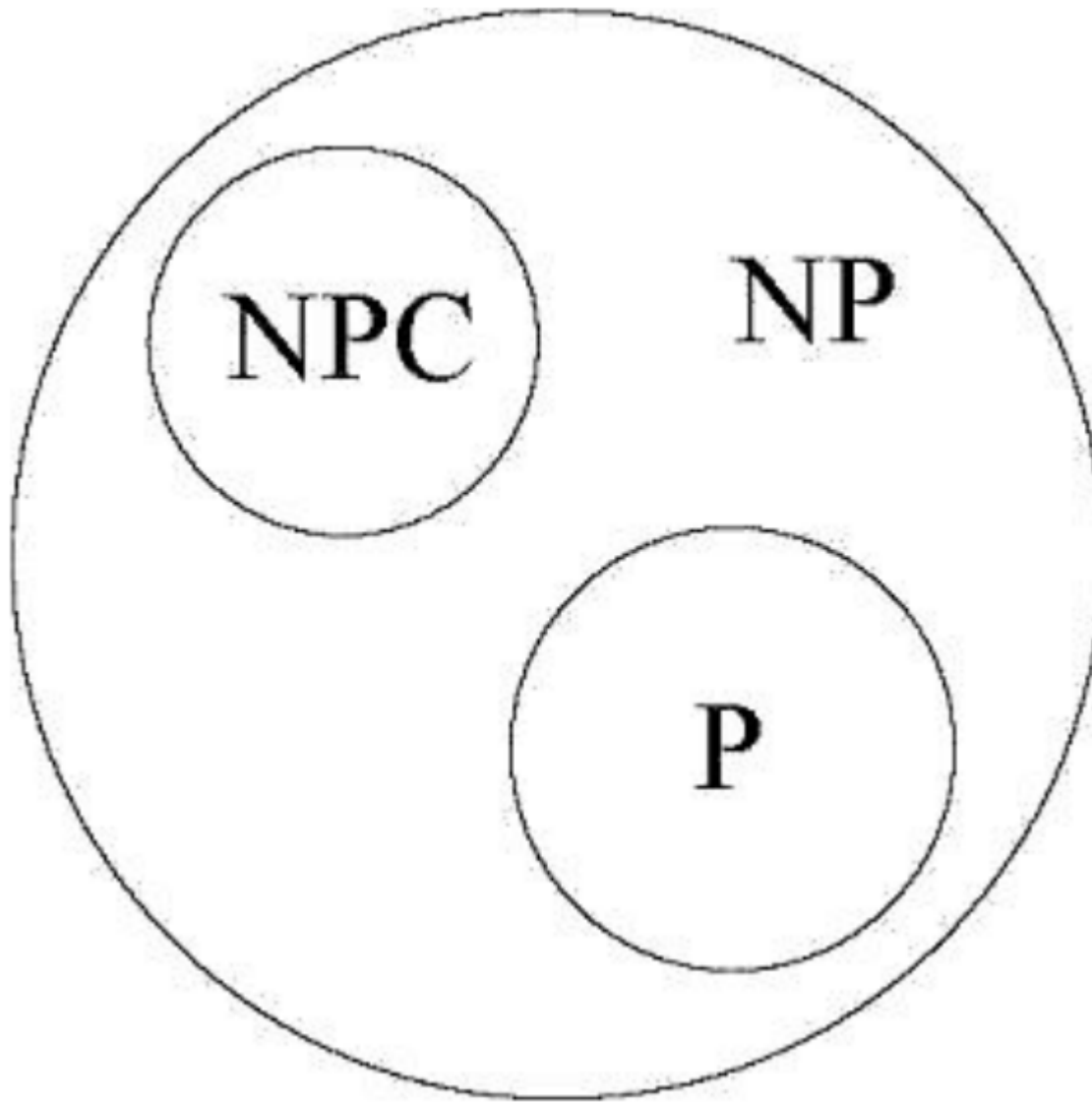
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This is the major reason why we are interested in NP-Completeness.



