

Open Science & FAIR

Introduction to Data Management Practices course

NBIS DM Team

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<https://nbisweden.github.io/module-open-science-dm-practices/index.html>



Make scientific research and its dissemination
accessible to all levels of society.

- Open methodology
- **Open source**
- **Open data**
- Open access
- Open peer review
- Open educational resources

What do you think are reasons for Open Data?

- Democracy and transparency
 - Publicly funded research data should be accessible to all
 - Published results and conclusions should be possible to check by others
- Research
 - Enables others to combine data, address new questions, and develop new analytical methods
 - Reduce duplication and waste
- Innovation and utilization outside research
 - Public authorities, companies, and private persons outside research can make use of the data
- Citation
 - Citation of data will be a merit for the researcher that produced it



Doing “sloppy” science & not being open and transparent

Waste of resources

Contributing to the current research credibility crisis

Contributing to the current reproducibility crisis

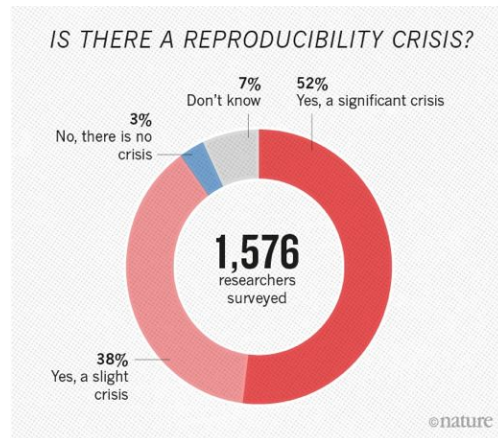
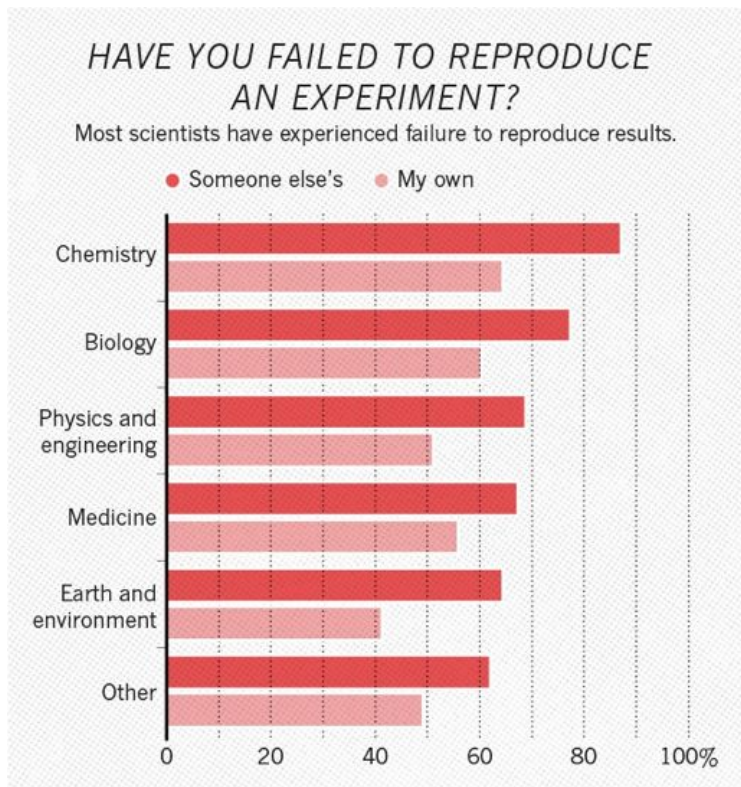
Harming the profession

Harming public trust in research

My take of material by Rochelle Tractenberg “[Unexpected Ethical Challenges in Bioinformatics and Genomics.](#)”

Do you think we have a **credibility** and/or
reproducibility crisis?

If so, what are some of its causes?

