

# Color Network Document

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由于对本领域并不熟悉，本文档会夹杂中文。

# 第一章 Basic Topics

## 1.0.1 NRG and DMRG

Here we will introduce numerical renormalization group and density matrix renormalization group using an example to solve an equation of a single particle.

We will consider solving such an equation in range  $x \in [-1, 1]$ .

$$\begin{aligned}\frac{\partial^2}{\partial x^2} f(x) &= a f(x), \\ f(-1) &= f(1) = 0,\end{aligned}\tag{1.1}$$

with

$$\frac{\partial^2}{\partial x^2} f(x) \approx \frac{f(x + \Delta x) + f(x - \Delta x) - 2f(x)}{\Delta x^2},\tag{1.2}$$

the discretized version is an eigen-problem

$$\frac{1}{\Delta x^2} \begin{pmatrix} 2 & -1 & 0 & 0 & \dots & 0 & 0 \\ -1 & 2 & -1 & 0 & \dots & 0 & 0 \\ 0 & -1 & 2 & -1 & \dots & 0 & 0 \\ 0 & 0 & -1 & 2 & \dots & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & 0 & \dots & 2 & -1 \\ 0 & 0 & 0 & 0 & \dots & -1 & 2 \end{pmatrix} f = a f,\tag{1.3}$$

It can be written as

$$\frac{1}{\Delta x^2} \begin{pmatrix} H_0 & L_0 & 0 & 0 & \dots & 0 & 0 \\ L_0 & H_0 & L_0 & 0 & \dots & 0 & 0 \\ 0 & L_0 & H_0 & L_0 & \dots & 0 & 0 \\ 0 & 0 & L_0 & H_0 & \dots & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & 0 & \dots & H_0 & L_0 \\ 0 & 0 & 0 & 0 & \dots & L_0 & H_0 \end{pmatrix} f \approx \frac{1}{\Delta x^2} \begin{pmatrix} H_0 & 0 & 0 & 0 & \dots & 0 & 0 \\ 0 & H_0 & 0 & 0 & \dots & 0 & 0 \\ 0 & 0 & H_0 & 0 & \dots & 0 & 0 \\ 0 & 0 & 0 & H_0 & \dots & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & 0 & \dots & H_0 & 0 \\ 0 & 0 & 0 & 0 & \dots & 0 & H_0 \end{pmatrix} = a f,\tag{1.4}$$

with

$$H_0 = \begin{pmatrix} 2 & -1 & 0 & 0 \\ -1 & 2 & -1 & 0 \\ 0 & -1 & 2 & -1 \\ 0 & 0 & -1 & 2 \end{pmatrix}, \quad L_0 = \begin{pmatrix} 0 & 0 & 0 & -1 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} \quad (1.5)$$

The first step is to solve eigen system of  $H$ , the two lowest eigen values and eigen vectors are

$$H_0 \begin{pmatrix} \frac{1}{5+\sqrt{5}} & -\frac{1}{5-\sqrt{5}} \\ \frac{1}{2\sqrt{5}} & -\frac{1}{2\sqrt{5}} \\ \frac{1}{2\sqrt{5}} & \frac{1}{2\sqrt{5}} \\ \frac{1}{5+\sqrt{5}} & \frac{1}{5-\sqrt{5}} \end{pmatrix} = \begin{pmatrix} \frac{3-\sqrt{5}}{2} \times \frac{1}{5+\sqrt{5}} & \frac{5-\sqrt{5}}{2} \times -\frac{1}{5-\sqrt{5}} \\ \frac{1}{2\sqrt{5}} & -\frac{1}{2\sqrt{5}} \\ \frac{1}{2\sqrt{5}} & \frac{1}{2\sqrt{5}} \\ \frac{1}{5+\sqrt{5}} & \frac{1}{5-\sqrt{5}} \end{pmatrix} \quad (1.6)$$

Then, truncate use

$$U = \begin{pmatrix} \frac{1}{5+\sqrt{5}} & -\frac{1}{5-\sqrt{5}} \\ \frac{1}{2\sqrt{5}} & -\frac{1}{2\sqrt{5}} \\ \frac{1}{2\sqrt{5}} & \frac{1}{2\sqrt{5}} \\ \frac{1}{5+\sqrt{5}} & \frac{1}{5-\sqrt{5}} \end{pmatrix} \quad (1.7)$$

$$H' = U^T H_0 U = \begin{pmatrix} \frac{1}{2} - \frac{1}{\sqrt{5}} & 0 \\ 0 & \frac{1}{2} \end{pmatrix}, \quad L' = U^T L_0 U = \begin{pmatrix} -\frac{1}{(5+\sqrt{5})^2} & -\frac{1}{20} \\ \frac{1}{20} & \frac{1}{(5-\sqrt{5})^2} \end{pmatrix}.$$

