
This notebook requires the NCAAlgebra package available at <http://math.ucsd.edu/~ncalg/>.

The NCSE package for NCAAlgebra is needed for the example in the notebook but is not required to use the main functions in the notebook.

Relevant paper: <https://arxiv.org/abs/2008.13250>

NC, NCAAlgebra, and NCGBX are all contained in the main NCAAlgebra package.

These packages are necessary for computing the NC polynomials which define the Arveson boundary of a given free quadrilateral.

The NCSE package is an additional user made package for NCAAlgebra which is used for working with extreme points of free spectrahedra. This package is not necessary for computation of NC polynomials; however some of its functions are used in the example.

Warning: NCSE uses the convention $L_A(X) = \text{Identity} - \text{Lambda}_A(X)$ rather than the $L_A(X) = \text{Identity} + \text{Lambda}_A(X)$ convention used in the article associated to this notebook. To solve this issue, a defining tuple "A" is input into NCSE functions as "-A" so that in NCSE we have $L_{-A}(X) = \text{Identity} + \text{Lambda}_A(X)$.

```
In[5]:= << NC`
        << NCAAlgebra`
        << NCGBX`
        << NCSE`
```

You are using the version of NCAAlgebra which is found in:

```
C:\Users\Eric\NC\
```

You can now use "<< NCAAlgebra`" to load NCAAlgebra.

Main functions.

This section contains all functions associated with this notebook.

```
In[9]:= NCSquare = {DiagonalMatrix[{1, 0, -1, 0}], DiagonalMatrix[{0, 1, 0, -1}]};
NCDiamond = {DiagonalMatrix[{1, -1, -1, 1}], DiagonalMatrix[{1, 1, -1, -1}]};
```

```
view[tuple_] := Map[MatrixForm, tuple]
```

```
(* Converts a NC rule to an NC rational function *)
RuleToPoly[rule_] := NCReplaceRepeated[rule, {Rule -> Subtract}]
```

```
(* Evaluates a noncommutative polynomial on the given
```

```

matrix tuple. As an input the user must give the variables for the
polynomial in the order in which they appear in the given tuple *)
Eval[poly_, polyvars_, tuple_] := Block[{g, VarsRule, dotpoly, zerorule, EvalAtZero, n},
  g = Length[polyvars];
  n = Length[tuple[[1]]];
  zerorule = Table[Rule[polyvars[[i]], 0], {i, g}];
  EvalAtZero = NCReplaceRepeated[poly, zerorule];
  dotpoly = NCReplaceRepeated[poly,
    {NonCommutativeMultiply -> Dot, inv -> Inverse}] - EvalAtZero;
  VarsRule = Table[Rule[polyvars[[i]], tuple[[i]]], {i, g}];
  Return[NCReplaceRepeated[dotpoly, VarsRule] + EvalAtZero * IdentityMatrix[n]]

(* Computes a projective map which sends quad1 to quad2 *)
QuadrilateralProjectiveMap[quad1_, quad2_] :=
Block[{c, q, m, matri, maprus, prematri},
  q[1] = quad2[[1]];
  q[2] = quad2[[2]];
  c[0] = IdentityMatrix[4];
  c[1] = quad1[[1]];
  c[2] = quad1[[2]];
  q[0] = Sum[m[0, i] * c[i], {i, 0, 2}];
  m[0, 0] = 1;
  prematri = Table[m[i, j], {i, 0, 2}, {j, 0, 2}];
  maprus = Solve[Table[q[j] == Inverse[q[0]].Sum[m[j, i] * c[i], {i, 0, 2}], {j, 1, 2}],
    Variables[premati]];
  matri = Inverse[Transpose[ReplaceRepeated[premati, maprus[[1]]]]];
  Return[matri]]

(* Computes the image of a tuple under the specified linear map *)
NCLinearMap[tuple_, matrix_] :=
Block[{x, xvec, id, g, n, tupleRu, projmapeval, tupleimage},
  g = Length[tuple];
  n = Length[tuple[[1]]];
  xvec = matrix.Table[{x[i]}, {i, g}];
  tupleRu = Table[Rule[x[i], tuple[[i]]], {i, g}];
  projmapeval = ReplaceRepeated[xvec, tupleRu];
  tupleimage = Transpose[projmapeval][[1]];
  Return[tupleimage]]

(* Gives the image of a tuple under the specified projective map *)
NCProjectiveMap[tuple_, matrix_] :=
Block[{homtuplet, homtupleimage, homcomponent, homroot, n, id, projimage},
  n = Length[tuple[[1]]];
  id = IdentityMatrix[n];
  homtuple = Join[{id}, tuple];
  homtupleimage = NCLinearMap[homtuplet, matrix];
  homcomponent = homtupleimage[[1]];
  homroot = Inverse[MatrixPower[homcomponent, 1/2]];
  projimage =
    Table[homroot.homtupletimage[[i]].homroot, {i, 2, Length[homtupletimage]};
  Return[projimage]]

(* Computes the NC polynomials which define the Arveson
boundary of the given free quadrilateral. As an input the user should
specify the symbols to be used as noncommutative indeterminates. *)

