

# CSC 510 Software Engineering: Project 1

## Group-P

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## ABSTRACT

Choosing a textbook for a class is something that many professors do several times a year, but the vast number of available textbooks for any given subject makes choosing one that both meets the needs of the class and meets the budget constraints of today's students is a challenge. In this paper, we propose two methods for automatically learning topics from books, a set of evaluations for those methods, and a system that will use those methods in a web app that attempts to suggest textbooks to a professor that balance hitting all topics the course requires while still being economical for students.

## 1. INTRODUCTION

### 1.1 Background

One of the most basic tasks that a professor must do is identify what textbook to use for a given class. While some fields have textbooks that have become popular and are clearly the best in their subject matter, many fields (especially emerging ones) have no such exemplar and reading through all possible candidates would be too time consuming to be feasible. It would be a complex enough problem if there were not already a massive number of textbooks on sources such as Amazon, but self aware professors have attempted to use textbooks that are less expensive with hopes of allowing lower income students to attend more easily. This admirable intention serves to increase the already considerable time needed to find appropriate books, and there is no obvious heuristic to apply to searches to limit the field.

In the interests of making students lives less expensive,

we propose exploring a system which can identify topics in books automatically, and which, given a set of requirements from a user (usually a professor), can suggest options of varying expense and completeness for a given set of topics. To do this, we propose exploring word clusters generated by Doc2Vec, a family of common natural language processing (NLP) algorithms that attempt to cluster similar words, and topics generated by latent dirichlet allocation (LDA), another common NLP algorithm that directly attempts to identify topics in text, to automatically infer the content of a set of textbooks.

We then intend to build a web app that will allow a user to specify a set of topics and which will use the mentioned algorithms to make suggestions while minimizing the cost of said textbooks. The actual web app will be a fairly simple single-page web app developed in Angular4 and using Bootstrap to hasten development of a modern UI.

Initially, the team expects LDA to find more appropriate topics than Doc2Vec simply because it is better purpose built. That said, Doc2Vec uses a sophisticated neural network, which may behave better on shorter passages such as a table of contents or an index, allowing us to parse less of the book while still getting useful topics.

### 1.2 Deviations From Initial Plan

*Original Plan.*

**Table 1: Original Schedule**

Week started	Task
1-2	Front-end webapp
1-3	NLP CLI
3-4	Suggestion Engine

The team's first attempt at scheduling out the project was focused on using agile methodology. The overall architecture was broken down into components that could be designed and the work was scheduled for two week sprints. This schedule is shown in Table 1. The intent was to develop the NLP algorithms, suggestion engine, and web app in parallel as much as was possible. The original hope was that

the algorithms themselves would be fairly straightforward to work with and that we would be able to apply algorithms for reuse easily to quickly develop what we needed.

### Challenges.

It became apparent very quickly that this schedule was not going to work. The team's experience (summarized in table 2 with data mining generally and machine learning specifically was not up to par. While the team had some experience in software engineering, the specific NLP tasks that were required more than anticipated.

**Table 2: Developer Skills**

Name	Data mining	Programming (years)	NLP
Zach DeLong	1	5	0
Monica Metro	0	0	0
Zhangqi Zha	1	4	0
Bikram	?	?	?

After the project was broken into the above sprints, the team realized they lacked the expertise to split the work up this way.

### The Spiral Model.

The solution for our group to our inexperience was to follow a variant of the spiral model. The spiral model is a form of risk-driven development where the most risky parts of a project are identified early and planned into prototypes that can inform the final product. See the breakdown of the prototypes the team chose in section ??.

This afforded us the ability to have regular check-ins and measure our progress against our goals. The team convened two to three times a week to do pair programming and to check progress against the prototypes we proposed. When combined with regular check-ins with the TAs, we were able to build prototype programs to perform NLP on a set of training data, to identify if we could train a set of models to be applied to textbooks.

## 1.3 Planning

### Prototypes.

The prototypes the team identified for this project are listed in table 3. The team identified the learning algorithms as the most risky part of the project, primarily because of the team's lack of experience with natural language processing algorithms.

As discussed before, these algorithms have successfully been used to mine topics for some time, but the particular problem of identifying the topics in textbooks for search recommendations is fairly novel. This process requires a data set that matches up well with the desired topics, which will come into play in section ??.

