# Chapter 4 General Vector Spaces

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Chapter 4.1

Real Vector Spaces

## **Vector Space Axioms**

#### **DEFINITION 1**

Let V be an arbitrary nonempty set of objects on which two operations are defined: addition, and multiplication by scalars. By *addition* we mean a rule for associating with each pair of objects  $\mathbf{u}$  and  $\mathbf{v}$  in V an object  $\mathbf{u} + \mathbf{v}$ , called the *sum* of  $\mathbf{u}$  and  $\mathbf{v}$ ; by *scalar multiplication* we mean a rule for associating with each scalar k and each object  $\mathbf{u}$  in V an object  $k\mathbf{u}$ , called the *scalar multiple* of  $\mathbf{u}$  by k.

If the following axioms are satisfied by all objects  $\mathbf{u}$ ,  $\mathbf{v}$ ,  $\mathbf{w}$  in V and all scalars k and m, then we call V a *vector space* and we call the objects in V *vectors*.

### **Vector Space Axioms**

#### **DEFINITION 1**

- 1. If  $\mathbf{u}$  and  $\mathbf{v}$  are objects in V, then  $\mathbf{u} + \mathbf{v}$  is in V.
- 2. u + v = v + u
- 3.  $\mathbf{u} + (\mathbf{v} + \mathbf{w}) = (\mathbf{u} + \mathbf{v}) + \mathbf{w}$
- 4. There exists an object in V, called the *zero vector*, that is denoted by  $\mathbf{0}$  and has the property that  $\mathbf{0} + \mathbf{u} = \mathbf{u} + \mathbf{0} = \mathbf{u}$  for all  $\mathbf{u}$  in V.
- 5. For each  $\mathbf{u}$  in V, there is an object  $-\mathbf{u}$  in V, called a *negative* of  $\mathbf{u}$ , such that  $\mathbf{u} + (-\mathbf{u}) = (-\mathbf{u}) + \mathbf{u} = \mathbf{0}$ .

## **Vector Space Axioms**

#### **DEFINITION 1**

6. If k is any scalar and  $\mathbf{u}$  is any object in V, then  $k\mathbf{u}$  is in V.

7. 
$$k(\mathbf{u} + \mathbf{v}) = k\mathbf{u} + k\mathbf{v}$$

8. 
$$(k+m)u = ku + mu$$

9. 
$$k(m\mathbf{u}) = (km)(\mathbf{u})$$

10. 
$$1u = u$$

## Group Definition (explained) Abstract Algebra

https://www.youtube.com/watch?v=g7L r6zw4-c

Examples of groups

https://planetmath.org/examplesofgroups

## Show that a Set with Two Operations is a Vector Space

- **Step 1** Identify the set *V* of objects that will become vectors.
- **Step 2** Identify the addition and scalar multiplication operations on *V*.
- Step 3 Verify Axioms 1 and 6; that is, adding two vectors in *V* produces a vector in *V*, and multiplying a vector in *V* by a scalar also produces a vector in *V*.
  Axiom 1 is called *closure under addition*, and Axiom 6 is called *closure under scalar multiplication*.
- **Step 4** Confirm that Axioms 2, 3, 4, 5, 7, 8, 9, and 10 hold.

## The Zero Vector Space

#### **EXAMPLE 1**

Let V consist of a single object, which we denote by  $\mathbf{0}$ , and define

$$\mathbf{0} + \mathbf{0} = \mathbf{0}$$
 and  $k\mathbf{0} = \mathbf{0}$  for all scalars k.

It is easy to check that all the vector space axioms are satisfied.

We call this the zero vector space.

## Vector Space R<sup>n</sup>

#### **EXAMPLE 2**

Let  $V = \mathbb{R}^n$ , and define the vector space operations on V to be the usual operations of addition and scalar multiplication of n-tuples;

$$\mathbf{u} + \mathbf{v} = (u_1, u_2, \dots, u_n) + (v_1, v_2, \dots, v_n) = (u_1 + v_1, u_2 + v_2, \dots, u_n + v_n)$$
  
$$k\mathbf{u} = (ku_1, ku_2, \dots, ku_n)$$

The set  $V = \mathbb{R}^n$  is closed under addition and scalar multiplication because the foregoing operations produce n-tuples as their end result, and these operations satisfy Axioms 2, 3, 4, 5, 7, 8, 9, and 10 by virtue of Theorem 3.1.1.