```

```

QuadriArvesonPolynomials[quad_, NCVar_] :=
Block[{angleslist, c, c1, c2, corners, ProjMapMatri, q, q1, q2, polys,
poly1, poly2, polysGB, rationalfuncs, squarepoly1, squarepoly2,
theta, sortedcorners, x0, x1, x2, xvecimage, z0, z1, z2, zsubrule},
(* As a normalization, we first reorder the diagonal elements of
quad so that they proceed counter clockwise from the x axis. *)
Do[corners[i] = {quad[[1, i, i]], quad[[2, i, i]]};
theta[i] = If[quad[[2, i, i]] < 0, -VectorAngle[{1, 0}, corners[i]] + 2 * Pi,
VectorAngle[{1, 0}, corners[i]]];, {i, 4}];
angleslist = Table[{theta[i] // N, corners[i]}, {i, 4}];
sortedcorners = SortBy[angleslist, First];
q1 = DiagonalMatrix[Table[sortedcorners[[i, 2, 1]], {i, 4}]];
q2 = DiagonalMatrix[Table[sortedcorners[[i, 2, 2]], {i, 4}]];
q = {q1, q2};
c1 = DiagonalMatrix[{1, 0, -1, 0}];
c2 = DiagonalMatrix[{0, 1, 0, -1}];
c = {c1, c2};
(*Compute Map which sends the free quadrilateral to the matrix square *)
ProjMapMatri = QuadrilateralProjectiveMap[q, c];
SNC[z0, z1, z2, NCVar[[1]], NCVar[[2]]];
squarepoly1 = z0 - z1 ** inv[z0] ** z1;
squarepoly2 = z0 - z2 ** inv[z0] ** z2;
xvecimage = ProjMapMatri.{1}, {NCVar[[1]]}, {NCVar[[2]]}};
zsubrule =
{z0 -> xvecimage[[1, 1]], z1 -> xvecimage[[2, 1]], z2 -> xvecimage[[3, 1]]};
poly1 = NCReplaceRepeated[squarepoly1, zsubrule];
poly2 = NCReplaceRepeated[squarepoly2, zsubrule];
If[SameQ[xvecimage[[1, 1]], 1] == False,
SetMonomialOrder[NCVar[[1]], NCVar[[2]], inv[xvecimage[[1, 1]]]];
SetMonomialOrder[NCVar[[1]], NCVar[[2]]];
polysGB = NCMakeGB[{poly1, poly2}];
rationalfuncs = Map[RuleToPoly, polysGB];
polys = Intersection[rationalfuncs,
NCReplaceRepeated[rationalfuncs, {inv[xvecimage[[1, 1]] -> 0}]];
Return[polys]];

```

Computation of polynomials annihilating the Arveson boundary of a free quadrilateral and working with projective maps.

We generate the NC polynomials defining the Arveson boundary of the following free quadrilateral.

