Verteilte SystemeSoSe 2013Hinnerk van Bruinehsen1 von 19

# 0.1 Verteilte Systeme/Distributed Systems

# 0.1.1 Orga

VL Di 10-12 (nicht am 23.04.) Ue Do 10-12

#### **Elektisches**

- (kvv)
- Website AG
- Sakai

### Übungen

- ca. 5 Übungsblätter, 14-tägig
- Vorträge in Gruppen über "verteilte Systeme"

### Material/Inhalt

- 1. Hälfte Distributed Systems (Tanenbaum, van Steen)
  - Architektur
  - Prozesse
  - Kommunikation
  - Namen
  - Synchronisation
  - Konsistenz
  - Replikation
  - Fehlertoleranz
- 2. Hälfte Distributed Algorithms (Nancy Lynch)
  - synchronous network algorithms
  - network models (leader election, shortest path, distributed consensus, byzantine agreement)
  - asynchronous network algorithms (shared memory, mutual exclusion, resource allocation, consensus)
  - timing
  - network resource allocation
  - failure detectors

# 0.2 Distributed Systems

**Def:** A distributed System is a collection of independent computers that appears to it's users as a single coherent system.

Characteristics:

- autonomous components
- appears as single system

SoSe 2013

2 von 19

- communication is hidden
- organisation is hidden (could be high-performance mainframe or sensor net)
- heterogenous system offers homogenous look/interface

#### Objectives:

- provide resources (printer, storage, computing)
  - share in a controlled, efficient way
  - grant access
    - ⇒ connect users and resources

#### Transparency:

hide the fact that processes and resources are physically distributed.

Types of transparancy:

access hide differences in representation and how a resource is accessed

location

migration move ressources

relocation move ressources while using

replication

concurrency

failure

transparancy is desireable, but not always perfectly possible tradeoff between transparancy and complexity, maintainablility and performance **Open System** 

- service interfaces specified using Interface Definition Language (IDL)
- service specification as text

### Scalability is an important property

- scalable in size (number of nodes)
- scalable in geographic spread
- scalable in administration

#### **Problems**

- centralized services
- centralized data
- centralized algorithms

### Scaling techiques

- use only asynchronous communication
- distribution, split components

• replication of components

### pitfalls

- 1. reliable network
- 2. secure network
- 3. homogenous network
- 4. constant topologgy
- 5. zero latency
- 6. infinite bandwith
- 7. zero transport cost
- 8. one administrator!

### Types of distributed systems

- computing systems
  - cluster computing

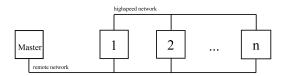


Abbildung 1: cluster computing

- grid computing(virtual organisation, geographically distributed and heterogenous))
- distributed inforamtion systems
  - transaction processing systems (database)
     ACID (atomicity, consistency, isolated, durable)
  - enterprise systems
- Distributed pervasive systems small, wireless, adhoc, no administration home automation, health systems, sensor networks

### Why do we need distributed systems?

- $\bullet \ \ performance$
- distribution inherent
- reliability
- incremental growth (scalability)
- sharing resources

# 0.3 Architectures of distributed Systems

- how to split software into components
  - $\Rightarrow$  Softwarearchiticture
- how to build a system out of the components
  - ⇒ Systemarchitecture

Middleware can help to create distribution transparency

### Architecturestyles:

- Layered architecture
  - ⇒ network stack, messages or data flow up and down
    - control flow between layers
    - requests down
    - reply up
- Object-based architectures
  - interaction between components
  - e.g. remote procedure calls
  - can be client-server system
- data-centered architectures
  - data is key element
  - communication over data, distributed database
  - web-systems mostly data-centric
- event-based architecture
  - publish-subscribe systems

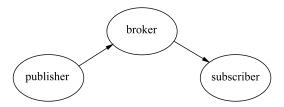


Abbildung 2: publish subsribe system

- processes communicates threough events
- publisher announces events at broker
  - $\Rightarrow$  loose coupling (publisher and subscriber need not to know each other), decoupled in space
  - ⇒ scalability better than client-server, parallel processing, caching

Event-based and data-based can be combined

 $\Rightarrow$  shared Data space

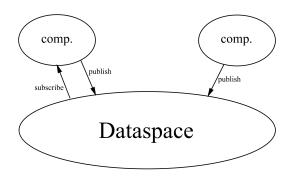


Abbildung 3: shared data space

# 0.3.1 System architectures

- 1. centralized architectures client server
  - (i) single point of failure
  - (ii) performance (server is bottleneck)

