# 3

## Multithreading

The most general definition of beauty ...Multeity in Unity.

—Samuel Taylor Coleridge

Do not block the way of inquiry.

—Charles Sanders Peirce

A person with one watch knows what time it is; a person with two watches is never sure.

-Proverb



Learn to labor and to wait.

—Henry Wadsworth Longfellow

The world is moving so fast these days that the man who says it can't be done is generally interrupted by someone doing it.

—Elbert Hubbard



#### **OBJECTIVES**

In this chapter you will learn:

- What threads are and why they are useful.
- How threads enable you to manage concurrent activities.
- The life cycle of a thread.
- Thread priorities and scheduling.
- To create and execute Runnables.
- Thread synchronization.
- What producer/consumer relationships are and how they are implemented with multithreading.
- To enable multiple threads to update Swing GUI components in a thread-safe manner.
- About interfaces Callable and Future, which you can use with threading to execute tasks that return results.



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SwingWorker

**23.13** Wrap-Up

#### 23.1 Introduction

- The human body performs a great variety of operations in parallel—or concurrently
- Computers, too, can perform operations concurrently
- Only computers that have multiple processors can truly execute multiple instructions concurrently
- Operating systems on single-processor computers create the illusion of concurrent execution by rapidly switching between activities, but on such computers only a single instruction can execute at once

#### 23.1 Introduction

- Most programming languages do not enable you to specify concurrent activities
- Historically, concurrency has been implemented with operating system primitives available only to experienced systems programmers
- Ada made concurrency primitives widely available to defense contractors building military command-andcontrol systems
  - not widely used in academia and industry
- Java makes concurrency available to you through the language and APIs

#### Performance Tip 23.1

A problem with single-threaded applications that can lead to poor responsiveness is that lengthy activities must complete before others can begin. In a multithreaded application, threads can be distributed across multiple processors (if available) so that multiple tasks execute concurrently and the application can operate more efficiently. Multithreading can also increase performance on single-processor systems that simulate concurrency—when one thread cannot proceed (because, for example, it is waiting for the result of an I/O operation), another can use the processor.

#### 23.1 Introduction

- An application of concurrent programming
  - Start playback of an audio clip or a video clip while the clip downloads
  - synchronize (coordinate the actions of) the threads so that the player thread doesn't begin until there is a sufficient amount of the clip in memory to keep the player thread busy
- The Java Virtual Machine (JVM) creates threads to run a program, the JVM also may create threads for performing housekeeping tasks such as garbage collection
- Programming concurrent applications is difficult and error-prone
  - Follow some simple guidelines
    - Use existing classes from the Java API such as the ArrayBlockingQueue class that manage synchronization for you. The classes in the Java API are written by experts, have been fully tested and debugged, operate efficiently and help you avoid common traps and pitfalls.
    - If you find that you need more custom functionality than that provided in the Java APIs, you should use the synchronized keyword and Object methods wait, notify and notifyAll
    - If you need even more complex capabilities, then you should use the Lock and Condition interfaces

#### 23.1 Introduction

 The Lock and Condition interfaces should be used only by advanced programmers who are familiar with the common traps and pitfalls of concurrent programming

## 23.2 Thread States: Life Cycle of a Thread

- A thread occupies one of several thread states (Fig. 23.1)
- A new thread begins its life cycle in the new state.
- When the program starts the thread it enters the *runnable* state.
  - considered to be executing its task
- Runnable thread transitions to the waiting state while it waits for another thread to perform a task
  - transitions back to the *runnable* state only when another thread notifies the waiting thread to continue executing
- A runnable thread can enter the timed waiting state for a specified interval of time
  - transitions back to the *runnable* state when that time interval expires or when the event it is waiting for occurs.



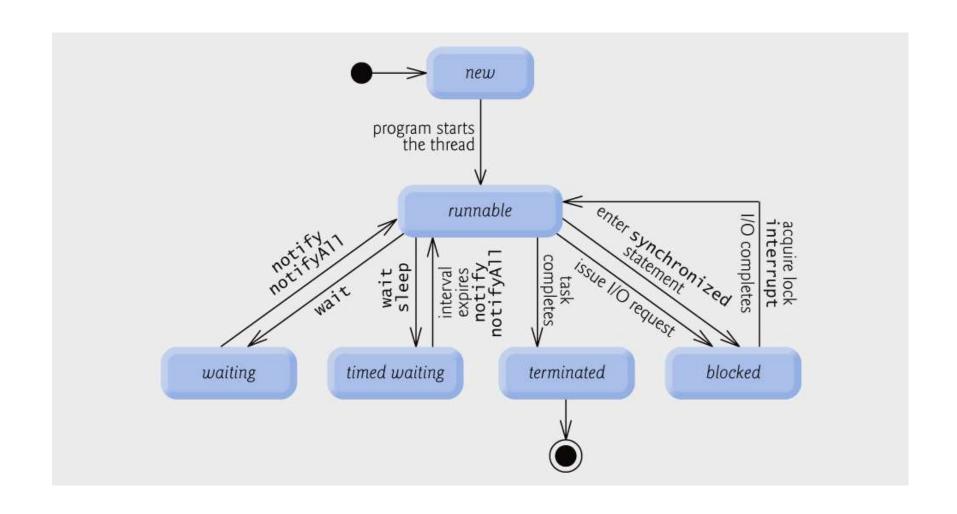


Fig. 23.1 | Thread life-cycle UML state diagram.

## 23.2 Thread States: Life Cycle of a Thread

- Timed waiting and waiting threads cannot use a processor, even if one is available.
- A runnable thread can transition to the timed waiting state if it provides an optional wait interval when it is waiting for another thread to perform a task.
  - returns to the runnable state when
    - it is notified by another thread, or
    - the timed interval expires
- A thread also enters the timed waiting state when put to sleep
  - remains in the timed waiting state for a designated period of time then returns to the runnable state
- A runnable thread transitions to the blocked state when it attempts to perform a task that cannot be completed immediately and it must temporarily wait until that task completes.
  - A blocked thread cannot use a processor, even if one is available
- A runnable thread enters the terminated state (sometimes called the dead state) when it successfully completes its task or otherwise terminates (perhaps due to an error).

## 23.2 Thread States: Life Cycle of a Thread

- At the operating system level, Java's runnable state typically encompasses two separate states (Fig. 23.2).
  - Operating system hides these states from the JVM
  - A runnable thread first enters the ready state
  - When thread is dispatched by the OS it enters the running state
  - When the thread's quantum expires, the thread returns to the *ready* state and the operating system dispatches another thread
  - Transitions between the ready and running states are handled solely by the operating system

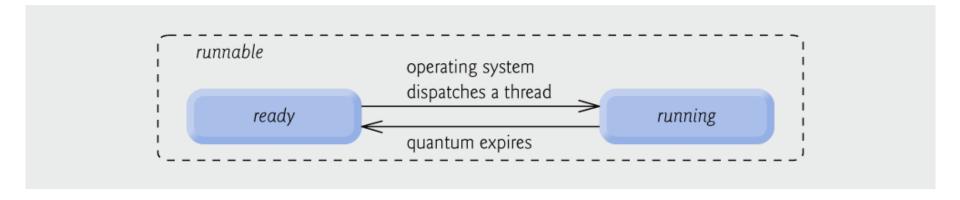


Fig. 23.2 | Operating system's internal view of Java's *runnable* state.

# 23.3 Thread Priorities and Thread Scheduling

- Every Java thread has a thread priority that helps the operating system determine the order in which threads are scheduled
- Priorities range between MIN\_PRIORITY (a constant of 1) and MAX\_PRIORITY (a constant of 10)
- By default, every thread is given priority
   NORM\_PRIORITY (a constant of 5)
- Each new thread inherits the priority of the thread that created it

# 23.3 Thread Priorities and Thread Scheduling

- Informally, higher-priority threads are more important to a program and should be allocated processor time before lower-priority threads
  - Does not guarantee the order in which threads execute
- Timeslicing
  - enables threads of equal priority to share a processor
  - when thread's quantum expires, processor is given to the next thread of equal priority, if one is available
- Thread scheduler determines which thread runs next
- Higher-priority threads generally preempt the currently running threads of lower priority
  - known as preemptive scheduling
  - Possible indefinite postponement (starvation)

#### **Portability Tip 23.1**

Thread scheduling is platform dependent—the behavior of a multithreaded program could vary across different Java implementations.

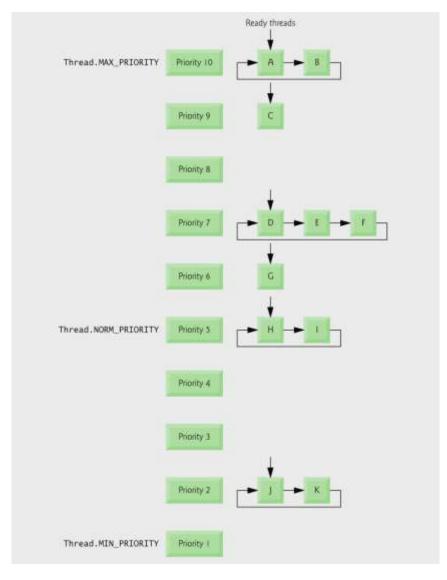


Fig. 23.3 | Thread-priority scheduling.

#### **Portability Tip 23.2**

When designing multithreaded programs consider the threading capabilities of all the platforms on which the programs will execute. Using priorities other than the default will make your programs' behavior platform specific. If portability is your goal, don't adjust thread priorities.

