Optical Techniques FTS Profile Retrieval Processing

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Abstract

This document outlines the pre and post-processing analysis of sfit4, e.g., creation of the spectral database, as well as the profiles for pressure, temperature, and water vapor, processing of sfit4, plotting routines, and HDF creation.

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Contents

1 Introduction

This document describes the step-by-step procedures and computing tools, locations and repositories used by the NCAR OT group to process ground based high resolution spectral data from the sites located at Thule Greenland, Mauna Loa, Hawaii and Boulder Colorado. For simplicity on our side, some of the descriptions self reference items, data locations site specific information and issues that are specific only to these sites. Still any of these issues will likely be seen at any other site and remain included as is since in general, they are integral to the operations of all the codes for spectral data processing. These processing tools can and are meant to be applied to any similar data set on any platform. Ease of implementing these codes will be proportional to the data formatting and directory structures on the implementer computing systems. We operate on linux machines but these have been implemented on Windows and Mac computers.

Note here that several routines will very likely need to be edited by each user as site specific information will need to be input. These include:

Program	code	Purpose
ckopus.c	c	read OPUS files for data extraction and database information
defaultsCkop.py	python	Input file for ckopPy.py
specDBInputFile.py	python	Input file for mkSpecDB.py program
appndSpecDBInputFile.py	python	Input file for appendSpecDB.py
CoadSpecDBInputFile.py	python	Input file for mkCoadSpecDB.py
NCEPinputFile.py	python	Input file for NCEPnmcFormat.py program
stat_input.py	python	Input file for layer 1
setInput.py	python	Input file for pltSet.py
input_HDFCreate.py	python	Input file for HDFCreate.py.py
input_HDFRead.py	python	Input file for pltHDF.py

Table 1: *Codes & input files that need to be edited. Note: there might be more*

Finally the directory structure is integral to the codes such that maintaining the same structure will greatly ease implementation of this processing environment. This is shown in Fig. 1.

2 Pre-Processing

The spectral database and ZPTW (altitude, pressure, temperature, and water vapor) profiles are necessary pre-processing steps to retrievals. The spectral database holds information pertaining to each of the measurements. A spectral database is unique to each site

The majority of information in the spectral database comes from the OPUS file itself; however, we append meteorological data from local weather weather stations.

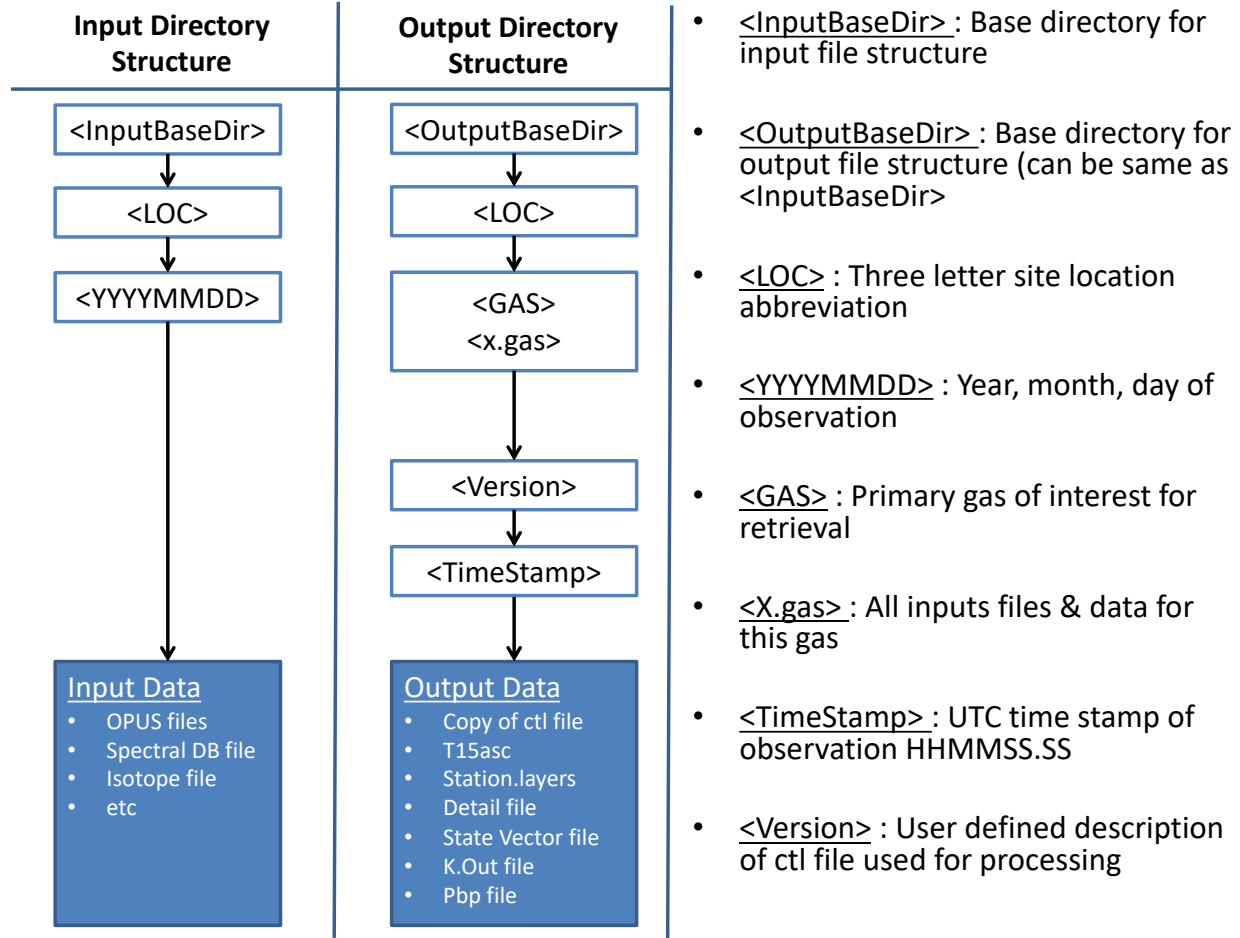| Input Directory Structure	Output Directory Structure	
		• <u>\<InputBaseDir\></u> : Base directory for input file structure
\<InputBaseDir\>	\<OutputBaseDir\>	• <u>\<OutputBaseDir\></u> : Base directory for output file structure (can be same as \<InputBaseDir\>
\<LOC\>	\<LOC\>	• <u>\<LOC\></u> : Three letter site location abbreviation
\<YYYYMMDD\>	\<GAS\> \<x.gas\>	• <u>\<YYYYMMDD\></u> : Year, month, day of observation
	\<Version\>	• <u>\<GAS\></u> : Primary gas of interest for retrieval
	\<TimeStamp\>	• <u>\<X.gas\></u> : All inputs files & data for this gas
<u>Input Data</u> • OPUS files • Spectral DB file • Isotope file • etc	<u>Output Data</u> • Copy of ctl file • T15asc • Station.layers • Detail file • State Vector file • K.Out file • Pbp file	• <u>\<TimeStamp\></u> : UTC time stamp of observation HHMMSS.SS • <u>\<Version\></u> : User defined description of ctl file used for processing

Figure 1: *Directory structure of input and outputs that are employed within this environment.*

There are several steps in creating the spectral database:

1. Creating the initial spectral database
2. Re-formatting the house log data files
3. Re-formatting the external station weather data
4. Appending the initial spectral database with house an external station weather data

Note that not all sites have house or external station weather data.

