# Algorithm Foundations of Data Science and Engineering Lecture 2: Hashing Algorithms

#### MING GAO

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Feb. 25, 2019

## Outline

Introduction to Hashing

Bloom filter

Locality-Sensitive Hashing
Shingling
Min-hashing
Locality Sensitive Hashing

#### Searching

To find an entry (field of information) in the table, you only have to use the contents of one of the fields (say name in the case of the telephone book). You dont have to know the contents of all the fields. The field you use to find the contents of the other fields is called the **key**.

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Ideally, the key should uniquely identify the entry, i.e. if the key is the name then no two entries in the telephone book have the same name.

Hash function *h*:

$$h: key \rightarrow value, i.e., h(key) = value \in \mathbb{Z}^+,$$

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- Resistant to collisions: two keys of different inputs may not lead to a value of identical output. It should be noted that even a minimal variation between two keys of inputs can lead to two values of outputs completely different. The reverse is also true. The same value of entry may not lead to two different outputs.

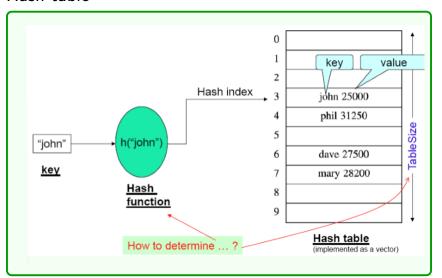
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- Pre-image resistance: it is impossible to find an input key from the output. The only way to find the input value is to apply a method of "brute force".

#### Hash table



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Insert	O(1)	O(n)	O(1) or $O(n)$	$O(\log n)$
Find	O(n)	$O(\log n)$	O(n)	$O(\log n)$
Remove	O(n)	O(n)	O(n)	$O(\log n)$

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- Table size: too large a table will cause a wastage of memory; too small a table will cause increased collisions.

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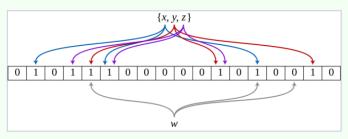
- Spam address filter: it can efficiently filter the spam address;
- Spell checker: it enables you to correct the most cumbersome mistakes, with a high degree of accuracy and speed, and to improve your writing;
- **Duplicate checker**: it help Web crawler to determine whether an URL has already been crawled.

## Problem setting

Let S be a set of n elements. We are given a set of k hash functions, saying  $h_1,h_2\cdots,h_k$ , with range  $\{1,2,\cdots,m\}$  or  $(\{0,1,\cdots,m-1\})$ . Initially, m-long array of bits are set to 0

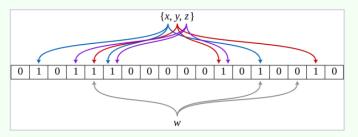
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An example of a Bloom filter, representing the set  $\{x,y,z\}$ . The colored arrows show the positions in the bit array that each set element is mapped to. For this figure, m=18 and k=3.

We insert and query on a Bloom filter of size m=10 and number of hash functions k=3. Let H(x) denote the result of the three hash functions which we will write as a set of three values  $\{h_1(x), h_2(x), h_3(x)\}$ .

0 0 0 0 0 0 0 0 0 0	0	1	2	3	4	5	6	7	8	9
	0	0	0	0	0	0	0	0	0	0

0	1	2	3	4	5	6	7	8	9
0	1	0	0	1	0	0	0	0	1

0	1	2	3	4	5	6	7	8	9
0	1	0	0	1	1	0	0	1	1

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0	0	0	0	0	0	0	0	0	0	

We start with an empty 10-bit long array.

0	1	2	3	4	5	6	7	8	9
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0	1	0	0	1	0	0	0	0	1	$H(x_0) = \{1,4,9\}$

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Insert  $x_1$  with  $H(x_1) = \{4, 5, 8\}.$ 

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0	1	0	0	1	1	0	0	1	1	$H(x_1) = \{4, 5, 8\}.$

0	1	2	3	4	5	6	7	8	9	
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Check whether an item belongs to the set or not.

