

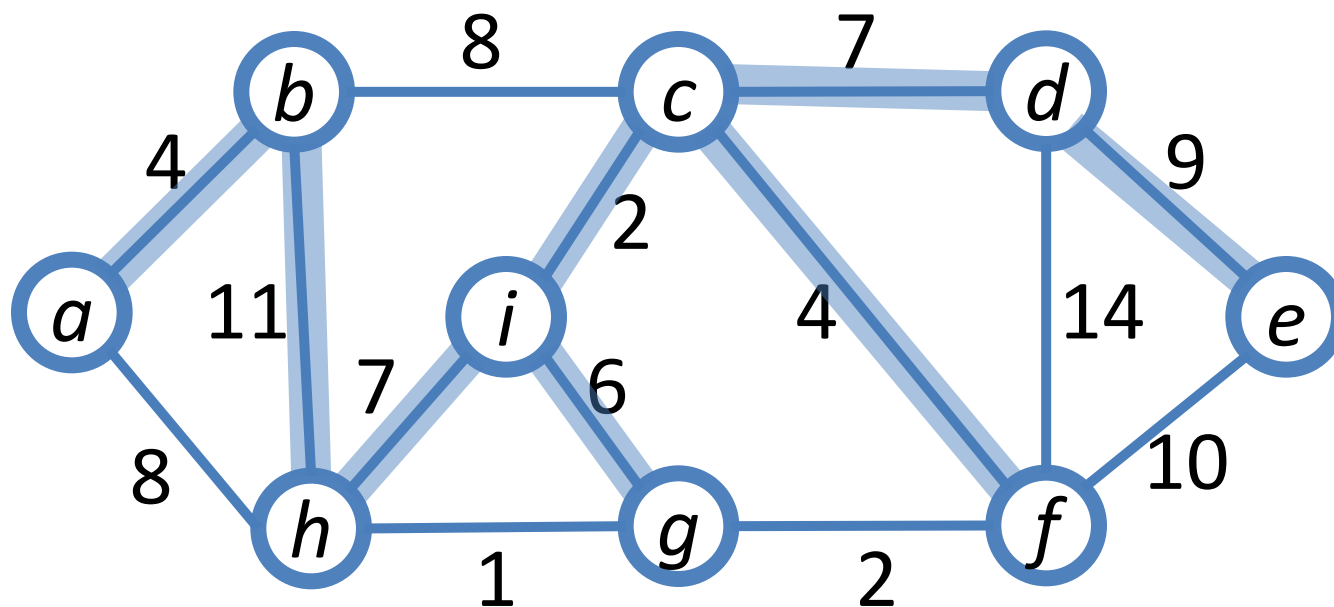
# Minimum Spanning Trees

## Chapter 23

Mei-Chen Yeh

# A spanning tree

*connects all of the vertices*

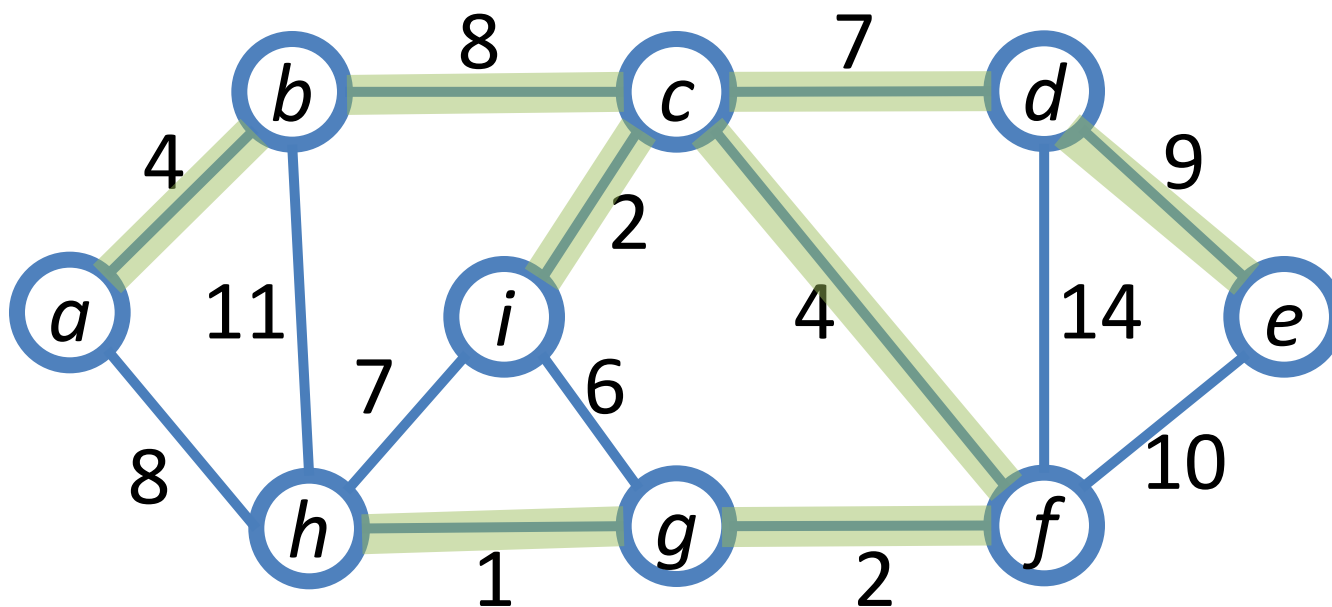


$$w(T) = 50$$

開始要處理權重，把所有選到的邊，上面的數字都加起來，就會是全部的cost

# A spanning tree

*connects all of the vertices*

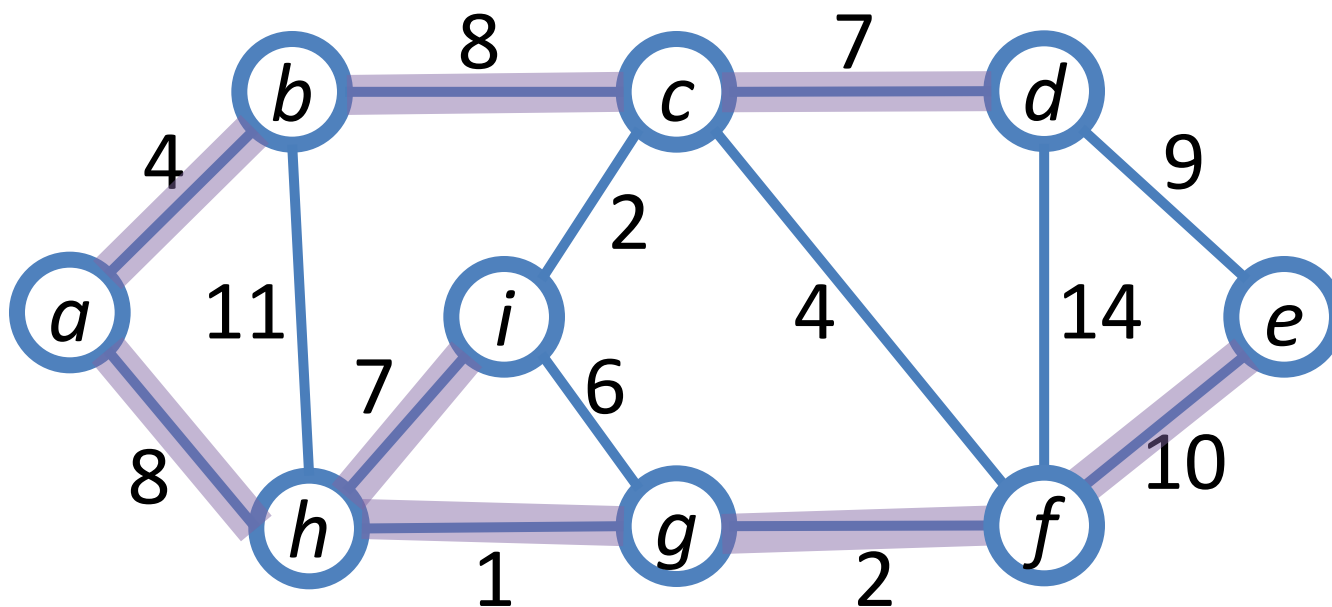


