# Numerical Relation Extraction with Minimal Supervision MTP Presentation

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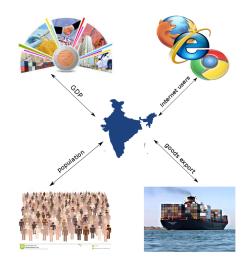
Indian Institute of Technology Bombay, Mumbai

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#### Outline

- Introduction
- 2 Relation Extraction as a Machine Learning Problem
- 3 Peculiarities of Numerical Relation Extraction
- 4 NumberRule: Rule Based Relation Extraction
- 5 NumberTron: Probabilistic Relation Extraction

## Introduction





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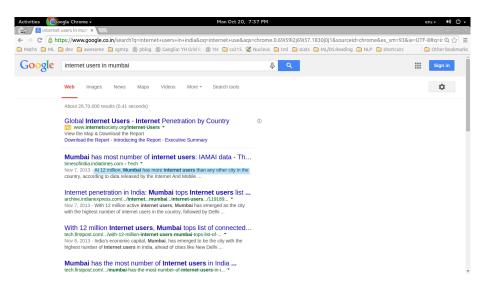
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  - What is the GDP of Sugarcane Industry of India?
  - Percent of Internet users in Mumbai?





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- We may expect the sentence structure <sup>1</sup> to be similar.
  - Population of India reached 1.3 billion, making it the second largest country in the world.
  - Population of Arbit Apartments, Powai reached 1300.

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#### Problem Statement

• The knowledge is scattered in unstructured text on the Web.

590.56 million people in China were using the internet at mid-2013, an increase of nearly 53 million (or 9.85%) from a year earlier.

The land area of the contiguous United States is 2,959,064 square miles (7,663,941 km²). Alaska, separated from the contiguous United States by Canada, is the largest state at 663,268 square miles (1,717,856 km²). Hawaii, occupying an archipelago in the central Pacific, southwest of North America, is 10,931 square miles (28,311 km²) in area. 11991

• Can such facts be extracted automatically?

#### Problem Statement

- Formally, train extractors that can harness the Web for numerical relations, where relations are 3-tuples linking an entity to a number
  - (India, economy, 1.842 trillion USD)
  - (China, internet users, 590.56 million)
  - (USA, land area, 2,959,054 square mile)

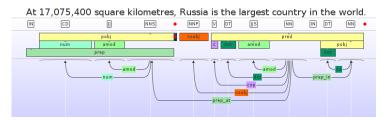


- **Structure** and **content** of sentences expressing the same relations can be *expected* to be similar.
  - The population of Australia is estimated to be 23,622,400 as of 7 October 2014.
  - According to an official estimate for 1 June 2014, the population of Russia is 143,800,000.

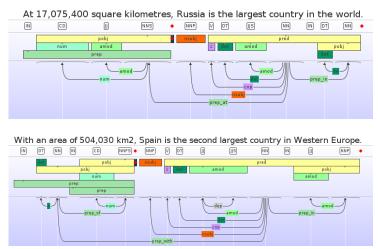
- **Structure** and **content** of sentences expressing the same relations can be *expected* to be similar.
  - At 17,075,400 square kilometres, Russia is the largest country in the world.
  - With an area of 504,030  $km^2$ , Spain is the second largest country in Western Europe.

 Redundancy in grammatical features and dependencies of the sentences expressing same relation.

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## Possible Workflow

#### Possible Workflow

- 1: **Collect enough examples** for each relation so that there are sufficient patterns and enough redundancy to exploit.
- 2: **Extract features** (important keywords, grammatical structure, parse trees, etc.) for these sentences.
- 3: **Train** a multi-class classifier on this training data.
- 4: **for** sentence  $s \in Corpus$  **do**
- 5: **Extract** features for *s*.
- 6: **Predict** the relation using the model for these features.
- 7: **Store** the fact into a database.

## Challenge

 $\bullet$  Large Corpus ( ${\sim}16$  million sentences), hand labeling is out of question

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## Challenge

- $\bullet$  Large Corpus (  $\sim\!\!16$  million sentences), hand labeling is out of question
- Need lots of training data to learn high quality extractors
- What makes this problem interesting?

