



# CS215 DISCRETE MATH

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# Encoding the Inputs of Problems

- Complexity of a problem is measure w.r.t the size of input.
- In order to formally discuss how hard a problem is, we need to be **much more** formal than before about the **input size** of a problem.

# Input Size Example: Composite

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Any integer  $n > 0$  can be represented in the binary number system as a string  $a_0a_1 \cdots a_k$  of length  $\lceil \log_2(n + 1) \rceil$ .

Thus, a natural measure of input size is  $\lceil \log_2(n + 1) \rceil$  (or just  $\log_2 n$ )

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Using fixed length encoding, we write  $a_i$  as a binary string of length  $m = \lceil \log_2 \max(|a_i| + 1) \rceil$ .

This coding gives an input size  $nm$ .

# Complexity in terms of Input Size

## ■ Example: (Composite)

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This makes  $\Theta(n)$  comparisons, so it might seem linear and very efficient.

**But**, note that the input size of this problem is  $\text{size}(n) = \log_2 n$ , so the number of comparisons performed is actually  $\Theta(n) = \Theta(2^{\text{size}(n)})$ , which is exponential.

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The minimum input size is

$$s = \lceil \log_2(a + 1) \rceil + \lceil \log_2(b + 1) \rceil.$$

A natural choice is to use  $t = \log_2 \max(a, b)$  since  $\frac{s}{2} \leq t \leq s$ .

# Decision Problems

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If  $L$  is the problem, and  $x$  is the input, we will often write  $x \in L$  to denote a **yes** answer and  $x \notin L$  to denote a **no** answer.

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## Examples:

Knapsack vs. Decision Knapsack (DKnapsack)

# Knapsack vs. DKnapsack

- We have a knapsack of capacity  $W$  (a positive integer) and  $n$  objects with weights  $w_1, \dots, w_n$  and values  $v_1, \dots, v_n$ , where  $v_i$  and  $w_i$  are positive integers.

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*Optimization problem:* (Knapsack)

Find the largest value  $\sum_{i \in T} v_i$  of any subset  $T$  that fits in the knapsack, i.e.,  $\sum_{i \in T} w_i \leq W$ .

*Decision problem:* (DKnapsack)

Given  $k$ , is there a subset of the objects that fits in the knapsack and has total value at least  $k$ ?

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First solve the optimization problem, then check the decision problem. If it does, answer yes, otherwise no.

Thus, if we prove that a given decision problem is hard to solve efficiently, then it is obvious that the optimization problem must be (at least as) hard.

# Complexity Classes

- The Theory of Complexity deals with
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## Question:

How to classify decision problems?

A. Use polynomial-time algorithms.

# Polynomial-Time Algorithms

- **Definition** An algorithm is *polynomial-time* if its running time is  $O(n^k)$ , where  $k$  is a constant independent of  $n$ , and  $n$  is the **input size** of the problem that the algorithm solves.

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## Example:

The standard multiplication algorithm has time  $O(m_1 m_2)$ , where  $m_1, m_2$  denote the number of digits in the two integers, respectively.

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- ◊ it checks, in time  $\Theta((\log n)^2)$ , whether  $k$  divides  $n$  for each  $k$  with  $2 \leq k \leq n - 1$ .
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In terms of the [input size](#), the complexity is  $\Theta(2^N N^2)$ .

# Polynomial- vs. Nonpolynomial-Time

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In reality, an  $O(n^{20})$  algorithm is **not** really practical.

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**Definition** (The Class P) The class P consists of all **decision problems** that are solvable in **polynomial time**. That is, there exists an algorithm that will decide in **polynomial time** if any given input is a **yes-input** or a **no-input**.

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How to prove that a decision problem is **not** in P?

A. You need to prove that there is **no** polynomial-time algorithm for this problem. (**much much harder**)

# Certificates and Verifying Certificates

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*Verifying a certificate*: Given a presumed yes-input and its corresponding certificate, by making use of the given certificate, we verify that the input is actually a *yes-input*.