2.1   Pulling Data

Both the ancillary data as well as the OPUS files need to be downloaded from various sources. The OPUS data is automatically downloaded from MLO and TAB by the program

Station	House Data	External Station Data
MLO	Yes	Yes (CMDL)
TAB	Yes	No
FL0	No	Yes (EOL)

Table 2: *List of OT housekeeping sources.*

pullRemoteData2.py. This program is set on a cron tab to download data everyday. The following table shows where the OPUS data is downloaded to.

Data	Local Storage
MLO	otserver:/ya4/id/mlo/
TAB	otserver:/ya4/id/tab/

Table 3: *List of OT local spectral data storage.*

The supporting data is pulled with a program using wget. The program is pullAncillary-Data.py and is located at: /data/bin/. This program has been setup in cron tab to pull data everyday. The program pullAncillaryData.py gets the following data: NCEP nmc, NCEP I re-analysis, EOL, and CMDL.

ERA-Interim data must be manually pulled through the server data-access.ucar.edu.

The following table shows the local storage of the ancillary data

Data	Local Storage
WACCM	otserver:/data/Campaign/TAB,MLO,FL0/waccm/
NCEP nmc Height	otserver:/data1/ancillary_data/NCEP_NMC/height/
NCEP nmc Temp	otserver:/data1/ancillary_data/NCEP_NMC/temp/
NCEP I Height	otserver:/data1/ancillary_data/NCEPdata/NCEP_hgt/
NCEP I Shum	otserver:/data1/ancillary_data/NCEPdata/NCEP_Shum/
NCEP I Temp	otserver:/data1/ancillary_data/NCEPdata/NCEP_Temp/
NCEP I Trpp	otserver:/data1/ancillary_data/NCEPdata/NCEP_trpp/
ERA-Interim	otserver:/data1/ancillary_data/ERAdata/
EOL	otserver:/data1/ancillary_data/fl0/eol/
CMDL Hourly	otserver:/data1/ancillary_data/mlo/cmdl/Hourly_Data/
CMDL Minute	otserver:/data1/ancillary_data/mlo/cmdl/Minute_Data/

Table 4: *List of OT local reference data storage.*

Both pullRemoteData2.py and pullAncillary-Data.py can be requested but are not part of the pre/post processing python package distribution.

2.2   Initial Quality Check

An initial quality check on the spectrum is done using the IDL program ckop.pro. This program allows the user to look through each individual spectra and discard or keep it. Once this is completed the data should be copied over from /ya4/id/(mlo,tab,fl0) to the directory /data1/(mlo,tab,fl0). To move the spectra a python script called mvSpectra.py is used. The starting and ending date to copy and the location are specified directly in the program under the main function.

Additionally, a GUI written in python has been created. This python script uses a python Class to read opus format. Figure 2 shows a screen shot of the python interface. Site, date, and Path are inputs needed to read spectra, in adddition to an input file (defaultsCkop.py) with general inputs. See Figure 1 to see the input directory structure. Once these input are introduced one can start checking quality of the spectra (press start).
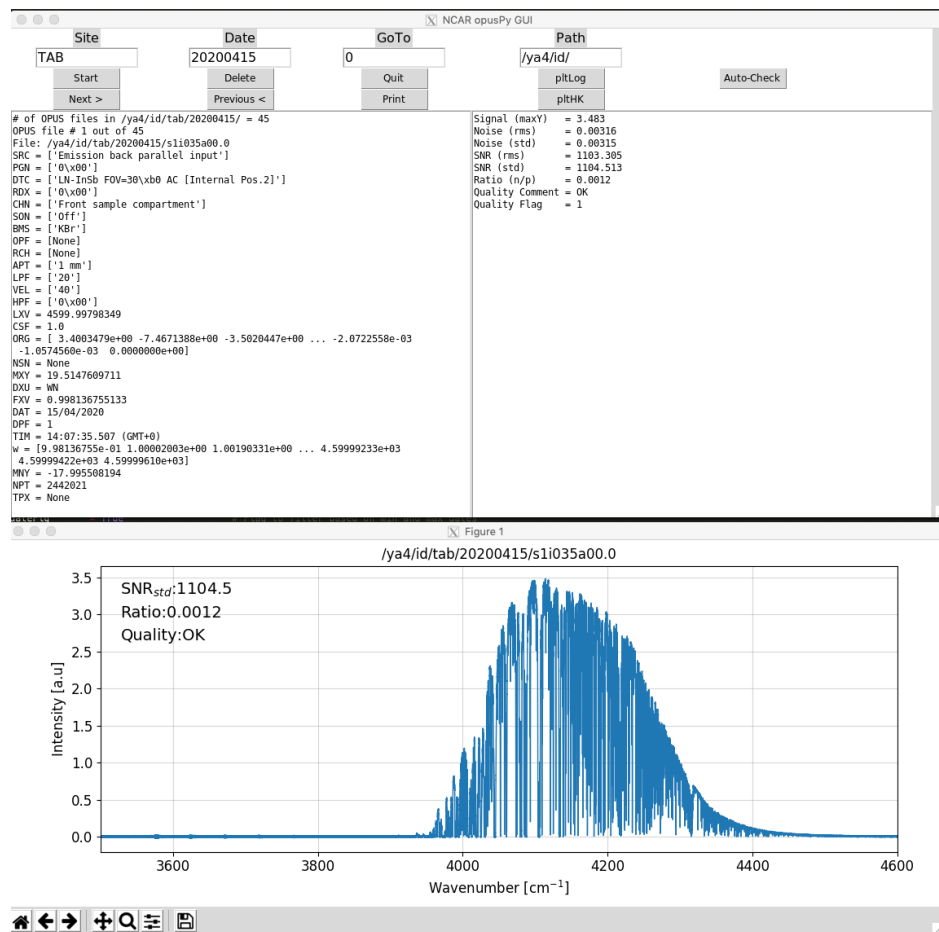

Figure 2: *Screen shot of ckopPy.py.*

Tip: There have been cases where the pullRemoteData2.py script sync the remote spectra in /ya4/id/ after ckopus.pro has been used. In this case, spectra already deleted would be again in the main directory. A program called delSpcdel.py has been created to make sure the deleted spectra are in fact deleted after spectra have been moved to /data1/. This

program will check yearly directories under /data1/mlo(tab,fl0)/ and will remove spectra if found under deleted folders and in the main directory. A log file under /data1/ is created to see if spectra are deleted.

Program	code	Purpose
ckop.pro	IDL	visually check OPUS spectra
opusreader.c	c	same core as ckopus.c, reads OPUS files called from ckop.pro
mvSpectra.py	python	program to move spectra from ya4/id to /data1/
delSpcdel.py	python	program to make sure spectra are deleted
ckopPy.py	python	GUI and visually inspect OPUS spectra (distributed under request)

Table 5: *Quality check codes.*

3   Spectral Database

3.1   Initial Spectral Database

The initial spectral database file is created by running ckopus (ckopus.c) on the various raw OPUS files. A python program is created to manage the creation of the initial spectral database file (mkSpecDB.py). The program will create a new spectral database file or append an already existing file. Associated with mkSpecDB.py is an input file. The input file allows one to specify the starting and ending date to process, the station, and the various directories and files to use. In addition, one can specify additional ckopus flags to use in the ckopus call. There are logical flags which control the creating of a file which list the folders processed and whether bnr files are created. Furthermore, site and dates can be specified using logical flags.