## Peculiarities of Numerical Relation Extraction

#### Peculiarities of Numerical Relation Extraction

#### Numbers are weak entities

- Quantities can appear in far more contexts than typical entities.
   ("Bill Gates", "Microsoft") vs. ("11", "Microsoft")
- Regular IE have fewer cases of entity disambiguation as compared to numerical IE



#### Peculiarities of Numerical Relation Extraction

Numbers are weak entities

- Noise is more for the small whole numbers that are unitless or with popular units (e.g, percent)
- 1 or 5% vs. 11.42145 or 330 m/sec

| Number | Frequency (Avg. 57.84) |
|--------|------------------------|
| 3      | 85333                  |
| 20     | 86359                  |
| 2      | 91608                  |
| 1      | 100014                 |
| 10     | 100780                 |

## Peculiarities of Numerical Relation Extraction Units

- Unit acts as types for numbers.
- Same quantity may be expressed with different unit
  - 20 kms or 12.4 miles
- Unit extractor needs to perform unit conversions for correct matching and extraction

# Peculiarities of Numerical Relation Extraction Delta Words

- Not uncommon to find sentences expressing change in the value of a relation (instead of, or in addition to, the actual value).
  - Amazon stock price increased by \$35 to close at \$510.
  - India's tiger population sees 30% increase.
  - Ford poised to raise dividend by 20% even as profit declines.

## Peculiarities of Numerical Relation Extraction

Relation/Argument Scoping

- Additional modifiers to arguments or relation words may subtly change the meaning and confuse the extractor.
  - rural literacy rate of India
  - literacy rate of rural India
- The modifiers are usually adjectival modifiers

# Peculiarities of Numerical Relation Extraction Keywords

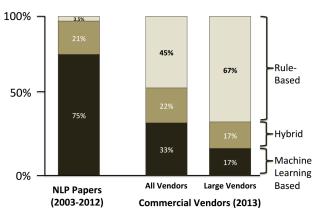
- Sentences expressing many numerical relations usually include one or a handful of keywords.
- Sentences expressing the GDP of a country with mentioning the term GDP? Sentences expressing inflation without mentioning inflation?
- Founder of without the phrase founder of?
  - Bill Gates is the founder of Microsoft
  - Bill Gates founded Microsoft
  - Bill Gates is the father of Microsoft
  - Bill Gates laid the foundation stone of Microsoft
  - Bill Gates started Microsoft

# NumberRule: Rule Based Relation Extraction

## Rule Based IE

#### Academia vs. Industry

### Implementations of Entity Extraction



<sup>2</sup>from [CLR13]

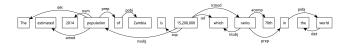
#### Dependencies in NLP

- Dependencies are grammatical relation between two words, governor and dependent.
- The relation captures the way in which one of the words is affected by the other.
- For example, consider the sentence: "The red ball was lost"
  - amod(ball,3,red,2) "Red" is an adjective for "ball"
  - det(ball,3,The,1) "the" is a determiner of "ball"
  - nsubjpass(lost,5,ball,3) "ball is the subject of lost"
  - auxpass(lost,5,was,4) "was is an auxiliary of lost"

Motivation

## From [BM05]

If  $e_1$  and  $e_2$  are two entities mentioned in the same sentence such that they are observed to be in a relationship R, our hypothesis stipulates that the contribution of the sentence dependency graph to establishing the relationship  $R(e_1,e_2)$  is almost exclusively concentrated in the shortest path between  $e_1$  and  $e_2$  in the undirected version of the dependency graph.



Motivation

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- When looking for clues for relation extraction, dependency path is a good place to start.
- In the case of Numerical Relations, we already know what to look for: *keywords*.
- Need to take care of modifications to the entities, delta words

#### Definitions

- Keywords Words that might help in identifying relations. (GDP, internet, inflation)
- Delta words Words that indicate that the mention expresses a change, and not the actual relation.
   (change, up, down, increased, changed, risen)
- Modifiers A word m is said to be a modifier of the word w if there is a modifying dependency from m to w.
   (blue modifies whale in blue whale, urban population).

