
Tutorial on CppSim-based Fractional-N CP-PLL Design

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11/02/2026

Fractional-N CP-PLL Model

Enhanced CppSim-Based Behavioral Simulator for Predicting Noise Floor and Spurs in Fractional- N Frequency Synthesizers

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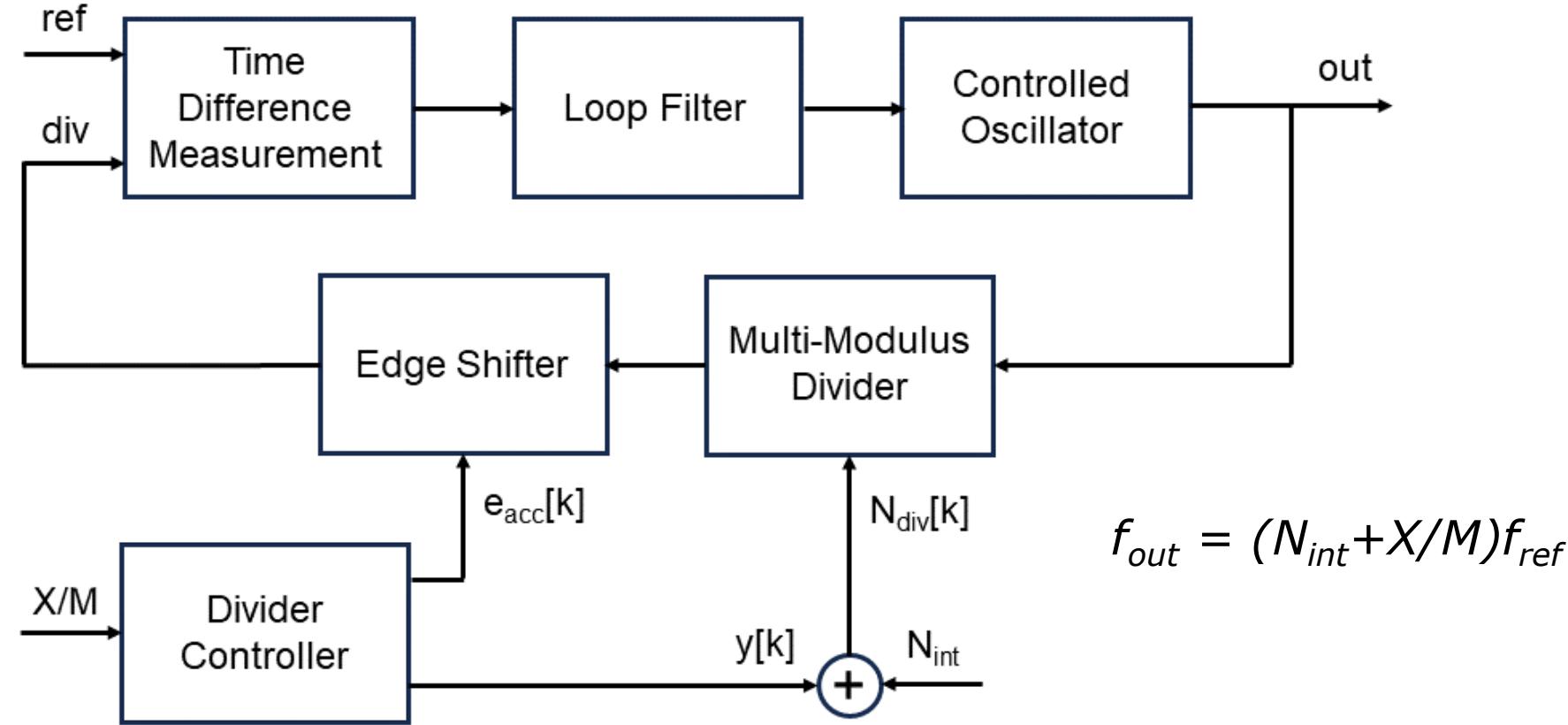
H. Shen and M. P. Kennedy, "Enhanced CppSim-Based Behavioral Simulator for Predicting Noise Floor and Spurs in Fractional- N Frequency Synthesizers," 2025 IEEE International Symposium on Circuits and Systems (ISCAS), London, United Kingdom, 2025, pp. 1-5,
doi: [10.1109/ISCAS56072.2025.11043584](https://doi.org/10.1109/ISCAS56072.2025.11043584).

