

# B-SPLINE CURVES

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# INTRODUCTION TO B-SPLINES

Improving over Bézier curves

## Improving over Bézier curves

Bézier curves have some drawbacks:

- Degree is proportional to number of control points
- Does not offer true global control (at most “pseudo-local”)
- $C^2$  continuity is not so easy to obtain for composite curves

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To overcome this: **B-splines**

- Developed by Riesenfeld and others in 1970s
- B-splines = Basis splines
- Several flavors: uniform, non-uniform, rational non-uniform (NURBs)...

# QUADRATIC UNIFORM B-SPLINES

## Deriving the formula for the quadratic B-splines

Setting:

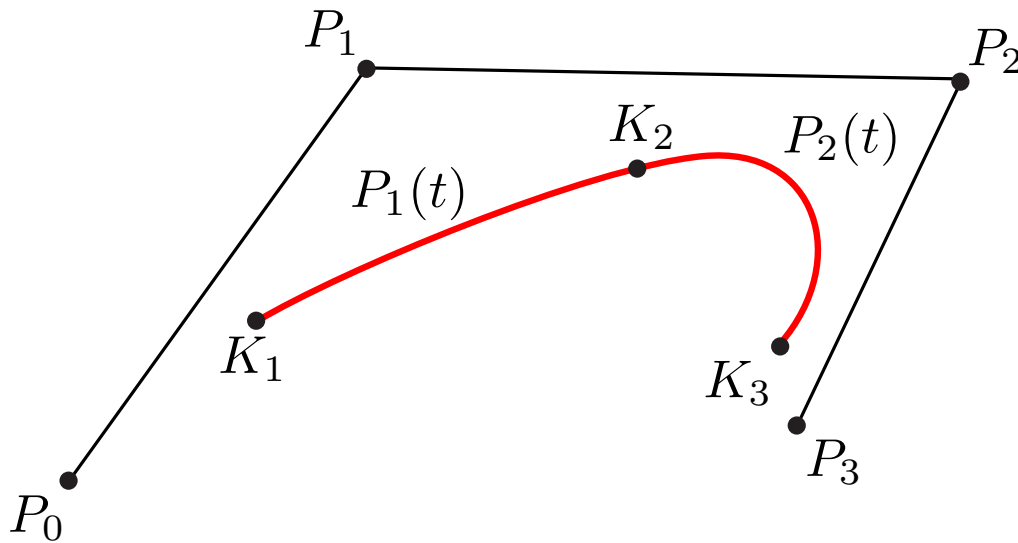
- Input:  $n + 1$  control points  $P_0, \dots, P_n$
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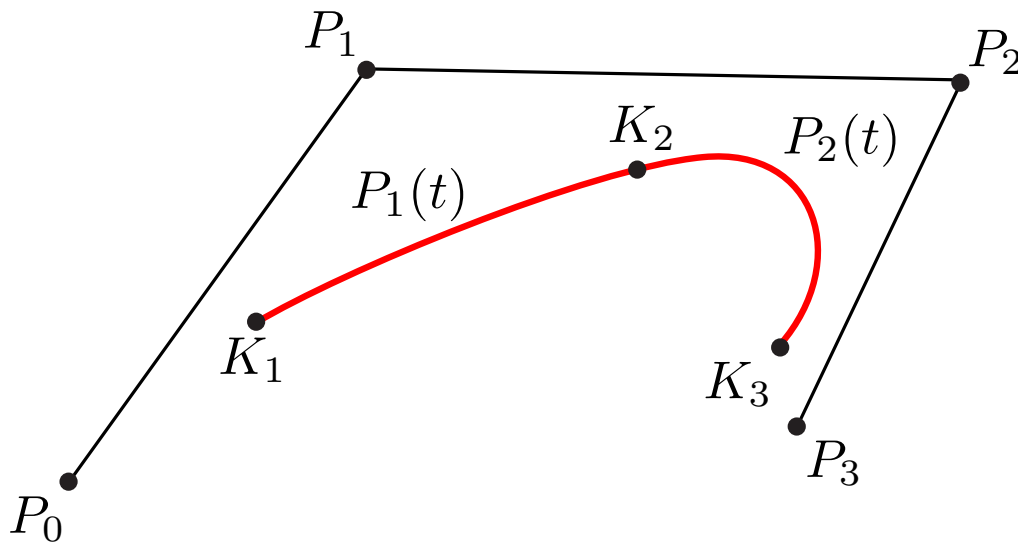
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$$P_i(t) = (t^2, t, 1) \begin{pmatrix} ? \\ ? \\ ? \end{pmatrix} \begin{pmatrix} P_{i-1} \\ P_i \\ P_{i+1} \end{pmatrix}$$

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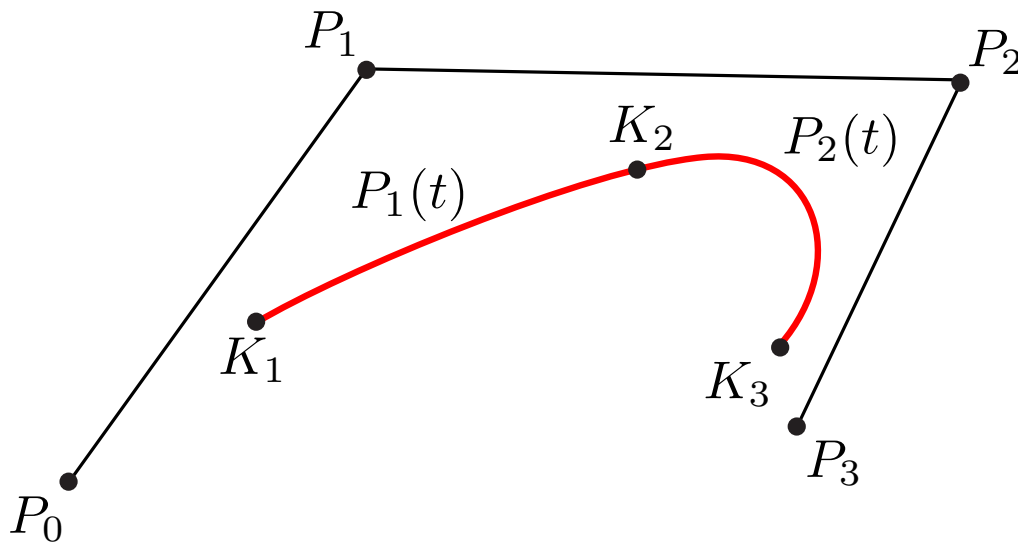


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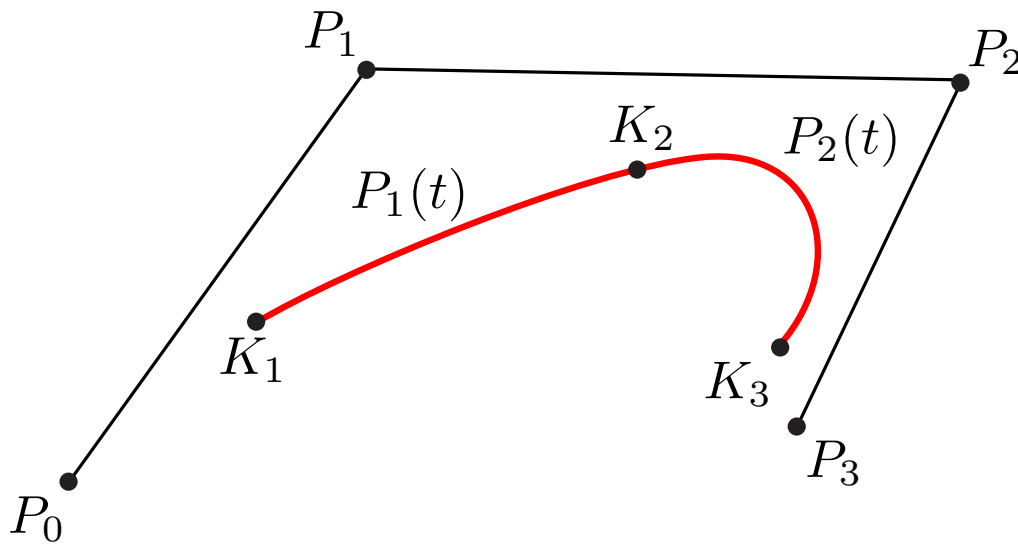
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Requirements:

1.  $P_1(t)$  and  $P_2(t)$  meet smoothly at common point
2. Affine combination of control points

**Question:** what is the matrix?

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Deriving the formula for the quadratic B-splines

$$\begin{aligned} P_i(t) &= \frac{1}{2}(t^2, t, 1) \begin{pmatrix} 1 & -2 & 1 \\ -2 & 2 & 0 \\ 1 & 1 & 0 \end{pmatrix} \begin{pmatrix} P_{i-1} \\ P_i \\ P_{i+1} \end{pmatrix}, i = 1, 2, \dots \\ &= \frac{1}{2}(t^2 - 2t + 1)P_{i-1} + \frac{1}{2}(-2t^2 + 2t + 1)P_i + \frac{t^2}{2}P_{i+1} \end{aligned}$$

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$$K_i = P_i(0) = \frac{1}{2}(P_{i-1} + P_i)$$

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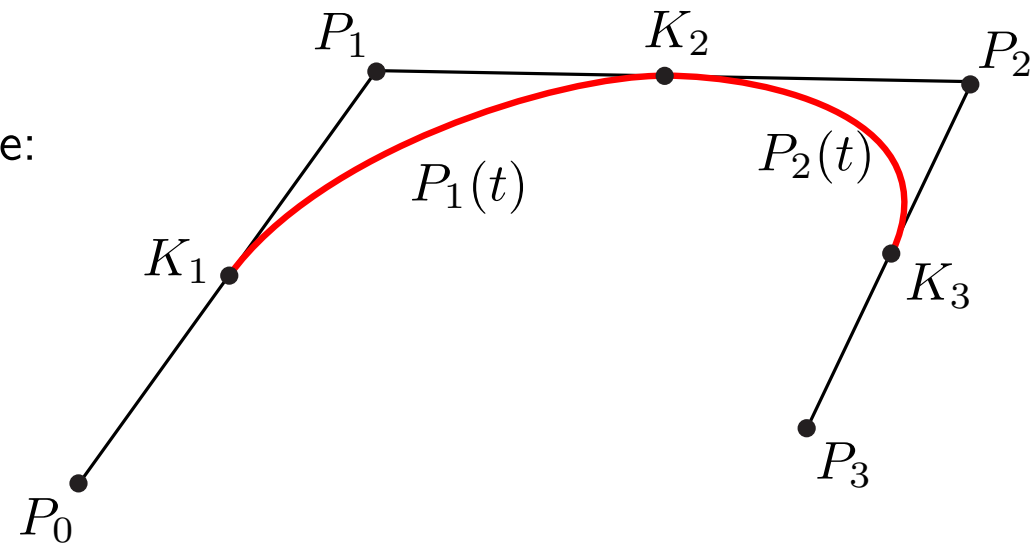
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More accurate picture

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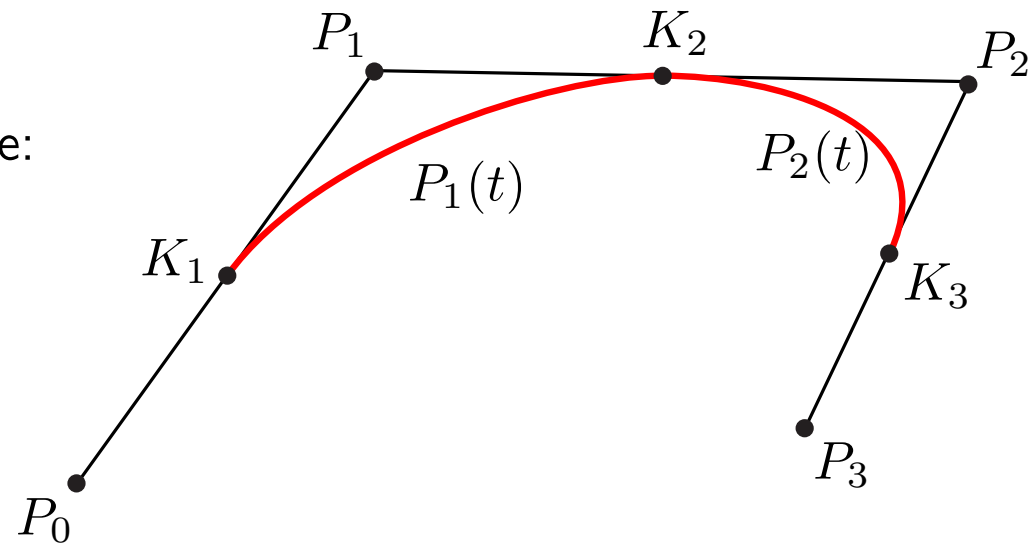
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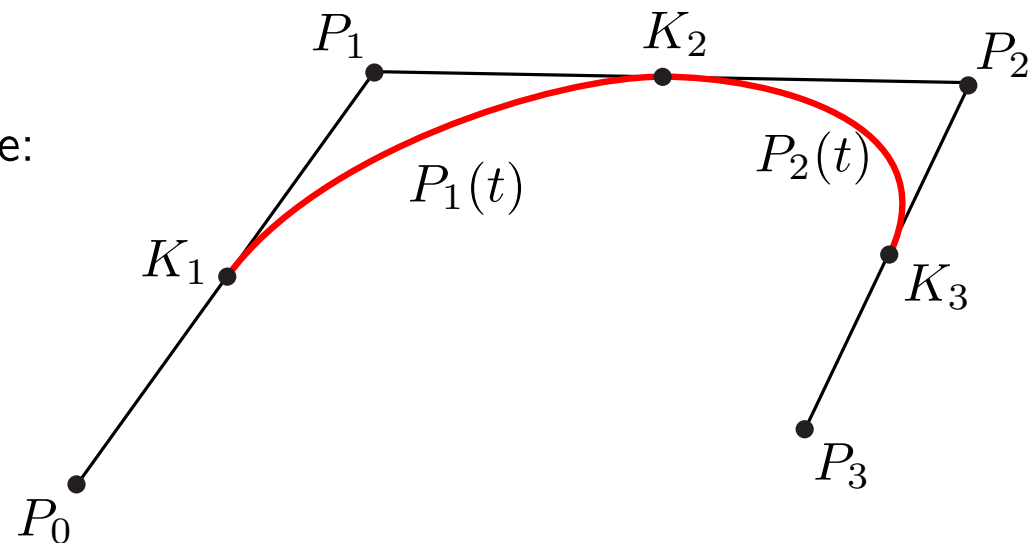
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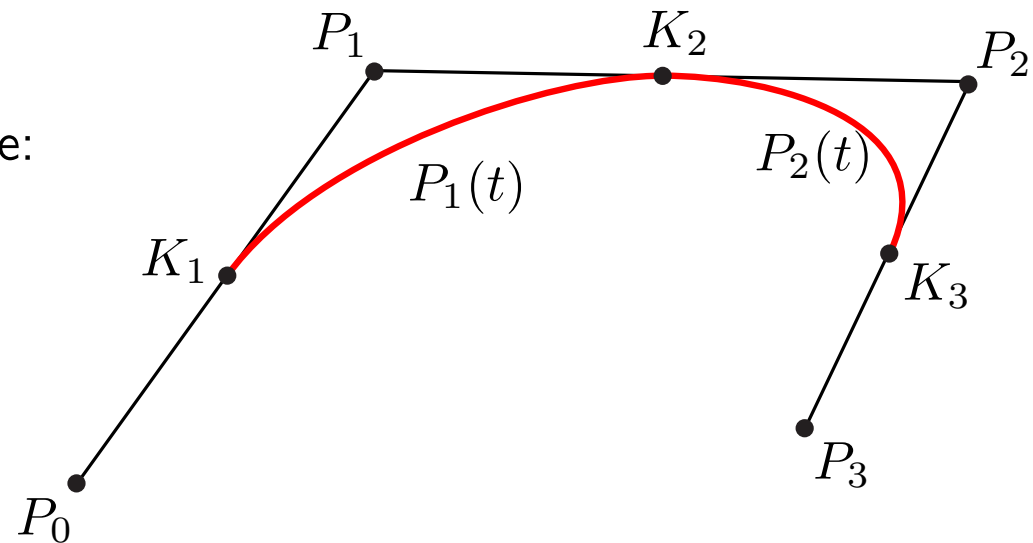
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**Example:** use control points  
 $\{(1, 0), (1, 1), (2, 1), (2, 0)\}$