#### Extraction Algorithm

```
1: for (e, n) \in (E_S \times N_S) do //For all entity-number pairs
        P \leftarrow words in dependency path between e and n
 3.
        for r \in R do
            if P \cap K_r = \emptyset then
 4:
 5:
                continue; //keyword is not present
            if P \cap \Delta \neq \emptyset then
6:
 7:
                continue; //delta words are present
            if Unit(n) \notin LegalUnits(r) then
8.
                continue; //incompatible units?
9:
10.
            if k_r \in P \cap K_r is modified/scoped then
11:
                continue; //keyword is modified/scoped
            if e is modified/scoped then
12.
                continue; //entity is modified/scoped
13:
            Extract r(e, r, n).
14:
```

#### NumberRule: Extractions

- "The estimated population for 2014 of the Australian continent is about 36.25 million people"
- $\underbrace{ \begin{array}{c} \text{Australian} \xrightarrow{amod} \text{continent} \xrightarrow{prep\_of} 2014 \\ \xrightarrow{prep\_for} \xrightarrow{population} \xrightarrow{nsubj} \text{people} \xrightarrow{num} \text{million} \xrightarrow{number} 36.25 \\ \end{array} }$
- "The estimated population for 2014 of the Australian continent increased by about 3.25 million people"
- Australian  $\xrightarrow{amod}$  continent  $\xrightarrow{prep\_of}$  2014  $\xrightarrow{prep\_for}$  population  $\xrightarrow{nsubj}$  increased  $\xrightarrow{prep\_by}$  people  $\xrightarrow{num}$  million  $\xrightarrow{number}$  36.25

| Sentence                                  | Test                |
|---|---------------------|
| The estimated population of Australia is  | -                   |
| about 36.25 million people.               |                     |
| The estimated population density of Aus-  | Incompatible Units  |
| tralia is 36.25 million people per sq km. |                     |
| The estimated population of Australia in- | Delta Word Present  |
| creased by about 36.25 million people.    |                     |
| The estimated population of urban Aus-    | Entity is Modified  |
| tralia is about 36.25 million people.     |                     |
| The estimated adolescent population of    | Keyword is Modified |
| Australia is about 36.25 million.         |                     |

Table: NumberRule outputs (Australia, Total Population, 36.25 million) only in the first sentence. The second column is test number that fails for other sentences. The input keyword is "population".

# NumberTron: Probabilistic Relation Extraction

- An Unlabeled Corpus (Sentencified, pruned to retain sentences having a country and a number)
- A Database of numerical facts, derived from data.worldbank.org.
- A Database of keywords

| Code      | Num           | Rel  |
|-----------|---------------|------|
| /m/0hzlz  | 4.091616e+17  | ELEC |
| /m/01nyl  | 9.27261850301 | INF  |
| /m/05qx1  | 2434964.0     | POP  |
| /m/03rt9  | 3538082.0     | POP  |
| /m/05v8c  | 22860078000.0 | CO2  |
| /m/07fsv  | 31824701.2783 | GDP  |
| /m/04w4s  | 32870000000.0 | AGL  |
| /m/035qy  | 15.5100261552 | INF  |
| /m/0d05q4 | 12.6628528269 | INF  |
| /m/088q4  | 1562886291.51 | LIFE |

| Relation                 | Keywords                         |
|--------------------------|----------------------------------|
| Internet User %          | internet                         |
| Land Area                | area, land                       |
| Population               | population, people, inhabitants  |
| GDP                      | gross, domestic, GDP             |
| CO <sub>2</sub> emission | carbon, emission, CO2, kilotons  |
| Inflation                | inflation                        |
| FDI                      | foreign, direct, investment, FDI |
| Goods Export             | goods, export                    |
| Life Expectancy          | life, expectancy                 |
| Electricity Production   | electricity                      |
|                          |                                  |

#### **Definitions**

For an entity e (India)

- One Graph per entity
- Let  $S_e$  be the set of sentences that express the entity e.
- ullet Let  $Q_e$  denote the distinct numbers with unit that appear in  $S_e$   $^3$
- $\forall q \in Q_e$ , let  $S_{e,q} \subseteq S_e$  denote the sentences that mention e and q.