Tip: for most routines you can see flags by typing, e.g.,: » mkSpecDB.py -?

Program	code	Purpose
mkSpecDB.py	python	Main program to create initial spectral database
specDBInputFile.py	python	Editable input file for mkSpecDB.py program
ckopus.c	python	Program to read OPUS files, acquire spectrum details e.g.: date, time, filter id, duration

Table 6: *Initial database creation codes, spectral information extraction.*

The initial spectral databases should be made for individual years. The output files have the names spDB_loc_YYYY.dat. They are space delimited text files. You can either re-write the particular year database file or append it depending if you are processing an entire year or just a portion of it. If you want to append the spectral database file keep the original file in place and just adjust the time interval in the specDBInputFile.py input file. Make sure the start date in the input file is at least a day after the last entry in the spectral database file.

An input file for each site (MLO,FL0,TAB) already exists in each of the Campaign directories (/data/Campaign/(MLO,TAB,FL0)/Spectra_DB/.

Database tag	Description
Filename	OPUS path and filename
Site	3 lettersite name specifier (see constant.c)
SBlock	OPUS data block name e.g.EMIS, with OPUS transmissionsolar spectra
TOffs	Time offset in seconds required for ZPD correction (decimal)
TStamp	UT time of ZPD after UT, misc. and ZPD corrections HHMMSS
Date	UT date of ZPD YYYYMMDD
Time	UT time hh:mm:ss
SNR	Signal-to-noise ratio from stored value in OPUS file
N_Lat	Latitude of observation site, positive north, decimal degrees
W_Lon	Longitude of observation site, positive west, decimal degrees. This should be changed to E_Lon if east is defined positive.
Alt	Altitude of observation site, meters asl
SAzm	Azimuth angle of solar position at ZPD calculated in ckopus positive west of south, decimal degrees. This should be changed to NAzm if north is defined positive.
SZen	Zenith angle of solar position at ZPD calculated in ckopus, decimal degrees
ROE	Radius of Earth at SAzm kilometers
Dur	Total integration time of observation seconds
Reso	Spectral resolution of spectrum as calculated in OPUS
Apd	Apodization function applied to spectrum in block SBlock by OPUS
FOV	Full field of view of spectrum using aperture and fore optic focal length milliradians
LWN	Low wavenumber in spectrum in block SBlock cm^{-1}
HWN	High wavenumber in spectrum in block SBlock cm^{-1}
Flt	Filter ID code from OPU via ckopus.c:filterid() 1 char
MaxY	Maximum spectral point value in block SBlock
MinY	Minimum spectral point value in block SBlock
FLSCN	Number of requested scans
EXSCN	Number of recorded scans
GFW	Number of good forward scans
GBW	Number of good backward scans
HouseTemp	External local temperature at time of observation from housekeeping datastream $°C$
HousePres	Local barometric pressure at time of observation from housekeeping datastream millibar
HouseRH	Local relative humidity at time of observation from housekeeping datastream %

Database tag	Description (continued)
Ext_Solar_Sens	Local solar intensity arbitrary volts
Quad_Sens	Solar intensity on guider quad sensor arbitrary volts
Det_Intern_T_Swtch	Detector Si temperature switch state volts
ExtStatTemp	External local temperature at time of observation from other source $°C$
ExtStatPres	Local barometric pressure at time of observation from other source millibar
ExtStatRH	Local relative humidity at time of observation from other source %

Table 7: *List and description of database tags, this list is easily extensible by users and order is not required for subsequent searches. These are from TAB.*

3.1.1   Edit ckopus.c

This program likely needs to be edited. Your site needs to be added to the structure in constant.c. The extraction of the filter identification ID code from the OPUS filter string is not standard. You will need to edit the read format to store the ID code variable (function filterid()). There are a few options for determining the optimal ZPD time for the solar zenith depending on the type and era of the Bruker instrument. Likely your instrument falls into one of the categories choosable on the commandline. You can see how these are computed in the document *Opus_Timestamp.docx* in the sfit-ckopus distribution. If needed, you can edit function starttime().

3.2   House Data

House data is data that is recorded by the FTS autonomous system, such as outside temperature, pressure, wind direction, etc. The format of this data has changed for each station over time as the instrument gets modified or upgraded. A python program (station_house_reader.py) is created to read the various formats and create a standardized file. There is one file for each year. There are no input files for the station_house_reader.py program. The time range, station identifier, and directories are specified directly in the program under the main function. An excel spreadsheet describes the various formats for the house log files for MLO and TAB.

These programs are located in the ExternalData folder of the git repository.

3.3   External Station Data

There are currently two external station data sources used (EOL for FL0, and CMDL for MLO) only the EOL data needs to be pre-processed. The original format of this data is in netcdf files. The program read_FL0_EOL_data.py reads the daily netcdf files and creates a yearly text file. There are no input files for read_FL0_EOL_data.py program. The year of

Program	Code	Purpose
station_house_reader.py	python	Main program to read house data files
HouseReaderC.py	python	Supporting program with formats of previous house data files
HouseDataLog.xlsx	Excel	Spreadsheet file with format of house log files

Table 8: *Second part of database creation codes, housekeeping data gathering.*

interest and directories of data are specified directly in the program under the main function. The program pullAncillaryData.py pulls the CMDL and EOL data from each individual ftp site.

Program	Code	Purpose
pullAncillaryData.py	python	Program to automatically pull EOL and CMDL data
read_FL0_EOL_data.py	python	Main program to read EOL and CMDL data

Table 9: *Third part of database creation codes, external data gathering.*

These programs are located in the ExternalData folder of the git repository. These may be edited or used as templated for a variety of external data extraction.

3.4 Append Spectral Database File

One can now appending the initial spectral database file with the house and external station weather data. A python program was created to accomplish this (appendSpecDB.py). The program appendSpecDB.py reads in the initial spectral database file. It then searches the house and external station files for weather data at the time of observation, plus a certain number of minutes specified by the user. The mean of the data collected is calculated and a new spectral database file is created. If no data is present missing values are used. Associated with appendSpecDB.py is an input file. The input file allows one to specify directories and files, year to process, station, how many minutes to use for averaging, and whether to create a comma separated or pre-specified formatted new spectral database file.

One should remove previous spectral database files (HRspDB_loc_YYYY.dat) from the output location before creating new appended spectral database files.

Each site already has an input file located in their Campaign directory.

Note:A warning message will often appear when running this program originating from the python numpy module. This warning is a result of numpy taking the mean of an empty array. This is handled by the main program.