More accurate picture

# CUBIC UNIFORM B-SPLINES

Deriving the formula for the cubic B-splines

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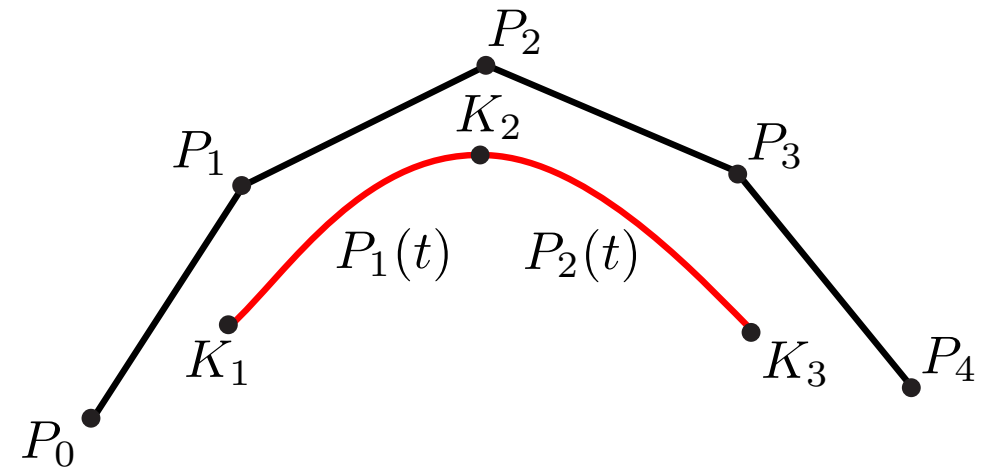
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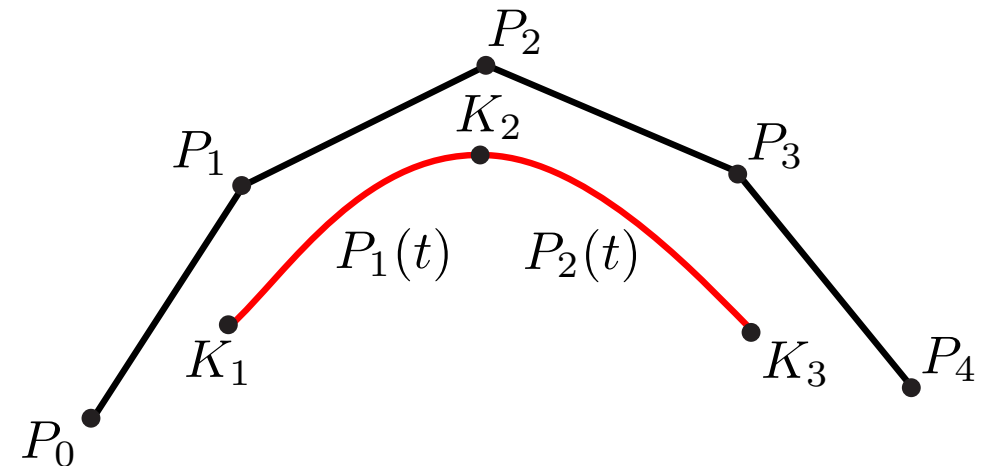
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Requirements:

1. Consecutive segments meet with  $C^2$ -continuity
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16 of them are  
independent  $\implies$   
unique solution

# CUBIC UNIFORM B-SPLINES

Formula for the cubic B-splines

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## Formula for the cubic B-splines

$$P_i(t) = \frac{1}{6}(t^3, t^2, t, 1) \begin{pmatrix} -1 & 3 & -3 & 1 \\ 3 & -6 & 3 & 0 \\ -3 & 0 & 3 & 0 \\ 1 & 4 & 1 & 0 \end{pmatrix} \begin{pmatrix} P_{i-1} \\ P_i \\ P_{i+1} \\ P_{i+2} \end{pmatrix}$$

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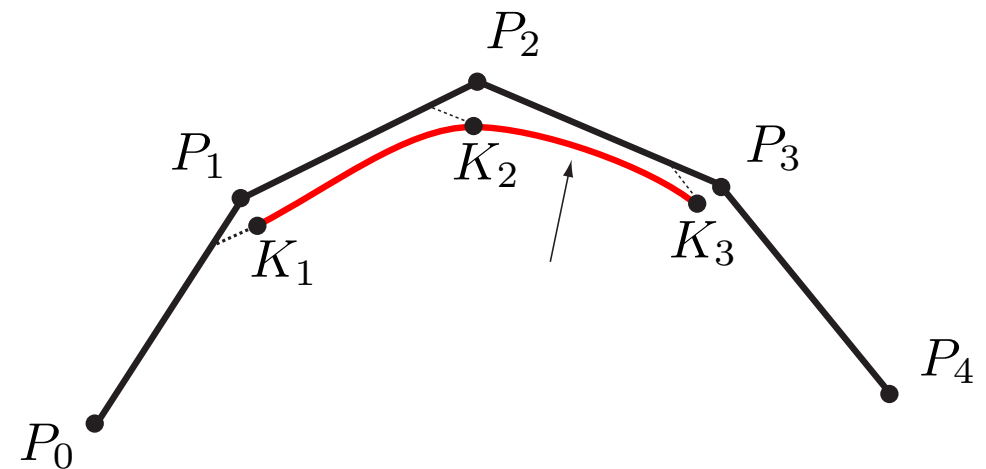
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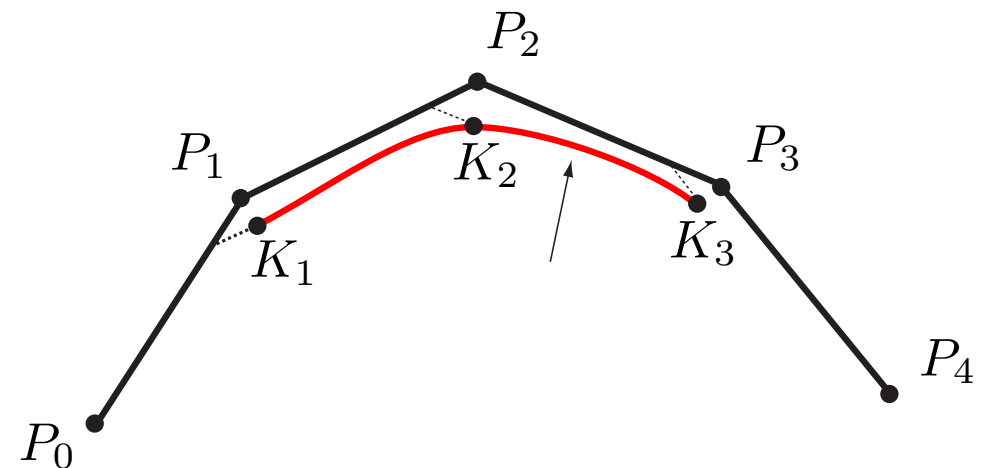


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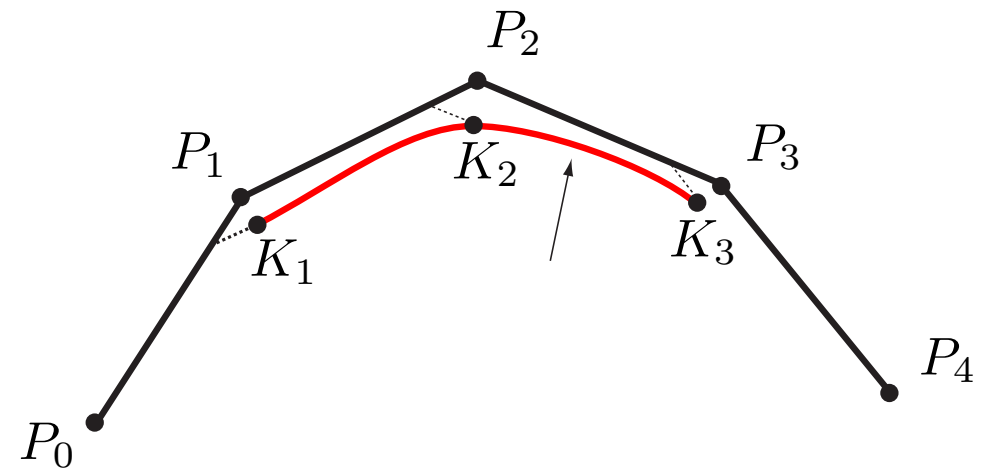
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$$= \frac{1}{6}(-t^3 + 3t^2 - 3t + 1)P_{i-1} + \frac{1}{6}(3t^3 - 6t^2 + 4)P_i + \frac{1}{6}(-3t^3 + 3t^2 + 3t + 1)P_{i+1} + \frac{t^3}{6}P_{i+2}$$

The two endpoints of each curve segment are

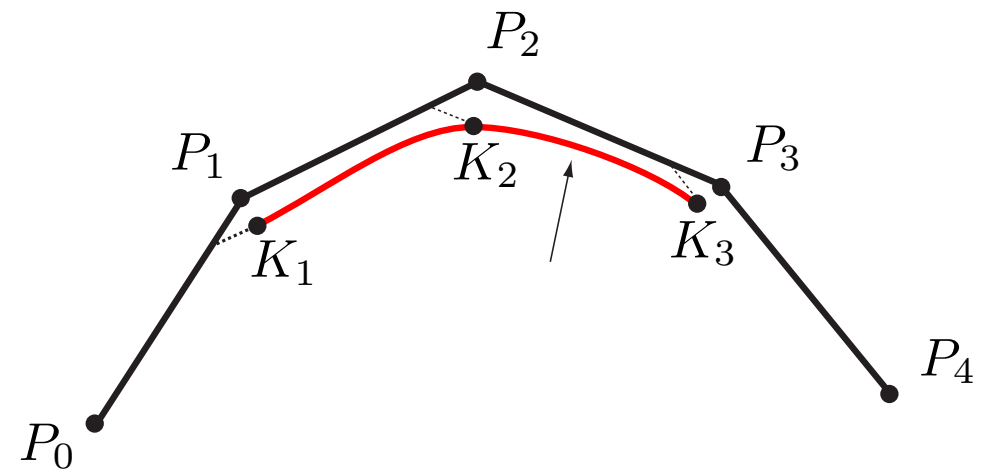
$$K_i = P_i(0) = \frac{1}{6}(P_{i-1} + 4P_i + P_{i+1})$$

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Geometrically, it makes more sense to rewrite as

$$K_i = \left(\frac{1}{6}P_{i-1} + \frac{5}{6}P_i\right) + \frac{1}{6}(P_{i+1} - P_i)$$

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# CUBIC UNIFORM B-SPLINES

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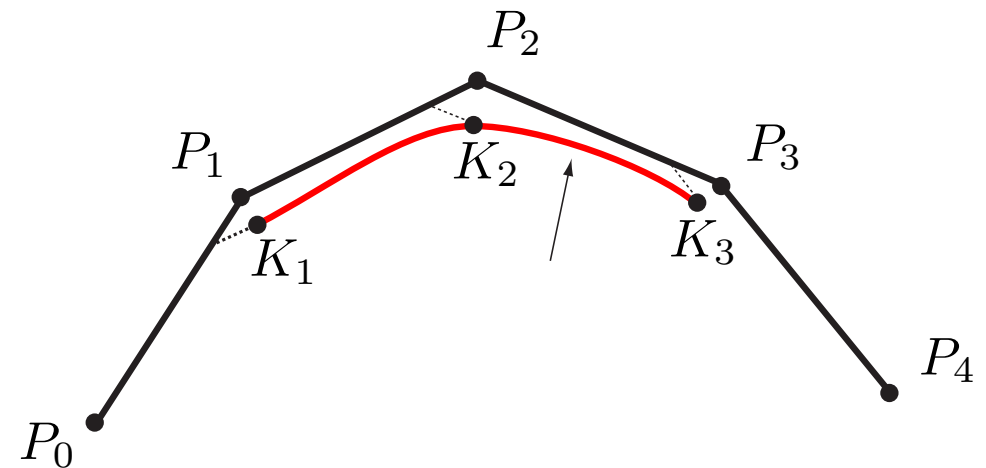
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Other geometric interpretations exist (e.g.,  $\frac{2}{3}$  rule)



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Making the curve go from  $P_0$  to  $P_n$

How can we force the curve go through  $P_0$  and  $P_n$ ?