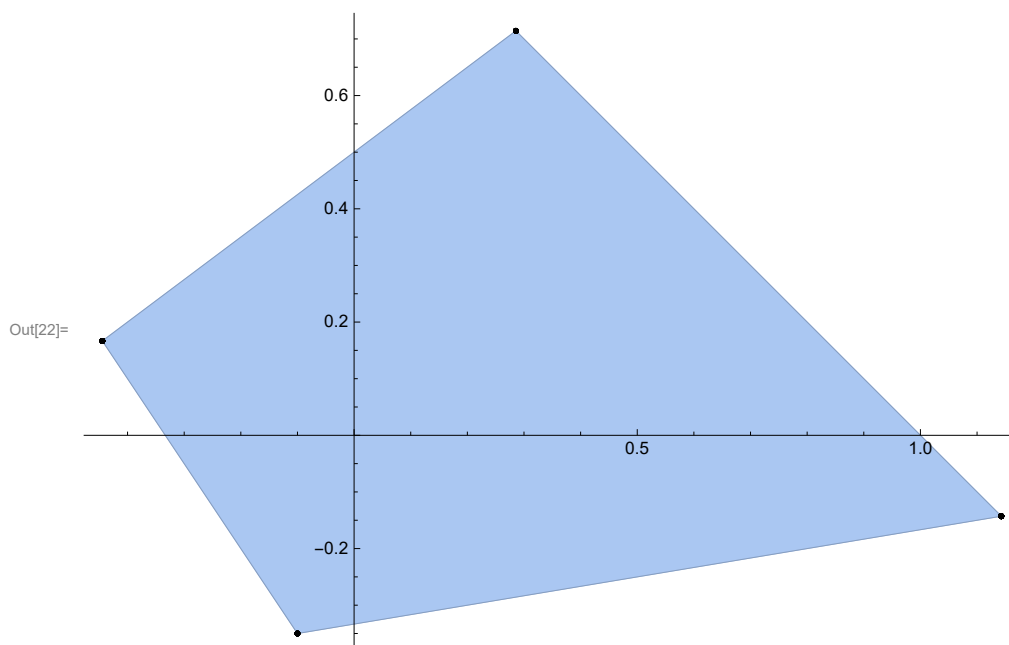
Warning: NCSE uses the convention $L_A(X) = \text{Identity} - \text{Lambda}_A(X)$ rather than the $L_A(X) = \text{Identity} + \text{Lambda}_A(X)$ convention used in the article. To solve this issue, the defining tuple “quad” is input into NCSE functions as “-quad”.

```

In[18]:= (* Input free spectrahedra defining tuple to NCSE *)
quad1 = DiagonalMatrix[{3, -1/2, -1, 3/2}];
quad2 = DiagonalMatrix[{2, 3, -1, -2}];
quad = {quad1, quad2};
ViewLMIGraphic[-quad]
(* Visualization of level 1 of the free quadrilateral *)
Level1ExtremePlot[-quad, PointCount -> 100]
(* Computation of NC Polynomials *)
SNC[x1, x2]
polys = QuadriArvesonPolynomials[quad, {x1, x2}];
polys[[1]]
polys[[2]]
polys[[3]]
polys[[4]]

$$\begin{pmatrix} 1 + 3X[1] + 2X[2] & 0 & 0 & 0 \\ 0 & 1 - \frac{X[1]}{2} + 3X[2] & 0 & 0 \\ 0 & 0 & 1 - X[1] - X[2] & 0 \\ 0 & 0 & 0 & 1 + \frac{3X[1]}{2} - 2X[2] \end{pmatrix}$$


```



```

* * * * *
* * *   NCPolyGroebner   * * *
* * * * *

* Monomial order: x1 << x2 <<  $\left(\frac{59254}{62031} + \frac{36464x1}{62031} + \frac{25069x2}{124062}\right)^{-1}$ 
* Reduce and normalize initial set
> Initial set could not be reduced
* Computing initial set of obstructions
> MAJOR Iteration 1, 7 polys in the basis, 11 obstructions
> MAJOR Iteration 2, 9 polys in the basis, 14 obstructions
* Found Groebner basis with 9 polynomials
* * * * *

```

$$\begin{aligned}
 \text{Out[25]} &= -\frac{416}{2261} - \frac{1549 x_1}{2261} - \frac{328 x_2}{2261} + \frac{13 x_1 x_1}{38} + \\
 &\quad \frac{302 x_1 x_2}{323} + \frac{302 x_2 x_1}{323} + \frac{429 x_1 x_1 x_1}{646} + x_1 x_2 x_1 \\
 \text{Out[26]} &= -\frac{2777}{3553} - \frac{16521 x_1}{7106} - \frac{2777 x_2}{3553} + \frac{10207 x_1 x_1}{7106} + \frac{15863 x_1 x_2}{7106} + \frac{9664 x_2 x_1}{3553} + \\
 &\quad \frac{302 x_2 x_2}{323} + \frac{624 x_1 x_1 x_1}{323} + \frac{429 x_1 x_1 x_2}{646} + \frac{32 x_1 x_2 x_1}{11} + x_1 x_2 x_2 \\
 \text{Out[27]} &= -\frac{2777}{3553} - \frac{16521 x_1}{7106} - \frac{2777 x_2}{3553} + \frac{10207 x_1 x_1}{7106} + \frac{9664 x_1 x_2}{3553} + \frac{15863 x_2 x_1}{7106} + \\
 &\quad \frac{302 x_2 x_2}{323} + \frac{624 x_1 x_1 x_1}{323} + \frac{32 x_1 x_2 x_2}{11} + \frac{429 x_2 x_1 x_1}{646} + x_2 x_2 x_1 \\
 \text{Out[28]} &= \frac{298}{2261} + \frac{12 x_1}{2261} - \frac{649 x_2}{4522} + \frac{15 x_1 x_2}{34} + \\
 &\quad \frac{15 x_2 x_1}{34} - \frac{851 x_2 x_2}{646} + \frac{429 x_2 x_1 x_2}{646} + x_2 x_2 x_2
 \end{aligned}$$