 $<sup>^3</sup>$ We use the unit tagger by [SC14] to identity units of numbers in the text and to convert all unit variants like "mile", "km" to a canonical SI unit, "meter".

#### **Definitions**

```
For e = (China)
```

- $S_{china} = \{(i)... China says that annual inflation... to 4.3 percent, (ii)...China would initiate ... that its inflation rate ... 4.3 percent in October, (iii)...the number of chinese internet users has grown to 840 million...}$
- $Q_{china} = \{4.3 \text{ percent}, 840000000\}$
- $S_{china,4.3percent} = \{(i),(ii)\}$
- $S_{china,840000000} = \{(iii)\}$

#### Random Variables

For each entity e, for each number  $n_q$ 

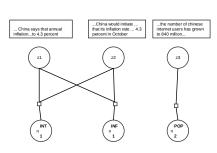
•  $n_r^q$ , number nodes Binary, 1 if the number q is related to e via relation r.

For each mention,  $s \in S_{e,q}$ 

•  $z_s$ , mention nodes multi-ary, can take values  $r \in \mathcal{R} = (R \cup \bot)$ , set to  $r \in R$  if the sentence expresses any of the R relations, else set to  $z_s = \bot$ .

## For e = (China)

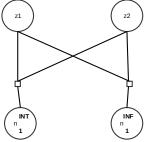
- $S_{china} = \{(i), (ii), (iii)\}$
- $Q_{china} = \{4.3 \%, 840000000\}$
- $S_{china,4.3\%} = \{(i),(ii)\}$
- $S_{china,840000000} = \{(iii)\}$



#### **Graphical Model**

... China says that annual inflation...to 4.3 percent

...China would initiate ... that its inflation rate ... 4.3 percent in October ...the number of chinese internet users has grown to 840 million...





#### Features

- Mintz Features Lexical and Synctactic features derived from POS tags and dependency path [MBSJ09]
- Keyword Features Derived from a pre-specified list of keywords per relation.
- Number Features Capture Information on the magnitude, type (whole, fraction) can also be useful for relation extraction.

Afghanistan , which is mostly rural , has one of the lowest life expectancy rate in the world at 44 year for both man and woman.

#### Features

| Feature type    | Features                  |
|-----------------|---------------------------|
| Fixed Keywords  | key: life key: expect     |
| Number Features | Num: Billion Num: Integer |

Afghanistan, which is mostly rural, has one of the lowest life expectancy rate in the world at 44 year for both man and woman. The time "44 year" is converted to the SI unit, which comes out to be around 1.3 billion and thus the feature Num: Billion is fired.

#### **Features**

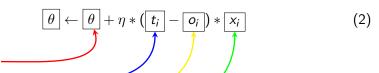
- inverse\_false|LOCATION|\*LONG\*|DURATION, There is a long dependency path between the two entities, one of which is a location and other duration
- inverse\_false|B\_-2 B\_-1|LOCATION|\*LONG\*|DURATION|year for, Same as above, but now with windows of text around entities of interest
- $\bullet$  str:rural[rcmod]— > |LOCATION|[nsubj]— >have[root]< -at[prep]< -year[pobj]< -|DURATION , The typed dependency path
- $\bullet$  dir:- > |LOCATION|- >< < < -|DURATION , Direction of dependencies

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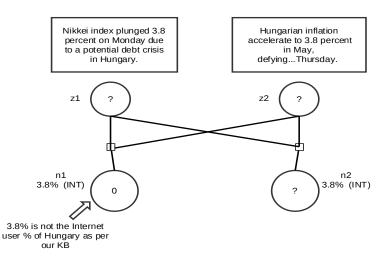
#### Perceptron

• The classical perceptron forms the core of our training procedure.

