

## Control Questions

### 1 Introduction to Machine Learning

#### Question 1.1

Explain the terms *Machine Learning* and *Artificial Intelligence* in your own words.

#### Question 1.2

Explain the differences between *regression* and *classification*.

#### Question 1.3

Explain the difference between *offline* and *online* learning.

#### Question 1.4

Explain the difference between *unsupervised*, *supervised* and *semi-supervised* learning.

#### Question 1.5

Give important examples of *semi-supervised* learning methods.

#### Question 1.6

Explain the difference between *Transfer Learning* and *Co-Training*?

#### Question 1.7

Explain the difference between *Active Learning* and *Self-Learning*.

#### Question 1.8

Explain the main idea of *Multiple Instance Learning*? When is this approach useful in practice?

### 2 Unsupervised Learning

#### Question 2.1

Explain the main idea of k-NN classification.

#### Question 2.2

What is a Voronoi Diagram and how does it relate to nearest neighbor classification?

#### Question 2.3

Explain the terms *clustering* and *cluster analysis* in your own words.

**Question 2.4**

Explain the similarities and differences between the following lines of code:

```
KM = kmeans(train, k)
kmc=KM$centers[1,]
```

and

```
PAM = pam(train,k)
kmc=PAM$medoids[1,]
```

**Question 2.5**

Describe the term *exemplar-based clustering* in your own words. What are the benefits of such methods compared to other clustering approaches?

**Question 2.6**

What is Affinity Propagation and how does it differ from other clustering methods?

**Question 2.7**

Describe the basic idea of *graph-based clustering* approaches in your own words.

**Question 2.8**

Describe *hierarchical clustering* and its relation to *minimal spanning tree* in your own words?

**Question 2.9**

Which clustering method would you use in practice? Why?

**Question 2.10**

Assuming that P was computed via

```
P = prcomp(data)
```

Explain the difference or similarities of the following lines of code:

```
p_data = predict(P, data)
p_data2 = p_data[,1:2]

P$rotation = P$rotation[,1:2]
p_data2= predict(P, data)
```

**Question 2.11**

*Dimension reduction* methods and *feature selection* both allow a reduction of the amount of data. However, feature selection provides a specific advantage. Which one?

**Question 2.12**

Name three possible approaches for feature selection.

**Question 2.13**

Explain the following lines of code:

```
weight=random.forest.importance(Species~., iris, 2)
selfeat = cutoff.k(weight, 2)
```

### 3 Supervised Learning

**Question 3.1**

Explain the difference between *generative* and *discriminative* classification.

**Question 3.2**

Describe the basic idea of *linear classification*?

**Question 3.3**

What is the *Fisher criterion* and how is it related to LDA?

**Question 3.4**

How are the optimal solution of *LDA* and the eigenvalue problem related?

**Question 3.5**

Describe the *Small Sample Size Problem* and possible solutions to resolve it.

**Question 3.6**

Which theoretical problems arise from the standard solution of LDA and how can these be resolved.

**Question 3.7**

Explain the similarities and differences between *LDA* and *QDA*.

**Question 3.8**

Explain the basic idea of *Canonical Correlation Analysis*.

**Question 3.9**

Explain the basic principle of Mahalanobis metric learning.

**Question 3.10**

Explain the similarities and differences between the following lines of code:

```
L = lda(train, labels)
pl = predict(L, test)

S = svm(train, labels)
ps = predict(S, test, type="vector")
```

**Question 3.11**

*Support Vector Machines* build on two main principles. Which ones?

**Question 3.12**

Support vector machines are designed for linear problems. How can nonetheless also non-linear problems be solved?

**Question 3.13**

What are *Support Vectors*? Why are they theoretically/practically important?

**Question 3.14**

Describe the relation between the pseudo-inverse and the solution of a linear two-class problem?

**Question 3.15**

Explain the following problem in your own words:

$$\begin{array}{ll} \min_{\frac{1}{2}} \|w\|^2 \\ \text{s.t.} & y_i(\langle w, x_i \rangle + b) - 1 \geq 0 \end{array}$$

**Question 3.16**

What is meant by *Support Vector Expansion*? How is this concept related to the dual solution of the SVM?

**Question 3.17**

Explain the difference between *Hard Margin SVM* and *Soft Margin SVM*. Which variant would you use in practice?

**Question 3.18**

What is meant by the so-called *kernel trick*?

**Question 3.19**

Support vector machines are defined for two-class problems. How can also multi-class problems handled in practice?

**Question 3.20**

Explain the concept of a *decision tree* in your own words?

**Question 3.21**

Explain the meaning/function of nodes in a *decision tree*.

**Question 3.22**

Which strategies exist for training a decision tree?

**Question 3.23**

Explain the terms *entropy*, *information gain* and *Gini coefficient* in the context of decision trees.

**Question 3.24**

Name three different variants of decision trees and discuss their differences.