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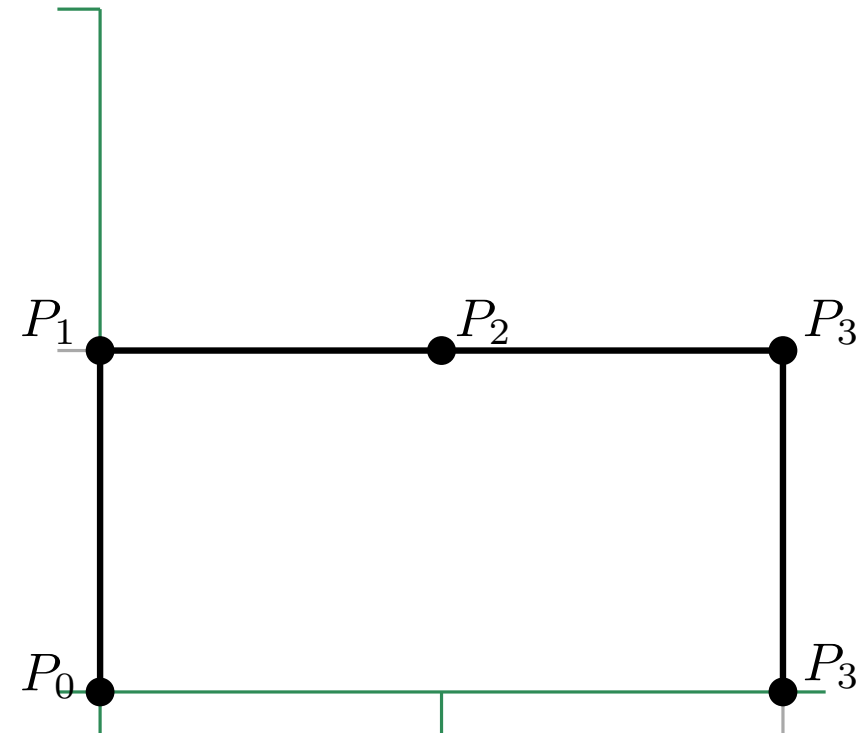
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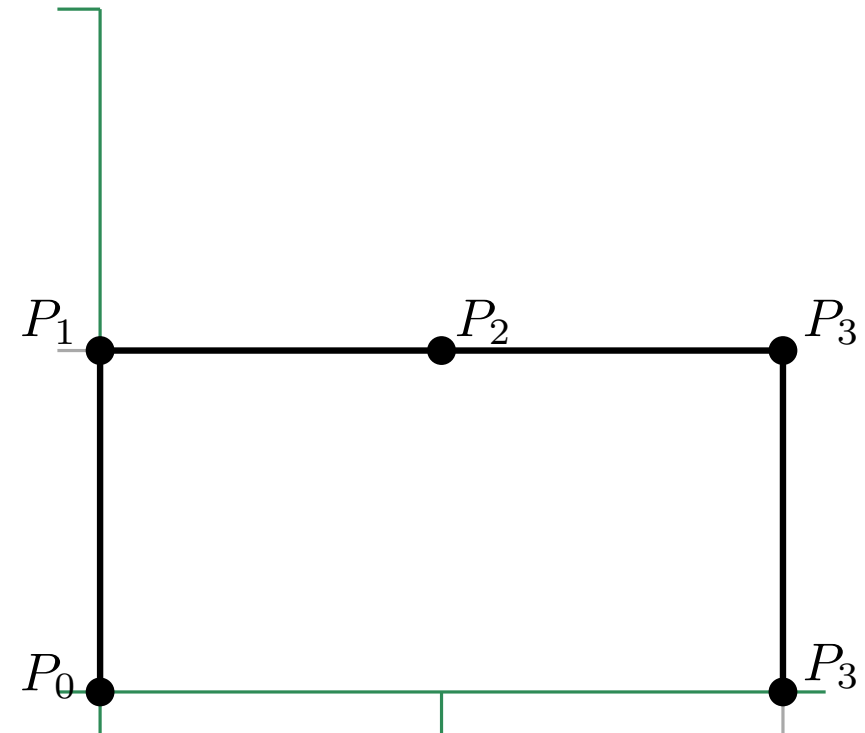
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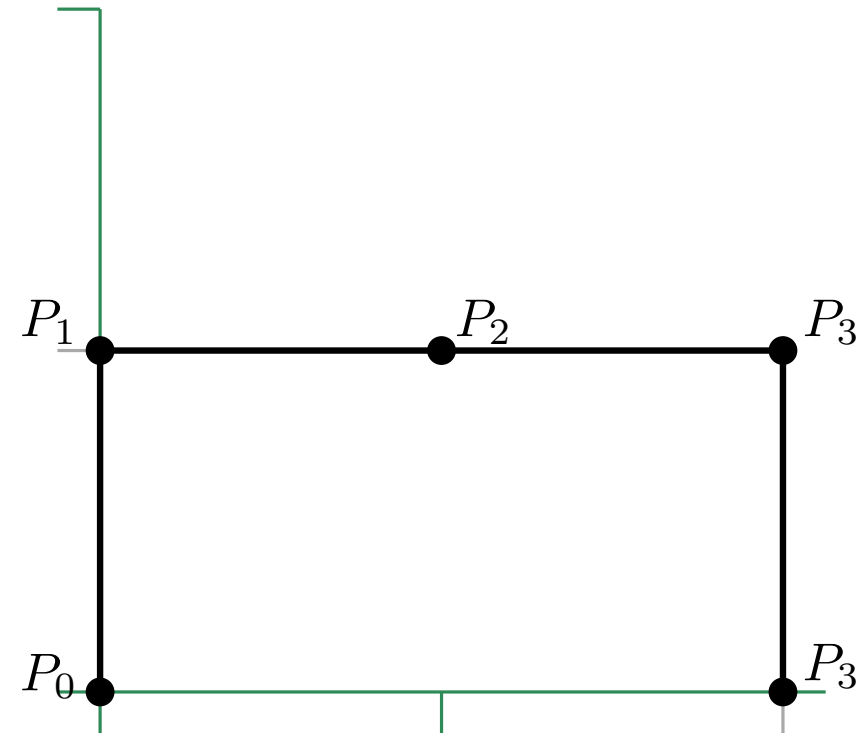
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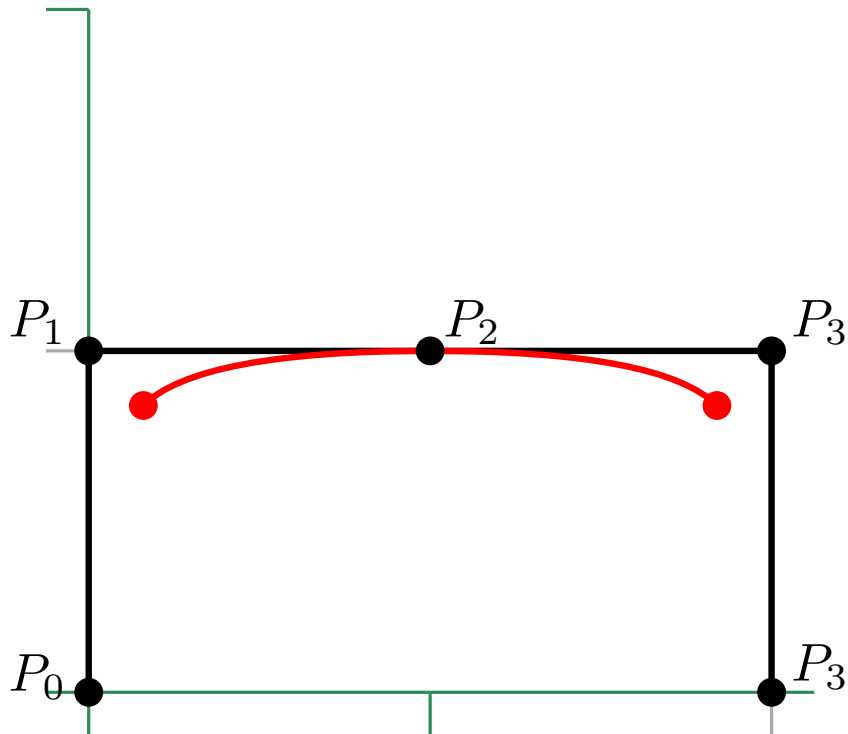
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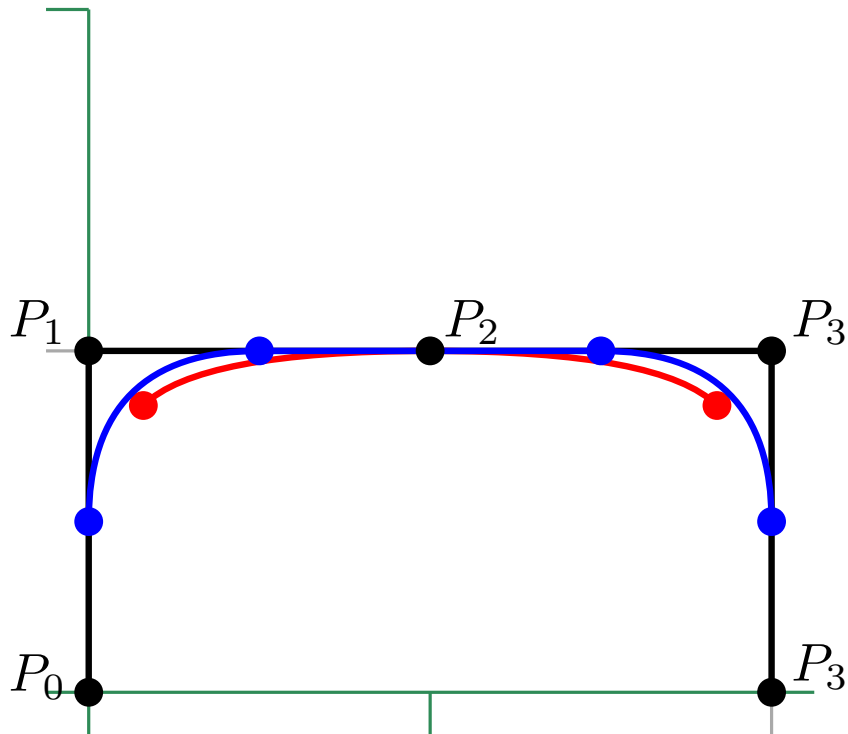
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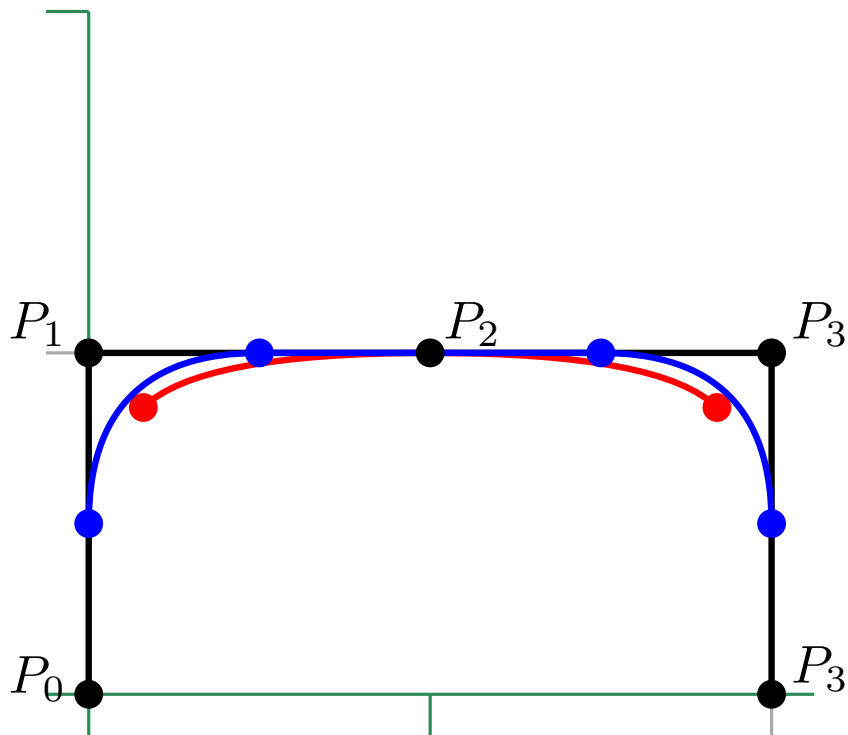
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Quadratic  
3 segments

Cubic  
2 segments

# INCREASING THE ORDER

Higher order, better fit

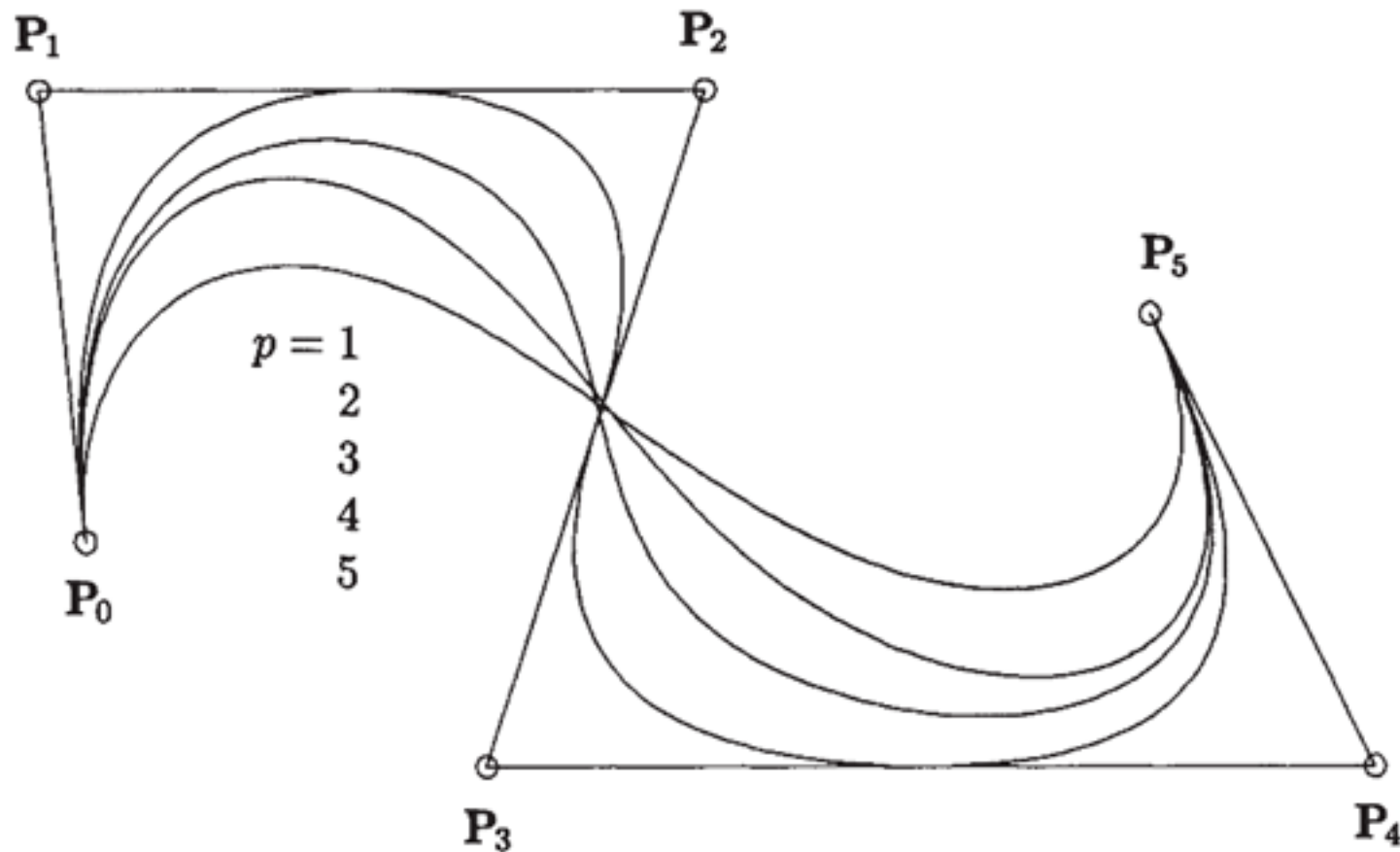


Figure 3.9. B-spline curves of different degree, using the same control polygon.