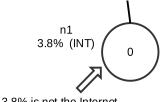
$$\theta \leftarrow \theta + \eta * (t_i - o_i) * x_i \tag{1}$$



- Weights
- True Label
- Observed Label
- Feature (Binary)

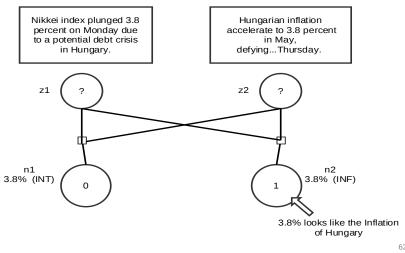


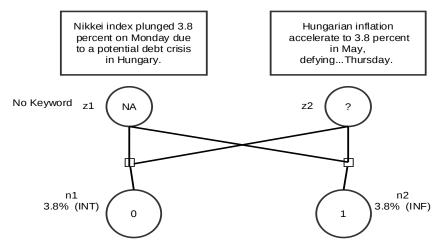
True Labels: Distant Supervision

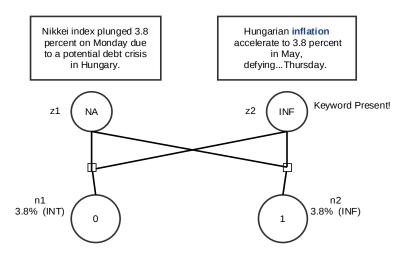


3.8% is not the Internet user % of Hungary as per our KB

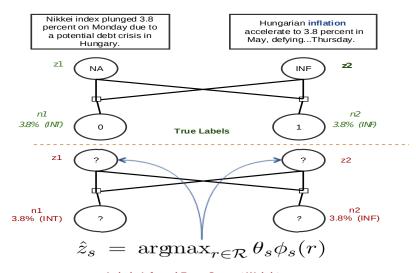
- Is 3.8% within  $\delta\%$  of the values in the knowledge base for Internet User Percent of Hungary?
- $\delta = 20$





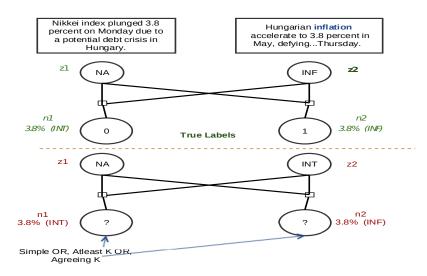


Observed Labels: Full Inference



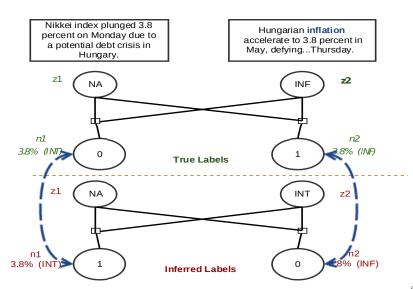
**Labels Inferred From Current Weights** 

Observed Labels: Full Inference

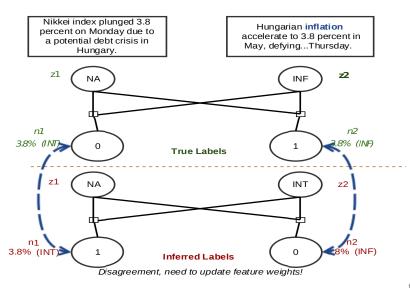


Labels Inferred From Current Weights

Observed Labels: Full Inference



Observed Labels: Full Inference



#### **Updating Feature Weights**

- Let  $f_1, f_2, ..., f_k$  be the features fired for Hungarian inflation accelerate to 3.8 percent in May, defying...Thursday.
- Examples: key: inflation, Num: Units and so on.

• 
$$\theta_{f_i}^{INT} \leftarrow \theta_{f_i}^{INT} - 1$$

$$\bullet \ \theta_{\mathit{f_i}}^{\mathit{INF}} \leftarrow \theta_{\mathit{f_i}}^{\mathit{INF}} + 1$$

 These features actually indicate inflation relation, and not the internet relation!

#### Extraction

#### Sentence Level Extractions

- Given a sentence S, let E be the set of entities and Q be the set of numbers that are present in the sentence.
- We then calculate a score(r,e,q) for a  $e\in E$  and  $q\in Q$  for being tagged r as  $\theta_q^r\phi_q(n_q=1)+\theta_s\phi_s(r)$  where  $\phi_s$  captures the features in sentence S tied to entity e and number q.
- For each (e, q) we assign a label r if the min-max normalized score is greater than some threshold  $\alpha$ .
- We use a cross validation set to obtain the  $\alpha = 0.90$ .