## A Vector Space of 2 x 2 Matrices

#### **EXAMPLE 3**

Let V be the set of  $2 \times 2$  matrices with real entries,

$$\mathbf{u} + \mathbf{v} = \begin{bmatrix} u_{11} & u_{12} \\ u_{21} & u_{22} \end{bmatrix} + \begin{bmatrix} v_{11} & v_{12} \\ v_{21} & v_{22} \end{bmatrix} = \begin{bmatrix} u_{11} + v_{11} & u_{12} + v_{12} \\ u_{21} + v_{21} & u_{22} + v_{22} \end{bmatrix}$$

$$k\mathbf{u} = k \begin{bmatrix} u_{11} & u_{12} \\ u_{21} & u_{22} \end{bmatrix} = \begin{bmatrix} ku_{11} & ku_{12} \\ ku_{21} & ku_{22} \end{bmatrix}$$

Axioms 1, 2, 3, 6, 7, 8, 9 holds.

This leaves Axioms 4, 5, and 10 that remain to be verified.

#### **EXAMPLE 3** Continued

To confirm that Axiom 4 is satisfied, we must find a  $2 \times 2$  matrix  $\mathbf{0}$  in V for which  $\mathbf{u} + \mathbf{0} = \mathbf{0} + \mathbf{u}$  for all  $2 \times 2$  matrices in V. We can do this by taking

$$\mathbf{0} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix}$$

$$\mathbf{0} + \mathbf{u} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} + \begin{bmatrix} u_{11} & u_{12} \\ u_{21} & u_{22} \end{bmatrix} = \begin{bmatrix} u_{11} & u_{12} \\ u_{21} & u_{22} \end{bmatrix} = \mathbf{u}$$

and similarly  $\mathbf{u} + \mathbf{0} = \mathbf{u}$ .

### **EXAMPLE 3** Continued

To verify that Axiom 5 holds we must show that each object  $\mathbf{u}$  in V has a negative  $-\mathbf{u}$  in V such that  $\mathbf{u} + (-\mathbf{u}) = \mathbf{0}$  and  $(-\mathbf{u}) + \mathbf{u} = \mathbf{0}$ . This can be done by defining the negative of  $\mathbf{u}$  to be

$$-\mathbf{u} = \begin{bmatrix} -u_{11} & -u_{12} \\ -u_{21} & -u_{22} \end{bmatrix}$$

$$\mathbf{u} + (-\mathbf{u}) = \begin{bmatrix} u_{11} & u_{12} \\ u_{21} & u_{22} \end{bmatrix} + \begin{bmatrix} -u_{11} & -u_{12} \\ -u_{21} & -u_{22} \end{bmatrix} = \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} = \mathbf{0}$$

and similarly  $(-\mathbf{u}) + \mathbf{u} = \mathbf{0}$ .

Finally, Axiom 10 holds because

$$1\mathbf{u} = 1 \begin{bmatrix} u_{11} & u_{12} \\ u_{21} & u_{22} \end{bmatrix} = \begin{bmatrix} u_{11} & u_{12} \\ u_{21} & u_{22} \end{bmatrix} = \mathbf{u}$$

## A Set That Is Not a Vector Space

#### **EXAMPLE 4**

Let  $V = R^2$  and define addition and scalar multiplication operations as follows:

If  $\mathbf{u} = (u_1, u_2)$  and  $\mathbf{v} = (v_1, v_2)$ , then define

$$\mathbf{u} + \mathbf{v} = (u_1 + v_1, u_2 + v_2)$$

and if *k* is any real number, then define

$$k\mathbf{u} = (ku_1, 0)$$

[Note]

## An Unusual Vector Space

#### **EXAMPLE 5**

Let V be the set of positive real numbers, let  $\mathbf{u} = u$  and  $\mathbf{v} = v$  be any vectors (i.e., positive real numbers) in V, and let k be any scalar. Define the operations on V to be

u + v = uv [Vector addition is numerical multiplication.]  $ku = u^k$  [Scalar multiplication is numerical exponentiation.]

[Note]

## Some Properties of Vectors

#### **THEOREM 4.1.1**

Let V be a vector space,  $\mathbf{u}$  a vector in V, and k a scalar; then:

- (a)  $0\mathbf{u} = \mathbf{0}$
- (b) k**0**=**0**
- (c) (-1)u = -u
- (d) If  $k\mathbf{u} = \mathbf{0}$ , then k = 0 or  $\mathbf{u} = \mathbf{0}$ .

**Proof** 

[same as in Chapter 3]

## Chapter 4-1 Objectives

- Determine whether a given set with two operations is a vector space.
- Show that a set with two operations is not a vector space by demonstrating that at least one of the vector space axioms fails.