Getting started with Casal2

C. Marsh

Contents

1. Introduction

This document is an introductory help guide for CASAL2, a generalised age-structured population dynamic modelling package. CASAL2 is run through the command prompt/terminal where it reads text files (configuration files) which defines the model. CASAL2 then prints output to a screen or a file or errors out gracefully. This short document is aimed at users who are new to CASAL2. CASAL2 is primarily used on fish populations, but is no means specific to fish population dynamics. CASAL2's predecessor is the primary tool used in assessing New Zealand's tier one stocks, it is also the standard tool used by CCAMMLR for modelling Antarctic toothfish.

CASAL2 is very generalised, highly flexible, and therefore can be a bit daunting at first sight. It has a large number of run modes, settings, and user defined population dynamics choices that can be turned on and off, depending on circumstances such as, population life history and available data. While there is no requirement for a user to see or understand the underlying code base, it has been written so that is is well tested. Great effort has been put into developing a code base that can be easily interpreted by even novice programmers.

CASAL2 is open source, and is covered under the GNU GPL 2.0 licence. See the terms and conditions in the CASAL2 Technical User Manual (Rasmussen et al., 2016), or type `casal2 -l` into the command prompt. There is also supplementary information that may be useful to access when getting familiar with CASAL2. CASAL2 has a comprehensive user manual (Rasmussen et al., 2016) which should be consulted for detail on any model component. CASAL2 also has a contributors guide to help users add any functionality they wish to tackle any problem, the modular structure of the code base can make adding new processes, observations and likelihoods a breeze. If you have any questions, please contact the CASAL2 development team at casal2@niwa.co.nz, even though this is unsupported software we are keen to help with any advancement.

The remaining content of this chapter describes requirements and details about how to run, cite, licensing and contact info for CASAL2. If you are new to population modelling then section 2 describes the types of data that you would need to run a CASAL2 model. This seemed like a logical start to the document as getting CASAL2 as you want to know if you have the data before you write the model, unless you are using CASAL2 as an operating model for simulating data. The remaining content of the document goes over how you run CASAL2, the syntax of the configuration files that CASAL2 uses as inputs, and finally we run through an example.

1.1. Version

CASAL2 can differ between version, especially as issues are fixed or new features added. The CASAL2 version number is suffixed with a date/time stamp (`yyyy-mm-dd`), giving the revision control system UTC date for the most recent modification of the underlying software source code. User manual updates will usually be issued for each minor version or date release of CASAL2.

1.2. Citing the CASAL2 Getting Started Guide

A suitable reference for this document is:

C. Marsh (2017). Getting started with CASAL2. National Institute of Water & Atmospheric

Research Ltd.. 21 p.

1.3. Software license

This program and the accompanying materials are made available under the terms of the licence GNU GPL v2 which accompanies this software.

Copyright ©2015-2017, National Institute of Water & Atmospheric Research Ltd.. All rights reserved.

1.4. System requirements

CASAL2 is available for most IBM compatible machines running 64-bit Linux and Microsoft Windows operating systems.

Several of CASAL2's tasks are highly computer intensive and a fast processor is recommended. Depending on the model implemented, some of CASAL2's tasks can take a considerable amount of time (minutes to hours), and in extreme cases can even take several days to undertake an MCMC estimate.

The program itself requires only a few megabytes of hard-disk space but output files can consume large amounts of disk space. Depending on number and type of user output requests, the output could range from a few hundred kilobytes to several hundred megabytes. When estimating model fits, several hundred megabytes of RAM may be required, depending on the spatial size of the model, number of categories, and complexity of processes and observations. For extremely large models, several gigabytes of RAM may occasionally be required.

1.5. Necessary files

For both 64-bit Linux and Microsoft Windows, only the executable file `casal2` or `casal2.exe` is required to run CASAL2with non-auto differentiable minimisers. If you wish to use the auto differentiable minimisers the `.dll` for windows and `.so` for Linux must be in the same folder as the executable CASAL2 files or in your system path. No other software is required. We do not compile a version for 32-bit operating systems.

CASAL2 offers little in the way of post-processing of model output, and a package available that allows tabulation and graphing of model outputs is recommended. We suggest software such as **R** (R Core Team, 2014) to assist in the post processing of CASAL2 output. We provide the CASAL2 **R** package for importing the CASAL2 output into **R** (see the CASAL2User Manual for more detail).