$$w(T) = 37$$

也是把所有選到的邊上的數字都加起來，就是cost

# A spanning tree

*connects all of the vertices*

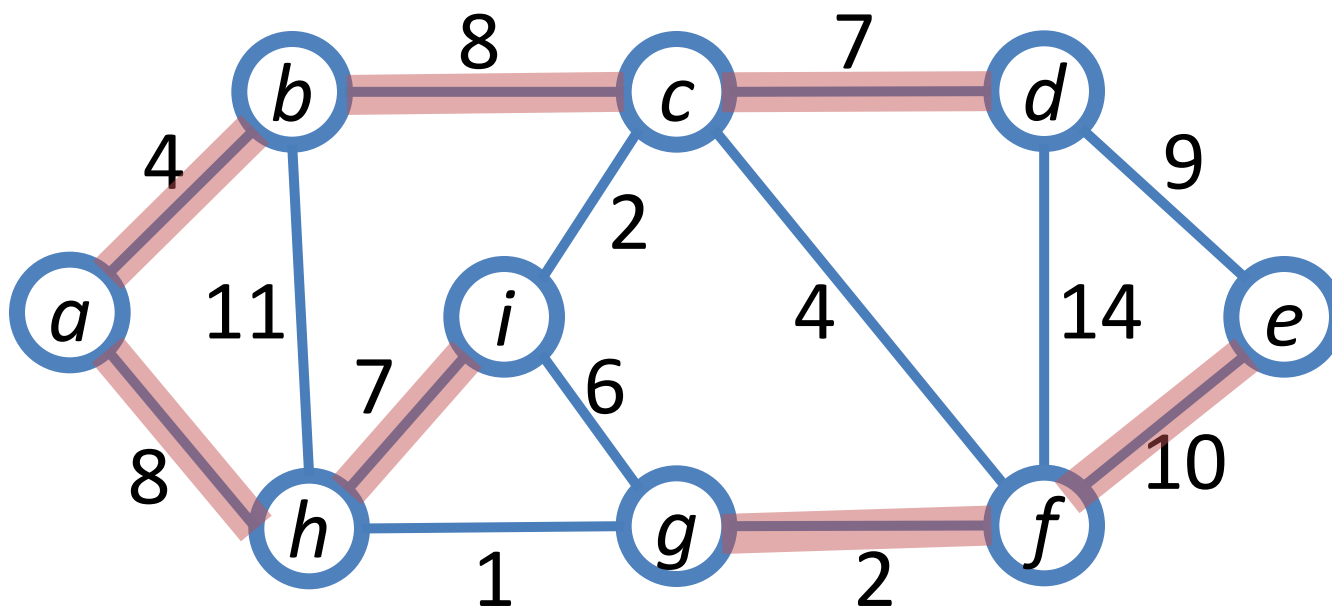


$$w(T) = 47$$

權重值是47

# A spanning tree

*connects all of the vertices*

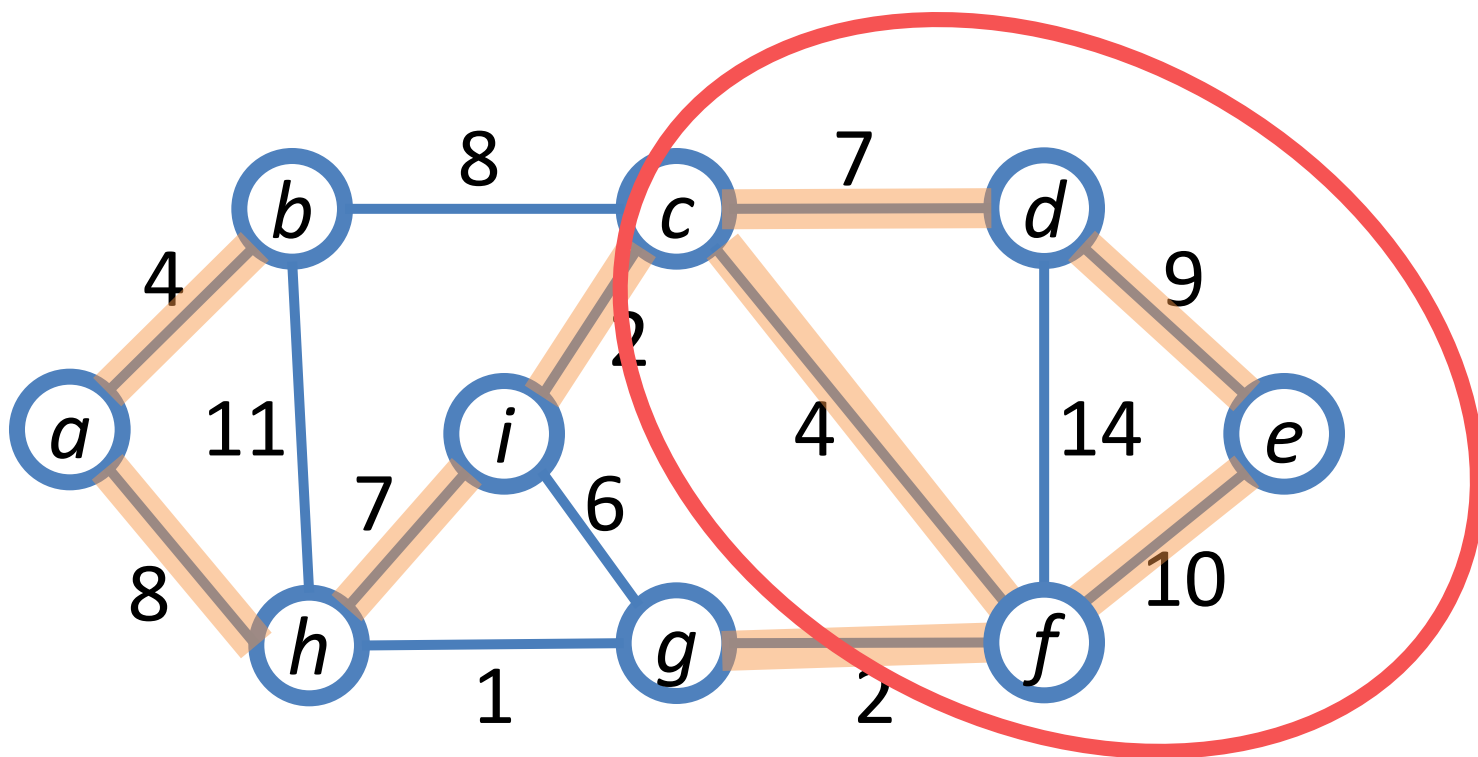


*Two trees*

這個不行，這是兩棵樹

# A spanning tree

*connects all of the vertices*