## 23.4 Creating and Executing Threads

- Runnable interface (of package java.lang)
- Runnable object represents a "task" that can execute concurrently with other tasks
- Method run contains the code that defines the task that a Runnable object should perform

#### 23.4.1 Runnables and the Thread Class

- Method sleep throws a (checked)
   InterruptedException if the sleeping thread's interrupt method is called
- The code in method main executes in the main thread, a thread created by the JVM

```
1 // Fig. 23.4: Print Task.java
2 // PrintTask class sleeps for a random time from 0 to 5 seconds
                                                                                       Outline
3 import java. util. Random
4
                                                           Implement Runnable to define a task
  public class PrintTask implements Runnable ←
                                                           that can execute concurrently
6
                                                                                       Print rask.java
      private final int sleepTime; // random sleep time for thread
7
8
      private final String taskName; // name of task
                                                                                       (1 \text{ of } 2)
      private final static Random generator = new Random();
9
10
11
      public PrintTask( String name )
12
         taskName = name; // set task name
13
14
15
         // pick random sleep time between 0 and 5 seconds
16
         sleepTime = generator.nextInt( 5000 ); // milliseconds
      } // end PrintTask constructor
17
```

18

```
// method run contains the co
                                    Define task in method run
      public void run() ←
20
21
         try // put thread to sleep for sleepTime amount of time
22
         1
            System out.printf( "%s going to sleep for %d milliseconds.\n",
               taskName, sleepTime);
            Thread.sleep( sleepTime ); // put thread to sleep
         } // end try
         catch ( InterruptedException exception )
            System out.printf( "%s %s\n", taskName,
30
               "terminated prematurely due to interruption" );
31
         } // end catch
32
        // print task name
         System out.printf( "%s done sleeping\n", taskName );
```

19

23

24

25

26

27

28 29

33 34

35 36

} // end method run

37 } // end class PrintTask

#### Outline

#### PrintTask.java

(2 of 2)

```
1 // Fig. 23.5: ThreadCreator.java
2 // Creating and starting three threads to execute Runnables.
                                                                                       Outline
 import java. lang. Thread;
  public class ThreadCreator
6
                                                                                       ThreadCreator .java
      public static void main( String[] args )
8
                                                                                       (1 \text{ of } 2)
         System out. println( "Creating threads" );
9
10
11
         // create each thread with a new targeted runnable
         Thread thread1 = new Thread( new PrintTask( "task1" ) );
12
                                                                             Create Threads to execute
         Thread thread2 = new Thread( new PrintTask( "task2" ) ); <
13
                                                                             each new Runnable object
         Thread thread3 = new Thread( new PrintTask( "task3" ) );
14
15
16
         System out. println( "Threads created, starting tasks." );
17
18
         // start threads and place in runnable state
         thread1.start(); // invokes task1's run method
19
                                                                   Start the Threads to begin
         thread2.start(); // invokes task2's run method
20
                                                                   processing the concurrent
         thread3.start(); // invokes task3's run method
21
                                                                   tasks
22
23
         System out. println( "Tasks started, main ends.\n" );
      } // end main
24
25 } // end class RunnableTester
```



task3 going to sleep for 491 milliseconds task2 going to sleep for 71 milliseconds task1 going to sleep for 3549 milliseconds task2 done sleeping task3 done sleeping task1 done sleeping

Creating threads
Threads created, starting tasks
task1 going to sleep for 4666 milliseconds
task2 going to sleep for 48 milliseconds
task3 going to sleep for 3924 milliseconds

Tasks started, main ends

thread2 done sleeping thread3 done sleeping thread1 done sleeping

#### <u>Outline</u>

ThreadCreator .java

(2 of 2)





# 23.4.2 Thread Management with the Executor Framework

- Recommended that you use the Executor interface to manage the execution of Runnable objects
- An Executor object creates and manages a thread pool to execute Runnables
- Executor advantages over creating threads yourself
  - Reuse existing threads to eliminate new thread overhead
  - Improve performance by optimizing the number of threads to ensure that the processor stays busy
- Executor method execute accepts a Runnable as an argument
  - Assigns each Runnable it receives to one of the available threads in the thread pool
  - If none available, creates a new thread or waits for a thread to become available

# 23.4.2 Thread Management with the Executor Framework

- Interface ExecutorService
  - package java.util.concurrent
  - extends Executor
  - declares methods for managing the life cycle of an Executor
  - Objects of this type are created using static methods declared in class Executors (of package java.util.concurrent)
- Executors method newCachedThreadPool obtains an ExecutorService that creates new threads as they are needed
- ExecutorService method execute returns immediately from each invocation
- ExecutorService method shutdown notifies the ExecutorService to stop accepting new tasks, but continues executing tasks that have already been submitted

```
// Fig. 23.6: TaskExecutor.java
  // Using an Executor Service to execute Runnables.
                                                                                        Outline
  import java. util. concurrent. Executors;
  import java. util. concurrent. Executor Service;
5
  public class TaskExecutor
                                                                                        TaskExecutor .java
7
8
      public static void main( String[] args )
                                                                                        (1 \text{ of } 2)
9
         // create and name each runnable
10
         PrintTask task1 = new PrintTask( "task1" );
11
         PrintTask task2 = new PrintTask( "task2" );
12
         PrintTask task3 = new PrintTask( "task3" );
13
14
15
         System out. println( "Starting Executor" );
16
         // create Executor Service to manage threads
17
                                                                                      Creates an
         Executor Service threadExecutor = Executors.newCachedThreadPool();
18
                                                                                      ExecutorService that
```

19



manages a cached

thread pool

## 23.5 Thread Synchronization

- Coordinates access to shared data by multiple concurrent threads
  - Indeterminate results may occur unless access to a shared object is managed properly
  - Give only one thread at a time exclusive access to code that manipulates a shared object
  - Other threads wait
  - When the thread with exclusive access to the object finishes manipulating the object, one of the threads that was waiting is allowed to proceed
- Mutual exclusion

## 23.5 Thread Synchronization

- Java provides built-in monitors to implement synchronization
- Every object has a monitor and a monitor lock.
  - Monitor ensures that its object's monitor lock is held by a maximum of only one thread at any time
  - Can be used to enforce mutual exclusion
- To enforce mutual exclusion
  - thread must acquire the lock before it can proceed with its operation
  - other threads attempting to perform an operation that requires the same lock will be blocked until the first thread releases the lock

## 23.5 Thread Synchronization

- synchronized statement
  - Enforces mutual exclusion on a block of code
  - synchronized ( object )
    {
     statements
    } // end synchronized statement
  - where *object* is the object whose monitor lock will be acquired (normally this)
- A synchronized method is equivalent to a synchronized statement that encloses the entire body of a method

## 23.5.1 Unsynchronized Data Sharing

- ExecutorService method awaitTermination forces a program to wait for threads to complete execution
  - returns control to its caller either when all tasks executing in the ExecutorService complete or when the specified timeout elapses
  - If all tasks complete before awaitTermination times out, returns true; otherwise returns false

```
1 // Fig. 23.7: SimpleArray.java
2 // Class that manages an integer array to be shared by multiple threads.
                                                                                        Outline
3 import java. util. Random
  public class SimpleArray // CAUTION: NOT THREAD SAFE!
6
                                                                                        SimpleArray.java
      private final int array[]; // the shared integer array
7
      private int writeIndex = 0; // index of next element to be written
8
                                                                                        (1 \text{ of } 2)
      private final static Random generator = new Random();
9
10
11
      // construct a SimpleArray of a given size
      public SimpleArray( int size )
12
13
         array = new int[ size];
14
      } // end constructor
15
16
      // add a value to the shared array
17
      public void add( int value )
18
19
                                                                         Track where next item will
         int position = writeIndex; // store the write index
20
                                                                         be placed
21
22
         try
23
            // put thread to sleep for 0-499 milliseconds
24
25
            Thread. sleep( generator. nextInt( 500 ) );
         } // end try
26
         catch ( InterruptedException ex )
27
28
            ex. printStackTrace();
29
30
         } // end catch
```

```
32
         // put value in the appropriate element
                                                                                       Outline
                                                         Place the item
         array[ position ] = value;
33
34
         System out. printf( "%s wrote %2d to element %d.\n",
35
            Thread.currentThread().getName(), value, position );
36
                                                                                                  ly.java
                                                                                Specify where
         ++writeIndex: // increment index of element to be written next -
37
                                                                                next item will
         System out. printf( "Next write index: %d\n", writeIndex );
38
                                                                                be placed
      } // end method add
39
40
41
     // used for outputting the contents of the shared integer array
42
      public String toString()
43
         String arrayString = "\nContents of SimpleArray: \n";
44
45
46
         for ( int i = 0; i < array.length; i++ )
            arrayString += array[ i ] + " ";
47
48
         return arrayString;
49
      } // end method toString
50
51 } // end class SimpleArray
```

31

```
// Fig. 23.8: ArrayWiter.java
2 // Adds integers to an array shared with other Runnables
  i mpor t j ava. l ang. Runnabl e;
  public class ArrayWiter implements Runnable
5
6
      private final SimpleArray sharedSimpleArray;
7
      private final int start Value;
8
9
      public ArrayWriter( int value, SimpleArray array )
10
11
12
         start Value = value;
         sharedSimpleArray = array;
13
      } // end constructor
14
15
16
      public void run()
17
         for ( int i = startValue; i < startValue + 3; i++ )
18
19
            sharedSimpleArray.add( i ); // add an element to the shared array
20
         } // end for
21
```

} // end method run

23 } // end class ArrayWiter

22

## <u>Outline</u>

ArrayWriter.java



```
1 // Fig 23.9: SharedArrayTest.java
2 // Executes two Runnables to add elements to a shared SimpleArray.
3 import java. util. concurrent. Executors;
4 import java. util. concurrent. Executor Service;
  import java. util. concurrent. TimeUnit;
6
  public class SharedArrayTest
8
  -{
      public static void main( String[] arg )
9
10
         // construct the shared object
11
         SimpleArray sharedSimpleArray = new SimpleArray( 6 );
12
13
         // create two tasks to write to the shared SimpleArray
14
         ArrayWriter writer1 = new ArrayWriter( 1, sharedSimpleArray );
15
         ArrayWriter writer2 = new ArrayWriter( 11, sharedSimpleArray );
16
17
                                                                                    object
18
         // execute the tasks with an Executor Service
         Executor Service executor = Executors. newCachedThreadPool();
19
         executor.execute( writer1);
20
         executor.execute( writer 2 );
21
22
23
         executor.shutdown();
24
         try
25
26
            // wait 1 minute for both writers to finish executing
27
            boolean tasksEnded = executor.awaitTermination(
28

    Ti meUni t. M NUTES );

29
30
```



