

# Finite Automata

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# Text Book

- ◆ Introduction to Automata Theory, Languages, and Computation: John E. Hopcroft, Rajeev Motwani, Jeffrey D. Ullman
- ◆ Introduction to the Theory of Computation-Michael Sipser

# Alphabets

- ◆ An **alphabet** is a finite, nonempty set of symbols.
- ◆  $\Sigma$ : alphabet
- ◆ Common alphabets include:
  1.  $\Sigma = \{0, 1\}$ , the binary alphabet
  2.  $\Sigma = \{a, b, \dots, z\}$ , the set of all lower-case letters
  3. The set of all ASCII characters, or the set of all printable ASCII characters

# Strings

- ◆ A **string** (**word**) is a finite sequence of symbols chosen from some alphabet.
- ◆ **01101** is a string from the binary alphabet  $\Sigma = \{0, 1\}$
- ◆ The string **111** is another string chosen from this alphabet

# The Empty String

- ◆ The **empty string** is the string with zero occurrences of symbols.
- ◆ This string, denoted  $\varepsilon$ , is a string that may be chosen from any alphabet whatsoever.

# Length of a String

- ◆ It is often useful to classify strings by their **length**: the number of positions for symbols in the string.
- ◆ Number of positions
- ◆  $|011| = 3$

# Powers of an Alphabet

◆ If  $\Sigma$  is an alphabet, express the set of all strings of a certain length from that alphabet by using an exponential notation

◆  $\Sigma^k$ : set of strings of length  $k$ , each of whose symbols is in  $\Sigma$

◆ **Example:**  $\Sigma^0 - \{\epsilon\}$  length = 0.

$\Sigma = \{0, 1\} \Rightarrow \Sigma^1 = \{0, 1\}, \Sigma^2 = \{00, 01, 10, 11\}$

$\Sigma^3 = \{000, 001, 010, 011, 100, 101, 110, 111\}$

$\Sigma$ : alphabet, members 0, 1 are symbols

$\Sigma^1$ : a set of strings, members 0, 1 are strings<sup>7</sup>

# Powers of an Alphabet

◆ The set of all strings over an alphabet  $\Sigma$  is conventionally denoted  $\Sigma^*$

◆  $\{0, 1\}^* = \{\epsilon, 0, 1, 00, 01, 10, 11, 000, \dots\}$

◆ Another way:

$$\Sigma^* = \Sigma^0 \cup \Sigma^1 \cup \Sigma^2 \cup \dots$$

Sometimes, exclude empty string. Set of non-empty strings from alphabet  $\Sigma$  is denoted  $\Sigma^+$ .

$$\Sigma^+ = \Sigma^1 \cup \Sigma^2 \cup \Sigma^3 \cup \Sigma^4 \cup \dots$$

$$\Sigma^* = \Sigma^+ \cup \{\epsilon\}$$



# Concatenation of Strings

- ◆ Let  $x$  &  $y$  be strings
- ◆ Then  $xy$  denotes the concatenation of  $x$  &  $y$   
-that is the string formed by making a copy of  $x$  and following it by a copy of  $y$
- ◆ If  $x$  is the string composed of  $i$  symbols  $x = a_1a_2\dots a_i$  and  $y$  is the string composed of  $j$  symbols  $y = b_1b_2\dots b_j$ , then  $xy$  is the string of length  $i+j$ :  $xy = a_1a_2\dots a_ib_1b_2\dots b_j$

# Concatenation of Strings

◆ Example:

$x = 01101, y = 110, xy = ?$

$xy = 01101110, yx = ?$

$yx = 11001101$

For any string  $w$ , the equations  $\epsilon w = w = w \epsilon$  hold

$\epsilon$ : the identity for concatenations since when concatenated with any string it yields the other strings as a result

# Languages

- ◆ A set of strings all of which are chosen from some  $\Sigma^*$ , [where  $\Sigma$  is a particular alphabet] is called a language
- ◆ If  $\Sigma$  is alphabet,  $L \subseteq \Sigma^*$ , then  $L$  is a language over  $\Sigma$ .
- ◆ Common languages can be viewed as sets of strings.
- English: collection of legal English words is a set of strings over the alphabet that consists of all the letters

# Languages

◆ C: the legal programs are a subset of the possible strings that can be formed from the alphabet of the language. This subset of the ASCII characters

◆ Many other languages:

1. The language of all strings consisting of  $n$  0's followed by  $n$  1's for some

$n \geq 0: \{\epsilon, 01, 0011, 000111, \dots\}$

2. The set of strings of 0's & 1's with an equal number of each:

$\{\epsilon, 01, 10, 0011, 0101, 1001, \dots\}$

# Languages

3. The set of binary numbers whose value is a prime:

$\{10, 11, 101, 111, 1011, \dots\}$

4.  $\Sigma^*$  is a language for any alphabet  $\Sigma$

5.  $\emptyset$  the empty language, is a language over any alphabet

6.  $\{\epsilon\}$  the language consisting of only the empty string, is also a language over any alphabet

-important constraint on what can be a language is that all alphabets are finite

# Problems

- ◆ A problem is the question of deciding whether a given string is a member of some particular language
- ◆ If  $\Sigma$  is an alphabet, &  $L$  is a language over  $\Sigma$ , problem  $L$  is:
  - Given a string  $w$  is  $\Sigma^*$ , decide whether or not  $w$  is in  $L$

# Set-Formers as a way to define languages

- ◆ It is common to describe a language using a “set-former”:

$\{w \mid \text{something about } w\}$

- read “the set of words  $w$  such that (whatever is said about  $w$  to the right of the vertical bar)”
- $\{w \mid w \text{ consists of an equal number of 0's \& 1's}\}$
- $\{w \mid w \text{ is a binary integer that is prime}\}$
- $\{w \mid w \text{ is a syntactically correct C program}\}$

# Set-Formers as a way to define languages

- ◆ It is common to replace  $w$  by some expression with parameters & describe the strings in the language by stating conditions on the parameters.
- ◆ Ex: first with parameter  $n$ , the second with  $i$  &  $j$ 
  1.  $\{0^n 1^n \mid n \geq 1\}$ . Read "the set of 0 to the  $n$  1 to the  $n$  such that  $n$  is greater than or equal to 1"

-this language consists of strings  $\{01, 0011, 000111, \dots\}$ . As with alphabets, we can raise a single symbol to a power  $n$  in order to represent  $n$  copies of that symbol



# Set-Formers as a way to define languages

2.  $\{0^i1^j \mid 0 \leq i \leq j\}$ . This language consists of strings with some 0's (possibly none) followed by at least as many 1's

# Example: Protocol for Sending Data