# The Class NP

- **Definition** The class **NP** consists of all decision problems such that, for each **yes-input**, there exists a ***certificate*** which allows one to verify in **polynomial time** that the input is indeed a **yes-input**.

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NP – “nondeterministic polynomial-time”

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## DKnapsack $\in$ NP

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**However**, we are still **no** closer to solving it and do not know the answer. The search for a solution, though, has provided us with deep insights into **what distinguishes** an “easy” problem from a “hard” one.

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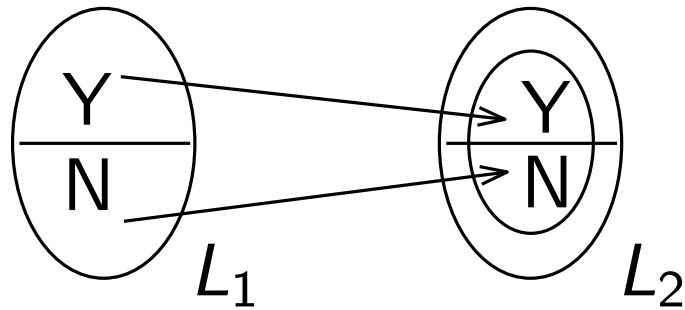
- If **Q** can be reduced to **Q'**,  
then **Q** is “**no harder to solve**” than **Q'**.

# Polynomial-Time Reductions

- Let  $L_1$  and  $L_2$  be two decision problems

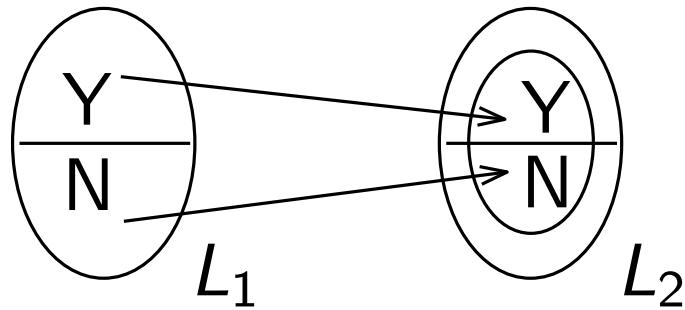
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- A *polynomial-time reduction* from  $L_1$  to  $L_2$  is a transformation  $f$  with the following two properties:
  - (1)  $f$  transforms an input  $x$  for  $L_1$  into an input  $f(x)$  for  $L_2$  s.t.  
a yes-input of  $L_1$  maps to a yes-input of  $L_2$ , and a no-input of  $L_1$  maps to a no-input of  $L_2$
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If such an  $f$  exists, we say that  $L_1$  is *polynomial-time reducible* to  $L_2$ , and write  $L_1 \leq_P L_2$ .