(1 of 2)

SharedArrayTest .java

Both ArrayWriters share the same SimpleArray



```
31
            if ( tasksEnded )
32
                System out.println( sharedSimpleArray ); // print contents
            el se
33
34
                System out. println(
                   "Timed out while waiting for tasks to finish." );
35
36
         } // end try
         catch ( InterruptedException ex )
37
38
39
            System out.println(
                "Interrupted while wait for tasks to finish." );
40
         } // end catch
41
      } // end main
42
43 } // end class SharedArrayTest
pool-1-thread-1 wrote 1 to element 0. -
Next write index: 1
                                                   First pool-1-thread-1 wrote the value
pool-1-thread-1 wrote 2 to element 1.
Next write index: 2
                                                   1 to element 0. Later pool-1-thread-2
                                                   wrote the value 11 to element 0, thus
pool-1-thread-1 wrote 3 to element 2.
Next write index: 3
                                                   overwriting the previously stored value.
pool-1-thread-2 wrote 11 to element 0.
Next write index: 4
pool-1-thread-2 wrote 12 to element 4.
Next write index: 5
pool-1-thread-2 wrote 13 to element 5.
Next write index: 6
Contents of SimpleArray:
11 2 3 0 12 13
```

## <u>Outline</u>

#### SharedArrayTest .java

(2 of 2)



# 23.5.2 Synchronized Data Sharing—Making Operations Atomic

- Simulate atomicity by ensuring that only one thread carries out a set of operations at a time
- Immutable data shared across threads
  - declare the corresponding data fields final to indicate that variables' values will not change after they are initialized

## **Software Engineering Observation 23.1**

Place all accesses to mutable data that may be shared by multiple threads inside synchronized statements or synchronized methods that synchronize on the same lock. When performing multiple operations on shared data, hold the lock for the entirety of the operation to ensure that the operation is effectively atomic.

```
1 // Fig. 23.10: SimpleArray.java
2 // Class that manages an integer array to be shared by multiple threads.
                                                                                        Outline
3 import java. util. Random
  public class SimpleArray
6
                                                                                        SimpleArray.java
      private final int array[]; // the shared integer array
7
      private int writeIndex = 0; // index of next element to be written
8
                                                                                        (1 \text{ of } 3)
      private final static Random generator = new Random();
9
10
11
      // construct a SimpleArray of a given size
      public SimpleArray( int size )
12
13
         array = new int[ size ];
14
      } // end constructor
15
16
      // add a value to the shared array
17
                                                            Using synchronized prevents
      public synchronized void add( int value )
18
                                                            more than one thread at a time
19
                                                            from calling this method on a
         int position = writeIndex; // store the write in
20
                                                            specific SimpleArray object
21
22
         try
23
            // put thread to sleep for 0-499 milliseconds
24
25
            Thread. sleep( generator. nextInt( 500 ) );
         } // end try
26
         catch ( InterruptedException ex )
27
28
            ex. printStackTrace();
29
30
         } // end catch
```



```
31
32
         // put value in the appropriate element
33
         array[ position ] = value;
34
         System out. printf( "%s wrote %2d to element %d.\n",
35
            Thread.currentThread().getName(), value, position );
36
37
         ++writeIndex; // increment index of element to be written next
         System out.printf( "Next write index: %d\n", writeIndex );
38
      } // end method add
39
40
41
      // used for outputting the contents of the shared integer array
      public String toString()
42
43
         String arrayString = "\nContents of SimpleArray:\n";
44
45
         for ( int i = 0; i < array.length; i++ )
46
            arrayString += array[ i ] + " ";
47
48
49
         return arrayString;
      } // end method toString
```

50

51 } // end class SimpleArray

## Outline

### SimpleArray.java

(2 of 3)



```
pool-1-thread-1 wrote 1 to element 0.

Next write index: 1

pool-1-thread-2 wrote 11 to element 1.

Next write index: 2

pool-1-thread-2 wrote 12 to element 2.

Next write index: 3

pool-1-thread-2 wrote 13 to element 3.

Next write index: 4

pool-1-thread-1 wrote 2 to element 4.

Next write index: 5

pool-1-thread-1 wrote 3 to element 5.

Next write index: 6
```

Contents of SimpleArray: 1 11 12 13 2 3

### <u>Outline</u>

SimpleArray.java

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# **Performance Tip 23.2**

Keep the duration of synchronized statements as short as possible while maintaining the needed synchronization. This minimizes the wait time for blocked threads. Avoid performing I/O, lengthy calculations and operations that do not require synchronization with a lock held.



# **Good Programming Practice 23.1**

Always declare data fields that you do not expect to change as final. Primitive variables that are declared as final can safely be shared across threads. An object reference that is declared as final ensures that the object it refers to will be fully constructed and initialized before it is used by the program and prevents the reference from pointing to another object.

# 23.6 Producer/Consumer Relationship without Synchronization

- Multithreaded producer/consumer relationship
  - Producer thread generates data and places it in a shared object called a buffer
  - Consumer thread reads data from the buffer
- Operations on the buffer data shared by a producer and a consumer are state dependent
  - Should proceed only if the buffer is in the correct state
  - If in a not-full state, the producer may produce
  - If in a not-empty state, the consumer may consume
- Must synchronize access to ensure that data is written to the buffer or read from the buffer only if the buffer is in the proper state

```
2 // Buffer interface specifies me
3 public interface Buffer 
4 {
5     // place int value into Buffe public void set( int value )
7     // return int value from Buffer public int get() throws InterruptedException;
10 } // end interface Buffer
```

// Fig. 23.11: Buffer.java

<u>Outline</u>

Buffer.java



```
// Fig. 23.12: Producer.java
  // Producer with a run method that inserts the values 1 to 10 in buffer.
  import java. util. Random
                                                          Class Producer represents
  public class Producer implements Runnable
                                                           a Runnable task that places
6
                                                          values in a Buffer
      private final static Random generator = new Rand
      private final Buffer sharedLocation; // referend
8
      // constructor
10
11
      public Producer( Buffer shared )
12
          sharedLocation = shared;
13
      } // end Producer constructor
14
15
16
      // store values from 1 to 1
                                    Defines the Producer's
      public void run()
17
                                    task
18
         int sum = 0;
19
20
         for ( int count = 1; count \leftarrow 10; count \leftarrow 1
21
22
23
            try // sleep 0 to 3 seconds, then place value in Buffer
24
               Thread. sleep( generator. nextint( 3
25
                                                      Places a value in the
               sharedLocation.set( count ); <del><// se</del>
26
                                                      Buffer
               sum += count; // increment sum of
27
               System out. printf( "\t%2d\n", sum );
28
            } // end try
29
```



Producer.java

(1 of 2)



```
// if lines 25 or 26 get interrupted, print stack trace
30
31
            catch ( InterruptedException exception )
32
33
               except i on. print StackTrace();
            } // end catch
34
         } // end for
35
36
37
         System out . println(
            "Producer done producing\nTerminating Producer" );
38
      // end met hod run
39
40 } // end class Producer
```

## <u>Outline</u>

#### Producer.java

(2 of 2)



```
1 // Fig. 23.13: Consumer.java
2 // Consumer with a run method that loops, reading 10 values from buffer.
  import java. util. Random
                                                         Class Consumer represents
  public class Consumer implements Runnable
                                                         a Runnable task that reads
6
                                                         values from a Buffer
      private final static Random generator = new Rand
      private final Buffer sharedLocation; // referend
      // constructor
10
      public Consumer( Buffer shared)
11
12
         sharedLocation = shared;
13
      } // end Consumer constructor
14
15
16
      // read sharedLocation's va
                                   Defines the Consumer's
      public void run()
17
                                   task
18
         int sum = 0;
19
20
21
         for ( int count = 1; count \leftarrow 10; count \leftarrow 1
22
23
            // sleep 0 to 3 seconds, read value from buffer and add to sum
            try
24
25
               Thread. sleep( generator. nextint( 3
26
                                                     Reads a value from
               sum += sharedLocation.get(); ←
27
                                                    the Buffer
               System out. printf( "\t\t\t%2d\n",
28
29
            } // end try
```



Consumer.java

(1 of 2)



```
// if lines 26 or 27 get interrupted, print stack trace
30
            catch ( Interrupt edException exception )
31
32
33
               except i on. print StackTrace();
            } // end catch
34
         } // end for
35
36
37
         System out. printf( "\n%s %d\n%s\n",
            "Consumer read values totaling", sum, "Terminating Consumer" );
38
      // end met hod run
39
40 } // end class Consumer
```

### <u>Outline</u>

#### Consumer.java

(2 of 2)



```
// Fig. Fig. 23.14: UnsynchronizedBuffer.java
2 // UnsynchronizedBuffer maintains the shared integer that is accessed by
                                                                                     Outline
3 // a producer thread and a consumer thread via methods set a
                                                                Unsynchronized
 public class UnsynchronizedBuffer implements Buffer
                                                                implementation of interface
5
                                                                Buffer
6
     private int buffer = -1; // shared by producer and consur
                                                                                             hronized
                                                                                     Buffer.java
     // place value into buffer
8
     public void set( int value ) throws InterruptedException
9
10
11
         System out.printf( "Producer writes\t%2d", value );
        buffer = value;
12
     } // end method set
13
14
15
     // return value from buffer
16
     public int get() throws InterruptedException
17
18
         System out.printf( "Consumer reads\t%2d", buffer );
        return buffer;
19
     } // end method get
20
21 } // end class UnsynchronizedBuffer
```

```
1 // Fig. 23.15: SharedBufferTest.java
2 // Application with two threads manipulating an unsynchronized buffer.
                                                                                     Outline
3 import java. util. concurrent. Executor Service;
 import java. util. concurrent. Executors;
  public class SharedBufferTest
                                                                                     SharedBufferTest.jav
7
                                                                                     a
8
     public static void main( String[] args )
                                                                                     (1 \text{ of } 3)
        // create new thread pool with two threads
10
        Executor Service application = Executors.newCachedThreadPool();
11
12
        // create UnsynchronizedBuffer to store ints
13
        Buffer sharedLocation = new UnsynchronizedBuffer();
14
15
        System out. println(
16
            "Action\t\tValue\tSum of Produced\tSum of Consumed" );
17
        System out. println(
18
            "-----\t\t----\t-\t-\r-\n" );
19
20
        // execute the Producer and Consumer, giving each of them access
21
        // to sharedLocation
22
                                                                        Producer and Consumer
        application.execute( new Producer( sharedLocation ) ); ___
23
                                                                        share the same
        application.execute( new Consumer( sharedLocation ) );
24
25
                                                                        unsynchronized Buffer
        application.shutdown(); // terminate application when tasks comprete
26
     } // end main
27
28 } // end class SharedBufferTest
```



