

# CAADAPTER 4.3

## *User's Guide*



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# ABOUT THIS GUIDE

This section introduces you to the *caAdapter 4.3 User's Guide*.

Topics in this section:

- [Purpose](#) on this page
- [Audience](#) on this page
- *Organization of This Guide* on page 2
- *Recommended Reading* on page 3
- *Text Conventions Used* on page 3
- *Credits and Resources* on page 4

## Purpose

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This guide is the companion documentation to caAdapter 4.3. It includes information and instructions for using the two main caAdapter components: a set of Application Programming Interfaces (APIs) and a mapping tool graphical user interface (GUI).

## Audience

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### Typical User

This guide is designed for the following types of users:

- Technical users (such as Java programmers and system architects) who want to use the major caAdapter APIs to parse, build, and validate Health Level Seven version 3 (HL7 V3) messages; and
- Analysts (such as HL7 analysts, database administrators, and business analysts) who need step-by-step procedures for creating HL7 V3 XML message instances using caAdapter.

### Prerequisites

This guide assumes that you are familiar with the HL7 Reference Information Model (RIM).

Use of caAdapter requires additional prerequisites. For more information, see *Prerequisites for Using caAdapter* on page 10.

## Organization of This Guide

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The *caAdapter 4.3 User's Guide* includes the following chapters:

- *Chapter 1, caAdapter Overview*, on page 5 discusses the caAdapter architecture and related data standards.
- *Chapter 2, Using caAdapter*, on page 15 provides a high-level overview of using caAdapter.
- *Chapter 3, CSV to HL7 V3 Mapping and Transformation*, on page 19 explains the procedures for using caAdapter to perform for CSV to HL7 V3 mapping and transformation.
- *Chapter 4, HL7 V2 to HL7 V3 Conversion*, on page 59 provides detailed instructions for using the caAdapter GUI for HL7 V2 to HL7 V3 conversion.
- *Chapter 5, Using Functions in Mapping*, on page 63 provides detailed instructions for using and adding functions in caAdapter mappings.
- *Chapter 6, Using the caAdapter APIs*, on page 69 provides Java developers information required to use caAdapter APIs.
- *Chapter 7, caAdapter Web Services Transformation Module*, on page 73 provides detailed instructions for using the caAdapter Web Service.
- *Chapter 8, caAdapter File Types*, on page 81 provides an overview of the different types of files used by caAdapter and an example of each.
- *Appendix A, caAdapter Example Data*, on page 93 provides a description of the example data delivered with caAdapter.
- *Appendix B, References*, on page 95 provides a list of references used to produce this guide or referred to within the text.



## Recommended Reading

The following table lists resources that can help you become more familiar with concepts discussed in this guide.

Resource	URL
Health Level 7 (HL7)	<a href="http://www.hl7.org">http://www.hl7.org</a>
Center for Biomedical Informatics and Information Technology (CBIIT) HL7 tutorial	<a href="http://ncicb.nci.nih.gov/infrastructure/cacore_overview/caadapter/indexContent/HL7_Tutorial">http://ncicb.nci.nih.gov/infrastructure/cacore_overview/caadapter/indexContent/HL7_Tutorial</a>
Unified Modeling Language (UML)	<a href="http://www.cdisc.org/models/sds/v3.1/">http://www.cdisc.org/models/sds/v3.1/</a>

Click the hyperlinks throughout this guide to access more detail on a subject or product.

## Text Conventions Used

This section explains conventions used in this guide. The various typefaces represent interface components, keyboard shortcuts, toolbar buttons, dialog box options, and text that you type.

Convention	Description	Example
<b>Bold</b>	Highlights names of option buttons, check boxes, drop-down menus, menu commands, command buttons, or icons.	Click <b>Search</b> .
<u>URL</u>	Indicates a Web address.	<a href="http://domain.com">http://domain.com</a>
text in SMALL CAPS	Indicates a keyboard shortcut.	Press ENTER.
text in SMALL CAPS + text in SMALL CAPS	Indicates keys that are pressed simultaneously.	Press SHIFT + CTRL.
<i>Italics</i>	Highlights references to other documents, sections, figures, and tables.	See <i>Figure 4.5</i> .
<i><b>Italic boldface monospaced type</b></i>	Represents text that you type.	In the <b>New Subset</b> text box, enter <i><b>Proprietary Proteins.</b></i>
<b>Note:</b>	Highlights information of particular importance	<b>Note:</b> This concept is used throughout the document.
{ }	Surrounds replaceable items.	Replace {last name, first name} with the Principal Investigator's name.

## Credits and Resources

The following people contributed to the development of this document.

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<b>Contacts and Support</b>	
Application Support	<a href="http://ncicb.nci.nih.gov/NCICB/support">http://ncicb.nci.nih.gov/NCICB/support</a> Telephone: 301-451-4384 Toll free: 888-478-4423

<b>LISTSERV Facilities Pertinent to caAdapter</b>		
<b>LISTSERV</b>	<b>URL</b>	<b>Name</b>
caAdapter_Users	<a href="https://list.nih.gov/archives/caadapter_users-l.html">https://list.nih.gov/archives/caadapter_users-l.html</a>	caAdapter Users Discussion Forum

# CHAPTER 1

## CAADAPTER OVERVIEW

This chapter provides an overview of caAdapter, its architecture, and its related data standards.

Topics in this chapter include:

- *About caAdapter* on page 5
- *About HL7* on page 8
- *Prerequisites for Using caAdapter* on page 10
- *Prerequisites for Using caAdapter* on page 10
- *Resources for Installing caAdapter* on page 10
- *Starting caAdapter* on page 10
- *caAdapter Common Features* on page 11

### About caAdapter

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The caAdapter (<http://trials.nci.nih.gov/projects/infrastructureProject/caAdapter>) consists of several components that, via messaging standards, support data sharing at CBIIT (<http://ncicb.nci.nih.gov>) and/or cancer centers as part of the cancer Biomedical Informatics Grid (caBIG) (<http://caBIG.nci.nih.gov>) solution. The components include a core engine for building, parsing, and validating HL7 V3 messages via an API or web service, and a mapping tool for providing mapping and transformation services using an assortment of messaging standards or formats such as HL7 V2 and V3 and object and data models.

The caAdapter core engine is an open source toolkit for building, parsing and validating HL7 V3 messages from source clinical systems to promote data exchange in an international, standards-based messaging format. The core engine is a messaging framework that is based on an object-oriented data model, the HL7 RIM, and a set of V3 defined data types. This framework enables clinical applications to build and parse

HL7 V3 messages based on specific schema definitions and perform structural, vocabulary and schema validation.

caAdapter integrates with CBIIT's cancer Common Ontologic Representation Environment (caCORE) components (<http://ncicb.nci.nih.gov/NCICB/infrastructure>). To see how caAdapter fits with the rest of caCORE, see the caCORE Build Process Diagram at <https://wiki.nci.nih.gov/x/i5N8>. For more information about the caCORE components, visit the CORE wiki (<https://wiki.nci.nih.gov/x/BI Ae>), the caDSR wiki (<https://wiki.nci.nih.gov/x/2qSI>) and the EVS wiki (<https://wiki.nci.nih.gov/x/paSI>). caAdapter facilitates data sharing within the common platform needed to build the caBIG network and translational research infrastructure.

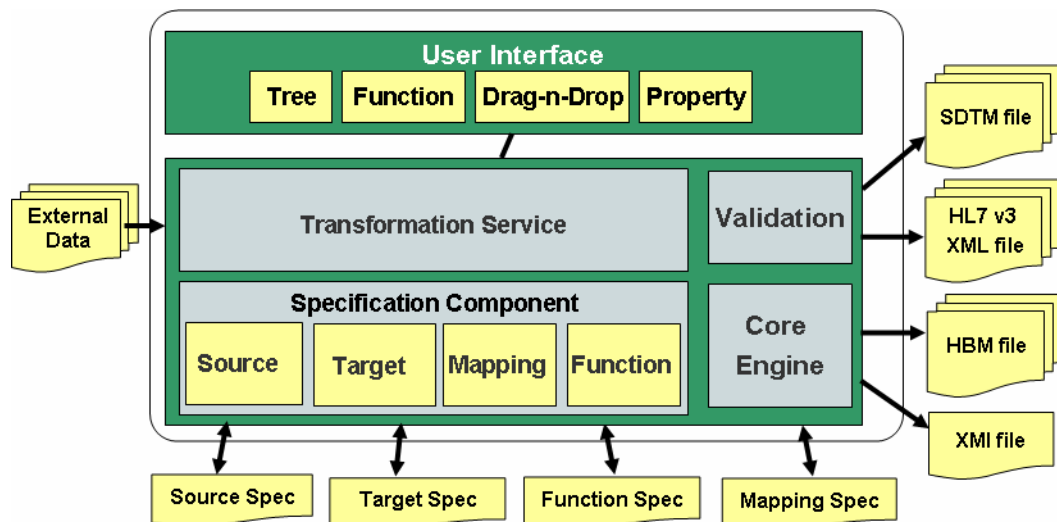
caAdapter is an open source application that supports several types of mapping and transformation. It enables analysts and database engineers, who are knowledgeable about HL7, to create a mapping from Comma Separated Value (CSV) clinical data to an equivalent target HL7 V3 XML format. It provides a front end GUI and a back end engine to support specification of file formats, drag-and-drop mapping between source and target, validation of specifications and data, and transformation of actual CSV data into HL7 V3 XML message instances.

Using similar GUI and mapping features, caAdapter also enables HL7 V2 analysts to convert HL7 V2 messages to HL7 V3 mapping capabilities.

In addition, caAdapter supports object to data model mapping. This component allows you to parse and load data and object models from an XMI file, map the object model to the data model using drag-and-drop capabilities, add SDK-required tags and tag values into the XMI file, and generate a Hibernate mapping file.

## caAdapter Core Engine Architecture

*Figure 1.1* illustrates the caAdapter core engine architecture design including its subsystems and components.



*Figure 1.1 caAdapter Core Engine Architecture*

The main features of the caAdapter core engine are:

- Metadata Loader – represents HL7 V3 metadata in-memory
- Parser – parses source data and validates against its specification
- Message Builder – builds messages from source data to the target object
- Validation Service – validates the structure and content of mapping files

## caAdapter Architecture

caAdapter is a graphical application for mapping clinical data to an HL7 V3 message. [Figure 1.2](#) illustrates caAdapter architecture design depicting its subsystems and components.

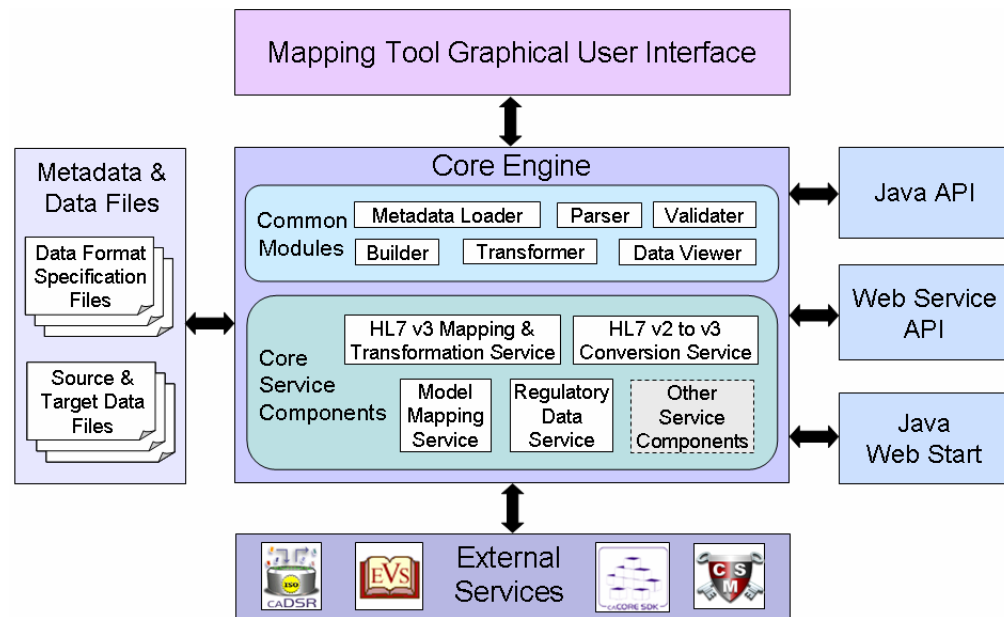


Figure 1.2 caAdapter Architecture

The mapping component of caAdapter has the following features:

- Source and target specification - graphical interface for defining input and output data formats
- User interface - simple mechanism for mapping source fields to target elements containing tree structure, drag-and-drop functionality, and functions and property definitions
- Mapping functions - capability to do simple-source data manipulation
- Validation - capability to validate the structure and content of mapping files

## About HL7

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Health Level Seven (HL7) (<http://www.hl7.org/>) is one of several American National Standards Institute (ANSI)-accredited Standards Developing Organizations (SDOs) operating in the healthcare arena. HL7 provides standards for data exchange to allow interoperability between healthcare information systems. It focuses on the clinical and administrative data domains. The standards for these domains are built by consensus by volunteers—providers, payers, vendors, government—who are members in the not-for-profit HL7 organization.

HL7 version 2 (V2) is a messaging standard that focuses on syntactic data interchange. HL7 messaging (V2 or higher) has been recommended as a data exchange standard by the e-Government initiative. In fact, various releases of this version are in use in over 90% of U.S. hospitals, and V2 is considered the most widely implemented standard for healthcare information in the world. However, since it lacks an explicit methodology, conformance rules, and grouping of messages, it cannot be considered an interoperability standard.

HL7 V2 messages are composed of segments (individual lines in a message) which are composed of fields (data values) which may in turn be composed of components and sub-components. Several different delimiters or field separators are used to mark boundaries between the various elements. Specifications for messages using these structures are published in a text document format which does not easily lend itself to being computable. Furthermore, messages are often customized at local sites making it difficult to share messages between sites. caAdapter consequently includes a computable version of the message specifications which can be tailored to suit the needs of cancer centers and hospitals.

HL7 as an organization aimed to address some of the problems of V2 in its next major version, version 3 (V3). The key goal of the HL7 community is syntactic and semantic interoperability. This goal is supported in HL7 V3 by what are commonly called the four pillars of semantic interoperability:

1. A common Reference Information Model (RIM) spanning the entire clinical, administrative, and financial healthcare universe. The RIM is the cornerstone of the HL7 V3 development process. An object model created as part of the V3 methodology, the RIM is a large pictorial representation of the clinical data domains and identifies the life cycle of events that a message or groups of related messages will carry. It is a shared model between all the domains and is the model from which all domains create their messages. Explicitly representing the connections that exist between the information carried in the fields of HL7 messages, the RIM is essential to HL7's ongoing mission of increasing precision and reducing implementation costs.
2. A well-defined and tool-supported process for deriving data exchange specifications from the RIM. HL7 has defined a methodology and process for developing specifications, artifacts to document the models and specifications, tools to generate the artifacts and an organization for governing the overall process of standards development. Such structure avoids ambiguity common to many existing standards.

3. A formal and robust data type specification upon which to ground the RIM. Data types are the basic building blocks of attributes. They define the structural format of the data carried in the attribute and influence the set of allowable values an attribute may assume. HL7 defines an extensive set of complex data types which provide the structure and semantics needed to describe data in the healthcare arena.
4. A formal methodology for binding concept-based terminologies to RIM attributes. Within HL7, a vocabulary domain is the set of all concepts that can be taken as valid values in an instance of a coded field or attribute. HL7 has defined vocabulary domains for some attributes to support use of the RIM in messages. It also provides the ability to use, document, and translate externally coded vocabularies in HL7 messages.

The specifications that are developed upon this foundation are documented in a progressive set of artifacts that represent varying levels of abstraction of the domain data. The artifacts go from purely abstract and universal in scope to implementation-specific and very narrow in subject matter:

- The RIM is the foundational Unified Modeling Language (UML) class diagram representing the universe of all healthcare data that may be exchanged between systems.
- A Domain Message Information Model (DMIM) is a subset of the RIM that includes RIM class clones, attributes, and associations that can be used to create messages for a particular domain (a particular area of interest in healthcare). DMIMs use HL7 modeling notation, terminology, and conventions.
- A Refined Message Information Model (RMIM) is a subset of a DMIM that is used to express the information content for an individual message or set of messages with annotations and refinements that are message specific.
- A Model Interchange Format (MIF) is an XML representation of the information contained in an HL7 specification, and is the format that all HL7 V3 specification authoring and manipulation tools will be expected to use.
- A Message Type (MT) is the specification of an individual message in a specific implementation technology.

The caAdapter APIs use the MIF and MT artifacts. While the HL7 standard is not implementation-specific, caAdapter uses XML as its implementation technology.

CBIT provides training resources to assist the caBIG community and other interested parties in implementing HL7 V3 messaging. These resources include online tutorials, self-paced training, and links to HL7 resources ([http://ncicb.nci.nih.gov/infrastructure/cacore\\_overview/caadapter/indexContent/HL7\\_Tutorial](http://ncicb.nci.nih.gov/infrastructure/cacore_overview/caadapter/indexContent/HL7_Tutorial)).

## Prerequisites for Using caAdapter

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The following skills will ensure successful use of caAdapter:

- Thorough familiarity with source data
- HL7 artifacts, messages, and data types for HL7 V2 and HL7 V3
- Training on caAdapter
- Familiarity with caAdapter mapping rules

## Resources for Installing caAdapter

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Complete instructions for installing caAdapter are located in the *caAdapter Installation Guide* at [http://ncicb.nci.nih.gov/NCICB/infrastructure/cacore\\_overview/caadapter/](http://ncicb.nci.nih.gov/NCICB/infrastructure/cacore_overview/caadapter/).

## Starting caAdapter

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### Starting caAdapter from the Binary Distribution

To start the caAdapter binary distribution, follow these steps:

1. In a Command Prompt window, enter `cd {home directory}` to go to your home directory (Windows example: `C:\caadapter`).
2. Enter `run.bat`. The caAdapter application appears.

### Starting caAdapter from the Source Distribution

To start the caAdapter source distribution, follow these steps:

1. In a command prompt window, enter `cd {home directory}` to go to your caAdapter home directory (Windows example: `C:\caadapter`).
2. Enter `ant`.
3. Enter `cd dist`.
4. Enter `run.bat`. The caAdapter application appears.

### Starting caAdapter from the Windows Distribution

To start the caAdapter from Microsoft Windows:

- Select **Start > caAdapter**. The caAdapter application appears.

### Starting the Mapping Tool on the Web (WebStart)

You can also use caAdapter on the web without having to install the software by clicking the link in the *Use caAdapter Online* section on the following page: [http://ncicb.nci.nih.gov/NCICB/infrastructure/cacore\\_overview/caadapter/](http://ncicb.nci.nih.gov/NCICB/infrastructure/cacore_overview/caadapter/).

Once you click the link, caAdapter will be downloaded to and launched on your computer. It will run locally and your data will not be uploaded to the NCICB server.



## caAdapter Common Features

### caAdapter Interface

The caAdapter interface is Windows-based and includes a main menu bar, a tool bar, and tabs located in the top of the window. You can resize the various panels by selecting the edge of the panel and dragging. Scroll bars appear when needed to display all of the information.

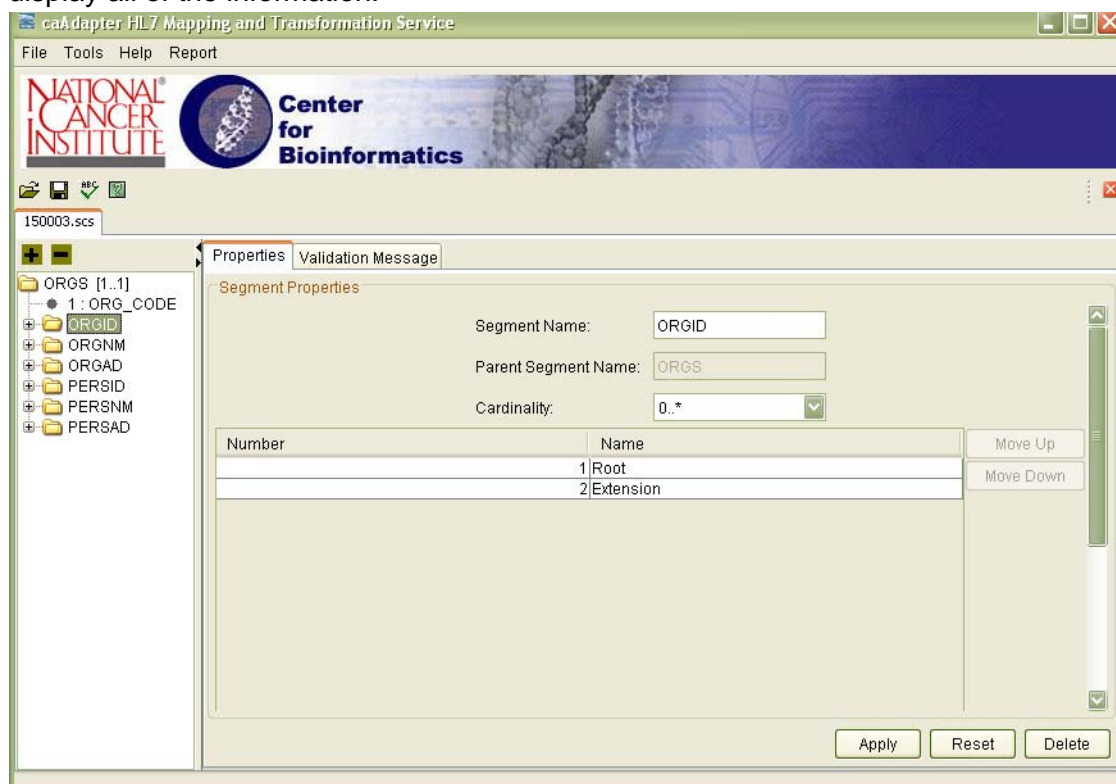


Figure 1.3 Mapping Tool Interface

### Menu Bar

The menu bar is context-sensitive. The options that are available for your current window appear in a black font; unavailable options appear in a faded gray font.