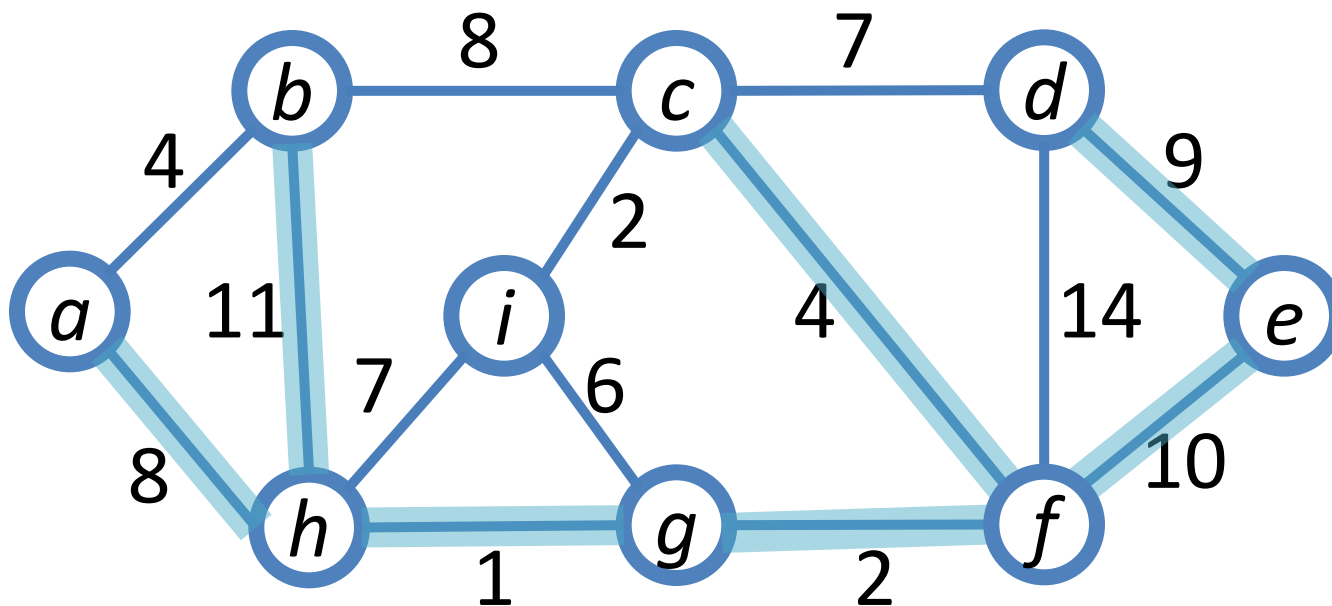


*Not a tree*

這個不是樹，他有迴圈

# A spanning tree

*connects all of the vertices*



必須連接所有節點，  
這裡節點 *i* 沒有被連到

*i* is not connected!

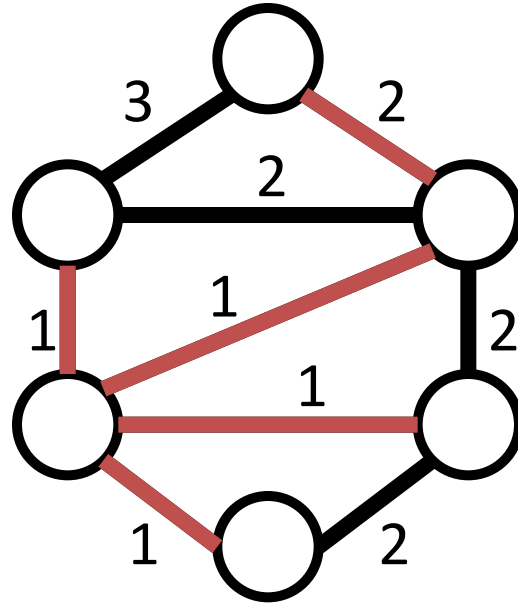
# The minimum-spanning-tree problem

- Input:
  - A connected, undirected, ***weighted*** graph  $G = (V, E)$
- Output:
  - An acyclic subset  $T \subseteq E$  that connects all of the vertices and whose total weight is ***minimized***.

每個邊都可選可不選，要找出權重值最小的樹



# One more example



每個點都要接起來，選總權重值最小的

$$w(T) = 6$$

# A little history

- Otakar Borůvka introduced the problem and wrote the original paper in 1926.
- The purpose was to efficiently provide electric coverage of Moravia.



