



POORNIMA UNIVERSITY, JAIPUR.
MID SEMESTER EXAMINATION 2020-21 (ODD Semester)

School : School of Commerce & Management
Course : BBA Year V SEM – BBA (FM)
Subject Name : Financial Markets & institutions
Subject Code : BBB05105

Max. Time: 1.5 hrs.

Max. Marks: 12

NOTE:- Attempt four questions. There is internal choice in Q. 1 & 2, Q. 3 & 4, Q. 5 & 6 & Q. 7 & 8.

Sec-A (CO 3) (Max. Marks-6)			Marks
Q.1	(a)	"Banks offer various types of facilities to finance Receivables as a part of working capital finance. Please describe these facilities and which one is more commonly used and why?"	(1.5)
	(b)	Normally Repayment period of Project Finance is 10 to 15 years. Is there a mechanism by which Bank can come out of the loan after 5-7 years? Name and describe the mechanism?	(1.5)
OR			
Q.2	(a)	How a Bank finance procurement of Goods and/or manufacturing of goods for the purpose of export, Name and describe the facility	(1)
	(b)	A manufacturing unit wants to import a plant, landed cost being approximately Rs100/- crores. What are the options available to him to arrange funds for this Describe in brief, each option .	(2)
Q.3	(a)	Whether do you call a mutual fund that is traded in stock exchange ? Describe its product features and how they are different than other mutual funds?	(1.5)
	(b)	What are the risks not covered in a Personal Accident Policy? What term is used in Insurance parlance to describe this?	(1.5)
OR			
Q.4	(a)	Describe the name and features of Mutual Fund where rebate under section 80 C of Income Tax Act is available.	(1)
	(b)	Due to scam in some leading NBFCs in 2019, NBFCs in general are in problem, Narrate the type of problems they have been facing What measures have been taken by RBI in recent past to help NBFC	(2)
Sec-B (CO 4) (Max. Marks-6)			
Q.5	(a)	How a start up can get private equity?	(1.5)
	(b)	What is Wealth Management? How it is different than Portfolio Management?	(1.5)
OR			
Q.6	(a)	What is a Portfolio Management Service? Is this better than Mutual fund? Please explain	(1.5)
	(b)	Who is a merchant Banker? Does it require registration with any authority. If yes, with whom and what are the requirements to be fulfilled for registration	(1.5)
Q.7	(a)	What are fee based financial services? Why Banks are desperate to increase this business?	(1.5)
	(b)	Write short notes on a) Red Herring Prospectus, b) Listing of shares with stock exchange c) foreign exchange services	(1.5)
OR			
Q.8	(a)	Merchant Bankers help a company bringing an IPO. They also help the issuer to manage the risk of issue not getting fully subscribed.. What this facility is called .Explain the entire concept, its features and importance	(2)
	(b)	Describe investment services as a fee based service	(1)