# Results

# **Experiments**

#### Training Corpus

- Tac KBP 2014 corpus comprising roughly 3 million documents from NewsWire, discussion forums, and the Web.
- Knowledge base is compiled from data.worldbank.org
  - Dataset contains 1,281 numeric indicators for 249 countries, with over 4 million base facts.
  - Dataset is normalized by converting all the values to their SI base unit value.

## **Experiments**

#### Test Set

 Mix of 430 sentences from TAC corpus and sentences from Web search on relation name.

| Relation                  | Units    | Positive | Negative |
|---------------------------|----------|----------|----------|
| Land Area                 | Sq. Km   | 57       | 17       |
| Population                | -        | 51       | 300      |
| Inflation                 | percent  | 51       | 84       |
| Internet Users            | percent  | 15       | 04       |
| FDI                       | \$ (USD) | 10       |          |
| GDP                       | \$ (USD) | 8        | 35       |
| Goods Export              | \$ (USD) | 11       |          |
| Life Expectancy           | year     | 15       | 34       |
| Electricity Production    | kWh      | 13       | 6        |
| CO <sub>2</sub> Emissions | kiloton  | 8        | 16       |

Table : Test corpus statistics: The third column is the number of instances per relation and the fourth column is the number of "none-on-the-above"  $(\bot)$  grouped by relation of the same unit.

## Baseline Algorithms

• **Recall –Prior Baseline:** For each unit, predict the relation with the highest *test* prior ignoring the "none-of-the-above" class.

| Inflation      | percent | 51 | 2/1 |
|----------------|---------|----|-----|
| Internet Users | percent | 15 | 04  |

• All the numbers with the unit "percent" will be labeled 'Inflation' since it is most frequent class ignoring the "none-of-the-above" class.

## Baseline Algorithms

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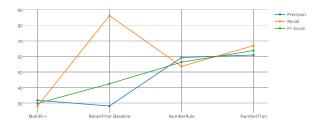
• All the numbers with the unit "percent" will be labeled 'Inflation' since it is most frequent class ignoring the "none-of-the-above" class.

### MultiR ++ : Adapting MultiR for Numerical Relations

- Added unit tagger as in our algorithms for identifying and normalizing numbers and units.
- Added our partial matching (using  $\pm \delta_r \%$ ) technique in distant supervision.

### Results

#### Numbertron vs NumberRule vs Baselines



- Statistical method like NumberTron outperforms NumberRule on increased recall, which jumps from 53.6% to 67%
- MultiR++ performs poorly because it does not model peculiarities of numerical relations.

## Analysis

#### NumberTron vs NumberRule

- NumberRule's missed recall is primarily because of not having a keyword on the dependency path.
  - "Turkey's central bank said Wednesday it expects the annual inflation rate to reach 6.09 percent at the end of 2009, lower than the official target of 7.5 percent."
  - Turkey  $\xrightarrow{poss}$  bank  $\xrightarrow{nsubj}$  said  $\xrightarrow{ccomp}$  expects  $\xrightarrow{xcomp}$  reach  $\xrightarrow{dobj}$  percent  $\xrightarrow{num}$  6.09
  - Since keyword 'inflation' is not on the shortest dependency path between Turkey and 6.09, NumberRule does not extract.
  - Since NumberTron combines evidences from multiple features such as number's range, presence of 'inflation' in context and dependency path features.

### Ablation tests

#### of various configurations of NumberTron

| Distant Supervision | Simple OR |       | Atleast-K |       | Agreeing-K |       |       |       |       |
|---------------------|-----------|-------|-----------|-------|------------|-------|-------|-------|-------|
|                     | Р         | R     | F1        | Р     | R          | F1    | Р     | R     | F1    |
| KB                  | 43.24     | 50.93 | 46.54     | 40.05 | 53.93      | 45.97 | 35.20 | 44.52 | 39.35 |
| Keywords            | 43.35     | 73.22 | 54.46     | 43.69 | 73.62      | 54.83 | 45.97 | 70.80 | 55.74 |
| KB + Keywords       | 61.56     | 64.96 | 63.21     | 60.93 | 66.92      | 63.78 | 63.46 | 60.21 | 61.79 |

Table: Comparison of various configurations for NumberTron

 Keywords are crucial and KB in conjunction with keyword-based labeling adds significant value.