One other major point of risk is developing the Doc2Vec model. The Gensim API contains a Doc2Vec model but it does not expose parts of the API to make the clusters directly available, which is expanded on in section ??.

### Timeline.

The team completely had to re-evaluate the schedule to accommodate the prototypes desired in table 3. The team intended to iterate every week, attempting to finish one pro-

TOTYPE each week on the way around the spiral model. This often worked, and prototypes 1, 2, and 3 were finished in the first three weeks of the project. Prototypes 3 and 4 were developed in parallel over the last several weeks of the project and it was followed immediately by implementing the evaluation plan in section ??.

## 2. LITERATURE REVIEW

We propose two methods for analyzing and storing topics from books automatically: LDA and Doc2vec.

### 2.1 LDA

In this section, we describe the Latent Dirichlet allocation(LDA) method for storing topics against books.

LDA uses a Bayesian clustering approach to find topics relevant to the book. [?] The input to the method is a book (or later, a series of books) which consists of collection of words.

This method assumes that each book (or books) consists of topics and that each topic has several key words associated with it. The number of topics associated with a book can be variable, and can be changed for better optimization. In this association, the words comprising the text are thrown into a "bag-of-words" model such that grammar and word order are disregarded, but multiplicity is measurable. The calculated topics found from this bag-of-words are "latent" variables, that is they are not directly measurable but indirectly observed.

The performance of the method depends on the initial assumptions made. For example, some have assumed that books are random mixes of topics [?]. The number of topics can initially be chosen as constant for a given book, or as a function of a chosen Poisson distribution. [?] Topic distribution in the book is assumed to be a sparse Dirichlet function.

A sparse Dirichlet function implies that we assume that a topic will be discussed only in a small breadth of pages in the book, and also that words relating to this topic will predominantly figure in these pages.

The algorithm looks to identify unique words to identify as topic names. Words like "the" and "a" are common and will occur with equal probability throughout the text. However, in say one chapter of the book some words may occur much more frequently in that particular chapter than the rest of the book, which means that the probability of these words in that chapter is more, which then the algorithm can use to choose a topic name and also a list of words related to that topic.

### 2.2 Doc2Vec

#### 2.2.1 Introduction

Doc2Vec is an implementation of the Paragraph Vector (PV) introduced by Mikolov and Le in 2014 - an unsupervised algorithm that generates vector representations of text inspired from research of vector representations of words via neural networks. [?] By concatenating or averaging vector representations of words with other word vectors, the resulting vector can be used to predict future text. This technique offers one distinct advantage to bag-of-words models like LDA: the ability to record semantics due to the mapping of the word vectors into a vector space that allocates words of similar meaning together. For example, the words "pow-

erful, strong and Paris” are equally distant when considered by a bag-of-words model, however “powerful” and “strong” are semantically close and should therefore be less distant from one another. [?]

PV is “unsupervised”, which means that it predicts words in a paragraph and then uses these predictions to attempt to form structures of related words without any knowing of accuracy of the structure(s). The PV utilizes fixed length feature vectors learned from text sources of variable length.

### 2.2.2 Models

Mikolov offers two models of PV that are based on the implementation of Word2Vec: Distributed Memory Model (PV-DM) and Distributed Bag of Words (PV-DBOW).

PV-DM is similar to the Continuous Bag of Words (CBOW) model in Word2Vec such that it predicts the next word based on the given context. Paragraph vectors are concatenated with word vectors from that paragraph. Each word vector is representing a word from the given context and a new vector is generated by concatenating all of those word vectors together to predict other words. These word vectors are able to remember context and semantics. The paragraph vector allows the algorithm to remember the topic or ‘label’ of the paragraph, whether it is a sentence or a long document.

PV-DBOW follows the skip-gram model of Word2Vec. In this model, instead of concatenating the paragraph vector with the word vectors formed in close proximity in order to predict the next word, random text from the paragraph is selected and a random word is selected from that text. Because this model does not save the word vectors and therefore does not retain as much information about the context and semantics of the text, it requires less storage.

### 2.2.3 Application

Mikolov and Le recommend using a combination of PV-DM and PV-DBOW for consistently accurate results. [?]

## 2.3 Related Work

Doc2Vec was recently tested as the algorithm behind a recommender system with the goal of recommending unseen Twitter messages (tweets) relative to a user’s typical activity and interests. A graph based link prediction method was used to infer which unseen tweets the users would like by “predicting the presence or absence of edges between nodes” on the graph. [?] K values were tested in intervals divisible by 5 ranging from 5 to 35. The system performed the best when K=30.