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0	1	2	3	4	5	6	7	8	9	$H(x_0) = \{1,4,9\}.$
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- Query  $y_2$  with  $H(y_2) = \{1, 5, 8\} \rightarrow \text{Yes (False Positive)};$

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And consequently the probability that the bit is one is

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$$f = (1 - (1 - \frac{1}{m})^{kn})^k \approx (1 - e^{-kn/m})^k.$$

x	$\left(1-\frac{1}{x}\right)^{-x}$
4	3.160494
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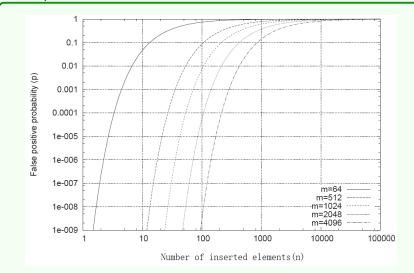
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Now, we want to minimize the probability of false positives, by  $\frac{1}{12} \frac{1}{\sqrt{n}} \lim_{k \to \infty} \frac{1}{n} \ln \left(1 - e^{-kn/m}\right)^k$  with respect to k.



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So we wish to minimize  $g = k \ln (1 - p)$ . Note that  $\ln e^{-kn/m} = \frac{-kn}{m}$ , we have

$$g = k \ln (1 - p) = -\frac{m}{n} \ln (p) \ln (1 - p)$$

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Thus, we see that g is minimized when  $p = \frac{1}{2}$ .

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Plugging back into  $f = (1 - p)^k$ , we find the minimum false positive rate is

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Thus, the optimal filter structure is  $p = \frac{1}{2}$ , which corresponds to a half-full Bloom filter array.

# m, n, k Examples

False positive rates for choices of $k$ given $\frac{m}{n}$							
m/n	k	k=1	k=2	k=3	k=4	k=5	
2	1.39	0.393	0.400				
3	2.08	0.283	0.237	0.253			
4	2.77	0.221	0.155	0.147	0.160		
5	3.46	0.181	0.109	0.092	0.092	0.101	
6	4.16	0.154	0.0804	0.0609	0.0561	0.0578	
7	4.85	0.133	0.0618	0.0423	0.0359	0.0347	
8	5.55	0.118	0.0489	0.0306	0.024	0.0217	

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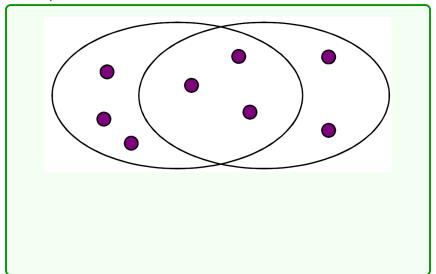
$$sim(A,B) = \frac{|A \cap B|}{|A \cup B|}$$

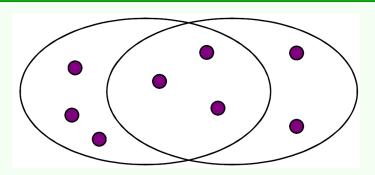
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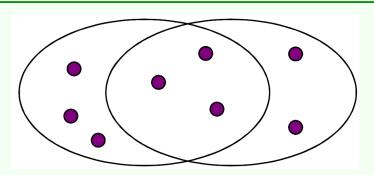
□ Jaccard distance (non-negative, symmetric, triangle inequality):

$$d(A,B) = 1 - \frac{|A \cap B|}{|A \cup B|}$$

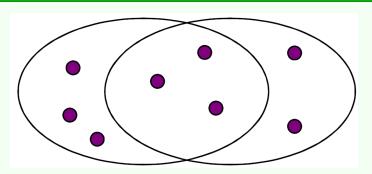




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# Three essential steps for similar documents

■ Shingling: Convert documents to sets

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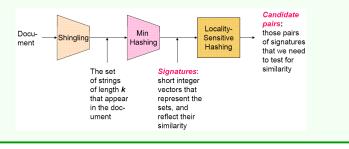
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Need to account for ordering of words! A different way: Shingles!