# Two commonly used algorithms

- Kruskal's algorithm
- Prim's algorithm



$O(E \log V)$

*Greedy methods*





# Growing a MST

- Grows the MST *one edge at a time*

GENERIC-MST ( $G, w$ )















1.  $A = \emptyset$
2. **while**  $A$  does not form a spanning tree
3.     find an edge  $(u, v)$  that is safe for  $A$
4.      $A = A \cup \{(u, v)\}$
5. **return**  $A$

- # 造出一個 Kruskal's algorithm

## 為什麼是Greedy method?

因為他每次都先挑權重最小的邊，不行再換，所以是greedy method



<i>gh</i>	<i>ci</i>	<i>gf</i>	<i>ab</i>	<i>cf</i>	<i>gi</i>	<i>hi</i>	<i>cd</i>	<i>ah</i>	<i>bc</i>	<i>de</i>	<i>ef</i>	<i>bh</i>	<i>df</i>
1	2	2	4	4	6	7	7	8	8	9	10	11	14
													

# Kruskal's algorithm: Pseudo code

MST-KRUSKAL ( $G, w$ )

1.  $A = \emptyset$
2. **for** each  $u \in G.V$
3.     **MAKE-SET**( $u$ )
4. sort the edges of  $G.E$  into non-decreasing order by weight  $w$
5. **for** each edge  $(u, v) \in G.E$ , taken in non-decreasing order by  $w$
6.     **if** **FIND-SET**( $u$ )  $\neq$  **FIND-SET**( $v$ ) // check if  $(u, v)$  are in different sets
7.          $A = A \cup \{(u, v)\}$  // add the edge
8.         **UNION**( $u, v$ ) // construct the union of the disjoint sets
9. **return**  $A$  // containing  $u$  and  $v$

Love

# Example of the operations

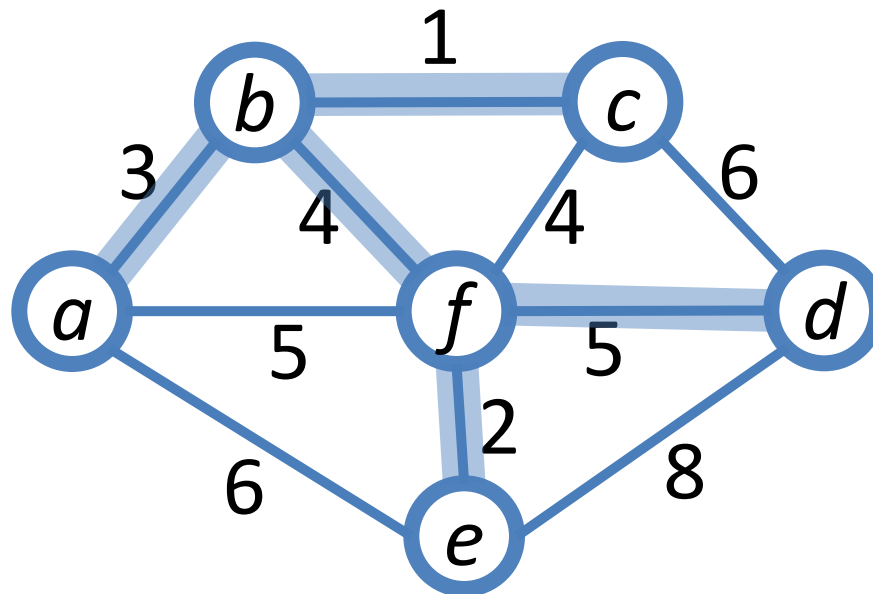
- **MAKE-SET**( $v$ ): creates a one-element set  $\{v\}$  初始化會用到
- **FIND-SET**( $u$ ): returns a subset containing  $u$
- **UNION**( $u, v$ ): constructs the union of the disjoint sets  $U$  and  $V$  containing  $u$  and  $v$  找出這個節點屬於哪一個集合
- *Example* 把包含  $u$  的集合，跟包含  $v$  的集合，取聯集
  - $S = \{1, 2, 3, 4, 5, 6\}$
  - $\{1\}, \{2\}, \{3\}, \{4\}, \{5\}, \{6\}$  **MAKE-SET**(.)
  - $\{1, 4\}, \{2\}, \{3\}, \{5\}, \{6\}$  **UNION**(1, 4)
  - $\{1, 4, 5\}, \{2\}, \{3\}, \{6\}$  **UNION**(1, 5) or **UNION**(4, 5)