Where  $p$  is the degree of curve (i.e.,  $p = k - 1$ )

Figure from [Piegl and Tiller]

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Result:

$$\begin{aligned} Q_0 &= \frac{1}{6}(P_0 + 4P_1 + P_2), \\ Q_1 &= \frac{1}{6}(4P_1 + 2P_2), \\ Q_2 &= \frac{1}{6}(2P_1 + 4P_2), \\ Q_3 &= \frac{1}{6}(P_1 + 4P_2 + P_3) \end{aligned}$$

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$$M_5 = \frac{1}{120} \begin{pmatrix} -1 & 5 & -10 & 10 & -5 & 1 \\ 5 & -20 & 30 & -20 & 5 & 0 \\ -10 & 20 & 0 & -20 & 10 & 0 \\ 10 & 20 & -60 & 20 & 10 & 0 \\ -5 & -50 & 0 & 50 & 5 & 0 \\ 1 & 26 & 66 & 26 & 1 & 0 \end{pmatrix}$$



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System with  $n + 3$  unknowns and  $n + 3$  equations that (one can check) is nonsingular

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└── in our case, since there are two segments, the parameter for the first one lives in  $[0, 1]$  and for the other in  $[1, 2]$

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The cubic B-spline basis functions

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Each function should:

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- Have its maximum near “its” control point
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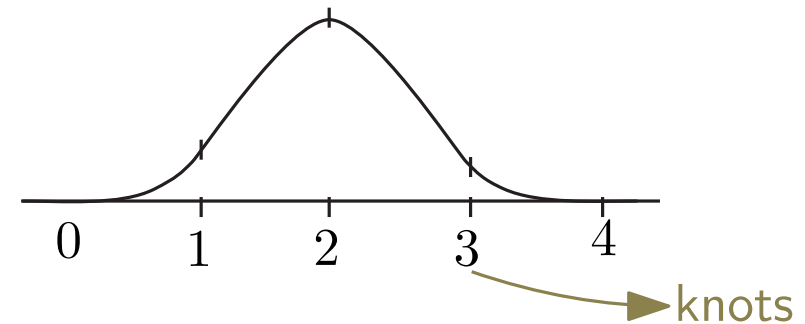
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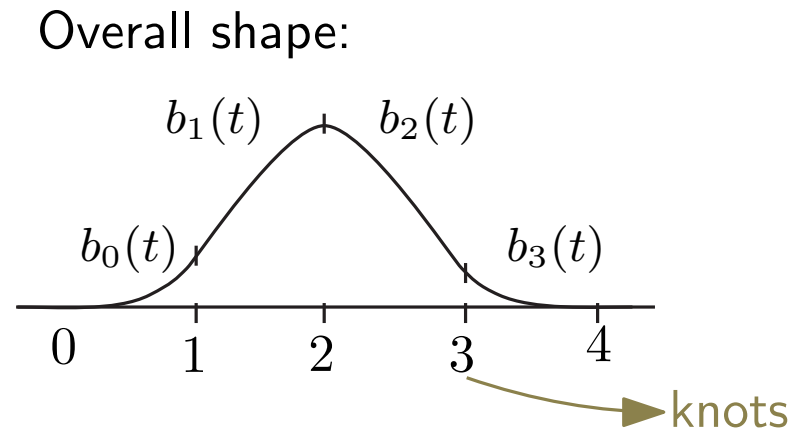
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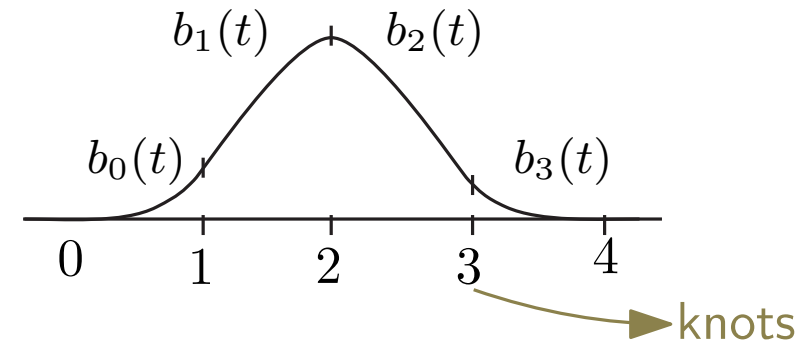
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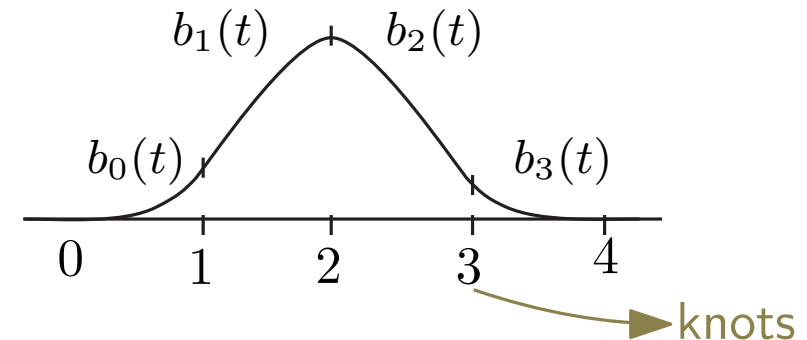
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- Affine invariant
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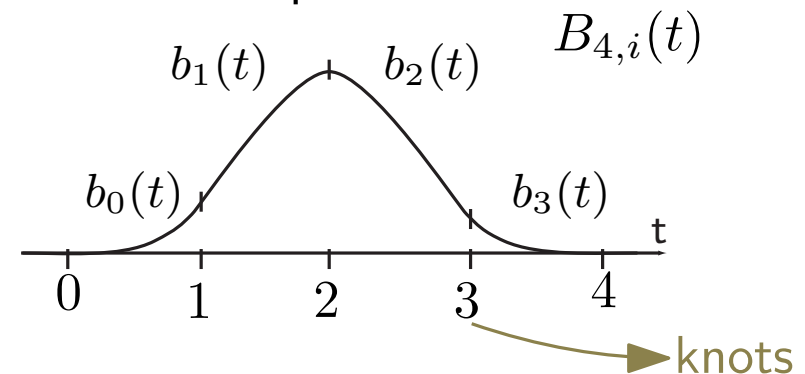
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How many equations on the coefficients of the  $b_i(t)$  functions do we obtain from these conditions?

## The cubic B-spline basis functions

Solution to the equations:

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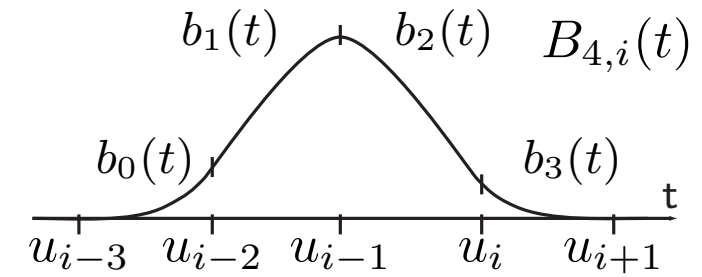
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Solution to the equations:

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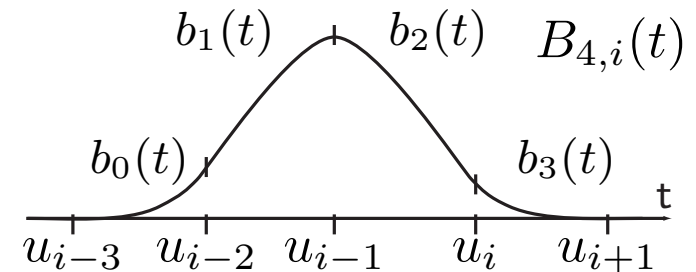
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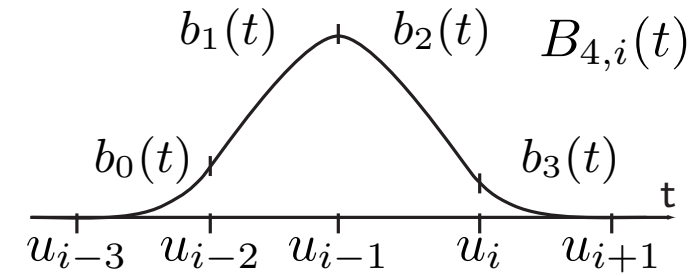
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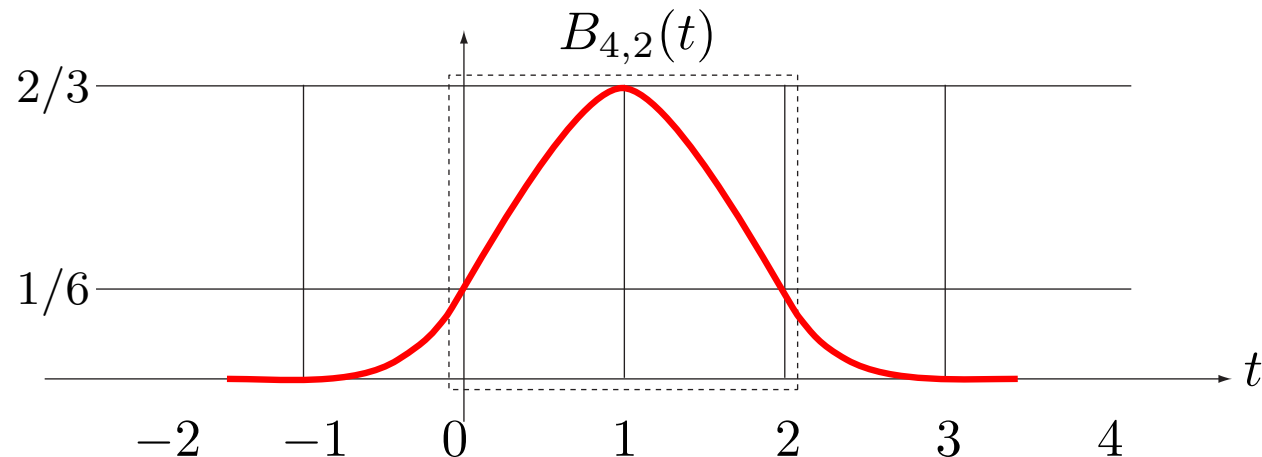
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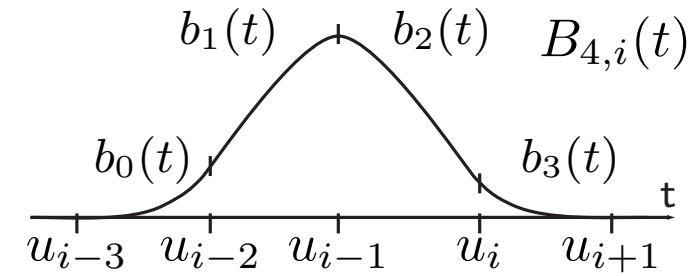


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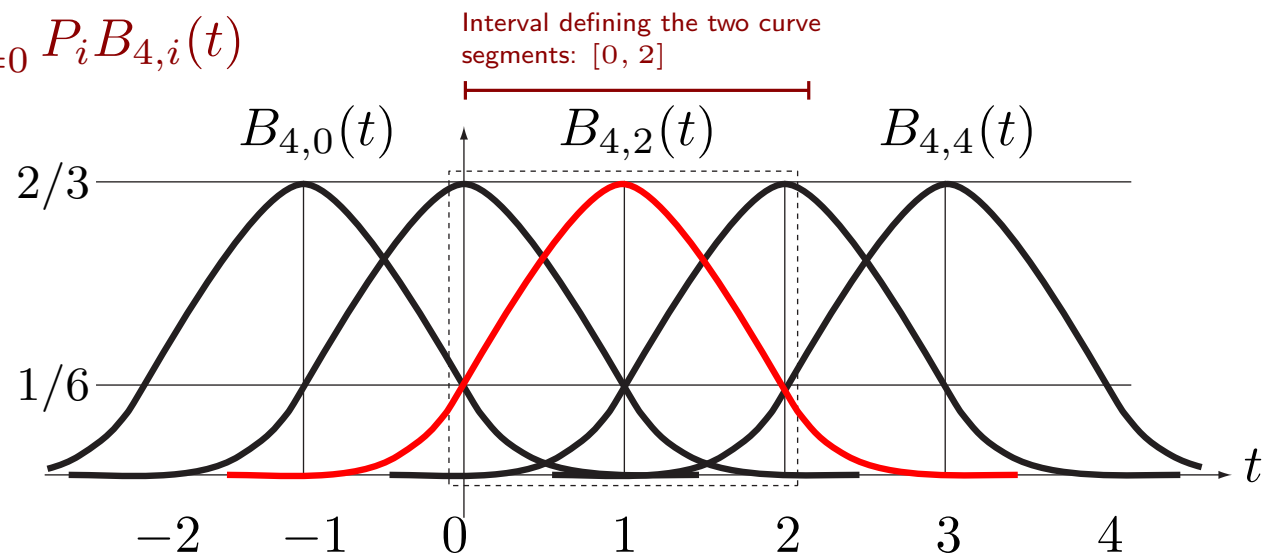
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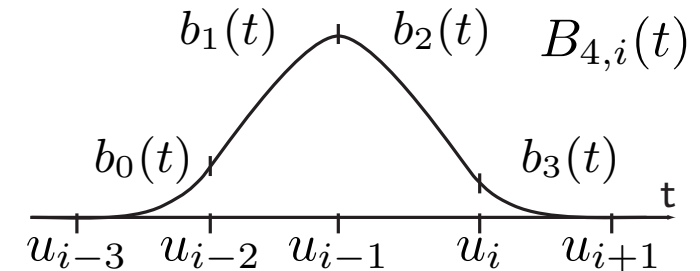