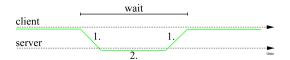


Abbildung 4: client server simple waiting situation

- (a) communication problems
- (b) server problems

can request be repeated without harm?  $\Rightarrow$  request is idempotent

- (iii) aplication layering
  - Layers:
  - 1) User interface
  - 2.) processing
  - 3.) data level

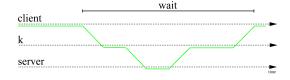


Abbildung 5: application layer

- $\Rightarrow$  a lot of waiting
- $\Rightarrow$  does not scale

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#### 2. Decentralized architectures

- vertical distribution (layering) different logic on different machines
- horizontal distribution
   replicated client/server operating on different data
   ⇒ overlay-underlay hides physical structure by adding logical structure

### Structured P2P architectures

- most popular technique is distributed hashtables (DHT)
- randomly 128 bit or 160 bit ke for data and nodes. Two or more keys are very unlikely
- Chord system arranges items in a ring
- ullet data item k is assigneed to node with smallest identifier id  $\geq$  k

```
ie item 1 belongs to node 1 item 2 belongs to node 2 for each item k_i succ(k)=id returns the name of the node k is assigned to to find data item k the function LOOKUP(k) returns the adress of succ(k) in O(log(N)(later!)
```

```
membership management
join:
create SHA1 identifier
LOOKUP(id) = succ(id)
contact succ(id) and pred(id) to join ring
```

#### leave:

node id informs succ(id) and pred(id) and assigns it's data to succ(id)

# Content adressable network (CAN)

- d-dimensional cartesian space
- every node draws random number
- space is divided among nodes
- every data draws identifier (coodinates) which assigns a node
- join
  - select random point
  - half the square in which id falls
  - assign item to centers
- leave
  - one node takes the rectangle
  - ⇒ reassign rectangles periodically

### Unstructured P2P Network

• random graph

- each node maintains a list of c neighbours
- partial view or neighbourhood list with age
- nodes exchange neighbour information active thread select peer

#### **PUSH**

select c/2 youngest entries+myself send to peer

#### **PULL**

receive peer buffer construct new partial view increment age

passive thread recieve buffer from peer

#### PULL:

select c/2 send to peer

construct new partial view increment age

# 0.4 PeerSim

# 0.5 Processes

### processes

- -execution of program
- -processor creates virtual processor
- -for each program everyting is stored in process table
- -transparent sharing of resources, (processor, memory) separation
- -each virtual processor has it's own independent adress space
- -process switch is expensive, (save cpu context, pointers, translation lookaside buffer (TLB), memory management unit (MMU))
- -perhaps even swaps to disk, if memory exhausted

2 possible solutions:

## threads

- -several threads share CPU
- -thread context has little memory information, perhaps mutex lock  $% \left\{ 1,2,\ldots ,n\right\}$
- -threads avoid blocking application (e.g. spreadsheet,computation of dependent cells, intermediate backup)
- -thread switch is fast
- -user level threads allow parallel computation of program sections
- I/O or other blocking system calls block all threads, but thread creation/deletion is kernel task = expensive

advantages of threads over processes vanishes

- 1. scheduler activation, upcall to achieve process switch
- light-weight processes (LWP)
   user level thread package
   execute scheduler and run thread of parent
   may block on systemcall, then other LWP may run
   triggered from userspace

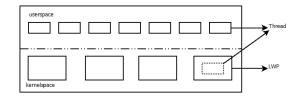


Abbildung 6: light-weight processes can run threads

Advantages of LWP and user-level thread package:

- 1. creation, deletion etc is easy, no kernel intervention
- 2. blocking syscall does not suspend process if enough LWPs are available
- 3. applications do not see LWP. They only see user-level threads
- 4. LWP can run on different processors in multiprocessor systems

Disadvantages:

1. LWP creation as expensive as creation of kernel-level thread

Advantages:

- a blocking systemcall blocks only thread, not process  $\Rightarrow$  system call for communication in distributed systems

Multiple threads in clients and servers

#### Clients:

- multiple thread may hide communication delay (distribution transparency)
- web browser opens several connections to load parts of a document/page
- web server may be replicated in same or different location
   ⇒ truly parallel access to items and parallel download