*How to implement the operations?*



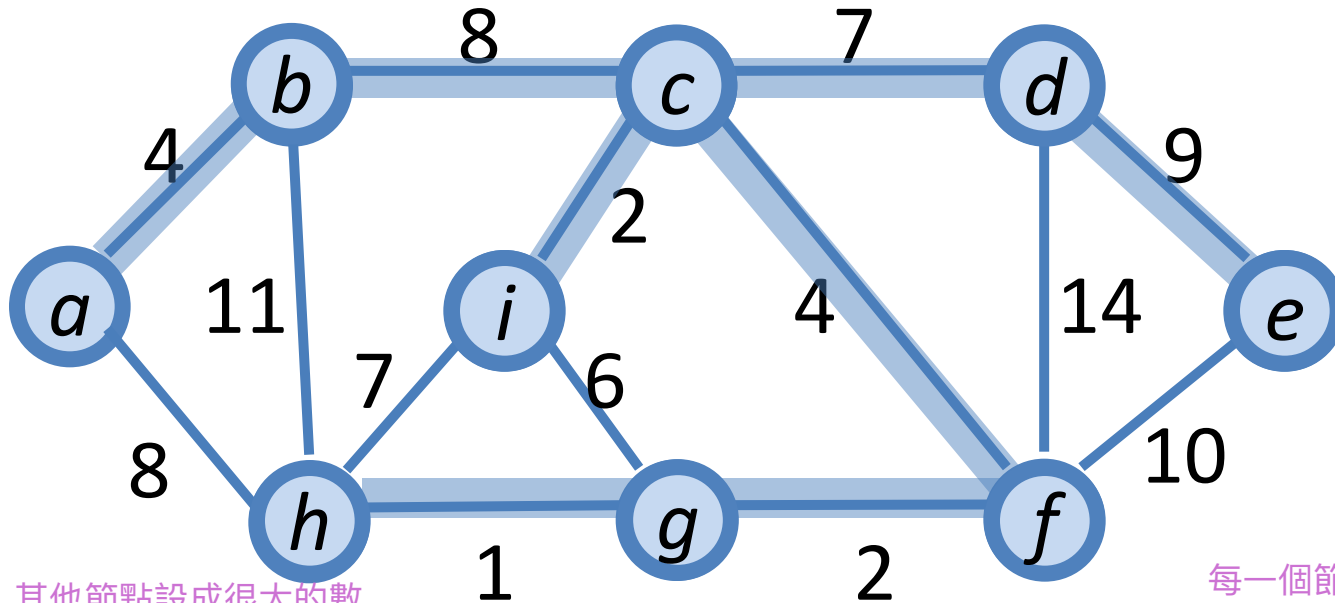
# Exercise

- Find the minimum spanning tree using Kruskal's algorithm.





# Prim's algorithm



1. 把a設成0，其他節點設成很大的數
2. 在a可能去的節點 (b, h) 記錄下權重值
3. 選b~i裡面權重值最小的
4. 直到每個節點都被選到

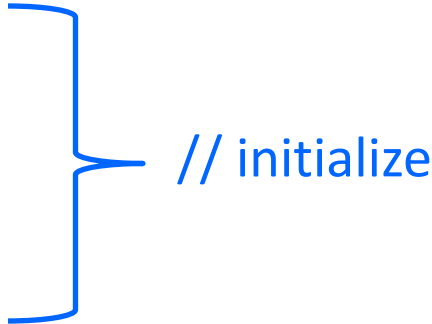
每一個節點要記兩個東西，一個是權重值，跟上一個節點（從哪裡來的）

a	b	c	d	e	f	g	h	i
0	a,4	b,8	c,7	d,9	c,4	f,2	g,1	c,2

存的這些數字是該節點到這棵樹其中一節點的距離

# Prim's algorithm: Pseudo code

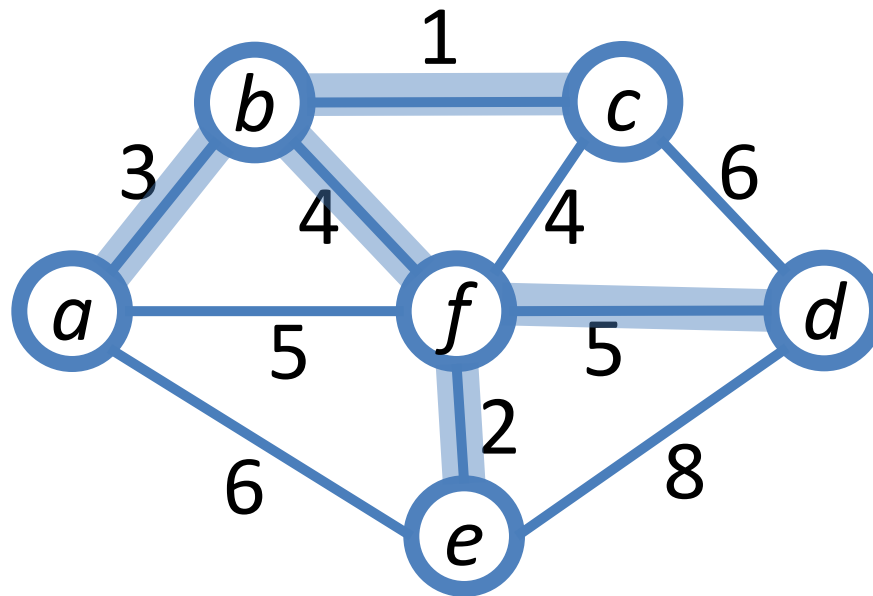
MST-PRIM ( $G, w, r$ )



```
1. for each  $u \in G.V$ 
2.    $u.key = \infty$ 
3.    $u.\pi = \text{NIL}$ 
4.  $r.key = 0$ 
5.  $Q = G.V$ 
6. while  $Q \neq \emptyset$ 
7.    $u = \text{EXTRACT-MIN}(Q)$  // get one vertex for each iteration
8.   for each  $v \in G.Adj[u]$  // update the minimum weight
9.     if  $v \in Q$  and  $w(u, v) < v.key$ 
10.       $v.\pi = u$ 
11.       $v.key = w(u, v)$ 
```