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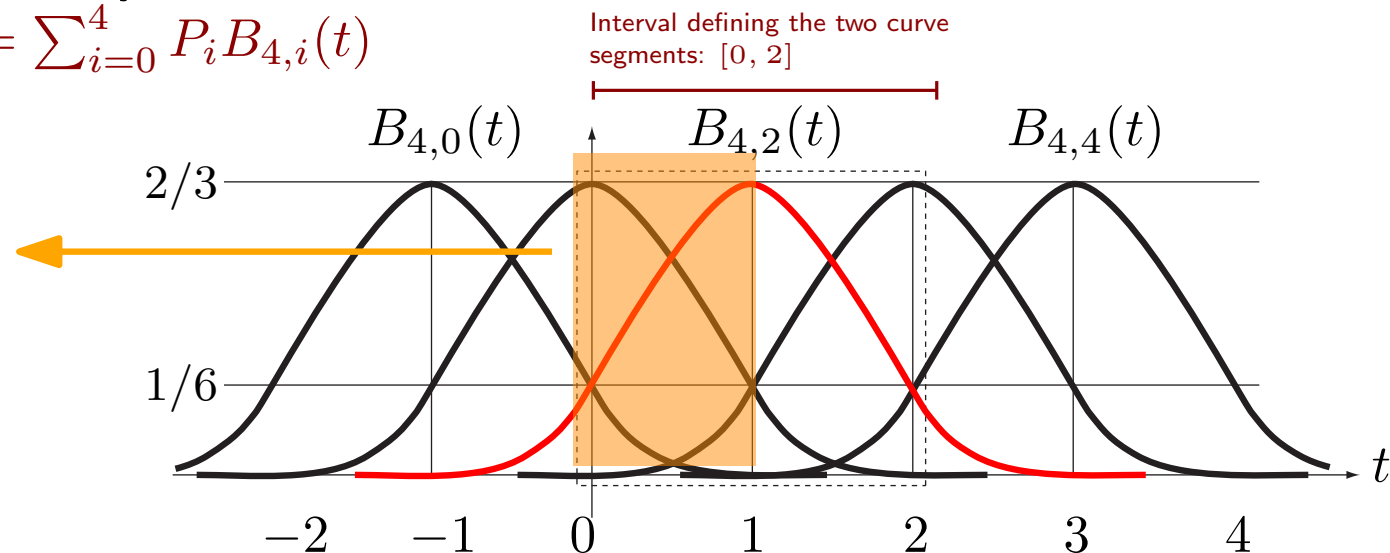
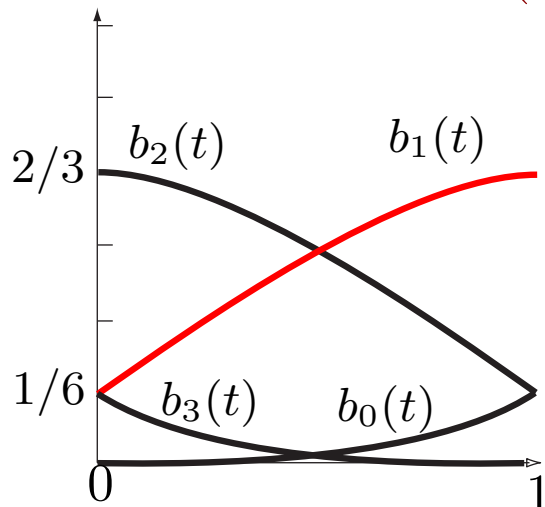
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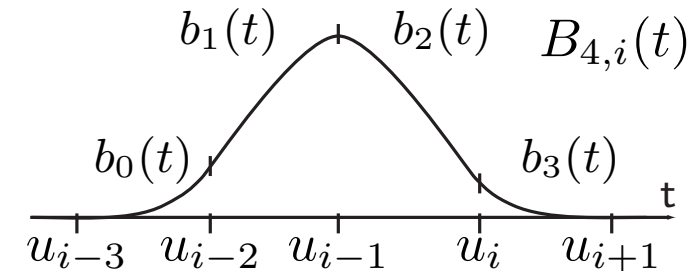


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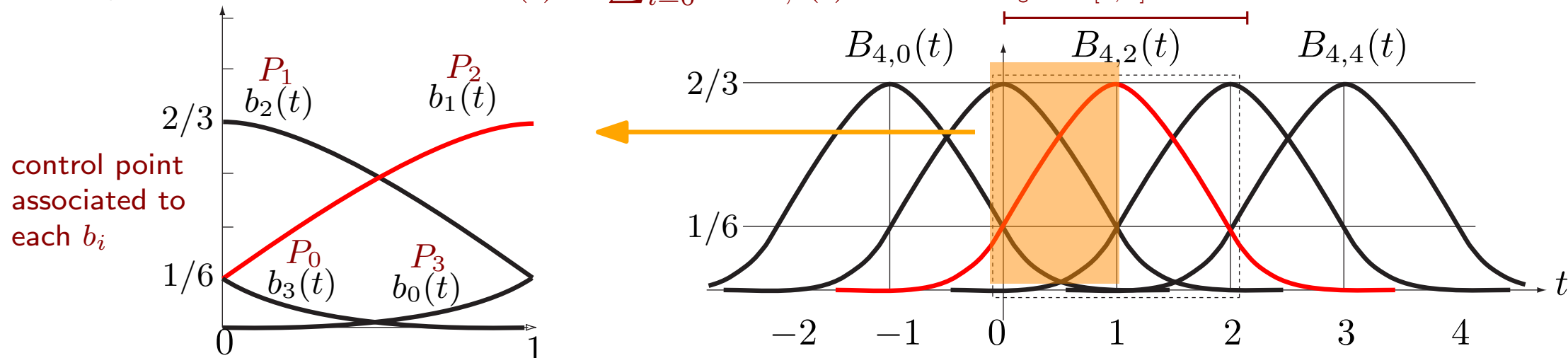
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Order 1 ( $k = 1$ , degree 0)

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$$N_{i,1}(t) = \begin{cases} 1, & \text{if } t \in [t_i, t_{i+1}) \\ 0, & \text{otherwise} \end{cases}$$



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This can be generalized to  $(n + 1)$  control points and order  $k$  as follows:

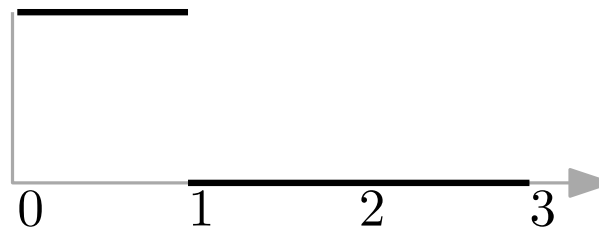
$$P(t) = \sum_{i=0}^n P_i \boxed{N_{i,k}(t)} \rightarrow \text{B-spline basis function}$$

Given: control points  $P_0, \dots, P_n$ , knots:  $t_0 \leq t_1 \leq \dots \leq t_{n+k}$ , order:  $k$

Order 1 ( $k = 1$ , degree 0)

Note that # knots depends on # control points and order

$$N_{i,1}(t) = \begin{cases} 1, & \text{if } t \in [t_i, t_{i+1}) \\ 0, & \text{otherwise} \end{cases}$$



$N_{0,1}(t)$

$t_i \mathbf{s} = [0, 1, 2, 3, \dots]$

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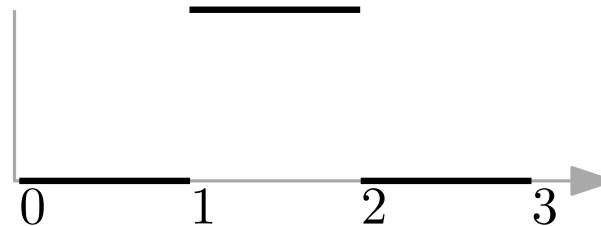
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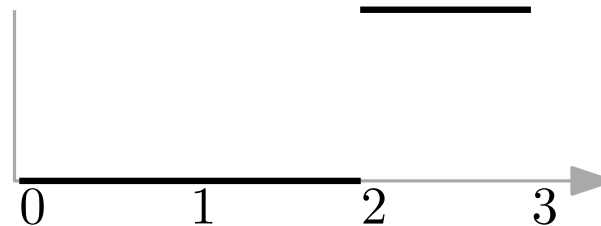
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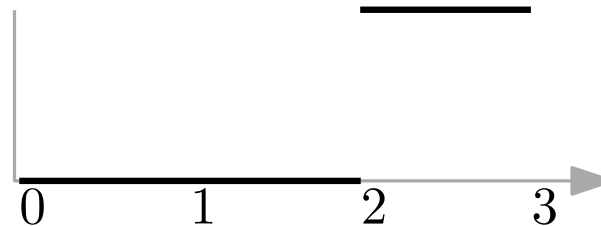
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(taking  $0/0$  as 0)

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## Examples of B-spline basis functions

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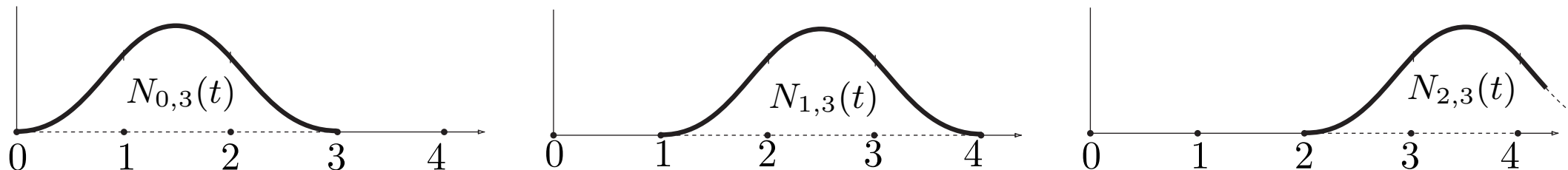
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6) Continuity

For uniform knots, the curve and its  $k - 1$  derivatives are continuous  
(Non-uniform B-Splines can have discontinuities at knot values!)

# UNDERSTANDING KNOT VECTORS

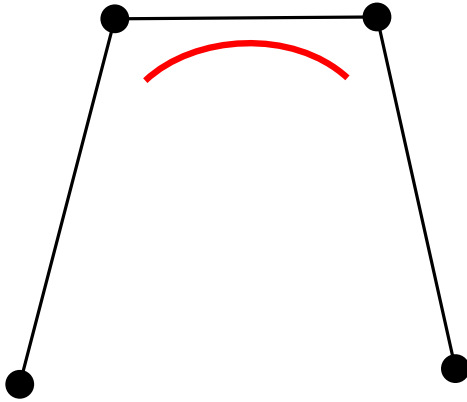
Open (or clamped) uniform B-Splines



# UNDERSTANDING KNOT VECTORS

## Open (or clamped) uniform B-Splines

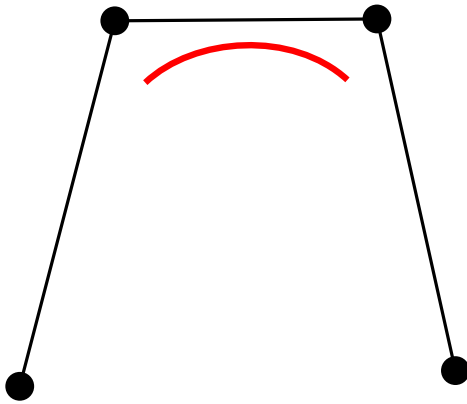
Uniform knot vector except at ends: at the beginning and end knot values are repeated  $k$  times



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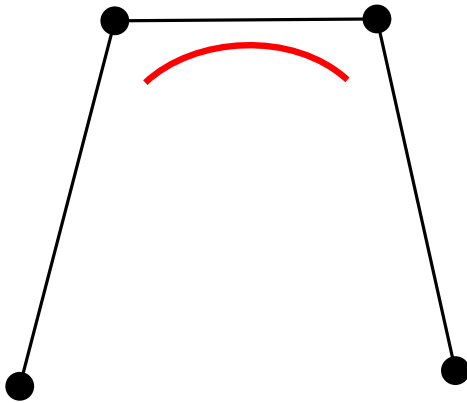
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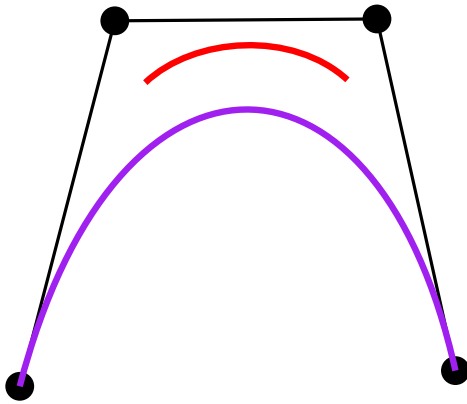
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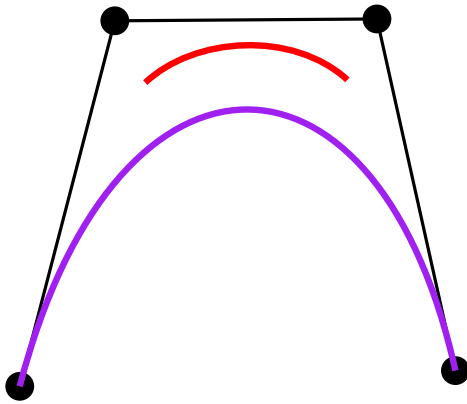
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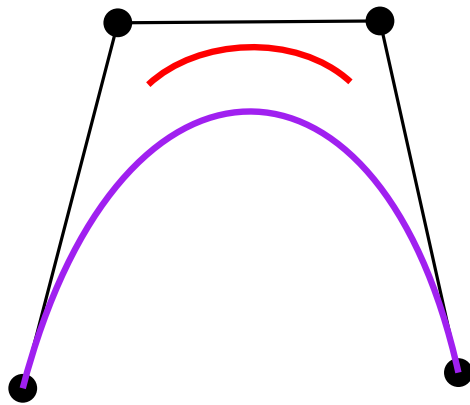
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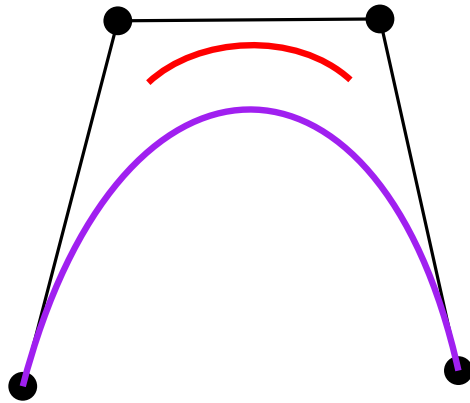
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**Example:** compute open basis functions for  
 $n = 2$  and  $k = 3$  (quadratic) B-splines