An LDA approach of a tagging system was implemented to improve tag recommendations. [?] Tagging systems are often used for organizing a user or organization’s data. When this data is shared, tagging can be used to find or search for relative content. This system is similar to our proposed book recommendation system that uses subject topics to search for relative material. The initial tag data set was comprised of a large sample creating a diverse tag set. This set was used to elicit latent tags of sources that did not have that many tags to describe the document. Often, the tags recommended were more specific. By increasing the number of tags and increasing specific tags, their approach contributed to the usefulness of searching for new content.

## 3. ARCHITECTURE

### 3.1 System Design

#### Overall System.

We propose building a layered system where each piece of the functionality of the overall application is built into one layer of the overall design.

This design gives us the ability to build the riskiest, most difficult pieces of functionality early, while slowly building up to an application that is usable. It also allows us to parallel path much of the development of the overall system. One person can easily be working on one layer of the system without impacting other layers, as long as interfaces between layers are well designed and documented.

The other primary advantage of the layered model detailed in the following sections is that it makes validation possible at each layer of the model. As we will get into in the following sections, the overall application will need to be validated as it is built, for the accuracy of its NLP algorithms, the usefulness of its suggestions, and the user-friendliness of its UI.

See figure 3.1 for a detailed breakdown of the overall system design. The arrows in this diagram represent the flow of information from one layer to the next. Notice how no layer communicates with anything past its surrounding layers.

#### Textbook Library.

#### NLP Algorithm.

The “lowest” level of the stack we have designed is the suggestion algorithms. This layer has the responsibility of identifying the topics found in textbooks using trained models. Because all modern topic modeling algorithms are extremely slow to run, analysis can not be done in real-time. To work around that, we propose building a command-line application that could be scheduled on a server to run on some kind of regular interval and set against some external textbook parsing API. See section 3.2 for a more detailed breakdown than this overview.

#### Database.

The database sits above the NLP algorithms, but below the rest of the stack. Its purpose is to hold the topics, textbooks, and author information mined from our external API. To house this information, we have assembled a database using the Python library Peewee as an ORM. When run, Peewee will build out the tables listed in figure 3.1. The app proposed will use the database as a repository from which to make suggestions rather than natively running the NLP algorithms, which should help to improve the performance of the overall app.

#### Suggestion Algorithm.

The suggestion layer is the last layer of the application before the interface itself. To make suggestions, the team intends to build out a REST API built on top of the database previously discussed. The API should accept a list of topics to search for along with two weights: one for the weight of topic coverage and one for weight of cost.

The weights serve as tuning parameters and may or may not be displayed to the end-users. The weights are then multiplied by their respective parameters and summed to come to a final priority number, which can then be consid-