The sfit4Layer1 processing looks for a database file with all years. Once you create a year appended spectral database file you should copy or append this to the file which contains

Program	Code	Purpose
appendSpecDB.py	python	Program to create the append spectral database file
appndSpecDBInputFile.py	python	Editable input file for appendSpecDB.py

Table 10: *Appending data to database.*

all years processed. For example say you have processed 2010 to 2014 and have a spectral database file called HRspDB_fl0_2010_2014.dat. You then create an and appended spectral database file for 2015 called HRspDB_fl0_2015.dat. You should append the contents of this file to the HRspDB_fl0_2010_2014.dat file and rename to HRspDB_fl0_2010_2015.dat. Take care not to include the header of the individual year file. There should only be one header at the top in the conglomerated file.

3.5   Coadd Spectral Database File

When the spectral data is to be co-added an additional step must be taken after the creation of the appended spectral database. The program mkCoadSpecDB.py co-adds two bnr files together with the appropriate forward and backward scans. A new coadded bnr file is created. The program mkCoadSpecDB.py calls the C program coadd.c to co-add the files. The program mkCoadSpecDB.py requires an input file.

The mkCoadSpecDB.py program reads in a HRspDB_loc_YYYY.dat data file and creates a CoaddspDB_loc_YYYY.dat output file. One can either run the co-add program on a conglomerated spectral database file (e.g. HRspDB_fl0_2010_2014.dat) or on individual year file (e.g. HRspDB_fl0_2014.dat) and then conglomerate all the years. When running the co-add program all previous output files with the same name should be removed.

Program	Code	Purpose
mkCoadSpecDB.py	python	Main program to create coadded spectral database
CoadSpecDBInputFile.py	python	Input file for mkCoadSpecDB.py

Table 11: *Codes to manage a database of coadded spectra.*

4   ZPTW Profiles

The pressure, temperature, and water vapor profiles can be created from several outside sources. Temperature and pressure profiles are taken from NCEP nmc data; while currently only water profiles are taken from NCEP I and ERA-Interim re-analysis data. Both NCEP and ERA-Interim data are interpolated with WACCM data to reach 120km vertical height. The profiles are daily averages and they reside in the data directories (/data1/tab,mlo,fl0/).

The following is a table showing the various reference profiles, their sources, along with the associated file names.

Profile Type	Source	File Name
Temperature	NCEP nmc	ZPT.nmc.120
Pressure	NCEP nmc	ZPT.nmc.120
Water Vapor	WACCM	w-120.v1
Water Vapor	NCEP I	w-120.v3
Water Vapor	ERA-Interim	w-120.v4
Water Vapor	ERA-Interim-6h	w-120.YYYYMMDD.HH0000.v66
Water Vapor	Retrieved	w-120.YYYYMMDD.HHMMSS.v99
Water Vapor	Retrieved Daily	w-120.v5

Table 12: *Reference profiles and sources.*

The following table shows the various local and remote sources for the data.

Data	Source
WACCM	Local (otserver:/data/Campaign/TAB,MLO,FL0/waccm/
NCEP nmc	ftp://ftp.cpc.ncep.noaa.gov/ndacc/ncep/
NCEP I re-analysis	ftp://ftp.cdc.noaa.gov/Datasets/ncep.reanalysis.dailyavgs/
ERA-Interim re-analysis (old)	/glade/p/rda/data/ds627.0/ei.oper.an.pl/
ERA-Interim re-analysis	/glade/collections/rda/data/ds627.0/ei.oper.an.pl/

Table 13: *Reference profiles web sources.*

4.1 Pressure & Temperature Profiles

Pressure and temperature profiles in the ZPT.nmc.120 files come from NCEP nmc data. The NCEP nmc data is vertically interpolated with WACCM data to reach 120km. In the event that the NCEP NMC data is not available for a particular day, the WACCM data is substituted.

The NCEP nmc data must first be formatted. This is done using the program NCEPnmc-Format.py.

After formating the NCEP nmc data one can create the altitude, pressure, and temperature profiles using the program MergPrf.py. This program also creates water profiles from WACCM data (v1).

Program	Code	Purpose
NCEPnmcFormat.py	python	Program to format the NCEP nmc data
NCEPinputFile.py	python	Editable input file for NCEPnmcFormat.py program
MergPrf.py	python	Main program to create ZPT and water files from WACCM data
mergprfInput.py	python	Input file for MergPrf.py program

Table 14: *Codes to manage pressure & temperature reference files.*

4.2 NCEP I & ERA Interim Water Profiles

The ERA-Interim daily profiles are calculated from 6 hourly data. Both the 6 hourly and daily data for profiles are created (see the ZPTW table above). The ERA-Interim data is housed locally at NCAR in the CISL Research Data Archive. There is a three month lag between the current date and when the data becomes available. The data is hosted on /glade/ and can be accessed through the data-access.ucar.edu server. The data can be found at: /glade/collections/rda/data/ds627.0/ei.oper.an.pl/ (old:/glade/p/rda/data/ds627.0/ei.oper.an.pl/). For more information about ERA-I see: `https://rda.ucar.edu/datasets/ds627.0/#!description`. The following steps maight be used to pre-process the data:

1. Copy over the data from glade. Internal NCAR users may use the Globus Transferring files with the web interface. Click here for Directions.

2. Convert GRIB format files to NetCDF files using cnvrtNC.py

3. Create water profiles using ERAwaterPrf.py

The NCEP I re-analysis data are already daily averages. The grid resolution of NCEP I is less than ERA-interim. In addition ERA-Interim assimilates GPS occultation data. It is preferable to use ERA-Interim over NCEP I. The program to create water profiles from NCEP I data is NCEPwaterPrf.py.

Program	Code	Purpose
cnvrtNC.py	python	Program to convert ERA-Interim GRIB files to NetCDF files
ERAwaterPrf.py	python	Program to extract daily averaged and 6h water profiles from ERA-Interim
NCEPwaterPrf.py	python	Program to create daily water profiles from NCEP I

Table 15: *Codes to manage water vapor reference files.*

4.3   Retrieved Water Profiles

For all sites (MLO,TAB, and FL0) water is retrieved when available. This water can be used as a prior for other retrievals. The program retWaterPrf.py creates w-120.YYYY MMDD.HHMMSS.v99 for each retrieval. These files are stored in the data directories (/data1/tab,mlo,fl0/). A daily average of these profiles can be created using the program retWaterPrfDaily.py. These daily averages are also stored in the main data directories (/data1/tab,mlo,fl0/).

Program	Code	Purpose
retWaterPrf.py	python	Program to create water profiles from water retrieval
retWaterPrfDaily.py	python	Program to create daily average profiles from water retrievals

Table 16: *Codes to manage retrieved water vapor and for new reference files.*

4.4   Steps for Pre-Processing

1. Download OPUS and ancillary data (This is done automatically)
2. Check OPUS spectra
3. Copy spectra from /ya4/id/(mlo,tab,fl0) to /data1/(mlo,tab,fl0)
4. Create initial database
5. Format house data
6. Format external station data
7. Create appended spectral database
8. Create co-added spectral database file if necessary
9. Create Altitude, Pressure, and Temperature profiles (ZPT.nmc.120)
10. Create water profiles (v1,v2,v3,v4,v5,v99)

5   Processing

5.1   Layer0

The purpose of Layer0 is to run a single retrieval. The program sfit4Layer0.py runs layer 0. This program is called with command line arguments. There is no input file.