The menu bar includes the File, Tools, Help, and Report commands. The following sections discuss each command.

## File Menu

Select the File menu to perform the functions in [Table 1.1](#).

<b>File Option</b>	<b>Description</b>
New	Creates a new file for the type of file you select including: <ul style="list-style-type: none"> <li>• CSV to HL7 V3 Mapping and Transformation Service <ul style="list-style-type: none"> <li>◦ HL7 V3 Specification</li> <li>◦ CSV Specification</li> <li>◦ CSV to HL7 V3 Map Specification</li> <li>◦ CSV to HL7 V3 Message</li> </ul> </li> <li>• HL7 V2 to HL7 V3 Mapping and Transformation Service <ul style="list-style-type: none"> <li>◦ HL7 V3 Specification</li> <li>◦ HL7 V2 to HL7 V3 Map Specification</li> <li>◦ HL7 V2 to HL7 V3 Message</li> </ul> </li> <li>• HL7 V3 to CSV Transformation Service <ul style="list-style-type: none"> <li>◦ HL7 V3 to CSV</li> </ul> </li> </ul>
Open	Opens an existing file for the type of file you select including: <ul style="list-style-type: none"> <li>• CSV Specification</li> <li>• HL7 V3 Specification</li> <li>• CSV to HL7 V3 Map Specification</li> <li>• HL7 V2 to HL7 V3 Map Specification</li> </ul>
Save (CTRL + S)	Saves the file you are currently working on (in the selected tab).
Save as	Opens a Save As dialog box to allow you to save the file to another file name.
Validate	Validates the file you are currently working on in the selected tab.
Close (CTRL + F4)	Closes the file you are currently working on in the selected tab. The following message appears if you have not saved your work: <i>Data has been changed but is not saved. Would you like to save your changes?</i> Select <b>Yes</b> , <b>No</b> , or <b>Cancel</b> .
Close all	Closes all open files.
Exit (ALT + F4)	Closes caAdapter.

Table 1.1 File menu commands

## Tools Menu

Select the Tools menu to perform the options in [Table 1.2](#).

<b>File Option</b>	<b>Description</b>
Preferences (CTRL + Q)	You can select options relevant to HL7 specification (Enable NullFlavor, Enable Complex Datatype, and Enable OID) and message validation (Structure, Structure & Vocabulary, and Structure, Vocabulary, and Schema (xsd)).

Table 1.2 File menu commands

<b>File Option</b>	<b>Description</b>
Load HL7 V3 Normative Edition Artifacts	Select this option to load the HL7 specification files and folders.

Table 1.2 File menu commands (Continued)

## Help Menu

Select the Help menu learn more about caAdapter. The following table explains the menu options.

<b>File Option</b>	<b>Description</b>
About caAdapter	View a description of caAdapter and its license and copyright information.
Help - Contents and Index	Open a browser window that contains online help for caAdapter. Tabs appear on the left of the browser window that allow you to search for help topics in a traditional table of contents as well as an index.

Table 1.3 Help menu commands

## Report Menu

The Report menu is available for files for which it is possible to generate a report. When it is not possible to create a report for a file, this menu is unavailable. The following table explains the menu option.

<b>File Option</b>	<b>Description</b>
Generate Report	Select this option to create a report of the file currently opened in caAdapter. Reports are saved in Microsoft Excel format.

Table 1.4 Report menu command

## caAdapter Toolbar

The caAdapter toolbar is context-sensitive. The toolbar displays only the options that are available for your current window. [Table 1.5](#) describes each of the available toolbar buttons. These serve as shortcuts for specific menu commands.







<b>Button</b>	<b>Description</b>
	Opens a new file of the type of file that is currently open.
	Saves the file that is currently open.
	Closes the tab that is currently open.
	Validates the file that is currently open.
	Refreshes the mapping panel. It is only visible if it is on the mapping panel.
	Opens the Help window.

Table 1.5 Toolbar buttons

## Tabs

caAdapter uses a document-oriented paradigm where up to four files of different types can be open at the same time, each within its own tab in a single window. The four different types of tabs are:

- CSV specification (`.scs`)
- HL7 V3 Specification (`.h3s`, `.xml`)
- CSV to HL7 V3 Map Specification (`.map`)
- HL7 V2 to HL7 V3 Map Specification (`.map`)

In some cases, such as with `.map`, only one of each file type may be open at a time. If you open a new file of a file type that is restricted and already open, then the existing file will be replaced with the new file. The tab name is either the name of the file in the tab or `untitled.<ext>`, where `<ext>` is the appropriate file extension for that type of tab. The window layout changes depending on the type of tab displayed. For example, the HL7 V3 specification tab displays a tree structure in the left-hand panel and the properties and validation messages in the right-hand panel.

# CHAPTER 2

## USING CAADAPTER

Topics in this chapter include:

- *API Process Flow for CSV to HL7 V3 Transformation* on page 15
- *API Operational Scenario for CSV to HL7 V3 Transformation* on page 16
- *Operational Scenario for CSV to HL7 V3 Transformation* on page 17
- *Operational Scenario for HL7 V2 to HL7 V3 Transformation* on page 17

### API Process Flow for CSV to HL7 V3 Transformation

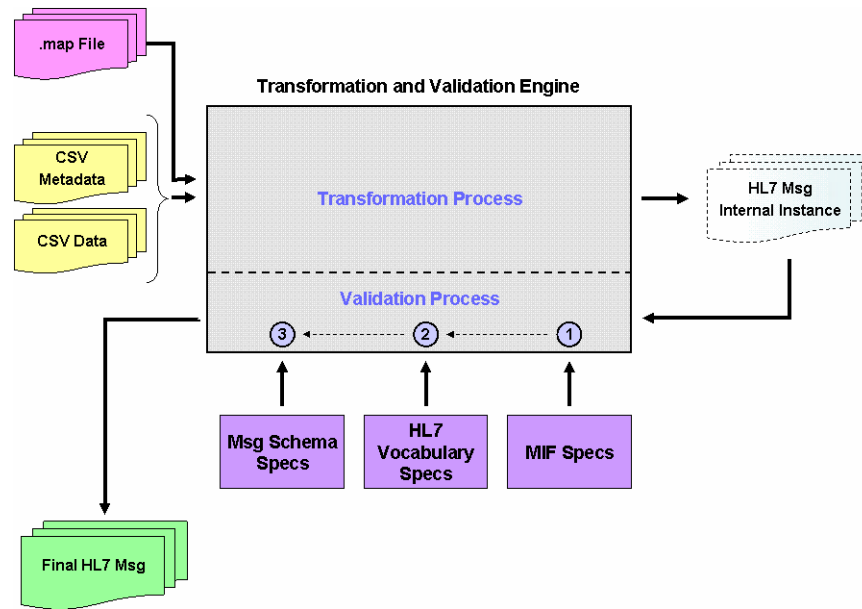
---

This section describes the process for creating a validated HL7 V3 adverse event (AE) message, also known in HL7 as an ICSR, based on a given CSV file or set of files and a corresponding mapping specification. caAdapter uses the Transformation and Validation engine to perform different validation levels based on a user's selection: structural only, structural and vocabulary; or structural, vocabulary, and schema.

The basic steps to accomplish this workflow using caAdapter are:

1. caAdapter receives a CSV file, its meta file, an HL7 V3 message specification, and the mapping file that the user used to map the CSV schema to the HL7 V3 message.
2. The transformation process uses the files above to create a preliminary HL7 V3 message, an internal instance, which will be put through the validation process.
3. The validation process uses the internal instance of the message to perform the following validation sub-processes, (1) validation against the MIF specifications. (2) validation against HL7 V3 published vocabulary, and, (3) validation against the schema of that HL7 V3 message type.
4. caAdapter creates the final HL7 V3 message that corresponds to the source CSV file.

The following graphic (*Figure 2.1*) illustrates the transformation and validation processes.



*Figure 2.1 Transformation and Validation Processes*

## API Operational Scenario for CSV to HL7 V3 Transformation

A clinical trials coordinating center is automating the receipt and routing of AE reporting from the member hospitals and clinical centers. They have researched the options and chosen to implement HL7 V3 messaging. Their hospitals are implementing the messages and the coordinating center is preparing to handle the incoming messages. They have identified the caAdapter APIs as one part of their messaging infrastructure.

When their messaging service receives an HL7 V3 message from a hospital or clinical center, it calls a caAdapter API to parse the incoming message. The parser validates the message against the appropriate XML schema description based on the message ID. It then builds an object graph in memory based on the schema definition and loads the data into the object graph. Another caAdapter API is called to validate the vocabulary used for the HL7 V3 structural attributes using the NCI's Enterprise Vocabulary Services (EVS). This overall process builds a caAdapter log file that the system administrator can monitor.

With the validated message content held in the object graph, the system can now perform the following:

- Generate the HL7 V3 message for rerouting to the FDA using another caAdapter API for building messages.
- Pass the caAdapter object graph in an API call to a separate persistence application where the data is stored for research/data mining and administrative purposes.
- Notify the sending system that the message was received and processed using identifying data from the object graph.

## Operational Scenario for CSV to HL7 V3 Transformation

---

A research hospital has been faxing AE reports to a clinical trials coordinating center for submission to the Food and Drug Administration (FDA). Instead of using a manual effort to fill out the MedWatch 3500A form, they would like to automate and streamline the process. They have a clinical data management system (CDMS) where the necessary AE data is stored. They would like to automate the process by pulling data from this system and transforming it into an HL7 V3 message to route to the FDA. Their clinical systems analyst researched the HL7 standards and identified the correct specification to use, called the ICSR. The analyst uses caAdapter to implement this plan.

The clinical systems analyst uses caAdapter to define a file specification that describes the source file for the transformation. This source specification outlines the format of a CSV file where each line is a segment containing a logical grouping of fields. Each segment may have one or more dependent child segments to handle one-to-many relationships between logical groups of data. The analyst also uses caAdapter and the HL7 ICSR's MIF file to generate a target file specification. This specification is based on the number and types of elements in the HL7 message that are needed to support their AE data. After source and target specifications are defined, the analyst then maps source fields to target fields using caAdapter's map specification tab. The application allows the analyst to drag-and-drop CSV source fields onto HL7 target fields and use functions to manipulate the data on the way. The result of this step is that a mapping specification is generated by caAdapter. After the mapping is complete, the analyst then uses caAdapter to test the generation of HL7 V3 ICSR XML message instances using a sample CSV file obtained from the CDMS.

When this development process is complete, the caAdapter specification files and transformation APIs can be implemented as part of a message routing infrastructure to deliver AE data to the FDA in a streamlined fashion.

## Operational Scenario for HL7 V2 to HL7 V3 Transformation

---

A number of research institutes have been submitting daily electronic AE data to a clinical trials coordinating center which in turn consolidates and submits to the FDA. The data is being submitted in HL7 V2.5 format. The coordination center anticipates a new FDA requirement which mandates that all AE submissions be in HL7 V3 format. The coordination center decides that the best way to meet this requirement is to add an HL7 V2.5 to V3 data conversion step to its current FDA submission process.

Instead of manually implementing the conversion process, the coordinating center decided to use the caAdapter Mapping and Transformation tools to expedite the implementation.

The clinical systems analyst researched the HL7 V3 standards and identified the best message type to use for submitting AE data. The next step is to map the data elements from the HL7 V3 V2.5 message currently being used, to the identified target HL7 V3 message.

The first task of the conversion and transformation process is to use caAdapter to create a CSV file and CSV file specifications that match the HL7 V2.5 source message. The second step is to use the CSV to HL7 V3 mapping and transformation capability to map the CSV data elements to the target HL7 V3 message. For more information, see

the previous sections in this chapter. Once the map file has been created, caAdapter will use that to transform the data and create the HL7 V3 file.



# CHAPTER 3

## CSV TO HL7 V3 MAPPING AND TRANSFORMATION

This chapter explains the procedures for using caAdapter to perform CSV to HL7 V3 mapping and transformation.

Topics in this chapter include:

- *caAdapter Process Flow* on page 20
- *Understanding Source Specifications* on page 22
- *Understanding Target Specifications* on page 28
- *Understanding Map Specifications* on page 40
- *Understanding HL7 V3 Messages* on page 51
- *Transforming an HL7 V3 Message to CSV Format* on page 56

## caAdapter Process Flow

Follow the steps below to map and transform data in caAdapter. These steps are also depicted in the graphic below.

1. Generate a CSV specification file. For more information, see *Understanding Source Specifications* on page 22.
2. Generate a target specification file from an HL7 MIF file. For more information, see *Understanding Target Specifications* on page 28.
3. Map the source specification to the target specification, then generate a mapping file. For more information, see *Understanding Map Specifications* on page 40.
4. Generate a HL7 V3 message from source data using the mapping file. For more information, see *Understanding HL7 V3 Messages* on page 51.

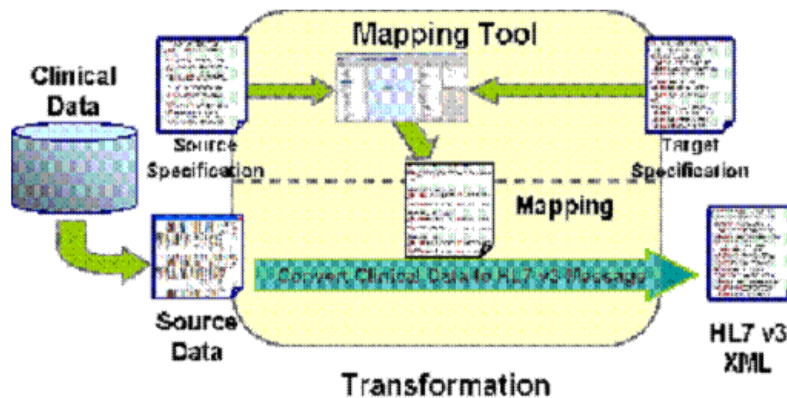


Figure 3.1 Mapping Tool Process Overview

## caAdapter Validation

Validation is used to

- Validate the given specification to ensure it is technically correct before continuing onto the next step.
- Provide a user-friendly method to report errors so you can correct them.
- Provide reminder notes on the process (information messages).

The results of the validation appear in the Validation Messages panel ([Figure 3.2](#)). The panel displays only one level of message at a time.

From this panel you can do the following:

- Change the Message Level by selecting a different level from the drop-down list.
- Click **Save** to save the messages to a file.
- Click **Print** to send the messages to your printer.

- Select a message to display the full content of the selected message in a panel below the Validation Messages panel (*Figure 3.2*).

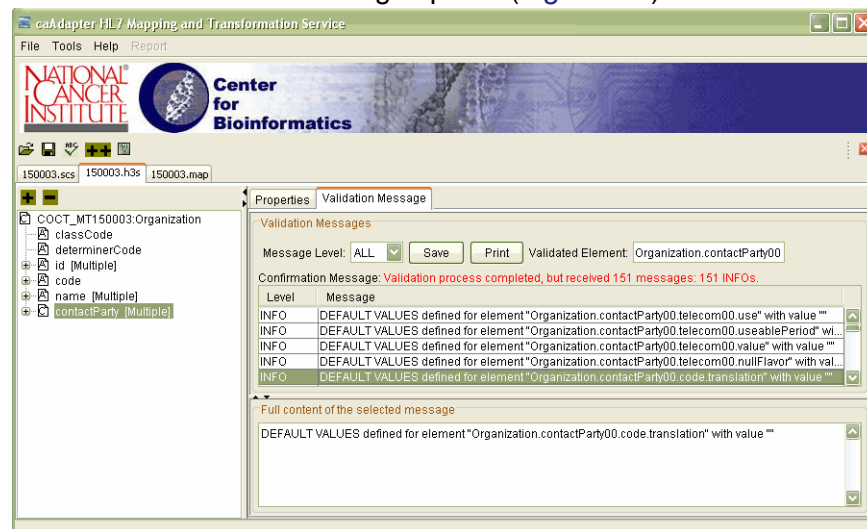


Figure 3.2 Validation Messages Panel

The following table (*Table 3.1*) lists and describes the different levels of messages produced during validation. It also gives examples.

Message Level	Description	Example
FATAL	The process leads the application into an unrecoverable situation where the application itself has to halt the process instead of moving forward.	A file with a wrong file type is given to the map specification module and it does not know how to open the file.
ERROR	The process leads the application into a recoverable situation with serious issues that require your attention. It is better if these errors are resolved before proceeding or you could receive partial or incorrect results.	The CSV data does not match a given specification.
WARNING	The process leads the application into a recoverable situation with medium level issues that won't prevent the application from proceeding further. However, it may require your attention to resolve them so the process will generate the expected results.	Not all segments and fields within the CSV specification have been mapped to the HL7 V3 specification.
INFO	Contains information, such as tips, suggestions, reminders, etc. You can simply ignore them if you want to.	Contains the choice selected for an element.

Table 3.1 Validation Messages

## Understanding Source Specifications

---

This section includes the following topics:

- *CSV Specification* on page 22
- *Creating a New CSV Specification File* on page 23
- *Opening an Existing CSV Specification File* on page 24
- *Updating the CSV Specification* on page 25
- *Validating the CSV Specification* on page 27
- *Saving a CSV Specification* on page 27
- *Generating a Report* on page 28

### CSV Specification

#### Business Rules

Following are the business rules for a CSV specification. These rules are enforced during CSV validation:

- Two or more segments cannot have the same name.
- Two or more fields cannot have the same name in same segment (case-insensitive).
- Segment names must be a combination of any letters (A-Z) in CAPITAL letters, numbers, or the underscore character.
- Field names must be a combination of any letters (A-Z or a-z), numbers, or the underscore character.

#### About the CSV Specification Tab

The CSV Specification tab ([Figure 3.3](#)) enables you to identify the hierarchy of segments and fields that describe an incoming CSV data file that must be converted into one or more HL7 V3 XML messages. The tree structure appears in the left-hand panel, and the validation results and properties appear in the right-hand panel.

The tree structure displays the hierarchy of segments and fields that represent the way data in the source CSV files are organized. Using the tree structure, you can drag and drop an element to another location in the tree. You can also expand and collapse a

branch of the tree using the plus (+) and minus (-) symbols. The Properties section in the right panel allows you to work with the metadata on the left.

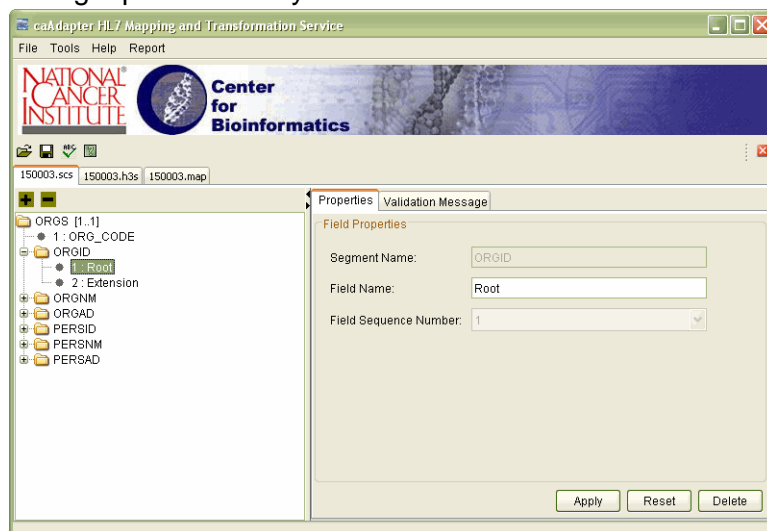


Figure 3.3 CSV Specification Tab

The following sections describe how to access, update, validate, and save the CSV specification.

## Creating a New CSV Specification File

To create a new CSV specification file, follow these steps:

1. Select the following menu commands:

**File > New > CSV to HL7 V3 Mapping and Transformation Service > CSV Specification**

The New CSV Specification dialog box appears.

2. Select one of the following sources, then follow the appropriate steps:

Source	Steps
Blank CSV Schema	Click <b>OK</b> to open the CSV Specification file named <code>Untitled_1.scs</code> . The file opens in a new tab with an empty tree, except for an initial root segment named <b>ROOT</b> (by default).
Generate from a CSV Instance	<ol style="list-style-type: none"> <li>Click <b>Browse</b> to display the Open CSV File dialog box.</li> <li>Select the appropriate <code>.csv</code> data file, then click <b>Open</b>. The New CSV Specification dialog box opens and displays the file.</li> <li>Click <b>OK</b>. A new tab named <code>Untitled_1.scs</code> opens and displays the contents of the selected file.</li> </ol>
New from an Existing CSV Specification File	<ol style="list-style-type: none"> <li>Click <b>Browse</b> to display the Open CSV Specification dialog box.</li> <li>Select the appropriate <code>.scs</code> file, then click <b>Open</b>. The New CSV Specification dialog box opens and displays the file.</li> <li>Click <b>OK</b>. A new tab named <code>Untitled_1.scs</code> opens and displays the contents of the selected file.</li> </ol>

Table 3.2 Selecting a Source

## Opening an Existing CSV Specification File

To open an existing CSV Specification file, follow these steps:

- Select the following menu commands:

**File > Open > CSV Specification**

The Open CSV Specification dialog box appears.