Outlines

- Fractional-N Frequency Synthesizer Model
- CppSim SUE 2 Introduction
- Extracting Simulink S-function Model
- MATLAB Code

Fractional-N Frequency Synthesizer Model

Fractional- N Frequency Synthesizer



Fractional- N facilitates a high reference frequency and small frequency steps

Key Variables

Nominal divide ratio:

$$N_{\text{nom}} = (N_{\text{int}} + X/M)$$

Instantaneous divide ratio:

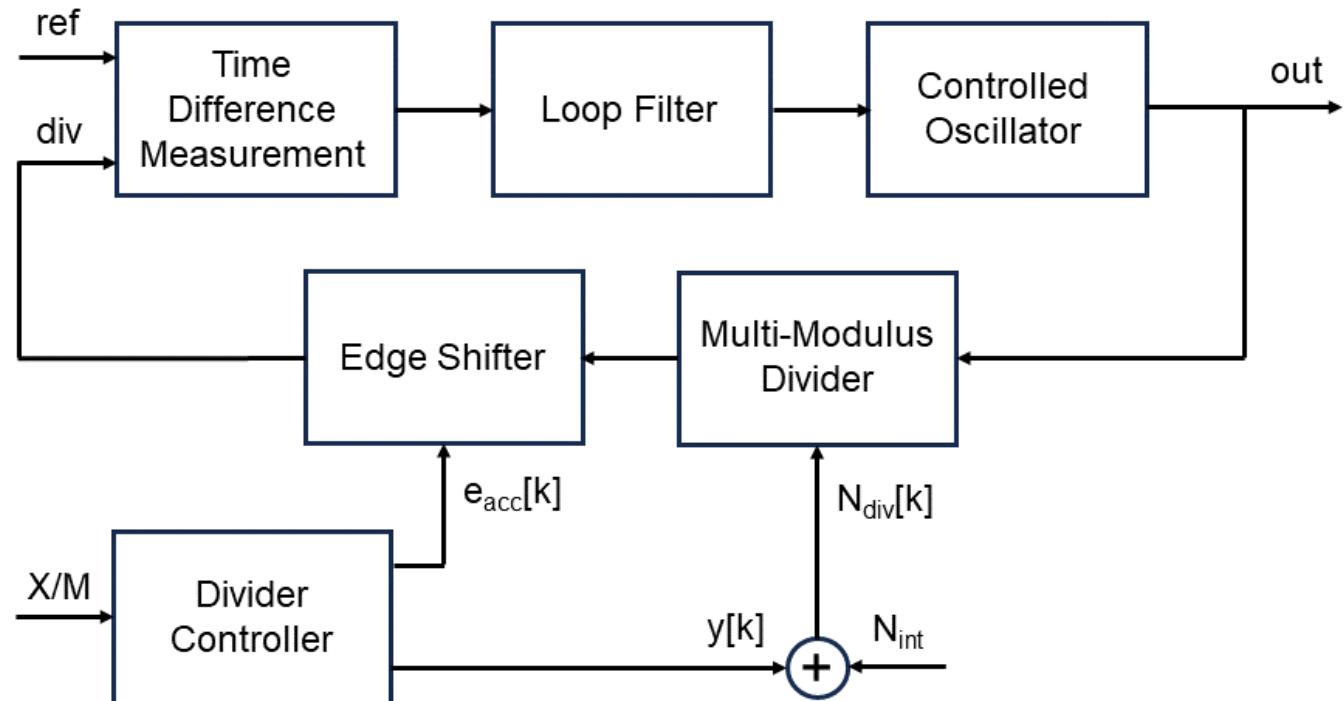
$$N_{\text{div}}[k] = (N_{\text{int}} + y[k])$$

Divider quantization error:

$$\begin{aligned} e[k] &= N_{\text{div}}[k] - N_{\text{nom}} \\ &= y[k] - X/M \end{aligned}$$

Accumulated quantization error
 (proportional to phase/time difference):