Program	Code	Purpose
sfit4Layer0.py	python	Program to run layer 0 using command line arguments

Table 17: *Base layer for sfit4.*

Running sfit4Layer0.py -? will yield this:

-i <dir> Data directory. Optional: default is current working directory
-f <str> Run Flags: Necessary: h = hbin, p = pspec, s = sfit4, e = error analysis, c = clean
-b <dir/str> Binary sfit directory. Optional: default is hard-coded in main(). Also accepts
v1, v2, etc.

The Binary sfit directory is hard-coded in sfit4Layer0.py

5.2   Layer1

The purpose of Layer1 is to batch process multiple or many retrievals. Layer1 requires an
input file to specify retrieval options such as date range, input/output directory, etc. In
addition, from Layer1 a user can create plots of an individual retrieval. The layer one pro-
cessing environment serves to do the following:

- Create a directory structure to organize the output data
- Generate the necessary input files to run SFIT core code
- Execute the SFIT core code
- Conduct error analysis on output

Figure 3 shows the input/output flow control for layer 1 processing.

Program	Code	Purpose
sfit4Layer1.py	python	Program to run layer 1
stat_input.py	python	Editable input file for layer 1
mkListFile.py	python	Program to create list file from retrieval set (for IDL)

Table 18: *Next multi-spectral process layer for sfit4.*

Running sfit4Layer0.py -? will yield this:

-i <file> : Flag to specify input file for Layer 1 processing. <file> is full path and filename
of input file
-l : Flag to create log files of processing. Path to write log files is specified in input file
-L <0/1> : Flag to create output list file. Path to write list files is specified in input file
-P <int> : Pause run starting at run number <int>. <int> is an integer to start processing
at -d <20190101> or <20190101_20191231> : Date or Date range
-d is optional and if used these dates will overwrite dates in input file for Layer 1 processing
-? : Show all flags

5.3   Implementing error analysis

If running error analysis through Layer 1, the errFlg flags needs to be chose inside the input
layer 1 file. Additionally, the Kb needs to be True in the sfit4 control file. Below are some
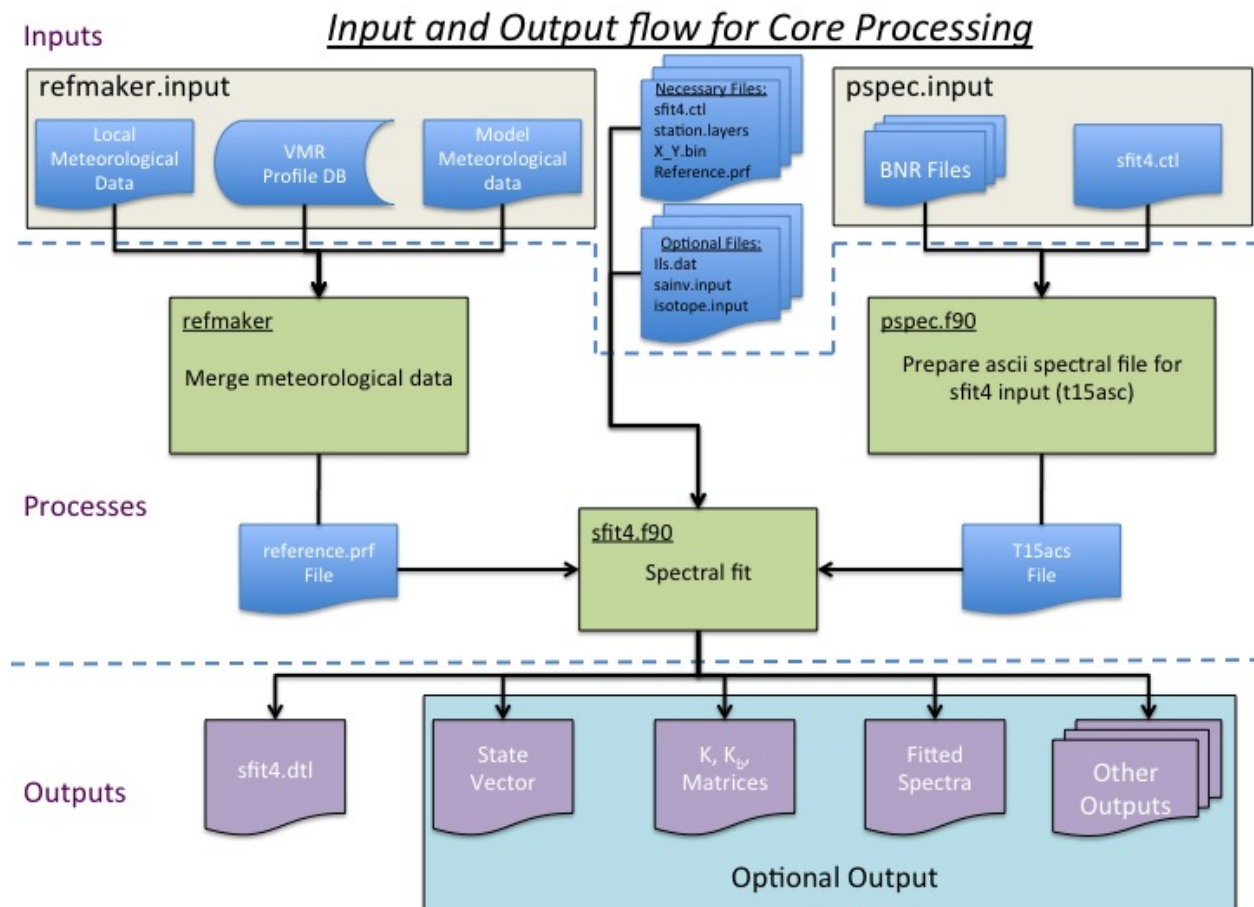
Figure 3: *A visual representation of the processing flow.*

notes from the latest python package release.

1. The traditional single sb.ctl for each gas is not implemented. Instead, a single sb control file is used for all gases.
2. For a harmonized IRWG error calculation, in particular spectroscopy uncertainties, there is a default control file that the sfit4 development team has been created. We suggests to use this file.
3. this file can be found in the Layer1 folder (called sbDefaults.ctl)
4. To run error calculation the path to this file needs to be defined in the sfit4.ctl file as "file.in.sbdflt".

6 Post-Processing

6.1 Plotting

One can plot individual retrievals or an entire set of retrievals. There are no filtering options for a single retrieval; however, for a set of retrieval there are multiple parameters that one can filter on such as RMS, DOFs, date, etc. The program pltRet.py creates plots for a single retrieval and only requires command line arguments. Using the option pltRet.py -S would save plots into a pdf file. The program pltSet.py plots an entire set of retrievals and requires an input file (setInput.py).

Program	Code	Purpose
pltRet.py	python	Program to plot individual retrieval using command line arguments. Check pltRet.py -? for options
pltSet.py	python	Program to plot multiple retrievals using an input file
setInput.py	python	Editable input file for pltSet.py

Table 19: *Plotting codes for sfit4 output retrievals.*

6.2 HDF Creation

This section contains a description of the steps we currently follow to create and upload HDF files. The python files needed are located in both (1) otserver (NCAR): /data/pbin & (2) git repositories.