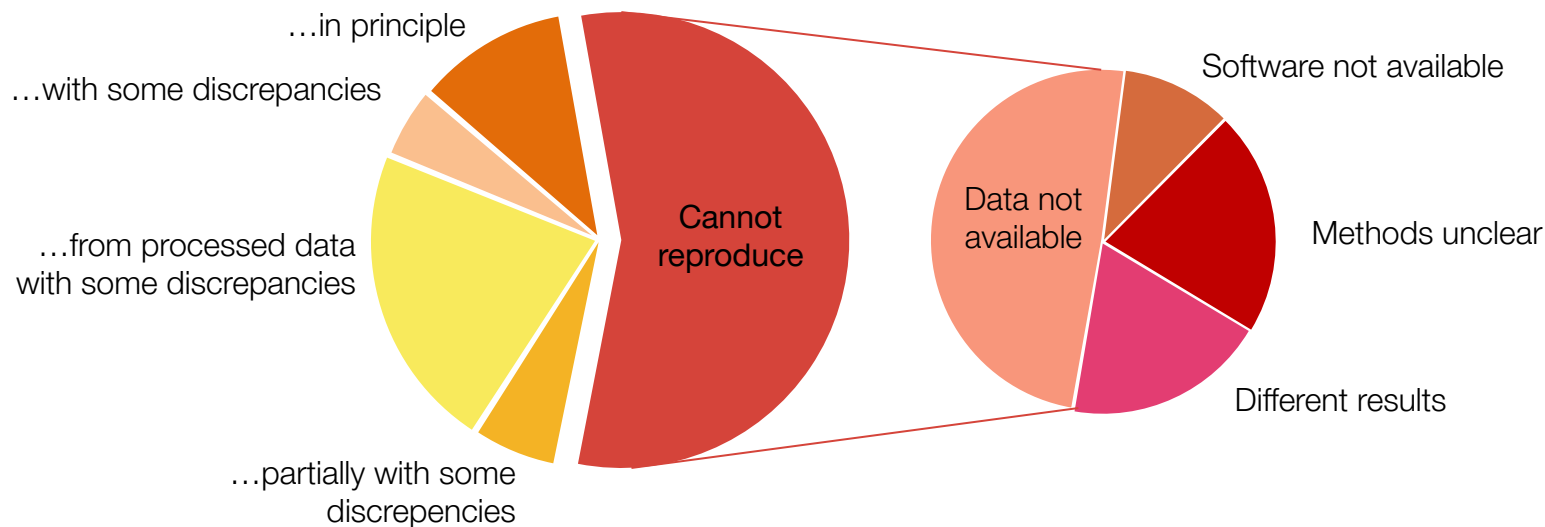


[1] "1,500 scientists lift the lid on reproducibility". Nature. 533: 452–454

[2] Begley, C. G.; Ellis, L. M. (2012). "Drug development: Raise standards for preclinical cancer research". Nature. 483 (7391): 531–533.

Reproduction of data analyses in 18 articles on microarray-based gene expression profiling published in Nature Genetics in 2005–2006:

Can reproduce...



Summary of the efforts to replicate the published analyses.

Adopted from: Ioannidis et al. Repeatability of published microarray gene expression analyses.

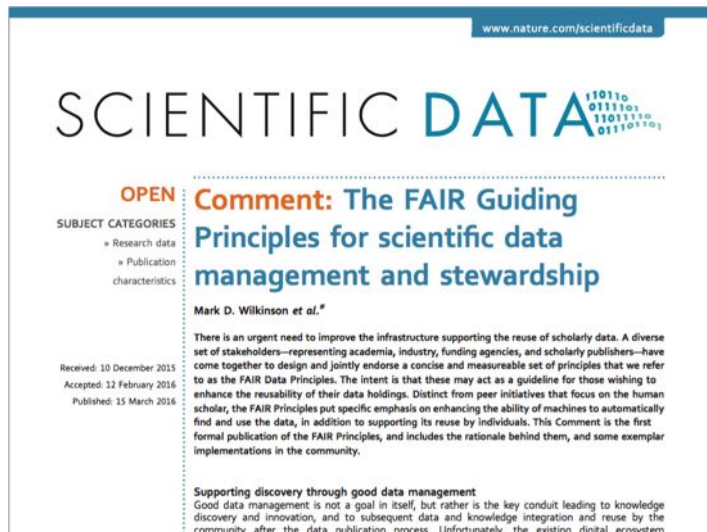
Nature Genetics 41 (2009) doi:10.1038/ng.295



<https://www.youtube.com/watch?v=N2zK3sAtr-4>

- To be useful for others data should be
 - **FAIR** - Findable, Accessible, Interoperable, and Reusable
... for both Machines and Humans