Acti on		Val ue	Sum of Produced	d Sum of Consumed
D			_	
Pr oducer		1	1	
Pr oducer		2	3 6	— I is lost
Pr oducer		3	6	— 2 is lost
Consumer		3		3
Pr oducer		4	10	
Consumer		4		7
Pr oducer		5	15	
Pr oducer		6	21	5 is lost
Pr oducer	writes	2	<b>28</b>	— 6 is lost
Consumer		7		14
Consumer		7		21 — 7 read again
Pr oducer	writes	8	36	
Consumer	reads	8		29
Consumer	reads	8		37 — 8 read again
Pr oducer	writes	9	45	
Pr oducer	writes	10	<mark>- 55</mark>	9 is lost
Producer Terminati Consumer Consumer Consumer	ng Pro reads reads	ducer 10 10	I	47 57 — 10 read again 67 — 10 read again
				To read again
Consumer reads 10 77 — 10 read again  Consumer read values totaling 77  Terminating Consumer				
				(continued on next slide)

## <u>Outline</u>

#### SharedBufferTest.jav a

(2 of 3)



(3 of 3)

Consumer	reads	- 1		- 1 — reads - I bad data
Pr oducer	writes	1	1	
Consumer	reads	1		0
Consumer	reads	1		1 — I read again
Consumer	reads	1		2 — I read again
Consumer	reads	1		3 — I read again
Consumer	reads	1		4 — I read again
Producer	writes	2	3	
Consumer	reads	2		6
Pr oducer	writes	3	6	
Consumer	reads	3		9
Pr oducer	writes	4	10	
Consumer	reads	4		13
Pr oducer		5	15	
Pr oducer		6	21	5 is lost
Consumer	reads	6		19
	_	_		
			otaling 19	
Term nati				
Producer			28	— 7 never read
Producer		8	36	— 8 never read
Producer		9	45	— 9 never read
Pr oducer	writes	10	<b>55</b>	— 10 never read
Producer done producing				
Terminating Producer				
i				

Sum of Produced Sum of Consumed

Action

Val ue

# 23.7 Producer/Consumer Relationship: ArrayBlockingQueue

- ArrayBlockingQueue (package java.util.concurrent)
  - Good choice for implementing a shared buffer
  - Implements interface BlockingQueue, which extends interface Queue and declares methods put and take
  - Method put places an element at the end of the BlockingQueue, waiting if the queue is full
  - Method take removes an element from the head of the BlockingQueue, waiting if the queue is empty
  - Stores shared data in an array
  - Array size specified as a constructor argument
  - Array is fixed in size



```
// Fig. 23.16: BlockingBuffer.java
  // Creates a synchronized buffer using an ArrayBlockingQueue.
                                                                                      Outline
  import java. util. concurrent. Array Blocking Queue;
                                                            Synchronized implementation of
  public class BlockingBuffer implements Buffer ◀
                                                            interface Buffer that uses an
6
                                                                                              Buffer .java
                                                            ArrayBlockingQueue to
      private final ArrayBlockingQueue<Integer> buffer; //
7
                                                            implement the synchronization
8
     public BlockingBuffer()
9
10
                                                                  Creates a single element
         buffer = new ArrayBlockingQueue<Integer>( 1 ); 	←
11
                                                                  buffer of type
     } // end BlockingBuffer constructor
12
                                                                  ArrayBlockingQueue
13
     // place value into buffer
14
      public void set( int value ) throws InterruptedException
15
16
        buffer.put( value ); // place value in buffer
17
         System out. printf( "%s%2d\t %s%d\n", "Producer writes ", value,
18
            "Buffer cells occupied: ", buffer.size() );
19
      } // end method set
20
```

```
21
      // return value from buffer
22
23
      public int get() throws InterruptedException
24
         int readValue = 0; // initialize value read from buffer
25
26
         readValue = buffer.take(); // remove value from buffer
27
         System out. printf( "%s %2d\t%s%d\n", "Consumer reads ",
28
29
            readValue, "Buffer cells occupied: ", buffer.size() );
30
31
         return readValue;
      } // end method get
32
33 } // end class BlockingBuffer
```

## <u>Outline</u>

BlockingBuffer .java

(2 of 2)



```
// Fig. 23.17: BlockingBufferTest.java
2 // Two threads manipulating a blocking buffer.
                                                                                        Outline
  import java. util. concurrent. Executor Service;
  import java. util. concurrent. Executors;
5
  public class BlockingBuffer Test
                                                                                       BlockingBuffer
7
                                                                                       Test.java
8
      public static void main( String[] args )
9
                                                                                       (1 \text{ of } 2)
        // create new thread pool with two threads
10
11
         Executor Service application = Executors. newCachedThreadPool();
12
13
        // create BlockingBuffer to store ints
         Buffer sharedLocation = new BlockingBuffer();
14
15
                                                                          Producer and Consumer
16
         application.execute( new Producer( sharedLocation ) );
         application.execute( new Consumer( sharedLocation ));
                                                                          share the same
17
18
                                                                          synchronized Buffer
19
         application. shutdown();
      } // end main
20
```

21 } // end class BlockingBufferTest



#### **Outline**

## BlockingBuffer Test.java

(2 of 2)

```
Producer writes
                        Buffer cells occupied: 1
                        Buffer cells occupied: 0
Consumer reads
Producer writes
                 2
                        Buffer cells occupied: 1
                        Buffer cells occupied: 0
Consumer reads
Producer writes
                        Buffer cells occupied: 1
                 3
                        Buffer cells occupied: 0
Consumer reads
                        Buffer cells occupied: 1
                 4
Producer writes
                        Buffer cells occupied: 0
Consumer reads
                 4
Producer writes
                        Buffer cells occupied: 1
                        Buffer cells occupied: 0
Consumer reads
                        Buffer cells occupied: 1
Producer writes
Consumer reads
                 6
                        Buffer cells occupied: 0
                7
                        Buffer cells occupied: 1
Producer writes
Consumer reads
                        Buffer cells occupied: 0
                        Buffer cells occupied: 1
Producer writes
Consumer reads
                 8
                        Buffer cells occupied: 0
Producer writes 9
                        Buffer cells occupied: 1
                        Buffer cells occupied: 0
Consumer reads
                        Buffer cells occupied: 1
Producer writes 10
```

Producer done producing Terminating Producer

Consumer reads 10 Buffer cells occupied: 0

Consumer read values totaling 55 Terminating Consumer



# 23.8 Producer/Consumer Relationship with Synchronization

- Can implement a shared using the synchronized keyword and Object methods wait, notify and notifyAll
  - can be used with conditions to make threads wait when they cannot perform their tasks
- A thread that cannot continue with its task until some condition is satisfied can call Object method wait
  - releases the monitor lock on the object
  - thread waits in the waiting state while the other threads try to enter the object's synchronized statement(s) or method(s)
- A thread that completes or satisfies the condition on which another thread may be waiting can call Object method notify
  - allows a waiting thread to transition to the runnable state
  - the thread that was transitioned can attempt to reacquire the monitor lock
- If a thread calls notifyAll, all the threads waiting for the monitor lock become eligible to reacquire the lock

## **Common Programming Error 23.1**

It is an error if a thread issues a wait, a notify or a notifyAll on an object without having acquired a lock for it. This causes an IllegalMonitorStateException.

# **Error-Prevention Tip 23.1**

It is a good practice to use notifyAll to notify waiting threads to become runnable. Doing so avoids the possibility that your program would forget about waiting threads, which would otherwise starve.

Notify waiting Consumer

that a value is now available

nable state

28

29

30

notifyAll(); <u>d//tell</u>

} // end method set; re



66

```
// return value from buffer
public synchronized int get() {

// while no data to read, place thr
while (!occupied)

{

// output thread information and buffer information, then wait
System out.println( "Consumer tries to read." );
displayState( "F
wait(); {

Consumer cannot read so it
must wait
```

## <u>Outline</u>

# Synchronized Buffer.java

(2 of 3)

```
// indicate that producer can store another value
44
45
         // because consumer just retrieved buffer value
         occupied = false;
46
47
         displayState( "Consumer reads " + buffer );
48
49
                                Notify waiting Producer that a value is now available
                                                                 nable state
50
51
52
         return buffer;
53
      } // end method get; releases lock on SynchronizedBuffer
54
      // display current operation and buffer state
55
      public void displayState( String operation )
56
57
58
         System out.printf( "%-40s%d\t\t%b\n\n", operation, buffer,
59
             occupi ed );
      } // end method displayState
60
     // end class SynchronizedBuffer
```

## <u>Outline</u>

# Synchronized Buffer.java

(3 of 3)