Now, we are dealing with a truncated problem

$$\frac{1}{\Delta x^2} \begin{pmatrix} H_1 & L_1 & 0 & 0 & \dots & 0 & 0 \\ L_1 & H_1 & L_1 & 0 & \dots & 0 & 0 \\ 0 & L_1 & H_1 & L_1 & \dots & 0 & 0 \\ 0 & 0 & L_1 & H_1 & \dots & 0 & 0 \\ \dots & \dots & \dots & \dots & \dots & \dots & \dots \\ 0 & 0 & 0 & 0 & \dots & H_1 & L_1 \\ 0 & 0 & 0 & 0 & \dots & L_1 & H_1 \end{pmatrix} f = af, \quad (1.8)$$

with

$$H_1 = \begin{pmatrix} H' & L' \\ L' & H' \end{pmatrix} = \begin{pmatrix} \frac{1}{2} - \frac{1}{\sqrt{5}} & 0 & -\frac{1}{(\sqrt{5}+5)^2} & \frac{1}{20} \\ 0 & \frac{1}{2} & -\frac{1}{20} & \frac{1}{(5-\sqrt{5})^2} \\ -\frac{1}{(\sqrt{5}+5)^2} & -\frac{1}{20} & \frac{1}{2} - \frac{1}{\sqrt{5}} & 0 \\ \frac{1}{20} & \frac{1}{(5-\sqrt{5})^2} & 0 & \frac{1}{2} \end{pmatrix}, \quad (1.9)$$

$$L_1 = \begin{pmatrix} 0 & L' \\ 0 & 0 \end{pmatrix}$$

Again, we solve  $H_1 f = af$ , the lowest two eigenvalues are 0.067 and 0.026. As a compare, the lowest two eigenvalues of a  $16 \times 16$  original matrix are 0.135 and 0.034.

## 第二章 Decompose Methods

### 2.1 Matrix Decompose

#### 2.1.1 Matrix SVD using Lanczos

The matrix SVD is to decompose

$$t_{i_1, i_2, \dots, i_m, j_1, j_2, \dots, j_n} = \sum_{k_l, k_r} V_{i_1, i_2, \dots, i_m, k_l} S_{k_l, k_r} U_{k_r, j_1, j_2, \dots, j_n}, \quad (2.1)$$

where

$$\begin{aligned} \sum_{k_l} V_{I_1, I_2, \dots, I_m, k_l}^* V_{i_1, i_2, \dots, i_m, k_l} &= \delta_{(i_m)=(I_m)}, \\ \sum_{k_r} U_{J_1, J_2, \dots, J_n, k_r}^* U_{j_1, j_2, \dots, j_n, k_r} &= \delta_{(j_n)=(J_n)}, \\ S_{k_l \neq k_r} &= 0, \end{aligned} \quad (2.2)$$

One can imagine that,  $\{i_n\}$  is ONE combined index of a matrix. Then, it is the normal SVD. Usually,  $\dim(k)$  is small.

##### 2.1.1.1 Lanczos biorthogonalization

Lanczos biorthogonalization is for such task:

For  $A = C^{m \times n}$ , find  $A \approx UBV^\dagger$  such that  $T$  is a tridiagonal matrix

$$B = \begin{pmatrix} \alpha_1 & \beta_1 & 0 & 0 & 0 \\ 0 & \alpha_2 & \beta_2 & 0 & 0 \\ & \dots & & & \\ 0 & 0 & 0 & \alpha_{k-1} & \beta_{k-1} \\ 0 & 0 & 0 & 0 & \alpha_k \end{pmatrix} \quad (2.3)$$

Note here  $\|v\| = \sqrt{\sum_i |v_i|^2}$ .

**Algorithm 1** Lanczos biorthogonalization

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 $A = C^{m \times n}$ 


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 $\mathbf{v}_1$  is an  $n$ -vectors satisfying  $\|\mathbf{v}_1\| = 1$ .

**for**  $i = 1$  to  $k$  **do**

    **if**  $i > 1$  **then**

         $\mathbf{v}_i = \mathbf{p} / \beta_i$ .

    **end if**

     $\mathbf{r} = A\mathbf{v}_i - \beta_{i-1}\mathbf{u}_{i-1}$ .

 $\triangleright \beta_0 = 0$  and  $\mathbf{u}_0 = 0$ .

     $\alpha_i = \|\mathbf{r}\|$ .

     $\mathbf{u}_i = \mathbf{r} / \alpha_i$ .

    **if**  $i < k$  **then**

         $\mathbf{p} = A^\dagger \mathbf{u}_i - \alpha_i \mathbf{v}_i$ 

         $\beta_i = \|\mathbf{p}\|$ 

    **end if**
**end for**


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It is expected that  $\beta$  approaches zero, if  $\beta = 0$ , than  $A = UTV^\dagger$ .

**Although the  $B$  obtained is not a diagonal matrix, the goal has been archived, and we do not further diagonalize it.**