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Option: Shingles as a bag (multiset), count ab twice

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Hash the singles:  $h(D) = \{1, 5, 7\}.$ 

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- For N = 10 million, it takes more than a year...

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	Documents			
	1	1	1	О
S	1	1	0	1
	О	1	О	1
Shingles	0	О	0	1
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Hash docs into buckets. Expect that "most" pairs of near duplicate docs hash into the same bucket!

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- A random permutation is a random ordering of a set of objects, that is, a permutation-valued random variable.
- A good example of a random permutation is the shuffling of a deck of cards: this is ideally a random permutation of the 52 cards.

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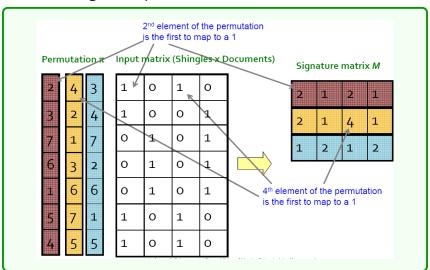
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 Use several (e.g., 100) independent hash functions (that is, permutations) to create a signature of a column

## Min-hashing example



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■ Thus, so the prob. that both are true is the prob.  $y \in C_1 \cap C_2$ . Final, we have

$$P(\min(\pi(C_1)) = \min(\pi(C_2))) = \frac{|C_1 \cap C_2|}{|C_1 \cup C_2|} = sim(C_1, c_2).$$

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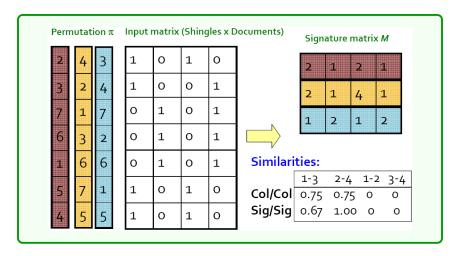
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- Now generalize to multiple hash functions
- The similarity of two signatures is the fraction of the hash functions in which they agree
- Note: Because of the Min-Hash property, the similarity of columns is the same as the expected similarity of their signatures

# Min-hashing-based similarity



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■ **Note:** The sketch (signature) of document C is small  $\sim 100$  bytes!

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- **Note:** The sketch (signature) of document *C* is small ~ 100 **bytes!**
- We achieved our goal! We "compressed" long bit vectors into short signatures

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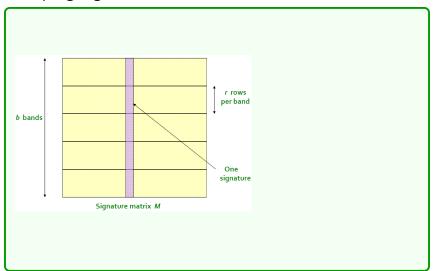
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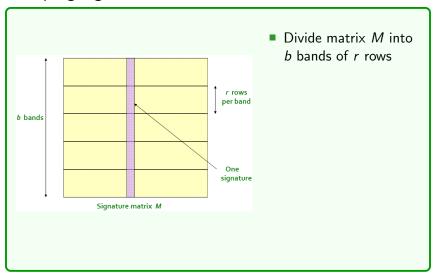
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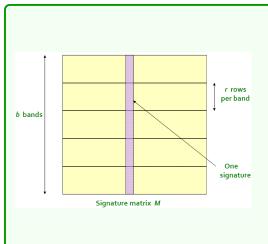
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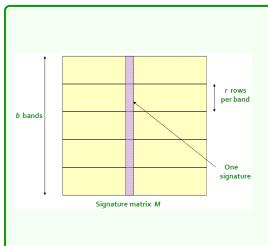
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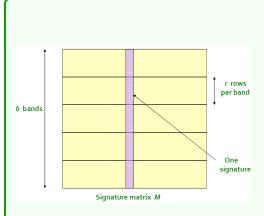