- Select the appropriate `.scs` file, then click **Open**.

A new tab opens with the CSV Specification file displayed in the tree.

## Updating the CSV Specification

Once you have a CSV specification file open, you can perform the following basic functions to update the tree hierarchy.

1. Update any default field names to have meaningful names.
  - a. Click a segment in the tree structure to display the details of that element in the **Segment Properties** section ([Figure 3.4](#)).

Figure 3.4 Segment Properties

- b. Click the **Move Up** and **Move Down** buttons to re-arrange the sequence of the fields displayed under the given segment. By default the **Move Up** and **Move Down** buttons are both disabled unless you select any element in the field list (they are enabled in [Figure 3.4](#) because **id\_extension** is selected). Select a number/name row and click the **Move Up** or **Move Down** button until you have the fields arranged correctly. Click **Apply** to update the tree structure.
    - c. Edit the **Segment Name** and click **Apply** to update the tree in the left-hand panel.
    - d. Right-click a segment to get the options available to perform on that segment ([Figure 3.5](#)).

Figure 3.5 CSV segment right-click options

- Right-click and select **Add Segment** to display the Add Segment dialog box. Enter the **CSV segment name** and click **OK**. The segment is added to the tree structure.
- Right-click and select **Add Field** to display the Add Field dialog box. Enter the **CSV field name** and click **OK**. The field is added to the tree structure.
- Right-click and select **Add Choice Segment** to display the Add Choice Segment dialog box. Name the new choice segment and select its cardinality type. Click **OK**. The segment is added to the tree structure.

- Right-click and select **Edit** to display the **Edit** dialog box. Edit the **CSV segment name** and click **OK**. The segment name is changed in the tree structure.
  - Select one or more segments, right-click and select **Delete** to display the **Confirmation** dialog box. Click **Yes** or **No**. The segment name(s) are deleted from the tree structure.
2. Click a field in the tree structure to display the details of that element in the **Field Properties** section (*Figure 3.6*).

*Figure 3.6 Field Name metadata properties*

3. Edit the **Field Name** and click **Apply** to update the tree in the left-hand panel.
4. Right-click a field to get the options (**Edit**, **Delete**) available to perform on that field.
5. Right-click and select **Edit** to display the **Edit** dialog box. Edit the **field name** and click **OK**. The field name is changed in the tree structure.
6. Select one or more fields, right-click and select **Delete** to display the **Confirmation** dialog box. Click **Yes** or **No**. The field name(s) are deleted from the tree structure.
7. Drag-and-drop a field or segment to another area in the tree to rearrange the tree contents. Moving a segment takes its complete sub-tree with it. You may not drag-and-drop the root segment; it must remain as the root, but its fields may be moved. The cursor indicates when the field or segment can be dropped.
8. Use the **Reset** button to reset changes made before selecting **Apply**.
9. Use the **Delete** button to delete an element from the tree.



## Validating the CSV Specification

Once you are satisfied with the CSV specification, you can validate it by performing the following steps.

1. Select **File > Validate** or select the Validate icon from the tool bar to display the Validate dialog box (*Figure 3.7*).

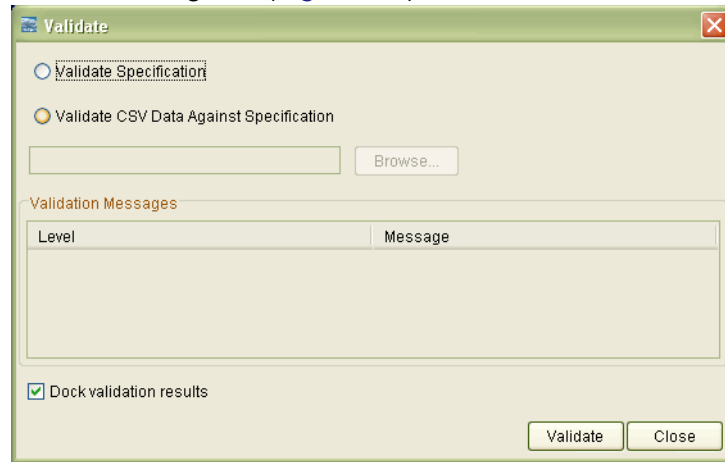


Figure 3.7 CSV validation options

2. Select one of the following:
  - To validate the specification, click **Validate**.
  - OR
  - Select **Validate CSV Data Against Specification** to test a CSV data file against the specification. Click **Browse** to display the Open CSV File dialog box. Select the appropriate .CSV file and click **Open**. Click **Validate**.
3. The **Dock validation results** check box is automatically selected so that the messages are displayed in the right-hand panel, after the validation dialog is closed. The read-only validation messages appear. See *caAdapter Validation* on page 20 for more information on using the validation messages.

## Saving a CSV Specification

When you are finished working on the CSV specification, do the following:

- From the menu bar, select **File > Save** or **File > Save As**.
- or
- Click the **Save** icon on the tool bar.

This creates an XML-like file describing the tree structure. This file is portable and available for your or another user's future use.

## Generating a Report

When the CSV Specification tab is selected, you can export the CSV specification to an Excel spreadsheet by performing the following steps.

1. Select **Report > Generate Report** from the menu bar to display the Select File to Save Generated Report dialog box.
2. Enter a file name and click **Save**. A “Report has been successfully generated” message appears.

Part of a generated CSV report is shown below (*Figure 3.8*).

Segment Name	Field 1	Field 2	Field 3	Field 4
INVESTEVN	id_extension	code_code	code_displayName	text_inlineText
CASESERIOUSNESS_1	code_code	code_displayName	value_code	value_displayName
APPROVAL_2	id_extension	effectiveTime_low_value		
TERRITORY_21	code_code	code_displayName		
GOVERNINGAGENCY_22	id_extension	name_inlineText	name_suffix	
PLAYINGMANUFACTURER_23	id_extension	code_code	code_displayName	name_inlineText
PARTMEDICATION_3	code_code	code_displayName	name_inlineText	name_suffix
ASSIGNEDIDENTITY_4	code_code	code_displayName		
REPRESENTEDSCOPINGORGANIZATION_41	code_code	code_displayName	name_inlineText	name_suffix
ASSIGNEDORGANIZATION_42	id_extension	code_code	code_displayName	name_inlineText
TRIGGER_5	priorityNumber_value			
REACTION_51	code_code	code_displayName	text_inlineText	effectiveTime_value
INVESTIGATIVESUBJECT_511	id_extension			
SUBJECTAFFECTEDPERSON_5111	name_family_in	name_given_in	name_suffix_in	telecom_value

*Figure 3.8 Part of a generated CSV report*

## Understanding Target Specifications

This section includes the following topics:

- *HL7 V3 Specification* on page 28
- *Overview of HL7 V3 Specification Tab* on page 31
- *Working with HL7 V3 Specifications* on page 32

### HL7 V3 Specification

This section contains the following topics:

- *Business Rules for the HL7 V3 Specification* on page 28
- *Defining Inline Text* on page 29
- *Defining Units of Measure* on page 29
- *Defining Default Data* on page 29
- *Defining Object Identifiers (OIDs)* on page 30

#### Business Rules for the HL7 V3 Specification

Following are the business rules for the HL7 V3 specification:

- Abstract data types must be specialized.
- A choice must be selected on choice options.
- If an element's cardinality is one then it must have either a default value or a mapping.
- If an element's cardinality is greater than one then you have a choice to add multiple fields.

- Only mandatory clones are included when a new HL7 V3 specification is first created. Optional clones may be added.
- nullFlavor field is optional.
- Address data types are only enabled with a pre-defined subset of its data fields. All other data fields can be optionally added or removed.

### Defining Inline Text

The data type field **inline Text** is caAdapter's way of referring to text that appears between XML tags as opposed to being a value assigned to an XML attribute. The names of data types designed with inline text fields are configured within the caAdapter .properties file under the item:

```
caadapter.hl7.attribute.inlinetext.required.
```

This attribute defines a list of data types that require inline text. If you want include more data types, reset the value of

```
caadapter.hl7.attribute.inlinetext.required.
```

### Defining Units of Measure

Some HL7 V3 data types contain units of measure properties. These units of measure must match those specified in the Unified Code for Units of Measure (UCUM). The UCUM is a code system intended to include all units of measure being contemporarily used in international science, engineering, and business. For a complete list, see <http://aurora.regenstrief.org/UCUM/ucum.html>.

### Defining Default Data

User-defined default values are pre-defined constants for data type field values. These defaults allow you to assign values for data type fields that may not be available from the source data. For example, if the root for all user ids is common across the organization, this value can be entered in the target specification. HL7 structural attributes and other elements that have their values fixed by the HL7 V3 standard cannot have user-defined default values.

User-defined default values are overridden by values mapped from a data source. While required attributes should always be populated with either an HL7-defined or user-defined default value, optional ones are only populated when a map is present for that data type. The following table (*Table 3.3*) shows the expected behavior for attributes that are mapped with a CSV value, mapped with a null CSV value and unmapped data types.

	<i><b>Mapped to Non-Null Field</b></i>	<i><b>Mapped to Null Field</b></i>	<i><b>Unmapped</b></i>
Optional	CSV Value	Default Value	Element not created unless other sibling fields are mapped
Optional (Force XML)	CSV Value	Default Value	Default Value
Required	CSV Value	Default Value	Default Value
Mandatory	CSV Value	Default Value	Default Value

*Table 3.3 Default value behavior*

*Optional* means that the element is optional in the target message. It is not required if it has not been mapped.

*Optional (Force XML)* means that the element is optional in the HL7 MIF specification, but it is required by the user to create an empty element with a default value.

*Mandatory* means that the value may not be NULL, unless its container (clone, attribute, etc.) is NULL. Required means values must be supported but they may be NULL.

### Defining Object Identifiers (OIDs)

HL7 V3 artifacts use OIDs to identify coding schemes and identifier namespaces. A full list of HL7 assigned OIDs, and the details of the registered schemes, is available from the **OID Registry** page of the [www.hl7.org](http://www.hl7.org) web site (**Members Only** section). There are two types of OIDs that can be used within an HL7 message:

- HL7 OIDs
- Existing OIDs

In HL7, OIDs are assigned within the appropriate branch of the HL7 OID root (2.16.840.1.113883). If you are interested in assigning an OID to a scheme, be sure to check that the scheme you are assigning does not already have an OID assigned to it within the HL7 OID hierarchy. The process of registering an existing OID with HL7 involves adding an OID and its descriptive data to a central registry. The OID does not have to be within the HL7 root OID or any other specific root or branch OID. Once a scheme has been registered, no other OIDs that identify the same scheme can be registered.

Examples of OIDs used in HL7 are:

- Coding schemes created by professional bodies that are intended to be used widely. For example, Systematized Nomenclature of Medicine (SNOMED), Logical Observation Identifiers, Names and Codes (LOINC), International Classification of Diseases (ICD), etc. need to be registered by HL7 International.
- Civil namespaces. Identification schemes such as driver's license, social security numbers need to be registered by the appropriate HL7 Affiliate.
- In the HL7 V3 specification, when you have a codeSystem data type field, you must assign the OID in the User-defined Default Value field or you must have a map.

## Overview of HL7 V3 Specification Tab

The HL7 V3 specification tab ([Figure 3.9](#)) allows you to identify the hierarchy of elements needed for your data based on what is available in the predefined structure of an HL7 V3 message type. You update the basic specification to reflect your specific requirements, such as adding multiples of fields with a cardinality of greater than one, including or excluding clones, defining concrete data types for abstract ones or selecting choice options.

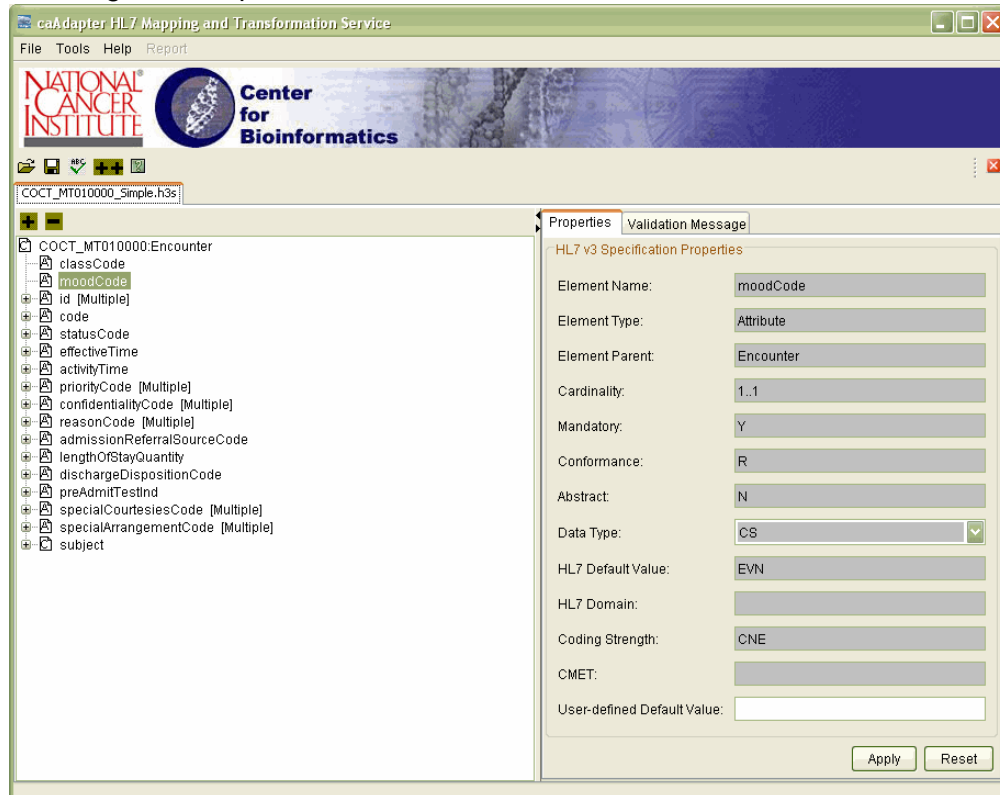


Figure 3.9 HL7 V3 Specification tab

The HL7 V3 specification tab separates the tree structure in the left-hand panel from the properties and validation messages in the right-hand panel. The tree structure displays the hierarchy of elements that represent the way data in the .h3s files are organized. The elements are designated as follows:

- **C** - Clone
- **A** - Attribute
- **D** - Data Type

Typical features of the tree structure are used, such as the ability to expand and collapse a branch of the tree using the + and - symbols respectively. The properties panel allows you to update some information such as the user-defined default value for a given data type field or to select a concrete data type for a given attribute. Right-click

on an element to display the available actions as shown in the submenu in [Figure 3.10](#). The available options are regular font.

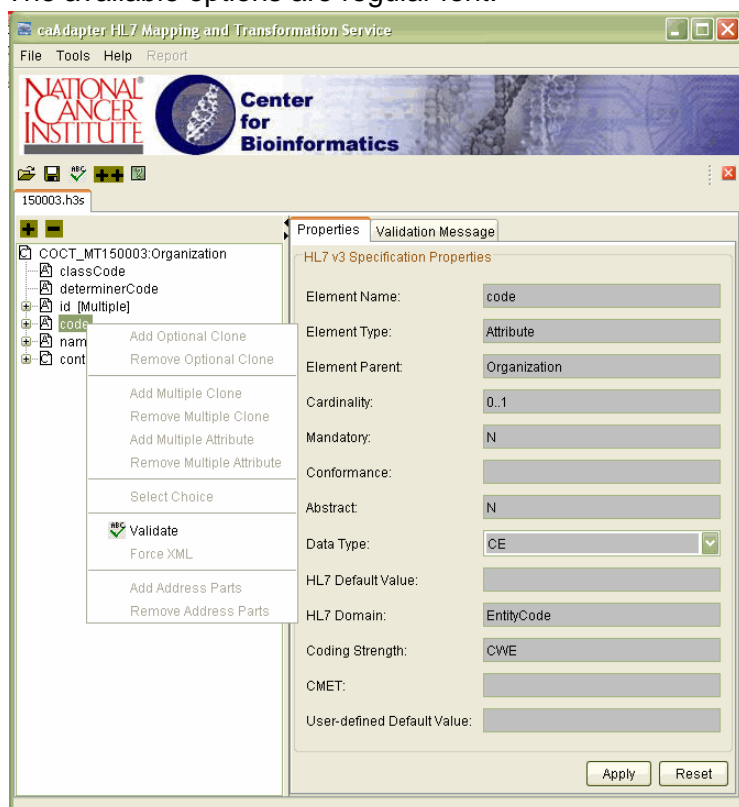


Figure 3.10 Options for HL7 V3 elements

The following sections describe how to create, update, validate, and save the HL7 V3 specification.

## Working with HL7 V3 Specifications

This section includes the following topics:

- *Creating an HL7 V3 Specification on page 33*
- *Opening an Existing HL7 V3 Specification on page 33*
- *Adding Optional Clones to the HL7 V3 Specification on page 33*
- *Adding and Removing Multiples in the HL7 V3 Specification on page 34*
- *Updating Abstract Data Types in the HL7 V3 Specification on page 35*
- *Using Choice Boxes in the HL7 V3 Specification on page 36*
- *Enabling and Disabling Force XML with an Optional Clone on page 36*
- *Adding and Removing Parts of an Address Data Type on page 37*
- *Validating the HL7 V3 Specification on page 38*
- *Saving a Map Specification on page 50*

## Creating an HL7 V3 Specification

The following steps describe how to create a new HL7 V3 specification.

1. Select **File > New > CSV to HL7 V3 Mapping and Transformation Service > HL7 V3 Specification** to display the **HL7 V3 Specification** dialog box with valid message types.
2. Select the appropriate HL7 Normative, message category, and message type from the drop-down lists ([Figure 3.11](#)) and click **OK**.

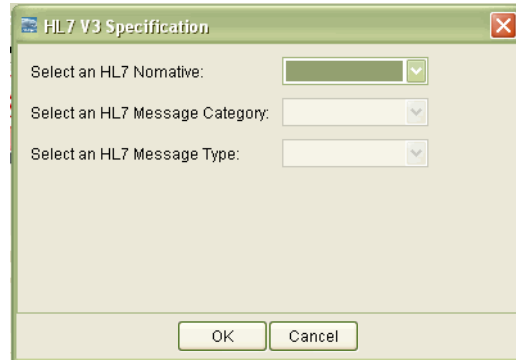


Figure 3.11 HL7 V3 Specification dialog box

Currently, the mapping tool supports all message types as defined by HL7 standards

A new HL7 V3 specification tab appears with the name `untitled_1.h3s`.

## Opening an Existing HL7 V3 Specification

To open an existing HL7 V3 specification

1. Select **File > Open > HL7 V3 Specification (.h3s) or File>Open >HL7 V3 Specification (.xml)**. The **Open HL7 V3 Specification (H3S) File** dialog box appears.
2. Select a **File name** to open and click **OK**. The HL7 V3 specification displays in a tab with the name of the file and its extension.

## Adding Optional Clones to the HL7 V3 Specification

The ability to add or remove a clone is the way caAdapter accommodates optional associations in an HL7 message. Due to the size and complexity of numerous associations, nodes are initially created in the tree for mandatory associations only. You must customize the HL7 V3 specification to include the associations that are needed for your particular mapping plans by using the **Add Optional Clone** and **Remove Optional Clone** options.

Perform the following steps to add associations or expand one recursive child generation at a time.

1. Right-click an element name with an optional or recursive relationship and select **Add Optional Clone**. The **Clone List** dialog box appears (*Figure 3.12*).

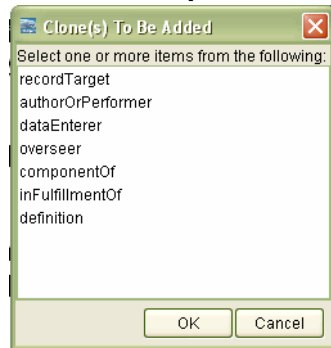


Figure 3.12 Clone List dialog box

2. In the Clone List dialog box, select one or more of the unused optional clones and click **OK**. The corresponding nodes are added to the tree in the left-hand panel.
3. There may be further optional associations available on the clones you just added. This is the case with a recursive association, where you could continue adding recursive levels to an arbitrary level as needed by adding an optional clone.

Perform the following steps to remove optional clones.

1. Right-click an element name with an optional or recursive relationship to its parent and select **Remove Optional Clone** to display the **Clone List** dialog box.
2. In the **Clone List** dialog box, select one or more of the unused optional clones and click **OK**. The corresponding nodes are deleted from the tree in the left-hand panel.

### Adding and Removing Multiples in the HL7 V3 Specification

Message elements that have either a cardinality of 0..\* or 1..\* and/or a data type that involves a collection (for example, SET, BAG, LIST) contain the **[Multiple]** label. The **[Multiple]** label is displayed as a numbered label to indicate the number of elements defined for that multiple (for example, **[1]**, **[2]**, etc.). These items appear in the HL7 V3 specification as simple repeats of the element. To accommodate the possible requirement of mapping more than one source element to the same target element, you must add multiples of these elements.

To add multiple clones, do the following.

- Right-click a clone that contains a **[Multiple]** label (or a **[1]** label, which is the first of this group of multiple clones) and select **Add Multiple Clone**.