Figure 1: Visualization of layered architecture

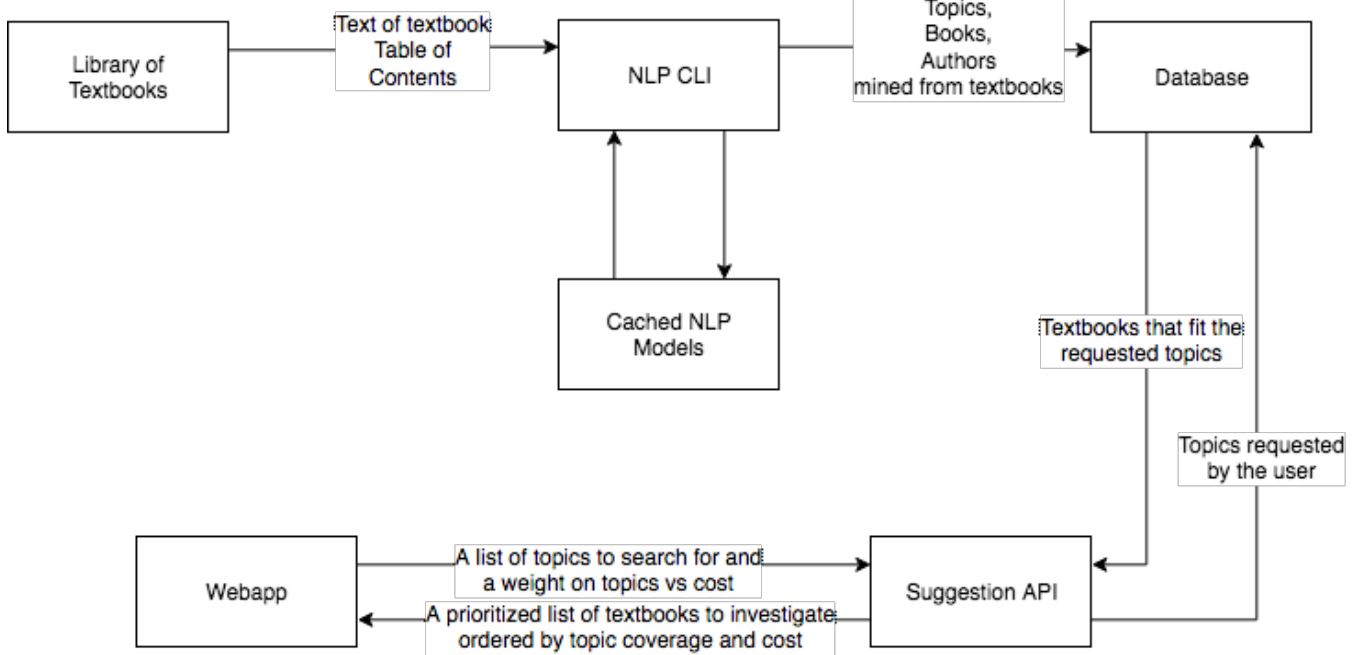
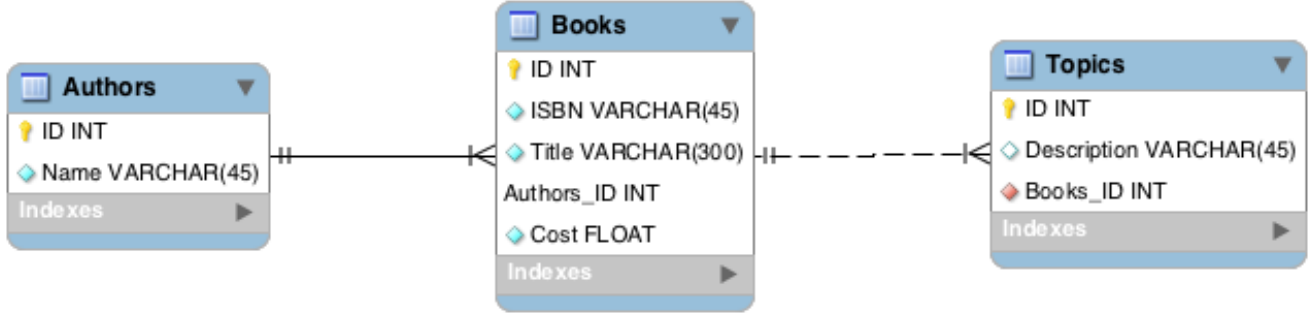


Figure 2: ERD of Proposed Database



ered a measure of the “fitness” of a particular textbook for use, where larger numbers are more fit. The fitness numbers should also be normalized to a scale between 0 and 1, so that the “fitness” of different searches could be compared in a meaningful way.

The proposed formula for calculating the priority of a suggestion is detailed in equation 1.

$$Priority_i = a \times num\_topics_i + (b \times max(cost) - cost_i) \quad (1)$$

### Web App.

The final component in this proposed architecture is the user interface. For the purpose of this project, the team proposes building a simple webapp using AngularJS. In this design, the actual web app needs to do very little; it is merely acting as an interface to the previously mentioned API. It does not need to directly connect to a database or to perform complex calculations, it simply needs to provide a simple,

easy way to input a set of search topics and to display the results of the REST API to the users.

AngularJS is well positioned to be useful in this situation. It provides convenient APIs for the display and manipulation of complex interfaces, without providing significant bloat to the application stack duplicating the features of the previous components. It is also structured as a model-view-controller system, so our manipulation of the REST api can be well and cleanly separated from the presentation, allowing us to develop other applications easily in the future.

### 3.2 CLI Design

The NLP algorithms are the focus of most of the rest of this paper. To make it easier to test and evaluate these algorithms, the team designed a CLI using the Python package Click. Once the CLI was up and running, the learning algorithms were implemented using the package Gensim. Wrapper classes around the Gensim models were built using a variant of the strategy pattern, so that our implementations could easily be swapped back and forth during testing.