- Divide matrix M into b bands of r rows
- For each band, hash its portion of each column to a hash table with k buckets



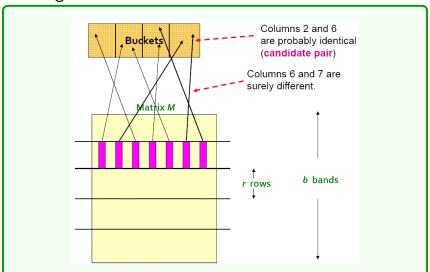
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Tune b and r to catch most similar pairs, but few non-similar pairs

# Hashing bands



■ There are enough buckets that columns are unlikely to hash to the same bucket unless they are identical in a particular band

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#### Example bands

Assume the following case:

■ Suppose 100,000 columns of *M* (100*k* docs)

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### Example bands

- Suppose 100,000 columns of *M* (100*k* docs)
- Signatures of 100 integers (rows)
- Therefore, signatures take 40*Mb*
- Choose b = 20 bands of r = 5 integers/band
- <sub>40</sub> /Goal: Find pairs of documents that are at least s = 0.8

# $C_1$ , $C_2$ are 80% similar

Find pairs of  $\geq s = 0.8$  similarity, set b = 20, r = 5

■ Assume:  $sim(C_1, C_2) = 0.8$ . Since  $sim(C_1, C_2) \ge s$ , we want  $C_1, C_2$  to be a candidate pair: We want them to hash to at least 1 common bucket (at least one band is identical)

Find pairs of > s = 0.8 similarity, set b = 20, r = 5

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- □ i.e., about 1/3000th of the 80%-similar column pairs are false negatives (we miss them)
- □ We would find 99.965% pairs of truly similar documents

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■ Assume:  $sim(C_1, C_2) = 0.3$ . Since  $sim(C_1, C_2) < s$ , we want  $C_1, C_2$  to hash to no common buckets (all bands should be different)

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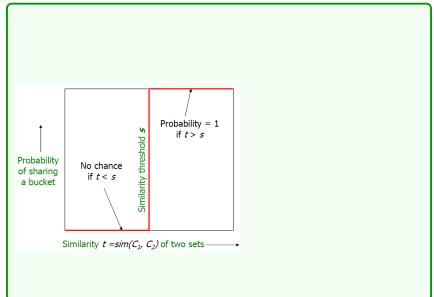
□ In other words, approximately 4.74% pairs of docs with similarity 0.3% end up becoming candidate pairs

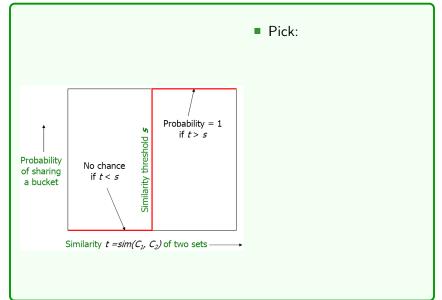
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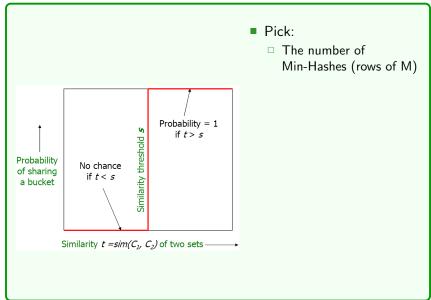
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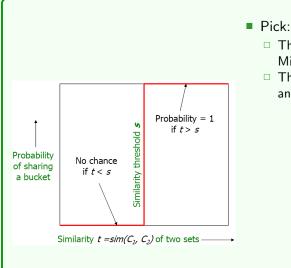
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- □ In other words, approximately 4.74% pairs of docs with similarity 0.3% end up becoming candidate pairs
- □ They are false positives since we will have to examine them (they are candidate pairs) but then it will turn out their similarity is below threshold *s*