Another element is created and the label is changed to the number of elements created.

To remove multiple clones, do the following.

1. Right-click a clone with the **[xx]** label (where xx is a number greater than one), indicating that it is a replicated clone, the **Remove Multiple Clone** is enabled,



select **Remove Multiple Clone**. One multiple of the element is removed from the tree structure.

2. Perform the following steps to add multiple attributes.
  - a. Right-click an attribute with the **[1]** label, indicating that it is a replicated attribute. The **Remove Multiple Attribute** is enabled.
  - b. Select **Remove Multiple Attribute**.

Another element is created and the label is changed to the number of elements created.

To add multiple attributes, do the following.

1. Right-click an attribute with the **[1]** label, indicating that it contains multiple numbered labels, and select **Add Multiple Attribute**.
2. One multiple of the attribute is added to the tree structure.  
Another element is created and the label is changed to the number of elements created.

To remove multiple attributes, do the following.

1. Right-click an attribute with the **[1]** label, indicating that it contains multiple numbered labels, and select **Remove Multiple Attribute**.
2. One multiple of the attribute is removed from the tree structure.

### Updating Abstract Data Types in the HL7 V3 Specification

Abstract data types occur when HL7 message developers do not specify a particular data type to use when populating attributes and are indicated by a **[QTY]** or **[ANY]** label in an HL7 V3 specification. You must assign a specialized data type to the abstract element by performing the following steps.

1. Select the element name in the left-hand panel to display its properties in the **HL7 V3 Specification Properties** panel.
2. Use the drop-down list in the **Data Type** field to select the data type. Click **Apply**. After assigning a concrete data type with an abstract data type, the system retrieves the data fields of the assigned data type and attaches those to the original attributes accordingly.
3. Repeat steps 1 and 2 above if you need to change a different concrete data type.

## Using Choice Boxes in the HL7 V3 Specification

You can only select one choice item at a time for a given element.

To make a choice selection for an element, do the following.

1. Right-click an element name that contains a **[Choice - Unselected]** label and select **Select Choice** to display the **Clone List** dialog box.
2. Select one and only one clone from the displayed list and click **OK**. This creates an expandable node with the **[Selected Choice for]** label displayed beside the parent clone node (*Figure 3.13*).

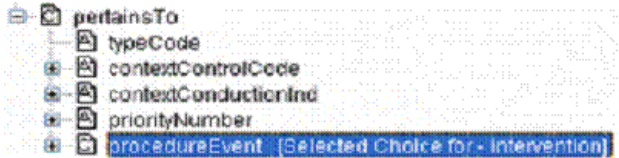


Figure 3.13 Selected choice

**Note:** Since a business rule for an HL7 V3 specification specifies a choice must be selected, there is no option to unselect a choice. However, if the parent association is optional, the association can be dropped and re-added.

3. Repeat the above steps if you want to select a different choice item.

## Enabling and Disabling Force XML with an Optional Clone

If a clone is optional for the target message specification, right-click the tree node to make **Enable Force XML** active (*Figure 3.14*).

If a clone is optional for the target message specification, and it has been enabled, right-click the tree node to enable **Disable Force XML**. Enable Force XML or Disable Force XML informs the HL7 message transformation engine whether or not to create an empty element if no mapping has been set (*Figure 3.15*).

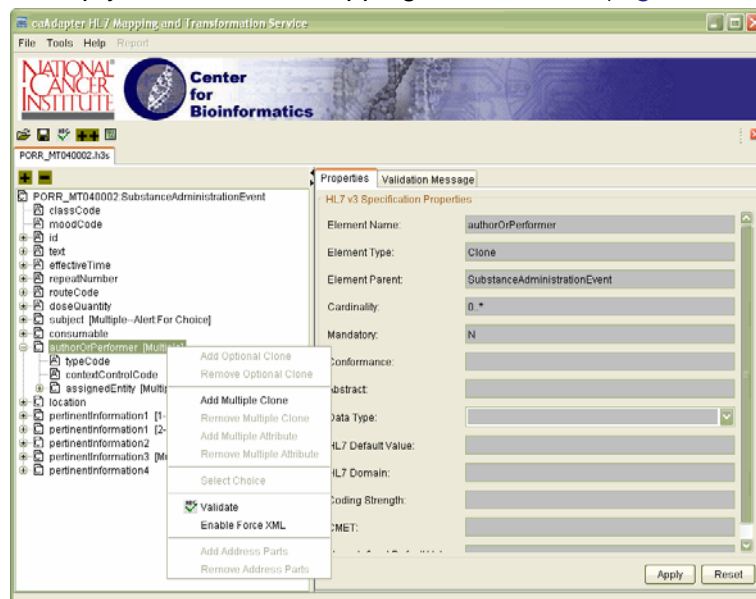


Figure 3.14 Enable Force XML

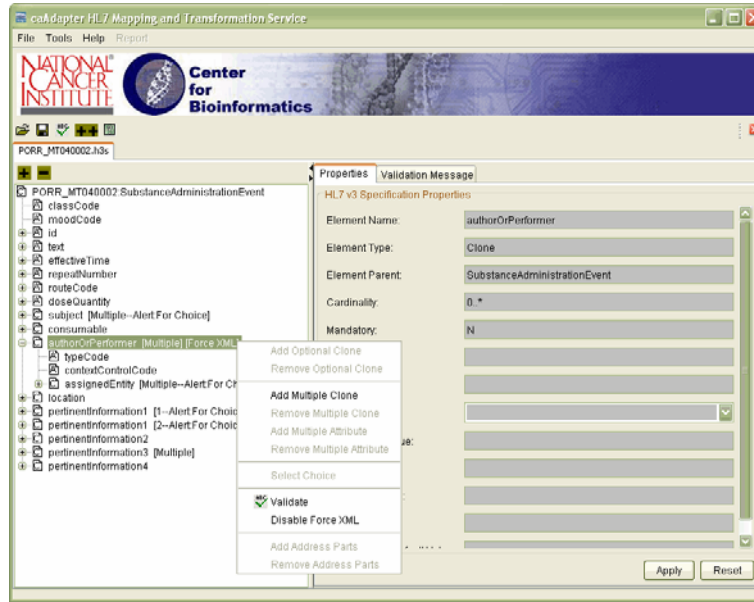


Figure 3.15 Disable Force XML

### Adding and Removing Parts of an Address Data Type

If the system has predefined a subset of data fields for an attribute with an Address data type, other data fields can be added or removed ([Figure 3.16](#) and [Figure 3.17](#)).

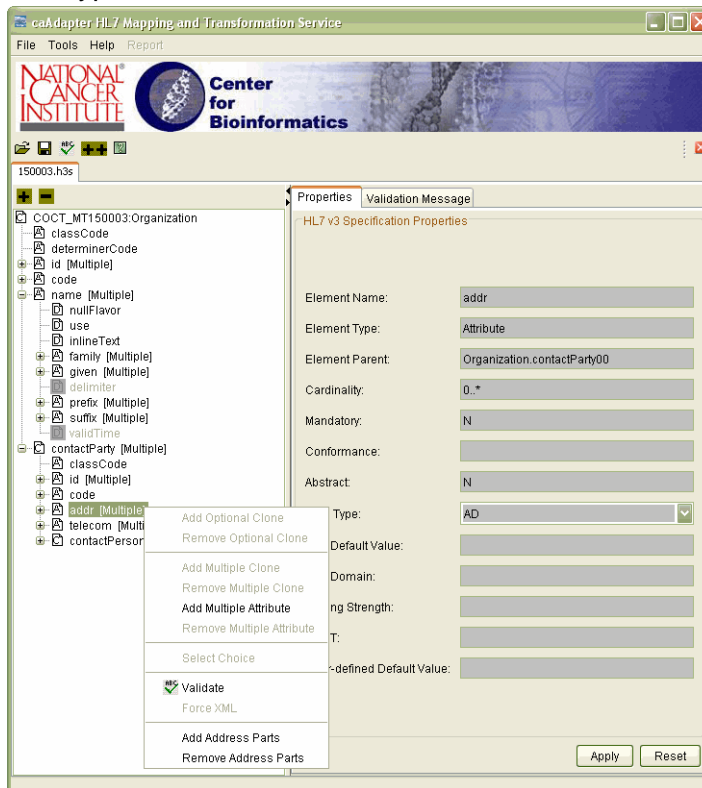


Figure 3.16 Adding or removing parts of an Address data type



Figure 3.17 Modifying an Address data type

## Validating the HL7 V3 Specification

You can validate a portion of or the entire HL7 V3 specification. A clone must be selected to perform the validation. The validation is performed on the selected clone and any children and further descendants below it in the tree structure.

To validate the HL7 V3 specification

1. Choose one of the following ways to validate the HL7 V3 specification:
  - select **File > Validate**,
  - select the **Validate** icon from the tool bar, or
  - right-click a clone and select **Validate** to perform the validation.

The Validation Message tab appears ([Figure 3.18](#)), indicating the status of the validation.

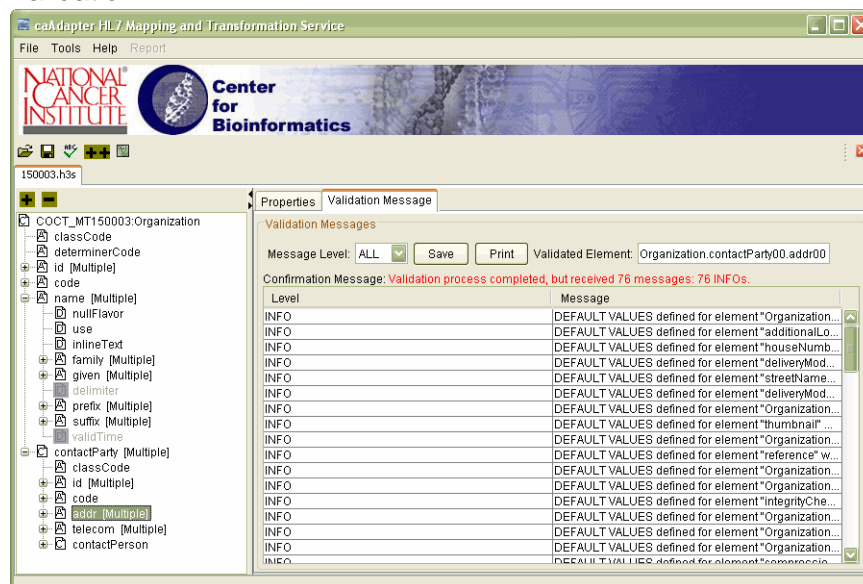


Figure 3.18 HL7 V3 specification validation

2. Click **OK**. The messages display in the **Validation Messages** panel (see [caAdapter Validation](#) on page 20).

## Saving an HL7 V3 Specification

When you are finished working on the HL7 V3 specification, select **File > Save** or **File > Save As** from the menu bar, or click the save icon on the tool bar. If the specification is being saved for the first time, the system saves it in .h3s format ([Figure 3.19](#)).

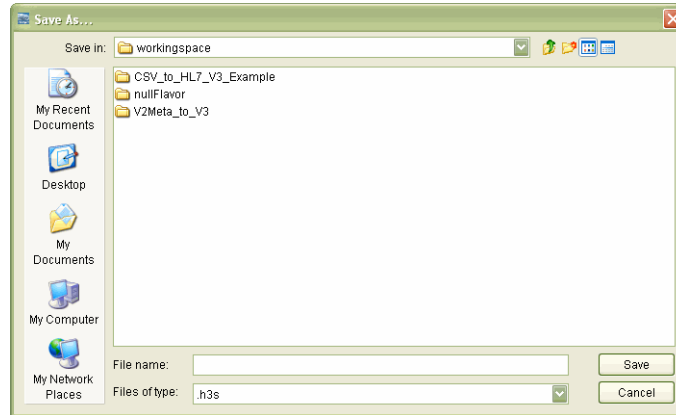


Figure 3.19 Saving HL7 specifications

## Defining Custom Data Types

The custom data type enables you to define your own data type, if that data type is not available in the HL7 V3 specification or more attributes are required to extend an existing data type.

The following example ([Figure 3.20](#)) is a sample custom data type, `II.BUS`, that was not defined in the HL7 V3 specification.

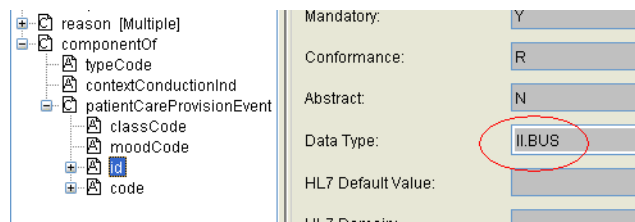


Figure 3.20 Sample Custom Data Type

caAdapter defines this custom data type in the `hl7-customer-datatype-setting.xml` file, which is in the `conf` folder. The following graphic ([Figure 3.21](#)) shows a small portion of this XML file, where `II.BUS` is an extension of the `II` data type and a new attribute, `customerDefined`, is a string. If you want to add additional custom data types, you can add them to the `hl7-customer-datatype-setting.xml` file, following this same process.

```

1  <?xml version="1.0" encoding="UTF-8"?>
2  <root>
3    <datatype name="II.BUS" base="II">
4      <attribute name="customerDefined" type="st"/>
5    </datatype>
6  </root>
7

```

Figure 3.21 Sample `hl7-customer-datatype-setting.xml` file

## Understanding Map Specifications

A mapping defines the relationship between two specification elements. caAdapter provides a link between one of the following:

- a source element to a target element
- a source element to a function's input port
- a function's output port to a target element

This section contains the following topics:

- *Map Specification Business Rules* on page 40
- *Creating Mappings* on page 40

### Map Specification Business Rules

Following are the business rules for a map specification:

- It must contain a valid mapping pair (source and target files).
- The source element referenced in the map specification must exist in the source specification.
- The destination element referenced in the map specification must exist in the destination specification.
- A mandatory MIF element must have either a mapping in the map specification or an HL7-defined or user-defined default value in the HL7 V3 specification.
- Each input parameter for a function must have a mapping or a constant defined.
- Each output parameter for a function must have a mapping.

### Creating Mappings

This section includes the following topics:

- *Overview of the Map Specification Tab* on page 40
- *Creating and Opening a Map Specification* on page 41
- *Creating a Mapping Link* on page 42
- *Deleting a Mapping Link* on page 45
- *Using Functions in Map Specifications* on page 46
- *Validating the Map Specification* on page 50
- *Saving a Map Specification* on page 50
- *Generating a Map Specification Report* on page 51

### Overview of the Map Specification Tab

The map specification tab allows you to assign fields in a source specification to elements in a target specification. For the source (the CSV specification) and the target (HL7 V3 specification), the hierarchy is visually represented using an expandable/collapsible tree structure. The target specification must be .h3s format.

The Map Specification tab ([Figure 3.22](#)) consists of the following:

- **Two tree panels** - contain the source specification in the left-hand panel and the target specification in the right-hand panel.
- **Center mapping panel** - displays the lines that indicate the mapping between source and target elements and any functions that are used in the mappings.
- **Functions panel** - displays a tree of available functions.
- **Properties panel** - changes depending on the item selected in the other panels (for example, displays link properties, HL7 V3 specification data type field properties, CSV field properties, etc.)

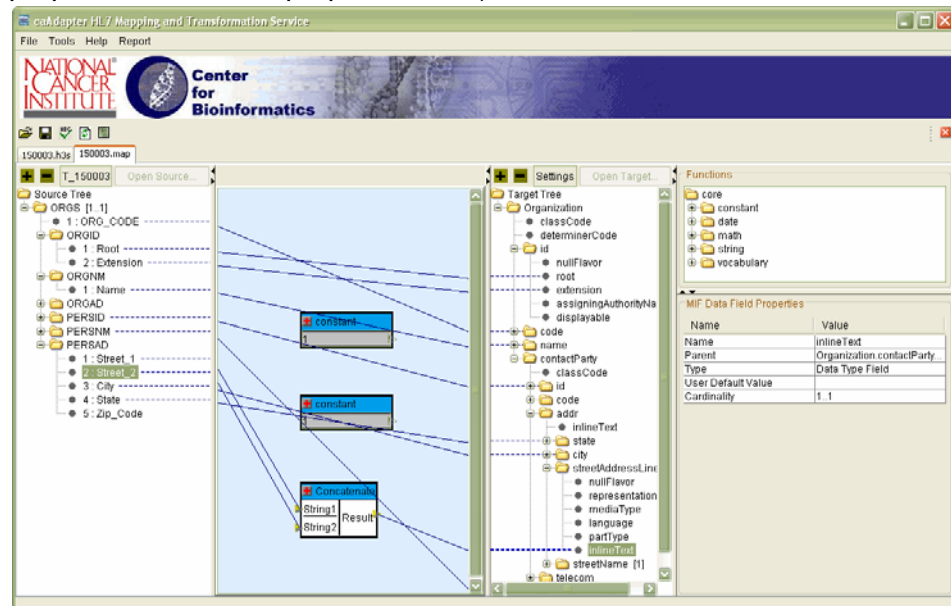


Figure 3.22 Map Specification tab

The tree structures are read-only; you must make any changes to the tree structures in the source or target specification tabs. You can only define the mappings from this tab.

**Caution:** Adding to source or target specifications that are referenced in a map file is allowable, but editing or removing source or target elements may result in a related mapping (link) getting dropped or producing other unpredictable behavior.

The following sections describe how to access, create, and save the map specification.

### Creating and Opening a Map Specification

Perform the following steps to create a new map specification.

1. Select **File > New > CSV to HL7 V3 Mapping and Transformation > Map Specification** from the menu bar to open a new mapping tab with empty source and destination panels.
2. Click **Open Source** to display the **Open Source File** dialog box.
3. Select the source file and click **Open** to populate the source panel with its tree structure.
4. Click **Open Target** to display the **Open Target File** dialog box.

5. Select the target file and click **Open** to populate the target panel with its tree structure.

Perform the following steps to open an existing map specification.

1. Select **File > Open > CSV to HL7 V3 Map Specification**. The Open Map File dialog box appears.
2. Select the map specification file and click **Open** to display the source and target trees along with any existing mappings.

## Creating a Mapping Link

Perform the following steps to create a mapping link.

1. Select a source element and drag it to the appropriate target element. The cursor indicates if the source is not allowed to be mapped to the target element (*Figure 3.23* and *Figure 3.24*).

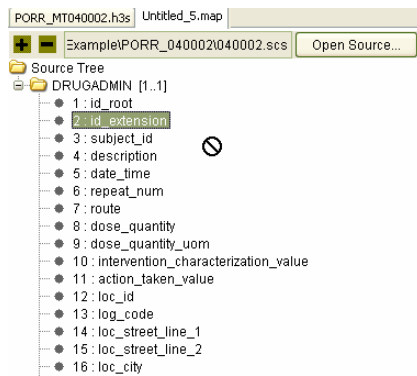


Figure 3.23 Mapping is not allowed

The cursor indicates when the source can be mapped to the target element.

2. Drop the source on the target element.

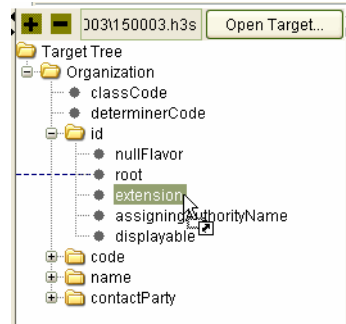


Figure 3.24 Mapping is allowed



Once a source field is mapped to a target element, a mapping line appears between them in the mapping panel. [Figure 3.25](#) shows a mapping line between **Root** and **root**.

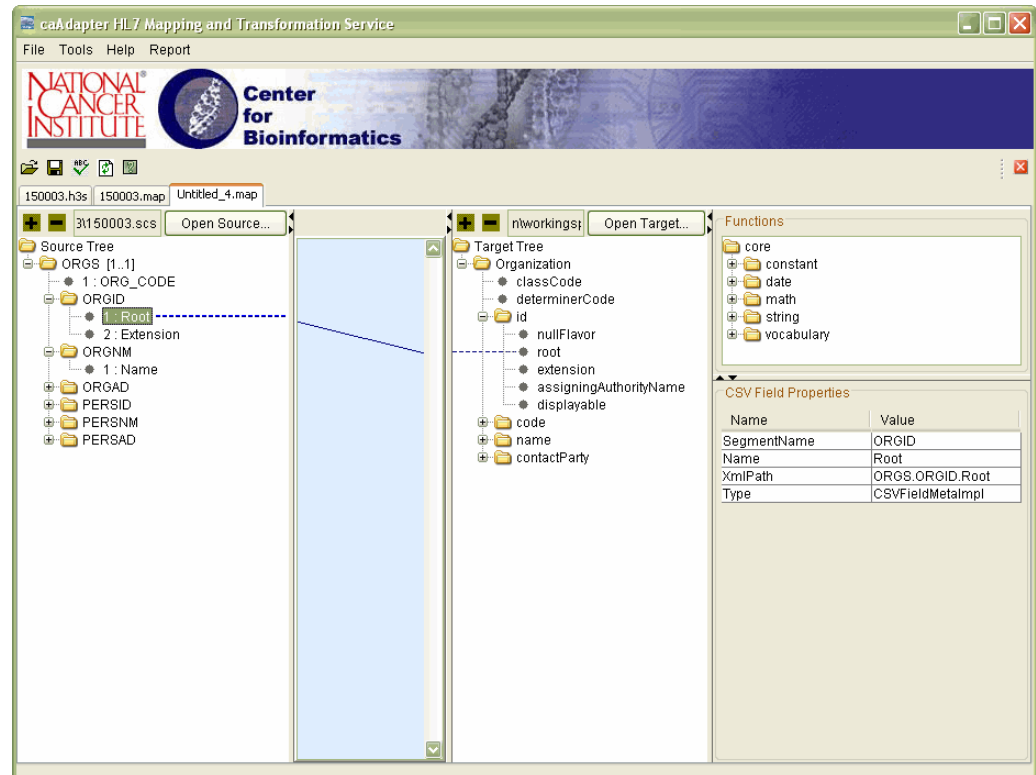


Figure 3.25 Mapping line between a source field and target element

**Tip:** To delete a mapped line or a function in the center panel, select the item you want to delete, right-click it, and select **Delete** or **Delete All**. Click **Yes** to confirm the deletion. The selected item is deleted from the mapping.