#### Servers:

- single threaded, e.g. file server thread serves incoming request, waits for disk, returns file serves next
- multithreaded dispatcher thread recieves request hands over to worker thread waits for disk etc. dispatcher takes next request
- finite state machine
   only one thread
   examines request, either read from ...or from disk
   during wait stores requests in table
   serves next request
   manage control either new request or reply from disk
   act accordingly
   process acts as finite state machine that receives messages and acts/changes state

#### summary:

model characteristics

single thread no parallelism, blocking syscalls multi thread parallelism, blocking syscalls finite state machine parallelism, non-blocking syscalls

### 0.5.1 Virtualisation



Abbildung 7: virtualisation

V pretends there are more resources then available.

Reasons for the need for  ${\sf V}$  .

- -hardware changes much faster then SW
- ⇒ improves portability
- -networks consist of different hardware
- ⇒ enables portability of programs for all

usage (distributed applications, network protocols)

- 2 Types of Architectures for Virtualisation:
- 1. Runtime system providing instruction set
  - interpreted as Java
  - emulated as for Windows applications on UNIX-platform processes VM
- 2. Virtualisation shields hardware and offers instruction set of the same or other hardware
  - can host different OS that run simultaneosly
  - $\Rightarrow$  VMM such as VMware, Xen

### 0.5.2 Client-/Serverprocesses

#### CLients:

- b) allows to store data at the server
- thin client e.g. X-windows
- thin client should separate application logic from user interaction
- ooften not implemented ⇒ poor performance
- compression of interaction commands as solution
- compound documents where user interaction triggers several processing steps on the server. must be implemented (e.g. rotation of picture changes placement in texts)

#### Servers:

• serves requests on behalf of the client

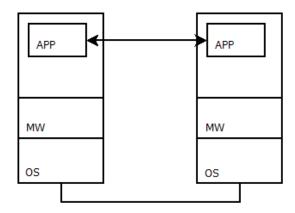


Abbildung 8: app specific communication

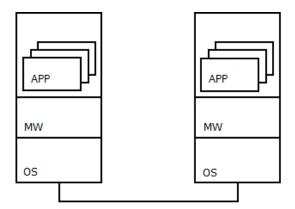


Abbildung 9: machine only communication

- Types of servers
  - iterative Server handles requests itself
  - concurrent server passes requests to worker, e.g. multithreaded server
- server listens to port, endpoint to the client; some ports are reserved for special services

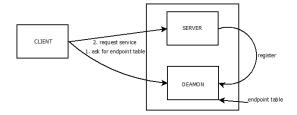


Abbildung 10: listener server

- superserver listens to several ports, replacinf several (mostly idle) servers
- ullet stateless servers, keeps no information on state of client o change state without informing the client, e.g. web server
- soft state server, maintains client state for limited time, e.g. servers informing about updates
- stateful server keeps information about client (file server keeps (client, file) table), often better performance, fault-tolerance poorer

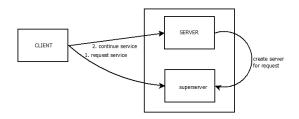


Abbildung 11: superserver

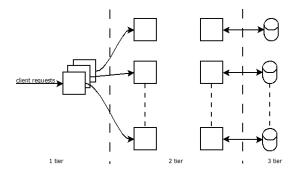


Abbildung 12: stateless server

• cookies allow to share information for server upon next visit client sends it'S cookies, allows state information for stateless server

### **Distributed Servers**

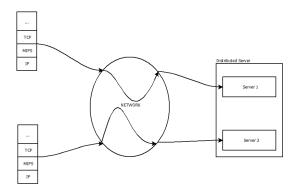


Abbildung 13: distributed server

- servers in different locations that have different ip-adresses in DNS under the same name
- MIPv6: mobility support for IPv6
- mobile node has home network with stable home adress (HoA)
- $\bullet$  special router is home agent and takes care of traffic to the mobile node
- mobile node receives care-of-adress (CoA), never seen by client
- $\bullet$  route optimisation avoids routing through home agent

# 0.5.3 Code Migration

• Code migration on (running) process - Why?