6.2.1 General description of HDF creation

In order to archive retrievals of NDACC gases one must create the GEOMS complient HDF files (Click here for more details: Fourier Transform Infrared Spectroscopy (FTIR) template description). Shortly, the following are a list of files used in the creation of the HDF files:

- hdfBaseRetDat.py
- hdfCrtFile.py
- hdfInitData.py
- HDFCreate.py
- hdfsave.py. This python module contains meta-data and currently is site specific, i.e., for TAB we use hdfsaveTAB.py; for MLO we use hdfsaveMLO.py; for FL0 we use hdfsaveFL0.py). This file is defined in the input_HDFCreate.py (see below)
- input_HDFCreate.py

The `input_HDFCreate.py` is the only python file that needs to be modified accordingly. The input file contains explicit parameters used to create HDF files. Example of input parameters are initial and final dates, location, name of the gas, version name, and ctl file, among others such as logical flags, e.g., error analysis and filter flags for rms, dof, etc. In addition, the python file name with meta data can be added here (optional), otherwise it will try to upload a "hdfsaveLOC.py" where LOC is the three letter identification, for example

"TAB/MLO/FL0". To create HDF files use the following syntax:

```
» python HDFCreate.py -i input_HDFCreate.py
```

This script will create a folder called `HDF_version` where version is the name of the folder (or version) use in the input file. Inside this folder yearly HDF files will be created.

If the global and variable attributes change the files `hdfsaveTAB(MLO,FLO).py` might need modification. In addition, if other site implements this approach the `HDFCreate.py` file needs to be modified to include the correct `hdfsave` file. Here is a short description of main python files:

- `input_HDFCreate.py` – Input file for `HDFCreate.py`. Note that python syntax needs to be followed.

- `HDFCreate.py` – This is the main python file, which calls the HDFsave object and create an HDF files. From here the inputs are read, and call main function/classes to write the HDF file, whether to write the file using single or double precision, and whether to write an HDF4 or HDF5 file (IRWG / GEOMS are single precision). For now HDF4 is used but HDF5 is also possible but not implemented (it is commented out).

- `hdfsave.py` – This file contains all the global and variable attributes or meta-data for each site. The actual modules used are `hdfsaveTAB.py`, `hdfsaveMLO.py`, and `hdfsaveFLO.py` for TAB, MLO, and FL0 respectively.

- `hdfInitData.py` – This file is the interface between your data and the HDF file. Everyone has data in a specific format so you will need to define a function that takes that data from that format and fills the appropriate class attributes. Currently there are three example interfaces in this file:

  - initIDL – This interface takes data in from an IDL save file. Note the IDL save file has a specific structure. This interface might be implemented in the future.

  - initPy – This interface can take data using python functions. This interface has not been developed. Currently, this is the only interface working.

  - initDummy – This is a dummy interface which will create dummy (FillValue) data to go into the HDF file.

Currently, the approach described above implements only the python interface.

Note: The latitude, longitude, and solar azimuth are taken from the spectral data base. In the data base the longitude is define as positive West and the Solar azimuth is defined as zero South and positive vales to West South. The latest GEOMS version defines North as zero and longitude is positive east. Hence, the conversion has been adapted in the creation of HDF files.

6.3   Plotting HDF Files

One can plot a set of HDF files. The needed python files to plot HDF are in the HDFread folder. The program `pltHDF.py` creates plots for a single or multiple years as specified in the input file. The program `pltHDF.py` requires an input file (`input_HDFRead.py`).

Program	Code	Purpose
pltHDF.py	python	Program to HDF files
input_HDFRead.py	python	Editable input file for pltHDF.py
HDFClassRead.py	python	class for HDF plots

Table 20: *HDF creation codes for sfit4 output.*

The inputs and flags needed in `input_HDFRead.py` are self explanatory. To create plots using HDF files use the following syntax:

```
» python pltHDF.py -i input_HDFRead.py
```

6.4   Data Upload to Archive - NCEP-NOAA

The NOAA system uses remote system's IP number to authenticate upload privilege. The following steps can only be accomplished from a machine with its IP number already registered with us. In this case, the otserver.

Here are the steps to follow using the FTP command line:

1. Establish a ftp connection to system ftp.hq.ncep.noaa.gov.

   ```
   > ftp ftp.hq.ncep.noaa.gov <Enter>
   ```

2. Log in using username "anonymous" (without the quotes) and your email address as password

3. Change working directory to "/pub/incoming/ndacc" with the following command:
   ```
   > cd /pub/incoming/ndacc <Enter>
   ```

4. Set the file transfer mode according to what kind of file you are going to upload.

   AMES formatted file: set transfer mode to ASCII/TEXT HDF formatted file: set transfer mode to BINARY

   ```
   > bin <Enter>
   ```

5. Navigate to the local directory (otserver) that contains the HDF files:

   > `lcd <directory> <Enter>`

6. upload files.

   To upload one file use put. Example:

   > `put myfile.hdf <Enter>`

   To upload many files use mput. Example:

   > `mput *.hdf <Enter>`

7. End ftp session after all files have been uploaded.

   > `quit <Enter>`

NOTE: The ingest into the database takes place very night (midnight). At that time an email will be sent to the contact emails in the data file. It will tell if the files were uploaded correctly.

Questions about data upload? Contact:
Roger Lin <roger.lin@noaa.gov>
Jeannette Wild <Jeannette.Wild@noaa.gov>

6.5   A note on writing data to HDF file

First, a brief description of the difference between row-major (column is fastest running index) and column-major (row is the fastest running index):

Row-major and column-major are methods for storing multidimensional arrays in linear memory. For example, the C language follows row-major convention such that a 2x3 C matrix:

$$C[2,3] = \begin{pmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \end{pmatrix}$$

will be written into linear memory such as: 1,2,3,4,5,6. The rows are written contiguously. The columns are the fastest running index.

In Fortran, a 2x3 matrix:

$$F[2,3] = \begin{pmatrix} 1 & 2 & 3 \\ 4 & 5 & 6 \end{pmatrix}$$

will be written into linear memory such as: 1,4,2,5,3,6. The columns are written contiguously. The rows are the fastest running index.

How does this translate to higher level dimensions?

For column-major convention (Fortran) the fastest running index is furthest left index. For row-major convention (C) the fastest running index is the furthest right index.

What does this mean for writing to HDF?

HDF uses C storage conventions. It assumes row-major (or the column is the fastest running index). The HDF read and write codes also ensure that the fastest running index is consistent no matter which program (Fortran or C) reads/writes the data. This has implications if you are writing to an HDF file using the Fortran wrapper. From the HDF documentation:

"When a Fortran application describes a dataspace to store an array as A(20,100), it specifies the value of the first dimension to be 20 and the second to be 100. Since Fortran stores data by columns, the first-listed dimension with the value 20 is the fastest-changing dimension and the last-listed dimension with the value 100 is the slowest-changing. In order to adhere to the HDF5 storage convention, the HDF5 Fortran wrapper transposes dimensions, so the first dimension becomes the last. The dataspace dimensions stored in the file will be 100,20 instead of 20,100 in order to correctly describe the Fortran data that is stored in 100 columns, each containing 20 elements."