The **Properties** panel displays information about the selected element.

Note that when you select a source element, the **CSV Field Properties** appear (see [Figure 3.25](#)).

When you select a mapping line, the **Link Properties** appear ([Figure 3.26](#)).

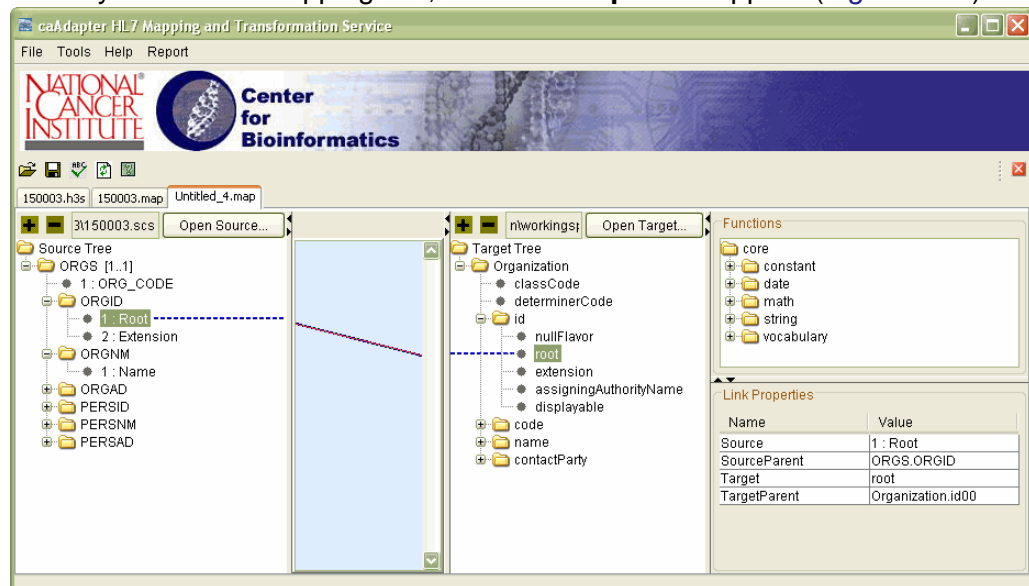


Figure 3.26 Link Properties pane

When you select a target element, the **HL7 V3 Specification Attribute Properties**, **HL7 V3 Specification Data Type Field Properties** or the **Clone Attribute Object Properties** appear ([Figure 3.27](#)).

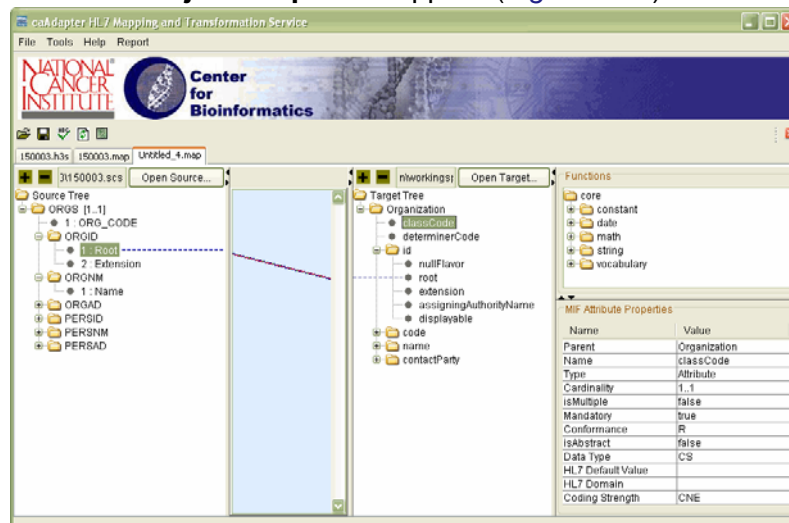


Figure 3.27 Attribute properties

When you select a function in the **Mapping** panel or in the **Functions** panel, the **Function Properties** appear. When you select a function group in the **Functions** panel, the **Function Group Properties** appear (*Figure 3.28*).

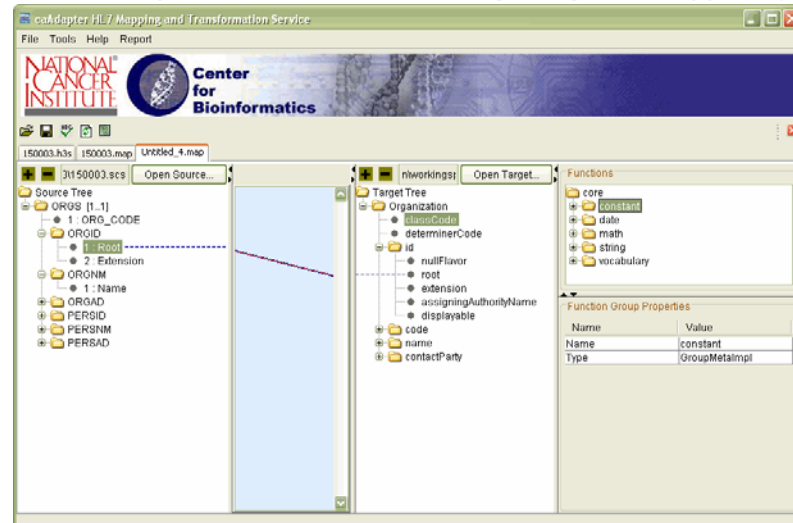
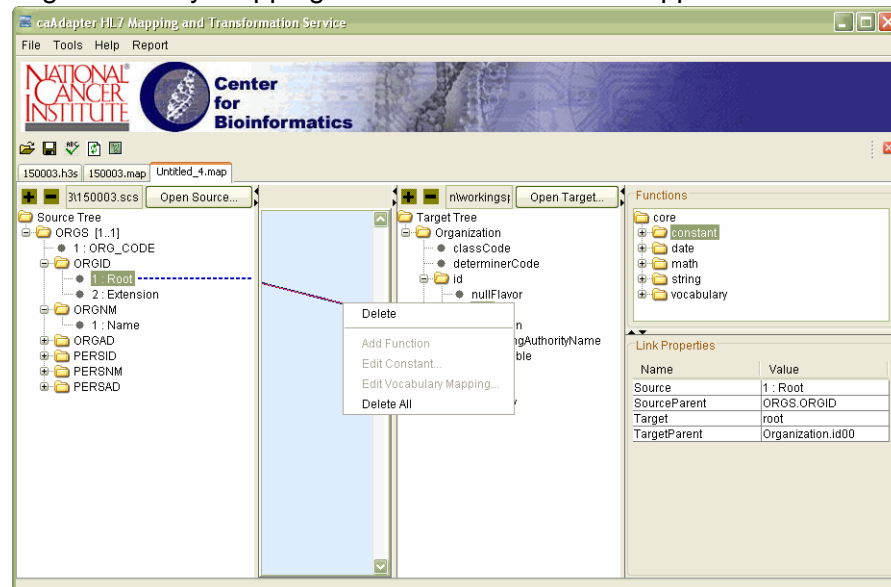


Figure 3.28 Function Group Properties

## Deleting a Mapping Link

To delete a mapping link

1. Right-click any mapping line to select it. A menu appears.



2. Select **Delete** to delete only the selected mapping line. Select **Delete All** to delete all mapping lines (including those you have not selected). A message appears asking you to confirm the deletion(s). If you select Yes, the mapping line or lines are deleted.

## Using Functions in Map Specifications

The **Functions** panel ([Figure 3.29](#)) provides a list of system defined functions that facilitate the data transformation requirement. Functions are grouped by functional categories (for example, constant, date, math, string, etc.). You may use a function in the mapping to effect a change of the source element to the target element. For example, you can use the concatenate function to add a prefix to an element.

When a function is selected in the function library, its properties information appears, such as name and number of input and output parameters, in the **Function Properties** panel ([Figure 3.29](#)).

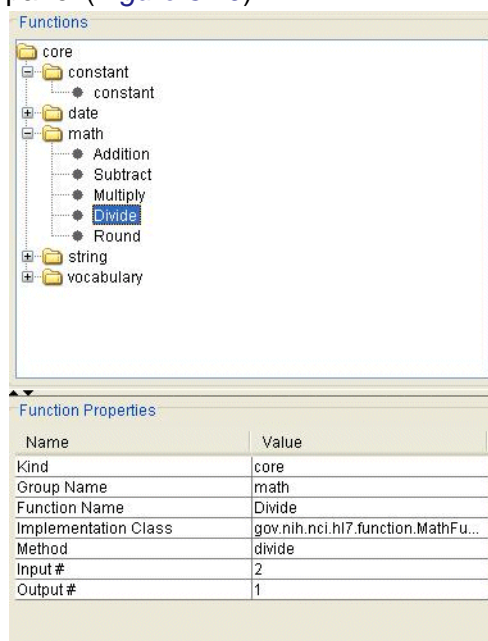


Figure 3.29 Functions in mapping specification

Perform the following steps to include a function in your mapping specification.

1. Add a function to the mapping panel. Select a function in the **Functions** panel, right-click in the center panel and select **Add Function**, or drag-and-drop the required function from the **Functions** panel to the mapping panel. Move this function box around the mapping panel as convenient to attach the map.
2. Drag-and-drop the source field(s) onto the input parameters. [Figure 3.30](#) shows the selected field **text** being dropped as an input to the **Initcap** function.

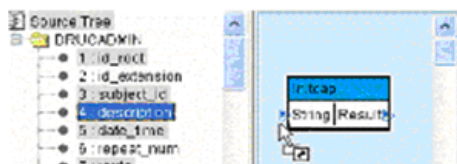


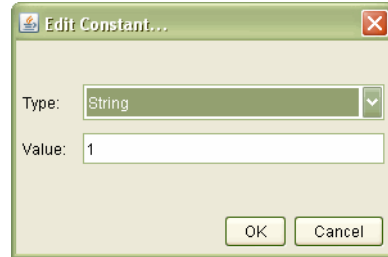
Figure 3.30 Adding input to a function

3. Drag-and-drop the target field onto the output parameter. The mapping lines go from the source fields into the function box and out of the function box to the target fields.

*Editing a Constant Function*

Perform the following steps to edit a constant function.

1. Select a constant function in the mapping panel, right-click and select **Edit Constant**. The Edit Constant dialog box appears.



2. Change the **Type** and/or **Value** for the constant and click **OK**.

*Using the Date Function*

The date function, **changeFormat**, uses the Java `SimpleDateFormat` class. See <http://java.sun.com/j2se/1.5.0/docs/api/java/text/SimpleDateFormat.html> for more information. *Table 3.4* shows the correct syntax for each date or time component.

<b>Date or Time Component</b>	<b>Presentation</b>	<b>Example Pattern 1</b>	<b>Example Pattern 2</b>
Year	Lowercase y	yy => 05	yyyy => 2005
Month	Uppercase M	MM => 07	MMM => JUL
Day	Lowercase d	dd => 07 or 17 (7th or 17th date of the month)	d => 7 or 17
Hour	Uppercase H or lowercase h	Using 2 PM HH => 14	hh => 02, h => 2
Minute	Lowercase m	mm => 09	m => 9
Second	Lowercase s	ss => 12	
Millisecond	Uppercase S	SSS => 002	

*Table 3.4 Date formats*

For example, July 7th 1988, PM 02:23:14 can be presented in the following ways:

- yyyyMMddHHmmss => 19880707142314
- dd-MMM-yyyy, HH:mm:ss => 07-JUL-1988, 14:23:14
- MM/dd/yy => 07/07/88

*Using Vocabulary Functions*

`caAdapter` provides three methods for vocabulary mapping. One of those methods, described in this section, involves using a local `.vom` (Vocabulary Mapping) file.

To use vocabulary functions within `caAdapter`, you must first generate a `.vom` file manually. Refer to *Vocabulary Mapping Specification Overview* on page 66 for more information about the structure of `.vom` files.

## To use the vocabulary mapping function

1. Open the map specification file. The mapping window appears.
2. Select the `translateValue` or `translateInverseValue` item.
3. Drag that item to the middle pane of the mapping window. The Function Vocabulary Mapping Definition dialog box appears (*Figure 3.31*).

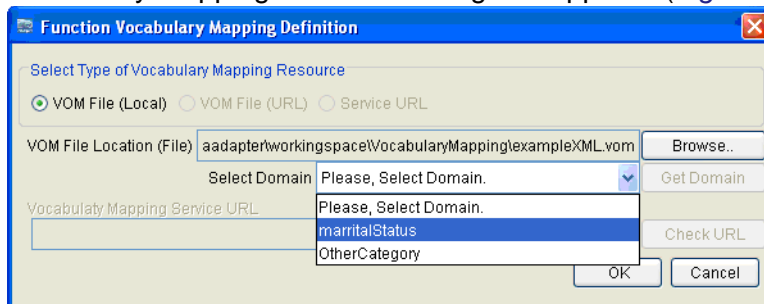


Figure 3.31 Function Vocabulary Mapping Definition Dialog Box

4. Click **Browse** and locate the `.vom` file.
5. From the Select Domain list, select a domain listed there.
6. Click **OK**. The vocabulary mapping function box appears in the middle pane of the mapping window (*Figure 3.32*).

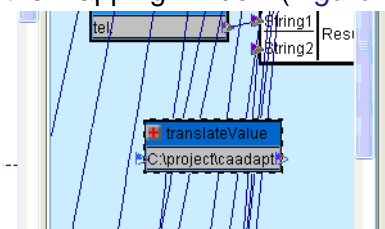


Figure 3.32 Vocabulary Mapping Function Box

## Using nullFlavor Functions

`nullFlavor` is an optional attribute of the `ANY` data type, which is the root of all HL7 V3 data types. The `nullFlavor` attribute enforces the exceptional handling mechanism defined by the HL7 specification. HL7 supports multiple exceptional values, that is, values other than what is prescribed or allowed, under the umbrella concept of a `NullFlavor`. This is rather different from the traditional concept of null, in that a `NullFlavor` can stand in for any required value, and still be valid. In addition, there are several different types of exceptional values, some of which convey information.

The various types of exceptional values are as follows:

Exceptional Value	Description
NI	No information. This is the default exceptional value, and is closest in meaning to more conventional uses of the concept of null.

Table 3.5 Types of exceptional `NullFlavor` values supported by HL7

Exceptional Value	Description
OTH	Other. There is a value, but it cannot be expressed using the given data type or value set. Sub-types: <ul style="list-style-type: none"> <li>• NINF: negative infinity</li> <li>• PINF: positive infinity</li> </ul>
UNK	Unknown. Sub-types: <ul style="list-style-type: none"> <li>• ASKU: asked but unknown the expected source could not provide information</li> <li>• NAV: temporarily not available</li> <li>• NASK: not asked</li> <li>• QS: sufficient quantity an unknown quantity was used to achieve a specific goal</li> <li>• TRC: trace an unmeasurable but nonzero value</li> </ul>
MSK	Masked. There is a known proper value, but it cannot be released in the given context.
NA	Not applicable.

Table 3.5 Types of exceptional NullFlavor values supported by HL7

There is also a value for NP (not present) but this is never applicable within a clinical information system, only in messages.

The following graphic (Figure 3.33) shows a typical way to use the nullFlavor function.

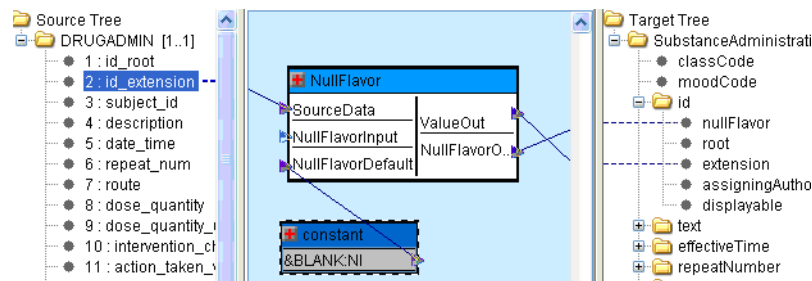


Figure 3.33 Typical usage of the nullFlavor function

This example has three input ports: SourceData, NullFlavorInput, and NullFlavorDefault, and two output ports, ValueOut and NullFlavorOut.

- SourceData: data value from source data field
- NullFlavorInput: NullFlavor value setting from source data or constant function
- NullFlavorDefault: NullFlavor value setting used if NullFlavorInput is not available
- ValueOut: data value to the core attribute of the target data type



- **NullFlavorOut**: data value to the `nullFlavor` attribute of the target data type

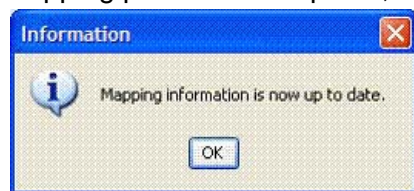
The NullFlavor setting is a series of key value pairs delimited by a semicolon. For example:

```
BLANK:ASKU;NULL:NI;@:ASKU;-2:NI;-4:ASKU
```

If the `id_extension` value of the source side is populated, that value is transferred to the `extension` item on the target side. In this case, the value of `NI` is assigned to the `nullFlavor` item and the `extension` item is not generated.

### *Refreshing the Map Specification Tab*

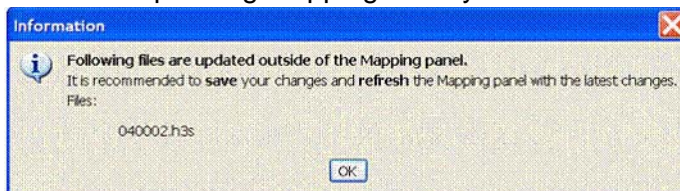
Click the **Refresh** button on the tool bar to check and update the associated CSV specification or HL7 V3 specification used in the mapping panel. If changes to the mapping panel were required, an information message ([Figure 3.34](#)) appears.



*Figure 3.34 Mapping panel refreshed message*

This option allows you to update and save the associated CSV or H3S file in their own tabs, while you are also performing the mapping between the two.

If either the CSV or H3S files are updated and saved in their own tabs, and you switch back to the mapping panel, then a dialog ([Figure 3.35](#)) appears, notifying you of the changes. You are not forced to refresh the mapping panel at this time, since you may have some pending mapping activity unsaved.



*Figure 3.35 Refresh mapping panel recommendation*

## **Validating the Map Specification**

Perform the following steps to validate the map specification.

1. Select **File > Validate** or select the **Validate** icon from the tool bar to perform the validation. A **Message** dialog box appears indicating the status of the validation.
2. Click **OK**. The detailed messages appear in the **Validation Messages** dialog box (see *caAdapter Validation* on page 20).

## **Saving a Map Specification**

When you are finished working on the map specification, select **File > Save** or **File > Save As** from the menu bar or click the save icon on the tool bar to save the file. This file is portable and can be opened by the same or another user later.



**Caution:** The map specification has an internal reference to the full path name of the source and target specification files and those must be accurate to process the conversion or edit a map specification successfully. If you are sharing map specification files with other users, you must send all three files, the CSV specification (.scs), HL7 V3 specification (.h3s), and map specification (.map); not just the map specification. Furthermore, the CSV and HL7 V3 specification files must be in the same path locations as they were on the machine where they were created. Alternatively, the path name can be manually removed by editing the .map file however this is dangerous and unpredictable results may occur if the file is changed improperly.

---

### Generating a Map Specification Report

When a map specification tab is selected, you can generate a report on the status of the mapping specification by performing the following steps.

1. Select **Report > Generate Report** from the menu bar to display the **Select File to Save Generated Report** dialog box.
2. Enter a **File name** and click **Save**. A message window appears when the report has been successfully generated.

The report is an Excel spreadsheet containing the status of the mapping specification. The report contains up to six worksheets (tabs) within the generated report.

Under the mapped category, it contains the mapping status between:

- Source and target - Mapped(Source\_Target)
- Source and function - Mapped(Source\_Function)
- Function and target - Mapped(Function\_Target)
- Function and function - Mapped(Function\_Function)

Under the unmapped category, it contains the following unmapped elements:

- Source - Unmapped\_Source
- Target - Unmapped\_Target

---

## Understanding HL7 V3 Messages

caAdapter creates XML HL7 message instances using the map specification and a corresponding CSV data file.

This section contains the following topics:

- *HL7 V3 Message Business Rules* on page 52
- *Generating HL7 V3 Messages* on page 52

## HL7 V3 Message Business Rules

Following are the business rules for creating an HL7 V3 message:

- You must have data in a CSV format.
- The map specification must be valid.
- The source and target specifications used to create the map must be located in the same directory as they were when the map specification was created (or the map specification must have been edited to point to the new location of these files if they were moved). The map specification uses the references to these files as it converts the data into the new format.