1.6. Getting help

CASAL2 is distributed as unsupported software, however we would appreciate being notified of any problems or errors in CASAL2. See the CASAL2 User manual (Rasmussen et al., 2016) for the recommended template for reporting issues.

2. Data Requirements

The information that is required to setup and run an age based model in CASAL2, but is by no means the end all and be all (because you can add in any functionality you wish). An age based model refers to how CASAL2 keeps track of the population, this is by keeping track of the numbers at age for each category in the population.

1. Catch History (currently CASAL2 assumes this is known without error)
2. Time series of relative/absolute abundance/biomass
3. Age/length compositional data (if you want to estimate selectivities or year class strengths)
4. Some recruitment process
5. Biological information (e.g. growth, maturity, life cycle)

In theory recruitment and biological information is all that is needed to run a CASAL2 model, obviously that would not include any anthropogenic exlpoitation processes and so can be thought of as a equilibrium or steady-state model.

The biological information that would be required will depend on if you are going to need to do weight calculations. For an age based model you will need to specify

1. length at age `@age_length`
2. weight at length `@length_weight`
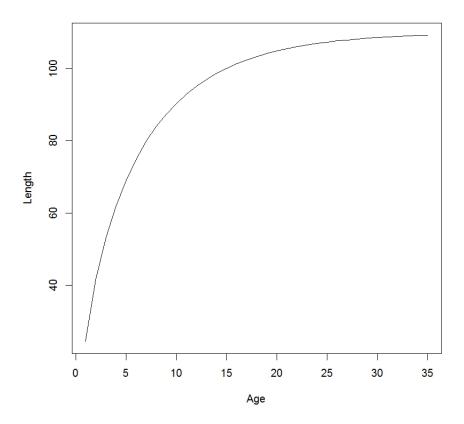3. natural mortality `@process`

Figure 2.1: An example of a Von Bertalanfy growth curve.

3. Where to get CASAL2

In the first instance, see `http://www.niwa.co.nz/` for information about CASAL2. The CASAL2 source code is hosted on github, and can be found at `https://github.com/NIWAFisheriesModelling/CASAL2`.

A Microsoft Windows bundle includes the binary, manual, examples and other help guides. It can be downloaded at `ftp://ftp.niwa.co.nz/Casal2/windows/Casal2.zip` for the Microsoft Windows version. The Linux bundle which includes a binary, manual, examples and other help guides can be downloaded at `ftp://ftp.niwa.co.nz/Casal2/linux/Casal2.tar.gz`.

For both 64-bit Linux and Microsoft Windows, only the executable file `casal2` or `casal2.exe` is required to run CASAL2 with non-auto differentiable minimisers. If you wish to use the auto differentiable minimisers the `.so` or `.dll` must be in the same folder as the executable CASAL2files.

If you cannot run the following command `casal2 -h` you man need to shift `casal2_release.so` to `/usr/local/lib/` (which is where your system may expect to find it).

4. How it works

CASAL2 is run from a console windows in Microsoft Windows or in a terminal window in Linux, CASAL2 is executed by typing `casal2 -"parameter"`, where `"parameter"` defines the run type of CASAL2. Once a CASAL2 has been executed with a certain parameter CASAL2 reads in text files. These text files define the model structure and the output wanted. For help on the parameters available and there descriptions type `casal2 --help`, this will print a help screen. There are multiple modes that CASAL2 can be run in. The modes and corresponding parameters include deterministic run `-r`, parameter estimation `-e`, parameter profiling `-p`, mcmc runs `-m`, and projections `-f`. There are two ways of printing output, the default is to print all output to screen, the second is to print output to a file. The second is usually the preferred if you intend on post processing output i.e. create plots. The following example shows how to read in text file that out model is configured in (`My_model.txt`) and run an estimation on some parameters in that model, then print the output to a file named `output.txt`.

```
casal2 -e -c My_model.txt > output.txt
```

CASAL2 calls the program, `-e` tells the program it is going to do an estimation. `-c` is the parameter that gives the name of the text file with the configured model is, and > is the command to specify the file name where the output is printed. If the `-c` is not supplied CASAL2 will search for a file names `config.csl2`.

A list of the main run modes in CASAL2 with more of a description.

- `casal2 -r` will run the model from `start_year` to `final_year` with the parameters in the configuration file or will use parameters specified using the `-i` functionalty.

- `casal2 -e` will run the model in `-r` many times trying to solve for the global solution.