The Fortran wrapper transposes the matrix before it is written to HDF. So the Fortran matrix:

$$F[3,2] = \begin{pmatrix} 1 & 4 \\ 2 & 5 \\ 3 & 6 \end{pmatrix}$$

Is written to the HDF file as:

$$\begin{matrix} 1 & 2 & 3 \\ 4 & 5 & 6 \end{matrix}$$

If read using the C wrapper the matrix would look like:

$$\begin{matrix} 1 & 2 & 3 \\ 4 & 5 & 6 \end{matrix}$$

This makes the values in the fastest running index consistent between Fortran and C. For Fortran the fastest running index are the row (1,2,3) (4,5,6) and for C the fastest running

index is the column (1,2,3) (4,5,6). Transposing the matrix before writing and after reading in the Fortran wrapper ensures that the same values are in the fastest running index for Fortran as in C, even though these are different indices in terms of math matrix.

The higher-level scripting languages such as Python and IDL use the C set wrappers, so this is not an issue for them; however, if you are using Matlab to write the data this WILL be an issue. Matlab follows column-major convention (or the rows are the fastest running index). See Matlab documentation.

In terms of NDACC GEOMS HDF files this can be an issue for the averaging kernel (AVK) since this matrix is square. The standard for the GEOMS format is that the columns of the AVK (as described in Rodgers, 2000 pg) should be the fastest running index.

So, if you are using column-major (Fortran, Matlab) the dimensions of your AVK when you write to HDF should be:

$AVK[layer\_Index, Kernel\_Index, Datetime\_Index]$

If you are using row-major (C, Python, IDL) the dimensions of your AVK when you write to the HDF should be:

$AVK[Datetime\_Index, Kernel\_Index, layer\_Index]$

If you have any doubt about how your data is written download either HDF-View or Panalopy or use HDP to view the HDF file you have written. These use C libraries to read the data. When you view the AVK with these programs it should have the following dimensions: $[datetime, kernel, altitude]$.

7 Program List

The following is a list of programs along with a description.

7.1 Pre-Processing

7.2 Spectral Database

- mkSpecDB.py

    - *Description:* This is the main program to create the spectral database. This program will append a spectral database if one already exists or create a new file. The spectral databases are text files space deliminated. The program looks for .bnr files in the date directories for a given input directory and runs ckopus. The output from ckopus is used to create the initial database. One must have a working version of ckopus.c on their computer in order to create the database. A seperate database is created for each year. This program uses an input file.

- – *Dependencies:* This program requires ckopus.c and an input file (specDBInput-File.py).

  – *Invocation:* mkSpecDB.py -i specDBinputFile.py

  – *Output:* Initial spectral database file. Current location of spectral databases: /data/Campaign/(MLO,TAB)/Spectral_DB/

- station_house_reader.py

  – *Description:* This program reformats the house data log files from MLO and TAB into a single format. The inputs are specified directly in the program file. Even though one can specify a start and stop year, this program should be run for only one year at a time.

  – *Dependencies:* This program requires HouseReaderC.py which tells the program how to read the various formats of the MLO and TAB house data.

  – *Invocation:* station_house_reader.py

  – *Output:* Reformated house log file data. Current directory of reformated house log data: /data/Campaign/(MLO,TAB)/House_Log_Files/

- HouseReaderC.py

  – *Description:* This program contains the formats for MLO and TAB house log files.

  – *Dependencies:* None

  – *Invocation:* None

  – *Output:* None

- HouseDataLog.xlsx

  – *Description:* This program contains a description of the house log files.

  – *Dependencies:* None

  – *Invocation:* None

  – *Output:* None

- pullAncillaryData.py

  – *Description:* This program uses the system call "wget" to get NCEP nmc, NCEP re-analysis, EOL, and CMDL data. The output data directories are specified directly in the program. Command line argument allows one to specify the particular date to get data for. This program is set on a cron tab to download data every day. If no date is specified current day is used.

  – *Dependencies:* None

  – *Invocation:* pullAncillaryData.py [-d YYYYMMDD]

– *Output:* Various directories. See program for output directories.

- read_FL0_EOL_data.py

    – *Description:* This program re-formats the EOL data from netCDF data into simple text data to be used by the append spectral database program. All inputs are specified within the program. One year is processed at a time.

    – *Dependencies:* None

    – *Invocation:* read_FL0_EOL_data.py

    – *Input:* Input NetCDF files are currently located at: data1/ancillary_data/fl0/eol/flab.YYYMMDD.cdf

    – *Output:* Output data is currently written to: data1/ancillary_data/fl0/eol/fl0_met_data_YYYY.txt

- appendSpecDB.py

    – *Description:* This program appends already created spectral database files with house and station outside pressure, temperature, and relative humidity values. The inputs are specified with an input file (appndSpecDBInputFile.py). The spectral databases for each year are appended. After the new appended spectral databases are created, the user should concatenate these together into a single database.

    – *Dependencies:* Requires an input file (appndSpecDBInputFile.py)

    – *Invocation:* appndSpecDBInputFile.py -i appndSpecDBInputFile.py

    – *Output:* Appended spectral database file. Current location of spectral databases: /data/Campaign/(MLO,TAB)/Spectral_DB/

- mkCoadSpecDB.py

    – *Description:* This program creates co-added bnr files (.bnrc) and a co-added spectral database file. The inputs to the program are specified through an input file (CoadSpecDBInputFile.py). The mkCoadSpecDB.py program reads in a HRspDB_loc_YYYY.dat data file and creates a CoaddspDB_loc_YYYY.dat output file. One can either run the co-add program on a conglomerated spectral database file (e.g. HRspDB_fl0_2010_2014.dat) or on individual year file (e.g. HRspDB_fl0_2014.dat) and then conglomerate all the years. When running the co-add program all previous output files with the same name should be removed.

    – *Dependencies:* Requires an input file (CoadSpecDBINputFile.py) and coad.c

    – *Invocation:* mkCoadSpecDB.py -i CoadSpecDBInputFile.py

    – *Input:* Appended spectral database file (e.g. HRspDB_fl0_2014.dat).

    – *Output:* Co-added spectral database file (e.g. CoaddspDB_fl0_2014.dat).

7.3 Reference Profiles

- NCEPnmnFormat.py

  - *Description:* For an individual station this program re-formats the NCEP nmc pressure and temperature data into a single file by year. This program takes an input file (NCEPinputFile.py)
  - *Dependencies:* Requires an input file (NCEPinputFile.py)
  - *Invocation:* NCEPnmnFormat.py -i NCEPinputFile.py
  - *Input:* The input NCEP nmc data is currently located at /data/ancillary_data/ NCEP_NMC/
  - *Output:* The re-formated NCEP nmc data is currently located at /data/Campai gn/(MLO,TAB,FL0)/NCEP_nmc/

- MergPrf.py

  - *Description:* This program creates ZPT files from NCEP nmc data and water files from WACCM data. It requires the use of an input file (mergprfInput.py)
  - *Dependencies:* Requires an input file (mergprfInput.py)
  - *Invocation:* MergPrf.py -i mergprfInput.py
  - *Input:* The input NCEP nmc data is currently located at /data/ancillary_data/ NCEP_NMC/. The input WACCM data is currently at /data/Campaign/(ML O,TAB,FL0)/waccm/
  - *Output:* The profiles are stored with the bnr data in the daily directories /data1 /(mlo,tab,fl0)/

- cnvrtNC.py

  - *Description:* This program converts the ERA-Interim GRIB files into NetCDF files. This program calls the linux program ncl_convert2nc to convert the GRIB file to NetCDF. The inputs are directly specified in the program. The NetCDF files are easily readable by python.
  - *Dependencies:* Requires installation of linux program ncl_convert2nc.
  - *Invocation:* cnvrtNC.py
  - *Input:* The input ERA-Interim (GRIB) data is currently located at /data1/ancil lary_data/ERAdata/
  - *Output:* The output ERA-Interim (NetCDF) data is currently located at /data1 /ancillary_data/ERAdata/

- ERAwaterPrf.py

  - *Description:* This program creates water profiles from the ERA-Interim data. The inputs are directly specified in the program. It interpolates a location and altitude profile to the ERA-Interim grid. It creates daily averages; however, it also stores the 6 hourly PV, Temperature, and Q.