## Generating HL7 V3 Messages

This section includes the following topics:

- *About the HL7 V3 Message Tab* on page 52
- *Starting the Conversion Process* on page 53
- *Using the HL7 V3 Messages Tab* on page 55
- *Saving an HL7 V3 Message* on page 55
- *Reusing the HL7 V3 Message Tab* on page 56

### About the HL7 V3 Message Tab

The purpose of the HL7 V3 Message tab is to allow you to generate and view the XML instances and messages converted from a data file and map specification. Each data file may have one or more logical records which result in a corresponding number of XML instances (or more depending on the structure of the mapping). The user interface allows you to navigate between the instances. The HL7 V3 message tab ([Figure 3.36](#)) contains the following four panels:

- Regenerate and navigation buttons
- Name of data file and map specification used
- Scrollable text fields for XML instances

- Scrollable text fields for validation messages, as shown below

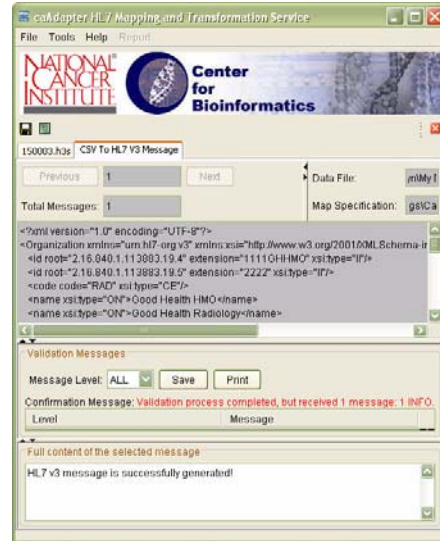


Figure 3.36 HL7 V3 Message tab

## Starting the Conversion Process

Perform the following steps to convert a data file into an HL7 V3 message.

**Note:** There is no **File > Open** option that corresponds to HL7 V3 messages since you should always generate fresh messages based on the current selection of source and map files.

1. Select **File > CSV to HL7 V3 Mapping and Transformation > HL7 V3 Message** from the menu bar to display the **HL7 V3 Message** dialog box (Figure 3.37).

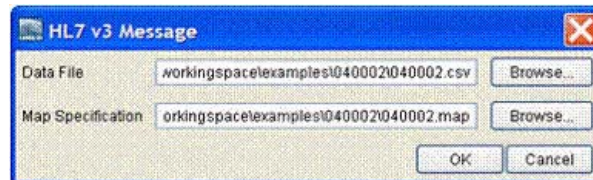


Figure 3.37 HL7 V3 Message dialog box

2. Click **Browse** next to **Data File** to display the **Open Data File** dialog box.
3. Select the data file you want to use in the conversion process and click **Open**.
4. Click **Browse** next to **Map Specification** to display the **Open Map Specification** dialog box.
5. Select the map specification file you want to use in the conversion process and click **Open**.
6. Click **OK** to generate HL7 V3 messages from the selected files.
7. Given the underlying data and mapping structure, it could take a long time to complete the HL7 V3 message generation task. If the system estimates that it will take longer than ten seconds (as is defined and configurable in the source distribution), then the **Question** dialog displays as shown in Figure 3.38. Click

**Yes** to start the process or click **No** to abort the process given the estimated time.

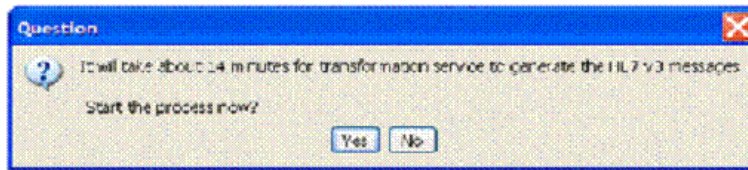


Figure 3.38 HL7 V3 message generation confirmation

8. After a **Yes** confirmation, the process starts and a progress dialog box appears (Figure 3.39). The system monitors the transformation progress for both loading the data, which includes reading the map file, source and target data specification; and the count of messages generated.

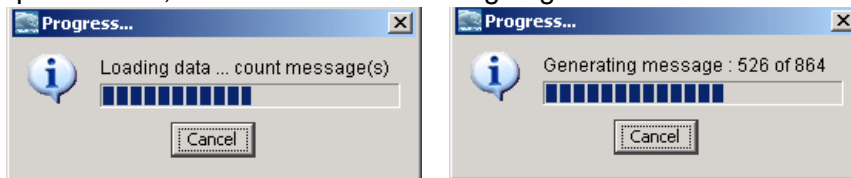


Figure 3.39 HL7 V3 message generation progress

9. Once the process starts, you can cancel the process by clicking **Cancel**. If cancelled, the underlying generation process ends and a dialog box appears (Figure 3.40).

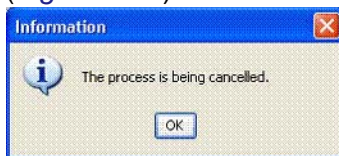


Figure 3.40 HL7 V3 message generation cancelled

A message appears (Figure 3.41) when the process ends.

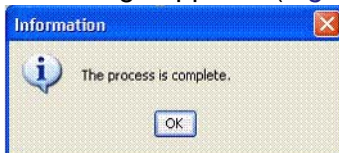


Figure 3.41 HL7 V3 message process complete

10. Click **OK**. The **HL7 V3 Message** tab appears.

## Using the HL7 V3 Messages Tab

The two main features of the HL7 V3 Message tab ([Figure 3.42](#)) are the two scrollable text fields containing an XML instance and the associated error, warning and/or informational messages generated during the conversion process.

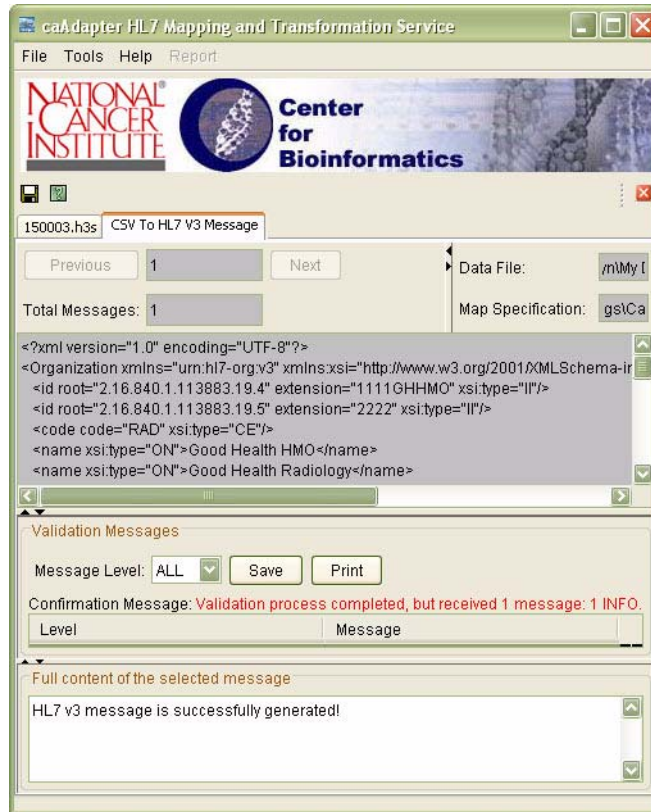


Figure 3.42 HL7 V3 Message tab

Click the **Previous** and **Next** buttons to cycle through the XML messages one at a time. As the messages change, the validation messages change. See *caAdapter Validation* on page 20 for more information on the validation messages. Click the **Regenerate** button to regenerate the messages from scratch using the same data file and map specification.

## Saving an HL7 V3 Message

Select **File > Save** or **File > Save As** from the menu bar or click the save icon on the tool bar to save the HL7 V3 message. If there is more than one instance of a message, then the files are saved with number extensions (for example, example\_message\_1.xml, example\_message\_2.xml, example\_message\_3.xml).

**Note:** Validation messages are not saved with their corresponding XML message and must be saved separately using the Save button in the Validation Messages panel.

## Transforming an HL7 V3 Message to CSV Format

This version of caAdapter allows you to map and transform HL7 V3 messages into CSV files.

This section contains the following topics:

- *HL7 V3 to CSV Transformation Business Rules* on page 56
- *Transforming HL7 V3 Messages to CSV Format* on page 56

### HL7 V3 to CSV Transformation Business Rules

The same mapping file created to transform a CSV file to an HL7 V3 message is used to transform an HL7 V3 message to a CSV file.

### Transforming HL7 V3 Messages to CSV Format

This section includes procedures for transforming an HL7 V3 message to a CSV file.

It includes the following topics:

- *Reusing the HL7 V3 Message Tab* on page 56
- *Starting the Conversion Process* on page 56
- *Saving the CSV Data File* on page 57

### Reusing the HL7 V3 Message Tab

You reuse the HL7 V3 Message tab when you want to generate and view the CSV data generated from the HL7 V3 message data. Each data file may have one or more logical records that result in a corresponding number of CSV meta instances (or more depending on the structure of the mapping). The user interface allows navigating between the various instances.

### Starting the Conversion Process

Do the following to convert an HL7 V3 message into a CSV data file.

**Note:** There is no **File > Open** option that corresponds to CSV data file since you should always generate fresh CSV data based on the current selection of the source HL7 V3 message and the map files.

1. Select **File > New > HL7 V3 To CSV Transformation Service> New HL7 V3 To CSV** from the menu bar to display the **HL7 V3 To CSV** dialog box ([Figure 3.43](#)).

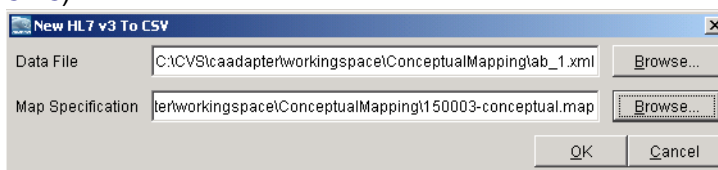


Figure 3.43 HL7 V3 to CSV Dialog Box

2. Click **Browse** next to **Data File**. The **Open Data File** dialog box appears.
3. Select the data file to use in the conversion process and click **Open**.

4. Click **Browse** next to **Map Specification**. The **Open Map Specification** dialog box appears.
5. Select the map specification file to use in the conversion process and click **Open**.
6. Click **OK** to generate CSV data file from the selected files.
7. Click the **Previous** and **Next** buttons to cycle through the CSV data one at a time. As the data change, the validation messages change as well. See *caAdapter Validation* on page 20 for more information on the validation messages. Click the **Regenerate** button to regenerate the data from scratch using the same data file and map specification.

### **Saving the CSV Data File**

To save the data file, select **File > Save** or **File > Save As** or click the Save icon on the toolbar.





## CHAPTER 4

# HL7 V2 TO HL7 V3 CONVERSION

This chapter provides instructions on using caAdapter to map and convert an HL7 V2 message to the HL7 V3 message format.

Topics in this chapter include:

- *Converting HL7 V2 to HL7 V3 Messages* on page 59
- *Understanding the Mapping and Transformation Processes* on page 59

## Converting HL7 V2 to HL7 V3 Messages

---

You can convert an HL7 V2 message to an HL7 V3 message using XML-formatted V2 resources, which can be freely downloaded at the HL7 home page. V2 messages can be directly transformed to V3 messages without any pre-processing. Since this method does not include V2 syntax and vocabulary validation, for best results you should use another tool to validate and filter the source V2 message.

The current V2-related functions in the caAdapter GUI menus and all the explanations in this chapter use the method described above. If you need to use the legacy method, refer to earlier versions of the *caAdapter User's Guide* or contact Application Support.

## Understanding the Mapping and Transformation Processes

---

When you transform an HL7 V2 message to HL7 V3 format, an SCS file is not required. You must only select the V2 version and message type in the mapping panel.

The process of transforming HL7 V2 messages to the HL7 V3 message format has the following three steps.

- Step 1: Generate an H3S file for the target HL7 V3 message (see *Step 1: Generating the H3S File* on page 60)
- Step 2: Create a `.map` file between the source HL7 V2 message type and the target H3S file (see *Step 2: Creating the .map file* on page 60)

- Step 3: Transform and generate a V3 message from the source HL7 V2 message file using the .map file (see *Step 3: Transforming a Message from V2 to V3* on page 61)

## Step 1: Generating the H3S File

Generating an H3S file for HL7 V2 to HL7 V3 mapping follows the same process as explained in *Transforming an HL7 V3 Message to CSV Format* on page 56.

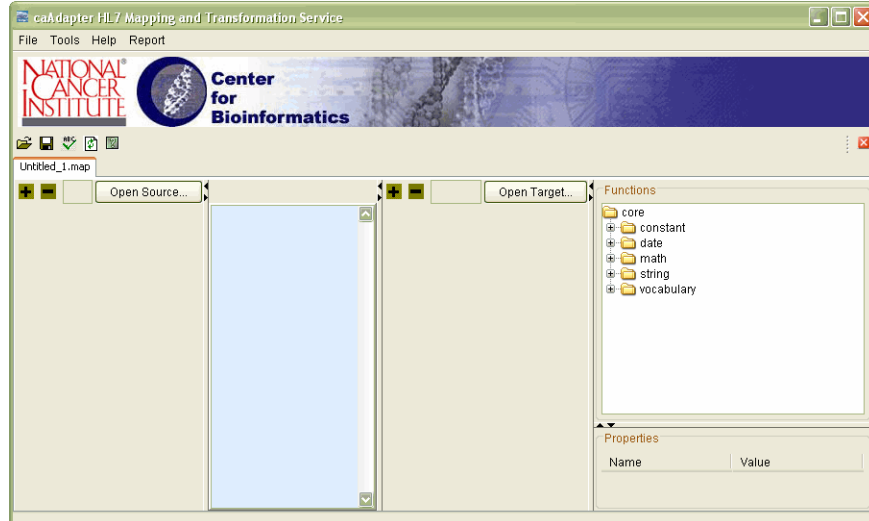
**Note:** Knowing which V3 message type is appropriate for a given V2 message type is extremely important.

Proceed to *Step 2: Creating the .map file*, below.

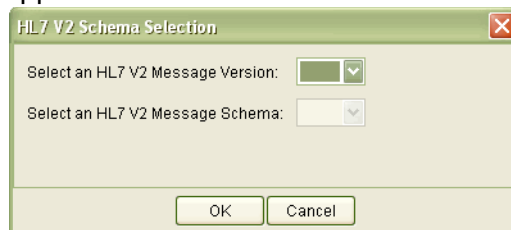
## Step 2: Creating the .map file

Do the following to create the .map file.

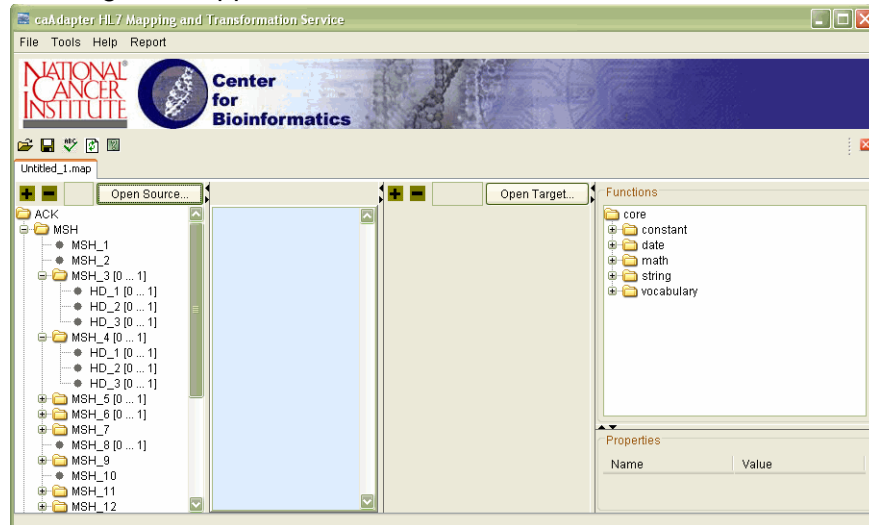
1. Select **New > HL7 V2 To HL7 V3 Mapping and Transformation Service > New HL7 V2 to HL7 V3 Map Specification**. The main mapping panel appears.



2. Click the **Open Source** button. The HL7 V2 Schema Selection dialog box appears.



3. Select the HL7 V2 message version and schema. Click **OK**. The HL7 V2 message tree appears on the left side of the window.



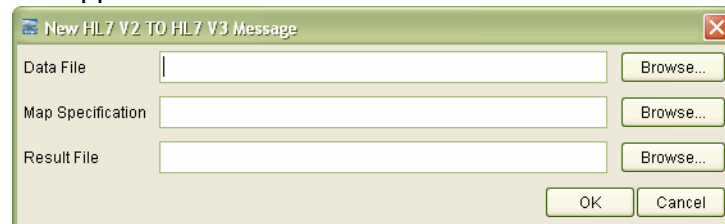
For more information on working with mapping files, see *Creating Mappings* on page 40.

### Step 3: Transforming a Message from V2 to V3

When you transform a message from HL7 V2 to HL7 V3, your input file type is `.hl7`. You must save your source HL7 V2 message as an `.hl7` file before transforming it.

To transform a message from HL7 V2 to HL7 V3, do the following:

1. Select **New > HL7 V2 to HL7 V3 Mapping and Transformation Service > New HL7 V2 to HL7 V3 Message**. The New HL7 V2 to HL7 V3 Message dialog box appears.



2. In the Data File field, click **Browse**. The Open dialog box appears. Locate an `.hl7` file and then click **OK**. The New HL7 V2 to HL7 V3 Message dialog box appears.
3. In the Map Specification field, click **Browse**. The Open dialog box appears. Locate a `.map` file and then click **OK**. The New HL7 V2 to HL7 V3 Message dialog box appears.
4. In the Result File field, click **Browse**. The Open dialog box appears. Locate a `.zip` file and then click **OK**. The New HL7 V2 to HL7 V3 Message dialog box appears.
5. Click **OK**.

## Using the API for HL7 V2 to HL7 V3 Transformation

You can use the caAdapter API to transform HL7 V2 messages to HL7 V3 format.

---

**Note:** Earlier versions of caAdapter required two steps to transfer HL7 V2 messages to HL7 V3. First the messages had to be converted to CSV using KNU (Kyungpook National University) V2 parser engine. This process includes V2 message syntax and vocabulary validating, and can process segments such as 'OBX'. In the second step, caAdapter transformed the CSV file to an HL7 V3 message. If you have a legacy application using this earlier process, legacy APIs are included in the caAdapter distribution.

---

# CHAPTER 5

## USING FUNCTIONS IN MAPPING

This chapter describes the different functions provided by caAdapter. Topics in this chapter include:

- *Functions Provided by caAdapter* on this page
- *Function Specifications* on page 65

### Functions Provided by caAdapter

---

caAdapter provides a variety of basic functions as part of the initial installation. These functions may be used in any mapping where the function panel is available. There are five groups of functions:

- constant – There is one function in this group that allows the user to define a value that can be used as input with other functions
- date – There is one function in this group that allows the user to convert any date format into the HL7 V3 required date format.
- math – Five basic math functions are provided in this group.
- string – Ten commonly used functions in this group allows users to do basic data manipulation.
- vocabulary – These functions were new in the 3.2 release of caAdapter and allow a user to translate values in incoming data into a different value in the outgoing format.

The following table provides a simple overview of the functions that reside in each of these groups.

<b>Function Group Name</b>	<b>Function Name</b>	<b>Function Description</b>
constant	Constant	Allows the user to define a string or integer for use as an input value to another function or to a target field.
Date	changeFormat	Requires the user to define the incoming date format (using either a constant function or a source field mapping) and the date field to be converted. Only transforms to the HL7 V3 required date format, but does handle varying levels of specificity (e.g. with or without time).
math	Addition	Takes in two values and provides the sum.
math	Subtract	Takes in two values and provides the difference.
math	Multiply	Takes in two values and provides the product.
math	Divide	Takes in two values and provides the quotient.
math	Round	Takes in two values, a value to be rounded, and the digit number to which to round.
string	Concatenate	Takes in two strings and provides a single value have the first string appended with the second.
string	Split	Takes in a string and a position number and breaks the string into two strings at the given position.
string	Length	Takes in a single string and provides the number of characters present.
string	Substring	Takes in a string and a starting and ending position, returning a portion of the string.
string	Trim	Takes in a single string and provides the same basic value with leading and trailing blanks removed.
string	Replace	Takes in three strings, one containing the value to be operated on, one containing the "from" characters to search for, and the last containing the "to" characters to substitute, producing a single string with "from" characters substituted with "to" characters.
string	Instring	Takes in a string on which to operate and a pattern to search for, returning the position within the string where the pattern is found, or 0.

Table 5.1 caAdapter Functions

<b>Function Group Name</b>	<b>Function Name</b>	<b>Function Description</b>
string	Upper	Takes in a single string and returns the same string only with all alphabetic characters in uppercase.
string	Lower	Takes in a single string and returns the same string only with all alphabetic characters in lowercase.
string	Initcap	Takes in a single string and returns the same string only with all alphabetic characters in lowercase except the first which is in uppercase.
string	NullFlavor	Optional attribute of the ANY data type, which is the root of all HL7 V3 data types. The <code>nullFlavor</code> attribute enforces the exceptional handling mechanism defined by the HL7 specification. For more information, see <i>Using nullFlavor Functions</i> on page 48.
vocabulary	translateValue	Requires the user to select either a vocabulary mapping file ( <code>.vom</code> ) or a URL to use as the basis of the conversion. Also may require a domain to be specified if the <code>.vom</code> file has more than one translation set in it. Takes in a single string and returns a converted string based on the “from” and “to” values and business rules defined in the vocabulary mapping file or the URL-based function.
vocabulary	translateInverseValue	Behaves the same way as the <code>translateValue</code> function only in reverse, matching the input value to the “to” side of the vocabulary mappings and returning the value from the “from” side.