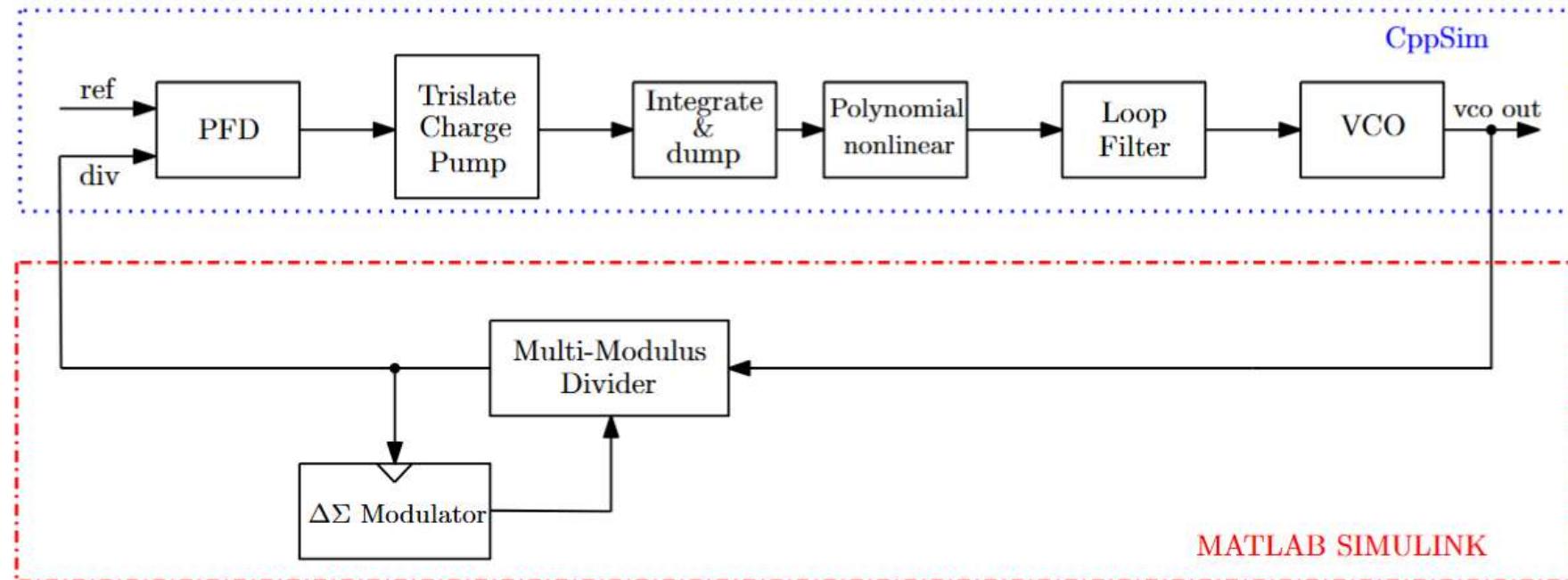
$$e_{\text{acc}}[k] = \sum_{i=0}^k e[i]$$