Wilkinson, Mark et al. “The FAIR Guiding Principles for scientific data management and stewardship”. Scientific Data 3, Article number: 160018 (2016) <http://dx.doi.org/10.1038/sdata.2016.18>



Box 2 | The FAIR Guiding Principles

To be Findable:

- F1. (meta)data are assigned a globally unique and persistent identifier
- F2. data are described with rich metadata (defined by R1 below)
- F3. metadata clearly and explicitly include the identifier of the data it describes
- F4. (meta)data are registered or indexed in a searchable resource

To be Accessible:

- A1. (meta)data are retrievable by their identifier using a standardized communications protocol
- A1.1 the protocol is open, free, and universally implementable
- A1.2 the protocol allows for an authentication and authorization procedure, where necessary
- A2. metadata are accessible, even when the data are no longer available

To be Interoperable:

- I1. (meta)data use a formal, accessible, shared, and broadly applicable language for knowledge representation.
- I2. (meta)data use vocabularies that follow FAIR principles
- I3. (meta)data include qualified references to other (meta)data

To be Reusable:

- R1. (meta)data are richly described with a plurality of accurate and relevant attributes
- R1.1. (meta)data are released with a clear and accessible data usage license
- R1.2. (meta)data are associated with detailed provenance
- R1.3. (meta)data meet domain-relevant community standards

- Data have a **globally unique persistent identifier**
 - *e.g. a DOI, database accession number, etc*
- Data are described by **metadata**
 - *Information that explains the data*
- Data and metadata are findable in a **search resource**
 - *There must be ways of searching for the data*

- Data is retrievable through a **standardised communication protocol** (open, free, allowing authentication & authorisation where necessary)
 - *e.g. http, sftp, etc*
- Metadata are accessible, **even if data is no longer available**
 - *Information about the data can be found even if data is no longer available*

- Metadata use a formal, accessible, shared **language for knowledge representation**
 - *Metadata is available in a form that even a computer can make use of*
- Metadata use **vocabularies** that follow the FAIR principles
 - *Standardised ways of capturing information about the data (that are in themselves FAIR)*
- Metadata include qualified **references** to other metadata
 - *If the data relies on other data, there must be links to those*

- Data have a clear **data usage license**
 - *It is obvious under what conditions the data can be reused*
- Metadata are associated with **detailed provenance**
 - *The metadata is detailed enough to understand for what research questions it is relevant to reuse*
- Metadata meet domain-relevant community **standards**
 - *Metadata is described according to existing standards in the research field*

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- Both humans and machines are intended users of data
 - The principles are not necessarily about *open* data
 - “As open as possible, as closed as necessary”
 - FAIRness is not something absolute
 - Different levels of FAIR maturity
 - FAIR does not enforce any particular technical standards

FAIR at source?



Retroactively?

- **Data Management Plans**, to do your thinking ahead of time
- **Using standard metadata descriptions**, to clearly define your data
- **Organising your analysis**, so you and others can understand what you have done
- **Use versioning control** to keep track of changes you do
- **Clean up metadata and data** to be consistent with the standards you have chosen
- **Submit your data to international public repositories**, so others can find and reuse your data
- **Use scripted analysis of your data**, that can be understood by others

What data management practices do you apply in your research projects today?

	Ad Hoc	One-Time	Active and Informative	Optimized for Re-Use
Planning your project	When it comes to my data, I have a "way of doing things" but no standard or documented plans.	I create some formal plans about how I will manage my data, but I generally don't refer back to them.	I develop detailed plans about how I will manage my data that I actively revisit and revise over the course of a project.	I design my plans for managing data to streamline future use by myself or others.
Organizing your data	I don't follow a consistent approach for keeping my data organized, so it often takes time to find things.	I have an approach for organizing my data, but I only put it into action after my project is complete.	I have an approach for organizing my data that I implement prospectively, but it not necessarily standardized.	I organize my data to the so that others can navigate, understand, and use it without me being present.
Saving and backing up your data	I decide what data is important while I am working on it and typically save it in a single location.	I know what data needs to be saved and I back it up after I'm done working on it to reduce the risk of loss.	I have a system for regularly saving important data while I am working on it. I have multiple backups.	I save my data in a manner and location designed maximize opportunities for re-use by myself and others.
Getting your data ready for analysis	I don't have a standardized or well documented process for preparing my data for analysis.	I have thought about how I will need to prepare my data, but I handle each case in a different manner.	My process for preparing data is standardized and well documented.	I prepare my data in such a way as to facilitate use by both myself and others in the future.
Analyzing your data and handling the outputs	I often have to redo my analyses or examine their products to determine what procedures or parameters were applied.	After I finish my analysis, I document the specific parameters, procedures, and protocols applied.	I regularly report the specifics of both my analysis workflow and decision making process while I am analyzing my data.	I have ensured that the specifics of my analysis workflow and decision making process can be put into action by others.
Sharing and publishing your data	I share the results of my research, but generally I do not share the underlying data.	I share my my data only when I'm required to do so or in response to direct requests from other researchers.	I regularly share the data that underlies my results and conclusions in a form that enables use by others.	Because of my excellent data management practices, I am able to efficiently share my data whenever I need to with whomever I need to.