## **Error-Prevention Tip 23.2**

Always invoke method wait in a loop that tests the condition the task is waiting on. It is possible that a thread will reenter the runnable state (via a timed wait or another thread calling notifyAll) before the condition is satisfied. Testing the condition again ensures that the thread will not erroneously execute if it was notified early.

```
1 // Fig. 23.19: SharedBufferTest2.java
2 // Two threads manipulating a synchronized buffer.
                                                                                       Outline
3 import java. util. concurrent. Executor Service;
4 import java. util. concurrent. Executors;
  public class SharedBuffer Test 2
                                                                                      SharedBuffer
7
                                                                                      Test2.java
8
      public static void main( String[] args )
9
                                                                                      (1 \text{ of } 3)
        // create a newCachedThreadPool
10
11
         Executor Service application = Executors. newCachedThreadPool();
12
13
        // create SynchronizedBuffer to store ints
         Buffer sharedLocation = new SynchronizedBuffer();
14
15
         System out. printf( "% 40s%s\t\t%s\n% 40s%s\n\n", "Operation",
16
            "Buffer" "Occupi ed" "-----" "-----\t\t-----" );
17
18
        // execute the Producer and Consumer tasks
19
                                                                          Producer and Consumer
        application.execute( new Producer( sharedLocation ) );
20
         application.execute( new Consumer( sharedLocation ) );
                                                                          share the same
21
22
                                                                          synchronized Buffer
23
        application. shutdown();
      } // end main
24
```

25 } // end class SharedBufferTest2



Operation	Buffer 	Occupi ed	Outline
Consumer tries to read. Buffer empty. Consumer waits.	-1	fal se	
Producer writes 1	1	true	SharedBuffer
Consumer reads 1	1	fal se	Test2.java
Consumer tries to read. Buffer empty. Consumer waits.	1	fal se	(2 of 3)
Producer writes 2	2	tr ue	
Consumer reads 2	2	fal se	
Producer writes 3	3	tr ue	
Consumer reads 3	3	fal se	
Producer writes 4	4	true	
Producer tries to write. Buffer empty. Consumer waits.	4	true	
Consumer reads 4	4	fal se	
Producer writes 5	5	true	
Consumer reads 5	5	fal se	
Producer writes 6	6	true	
Producer tries to write. Buffer empty. Consumer waits.	6	true	
		(continued on next slide)	
•			
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Buffer

Occupi ed

72

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Outline

7 true **SharedBuffer** fal se Test2.java 7 8 true (3 of 3)fal se 8 fal se 8

(continued from previous slide...)

fal se

true

true

fal se

fal se

true

fal se

6

7

9

9

9

10

10

Consumer reads 6

Producer writes 7

Consumer reads 7

Producer writes 8

Consumer reads 8

Producer writes 9

Consumer reads 9

Producer writes 10

Consumer reads 10

Producer tries to write. Buffer full. Producer waits.

Consumer tries to read.

Consumer tries to read.

Producer done producing Terminating Producer

Terminating Consumer

Buffer empty. Consumer waits.

Buffer empty. Consumer waits.

Consumer read values totaling 55

# 23.9 Producer/Consumer Relationship: Bounded Buffers

- Cannot make assumptions about the relative speeds of concurrent
- Bounded buffer
  - Used to minimize the amount of waiting time for threads that share resources and operate at the same average speeds
  - Key is to provide the buffer with enough locations to handle the anticipated "extra" production
  - ArrayBlockingQueue is a bounded buffer that handles all of the synchronization details for you

## Performance Tip 23.3

Even when using a bounded buffer, it is possible that a producer thread could fill the buffer, which would force the producer to wait until a consumer consumed a value to free an element in the buffer. Similarly, if the buffer is empty at any given time, a consumer thread must wait until the producer produces another value. The key to using a bounded buffer is to optimize the buffer size to minimize the amount of thread wait time, while not wasting space.

```
1 // Fig. 23.20: Circular Buffer.java
2 // Synchronizing access to a shared three-element bounded buffer.
                                                                                       Outline
3 public class Circular Buffer implements Buffer
4
      private final int[] buffer = { -1, -1, -1 }; // shared buffer
5
6
                                                                                       CircularBuffer .java
      private int occupiedCells = 0; // count number of buffers used
      private int writeIndex = 0; // index of next element to write to
8
                                                                                       (1 \text{ of } 3)
     private int readIndex = 0; // index of next element to read
9
10
11
     // place value into buffer
      public synchronized void set( int value ) throws InterruptedException
12
13
        // output thread information and buffer information, then wait;
14
         // while no empty locations, place thread in bloc Determine whether buffer is
15
         while ( occupiedCells == buffer.length )
16
                                                             full
         {
17
            System out. printf( "Buffer is full. Producer waits.\n" );
18
            wait(); // wait until a buffer cell is free
19
         } // end while
20
21
         buffer[ writeIndex ] = value; // set new buffer value
22
23
         // update circular write index
24
                                                                    Specify next write position
         writeIndex = ( writeIndex + 1 ) % buffer.length; 1
25
                                                                    in buffer
26
         ++occupiedCells; // one more buffer cell is full
27
         displayState( "Producer writes " + value );
28
         notifyAll(); // notify threads waiting to read from buffer
29
30
      } // end method set
```

```
// return value from buffer
                                                                                 Outline
public synchronized int get() throws InterruptedException
  // wait until buffer has data, then read value;
  // while no data to read, place threa Determine whether buffer is
                                                                                CircularBuffer .java
   while ( occupiedCells == 0 ) <
                                          empty
                                                                                (2 \text{ of } 3)
      System out. printf( "Buffer is empty. Consumer waits. \n" );
      wait(); // wait until a buffer cell is filled
   } // end while
   int readValue = buffer[ readIndex ]; // read value from buffer
   // update circular read index
                                                            Specify the next read
  readIndex = ( readIndex + 1 ) % buffer.length; 1
                                                            location in the buffer
   --occupiedCells; // one fewer buffer cells are occupied
   displayState( "Consumer reads " + readValue );
   notifyAll(); // notify threads waiting to write to buffer
   return readValue;
} // end method get
// display current operation and buffer state
public void displayState( String operation )
   // output operation and number of occupied buffer cells
   System out. printf( "%s%s%d)\n%s", operation,
      " (buffer cells occupied: ", occupiedCells, "buffer cells: " );
```

31

32

33 34

35

36

37

38

39

40

41 42

43 44

45

46

47

48

49

50 51

52

53 54

55

56 57

58

59

60



83

84

85

System out. println( "\n" );

} // end method displayState

86 } // end class CircularBuffer

#### <u>Outline</u>

#### CircularBuffer .java

(3 of 3)



```
1 // Fig. 23.21: Circular Buffer Test. java
2 // Producer and Consumer threads manipulating a circular buffer.
                                                                                        Outline
3 import java. util. concurrent. Executor Service;
 import java. util. concurrent. Executors;
  public class Circular Buffer Test
                                                                                        CircularBuffer
7
                                                                                        Test.java
      public static void main( String[] args )
8
9
                                                                                        (1 \text{ of } 5)
         // create new thread pool with two threads
10
11
         Executor Service application = Executors. newCachedThreadPool();
12
         // create CircularBuffer to store ints
13
         CircularBuffer sharedLocation = new CircularBuffer();
14
15
         // display the initial state of the Circular Buffer
16
         sharedLocation. displayState( "Initial State" );
17
18
         // execute the Producer and Consumer tasks
19
                                                                           Producer and Consumer
         application.execute( new Producer( sharedLocation ) );
20
         application.execute( new Consumer( sharedLocation ) );
21
                                                                           share the same
22
                                                                           synchronized circular
23
         application. shutdown();
                                                                           Buffer
      } // end main
24
```

25 } //end class Circular Buffer Test



```
Initial State (buffer cells occupied: 0)
buffer cells: -1 -1 -1
              WR
Producer writes 1 (buffer cells occupied: 1)
buffer cells: 1 -1 -1
               R W
Consumer reads 1 (buffer cells occupied: 0)
buffer cells: 1 -1 -1
                   WR
Buffer is empty. Consumer waits.
Producer writes 2 (buffer cells occupied: 1)
buffer cells: 1 2 -1
                    R W
Consumer reads 2 (buffer cells occupied: 0)
buffer cells: 1 2 -1
                        WR
Producer writes 3 (buffer cells occupied: 1)
```

buffer cells: 1 2 3

W

R

```
(2 \text{ of } 5)
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```

Outline

Test.java

CircularBuffer

```
Consumer reads 3 (buffer cells occupied: 0)
buffer cells:
                      2
```

WR

buffer cells:

Producer writes 4 (buffer cells occupied: 1) buffer cells:

R W

Producer writes 5 (buffer cells occupied: 2)

R W

Consumer reads 4 (buffer cells occupied: 1) buffer cells:

R W

Producer writes 6 (buffer cells occupied: 2) buffer cells:

R

W

(continued on next slide...)

### Outline

#### CircularBuffer Test.java

(3 of 5)

```
Producer writes 7 (buffer cells occupied: 3)
buffer cells:
             7
                   WR
```

Consumer reads 5 (buffer cells occupied: 2) buffer cells: 7 5

W R

Producer writes 8 (buffer cells occupied: 3) buffer cells: 7 8

WR

Consumer reads 6 (buffer cells occupied: 2) buffer cells: 7 8

> R W

Consumer reads 7 (buffer cells occupied: 1)

buffer cells: 7 8 R W

Producer writes 9 (buffer cells occupied: 2)

buffer cells: 7 8

W R

Outline

CircularBuffer Test.java

(4 of 5)

(continued on next slide...)

(continued from previous slide...)