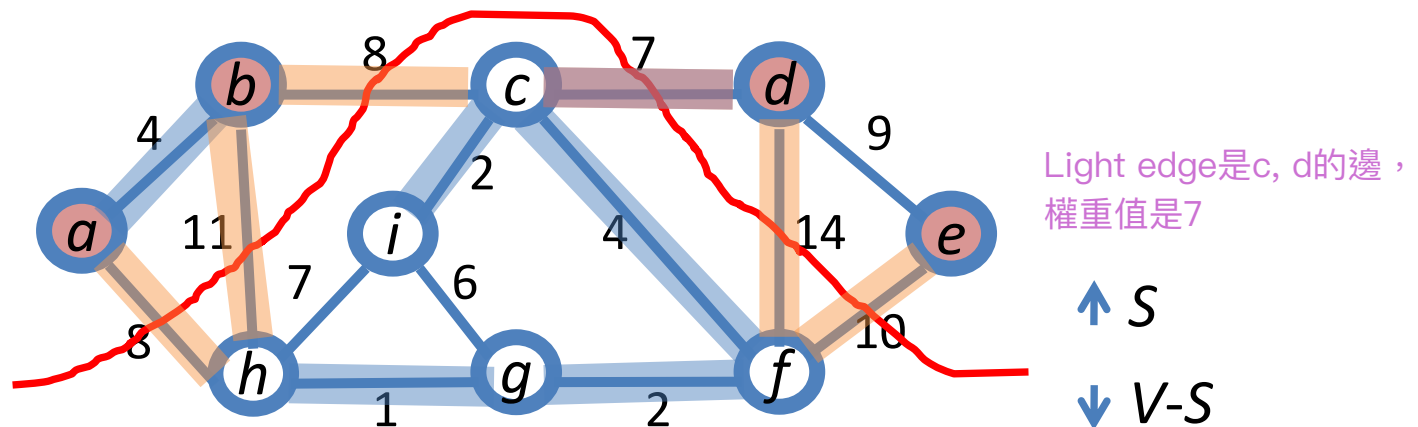
# Exercise

- Find the minimum spanning tree using Prim's algorithm.

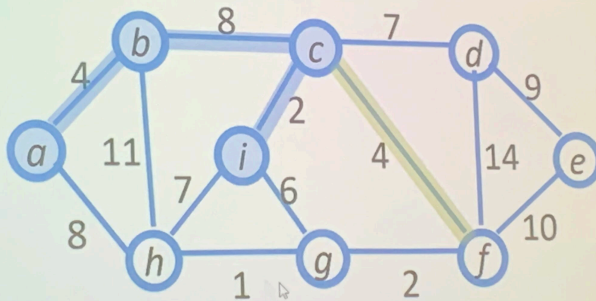


# Correctness of the Algorithms

- **Cut**  $(S, V-S)$ : a partition of  $V$  Cut : 把集合分成兩坨
- A cut **respects** a set  $A$  of edges: no edge in  $A$  crosses the cut 不會一邊連接白色一邊連接粉紅色：當今天  $A$  集合以外的邊都不會穿過  $A$ ，這樣就可以說這個 cut respects  $A$
- **Light edge**: a edge crossing the cut with the minimal weight 把粉紅色的點跟白色的點連上，  
挑出這些邊中權重值最小的，就是 Light edge