Borghi, J. (2018). Support your Data. University of California Curation Center (UC3).

<https://uc3.cdlib.org/2018/01/11/support-your-data/>

- Policymakers are **pushing for research data to be made available** as openly as possible
- Big investments are being made in **infrastructure and skills for data sharing and reuse**
- Some motivating factors
 - Democratic principles
 - Good research practices
 - Societal and academic impact

Swedish Research Bill 2021–2024*

“ [...] *research data shall be made accessible as **open as possible and as closed as necessary***”

* Our translation from Swedish

The EU's Open Science policy

“ **FAIR [...] open data sharing should become the default for the results of EU-funded scientific research**”



EUROPEAN OPEN
SCIENCE CLOUD



SND
Swedish National Data Service

- Strong international movement towards Open Science
- European Commission recommended the member states to establish national guidelines for Open Access
 - Swedish Research Council (VR) submitted proposal to the government Jan 2015
- Research bill 2017–2020 – 28 Nov 2016
 - “*The aim of the government is that all scientific publications that are the result of publicly funded research should be openly accessible as soon as they are published. Likewise, **research data** underlying scientific publications should be **openly accessible** at the time of publication.*” [my translation]
- 2018 – VR assigned by the government to coordinate national efforts to implement open access to research data



G20 HANGZHOU SUMMIT

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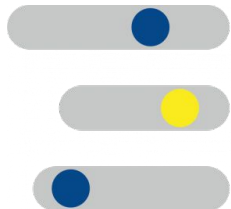
'We support appropriate efforts to promote open science and facilitate appropriate access to publicly funded research results on findable, accessible, interoperable and reusable (FAIR)'





EUROPEAN OPEN SCIENCE CLOUD

The EOSC will offer 1.7 million European **researchers** and 70 million professionals in science, technology, the humanities and social sciences a virtual environment with **open and seamless services for storage, management, analysis and re-use of research data**, across borders and scientific disciplines by federating existing scientific data infrastructures, currently dispersed across disciplines and the EU Member States.



EOSCFAIR
Executive Board Working Group

- [Directive \(EU\) 2019/1024](#) of the European Parliament and of the Council of 20 June 2019 on open data and the re-use of public sector information
- To be implemented into national member state laws

*"EU countries must adopt policies and take action to make **publicly funded research data openly available**, following the principle of ‘**open by default**’ and support the dissemination of research data that are findable, accessible, interoperable and reusable (the ‘**FAIR**’ principles)"*

Funders Data Management Plans Open Data

Vetenskapsrådet, FORMAS, Riksbankens Jubileumsfond

The collage includes several documents:

- Stockholms universitet (KTH):** "Guidelines on managing research data" and "Forskningsdatapolicy". The guidelines state that research data should be managed in accordance with international principles of good research practice and FAIR principles. The policy states that research data should be managed in accordance with the Swedish Freedom of the Press Act (1949:105) and the Swedish Public Access to Information and Secrecy Act (2009:400).
- SUHF (Swedish University Health Forum):** "Rekommendation avseende styrdokument för forskningsdata". This document provides recommendations for research data management and open data policies.
- RIKSTJÄN (Riksbankens Jubileumsfond):** "Riktlinjer för hantering av forskningsdata vid Uppsala universitet". This document provides guidelines for the handling of research data at Uppsala University.
- RIKSTJÄN (Riksbankens Jubileumsfond):** "Riktlinjer för forskningsdokumentation och datahantering vid Karolinska Institutet". This document provides guidelines for research documentation and data handling at Karolinska Institutet.

Universities Research Data Policies

Motivators





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