$$f_{\text{out}} = (N_{\text{int}} + X/M)f_{\text{ref}}$$

CP-PLL Model

- CppSim
- Simulink



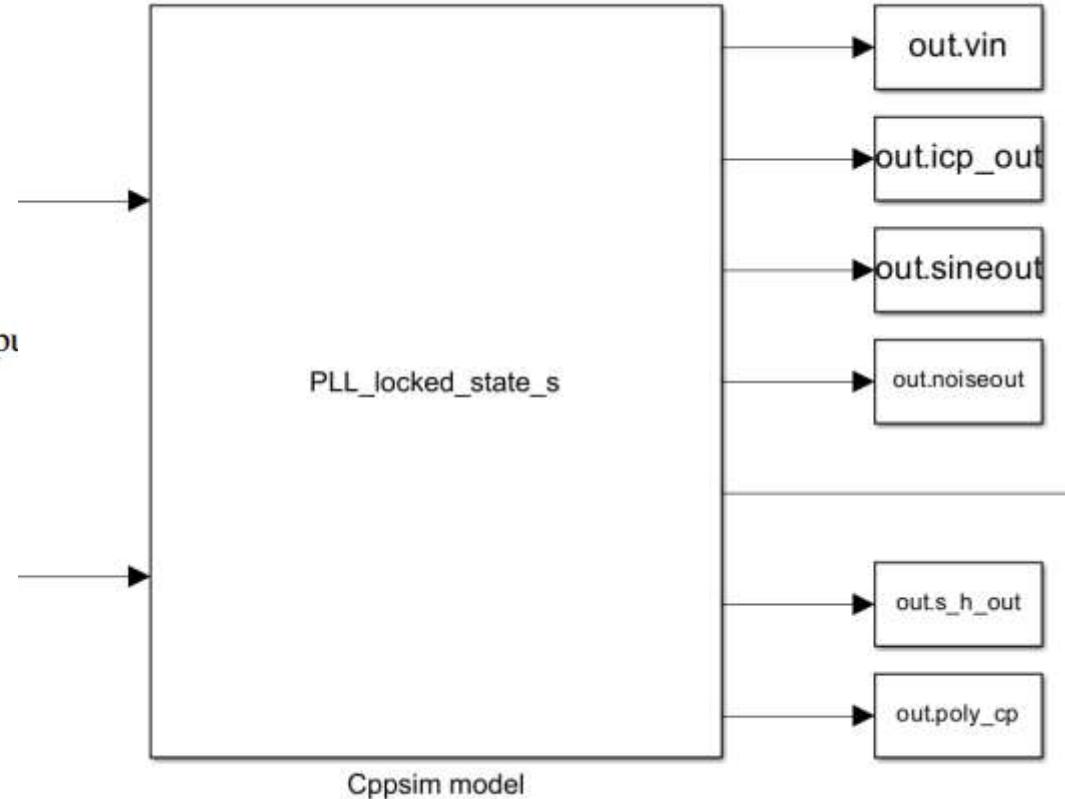
S-function from CppSim

The input ports:

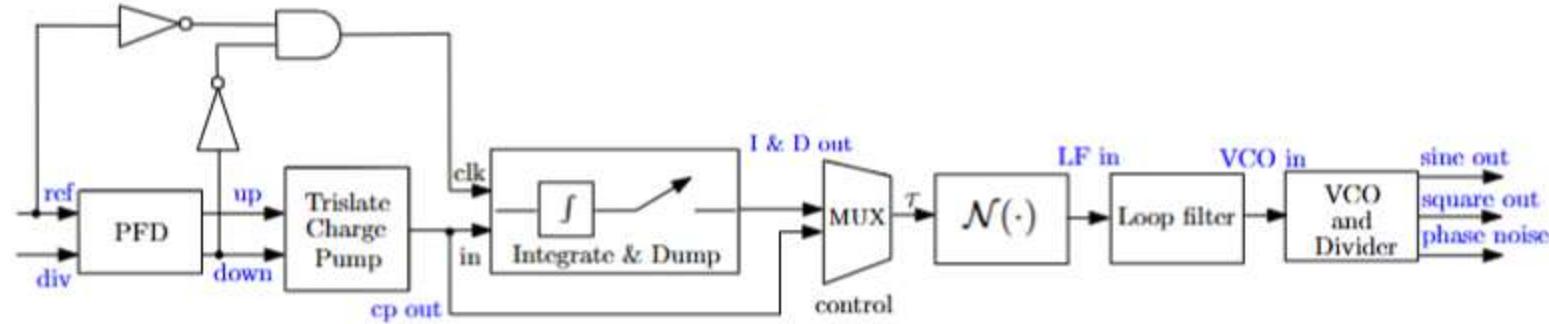
- 1) charge pump offset
- 2) integer divide value

The output ports:

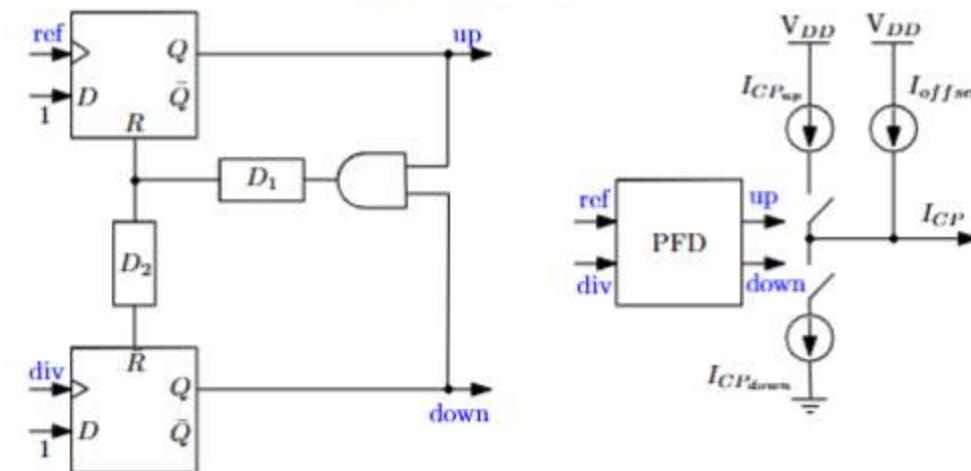
- 1) VCO input
- 2) charge pump output
- 3) VCO and divider output (sine signal)
- 4) PLL phase noise output
- 5) VCO and divider output (square signal, the *div* signal as the PFD input)
- 6) Integrate & dump output
- 7) loop filter input