```
Outline
```

CircularBuffer Test.java

(5 of 5)

```
Consumer reads 8 (buffer cells occupied: 1)
buffer cells: 7 8 9

W R

Consumer reads 9 (buffer cells occupied: 0)
```

Consumer reads 9 (buffer cells occupied: 0 buffer cells: 7 8 9 .... WR

Producer writes 10 (buffer cells occupied: 1) buffer cells: 10 8 9

Consumer read values totaling: 55 Terminating Consumer

- Introduced in Java SE 5
- Give programmers more precise control over thread synchronization, but are more complicated to use
- Any object can contain a reference to an object that implements the Lock interface (of package java.util.concurrent.locks)
- Call Lock's lock method to acquire the lock
  - Once obtained by one thread, the Lock object will not allow another thread to obtain the Lock until the first thread releases the Lock
- Call Lock's unlock method to release the lock
- All other threads attempting to obtain that Lock on a locked object are placed in the waiting state



- Class ReentrantLock (of package java.util.concurrent.locks) is a basic implementation of the Lock interface.
- ReentrantLock constructor takes a boolean argument that specifies whether the lock has a fairness policy
  - If true, the ReentrantLock's fairness policy is "the longest-waiting thread will acquire the lock when it is available"—prevents starvation
  - If false, there is no guarantee as to which waiting thread will acquire the lock when it is available
- A thread that owns a Lock and determines that it cannot continue with its task until some condition is satisfied can wait on a condition object
- Lock objects allow you to explicitly declare the condition objects on which a thread may need to wait

- Condition objects
  - Associated with a specific Lock
  - Created by calling a Lock's newCondition method
- To wait on a Condition object, call the Condition 's await method
  - immediately releases the associated Lock and places the thread in the waiting state for that Condition
- Another thread can call Condition method signal to allow a thread in that Condition's waiting state to return to the runnable state
  - Default implementation of Condition signals the longest-waiting thread
- Condition method signalAll transitions all the threads waiting for that condition to the *runnable* state
- When finished with a shared object, thread must call unlock to release the Lock

- Lock and Condition may be preferable to using the synchronized keyword
  - Lock objects allow you to interrupt waiting threads or to specify a timeout for waiting to acquire a lock
  - Lock object is not constrained to be acquired and released in the same block of code
- Condition objects can be used to specify multiple conditions on which threads may wait
  - Possible to indicate to waiting threads that a specific condition object is now true

### **Software Engineering Observation 23.2**

Using a ReentrantLock with a fairness policy avoids indefinite postponement.

## Performance Tip 23.4

Using a ReentrantLock with a fairness policy can decrease program performance significantly.

## **Common Programming Error 23.2**

Deadlock occurs when a waiting thread (let us call this thread1) cannot proceed because it is waiting (either directly or indirectly) for another thread (let us call this thread2) to proceed, while simultaneously thread2 cannot proceed because it is waiting (either directly or indirectly) for thread1 to proceed. The two threads are waiting for each other, so the actions that would enable each thread to continue execution can never occur.

## **Error-Prevention Tip 23.3**

When multiple threads manipulate a shared object using locks, ensure that if one thread calls method await to enter the waiting state for a condition object, a separate thread eventually will call Condition method signal to transition the thread waiting on the condition object back to the runnable state. If multiple threads may be waiting on the condition object, a separate thread can call Condition method signalAll as a safeguard to ensure that all the waiting threads have another opportunity to perform their tasks. If this is not done, starvation might occur.

## **Common Programming Error 23.3**

An IllegalMonitorStateException occurs if a thread issues an await, a signal, or a signalAll on a condition object without having acquired the lock for that condition object.

// output thread information and buffer informa

exclusion

7

24

25

26 27 try



92

```
28
            // while buffer is not empty, place thread in waiting state
29
            while (occupied)
                                                                                        Outline
30
               System out. println( "Producer tries to write." );
31
               displayState( "Buffer full. Producer waits." );
32
                                                                          Producer must wait until
33
               canWrite.await(); // wait until buffer is empty ←
                                                                           buffer is empty and release
            } // end while
34
                                                                           the lock
35
            buffer = value; // set new buffer value
36
                                                                                        (2 of 4)
37
38
            // indicate producer cannot store another value
            // until consumer retrieves current buffer value
39
40
            occupied = true;
41
            displayState( "Producer writes " + buffer );
42
43
            // signal thread waiting
44
                                       Producer signals the
45
            canRead. si gnal (); ←
                                       consumer that a value is
         } // end try
46
                                       available for reading
47
         finally
48
                                           Release the lock so
            accessLock. unl ock(); #/ unl oc
49
                                           consumer can read
         } // end finally
50
      } // end method set
51
52
```



```
// return value from buffer
public int get() throws InterruptedException
                                                                                  Outline
   int readValue = 0; // initi
                               Manually acquire the lock
   accessLock.lock(); <del>f/lock</del>
                               to implement mutual
                                                                                  Synchronized
                               exclusion
   // output thread informati
                                                             en wait
                                                                                  Buffer.java
   try
                                                                                  (3 \text{ of } 4)
      // while no data to read, place thread in waiting state
      while (!occupied)
         System out. println( "Consumer tries to read." );
         displayState( "Buffer empty. Consumer waits." );
                                                                   Consumer must wait until
         canRead.await(); // wait until buffer is full ←
                                                                   buffer is full and release
      } // end while
                                                                   the lock
      // indicate that producer can store another value
      // because consumer just retrieved buffer value
      occupi ed = fal se;
      readValue = buffer; // retrieve value from buffer
      displayState( "Consumer reads " + readValue );
      // signal thread waiting 1
                                  Consumer signals the
      can\fite. si gnal(); ←
                                  producer that space is
   } // end try
                                  available for writing
```

53

54

55 56

57

58

59

60

61

62 63

64

65

66

67

68

69 70

71

72 73 74

75 76

77

78

79



```
finally
80
81
                                            Release the lock so
            accessLock. unl ock(); 4/ unl oc
82
                                            producer can write
         } // end finally
83
84
         return readValue;
85
      } // end method get
86
87
88
      // display current operation and buffer state
      public void displayState( String operation )
89
90
         System out. printf( "%-40s%d\t\t%b\n\n", operation, buffer,
91
            occupi ed );
92
      } // end method displayState
93
    // end class SynchronizedBuffer
```

#### <u>Outline</u>

## Synchronized Buffer.java

(4 of 4)

### **Error-Prevention Tip 23.4**

Place calls to Lock method unlock in a finally block. If an exception is thrown, unlock must still be called or deadlock could occur.

## **Common Programming Error 23.4**

Forgetting to signal a waiting thread is a logic error. The thread will remain in the waiting state, which will prevent the thread from proceeding. Such waiting can lead to indefinite postponement or deadlock.

```
2 // Two threads manipulating a synchronized buffer.
3 import java. util. concurrent. Executor Service;
  import java. util. concurrent. Executors;
  public class SharedBufferTest2
7
8
      public static void main( String[] args )
9
         // create new thread pool with two threads
10
         Executor Service application = Executors. newCachedThreadPool();
11
12
         // create SynchronizedBuffer to store ints
13
         Buffer sharedLocation = new SynchronizedBuffer();
14
15
         System out. printf( "\% 40s\%\t\t%\n\% 40s\%\n\n", "Operation",
16
            "Buffer", "Occupi ed", "-----", "-----\t\t-----");
17
18
         // execute the Producer and Consumer tasks
19
         application.execute( new Producer( sharedLocation ) );
20
21
         application.execute( new Consumer( sharedLocation ) );
22
23
         application. shutdown();
      } // end main
24
25 } // end class SharedBufferTest2
Oper at i on
                                          Buffer
                                                           Occupi ed
Producer writes 1
                                          1
                                                           true
Producer tries to write.
Buffer full. Producer waits.
                                          1
                                                           true
```

// Fig. 23. 23: SharedBufferTest2.java

#### Outline

#### **SharedBuffer** Test2.java

(1 of 3)





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(continued on next slide...)

### <u>Outline</u>

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#### SharedBuffer Test2.java

(2 of 3)

fal se

#### SharedBuffer Test2.java

(3 of 3)

AND THE PARTY OF T			
ites 6	6	true	
eads 6	6	fal se	S
ites 7	7	true	
ads 7	7	fal se	(3
ites 8	8	true	
ads 8	8	fal se	
ites 9	9	true	
eads 9	9	fal se	
ites 10	10	true	
ads 10	10	f al se	
֡֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜֜	eads 6 ites 7 eads 7 ites 8 eads 8 ites 9 eads 9 ites 10 one producing	ites 7 7 eads 7 7 ites 8 8 eads 8 8 ites 9 9 eads 9 9 ites 10 10	ites 7       7       true         eads 7       7       false         ites 8       8       true         eads 8       false         ites 9       9       true         eads 9       9       false         ites 10       10       true

Consumer tries to read.

Buffer empty. Consumer waits.

## 23.11 Multithreading with GUI

- Event dispatch thread handles interactions with the application's GUI components
  - All tasks that interact with an application's GUI are placed in an event queue
  - Executed sequentially by the event dispatch thread
- Swing GUI components are not thread safe
  - Thread safety achieved by ensuring that Swing components are accessed from only the event dispatch thread—known as thread confinement
- Preferable to handle long-running computations in a separate thread, so the event dispatch thread can continue managing other GUI interactions
- Class SwingWorker (in package javax.swing) implements interface Runnable
  - Performs long-running computations in a worker thread
  - Updates Swing components from the event dispatch thread based on the computations' results

Method	Description
dol nBackground	Defines a long computation and is called in a worker thread.
done	Executes on the event dispatch thread when dol nBackground returns.
execut e	Schedules the Swi ngWorker object to be executed in a worker thread.
get	Waits for the computation to complete, then returns the result of the computation (i.e., the return value of dol nBackgr ound).
publ i sh	Sends intermediate results from the dol nBackgr ound method to the process method for processing on the event dispatch thread.
process	Receives intermediate results from the publ i sh method and processes these results on the event dispatch thread.
set Pr ogr ess	Sets the progress property to notify any property change listeners on the event dispatch thread of progress bar updates.

Fig. 23.24 | Commonly used SwingWorker methods.

# 23.11.1 Performing Computations in a Worker Thread

- To use a SwingWorker
  - Extend SwingWorker
  - Overrides methods dolnBackground and done
  - dolnBackground performs the computation and returns the result
  - done displays the results in the GUI after dolnBackground returns
- SwingWorker is a generic class
  - First type parameter indicates the type returned by dolnBackground
  - Second indicates the type passed between the publish and process methods to handle intermediate results
- ExecutionException thrown if an exception occurs during the computation



Outline