- ullet service placement in distributed system  $\Rightarrow$  minimize communication cost
- load balancing in multiprocessor machine or cluster ⇒ performace
- (security)

### Models of Migration

- or process model
  - 1. code segment, instructions
  - 2. resource segement, references to external resources, ie.e. file, printer, devices
  - 3. execution segement, execution state process, stack, private data, programm counter

### • Migration types

- weak mobility, transfer code, (1), mabe 3)), which executes from beginning (i.e. java applets)
- strong mobility, transfer 1)3), stop executions, transfer, resume

Migrating resource segment 2) is difficult Consider process to resource binding

- 1. binding by identifier, URL, ftp-server-name
- 2. binding by value, libraries for programming
- 3. binding by type, local device, monitor

### Resource-machine-binding

- 1. unattached
- 2. fastend
- 3. fixed

pass tp resource binding	unattached	tastened	tixed	
by identifier	MV	GR(or MV)	GR	- - MV:move, GR, global re-
by value	CP	GR(or CP)	GR	- W.V.IIIOVE, GIV, global re-
by type	RB	RB(or GR,CP)	RB(or GR)	-

ference, CP: copy value, RB: rebind to locally available resource

# 0.6 Communication

we skip networking  $\rightarrow$  Telematik

#### Consider:

- Remote procedure call
- Message-oriented communication
- Strem-oriented communication
- Multicast communication

### 0.6.1 RPC

Remote procedure call uses stubs to pack parameters in message value parameters

⇒ value packed in messages, transfer byte-by-byte⇒ problem can be little endian vs big endian systems reference parameters are: extremely difficult; create array and pass by value; how to handle graps, linked lists

Remote procedure calls

## 0.6.2 Asynchronous RPC

• hide communication, communication transparency

## 0.6.3 Message oriented communication

# 0.6.4 Message-passing-interface (MPI)

- standad for communication
- communication within group of processes
- each group/member has identifier

### 0.6.5 Message-queuing-system, Message-oriented-middleware (MoM)

- asynchronous persistent communication
- store messages
- transfer may take minutes, not milliseconds
- applications communicate by inserting messages into queues
- messages are inserted into local queue
- message carries destination adress
- queue manager may act as relays, router
- message broker transform type A into type B, using a set of rules
- applications are email, workflow, batch processing, queries accross several databases

#### 0.6.6 stream oriented communication

- temporal relationship between items important
- multimedia data is compressed
- QoS is important
  - bit rate
  - max delay for session setup
  - max end-to-end delay
  - max delay variance (jitter)
  - max round trip delay
- networking solution such as differentiated services
- synchronisation of streams

### 0.6.7 Multicast communication

- application level multicast uses overlay
- tree, unique path between each pair of nodes
- mesh, more robust, fault-tolerant

### **Example:** Construct overlay tree for chord

- node that wants to start multicast generates key 128bit/160bit (nid) randomly
- lookup of succ(nid) finds node responsible for key mid
   ⇒ succ(nid) becomes root of tree
- join: lookup (nid) creates lookup message with join request routed from P to succ(nid)
- request is forwarded Q (first time forward), Q becomes forwarder  $\Rightarrow$  P child of Q
- request is first time forwarded by R, R becomes forwarder  $\Rightarrow$  Q becomes child of R
- multicast: lookup(nid) sends message to the root multicast from root

### Efficiency?

Quality of application level tree

- 1. Link stress, number of traversals of same link per packet
- 2. stretch, relative delay penalty (RDP)  $\frac{\text{transmission time in overlay}}{\text{transmission time in delay/network}} \Rightarrow \text{minimize aggregated stretch, average RDP over all note pairs}$
- 3. tree cost, minimize aggregated link cost, link cost = cost between end points ⇒ find minimal spanning tree

# 0.6.8 Gossip-based-communication

- epidemic behaviour
- a node does not have new data (susceptible), it has the data (infected) or is unwilling to spread (removed)

Anti-entropy-model

P chooses randomly Q

- 1. P pushes its data to Q
- 2. P pulls Q's data
- 3. P and Q exchange data
- if many nodes are infected probabiltry for selecting susceptible node is low
   low probability of data dissemination
- pull works when many nodes are infected. Susceptible node determines spread. They have a high probability to contact infected nodes
- if only one node is infected push/pull is best
- Round is period in which each node at least once selects a neighbor number of rounds needed to spread  $\approx \mathcal{O}(\log(N)), N$  is number of nodes