We next check that these NC polynomials evaluate to zero on a few members of the Arveson boundary at level 2 of the free quadrilateral defined by quad. Note: Using Proposition 4.3, it is sufficient to only check level 2. We print the norm of the evaluation of our polynomials on these points.

Note: The FindExtremePoint function maximizes a linear functional over the specified level of the given free spectrahedron. Here we fix a weight vector to guarantee that the resulting tuple is an Arveson extreme point of the free quadrilateral.

```

In[45]:=
(* Generate points *)
pt1 = FindExtremePoint[-quad, 2, WeightVector →
  {- 6729/4000, - 112597/100000, 171687/100000, 47621/50000, - 30391/50000, - 28257/25000}, DiagnosticLevel → 0];
pt2 = FindExtremePoint[-quad, 2, WeightVector →
  {18403/100000, - 10949/20000, - 12181/100000, - 37719/50000, - 4659/5000, 175211/100000}, DiagnosticLevel → 0];
(* Check points are Arveson extreme in our free quadrilateral *)
ArvesonTest[-quad, pt1][[1]]
ArvesonTest[-quad, pt2][[1]]
(* Compute norms of evaluation of our NC polynomials on these points *)
Table[Norm[Eval[polys[[i]], {x1, x2}, pt1]], {i, 4}]
Table[Norm[Eval[polys[[i]], {x1, x2}, pt2]], {i, 4}]

```

Out[47]= True

Out[48]= True

Out[49]= {3.20358 × 10⁻⁹, 1.22755 × 10⁻⁸, 1.22755 × 10⁻⁸, 1.17471 × 10⁻⁹}

Out[50]= {1.36202 × 10⁻⁹, 4.17349 × 10⁻⁹, 4.17349 × 10⁻⁹, 2.03488 × 10⁻¹⁰}

Additionally we see that these polynomials do not evaluate to zero on a tuple which is a Euclidean (classical) extreme point of the free quadrilateral at level 2,

but not an Arveson extreme point of the free quadrilateral.

Note: Similar to before, we fix a weight vector to guarantee that the resulting tuple is a Euclidean extreme point, but not an Arveson extreme point of the free quadrilateral.

```
In[51]:= (* Generate point *)
pt3 = FindExtremePoint[-quad, 2, WeightVector →
  { - $\frac{23939}{50000}$ , - $\frac{148139}{100000}$ ,  $\frac{1329}{6250}$ ,  $\frac{18041}{100000}$ ,  $\frac{7309}{100000}$ , - $\frac{9121}{6250}$  }, DiagnosticLevel → 0];
(* Check point is Euclidean but not Arveson extreme *)
ArvesonTest[-quad, pt3][[1]]
EuclideanTest[-quad, pt3][[1]]
(* Compute norms of evaluation of our NC polynomials on the points *)
Table[Norm[Eval[polys[[i]], {x1, x2}, pt3]], {i, 4}]

Out[52]= False

Out[53]= True

Out[54]= {0.14748, 0.502482, 0.502482, 0.0476348}
```

We now compute the projective map which sends the free square to the free quadrilateral defined by quad. In addition we show that this map sends Arveson extreme points of the free square to Arveson extreme points of the quad.