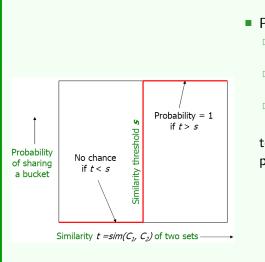








- □ The number of Min-Hashes (rows of M)
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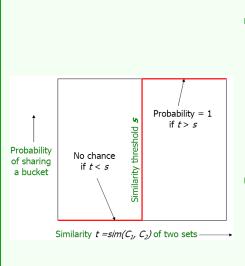


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positives/negatives

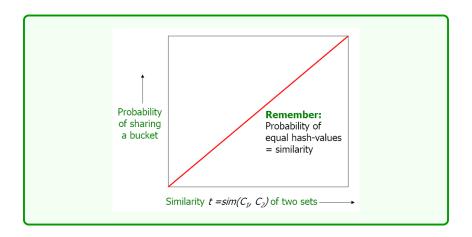
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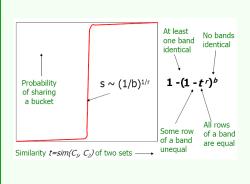


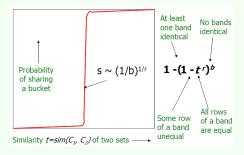
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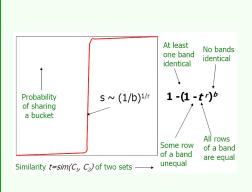
- □ The number of Min-Hashes (rows of M)
- ☐ The number of bands b, and
- The number of rows r per band
- to balance false positives/negatives
- Example: If we had only 15 bands of 5 rows, the number of false positives would go down, but the number of false negatives would go up

### What 1 band of 1 row gives you



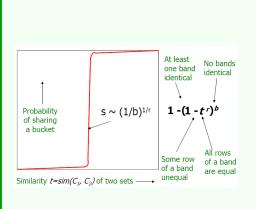




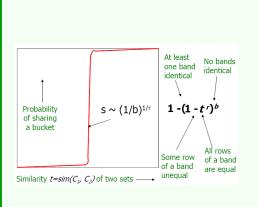


# Columns $C_1$ and $C_2$ have similarity t

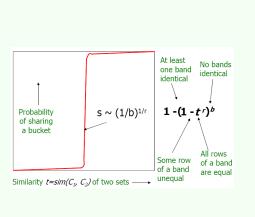
Pick any band (r rows)



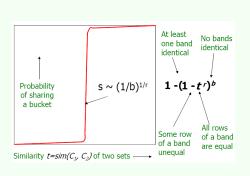
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- Prob. that at least 1 band identical =  $1 (1 t^r)^b$

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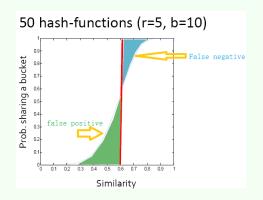
# Analysis of LSH

s	1-(1-s <sup>r</sup> ) <sup>b</sup>
.2	.006
.3	.047
.4	.186
.5	.470
.6	.802
.7	.975
.8	.9996

Prob. that at least 1 band is identical.

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Picking r and b to get the best S-curve

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- Check in main memory that candidate pairs really do have similar signatures
- Optional: In another pass through data, check that the remaining candidate pairs really represent similar documents

# Take-aways

- Hash functions
- Bloom filtering
- Locality sensitive hashing
  - Shingling
  - □ Min-hashing
  - $\hfill\Box$  Locality sensitive hashing