### Rumor spreading, gossiping:

function of nodes that never obtain data:  $s=e^{-(k+1)(1-s)}$  e.g. k=4, ln(s)=4, 97  $\Rightarrow s=0,007$  less than 0,7 remain without data

removing data is difficult: delete message is send via gossiping

# 0.7 Naming

Flat naming

### 0.7.1 Distributed Hash Tables

- m-bit identifier (128 or 160 Bit)
- entity with key k is under jurisdiction of node with smallest identifier id  $\geq$  k  $\Rightarrow$  succ(k)
- resolve key k to address of succ(k)
- option 1: each node p keeps succ(p), pred(p) node forwards request for key k to a neighbor if  $pred(p) \le k \le p$ , return(p)  $\Rightarrow$  not scalable
- better solution: each Chord node maintains finger table of lenght m  $\mathsf{FT}[i] \! = \! \mathsf{succ}(p+2^{i-1}) = \mathsf{succ}(p+1) = \mathsf{succ}(2) \text{ (smallest id, sucht that id } \geq 2)$  i-th entry points to  $2^{i-1}$  ahead of p
- to lookup k node p forwards request to p with index j in ps finger table:  $q = FT_p[j] \le k \le FT_p[j+1]$

• example:

resolve k = 26 from node 1  $k=26>FT_1[5]\Rightarrow$  forward request to node  $18=FT_1[5]$ 

- node 18 selects node  $20FT_{18}[2] \le k < FT_{18}[3]$
- node 20 selects node  $21 \Rightarrow 28$  which is responsible for key 26
- lookup generally requires O(log(N)) steps, N nodes in system
- join/leave is rather simple
- keeeping figer table up to date is expensive

# 0.8 Synchronistation

# 0.8.1 Clock synchronisation algorithms

System model: each machine has timer that causes H interrupts per second

- clock C adds up ticks (interrupts)
- ullet  $C_p(t)$  is clock time on machine p
- $\begin{array}{l} \bullet \text{ perfect clock: } C_p(t) = t \forall p,t \\ \Longleftrightarrow C_p'(t) = \frac{dC_p(t)}{dt} = 1 \\ \widehat{=} \text{ frequency of clock } C_p \text{ at time } t \end{array}$
- $C_p'(t) 1 \stackrel{\frown}{=}$  skew of p's clock, difference to perfect clock.
- $C_p(t) t \stackrel{\frown}{=} \text{offset}$
- • real timers do not interrupt H timespers maximum drift p such  $1-\rho \leq \frac{d(H)}{dt} \leq 1+p$
- at time  $\delta t$  two clocks that are drifting apart can be  $|C_2(\Delta t)-C_1(\Delta t)\leq 2\rho\Delta t|$
- ullet if the difference should never exceed  $\delta_i$  then synchronisation every  $rac{\delta}{2
  ho}$  seconds is needed
- time allways moves forward.

# 0.8.2 Network Time Protcol (NTP)

- nodes contact time server that has an accurate clock
- time server pasive

A estimates its offset to B as  $\Theta = T_3 - \frac{(T-2-T_1)+(T_4-T_3)}{2}$  assuming communication time is symmetric delay:  $\delta = \frac{(T-2-T_1)+(T_4-T_3)}{2}$ 

- A probes B, B probes A
- NTP stores 8 pairs  $(\Theta, \delta)$  per node pair using  $\min(\delta)$  for smallest delay
- either A or B can be more stable
- reference node has strattime 1 (clock has starttime 0)
- lower starttime level is better, will be used.

# 0.8.3 Berkeley algorithm

- assumes no node has 'good' time
- time server polls all nodes for their time
- takes average and adjusts speed of nodes correspondingly
- all nodes agree on time, which may not be correct

### 0.8.4 Logical Clocks - YEAH ALP5! -.-

- logical time need not correct in real time.
- needs 'happens before' relation  $a \rightarrow b$
- happens before means:
  - 1. If a,b are events in the same process and a happens before b, than a  $\rightarrow$  b is true
  - 2. if a denotes the event of sending a message and b the event of receiving this message by another process then a → (Anmerk. von Tobi: is true?)
- happens before is transitive:

$$a \to b \land b \to c \Rightarrow a \to c$$

• concurrency:

if x, y happen in different processes and neither  $x \to y$  nor  $y \to x$ , then x, y are concurrent (which means, it is not know who comes first)