### 3.3 Use cases

The team envisions fairly few use cases for the proposed system, as the system's scope is fairly well defined. The system recommends textbooks to users based on the textbook cost and the topics covered in that textbook. With that very limited scope in mind, the webapp needs to support three use-cases documented in table 4

## 4. PROGRESS

### *Prototype Progress.*

The original plan for implementing our system did not adequately address the issues we had with implementing our learning algorithms, so the team quickly pivoted to a more risk driven approach as outlined in section 1.2.

The team currently has implemented prototypes 1-4, which means that the lion's share of NLP processing has been done. We now have a script that will transform Stack Overflow dumps into something that our learning algorithms can more easily and efficiently process, as well as a NLP implementations of both LDA and Doc2Vec that are able to act on the processed data and which implement various accuracy measures that we have used to detail their usefulness. We also have save and load functionality worked into our models so that the models can be reused without the need to train the model on every run, which has proven to be one of the most useful features during testing.

### *Challenges.*

On the way to getting those prototypes running, we ran into a number of challenges, chief among them the fact that the team had no experience in this field, as outlined in section ???. Another major complication we ran into was the simple complexity of these algorithms. The Gensim library provides amazingly powerful implementations for reuse, but that power comes at the cost of complexity which their reasonably high quality documentation does not entirely weed out. The third major stumbling block was the fact that we intended to use Doc2Vec to mine topics out of text—something it does not natively do! We came up with a somewhat novel approach to this as outlined in section ?? after some time, but this was a major challenge of our research. The last major challenge was one of time. Not time to finish the project, though we could easily have listed that, but the execution time of the NLP algorithms. These algorithms are both extremely expensive, and to run them on the data sets required can take significant portions of time.

To respond to those challenges, the team spent a lot of time in research and in documentation. We spent a lot of time studying the research and trawling through Gensim documentation. To solve doc2vec we came up with a somewhat novel approach to modeling topics. We tried to optimize LDA and implemented caching of models to make retraining unnecessary once a reasonable model was trained.

## 5. VALIDATION

## 6. EVALUATION

In this section, we present the evaluations that been performed on our solutions. This including two parts: 1) methods that used to evaluate our core topic learning models

LDA and Doc2Vec and 2) methods used to evaluate the whole solution as the final product.

### 6.1 Evaluating Learning Models

The performance measure is a typical way to evaluate machine learning models. It is the measurement that will make of the predictions made by a trained model on the test dataset. Performance measures are typically specialized to the class of problem, for example in this paper, our problem is a NLP topic modeling, we will use likelihood score and perplexity to evaluate our LDA model.

Based on how to split the data into training and testing, evaluate machine learning models usually involves hold out, cross validation(CV) and leave-one-out.

Hold out method is a simple split of data, for example, 70% of the data is used for training the models, the rest 30% for testing the model. It first involves separating the dataset into a number of equally sized groups of instances (called folds). The model is then trained on all folds except one that was left out and the prepared model is tested on that left out fold. The process is repeated so that each fold gets an opportunity at being left out and acting as the test dataset. Finally, the performance measures are averaged across all folds to estimate the capability of the algorithm on the problem. Leave-one-out is a special case of CV, where folds is equal to data points.

In our original plan, we may need CV to run our model evaluation, because our dataset is not large enough and the computational difficulty is not critical. But with using only stackoverflow data, we have plenty of dataset. So the decision is using hold out method. We tested our models using different size of dataset.

### *Evaluating LDA.*

### *Evaluating Doc2Vec.*

TO DO

### *Sentiment Prediction Accuracy.*

### *Information Retrieval.*

One way to verify that our implementation of Doc2Vec is correctly mapping word vectors to other semantically similar word vectors is to test the trained model on an information retrieval task. [?] The data collected from stackoverflow is tagged with different topics that pertain to the content. The tags can then be used to organize the content via topic. The Doc2Vec model should report two documents that pertain to the same topic as less distant to each other than a third document that focuses on a different topic.