**Question 3.25**

Explain the basic idea of CARTs.

**Question 3.26**

Describe the main idea of a *Binary Decision Tree* in your own words?

**Question 3.27**

Explain the two basic ideas on which *Random Forests* build on.

**Question 3.28**

Explain the relationship between *Random Forests* and ensemble methods?

**Question 3.29**

Name three possible applications for random forests?

**Question 3.30**

What are the advantages of *Random Forests* compared to other classification methods?

**Question 3.31**

The following lines of code calculate and evaluate a *Random Forest* using default parameters. Explain the parameters and the influence on the classification results.

```
R = randomForest(train, label, ntree=10, mtry=100, maxnodes=1000)
P = predict(R, test)
```

## 4 Neural Networks

**Question 4.1**

Explain the key statement of the *Universal Approximation Theorem*.

**Question 4.2**

Why are GPUs, that originally have been developed to process and display graphics, are well suited for neural network calculations?

**Question 4.3**

Explain the basic concept of a neuron/perceptron and its relation to a biological neuron.

**Question 4.4**

How is the Nobel-Prize-winning work by Hubel and Wiesel related to neural networks?

**Question 4.5**

Name the five most important publications neural networks from your personal points of view and explain your decision.

**Question 4.6**

What is an *Activation Function* in the context of a neural network? Name at least three different examples?

**Question 4.7**

Explain the basic concept of neural networks from a graph-theoretical point of view: *multi-layer network*.

**Question 4.8**

What is a *hidden layer* in the context of neural networks?

**Question 4.9**

How can a neural network be trained? What is the most successful approach?

**Question 4.10**

Explain the basic idea of the backpropagation algorithm.

**Question 4.11**

In the context of training neural networks, what is meant by a *loss function*?

**Question 4.12**

Explain the basic idea of the *softmax classifier*.

**Question 4.13**

Why is regularization important for optimization problems? Name three examples in the context of neural networks.

### Question 4.14

Explain the essential difference between *gradient decent* and *stochastic gradient descent*.

### Question 4.15

What is meant by *batch normalization*? Why is this advantageous in practice?

### Question 4.16

Explain the basic idea of the *Momentum* method.

### Question 4.17

Explain the basic idea of *Dropout*.

### Question 4.18

Explain the meaning of the parameters in the following call to the training function of the *deepnet* package:

```
NN = nn.train(data, label,
               hidden=c(15.5),
               activationfun="sigm",
               learning rate = 0.1,
               momentum=0.5,
               output="softmax",
               batchsize=32,
               numberepochs=50,
               hidden_dropout=0.2)
```

### Question 4.19

A CNN consists of several different layers. Which one is defined in the following lines of code (*Keras*). What is the meaning of the listed parameters?

```
layer_conv_2d(filter=16,
               kernel_size=c(5,5),
               padding="same",
               input_shape=c(64, 64, 3)) %>%

layer_activation("relu") %>%
```

### Question 4.20

A CNN consists of several different layers. Which guy will go with defined in the following *Keras* lines of code. What meaning do they have listed parameters?

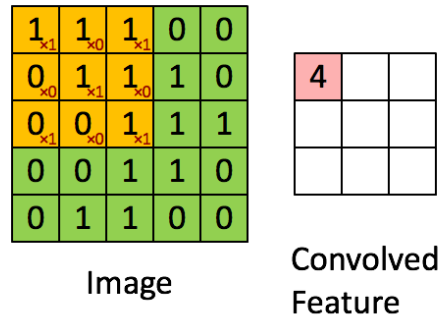
```
layer_flatten() %>%
layer_dense(num_of_units) %>%
layer_activation("relu") %>%
layer_dropout(0.5) %>%
```

**Question 4.21**

What is a (Deep) Convolutional Neural Network?

**Question 4.22**

Given a binary image (green square) and a  $3 \times 3$  convolution filter (orange square):



Calculate the result of the filter  $\begin{bmatrix} 1 & 0 & 1 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix}$  on the area highlighted in orange!

**Question 4.23**

Given an image of size  $9 \times 9$  and a filter of size  $3 \times 3$ . Calculate the size of the filter output for a stride size of 2.

**Question 4.24**

Given a matrix of size  $4 \times 4$ :

$$\begin{bmatrix} 2 & 5 & 3 & 2 \\ 9 & 4 & 4 & 3 \\ 9 & 2 & 2 & 3 \\ 1 & 4 & 1 & 2 \end{bmatrix}$$

Calculate for each of these a  $2 \times 2$  *max pooling* or *average pooling*.

**Question 4.25**

*AlexNet* was not the first CNN architecture to be used in the ImageNet challenge. Why was this one so successful?

**Question 4.26**

Explain the meaning of *Transfer Learning* in the context of Deep Learning. When can this technique not be used?

**Question 4.27**

What is *Data Augmentation* and why is it important in the context of Deep Learning?