Note: For the free square a tuple is Arveson extreme if and only if it is Euclidean extreme, so in this case there is no need to fix weight vectors.

```
In[61]:= (* Compute the projective map sending the free
  square to the free quadrilateral defined by quad *)
ProjMap = QuadrilateralProjectiveMap[NCSquare, quad];
ProjMap // MatrixForm

Out[62]//MatrixForm=

$$\begin{pmatrix} \frac{59254}{62031} & -\frac{26}{93} & \frac{52}{667} \\ \frac{21632}{434217} & \frac{260}{651} & -\frac{156}{667} \\ \frac{28964}{434217} & \frac{104}{651} & \frac{208}{667} \end{pmatrix}$$


In[63]:= (* Generate random extreme points at level 2 of the
  free square and verify that they are Arveson extreme *)
sqpt1 = FindExtremePoint[-NCSquare, 2, DiagnosticLevel → 0];
sqpt2 = FindExtremePoint[-NCSquare, 2, DiagnosticLevel → 0];
ArvesonTest[-NCSquare, sqpt1][[1]]
ArvesonTest[-NCSquare, sqpt2][[1]]

Out[65]= True

Out[66]= True
```

```

In[67]:= (* Map the points to the free quadrilateral
          and verify that they are members of quad *)
qupt1 = NCProjectiveMap[sqpt1, ProjMap];
qupt2 = NCProjectiveMap[sqpt2, ProjMap];
view[qupt1]
view[qupt2]
Min[Eigenvalues[LMI[-quad, qupt1]]]
Min[Eigenvalues[LMI[-quad, qupt2]]]

Out[69]= { { -0.435935  0.0534657 }, { 0.153903  -0.0801985 } }
          { { 0.0534657  -0.108509 }, { -0.0801985  -0.337236 } }

Out[70]= { { 0.989399  0.408873 }, { -0.168434  0.0681455 } }
          { { 0.408873  0.0534582 }, { 0.0681455  -0.324424 } }

Out[71]= -3.58369 × 10-9

Out[72]= -5.61602 × 10-10

In[77]:= (* Check the points are Arveson extreme in the free quadrilateral
          both using ArvesonTest and by verifying that they are annihilated by
          the NC polynomials which annihilate the Arveson boundary of quad. *)
ArvesonTest[-quad, qupt1][[1]]
ArvesonTest[-quad, qupt2][[1]]
Table[Norm[Eval[polys[[i]], {x1, x2}], qupt1]], {i, 4}]
Table[Norm[Eval[polys[[i]], {x1, x2}], qupt2]], {i, 4}]

Out[77]= True

Out[78]= True

Out[79]= { 1.44397 × 10-9, 4.70037 × 10-9, 4.70037 × 10-9, 1.34061 × 10-9 }

Out[80]= { 1.06317 × 10-9, 3.66661 × 10-9, 3.66661 × 10-9, 2.39327 × 10-9 }
    
```

Example of non-Euclidean extreme point mapped to Euclidean extreme point.

We now give an of a tuple which is not Euclidean extreme that is mapped to a Euclidean extreme point under a invertible projective map.

```

(* Define the projective map and its inverse transpose, and the tuple X *)

In[155]:= V = 1/280 * {{306, 0, 54}, {-54, 63, -108}, {27, 127, 27}};
W = Transpose[Inverse[V]];
X = {1/Sqrt[2] * {{1, 1}, {1, -1}}, {{1, 0}, {0, 0}}};
    
```

```
In[158]:= (* Check that X is an element of the free square which is not Euclidean
extreme. The Euclidean extreme check is performed in two ways. First we use
the "EuclideanTest" command which checks the appropriate kernel condition for
a tuple to be Euclidean extreme. Second we show that there are elements Y1
and Y2 of the free square of which X is a nontrivial convex combination *)
```

```
PositiveSemidefiniteMatrixQ[LMI[-NCSquare, X]]
EuclideanTest[-NCSquare, X]
Y1 = {1/Sqrt[2] * {{1, 1}, {1, -1}}, {{1, 0}, {0, 1}}};
Y2 = {1/Sqrt[2] * {{1, 1}, {1, -1}}, {{1, 0}, {0, -1}}};
PositiveSemidefiniteMatrixQ[LMI[-NCSquare, Y1]]
PositiveSemidefiniteMatrixQ[LMI[-NCSquare, Y2]]
X == (Y1 + Y2) / 2
```

```
Out[158]= True
```

```
Out[159]= {False, {0, 0.}}
```

```
Out[162]= True
```

```
Out[163]= True
```

```
Out[164]= True
```

```
(* We now map under the projective map defined by V and show that it is a Euclidean
extreme point of the image of the free square under this map. Recall that the
defining tuple for this image is equal to the image of the defining tuple of the
free square under the projective map defined by W = Transpose[Inverse[V]]. We
also check that the resulting free spectrahedron is bounded. *)
```

```
In[177]:= A = NCPProjectiveMap[NCSquare, W];
PX = NCPProjectiveMap[X, V];
BoundedQ[-A]
PositiveSemidefiniteMatrixQ[LMI[-A, PX]]
EuclideanTest[-A, PX]
```

```
Out[179]= True
```

```
Out[180]= True
```

```
Out[181]= {True, {0, 0.0300585}}
```