Table 5.1 *caAdapter Functions*

## Function Specifications

caAdapter has two specifications related to functions:

- The function specification describes the function groups and functions, as well as the inputs, outputs and implementation for each function. See *Function Specification Overview* on page 66.
- The vocabulary mapping specification describes the vocabulary mappings used by the vocabulary functions. See *Vocabulary Mapping Specification Overview* on page 66.

## Function Specification Overview

The function specification is used as a guide for function objects to read the function specification and determine what objects to call to execute a function (for example, concatenation). The function specification also stores data points for rendering by a function graphical representation within the mapping tool. It uses the following types of nested elements:

- `<function>`
- `<group name>`
- `<function name>`
- `<inputs>`
- `<datapoint>`
- `<outputs>`

## Vocabulary Mapping Specification Overview

The vocabulary mapping specification is used as a guide for translating values from one vocabulary set to another. It includes one or more vocabulary domain names with associated translations (source and target values) and a mechanism for handling cases where the incoming value does not match any of the mapped values.

The vocabulary mapping specification uses the following types of nested elements:

- `<VocabularyMapping>`
- `<comment>`
- `<domain>`
- `<translation>`
- `<source>`
- `<target>`
- `<elseCase>`
- `<inverseElseCase>`

The `elsecase` and `inverseElseCase` elements can have several types which govern what happens when an incoming value doesn't match any of the maps. Some of the flavors also include a value that the mapping can define for that case. The types include the following:

<i><b>Else Case Type</b></i>	<i><b>Description</b></i>	<i><b>Includes a Value?</b></i>
keepValue	Returns the incoming value without any change	No
null	Returns a null	No
assignValue	Returns the value provided in the value attribute	Yes

*Table 5.2 Else Case Types*



<i>Else Case Type</i>	<i>Description</i>	<i>Includes a Value?</i>
makeAnError	Returns an error status to cause caAdapter to report a vocabulary mapping error	No

Table 5.2 Else Case Types

**Caution:** All .vom files are used in .map files as internal references with full path names. When sharing .vom files, note their location. You can avoid errors caused by incorrect path names by locating the .vom and .map files in the same folder.

Following is an example of a vocabulary mapping specification file (using the designated file extension, .vom). See the {home directory}\workingspace\examples\V2V3 Mapping Examples\ADT\_A03\_to\_402003 file for a soft copy of this code and see the {home directory}\etc functions file for the vom.xsd file that governs the structure of the .vom file.

```
<?xml version="1.0" encoding="UTF-8"?>
<VocabularyMapping name="Test_Example01">
  <comment>
    This vom file was made for test instance of V2-V3
    mapping, which is between ADT^A03 and PRPA_MT402003
  </comment>
  <domain name="AdministrativeGender">
    <comment>
      Source:HL70001(Administrative Sex),
      Target:2.16.840.1.113883.11.1(AdministrativeGender)
    </comment>
    <translation name="Male">
      <source value="M" remark="Male"/>
      <target value="M" remark="Male"/>
    </translation>
    <translation name="Female">
      <source value="F" remark="Female"/>
      <target value="F" remark="Female"/>
    </translation>
    <translation name="unknown1">
      <source value="U" remark="Unknown"/>
      <target value="UN" remark="Undifferentiated"/>
    </translation>
    <translation name="unknown2">
      <source value="O" remark="Other"/>
      <target value="UN" remark="Undifferentiated"/>
    </translation>
    <translation name="unknown3">
      <source value="A" remark="Ambiguous"/>
      <target value="UN" remark="Undifferentiated"/>
    </translation>
  </domain>
</VocabularyMapping>
```

```
<translation name="unknown4">
  <source value="N" remark="Not applicable"/>
  <target value="UN" remark="Undifferentiated"/>
</translation>
<elseCase type="keepValue"/>
<inverseElseCase type="assignValue" value="UN"/>
</domain>
<domain name="DiseaseCodingSystemOID">
  <translation name="ICD-10">
    <source value="I10"/>
    <target value="2.16.840.1.113883.6.3"/>
  </translation>
  <translation name="ICD-9CM">
    <source value="I9C"/>
    <target value="2.16.840.1.113883.6.2"/>
  </translation>
  <translation name="SNOMED">
    <source value="SNM"/>
    <target value="2.16.840.1.113883.6.5"/>
  </translation>
  <elseCase type="keepValue"/>
  <inverseElseCase type="keepValue"/>
</domain>
</VocabularyMapping>
```

# CHAPTER 6

## USING THE CAADAPTER APIS

This chapter describes the set of primary caAdapter APIs.

Topics in this chapter include:

- [caAdapter Directory Structure](#) on this page
- [caAdapter API Modules](#) on page 70
- [caAdapter API Error Logs](#) on page 72

### caAdapter Directory Structure

---

Depending on the type of distribution of caAdapter, the directory structure will vary. [Table 6.1](#) contains the directories under your {home directory} for the binary distribution.

Directory	Contents
conf	Component-level configuration
docs	Javadocs and other useful information
lib	Java libraries and dependencies and the .zip file
hl7_home	HL7 V3 Normative artifact files
workspace	Default directory where you can save project files. It contains log files and HL7 V3 XML instances. It also contains an examples directory with example data (see <a href="#">caAdapter Example Data</a> on page 93).

*Table 6.1 Directory Structure for caAdapter (Binary Distribution)*

[Table 6.2](#) contains the directories under your {home directory} for source distribution.

Directory	Contents
components	Each component has its own build script, required libraries, and configurations. caAdapter has common, hl7, RDS, UI, and web service components.
conf	Component level configuration
docs	Javadocs and other useful information
lib	Java libraries and dependencies and the.zip file
hl7_home	HL7 V3 Normative artifact files
etc	Important supplementary files
workspace	Default directory where you can save project files. It contains log files and HL7 V3 XML instances. It also contains an examples directory with example data (see <i>caAdapter Example Data</i> on page 93).

*Table 6.2 Directory Structure for caAdapter (Source Distribution)*

## caAdapter API Modules

There are four primary modules in the set of caAdapter APIs.

- [Metadata Loader](#)
- [Transformation Service](#)
- [Vocabulary and MIF Schema Validation](#)

The following sections provide a description of each.

### Metadata Loader

HL7 provides the Model Interchange Format (MIF) for specifying message metadata (structure, format, and constraints). MIF is XML-based. When caAdapter parses the message, the Metadata Loader drives how the internal HL7 message instance is built.

### Transformation Service

You can use the caAdapter API to transform source data into HL7 V3 messages, given the mapping from source to target specification.

The caAdapter API accepts two types of source data, CSV files and HL7 V2 messages.

The mapping file contains a reference to the source specification, target specification, function library specification, and mapping information.

The transformation service classes are located in the `gov.nih.nci.caadapter.hl7.transformation` package.

The following example demonstrates how to use the transformation service, given the CSV source file and the mapping file. The `TransformationService` class transforms the CSV file into the `MapGenerateResult` class, which contains the generated HL7 V3 message text and the corresponding validation results.

```

TransformationService ts = new TransformationService
("data/Transformation/COCT_MT010000_MAP1-1.map",
 "data/Transformation/COCT_MT010000_Person.CSV");

List<XMLElement> xmlElements = ts.process();
if (xmlElements==null)
{
    //if failed in processing the source data
    //file,it returns error messages
    ValidatorResults rs=ts.getValidatorResults();
    String errorMsg= rs.getAllMessages().toString();
}

else {
//return a list of generated messages
for(XMLElement rootElement: xmlElements) {
    String hl7MessageXml= rootElement. toXML().
        toString();
}
}

```

## Vocabulary and MIF Schema Validation

Vocabulary validation provides the ability to validate HL7 structural attributes against the HL7 published vocabulary. MIF schema validation validates an XML formatted HL7 message against a MIF schema file provided by the user (calling program).

The following example demonstrates how to invoke the two validation processes:

```

ValidatorResults validatorsToShow=new ValidatorResults();
String level=CaadapterUtil.readPrefParams(
Config.CAADAPTER_COMPONENT_HL7_TRANSFORMATION_VALIDATION_LEVEL);
//always process the structure validation ... level_0
validatorsToShow.addValidatorResults(xmlMsg.getValidatorRe-
sults());
if(level!=null&&! level.equals( CaAdapter-
Pref.VALIDATION_PERFORMANCE_LEVLE_0))
{
//add vocabulary validation ... level_1
validatorsToShow.addValidatorResults(xmlMsg.validate());
if(level.equals(CaAdapterPref.VALIDATION_PERFORMANCE_LEVLE_2))
{
//add xsd validation
try {
String xsdFile= FileUtil.searchMessageTypeSchemaFileName(
xmlMsg.getMessageType(), "xsd");
HL7V3MessageValidator h7v3Validator=new
HL7V3MessageValidator();
//add xsd validation ... level_2 validatorsToShow.addValidator-
Results(h7v3Validator.validate(xmlMsg.toXML().toString(), xsd-

```

```
File);  
} catch (Exception e)  
{  
    e.printStackTrace();  
}  
}
```

## caAdapter API Error Logs

---

Many of the targets provide logging information that is printed to the console and saved to a file. The log files can be found in the `{home directory}\workspace` directory. All log messages are saved to the file `caadapter.log.#` where # is the number of the log file created.

The logging utility is configurable. To change your logging properties, edit the `{home directory}\logging.properties` file.

# CHAPTER 7

## CAADAPTER WEB SERVICES TRANSFORMATION MODULE

This chapter contains information on using caAdapter's Web Services.

Topics in this chapter include:

- [Introduction to caAdapter Web Service Module](#) on this page
- [Setting Up Mapping Scenarios Through the Web Portal](#) on page 74
- [Programmatic Access to the caAdapter Web Services](#) on page 76

### Introduction to caAdapter Web Service Module

---

A web service is a software application identified by a URI whose interface and bindings are capable of being identified, described, and discovered by XML artifacts. The web service also supports direct interactions with other software applications using XML-based messages via web-based protocols that are determined by the World Wide Web Consortium.

caAdapter's web service module transforms source data into an HL7 V3 message. It is a Java API and can only be directly integrated with a Java-based application. The web service module supports two kind of source data: CSV and HL7 V2.

The caAdapter web service module includes the following two sub-components:

- Web portal – provides mapping scenario management
- Web Service API – transforms source data into an HL7 V3 message

The web portal allows a user to manage mapping scenarios, including browsing existing scenarios and creating new scenarios. To create a new mapping scenario, you must provide a unique scenario name and upload mapping files. All mapping scenarios require a mapping file (.map) and a target specification file (.h3s). If the source data is a CSV file, a CSV specification file (.scs) is required. If the source data is an HL7 V3

message, a vocabulary mapping file (.vom) is optional. Once uploaded, subsequent transformation requests can use the scenario you created.

Figure 7.1 illustrates the web service module architecture.

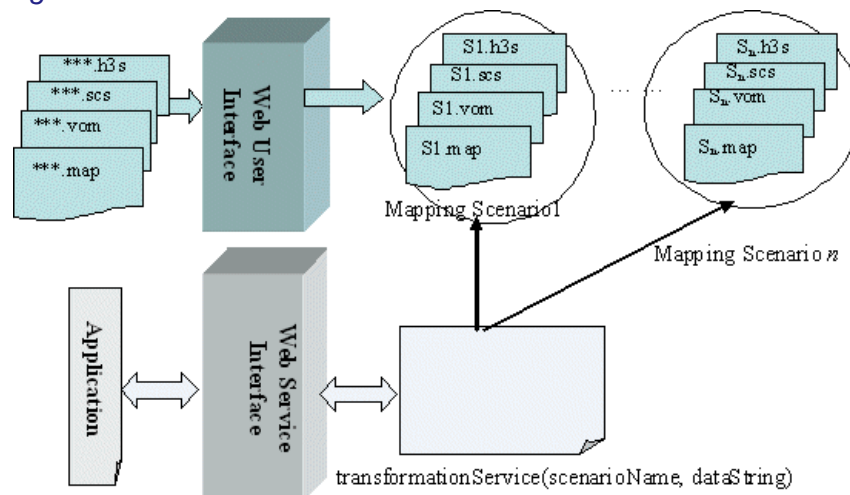


Figure 7.1 caAdapter Web Service Module Architecture

## Setting Up Mapping Scenarios Through the Web Portal

This section contains instructions for uploading mapping, CSV, and HL7 V3 specification files.

1. In Internet Explorer or Firefox, navigate to <http://caadapter.nci.nih.gov>.

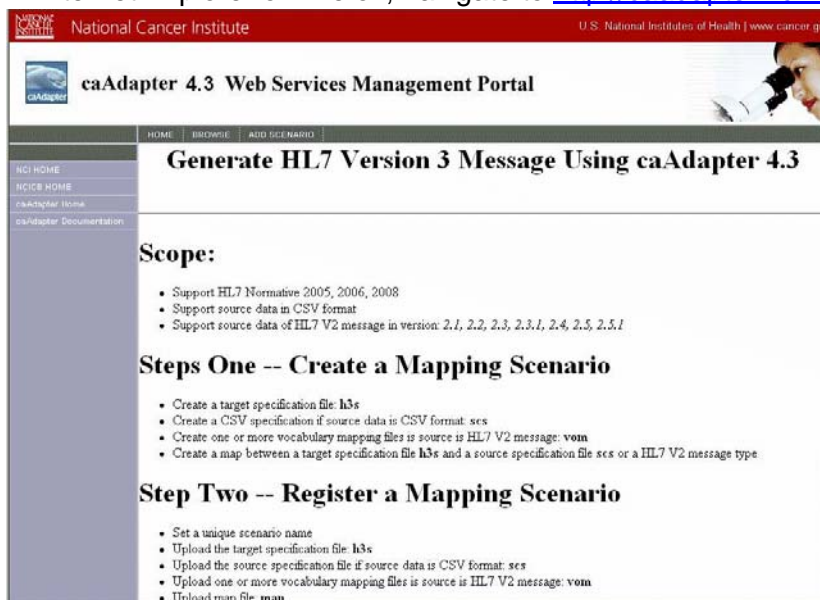


Figure 7.2 caAdapter Web Services Management Portal Home Page



- At the top of the page, click **Browse**. The Scenario Registration page appears.

Scenario Name	Map File (.map)	Source Specification (.scs)	Target Specification (.h3s)	Vocabulary Mappings (.vom)	Date Created
test1	049006.map	049006.scs	049006.h3s	N/A	Mon Apr 13 10:56:58 EDT 2009
testV2	ad01_to_cocct_m0150003.map	N/A	cocct_m0150003.h3s	N/A	Tun Apr 14 14:38:41 EDT 2009

Figure 7.3 Scenario Registration page

- At the top of the page, click **Add Scenario**. The page where you add a new mapping scenario appears.

Mapping Scenario Name:

Mapping file:

SCS file:

H3S file:

Vocabulary mapping file:

Figure 7.4 Mapping Scenario page

- Specify the unique name for the mapping scenario you want to register. (Note: Use this name in the later web services clients.)
- In the Mapping file field, click **Browse** and navigate to the mapping file, which has a suffix of `.map`.
- Only if the source file is in CSV format, in the SCS file field, click **Browse** and navigate to the CSV specification file, which has a suffix of `.scs`.

7. In the H3S file field, click **Browse** and navigate to the HL7 V3 metadata file, which has a suffix of `.h3s`.
8. Only if the source file is an HL7 V2 message *and* a vocabulary mapping file is used in the mapping, in the Vocabulary mapping file field, click **Browse** and navigate to the `.vom` file.
9. Click **Add Mapping Scenario**. Once caAdapter creates the mapping scenario based on your files, a confirmation message appears.

## Programmatic Access to the caAdapter Web Services

---

See the following sections for information about accessing the caAdapter Web Services:

- *Axis 1.x RPC Style Access to caAdapter Web Services* on page 76
- *Axis 1.x DII Style Access to caAdapter Web Services* on page 77
- *Axis 2.0 RPC Style Access to caAdapter Web Services* on page 78

### Axis 1.x RPC Style Access to caAdapter Web Services

1. Download Axis 1.x (`axis-bin-1_4.zip`) from the following URL:  
[http://www.apache.org/dyn/closer.cgi/ws/axis/1\\_4](http://www.apache.org/dyn/closer.cgi/ws/axis/1_4)
2. Unzip the `axis-bin-1_4.zip` file.
3. Add the following files for the `axis-1_4/lib` directory to your classpath.

- `axis.jar`
- `axis-ant.jar`
- `commons-discovery-0.2.jar`
- `commons-logging-1.0.4.jar`
- `jaxrpc.jar`
- `log4j-1.2.8.jar`
- `saa.jar`
- `wsdl4j-1.5.1.jar`

4. Run the following command to generate all the stubs:

```
java org.apache.axis.wsdl.WSDL2Java http://caadapter.nci.nih.gov/  
caAdapterWS/ws/caAdapterTransformationService?wsdl
```

5. Use the following code to access the caAdapter Web Services.

```
import java.util.*;  
import  
gov.nih.nci.caadapter.caAdapterWS.ws.caAdapterTransformationService  
.*;  
public class AxisRPCClient {  
    public static void main(String[] args) {  
        try {  
            String csvString = "ORGS,RAD\nORGID,2.1 ... ..";  
  
            CaAdapterTransformationServiceService service  
            = new CaAdapterTransformationServiceServiceLocator();
```

```

CaAdapterTransformationService caAdapterService
= service.getcaAdapterTransformationService();
Object[] res = (Object[])caAdapterService.transformationService(
" My_WS_Scenario",csvString);
    for(int i=0;i<res.length;i++)
        System.out.println((String)res[i]);
    }catch(Exception e) {
        e.printStackTrace();
    }
}

```

## Axis 1.x DII Style Access to caAdapter Web Services

1. Download Axis 1.x (axis-bin-1\_4.zip) from the following URL: [http://www.apache.org/dyn/closer.cgi/ws/axis/1\\_4](http://www.apache.org/dyn/closer.cgi/ws/axis/1_4)
2. Unzip axis-bin-1\_4.zip file.
3. Add the following files for the axis-1\_4/lib directory to your classpath.
  - axis.jar
  - axis-ant.jar
  - commons-discovery-0.2.jar
  - commons-logging-1.0.4.jar
  - jaxrpc.jar
  - log4j-1.2.8.jar
  - saaj.jar
  - wsdl4j-1.5.1.jar
4. Use the following code to access the caAdapter web services.

```

import org.apache.axis.client.Call;
import org.apache.axis.client.Service;
import org.apache.axis.encoding.XMLType;
import javax.xml.rpc.ParameterMode;
import javax.xml.namespace.QName;
import org.apache.axis.utils.Options;
import java.util.*;

public class AxisClient {

    public static void main(String[] args) {
        try {
            String endpointURL = " http://caadapter.nci.nih.gov/
caAdapterWS/ws/caAdapterTransformationService";
            String methodName = "transformationService";
            String csvString = "ORGS,RAD\nORGID,2.1 ... ...";
            Service service = new Service();
            Call call = (Call)service.createCall();
            call.setTargetEndpointAddress(new
java.net.URL(endpointURL));
            call.setOperationName(methodName);

```

```
        call.addParameter("parameter_name",
XMLType.XSD_STRING,
ParameterMode.IN );
        call.addParameter("csvstringname",
XMLType.XSD_STRING,
ParameterMode.IN );
        call.setReturnClass(java.util.ArrayList.class);
        ArrayList res = (ArrayList)call.invoke(
new Object[]{"My_WS_Scenario", csvString});
        System.out.println(res);
    }catch(Exception e) {
        e.printStackTrace();
    }
}
```

In the above code, `My_WS_Scenario` is the mapping scenario name you used in the caAdapter Web Service Management Portal. CSV String is the actual data that needs to be transformed. The result is an XML message of the result HL7 V3 messages.

## Axis 2.0 RPC Style Access to caAdapter Web Services

1. Download Axis 2.0 (`axis2-1.1.zip`) from the following URL: <http://ws.apache.org/axis2/>
2. Unzip `axis2-1.1.zip`.
3. Add the following files for the `axis-1_4/lib` directory to your classpath.
  - `axis.jar`
  - `axis-ant.jar`
  - `commons-discovery-0.2.jar`
  - `commons-logging-1.0.4.jar`
  - `jaxrpc.jar`
  - `log4j-1.2.8.jar`
  - `saaj.jar`
  - `wsdl4j-1.5.1.jar`
4. Use the following code to access the caAdapter Web Services

```
package swe645;
import java.util.ArrayList;
import javax.xml.namespace.QName;
import org.apache.axis2.AxisFault;
import org.apache.axis2.addressing.EndpointReference;
import org.apache.axis2.client.Options;
import org.apache.axis2.rpc.client.RPCServiceClient;
import org.apache.axiom.om.impl.llom.OMTextImpl;
import org.apache.axiom.om.impl.llom.OMElementImpl

public class AxisClient {
```

```

    public static void main(String[] args1) throws AxisFault {
        String csvString = "ORGS,RAD\nORGID,2.1 ... ..";

        RPCServiceClient serviceClient = new RPCServiceClient();
        Options options = serviceClient.getOptions();
        EndpointReference targetEPR = new EndpointReference("
http://caadapter.nci.nih.gov/caAdapterWS/ws/
caAdapterTransformationService");
        options.setTo(targetEPR);
        // QName of the target method
        QName opAddEntry = new QName("caAdapter",
"transformationService");
        Object[] opAddEntryArgs = new Object[] {
"My_WS_Scenario",
csvString };
        Class[] returnTypes = new Class[] { ArrayList.class
};

        // Invoking the method
        Object[] res =
serviceClient.invokeBlocking(opAddEntry,
        opAddEntryArgs, returnTypes);

        ArrayList resultArrayList = (ArrayList) res[0];
        for(int i=0;i< resultArrayList.size();i++) {
            OMElementImpl omE = (OMElementImpl)resultArrayList.get(i);
            OMTextImpl textOM = (OMTextImpl)omE.getFirstOMChild();
            System.out.println(textOM.getText());
        }
    }
}

```



# CHAPTER 8

## CAADAPTER FILE TYPES

This chapter includes the different file types and their formats used by caAdapter.