```
// Fig. 23. 25: BackgroundCalculator.java
2 // SwingWorker subclass for calculating Fibonacci numbers
3 // in a background thread.
4 import javax. swing. SwingWorker;
 import javax. swing. JLabel;
  import java. util. concurrent. ExecutionException;
7
                                                                                     Create a subclass of
  public class BackgroundCalculator extends SwingWorker < String, Object >
                                                                                     SwingWorker to
9
                                                                                        (1 \text{ of } 2)
      private final int n; // Fibonacci number to calculate
10
11
      private final JLabel resultJLabel; // JLabel to display the result
12
      // constructor
13
      public BackgroundCalculator( int number, JLabel label )
14
15
         n = number:
16
         resultJLabel = label;
17
      } // end BackgroundCal cul ator constructor
18
19
      // long-running code to be run in a worker thread
20
21
      public String doInBackground()
22
                                                   Possibly lengthy Fibonacci
23
         long nthFib = fibonacci( n );
                                                   calculation to perform in
         return String.valueOf( nthFib );
24
                                                   the background
      } // end method doInBackground
25
```

26



```
27
      // code to run on the event dispatch thread when doInBackground returns
      protected void done()
28
29
30
         try
31
            // get the result of doInBackground and
32
                                                      Display the calculation
            resultJLabel.setText( get() ); ←
33
                                                      results when done
         } // end try
34
         catch ( InterruptedException ex )
35
36
            resultJLabel.setText( "Interrupted while waiting for results." );
37
         } // end catch
38
         catch ( ExecutionException ex )
39
40
            resultJLabel.setText(
41
               "Error encountered while performing calculation." );
42
         } // end catch
43
      } // end method done
44
45
      // recursive method fibonacci; calculates nth Fibonacci number
46
      public long fibonacci( long number )
47
48
         if ( number == 0 || number == 1 )
49
            return number;
50
51
         el se
            return fibonacci ( number - 1 ) + fibonacci ( number - 2 );
52
      } // end method fibonacci
53
54 } // end class BackgroundCalculator
```

#### <u>Outline</u>

## Background Calculator.java

(2 of 2)



### **Software Engineering Observation 23.3**

Any GUI components that will be manipulated by SwingWorker methods, such as components that will be updated from methods process or done, should be passed to the SwingWorker subclass's constructor and stored in the subclass object. This gives these methods access to the GUI components they will manipulate.

```
1 // Fig. 23. 26: Fi bonacci Number s. j ava
2 // Using SwingWorker to perform a long calculation with
3 // intermediate results displayed in a GUI.
4 import java. awt. GridLayout;
5 import java. awt. event. ActionEvent;
6 import java. awt. event. ActionListener;
7 import javax. swing. JButton;
8 import javax. swing. JFrame;
9 import javax. swing. JPanel;
10 import javax. swing. JLabel;
11 import javax. swing. JTextField;
12 import javax. swing. border. TitledBorder;
13 i mport javax. swing. border. Li neBorder;
14 import java. awt. Col or;
15 import java. util. concurrent. ExecutionException;
16
17 public class Fibonacci Numbers extends JFrame
18 {
      // components for calculating the Fibonacci of a user-entered number
19
      private final JPanel worker JPanel =
20
         new JPanel ( new GridLayout ( 2, 2, 5, 5 ) );
21
      private final JTextField number JTextField = new JTextField();
22
23
      private final JButton goJButton = new JButton( "Go" );
24
      private final JLabel fibonacci JLabel = new JLabel();
25
      // components and variables for getting the next Fibonacci number
26
      private final JPanel eventThreadJPanel =
27
         new JPanel ( new GridLayout ( 2, 2, 5, 5 ) );
28
      private int n1 = 0; // initialize with first Fibonacci number
29
```

#### <u>Outline</u>

#### FibonacciNumbers.ja va

(1 of 5)



```
private int n2 = 1; // initialize with second Fibonacci number
30
31
      private int count = 1;
      private final JLabel nJLabel = new JLabel( "Fibonacci of 1: " );
32
      private final JLabel nFibonacciJLabel =
33
         new JLabel( String. valueOf( n2 ) );
34
35
      private final JButton nextNumber JButton = new JButton( "Next Number" );
36
      // constructor
37
      public Fi bonacci Number s()
38
39
         super( "Fi bonacci Numbers" );
40
         setLayout( new GridLayout( 2, 1, 10, 10 ) );
41
42
         // add GUI components to the SwingWorker panel
43
         worker JPanel . set Bor der ( new TitledBor der (
44
            new LineBorder ( Color. BLACK ), "With SwingWorker" ) );
45
         worker JPanel . add( new JLabel ( "Get Fi bonacci of: " ) );
46
47
         worker JPanel . add( number JText Field );
         goJButton. addActi onLi stener (
48
49
            new ActionListener()
50
                public void actionPerformed( ActionEvent event )
51
52
53
                   int n;
```

54

#### <u>Outline</u>

#### FibonacciNumbers.ja va

(2 of 5)



```
55
                   try
56
                      // retrieve user's input as an integer
57
                      n = Integer.parseInt( number JTextField.getText() );
58
                   } // end try
59
                   catch( NumberFormatException ex )
60
61
62
                      // display an error message if the user did not
                      // enter an integer
63
                      fibonacci JLabel . set Text( "Enter an integer." );
64
65
                      return.
                   } // end catch
66
67
                   // indicate that the calculation has begun
68
                   fibonacci JLabel . set Text( "Cal cul ating. . . " );
69
70
                   // create a task to perform calculation in background
71
                   BackgroundCal cul ator task =
72
                      new BackgroundCal cul at or ( n, fi bonacci JLabel );
73
                   task.execute(); // execute the task
74
75
               } // end method actionPerformed
            } // end anonymous inner class
76
         ); // end call to addActionListener
77
         workerJPanel.add( goJButton );
78
         worker JPanel . add( fi bonacci JLabel );
79
```

80

# <u>Outline</u>

#### FibonacciNumbers.ja va

(3 of 5)



```
81
         // add GUI components to the event-dispatching thread panel
82
         event ThreadJPanel.setBorder( new TitledBorder(
            new LineBorder( Color. BLACK ), "Without SwingWorker" ) );
83
         event ThreadJPanel . add( nJLabel );
84
         event ThreadJPanel.add( nFi bonacci JLabel );
85
         next Number JButton. addActi onLi stener (
86
            new ActionListener()
87
88
89
                public void actionPerformed( ActionEvent event )
90
                   // calculate the Fibonacci number after n2
91
                   int temp = n1 + n2;
92
                   n1 = n2;
93
                   n2 = temp;
94
95
                   ++count;
96
                   // display the next Fibonacci number
97
                   nJLabel.setText( "Fi bonacci of " + count + ": " );
98
                   nFi bonacci JLabel . set Text( String. valueOf( n2 ) );
99
                } // end method actionPerformed
100
            } // end anonymous inner class
101
         ); // end call to addActionListener
102
103
         event ThreadJPanel.add( next Number JButton );
104
105
         add( worker JPanel );
         add( eventThreadJPanel );
106
         set Si ze( 275, 200 );
107
108
         set Vi si bl e( true );
109
      } // end constructor
110
```

## <u>Outline</u>

#### FibonacciNumbers.ja va

(4 of 5)



#### FibonacciNumbers.ja va

(5 of 5)

# <u>Outline</u>

a) 🅌 Fibonacci Numbe	ers 🔲 🗆 🔀
-With SwingWorker	
Get Fibonacci of:	40
Go	
-Without SwingWorke	er———
Fibonacci of 1:	1
Next Number	

// main method begins program execution

public static void main( String[] args )

Fibonacci Numbers application = new Fibonacci Numbers(); application. setDefaultCloseOperation( EXIT\_ON\_CLOSE );

111

112

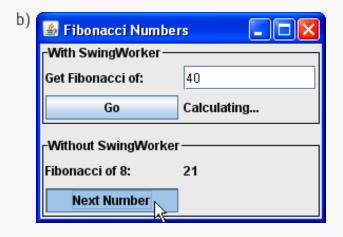
113 114

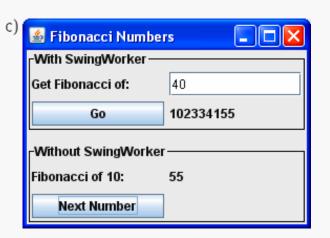
115

116

} // end main

117} // end class Fibonacci Numbers







# 23.11.2 Processing Intermediate Results with SwingWorker

- SwingWorker methods
  - publish repeatedly sends intermediate results to method process
  - process executes in the event dispatch thread and receives data from method publish then displays the data in a GUI component
  - setProgress updates the progress property
- Values are passed asynchronously between publish in the worker thread and process in the event dispatch thread
- process is not necessarily invoked for every call to publish
- PropertyChangeListener
  - Interface from package java.beans
  - Defines method propertyChange
  - Each call to setProgress generates a PropertyChangeEvent to indicate that the progress property has changed



```
1 // Fig. 23.27: PrimeCalculator.java
2 // Calculates the first n primes, displaying them as they are found.
3 import javax. swing. JTextArea;
4 import javax. swing. JLabel;
5 import javax. swing. JButton;
6 import javax. swing. SwingWorker;
7 import java. util. Random
8 import java. util. List;
9 import java. util. concurrent. ExecutionException;
10
11 public class PrimeCalculator extends SwingWorker < Integer, Integer >
12 {
13
      private final Random generator = new Random();
      private final JTextArea intermediateJTextArea; // displays found primes
14
      private final JButton getPrimesJButton;
15
      private final JButton cancel JButton;
16
      private final JLabel status JLabel; // displays status of calculation
17
18
      private final boolean primes[]; // boolean array for finding primes
      private boolean stopped = false; // flag indicating cancelation
19
20
      // constructor
21
      public PrimeCalculator (int max, JTextArea intermediate, JLabel status,
22
23
         JButton getPrimes, JButton cancel )
      {
24
25
         intermediateJTextArea = intermediate;
         statusJLabel = status;
26
27
         getPrimesJButton = getPrimes;
         cancel JButton = cancel;
28
         pri mes = new bool ean[ max ];
29
30
```

#### Outline

PrimeCalculator .java

(1 of 5)