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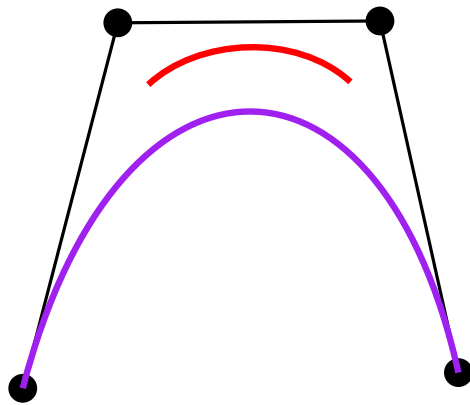
Cubic Bézier curve?  $\longrightarrow$  **Always the case when  $k = n + 1$**

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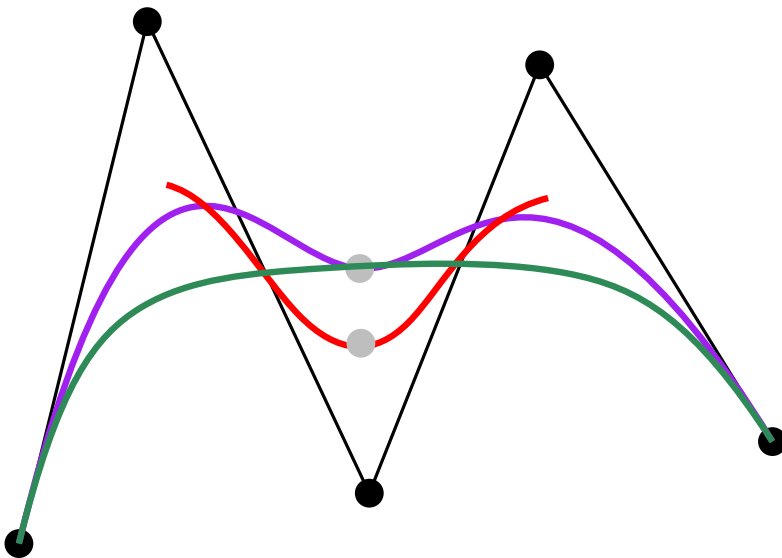
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Cubic Bézier curve?



$n = 4$  (5 control points)

$k = 4$  (cubic B-spline)

uniform knot vector:  $(0, 1/8, 2/8, 3/8, 4/8, 5/8, 6/8, 7/8, 1)$

“open” knot vector:  $(0, 0, 0, 0, 0.5, 1, 1, 1, 1)$

degree-4 Bézier—knot vector:  $(0, 0, 0, 0, 0, 1, 1, 1, 1, 1)$

Open uniform B-spline curves always start at  $P_0$  and end at  $P_n$ . Tangents are also like in Bézier curves



# UNDERSTANDING KNOT VECTORS

## Example for quadratic open B-Splines

**Example:** compute basis functions for 5 control points ( $n = 4$ ) and  $k = 3$  (i.e., quadratic open B-splines)

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Recall:

- knot vector:  $(0, 0, 0, 1, 2, 3, 3, 3)$
- $t$  goes from  $t_{k-1} = t_2 = 0$  to  $t_{n+1} = t_5 = 3$
- need to compute 5 bases:  $N_{0,3}(t)$  to  $N_{4,3}(t)$

$$N_{i,1} = \begin{cases} 1, & \text{if } t \in [t_i, t_{i+1}) \\ 0, & \text{otherwise} \end{cases} \quad N_{i,k}(t) = \frac{t - t_i}{t_{i+k-1} - t_i} N_{i,k-1}(t) + \frac{t_{i+k} - t}{t_{i+k} - t_{i+1}} N_{i+1,k-1}(t)$$

# UNDERSTANDING KNOT VECTORS

## More examples

Bézier vs open B-Spline of order 3  
where  $n = 9$  and  $k = 3$

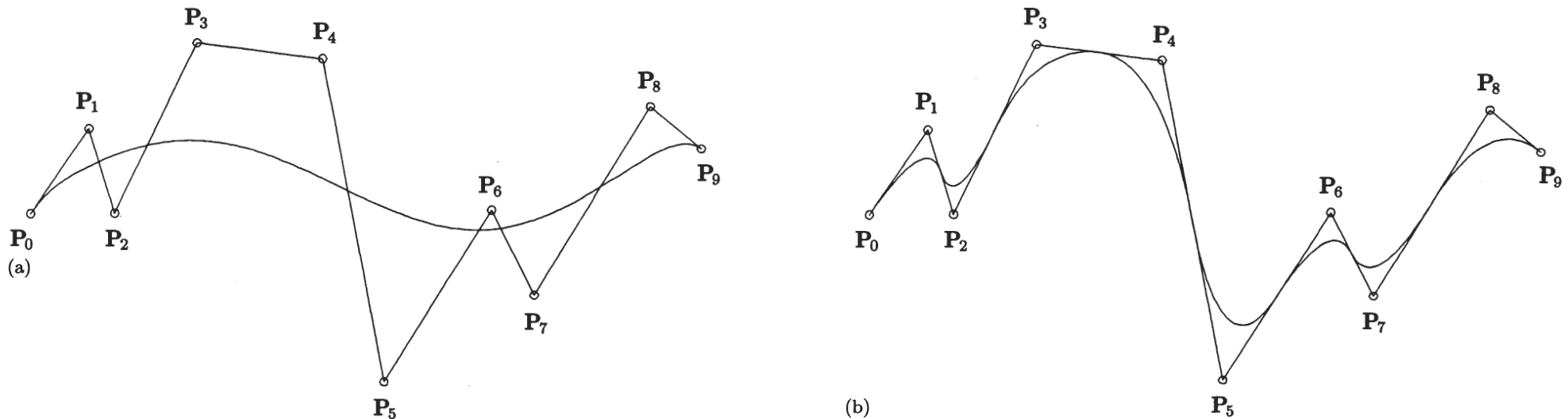


Figure 3.8. B-spline curves. (a) A ninth-degree Bézier curve on the knot vector  $U = \{0, 0, 0, 0, 0, 0, 0, 0, 0, 0, 1, 1, 1, 1, 1, 1, 1, 1, 1\}$ ; (b) a quadratic curve using the same control polygon defined on  $U = \{0, 0, 0, 1/8, 2/8, 3/8, 4/8, 5/8, 6/8, 7/8, 1, 1, 1\}$ .

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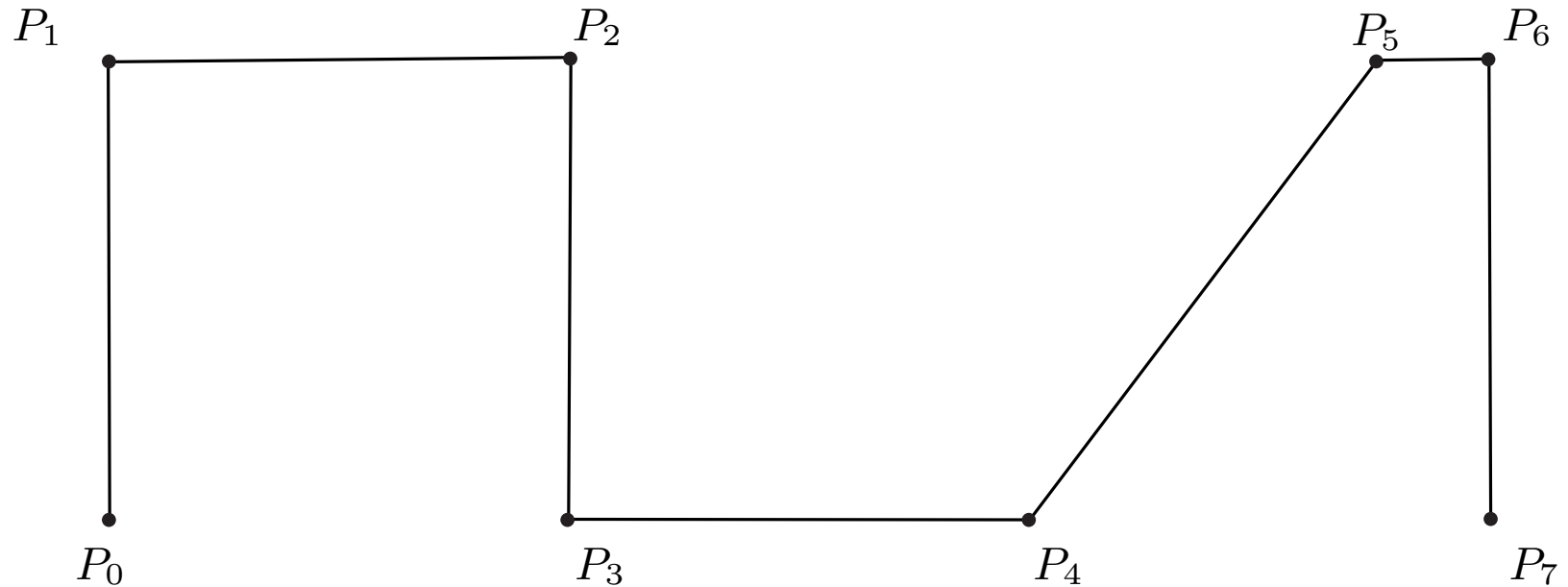
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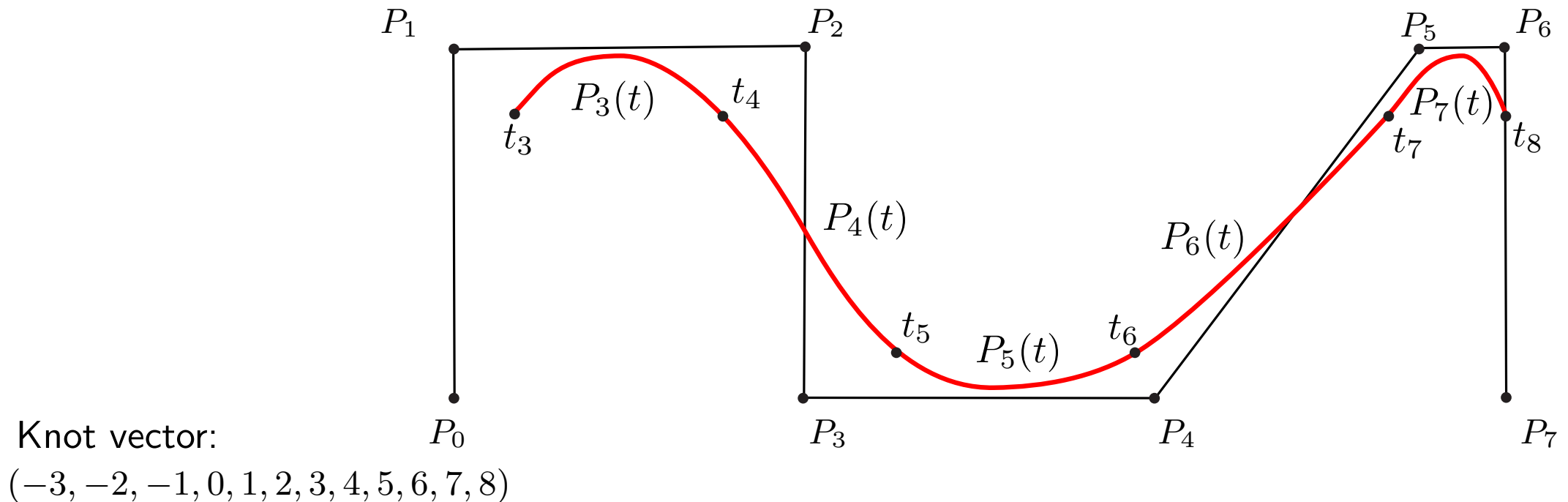
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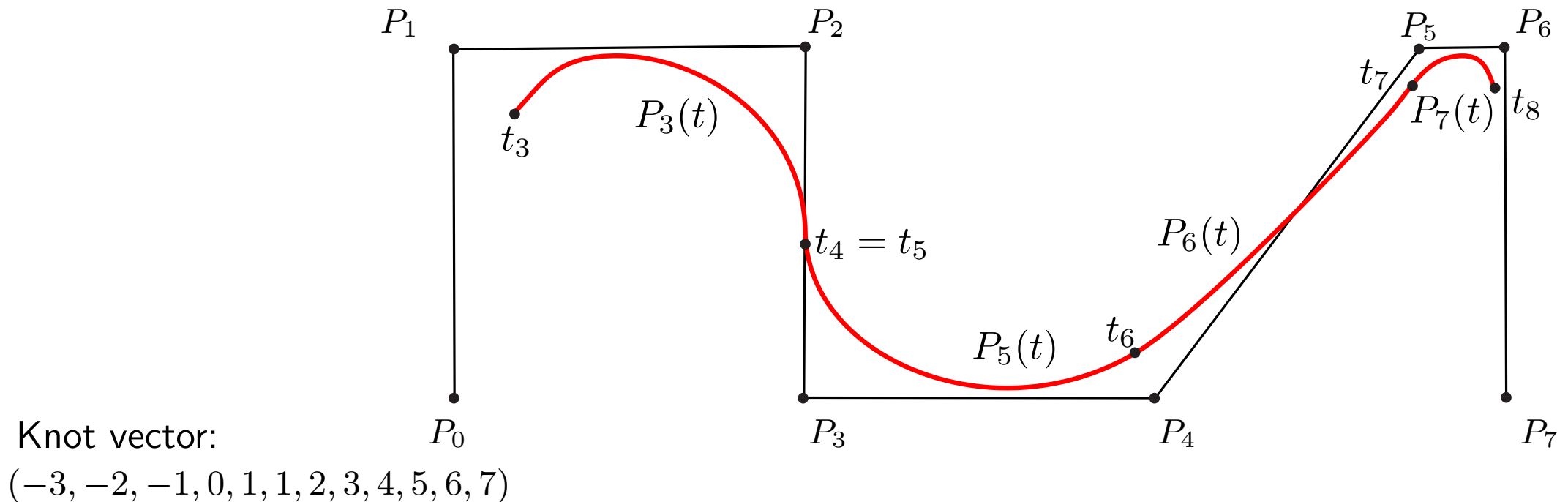
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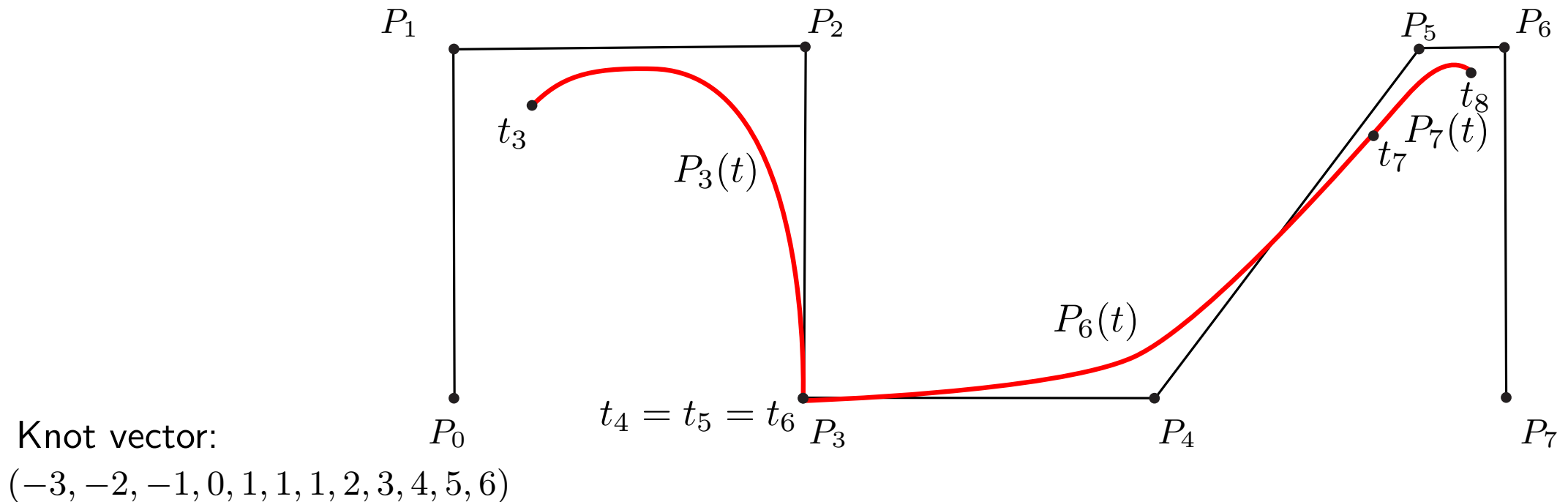
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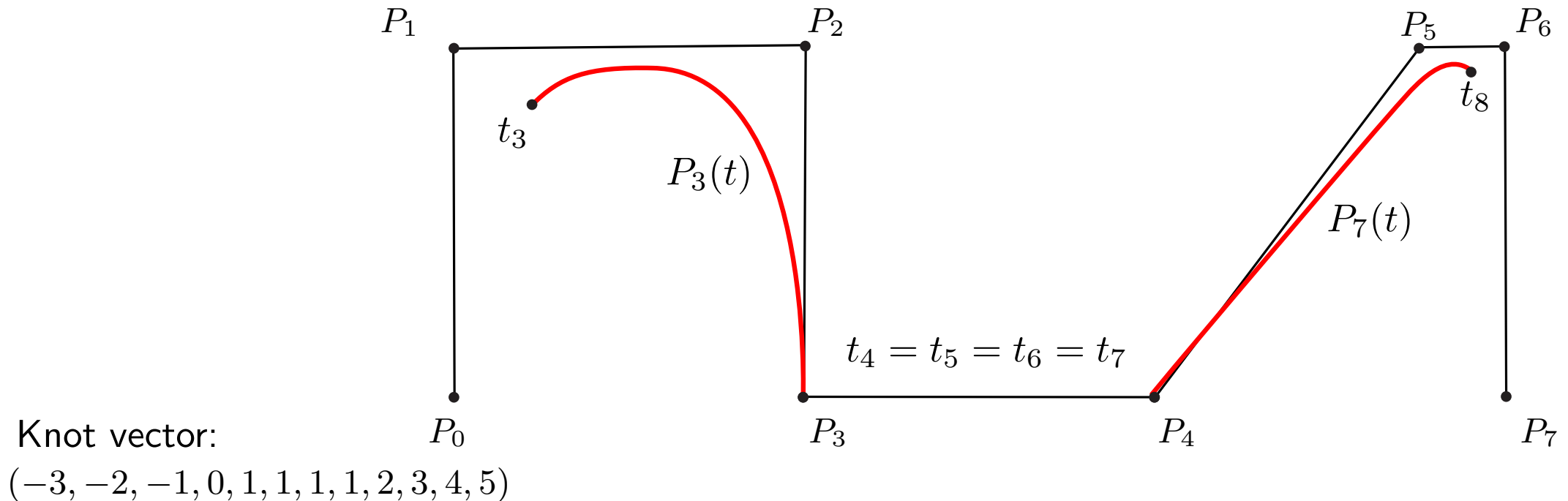
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Open uniform B-splines interpolate the first and last control points due to the knot multiplicity