- `casal2 -f 1` is the projection mode and will run the model from `start_year` to `projection_final_year` applying any `@project` functionality. Because most of the `@project` functionality are stochastic in nature, for a given set of parameters you can do many projections. For example `casal2 -f 50` will do 50 projection runs. If you have multiple candidate parameters (maybe from an mcmc) you could do `casal2 -f 50 -i mcmc_params.out` 50 projections for each set, in theory propagating more uncertainty into future predictions.

- `casal2 -s 1` is the simualtion mode, and CASAL2 will run from `start_year` to `final_year`. It will generate expectations for all `@observation` blocks that will be passed to random number genereateors to generate simulated data. You will need to add the neccassary reports that will generate observation files that can be re-estiamted.

- `casal2 -m` is the mcmc mode and will run an MCMC estimation defined by subcommands in the `@mcmc`.

5. Syntax of a CASAL2 file

A general structure of CASAL2 files are that they are split into blocks of subcommands. A block always starts with @ symbol. Blocks describe different aspects of the model, fundamental blocks to have in the model are `@model`, `@initialisation_phase`, `@categories`, `@time_step`, and `@process`. Within each block there will be subcommands some will be optional and important subcommands will be mandatory. An example of subcommand is shown for the `@model` block,

```
@model
type age ## is the model age or length based?
min_age 1 ## minimum age in model
max_age 17 ## maximum age in model
age_plus true ## is the last age group a plus group?
start_year 1972 ## the first year of the model
final_year 2013  ## the first year of the model
initialisation_phases phase1
## The label for the block @intialisation_phase
time_steps step1 step2
## Labels for the block @time_step
```

The subcommands are all the options that follow `@model`, then there is a space which is where the value for the subcommand goes, i.e. `min_age` specifies the minimum age in the model and we have set that equal to one but could be any integer. This brings up a useful concept to understand. Different subcommands can take different types of parameters, they can be of type int, double, string and vector. For information about which parameter type a subcommand takes, you should read the syntax section of the manual, there is a field labelled type. If you use the wrong type for a subcommand, for example `min_age 1.5`, you will get an error. A line beginning with # is a comment and that line is ignored by CASAL2. To comment out multi-lines the user can use the C++ syntax of * and *\everything between these braces will be ignored by CASAL2. It is a useful tool for annotating models.

6. Components of a model

Components of a model that are important to know before setting up a CASAL2 model are, How many categories are in the partition, what processes occur to which categories in which order, where observations fit in to the model, and what the assumed state of the partition is before the model years run. CASAL2 runs in yearly cycles each year is split up by time steps, So processes such as fishing and spawning seasons will have an effect on how to specify specify time steps and so will observations such as annual surveys. The next section runs through a very simple example,

7. Examples

7.1. Simple Example

In the following example describe a situation then go on to configure a CASAL2 file to run. In this example we have a single area, single stock that has one fishery associated with it. We assume that the partition is made up of a single category (no sex or maturity in the partition). Processes and observations that occur in a typical year in the following order.

1. Recruitment

2. Fishing mortality with natural mortality

3. A survey takes place out of the fishing season and in the spawning season

4. More natural mortality

5. At the end of the year all the fish are aged.

The following model would have the following structure.

```
@model
type age ## is the model age or length based?
min_age 1 ## minimum age in model
max_age 17 ## maximum age in model
age_plus true ## is the last age group a plus group?
start_year 1972 ## the first year of the model
final_year 2013  ## the first year of the model
initialisation_phases phase1
## The label for the block @initialisation_phase
time_steps step1 step2
## Labels for the block @time_step

@categories
format Stock ## format of the category labels
names CHAT4 ## category labels
age_lengths CHAT4_AL ## Lables of age-length relationship for each category
```

The `@categories` command defines the label, number and age-length relationship of categories that make up the partition. A category is a group of individuals that have the same attributes, some examples of such attributes are, life history and growth paths. Characters in a populations that cause differing attributes can be, sex, maturity, multiple area, multiple stock's and tagging information. An example of the `@categories` block for a simple two area model with male and female in the partition.

```
@time_step step1  ## The label from the @model subcommand
processes Recruitment Mortality  ## Labels for @process block

@time_step step2
processes Mortality Ageing
```

The `@time_step` command describes which processes are implemented and in what order. We will continue on from the `@model` block example, where we defined two time steps in the annual cycle (`time_steps step1 step2`). In each year we have two time steps, within each time step we have processes each process must be derined in `@process` block the following processes are described.