# Polynomial-Time Reductions

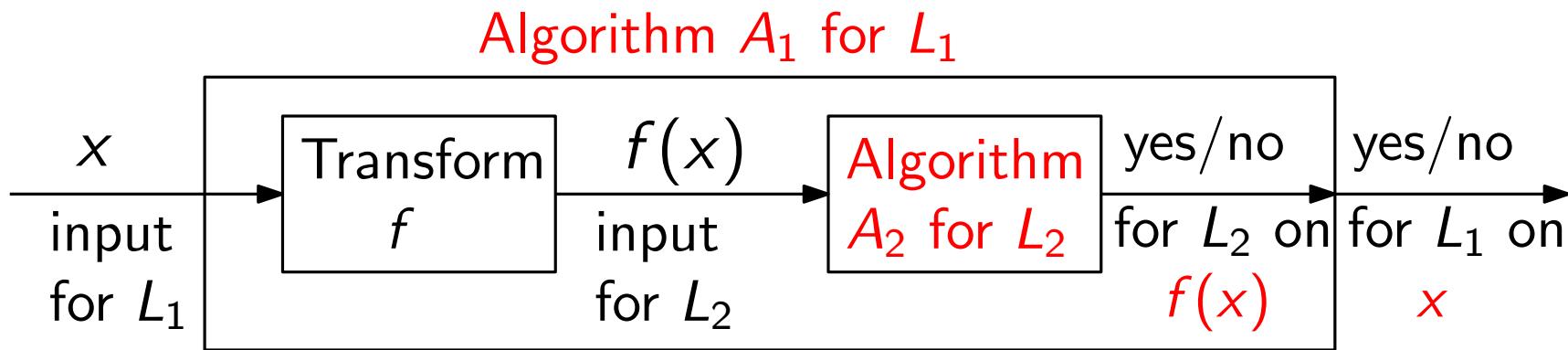
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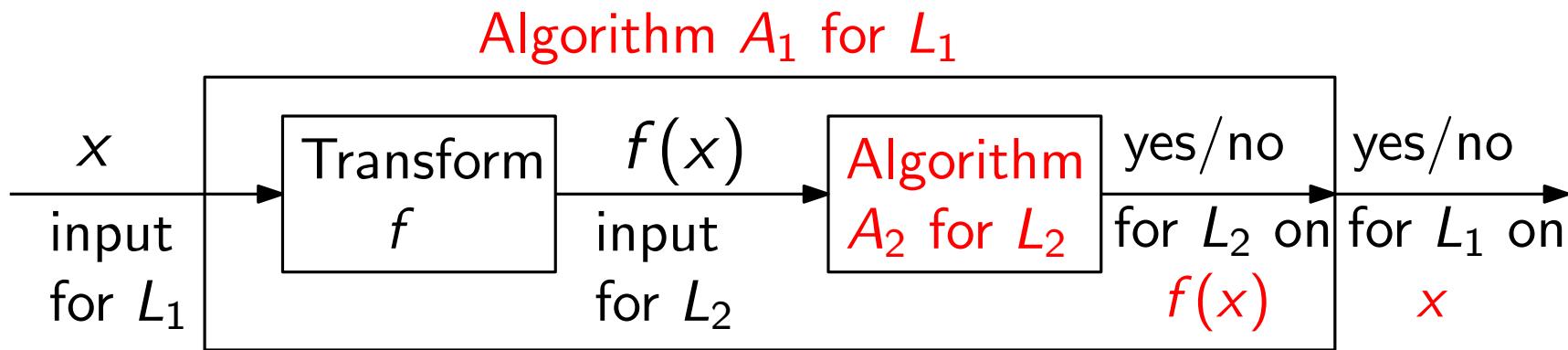
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- If  $A_2$  is polynomial-time algorithm, so is  $A_1$

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**Note:** The converse (if  $L_1 \leq_P L_2$  and  $L_1 \in P$ , then  $L_2 \in P$ ) is not true.

# Transitivity of Reductions

- **Lemma** If  $L_1 \leq_P L_2$  and  $L_2 \leq_P L_3$ , then  $L_1 \leq_P L_3$ .

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The class *NPC* of NP-Complete problems consists of all decision problems  $L$  s.t.

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Intuitively, *NPC* consists of all the **hardest** problems in NP.

# NP-Completeness and Its Properties

- **Theorem** Let  $L$  be any problem in NPC.
  - (1) If **there is** a polynomial-time algorithm for  $L$ , then there is a polynomial-time algorithm for **every**  $L' \in NP$
  - (2) If **there is no** polynomial-time algorithm for  $L$ , then there is no polynomial-time algorithm for **every**  $L' \in NPC$