In general: continuity at the knots depends on multiplicity

$N_{i,k}(t)$  is  $(k - m - 1)$  times continuously differentiable, where  $m$  is the multiplicity of the knot

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( $m$ =number of repetitions of knot value)

Examples:

- If all knots are different, a cubic ( $k = 4$ ) B-spline is  $C^2$ -continuous at every knot



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$N_{i,k}(t)$  is  $(k - m - 1)$  times continuously differentiable, where  $m$  is the multiplicity of the knot  
( $m$ =number of repetitions of knot value)

Examples:

- If all knots are different, a cubic ( $k = 4$ ) B-spline is  $C^2$ -continuous at every knot
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# NON-UNIFORM B-SPLINES

## Understanding knot vectors

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- If a knot appears twice, the cubic B-spline will be only  $C^1$ -continuous there
- If a knot appears three times, the cubic B-spline will be only  $C^0$ -continuous there

See example is  
<http://geometrie.foretnik.net/files/NURBS-en.swf>

# NON-UNIFORM B-SPLINES

## Matrix form

Matrix-based expressions to compute non-uniform B-splines also exist

# NON-UNIFORM B-SPLINES

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Matrix-based expressions to compute non-uniform B-splines also exist

Linear case ( $k = 2$ )

$$N_{i2} = \frac{u - u_i}{u_{i+1} - u_i} N_{i1}(u) + \frac{u_{i+2} - u}{u_{i+2} - u_{i+1}} N_{i+1,1}(u)$$
$$= \begin{cases} \frac{u - u_i}{u_{i+1} - u_i} & \text{for } u \in [u_i, u_{i+1}), \\ \frac{u_{i+2} - u}{u_{i+2} - u_{i+1}} & \text{for } u \in [u_{i+1}, u_{i+2}), \\ 0 & \text{otherwise.} \end{cases}$$

For  $i = 0$ , this becomes

$$N_{02} = \begin{cases} \frac{u - u_0}{u_1 - u_0} & \text{for } u \in [u_0, u_1), \\ \frac{u_2 - u}{u_2 - u_1} & \text{for } u \in [u_1, u_2), \\ 0 & \text{otherwise.} \end{cases}$$

$$\Delta = u_2 - u_1$$

$$t = \frac{u - u_1}{\Delta} = \frac{u - u_1}{u_2 - u_1}.$$

$$\mathbf{P}(t) = (t, 1) \begin{pmatrix} -1 & 1 \\ 1 & 0 \end{pmatrix} \begin{pmatrix} \mathbf{P}_0 \\ \mathbf{P}_1 \end{pmatrix}$$

$$t \in [0, 1]$$

$N_{12}(u)$  is obtained by incrementing all the indices

# NON-UNIFORM B-SPLINES

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Quadratic case ( $k = 3$ )

$$N_{03}(u) = \begin{cases} \frac{u - u_0}{u_2 - u_0} \cdot \frac{u - u_0}{u_1 - u_0} & \text{for } u \in [u_0, u_1), \\ \frac{u - u_0}{u_2 - u_0} \cdot \frac{u_2 - u}{u_2 - u_1} + \frac{u_3 - u}{u_3 - u_1} \cdot \frac{u - u_1}{u_2 - u_1} & \text{for } u \in [u_1, u_2), \\ \frac{u_3 - u}{u_3 - u_1} \cdot \frac{u_3 - u}{u_3 - u_2} & \text{for } u \in [u_2, u_3), \\ 0 & \text{otherwise.} \end{cases}$$

→  $N_{13}(u)$  and  $N_{23}(u)$  are obtained by incrementing all the indices over subinterval  $[u_2, u_3)$

$$\begin{aligned} N_{03}(u) &= \frac{u_3 - u}{u_3 - u_1} \cdot \frac{u_3 - u}{u_3 - u_2}, \\ N_{13}(u) &= \frac{u - u_1}{u_3 - u_1} \cdot \frac{u_3 - u}{u_3 - u_2} + \frac{u_4 - u}{u_4 - u_2} \cdot \frac{u - u_2}{u_3 - u_2}, \\ N_{23}(u) &= \frac{u - u_2}{u_4 - u_2} \cdot \frac{u - u_2}{u_3 - u_2}. \end{aligned}$$

Need notation for difference between consecutive knots:

$$\Delta_1 = u_2 - u_1, \quad \Delta_2 = u_3 - u_2, \quad \Delta_3 = u_4 - u_3.$$

We also define  $t = (u - u_2)/\Delta_2$ , which implies

$$\begin{aligned} u - u_1 &= t\Delta_2 + \Delta_1, \\ u - u_2 &= t\Delta_2, \\ u - u_3 &= (t - 1)\Delta_2, \\ u - u_4 &= t\Delta_2 - (\Delta_2 + \Delta_3). \end{aligned}$$

$$\mathbf{P}(t) = (t^2, t, 1) \begin{pmatrix} a & -a - b & b \\ -2a & 2a & 0 \\ a & 1 - a & 0 \end{pmatrix} \begin{pmatrix} \mathbf{P}_0 \\ \mathbf{P}_1 \\ \mathbf{P}_2 \end{pmatrix}$$

$$a = \frac{\Delta_2}{\Delta_1 + \Delta_2}, \quad b = \frac{\Delta_2}{\Delta_2 + \Delta_3},$$

$$t \in [0, 1]$$

# NON-UNIFORM B-SPLINES

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Cubic case ( $k = 4$ )

$$N_{04}(u) = \begin{cases} \frac{u-u_0}{u_3-u_0} \cdot \frac{u-u_0}{u_2-u_0} \cdot \frac{u-u_0}{u_1-u_0} & \text{for } u \in [u_0, u_1), \\ \frac{u-u_0}{u_3-u_0} \cdot \frac{u-u_0}{u_2-u_0} \cdot \frac{u_2-u_1}{u_2-u} \\ + \frac{u_3-u_0}{u-u_0} \cdot \frac{u_2-u_0}{u_3-u} \cdot \frac{u-u_1}{u-u_1} \\ + \frac{u_3-u_0}{u_4-u} \cdot \frac{u_3-u_1}{u-u_1} \cdot \frac{u_2-u_1}{u-u_1} & \text{for } u \in [u_1, u_2), \\ + \frac{u_4-u_1}{u-u_0} \cdot \frac{u_3-u_1}{u_3-u} \cdot \frac{u_2-u_1}{u_3-u} \\ \frac{u_3-u_0}{u_4-u} \cdot \frac{u_3-u_1}{u-u_1} \cdot \frac{u_3-u_2}{u_3-u} \\ + \frac{u_4-u_1}{u_4-u} \cdot \frac{u_3-u_1}{u-u_1} \cdot \frac{u_3-u_2}{u-u_2} \\ + \frac{u_4-u_1}{u_4-u} \cdot \frac{u_4-u_2}{u_4-u} \cdot \frac{u_3-u_2}{u-u_2} & \text{for } u \in [u_2, u_3), \\ \frac{u_4-u}{u_4-u_1} \cdot \frac{u_4-u}{u_4-u_2} \cdot \frac{u_4-u}{u_4-u_3} & \text{for } u \in [u_3, u_4), \\ 0 & \text{otherwise.} \end{cases}$$

$N_{14}(u)$ ,  $N_{24}(u)$  and  $N_{34}(u)$  are obtained by incrementing all the indices

Only in  $[u_3, u_4)$  all four are nonzero, with values:

$$N_{04}(u) = \frac{u_4-u}{u_4-u_1} \cdot \frac{u_4-u}{u_4-u_2} \cdot \frac{u_4-u}{u_4-u_3},$$

$$N_{14}(u) = \frac{u-u_1}{u_4-u_1} \cdot \frac{u_4-u}{u_4-u_2} \cdot \frac{u_4-u}{u_4-u_3} + \frac{u_5-u}{u_5-u_2} \cdot \frac{u-u_2}{u_4-u_2} \cdot \frac{u_4-u}{u_4-u_3} \\ + \frac{u_5-u}{u_5-u_2} \cdot \frac{u_5-u}{u_5-u_3} \cdot \frac{u-u_3}{u_4-u_3},$$

$$N_{24}(u) = \frac{u-u_2}{u_5-u_2} \cdot \frac{u-u_2}{u_4-u_2} \cdot \frac{u_4-u}{u_4-u_3} + \frac{u-u_2}{u_5-u_2} \cdot \frac{u_5-u}{u_5-u_3} \cdot \frac{u-u_3}{u_4-u_3} \\ + \frac{u_6-u}{u_6-u_3} \cdot \frac{u-u_3}{u_5-u_3} \cdot \frac{u-u_3}{u_4-u_3},$$

$$N_{34}(u) = \frac{u-u_3}{u_6-u_3} \cdot \frac{u-u_3}{u_5-u_3} \cdot \frac{u-u_3}{u_4-u_3}.$$

take  $\Delta_1 = u_2 - u_1, \quad \Delta_2 = u_3 - u_2, \quad \Delta_3 = u_4 - u_3,$   
 $\Delta_4 = u_5 - u_4, \quad \Delta_5 = u_6 - u_5, \quad t = (u - u_3)/\Delta_3.$