- if  $a \to b$  then  $C(a) \to C(b)$
- 4 properties of logical time
  - 1. No two events get assigned the same time.
  - 2. Logical times of events in each process are strictly increasing
  - 3. logical time of sendevent is strictly smaller than receive event for the same message
  - 4. for any  $t \in T$  only finetely many events get assigned logical times smaller then t.
- Examle:

# Algorithm

1. Before eacht event  $P_1$  executes

$$C_i \leftarrow C_i + 1$$

- 2. When Process  $P_i$  sends message m to  $P_j$  it sets the timestamp of m, ts(m) to the current time  $ts(m) \leftarrow C$ .
- 3. upon receipt of a message m process  $P_j$  adjust its time to  $C_j \leftarrow \max C_j, ts(m)$ , then executes step 1 and delivers message

#### Example

Consider a bank with two data centers A and B, that need to be kept consistent. Each request uses the nearest copy. Assume a customer has \$1000,- in his bank account and decides to add \$100,- using copy A. At the same time 1% interest is added to copy B. What happens? How can we solve the problem? Totally ordered multicast

every message is sent to all receivers+itself with timestamp

- messages are stored in queues and acknowledged by timestamp
- queues are Lamports logical clocks
- eventually all queues are identical ⇒ total order

# 0.9 Vector Clocks

- Lamport's logical clock causally order
- $T_{sent}(m_i) < T_{recv}(m_i)$  does  $T_{recv}(m_i) < T_{sent}(m_j)$  tell something about  $m_i, m_j$  use Vector Clocks
- each process P maintains VC
  - 1.  $VC_i[i]$  is I of events that occurred so far at  $P_i$  VC in the logial clock of  $P_i$
  - 2.  $VC_i[j] = k$ ,  $P_i$  stores k events at  $P_j$ . useful for causally ordered multicast

# 0.10 Mutual Exclusion

Access to shared resources

2 types of algorithms: token-based and permission-based

- token is simple, reliability problem (lost token)
- permission difficult in distributed systems
- 1 Centralised algorithm
  - one process is coordinator
  - coordinator alloes access onl to one process
  - fair, requests are processed in order of arrival
  - no starvation
  - easy to implement
  - coordinator is single point of failure
  - (handle message loss with ack)
  - dead coordinator looks like permission denied

#### 2. Decentralised algorithm

- Each resource is replicated n times , rname i is the name of the replica
- each replica has it's own controller, the name is a hash of the rname i
- if rname is known, each process can generate the address of the controllers
- access to resource when m > n/2 controllers grant it
- Let p probability that a coordinator resets during  $\Delta t$   $P[k] = prob\{k \text{ out of } m \text{ coordinators reset during } \Delta t\} = \left(\binom{m}{k}\right)p^k(1-p)^{m-k}$
- at least  $2m-n\geq n+2-n=2$  coordinators need to reset in order to violate the voting. This happens with probability  $\sum\limits_{k=2m-n}^n P[k]$  e.g.  $\Delta t=10s, n=32, m=0,75n$  Probability of violation in  $10^{-40}$
- ullet if a process gets less than m votes access to the resource is denied
- random backoff, retry many requests, noone gets access
- heavy load ⇒ drop in utilisation

### 3. A distributed algorithm

- deterministic
- uses total ordering of events
- process that wants to access a resource sends out message containing (resourcename, process no, current localtime) to all other processes and itself
- process receives a message. Either:
  - (a) returns OK, if does not want a resource
  - (b) queues request, if it has resource
  - (c) compares timestamps, sends OK if timestamp is smallest, queues request and sends no reply else
- grants mutual exclusion without deadlocks or starvation

#### Problems:

- note failure ⇒ dito
- load, all processes take part in decisions (needs 2(n-1) messages for n processes
- algorithm is slower, more complicated, more expensive, less robust than centralised alg.
- not a good algorithm

## 4. Token Ring Algorithm

- processes form a logical ring
- token circulates
- ownerof token can access resource
- simple and efficient
- not fair under heavy load

#### Problems:

• token loss

•

	Alogirithm	messages per entry/exit	Delay before access	Problems
	Centralised	3	2	coordinator crash
Comparison	Decentralised	3mk	2m	starvation, low efficiency
	Distributed	2(n-1)	2(n-1)	crash of any process
	Token Ring	1 to ∞	$0$ to $\infty$	lost token, process crash, fairness?