9

```
@process Recruitment ## label of process form @time_step
type recruitment_constant  ## keyword relates to a specific process
## The following are specific subcommands for this type of process
r0 4E7 ## Number of average recruits if no fishing were to occur
age 1 ## age of recruits when entering the partition
categories CHAT4 ## label of categories that recruits join
proportions 1 ## proportion ofrecruits to each category

@process Mortality
type mortality_instantaneous
categories CHAT4 ## category labels
M 0.19 ## natural mortality rate
selectivities One ## label to a @selectivity block
## this selectivity allows for age varying mortaltiy
time_step_ratio 0.4 0.6 ## If this process is in multiple @time_step blocks
## then this is the proportion of M that occurs in each time step.
table catches
year Fishing
1975 80000
1976 152000
1977 74000
1978 28000
1979 103000
1980 481000
1981 914000
end_table

table fisheries
fishery    category   selectivity  u_max  time_step  penalty
Fishing    CHAT4    FSel  0.7  step1  Catchmustbetaken
end_table

@process Ageing
type ageing
categories CHAT4_AL
```

The above defines all the processes that occur to the partition. In the process `Mortality` we associate a selectivity to natural mortality and in the fisheries table `FSel`, this would be defined as follows.

```
@selectivity One
type constant
c 1

@selectivity FSel
type double_normal
mu 3.82578
sigma_l 1.63038
sigma_r 17
```

If a age-length relationship is specified in the `@categories` block then the `@age_length` block needs to be defined, this block is used to convert age to length which is then used to convert length to weight in an age based model, it is specified as follows,

```
@age_length CHAT4_AL
type von_bertalanffy
length_weight CHAT4_LW ## label for @length_weight block
```

```
k 0.164
t0 -2.16
linf 100.8

@length_weight CHAT4_LW ## label from @age_lenght block
type basic
units tonnes
a 4.79e-09
b 2.89
```

The last important block to complete the population text file, is the `@initialisation_phase`. This block of commands specifies how you initialise your partition. This describes the state of the partition before `start_year` of the model, usually this is an equilibrium state. The subcommands available for this block are as follows,

```
@initialisation_phase phase1
type iterative ## Type of initialisation method see manual for more
years 100 ## How many years to run for
```

In the above example we have an iterative initialisation type. This will default to iterating your annual cycle for 100 years, which may or may not cause your partition to hit an equilibrium state. **N.B.** when using this initialisation method you as the user must check if the partition has reached an acceptable equilibrium state.

The next section we are defining is the observation section. We have a survey that occurs in the second time step, which is of relative abundance, this would be defined as follows.

```
@observation Survey ## label of observation
type biomass  ## tyoe of observation
time_step step2 ## which time step the observation occurs
time_step_proportion 0.5 ## the observation occurs half way through the time step
categories CHAT4
selectivities One
catchability q ## The label for @catchability block
years  1992  1993  1994  1995
obs  191000 613000 597000 411000
error_value  0.41 0.52 0.91 0.61
likelihood lognormal ## likelihood to use for the objective function

@catchability q ## label from @observation
q 0.001 ## The value
```

To run the simple example which is located in `CASAL2/Examples/Simple`. [shift] + right click − > open command window in the above directory. Type in the command window `casal2 -r` and output should print to screen.

7.2. Extended example

Add a spawning stock biomass catch at age data multiple categories

8. Analyses of output

CASAL2 has an **R** library **CASAL2** which imports CASAL2 output files into **R** as a list. This library can be found in the directory where you installed the program. There is also another library that helps pull out compress useful information such SSB's and Objective scores for datasets.

```
library(CASAL2)
output = extract(file = "Output_file.txt", path = "Directory_of_file")
```

9. References

B Bull, R I C C Francis, A. Dunn, A McKenzie, D J Gilbert, M H Smith, R Bian, and D Fu. CASAL C++ Algorithmic Stock Assessment Laboratory): CASAL user manual v2.30-2012/03/21. Technical Report 135, National Institute of Water and Atmospheric Research Ltd (NIWA), 2012.

R Core Team. *R: A Language and Environment for Statistical Computing*. R Foundation for Statistical Computing, Vienna, Austria, 2014. URL `http://www.R-project.org/`.

S. Rasmussen, I. Doonan, A. Dunn, C. Marsh, K. Large, and S. Mormede. Casal2 user manual. Technical Report 139, National Institute of Water and Atmospheric Research Ltd (NIWA), 2016.

10. Acknowledgements

11. Index