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We obtain:

$$\mathbf{P}(t) = (t^3, t^2, t, 1) \begin{pmatrix} -a & a+b+c & -b-c-d & d \\ 3a & -3a-3b & 3b & 0 \\ -3a & 3a-3e & 3e & 0 \\ a & 1-a-f & f & 0 \end{pmatrix} \begin{pmatrix} \mathbf{P}_0 \\ \mathbf{P}_1 \\ \mathbf{P}_2 \\ \mathbf{P}_3 \end{pmatrix},$$

where

$$\begin{aligned} a &= \frac{\Delta_3^2}{(\Delta_1 + \Delta_2 + \Delta_3)(\Delta_2 + \Delta_3)}, & d &= \frac{\Delta_3^2}{(\Delta_3 + \Delta_4 + \Delta_5)(\Delta_3 + \Delta_4)}, \\ b &= \frac{\Delta_3^2}{(\Delta_2 + \Delta_3 + \Delta_4)(\Delta_2 + \Delta_3)}, & e &= \frac{\Delta_2 \Delta_3}{(\Delta_2 + \Delta_3 + \Delta_4)(\Delta_2 + \Delta_3)}, \\ c &= \frac{\Delta_3^2}{(\Delta_2 + \Delta_3 + \Delta_4)(\Delta_3 + \Delta_4)}, & f &= \frac{\Delta_2^2}{(\Delta_2 + \Delta_3 + \Delta_4)(\Delta_2 + \Delta_3)}. \end{aligned}$$

$$\begin{aligned} &\text{for } u \in [u_3, u_4), \quad N_{34}(u) = \frac{u-u_3}{u_6-u_3} \cdot \frac{u-u_3}{u_5-u_3} \cdot \frac{u-u_3}{u_4-u_3}. \\ &\text{otherwise.} \end{aligned}$$

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$$\begin{aligned} \text{take } \Delta_1 &= u_2 - u_1, & \Delta_2 &= u_3 - u_2, & \Delta_3 &= u_4 - u_3, \\ \Delta_4 &= u_5 - u_4, & \Delta_5 &= u_6 - u_5, & t &= (u - u_3)/\Delta_3. \end{aligned}$$

# NON-UNIFORM RATIONAL B-SPLINES (NURBS)

## The most general parametric curve

Same idea as for rational Bézier: each control point  $P_i$  has a weight,  $w_i \geq 0$ . This gives even more flexibility to shape the curve



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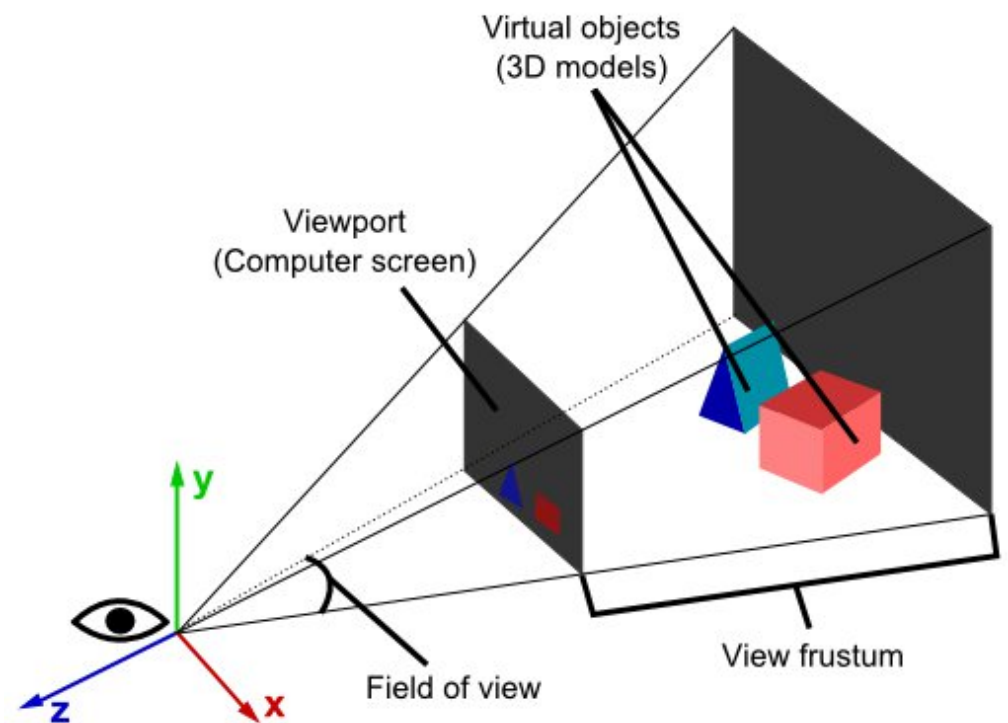


Figure from [real3dtutorials.com](http://real3dtutorials.com)

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- It is more general, so it includes as particular cases all other B-splines and Bézier curves

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Recall: homogeneous coordinates

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Suppose your control points  $Q_i$  have one extra dimension  $P_i \in \mathbb{R}^2 \rightarrow Q_i \in \mathbb{R}^3$

└─► e.g., each point  $P_i = (x_i, y_i)$ , becomes  $Q_i = (w_i x_i, w_i y_i, w_i)$ , for some  $w_i \geq 0$

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$$P_r(t) = \frac{\sum_{i=0}^n w_i P_i N_{i,k}(t)}{\sum_{j=0}^n w_j N_{j,k}(t)} = \sum_{i=0}^n P_i \left( \frac{w_i N_{i,k}(t)}{\sum_{j=0}^n w_j N_{j,k}(t)} \right) = \sum_{i=0}^n P_i R_{i,k}(t)$$

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# NON-UNIFORM RATIONAL B-SPLINES (NURBS)

## Rational curves as curves in projective space

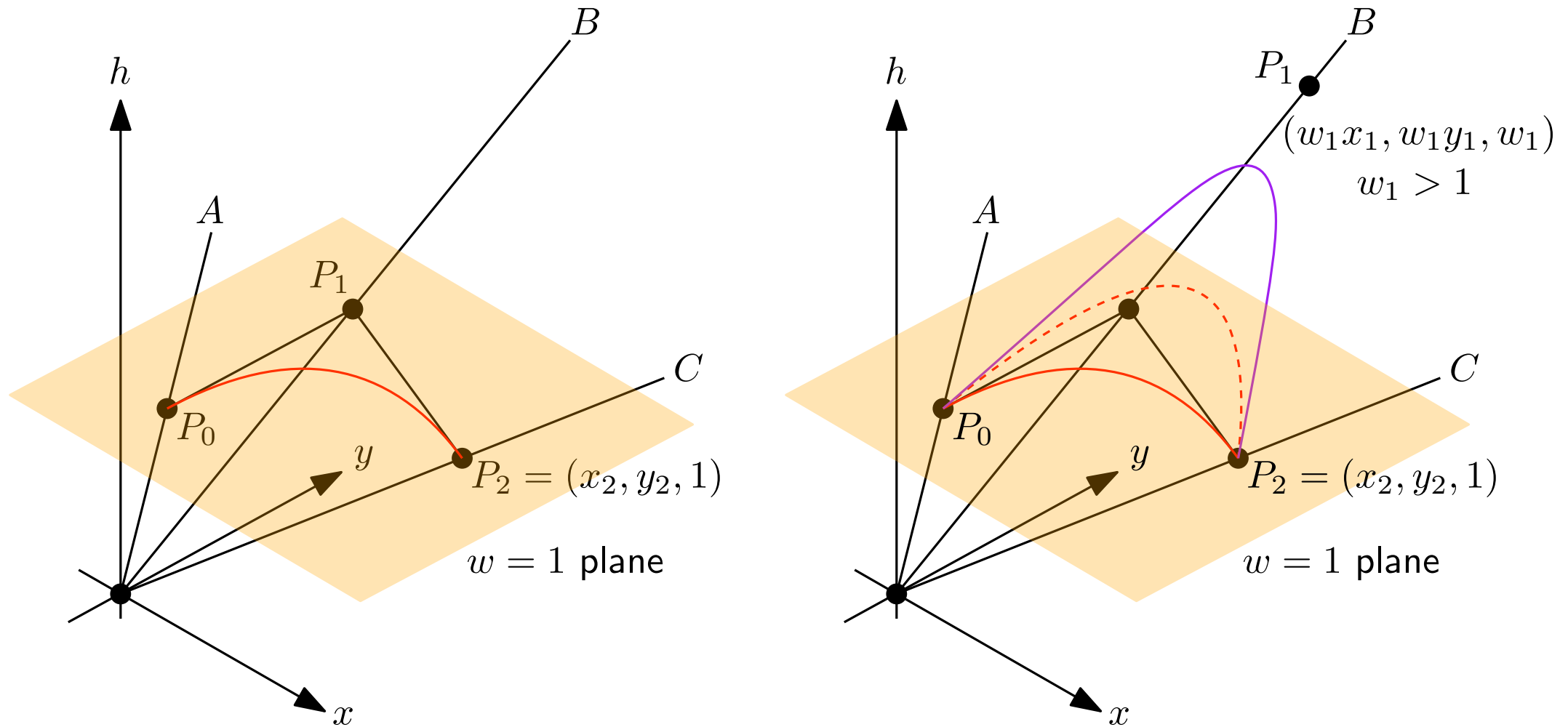


Figure adapted from book by Mortenson

# NON-UNIFORM RATIONAL B-SPLINES (NURBS)

Properties of rational basis functions and NURBS

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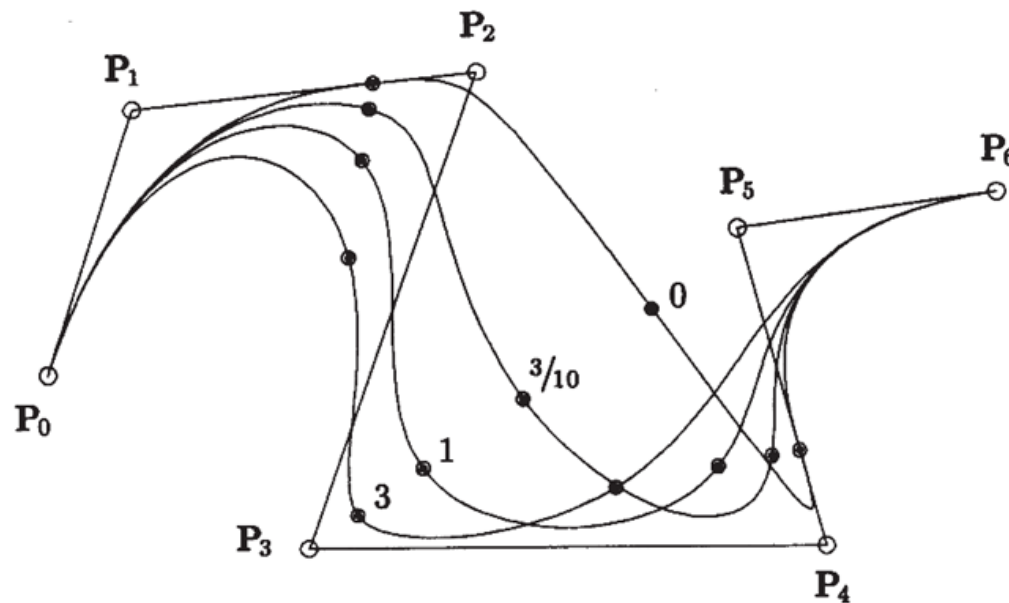


Figure 4.2. Rational cubic B-spline curves, with  $w_3$  varying.

Figure from [Piegl and Tiller]

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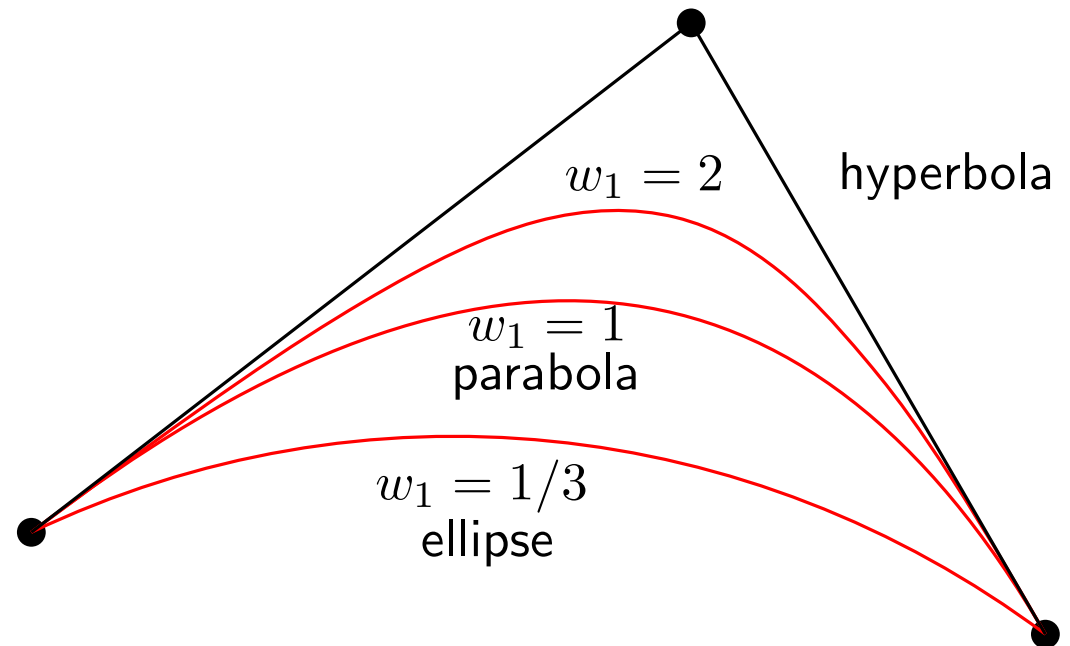
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