The Classes P, NP, and NPC



Application of Number Theory

- G. H. Hardy (1877 - 1947)

In his 1940 autobiography *A Mathematician's Apology*, Hardy wrote “The great modern achievements of applied mathematics have been in relativity and quantum mechanics, and these subjects are, at present, **almost as ‘useless’ as the theory of numbers.**”



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If he could see the world now, Hardy would be spinning in his grave.

Number Theory

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- At one point, the largest employer of mathematicians in the United States, and probably the world, was the **National Security Agency** (NSA). The NSA is the largest spy agency in the US (bigger than CIA, Central Intelligence Agency), and has the responsibility for code design and breaking.



Division

- If a and b are integers with $a \neq 0$, we say that a divides b if there is an integer k such that $b = ak$, or equivalently b/a is an integer. In this case, we say that a is a *factor* or *divisor* of b , and b is a *multiple* of a . (We use the notations $a|b$, $a \nmid b$)



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Example

◇ $4 \mid 24$

◇ $3 \nmid 7$



Divisibility

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- **Question:** Let n and d be two positive integers. How many positive integers **not exceeding n** are divisible by d ?

Answer: Count the number of integers such that $0 < kd \leq n$. Therefore, there are $\lfloor n/d \rfloor$ such positive integers.



Divisibility

■ Properties

Let a, b, c be integers. Then the following hold:

- (i) if $a|b$ and $a|c$, then $a|(b + c)$
- (ii) if $a|b$ then $a|bc$ for all integers c
- iii) if $a|b$ and $b|c$, then $a|c$



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Proof.



Divisibility

- **Corollary** If a, b, c are integers, where $a \neq 0$, such that $a|b$ and $a|c$, then $a|(mb + nc)$ whenever m and n are integers.



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Proof. By part (ii) and part (i) of Properties.



The Division Algorithm

- If a is an integer and d a positive integer, then there are **unique** integers q and r , with $0 \leq r < d$, such that $a = dq + r$. In this case, d is called the *divisor*, a is called the *dividend*, q is called the *quotient*, and r is called the *remainder*.



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In this case, we use the notations $q = a \text{ div } d$ and $r = a \bmod d$.



Congruence Relation

- If a and b are integers and m is a positive integer, then a is *congruent to b modulo m if m divides $a - b$* , denoted by $a \equiv b \pmod{m}$. This is called *congruence* and m is its *modulus*.



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Example

- ◇ $15 \equiv 3 \pmod{6}$
- ◇ $-1 \equiv 11 \pmod{6}$



More on Congruences

- Let m be a positive integer. The integers a and b are congruent modulo m if and only if there is an integer k such that $a = b + km$.



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Proof.

“only if” part

“if” part



$(\bmod m)$ and $\bmod m$ Notations

- $a \equiv b \pmod{m}$ and $a \bmod m = b$ are different.
 - ◇ $a \equiv b \pmod{m}$ is a **relation** on the set of integers
 - ◇ In $a \bmod m = b$, the notation **mod** denotes a function



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- Let a and b be integers, and let m be a positive integer.
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Congruences of Sums and Products

- Let m be a positive integer. If $a \equiv b \pmod{m}$ and $c \equiv d \pmod{m}$, then $a + c \equiv b + d \pmod{m}$ and $ac \equiv bd \pmod{m}$



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Proof.

Algebraic Manipulation of Congruences

- If $a \equiv b \pmod{m}$, then
 - $c \cdot a \equiv c \cdot b \pmod{m}$?
 - $c + a \equiv c + b \pmod{m}$?
 - $a/c \equiv b/c \pmod{m}$?



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$$14 \equiv 8 \pmod{6} \text{ but } 7 \not\equiv 4 \pmod{6}$$



Computing the mod Function

- **Corollary** Let m be a positive integer and let a and b be integers. Then

$$(a + b) \bmod m = ((a \bmod m) + (b \bmod m)) \bmod m$$

$$ab \bmod m = ((a \bmod m)(b \bmod m)) \bmod m$$



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Next Lecture

- number theory ...