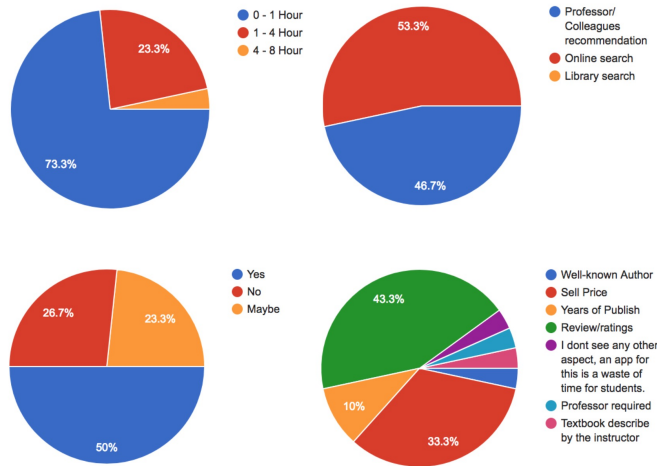
### 6.2 Evaluating Application

### *Pre-Surveying.*

To evaluate our project idea, we conducted a pre-surveying to see what the problem we are facing and how people react about our proposed solution. We asked our participants how long they will spend on find the right textbook, what aspect do they think is most important when choosing a textbook (except the content, our learning model will do the best of this part). See Table 5 for a breakdown of the questions to ask.

Among the 29 responses, we found that 73.3% responded as they interested to our application to recommend the textbooks. The answer to most important aspect during the textbook chosen, review/ratings and sell price were the highest concern. These preliminary results give us the guidance to design the application and to improve the core learning models. See Figure 3 for detailed results.

**Figure 3: Result of preSurvey**



### *Experiments and Post-survey.*

After we build the application with the command line interface and core learning models, we ran the experiments with different users in a focus group to evaluate the solution.

## **7. CONCLUSION**

### **7.1 Process Conclusions**

### **7.2 LDA**

### **7.3 Doc2Vec**

### **7.4 Future enhancement**

The problem of textbook suggestion is fairly general and lends itself well to a number of different directions. We would like to start this app off with a fairly limited set of functionality, just the suggestions and a link to the FOSS library holding the book in question, but enhancements such as amazon integration would be One major enhancement would be the introduction of a rating system to the suggestion engine. Another major enhancement would be including links in the UI to buy the books. A third major enhancement would be evaluating other topic modeling algorithms to see how they stack up, such as IDA. Another enhancement would be to test an even more rudimentary topic modeling method, such as simply representing topics as lines in a table of contents. Essentially asking the question of whether or not fancy algorithms are even more useful than simple text search for this domain.

Table 3: Prototypes		
1	<ul style="list-style-type: none"> <li>• Implement CLI to make testing of models easier</li> <li>• Implement LDA algorithm using Gensim on static text files.</li> </ul>	3 +
2	<ul style="list-style-type: none"> <li>• Prototype 1</li> <li>• Parse Stack Overflow data to text files for input to prototype 1</li> <li>• Save/Load model so multiple experiments can be run more quickly</li> <li>• Adapt Prototype 1 to operate on Stack Overflow data.</li> </ul>	4 +
3	<ul style="list-style-type: none"> <li>• Prototype 2</li> <li>• Prototype database models/access code.</li> <li>• Prototype similarity measures to be used with learning algorithms</li> </ul>	4 +
4	<ul style="list-style-type: none"> <li>• Implement Doc2Vec</li> <li>• Implement Doc2Vec with stack overflow data</li> </ul>	5 +
5	<ul style="list-style-type: none"> <li>• Implement code to parse PDFs of textbooks</li> <li>• Implement connection to library (either REST API or a folder of PDFs)</li> <li>• Apply trained models to textbooks</li> <li>• Save modeled topics in the database</li> </ul>	4 -
6	<ul style="list-style-type: none"> <li>• Write algorithm to parse saved topics</li> <li>• Write REST API to serve above algorithm</li> </ul>	2 -
7	<ul style="list-style-type: none"> <li>• Build web-app front end to the above suggestion service</li> </ul>	2 -

Table 4: Use Cases	
user	task
Professor	Search for textbooks with a given set of topics
Professor	Search for textbooks with a given set of topics using an increased weight on providing an inexpensive textbook
Professor	Search for textbooks with a given set of topics using an increased weight on matching all topics requested

**Table 5: Questions in Pre-survey**

Questions
1. Are you a student or professor?
2. How much time do you spend on choosing a textbook?
3. How do you choose a textbook?
4. What aspect do you think is most important when choosing a textbook (except the content)?
5. Would you consider an app that will give you recommended textbooks base on your course syllabus and your preference?