```
31
         // initialize all primes array values to true
         for (int i = 0; i < max; i ++)
32
                                                                                         Outline
            primes[ i ] = true;
33
      } // end constructor
34
35
36
      // finds all primes up to max using the Sieve of Eratosthenes
                                                                                        PrimeCalculator .java
      public Integer doInBackground()
37
38
                                                                                        (2 \text{ of } 5)
         int count = 0; // the number of primes found
39
40
         // starting at the third value, cycle through the array and put
41
         // false as the value of any greater number that is a multiple
42
         for (int i = 2; i < primes.length; i++)
43
         {
44
            if ( stopped ) // if calculation has been canceled
45
               return count;
46
            el se
47
48
                                                                           Specify progress status as a
               setProgress( 100 * ( i + 1 ) / primes.length ); ←
49
                                                                           percentage of the number
50
                                                                           of primes we are
51
               try
                                                                           calculating
52
                  Thread. current Thread(). sleep( generator.nextInt( 5 ) );
53
               } // end try
54
               catch ( InterruptedException ex )
55
56
                  statusJLabel.setText( "Worker thread interrupted" );
57
                  return count;
58
               } // end catch
59
60
```



```
if ( primes[ i ] ) // i is prime
61
62
                                                                                          Outline
                                          Publish each prime as it is
                  publish( i ); <del>√/ mak</del>
                                                                         ime list
63
                                          discovered
                  ++count;
64
65
                  for ( int j = i + i; j < primes.length; j += i )
66
                                                                                          PrimeCalculator .java
                      primes[ j ] = false; // i is not prime
67
               } // end if
68
                                                                                          (3 \text{ of } 5)
            } // end else
69
         } // end for
70
71
         return count;
72
      } // end method dolnBackground
73
74
      // displays published values in primes list
75
                                                                           Process all the published
76
      protected void process( List< Integer > publishedVals )
                                                                           prime values
77
         for ( int i = 0; i < publishedVals.size(); i++)
78
            intermediateJTextArea.append( publishedVals.get( i ) + "\n" );
79
      } // end method process
80
```

```
81
      // code to execute when dolnBackground completes
82
      protected void done()
83
84
         getPrimesJButton. setEnabled( true ); // enable Get Primes button
85
         cancel JButton. set Enabled( false ); // disable Cancel button
86
87
         int numPrimes;
88
89
         try
90
91
            numPrimes = get(); // retrieve dolnBackground return value
92
         } // end try
93
         catch ( InterruptedException ex )
94
95
         {
96
            statusJLabel.setText( "Interrupted while waiting for results." );
97
            return;
         } // end catch
98
         catch ( ExecutionException ex )
99
100
         1
            statusJLabel.setText( "Error performing computation." );
101
            return;
102
```

103

} // end catch

## <u>Outline</u>

PrimeCalculator .java

(4 of 5)



```
104
105
         statusJLabel.setText( "Found " + numPrimes + " primes." );
     } // end method done
106
107
     // sets flag to stop looking for primes
108
     public void stopCalculation()
109
110
        stopped = true;
111
112
     } // end method stopCalculation
113} // end class PrimeCalculator
```

```
<u>Outline</u>
```

PrimeCalculator .java

(5 of 5)



```
1 // Fig 23.28: FindPrimes.java
2 // Using a SwingWorker to display prime numbers and update a JProgressBar
3 // while the prime numbers are being calculated.
4 import javax. swing. JFrame;
5 import javax. swing. JTextField;
6 import javax. swing. JTextArea;
7 import javax. swing. JButton;
8 import javax. swing. JProgressBar;
9 import javax.swing. JLabel;
10 import i avax. swing. JPanel:
11 import javax. swing. JScroll Pane;
12 import javax. swing. Scroll PaneConstants;
13 import java. awt. Border Layout;
14 import java. awt. GridLayout;
15 import java. awt. event. ActionListener;
16 import java. awt. event. ActionEvent;
17 import java. util. concurrent. ExecutionException;
18 import java. beans. Property Change Listener;
19 import java. beans. PropertyChangeEvent;
20
21 public class FindPrimes extends JFrame
22 {
23
      private final JTextField highestPrimeJTextField = new JTextField();
      private final JButton getPrimesJButton = new JButton( "Get Primes" );
24
25
      private final JTextArea displayPrimesJTextArea = new JTextArea();
      private final JButton cancel JButton = new JButton( "Cancel" );
26
      private final JProgressBar progressJProgressBar = new JProgressBar();
27
      private final JLabel statusJLabel = new JLabel();
28
      private PrimeCalculator calculator;
29
30
```

#### <u>Outline</u>

#### FindPrimes.java

(1 of 6)



```
31
      // constructor
      public FindPrimes()
32
33
         super( "Finding Primes with SwingWorker" );
34
         setLayout( new BorderLayout() );
35
36
         // initialize panel to get a number from the user
37
         JPanel northJPanel = new JPanel();
38
         northJPanel.add( new JLabel ( "Find primes less than: " ) );
39
         hi ghestPri meJTextFi el d. setCol umns( 5 );
40
         northJPanel.add( highestPrimeJTextField );
41
         getPrimesJButton.addActionListener(
42
            new ActionListener()
43
44
               public void actionPerformed( ActionEvent e )
45
46
                  progressJProgressBar.setValue( 0 ); // reset JProgressBar
47
                  displayPrimesJTextArea.setText( "" ); // clear JTextArea
48
                  statusJLabel.setText( "" ); // clear JLabel
49
50
                  int number;
51
52
53
                  try
54
                     // get user input
55
                      number = Integer.parseInt(
56
                         highestPrimeJTextField.getText());
57
                  } // end try
58
```

### <u>Outline</u>

#### FindPrimes.java

(2 of 6)



```
catch ( NumberFormatException ex )
   statusJLabel.setText( "Enter an integer." );
   return;
} // end catch
// construct a new PrimeCalculator object
cal cul ator = new PrimeCal cul ator ( number,
   displayPrimesJTextArea, statusJLabel, getPrimesJButton,
   cancel JButton );
// listen for progress bar property changes
cal cul at or . addPr oper tyChangeLi stener (
   new PropertyChangeListener()
      public void propertyChange( PropertyChangeEvent e )
         // if the changed property is progress,
         // update the progress bar
         if ( e. get Pr oper ty Name(). equal s( "pr ogress" ) )
         {
            int newValue = ( Integer ) e.getNewValue();
            progressJProgressBar.setValue( newValue );
         } // end if
      } // end method propertyChange
   } // end anonymous inner class
); // end call to addPropertyChangeListener
```

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# <u>Outline</u>

#### FindPrimes.java

(3 of 6)



#### FindPrimes.java

(4 of 6)

```
// disable Get Primes button and enable Cancel button
         getPrimesJButton.setEnabled( false );
         cancel JButton. set Enabled( true );
         calculator.execute(); // execute the PrimeCalculator object
      } // end method ActionPerformed
   } // end anonymous inner class
); // end call to addActionListener
northJPanel.add( getPrimesJButton );
// add a scrollable JList to display results of calculation
displayPrimesJTextArea.setEditable(false);
add( new JScrollPane( displayPrimesJTextArea,
   Scroll PaneConstants. VERTI CAL_SCROLLBAR_ALWAYS.
   Scr of I PaneConstants. HORI ZONTAL_SCROLLBAR_NEVER ) );
// initialize a panel to display cancel JButton,
// progressJProgressBar, and statusJLabel
JPanel southJPanel = new JPanel ( new GridLayout ( 1, 3, 10, 10 ) );
cancel JButton. set Enabled( false );
cancel JButton. addActi onLi stener (
   new ActionListener()
      public void actionPerformed( ActionEvent e )
         calculator.stopCalculation(); // cancel the calculation
      } // end method ActionPerformed
   } // end anonymous inner class
); // end call to addActionListener
```

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89 90

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95 96

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98

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100 101

102 103

104

105

106 107

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110 111 112

113

114

115





# Outline

#### FindPrimes.java

(5 of 6)

```
add( northJPanel, BorderLayout, NORTH );
         add( southJPanel, BorderLayout. SOUTH );
         set Si ze( 350, 300 );
         setVisible( true );
      } // end constructor
      // main method begins program execution
      public static void main( String[] args )
         FindPrimes application = new FindPrimes();
         application.setDefaultCloseOperation( EXIT_ON_CLOSE );
      } // end main
133} // end class FindPrimes
                                                   Finding Primes with SwingWorker
                          Find primes less than: 1000
                                               Get Primes
                           Cancel
                                       0%
```

southJPanel.add( cancel JButton );

southJPanel.add( statusJLabel );

progressJProgressBar.setStringPainted( true );

southJPanel.add( progressJProgressBar );

116

117

118 119

120 121

122

123

124

125 126 127

128 129 130

131

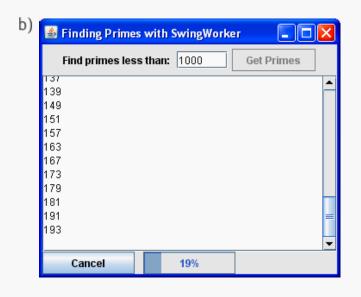
132

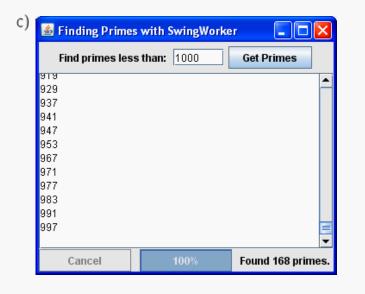


#### **Outline**

#### FindPrimes.java

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# 23.12 Other Classes and Interfaces in java.util.concurrent

#### Callable interface

- package java.util.concurrent
- declares a single method named call
- similar to Runnable, but method call allows the thread to return a value or to throw a checked exception

#### ExecutorService method submit executes a Callable

- Returns an object of type Future (of package java.util.concurrent) that represents the executing Callable
- Future declares method get to return the result of the Callable and other methods to manage a Callable's execution