## Ablation tests

#### of feature templates for NumberTron

| Features                 | Precision | Recall | F1-score |
|--------------------------|-----------|--------|----------|
| Mintz features only      | 22.85     | 36.86  | 28.21    |
| Keyword features only    | 51.24     | 52.55  | 51.89    |
| Mintz + Keyword          | 47.10     | 39.04  | 42.71    |
| Mintz + Number           | 17.80     | 35.03  | 23.67    |
| Keyword + Number         | 45.15     | 69.70  | 54.80    |
| Mintz + Keyword + Number | 60.93     | 66.92  | 63.78    |

Table : Ablation tests of feature templates for NumberTron

 Large set of Mintz features confuses the classifier; Keyword features are much effective in learning.

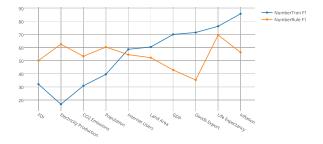


Figure : Per relation F1 scores for NumberRule and best configuration of NumberTron

# Summary and Future Work

### Future Work

- Temporal Modeling Many of the relations that we target are time dependent.
- Soft constraints in NumberTron Instead of hardcoding true
  assignments to the random variables, we can think of an alternative
  scheme in which the keyword nodes are added to the graphical model
  along with edge potentials that capture the similarity between
  potential relation and the keywords.
- Extracting with Delta Words NumberTron and NumberRule ignore mentions that express a change rather than the absolute fact.

## Summary

- Numerical relation extraction has several peculiarities, more challenging than standard IE.
- **NumberRule**, a rule based system that can extract any numerical relation given input keywords for that relation.
- NumberTron, a probabilistic graphical model, that employs novel task-specific features and can be trained via distant supervision or other heuristic labelings.
- NumberTron aggregates evidence from multiple features and produces higher recall at a precision comparable to NumberRule.
- Both systems vastly outperform baselines and non-numeric IE systems, with NumberTron yielding over 33 point F-score improvement.

## Thanks!

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# Summary

### NumberTron vs NumberRule

|                          | NumberRule             | NumberTron             |
|--------------------------|------------------------|------------------------|
| Idea                     | Use dep path between   | A Graphical Model      |
|                          | the number and the     | with Perceptron like   |
|                          | entity in the mention  | training algorithm     |
| Supervision              | Relation specific key- | Relation specific key- |
|                          | words.                 | words + Numerical      |
|                          |                        | knowledge base.        |
| Handling False +ves      | Look for relation spe- | Keyword features.      |
|                          | cific keywords in the  |                        |
|                          | dep path.              |                        |
| Handling Mentions        | No extraction if a     | Remove sentences       |
| <b>Expressing Change</b> | delta word exists on   | having delta words on  |
|                          | the dep path.          | the dep path           |

## NumberTron vs NumberRule

| Use of Unit Tagger | Used to test compatibility of a relation and | Used for training data creation and flattening |  |
|--------------------|--|--|--|
|                    | the number.                                  | to SI units.                                   |  |
| Common Number      | N/A  | Features included to                           |  |
| Pruning            |  | capture type (whole,                           |  |
|                    |  | fraction), magnitude                           |  |
|                    |  | and frequency.                                 |  |
| Modified Relations | Handled by attach-                           | Not handled in the                             |  |
|                    | ing words related via                        | model, can be han-                             |  |
|                    | modifying dependen-                          | dled at the time of ex-                        |  |
|                    | cies, <i>urban</i> popula-                   | traction using a similar                       |  |
|                    | tion.  | scheme.  |  |
| Results            | P = 59.30, R = 53.60,                        | P = 60.93, R = 66.92,                          |  |
|                    | F-Score = 56.30                              | F-Score = 63.78                                |  |