Topics in this chapter include:

- [caAdapter File Formats and Locations](#) on this page
- [CSV Data File](#) on page 82
- [CSV Specification](#) on page 82
- [HL7 V3 Specification](#) on page 83
- [HL7 V3 Message](#) on page 88
- [CSV to HL7 V3 Map Specification](#) on page 89

### caAdapter File Formats and Locations

---

caAdapter uses a variety of files in its APIs and mapping tool. [Table 8.1](#) contains the files and extensions used by caAdapter.

<b>File Type</b>	<b>Extension</b>
CSV Specification	.scs
HL7 V3 Specification	.h3s
Map Specification	.map
HL7 V2 Message	.hl7
Vocabulary Mapping	.vom
HL7 V3 Message	.xml

*Table 8.1 File Extensions*

---

**Note:** Manual editing of those files is not supported and is highly discouraged.

---

**Caution:** The map specification has an internal reference to the full path name of the source and target specification files. This must be accurate in order to process the conversion or to edit a map specification successfully. Though it is not recommended, the map specification file can be manually edited to change the file path for the source and target specification if necessary. If you are sharing map specification files with other users, you must send all three files, the CSV Specification (.scs), HL7 V3 Specification (.h3s), and map specification (.map) and not just the map specification.

---

## CSV Data File

---

It is an assumption for this version of the mapping tool that the source data systems provide data in CSV flat file formats with the following characteristics:

- File contents are organized into multi-line logical records.
- Each line, called a segment, begins with an identifier, called a segment name, and is terminated by a new-line character.
- Each segment has one or more data items, called fields, which follow the segment name and terminates by commas (except for the last field on the line that uses the segment terminator).
- Segments may occur more than once in the same logical record, except for the first, or root, segment, which always indicates the beginning of a new record.
- Segments are related to one another in a parent-child hierarchy that documents the one-to-many nature of the association between related data items.
- A CSV file may have one or more logical records. Each of these is terminated by the beginning of the next record (a new root segment) or the end of file.
- The intention is that each logical record will become one single HL7 V3 XML message instance.

## CSV Specification

---

CSV specification describes the structure of a CSV instance. In essence, it is a CSV specification in the same way an XSD is a specification of an XML instance. The CSV specification is based on common concepts found in EDI, CSV and HL7 V2-related files. To document this structure, the CSV specification uses an XML format that has three main elements:

- <csvMetadata>
- <segment>
- <field>

There can only be one root <segment>, but within it there can be any number of dependent <segment> elements and any number of <field> elements. All <field> elements have a column number assigned which corresponds to the second, third, etc., column in the CSV file (the first is the segment name which is considered column 1). The field names are informational and are not used in the mapping file; only the segment name and column number are referenced.



Following is a CSV specification file (090102.scs) example.

```
<?xml version="1.0" encoding="UTF-8"?>
<csvMetadata xmlPath="csvMetaData" version="1.2">
  <segment name="ORGS" xmlPath="ORGS" cardinality="1..1">
    <segment name="ORGID" xmlPath="ORGS.ORGID"
cardinality="0..*">
      <field column="1" name="Root" datatype="String"
xmlPath="ORGS.ORGID.Root"/>
      <field column="2" name="Extension" datatype="String"
xmlPath="ORGS.ORGID.Extension"/>
    ... ..
  </segment>
  ... ..
</csvMetadata>
```

## HL7 V3 Specification

The HL7 V3 specification, used to define the HL7 V3 metadata information, is based largely on the MIF for the target HL7 V3 message. An HL7 V3 specification may be saved either as a binary .h3s file or as an .xml file. The .h3s file is not readable. The .xml file uses four main types of nested elements:

- <class>
- <association>
- <attribute>
- <type>
- <dataField>

Following is part of an HL7 V3 specification file (150003.h3s) example. See the {home directory}\workingspace\examples\150003 for the entire file.

```
<class name="ContactParty" isEnabled="true" title="MIF Clone
Properties" referenceName="" sortKey="">

<packageLocation /

<attribute name="classCode" type="CS" defaultValue="CON"
isEnabled="true" title="MIF Attribute Properties"
mnemonic="CON" sortKey="1" minimumMultiplicity="1"
isStrutural="true"
parentXmlPath="Organization.contactParty00"
maximumMultiplicity="1" isMandatory="true" conformance="R"
dDefaultValueProperty="CON"
dDomainNameOidProperty="RoleClassContact
(2.16.840.1.113883.11.12205)" codingStrength="CNE"
multiplicityIndex="0" minimumSupportedLength="0"
domainName="RoleClassContact" />
```

```
<attribute name="id" type="II" isEnabled="true" title="MIF
Attribute Properties" sortKey="2" minimumMultiplicity="0"
parentXmlPath="Organization.contactParty00"
maximumMultiplicity="-1" multiplicityIndex="0"
minimumSupportedLength="0">

<type name="II" isEnabled="true" parents="ANY">

<dataField name="nullFlavor" type="NullFlavor" max="-2"
isValid="true" title="MIF Data Field Properties"
isSimple="true"
parentXmlPath="Organization.contactParty00.id00" min="-2"
isOptional="true" isAttribute="true" />

<dataField name="assigningAuthorityName" type="st" max="-2"
isValid="true" isEnabled="true" title="MIF Data Field
Properties" isSimple="true"
parentXmlPath="Organization.contactParty00.id00" min="-2"
isOptional="true" isAttribute="true" />
... ..
</type>

</attribute>

<attribute name="addr" type="AD" isEnabled="true" title="MIF
Attribute Properties" sortKey="4" minimumMultiplicity="0"
parentXmlPath="Organization.contactParty00"
maximumMultiplicity="-1" multiplicityIndex="0"
minimumSupportedLength="0">

<type name="AD" isEnabled="true" parents="ANY">

<dataField name="direction" type="adxp.direction" max="-2"
isValid="true" title="MIF Data Field Properties" min="-2" />

<dataField name="city" type="adxp.city" max="-2"
isValid="true" isEnabled="true" title="MIF Data Field
Properties" isOptionChosen="true"
parentXmlPath="Organization.contactParty00.addr00" min="-2">

<type name="adxp.city" isEnabled="true" parents="ADXP">

<dataField name="reference" type="TEL" max="0" title="MIF
Data Field Properties" min="0" />

<dataField name="mediaType" type="cs" max="-2" isValid="true"
isEnabled="true" title="MIF Data Field Properties"
isSimple="true"
parentXmlPath="Organization.contactParty00.addr00.city"
min="-2" isAttribute="true" />
```

```

... ..

</type>

</dataField>

<dataField name="streetNameBase" type="adxp.streetNameBase"
max="-2" isValid="true" title="MIF Data Field Properties"
min="-2" />

<dataField name="precinct" type="adxp.precinct" max="-2"
isValid="true" title="MIF Data Field Properties" min="-2" />

<dataField name="unitType" type="adxp.unitType" max="-2"
isValid="true" title="MIF Data Field Properties" min="-2" />

... ..

</attribute>

<association name="contactPerson" isEnabled="true" title="MIF
Association Properties" sortKey="1" minimumMultiplicity="0"
isOptionChosen="true"
parentXmlPath="Organization.contactParty00"
maximumMultiplicity="1" multiplicityIndex="0">

<class name="Person" isEnabled="true" title="MIF Clone
Properties" referenceName="" sortKey="">

<packageLocation />

<attribute name="classCode" type="CS" isEnabled="true"
title="MIF Attribute Properties" mnemonic="PSN" sortKey="1"
minimumMultiplicity="1" isStrutural="true"
parentXmlPath="Organization.contactParty00.contactPerson"
maximumMultiplicity="1" isMandatory="true" conformance="R"
dDefaultValueProperty="PSN"
dDomainNameOidProperty="EntityClass
(2.16.840.1.113883.11.10882)" codingStrength="CNE"
multiplicityIndex="0" fixedValue="PSN"
minimumSupportedLength="0" domainName="EntityClass" />

<attribute name="determinerCode" type="CS" isEnabled="true"
title="MIF Attribute Properties" mnemonic="INSTANCE"
sortKey="2" minimumMultiplicity="1" isStrutural="true"
parentXmlPath="Organization.contactParty00.contactPerson"
maximumMultiplicity="1" isMandatory="true" conformance="R"
dDefaultValueProperty="INSTANCE"
dDomainNameOidProperty="EntityDeterminer

```

```
(2.16.840.1.113883.11.10878)" codingStrength="CNE"
multiplicityIndex="0" fixedValue="INSTANCE"
minimumSupportedLength="0" domainName="EntityDeterminer" />

<attribute name="name" type="EN" isEnabled="true" title="MIF
Attribute Properties" sortKey="3" minimumMultiplicity="1"
parentXmlPath="Organization.contactParty00.contactPerson"
maximumMultiplicity="-1" conformance="R"
multiplicityIndex="0" minimumSupportedLength="0">

<type name="EN" isEnabled="true" parents="ANY">

<dataField name="suffix" type="en.suffix" max="-2"
isValid="true" isEnabled="true" title="MIF Data Field
Properties" isOptionChosen="true"
parentXmlPath="Organization.contactParty00.contactPerson.name
00" min="-2">

<type name="en.suffix" isEnabled="true" parents="ENXP">

<dataField name="mediaType" type="cs" max="-2" isValid="true"
isEnabled="true" title="MIF Data Field Properties"
isSimple="true"
parentXmlPath="Organization.contactParty00.contactPerson.name
00.suffix" min="-2" isAttribute="true" />

<dataField name="representation" type="BinaryDataEncoding"
max="-2" isValid="true" isEnabled="true" title="MIF Data
Field Properties" isSimple="true"
parentXmlPath="Organization.contactParty00.contactPerson.name
00.suffix" min="-2" isAttribute="true" />

<dataField name="integrityCheckAlgorithm"
type="IntegrityCheckAlgorithm" max="-2" isEnabled="true"
title="MIF Data Field Properties" isProhibited="true"
isSimple="true" min="-2" isAttribute="true" />

<dataField name="language" type="cs" max="-2" isValid="true"
isEnabled="true" title="MIF Data Field Properties"
isSimple="true"
parentXmlPath="Organization.contactParty00.contactPerson.name
00.suffix" min="-2" isOptional="true" isAttribute="true" />

<dataField name="thumbnail" type="ED" max="0" title="MIF Data
Field Properties" min="0" />

<dataField name="compression" type="CompressionAlgorithm"
max="-2" isEnabled="true" title="MIF Data Field Properties"
isProhibited="true" isSimple="true" min="-2"
isAttribute="true" />
```

```

<dataField name="nullFlavor" type="NullFlavor" max="-2"
isValid="true" isEnabled="true" title="MIF Data Field
Properties" isSimple="true"
parentXmlPath="Organization.contactParty00.contactPerson.name
00.suffix" min="-2" isOptional="true" isAttribute="true" />

<dataField name="partType" type="EntityNamePartType" max="-2"
isValid="true" isEnabled="true" title="MIF Data Field
Properties" isSimple="true"
parentXmlPath="Organization.contactParty00.contactPerson.name
00.suffix" min="-2" isAttribute="true" />

<dataField name="integrityCheck" type="bin" max="-2"
isEnabled="true" title="MIF Data Field Properties"
isProhibited="true" isSimple="true" min="-2"
isAttribute="true" />

<dataField name="reference" type="TEL" max="0" title="MIF
Data Field Properties" min="0" />

<dataField name="qualifier"
type="set_EntityNamePartQualifier" max="-2" isValid="true"
isEnabled="true" title="MIF Data Field Properties"
isSimple="true"
parentXmlPath="Organization.contactParty00.contactPerson.name
00.suffix" min="-2" isOptional="true" isAttribute="true" />

<dataField name="inlineText" max="1" isValid="true"
isEnabled="true" title="MIF Data Field Properties"
isOptionChosen="true" isSimple="true"
parentXmlPath="Organization.contactParty00.contactPerson.name
00.suffix" min="1" />

</type>

</dataField>

<dataField name="nullFlavor" type="NullFlavor" max="-2"
isValid="true" isEnabled="true" title="MIF Data Field
Properties" isSimple="true"
parentXmlPath="Organization.contactParty00.contactPerson.name
00" min="-2" isOptional="true" isAttribute="true" />

<dataField name="inlineText" max="1" isValid="true"
isEnabled="true" title="MIF Data Field Properties"
isOptionChosen="true" isSimple="true"
parentXmlPath="Organization.contactParty00.contactPerson.name
00" min="1" />

```

```
<dataField name="delimiter" type="en.delimiter" max="-2"
isValid="true" title="MIF Data Field Properties"
parentXmlPath="Organization.contactParty00.contactPerson.name
00" min="-2" />

<dataField name="validTime" type="IVL_TS" max="-2"
isValid="true" title="MIF Data Field Properties"
parentXmlPath="Organization.contactParty00.contactPerson.name
00" min="0" />

</type>

</attribute>

</class>

</association>
... ..

</class>
```

## HL7 V3 Message

---

The HL7 V3 message is the end goal of using caAdapter. It is represented in XML. Following is an example HL7 V3 message file (ExampleOutput1.xml).

```
<?xml version="1.0" encoding="UTF-8" ?>
- <COCT_MT090102.AssignedPerson xmlns="urn:hl7-org:V3"
classCode="ASSIGNED">
  <id root="2.16.840.1.113883.19.1" extension="12345" />
  <id root="2.16.840.1.113883.19.2" extension="23456" />
  <id root="2.16.840.1.113883.19.3" extension="34567" />
  <code code="NRS10" codeSystem="2.16.840.1.113883.19.1" />
- <addr use="WP">
  <streetAddressLine>123 Main St.Suite 500</
streetAddressLine>
  <city>Rockville</city>
  <state>MD</state>
  <postalCode>20852</postalCode>
  </addr>
- <addr>
  <streetAddressLine>456 Washington BlvdSuite 1000</
streetAddressLine>
  <city>Washington</city>
  <state>DC</state>
  <postalCode>20002</postalCode>
  </addr>
- <assignedPerson classCode="PSN" determinerCode="INSTANCE">
- <name use="L">
  <family>Shang</family>
```

```

    <given>Lee</given>
  </name>
</assignedPerson>
- <representedOrganization classCode="ORG"
determinerCode="INSTANCE">
  <id root="2.16.840.1.113883.19.4" extension="1111GHHMO" />
  <id root="2.16.840.1.113883.19.5" extension="2222" />
  <name>Good Health HMO</name>
  <name>Good Health Radiology</name>
  <name>GHHMOR</name>
- <addr use="WP">
  <streetAddressLine>456 Washington BlvdSuite 1000</
streetAddressLine>
  <city>Washington</city>
  <state>DC</state>
  <postalCode>20002</postalCode>
</addr>
- <addr>
  <streetAddressLine>567 Empire Ave.Suite 10000</
streetAddressLine>
  <city>New York</city>
  <state>NY</state>
  <postalCode>10118</postalCode>
</addr>
</representedOrganization>
</COCT_MT090102.AssignedPerson>

```

## CSV to HL7 V3 Map Specification

A CSV to HL7 V3 map specification describes the relationship between components via links and/or views. It has the following main elements:

1. <components>
2. <links>
3. <source>
4. <target>
5. <linkpointer>
6. <views>

A component is a reference to a resource that exists in the system prior to the mapping. A function component is an algorithm between two (or more) pieces of data.

Following is a part of a map specification file (150003.map) example. See the {home directory}\workingspace\examples\150003 for the entire file.

```

<?xml version="1.0" encoding="UTF-8"?>

<mapping version="1.2">

  <components>

```

```
<component kind="scs" location="150003.scs" type="source"/>
<component kind="h3s" location="150003.h3s" type="target"/>
</components>
<links>
  <link>
    <source>
      <linkpointer kind="scs" xmlPath="ORGS.ORG_CODE"/>
    </source>
    <target>
      <linkpointer kind="h3s" xmlPath="Organization.contactParty00.contactPerson.name00.inlineText"/>
    </target>
  </link>
  <link>
    <source>
      <linkpointer kind="scs" xmlPath="ORGS.ORGID.Root"/>
    </source>
    <target>
      <linkpointer kind="h3s" xmlPath="Organization.contactParty00.id00.extension"/>
    </target>
  </link>
  <link>
    <source>
      <linkpointer kind="scs" xmlPath="ORGS.ORGID"/>
    </source>
    <target>
      <linkpointer kind="h3s" xmlPath="Organization.contactParty00"/>
    </target>
  </link>
</links>
<views>
  <view component-id="source.scs.0" height="0" width="0"
```



```
x="0" y="0"/>  
    <view component-id="target.h3s.0" height="0" width="0"  
x="0" y="0"/>  
    </views>  
</mapping>
```



## APPENDIX

# A

## CAADAPTER EXAMPLE DATA

Example data are included in the caAdapter distribution. You can use the example data to familiarize yourself with the mapping tool or APIs before using your own data. Example data are located at the {home directory}\workspace folder (for example, C:\caadapter\workspace).



# APPENDIX B REFERENCES

## Articles

---

- Java Programming: <http://java.sun.com/learning/new2java/index.html>
- Extensible Markup Language: <http://www.w3.org/TR/REC-xml/>
- XML Metadata Interchange: <http://www.omg.org/technology/documents/formal/xmi.htm>

## caBIG Material

---

- caBIG: <http://cabig.nci.nih.gov/>
- caBIG Compatibility Guidelines: [http://cabig.nci.nih.gov/guidelines\\_documentation](http://cabig.nci.nih.gov/guidelines_documentation)

## caCORE Material

---

- NCICB: <http://ncicb.nci.nih.gov>
- caCORE: <http://ncicb.nci.nih.gov/NCICB/infrastructure>
- caBIO: <https://wiki.nci.nih.gov/display/ICR/caBIO?sessionId=05C3565FB5DDB15ED00F27EF057D1A15>
- caDSR: [http://ncicb.nci.nih.gov/NCICB/infrastructure/cacore\\_overview/cadsr](http://ncicb.nci.nih.gov/NCICB/infrastructure/cacore_overview/cadsr)

## HL7 Concepts and Material

---

- HL7: <http://www.hl7.org/>
- HL7 Tutorial: [http://trials.nci.nih.gov/projects/infrastructureProject/caAdapter/HL7\\_Tutorial](http://trials.nci.nih.gov/projects/infrastructureProject/caAdapter/HL7_Tutorial)
- caAdapter: [http://ncicb.nci.nih.gov/NCICB/infrastructure/cacore\\_overview/caadapter/](http://ncicb.nci.nih.gov/NCICB/infrastructure/cacore_overview/caadapter/)

- HL7 Reference Information Model: <https://www.hl7.org/library/data-model/RIM/C30202/rim.htm>
- HL7 Vocabulary Domains: <http://www.hl7.org/library/data-model/RIM/C30123/vocabulary.htm>
- HL7 Version 3 Standard: <http://www.hl7.org/v3ballot/html/welcome/environment/index.htm>
- UCUM: <http://aurora.regenstrief.org/UCUM/ucum.html>

## Software Products

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- Java: <http://java.sun.com>
- Ant: <http://ant.apache.org/>

# CAADAPTER GLOSSARY

Acronyms, objects, tools and other terms related to caAdapter are described in this glossary.

<b><i>Term</i></b>	<b><i>Definition</i></b>
CBIIT	Center for Biomedical Informatics and Information Technology
CSV	Comma Separated Value
DMIM	Domain Message Information Model. A subset of the RIM that includes RIM class clones, attributes, and associations that can be used to create messages for a particular domain (a particular area of interest in healthcare).
DTD	Document Type Definition
EA	Enterprise Architect. UML Modeling Tool
HL7	Health Level 7 ( <a href="http://www.hl7.org/">http://www.hl7.org/</a> ) is one of several American National Standards Institute (ANSI)-accredited Standards Developing Organizations (SDOs) operating in the healthcare arena.
MIF	Model Interchange Format. An XML representation of the information contained in an HL7 specification, and is the format that all HL7 V3 specification authoring and manipulation tools will be expected to use.
MT	Message Type. The specification of an individual message in a specific implementation technology.
OID	HL7 V3 artifacts used to identify coding schemes and identifier namespaces.
RIM	Reference Information Model. The foundational Unified Modeling Language (UML) class diagram representing the universe of all healthcare data that may be exchanged between systems.
RMIM	Refined Message Information Model. A subset of a DMIM that is used to express the information content for an individual message or set of messages with annotations and refinements that are message specific.
UCUM	Unified Code for Units of Measure
UML	Unified Modeling Language
XML	Extensible Markup Language





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