# NP-Completeness and Its Properties

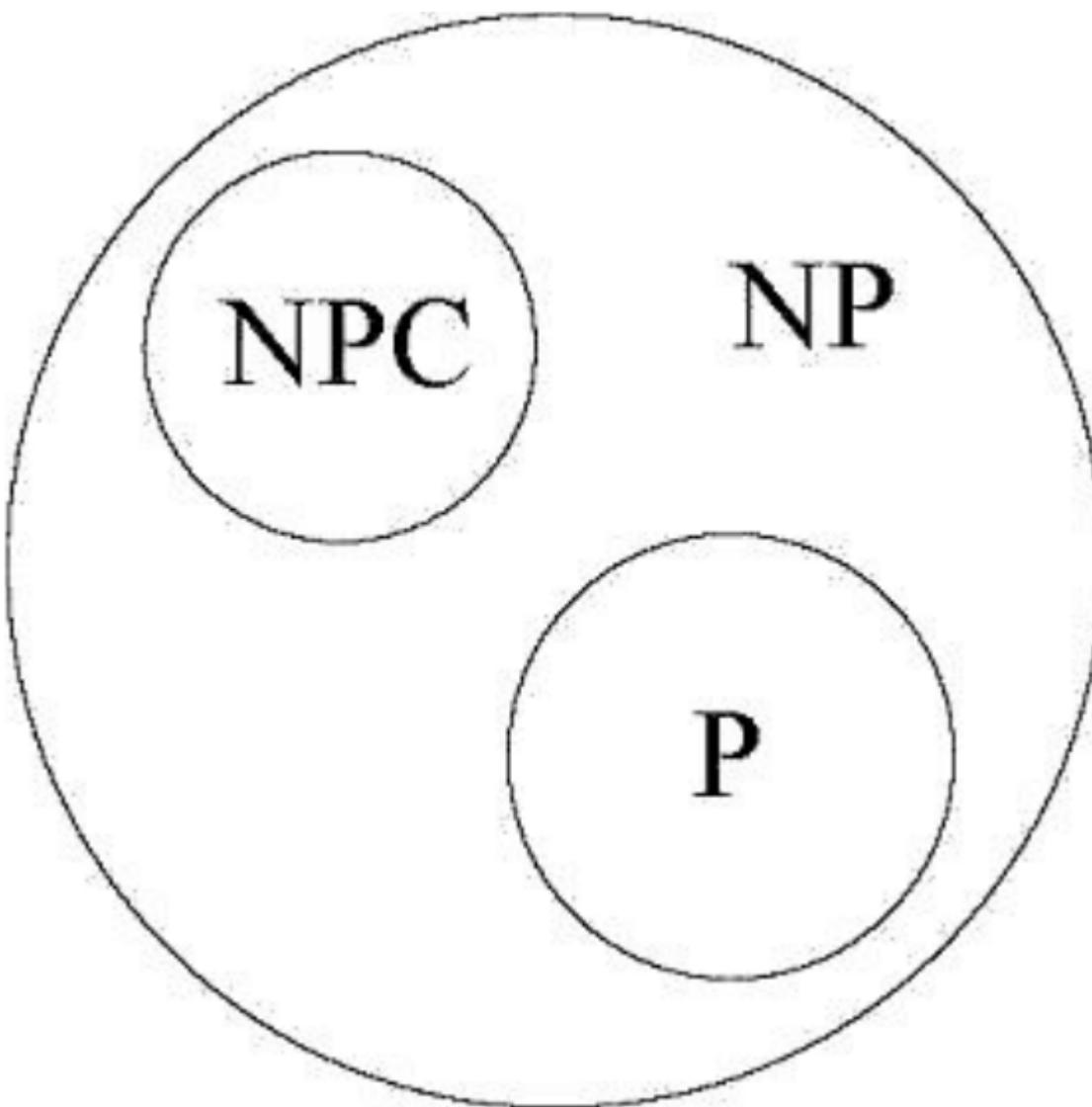
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This is the major reason why we are interested in NP-Completeness.

# The Classes P, NP, and NPC



# Application of Number Theory

## ■ G. H. Hardy (1877 - 1947)

In his 1940 autobiography *A Mathematician's Apology*, Hardy wrote

“The great modern achievements of applied mathematics have been in **relativity** and **quantum mechanics**, and these subjects are, at present, **almost as ‘useless’ as the theory of numbers.**”



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If he could see the world now, Hardy would be spinning in his grave.