## Example (Prim's)

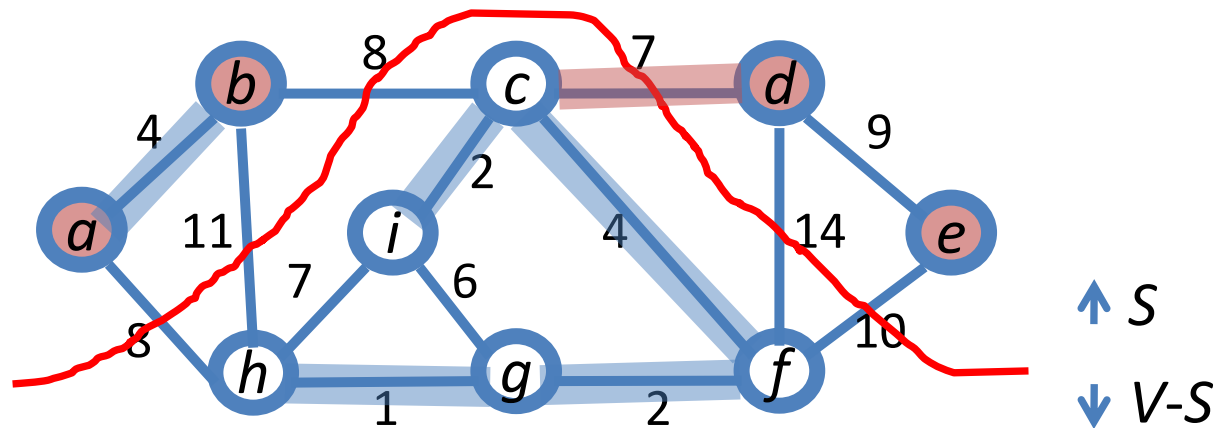


- Light edge?

- Light

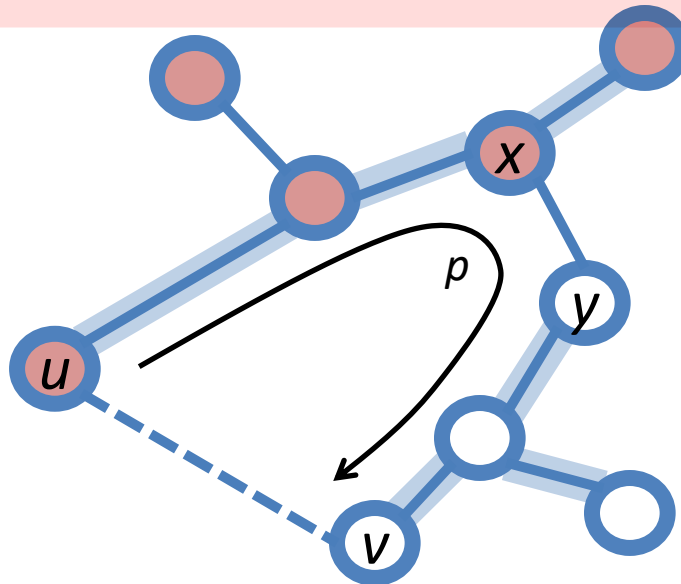
- Theorem

- $G = (V, E)$ : a connected, undirected, weighted graph
- $A$ : a subset of  $E$  that is included in some minimum spanning tree for  $G$ .
- $(S, V-S)$ : any cut of  $G$  that respects  $A$
- $(u, v)$ : a light edge crossing  $(S, V-S)$
- Then, **edge  $(u, v)$  is safe for  $A$ .**



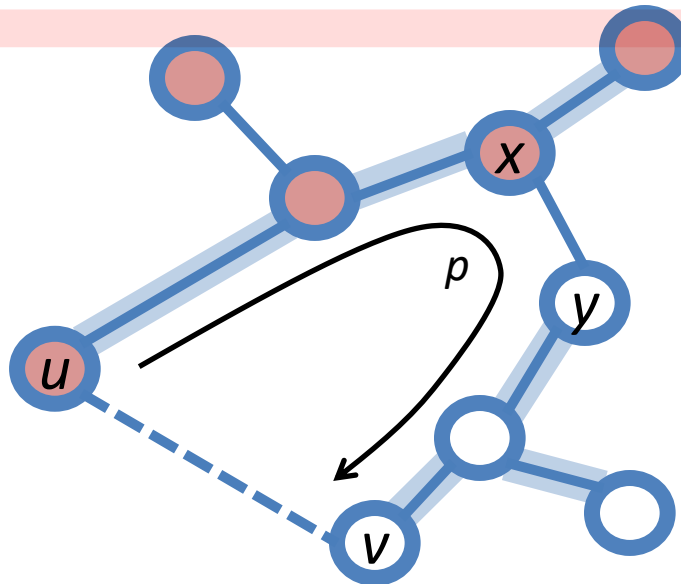
## • Proof

- Let  $T$  be a MST that includes  $A$ , and assume that  $T$  does **not** contain the light edge  $(u, v)$ . 虛線那條邊是Light edge
- $(u, v)$  forms a cycle with the edges on the simple path from  $u$  to  $v$  in  $T$ . (*why?*)
- This cycle must contain  $(x, y)$  that is not in  $A$ . (*why?*)



## • Proof

- Removing  $(x, y)$  breaks  $T$  into two components.
- Cut and paste! Create  $T' = T - \{(x, y)\} \cup \{(u, v)\}$ .
- $w(T') = w(T) - w(x, y) + w(u, v) \leq w(T)$
- $T'$  must be a minimum spanning tree also. (*why?*)
- $T'$  contains  $(u, v)$ .



即使不是照貪婪法去得到這棵樹，也可以經由改造，把一個邊拔掉，把Light edge放進去，得到一棵minimum spanning tree

只有一個最佳解嗎？

jigsaw piece

5/4 (10)  
end