- *Dependencies:* None

- *Invocation:* ERAwaterPrf.py

- *Input:* The input ERA-Interim (NetCDF) data is currently located at /data1/an cillary_data/ERAdata/

- *Output:* The output water profiles are stored with the bnr data in the daily directories /data1/(mlo,tab,fl0)

- NCEPwaterPrf.py

  - *Description:* This program creates water profiles from the NCEP re-analysis data. The inputs are directly specified in the program. It interpolates a location and altitude profile to the NCEP grid. Initial NCEP re-analysis data is already daily averaged.

  - *Dependencies:* None

  - *Invocation:* NCEPwaterPrf.py

  - *Input:* The input NCEP re-analysis (NetCDF) data is currently located at /data 1/ancillary_data/NCEPdata/

  - *Output:* The output water profiles are stored with the bnr data in the daily directories /data1/(mlo,tab,fl0)

- retWaterPrf.py

  - *Description:* This program creates water a priori profiles retrieved water profiles. The inputs are directly specified in the program. The a priori water profiles are time stamped (date and time) corresponding with measurement time.

  - *Dependencies:* None

  - *Invocation:* retWaterPrf.py

  - *Input:* The input is the location of the retrieved water data.

  - *Output:* The output water profiles are stored with the bnr data in the daily directories /data1/(mlo,tab,fl0)

- retWaterPrfDaily.py

  - *Description:* This program creates daily averaged water profiles from the a priori water files created from the program retWaterPrf.py. The inputs are specified directly in the program.

  - *Dependencies:* None

  - *Invocation:* retWaterPrfDaily.py

  - *Input:* The input water profiles are located with the bnr data in the daily directories /data1/(mlo,tab,fl0)

  - *Output:* The output water profiles are stored with the bnr data in the daily directories /data1/(mlo,tab,fl0)

7.4   Processing

- sfit4Layer0.py

    - *Description:* This program runs individual retrievals using sfit4. The inputs for this program are specified through command line arguments.

    - *Dependencies:* The program depends on the following files: sfitClasses.py and Layer1Mods.py

    - *Invocation:* They are several command line flags to use with this program: sfit4Layer0.py -f <str> [-i <dir> [-b <dir/str>]

        * -i Data directory. This is optional. The default directory is the current working directory

        * -f Run flags: h = hbin, p = psepc, s = sfit4, e = error analysis, c = clean directory

        * -b sfit binary directory: This is optional. The default is hard-coded in the main program. Also accepts v1, v2, etc

    - *Input:* The input is the specified data directory or the current working directory

    - *Output:* The output is also the specified data directory or the current working directory

- sfit4Layer1.py

    - *Description:* This program runs multiple retrievals using sfit4. The inputs are specified through an input file and some command line arguments. If one pauses during the processing using the -P command you can plot intermediate results or re-processes the last retrieval done.

    - *Dependencies:* This program depends on the following programs: sfitClasses.py, dataOutClass.py, Layer1Mods.py

    - *Invocation:* sfit4Layer1.py -i <InputFile> -l -L0 -P <int> -?

        * -i Filename and path of input file

        * -l Flag to create log file. Path for log files is specified in input file.

        * -L 0/1: Flag to create output list file.

        * -P <int>: Pause processing after retrieval number <int>. So -P1 would pause after the first retrieval.

        * -d <20190101> or $<20190101_20191231>$: $optional - Date\ or\ Date\ range\ (-dis\ optional\ and\ if\ used$

        * *Input:* Inputs paths and directories are specified in the input file.

    - *Output:* Output paths and directories are specified in the input file

- mkListFile.py

    - *Description:* This program creates a list file of all the directories with retrievals and some meta-data for the IDL program gather.pro to create a sav file.

– *Dependencies:* None
– *Invocation:* mkListFile.py -i <file> -N <file> -d <dir> -?
  * -i Filename and path of layer1 input file
  * -N Filename and path to output list file
  * -d Base directory of data
  * -? Show all flags
– *Input:* Layer1 input file, Base directory to data
– *Output:* Name of list file

7.5   Post-Processing

- pltRet.py

  – *Description:* This program creates plots for an individual retrieval. The input, which is the directory of the retrieval, is given as a command line argument. If no command line argument is specified the current working directory is used.
  – *Dependencies:* dataOutClass.py
  – *Invocation:* pltRet.py -i <dir> -?
    * -i Directory of retrieval
    * -S Save pdf
    * -? Show all flags
  – *Input:* Directory of retrieval
  – *Output:* Various plots

- pltRet.py

  – *Description:* This program creates plots for a set of retrievals. There are multiple filters and flags that can be used to filter the data. These flags and filters are specified in the input file
  – *Dependencies:* dataOutClass.py
  – *Invocation:* pltSet.py -i setInput.py [-?]
    * -i Input file name
    * -? Show all flags
  – *Input:* Input file
  – *Output:* Various plots

- HDFCreate.py

  – *Description:* This is the main file for creating HDF files from data. In this program you call the HDFsave object and create an HDF file. From here you define the directory to write the HDF file, whether to write the file using single or double precision, and whether to write an HDF4 or HDF5 file (IRWG / GEOMS are single precision.). The inputs are directly specified in the file.

- *Dependencies:* hdfsave.py
- *Invocation:* HDFCreate.py
  * -i <file> : Run HDFCreate.py with specified input file
  * -? Show all flags
- *Input:* Input file
- *Output:* HDF files

- pltHDF.py

  - *Description:* Plot HDF files (standard plots.
  - *Dependencies:* HDFClassRead.py, input_HDFRead.py
  - *Invocation:* pltHDF.py -i input_HDFRead.py
    * -i <file> : Run pltHDF.py with specified input file
    * -? Show all flags
  - *Input:* Input file
  - *Output:* Various plots

8 Tips & Tricks

These points may be of more or less use...

8.1 Retrieval List Updating

There is a program `mkListFile.py` that can make a listing of a set of retrievals from the output directory structure and the Layer1 input file. This is necessary to do if you did not use the -l switch when running Layer1. This list file is used in some post processing steps. Alternately, you can use `mklist.k -i <Current>` where Current is the name of the directory where the retrieval day.time directories are. This creates a list of those retrieval directories. Then append this output to the list file header.

Then for instance, run `gather4.pro`, which takes this list as input to create an IDL .sav file. This can be passed through filters using `savefilter.pro` to remove outliers then `HDFmain.py` to create a .hdf from a .sav.

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