# Number Theory

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- *Number theory* is a branch of mathematics that explores integers and their properties, is the basis of **cryptography**, **coding theory**, **computer security**, **e-commerce**, etc.
- At one point, the largest employer of mathematicians in the United States, and probably the world, was the **National Security Agency** (NSA). The NSA is the largest spy agency in the US (bigger than CIA, Central Intelligence Agency), and has the responsibility for code design and breaking.

# Division

- If  $a$  and  $b$  are integers with  $a \neq 0$ , we say that  $a$  divides  $b$  if there is an integer  $k$  such that  $b = ak$ , or equivalently  $b/a$  is an integer. In this case, we say that  $a$  is a *factor* or *divisor* of  $b$ , and  $b$  is a *multiple* of  $a$ . (We use the notations  $a|b$ ,  $a \nmid b$ )

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## Example

- ◊  $4 | 24$
- ◊  $3 \nmid 7$

# Divisibility

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**Answer:** Count the number of integers such that  $0 < kd \leq n$ . Therefore, there are  $\lfloor n/d \rfloor$  such positive integers.

# Divisibility

## ■ Properties

Let  $a, b, c$  be integers. Then the following hold:

- (i) if  $a|b$  and  $a|c$ , then  $a|(b + c)$
- (ii) if  $a|b$  then  $a|bc$  for all integers  $c$
- iii) if  $a|b$  and  $b|c$ , then  $a|c$

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Proof.

# Divisibility

- **Corollary** If  $a, b, c$  are integers, where  $a \neq 0$ , such that  $a|b$  and  $a|c$ , then  $a|(mb + nc)$  whenever  $m$  and  $n$  are integers.

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**Proof.** By part (ii) and part (i) of Properties.

# The Division Algorithm

- If  $a$  is an integer and  $d$  a positive integer, then there are **unique** integers  $q$  and  $r$ , with  $0 \leq r < d$ , such that  $a = dq + r$ . In this case,  $d$  is called the *divisor*,  $a$  is called the *dividend*,  $q$  is called the *quotient*, and  $r$  is called the *remainder*.

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In this case, we use the notations  $q = a \text{ div } d$  and  $r = a \text{ mod } d$ .

# Congruence Relation

- If  $a$  and  $b$  are integers and  $m$  is a positive integer, then  $a$  is *congruent to  $b$  modulo  $m$  if  $m$  divides  $a - b$* , denoted by  $a \equiv b \pmod{m}$ . This is called *congruence* and  $m$  is its *modulus*.

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## Example

- ◊  $15 \equiv 3 \pmod{6}$
- ◊  $-1 \equiv 11 \pmod{6}$

# More on Congruences

- Let  $m$  be a positive integer. The integers  $a$  and  $b$  are congruent modulo  $m$  if and only if there is an integer  $k$  such that  $a = b + km$ .

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**Proof.**

“only if” part

“if” part

# $(\text{mod } m)$ and $\text{mod } m$ Notations

- $a \equiv b \pmod{m}$  and  $a \bmod m = b$  are different.
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**Proof.**

# Congruences of Sums and Products

- Let  $m$  be a positive integer. If  $a \equiv b \pmod{m}$  and  $c \equiv d \pmod{m}$ , then  $a + c \equiv b + d \pmod{m}$  and  $ac \equiv bd \pmod{m}$

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**Proof.**

# Algebraic Manipulation of Congruences

- If  $a \equiv b \pmod{m}$ , then
  - $c \cdot a \equiv c \cdot b \pmod{m}$ ?
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$$14 \equiv 8 \pmod{6} \text{ but } 7 \not\equiv 4 \pmod{6}$$

# Computing the mod Function

- **Corollary** Let  $m$  be a positive integer and let  $a$  and  $b$  be integers. Then

$$(a + b) \bmod m = ((a \bmod m) + (b \bmod m)) \bmod m$$

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# Next Lecture

- number theory ...