Model details



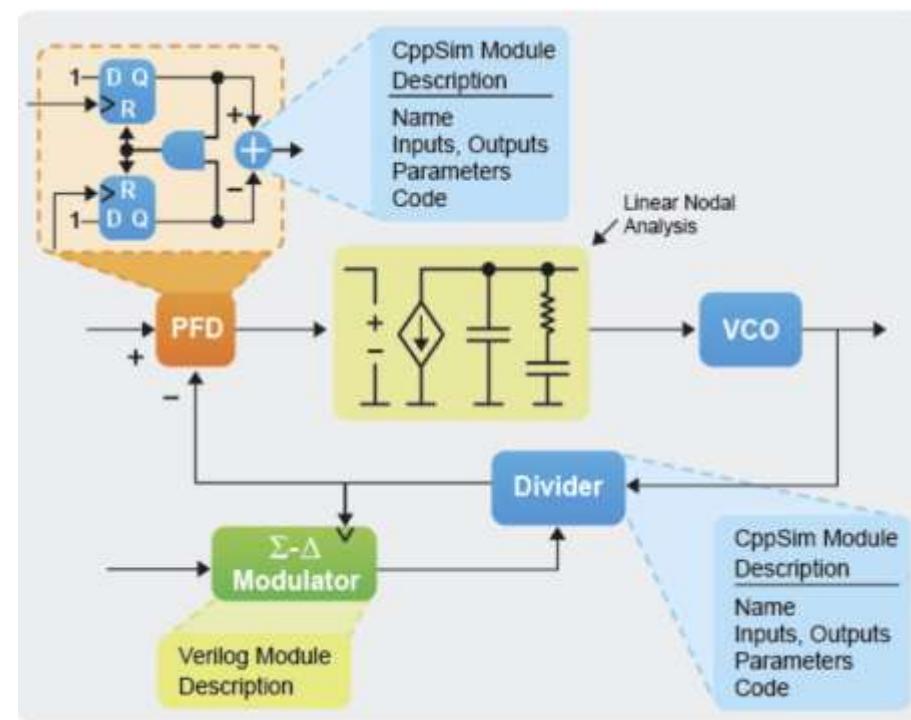
(a) CppSim circuits



CppSim SUE 2

CppSim SUE2

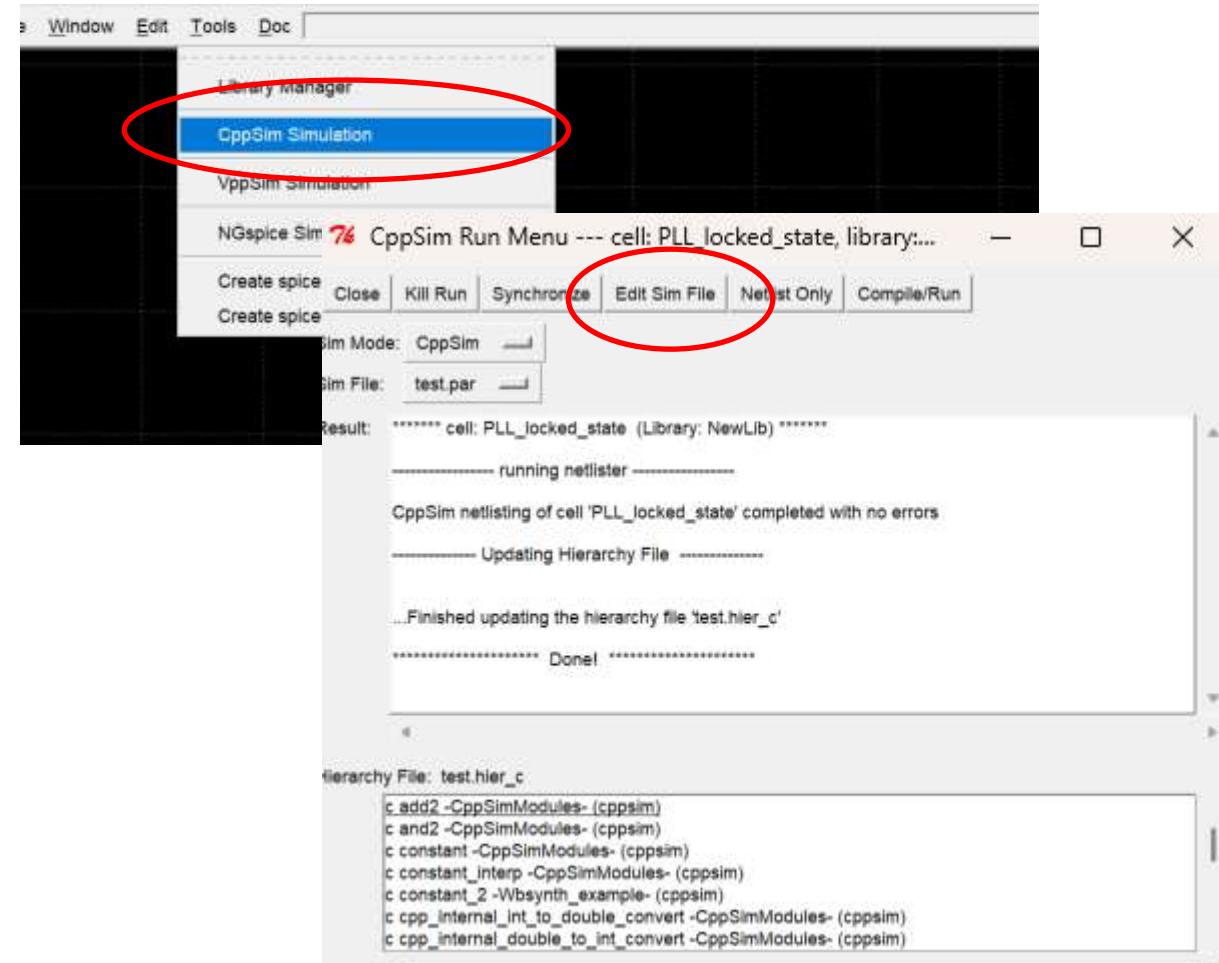
- Graphic interface for construction of PLL using elements from built-in libraries
- CppSim tutorial is available: <https://www.cppsim.com/manuals.html>



Extracting Simulink S-function Model

Extracting Simulink S-function

- 'CppSim Simulation' under 'Tool' opens an interface
- Select 'Edit Sim File' to open the simulation file for the model to be exported



Extracting Simulink S-function

```

C:\CppSim\SimRuns\NewLib\PLL_locked_state\test.par
File Edit Options Buffers Tools Help
num_sim_steps: 10e6

// Time step of simulator (in seconds)
// Example: Ts: 1/10e9
Ts: 1/400e6

// Output File name
// Example: name below produced test.tr0, test.tr1, ...
// Note: you can decimate, start saving at a given time offset, etc.
// -> See pages 34-35 of CppSim manual (i.e., output: section)
output: test

// Nodes to be included in Output File
// Example: probe: m0 n1 x112.n3 x114.x112.m0
probe: icp_out vin out simeout noiseout s_h_out poly_out

///////////////////////////////
// Note: Items below can be kept unaltered if desired
/////////////////////////////

// Numerical integration method for electrical schematics
// 1.0: Backward Euler (default)
// 0.0: Trap (more accurate, but prone to ringing)
electrical_integration_damping_factor: 1.0

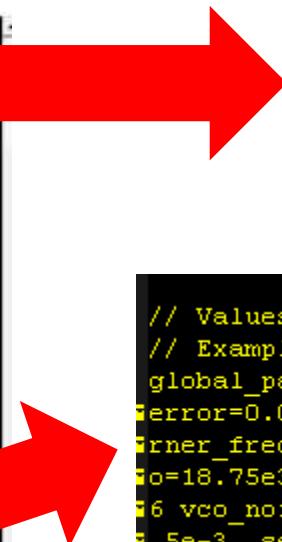
// Values for global nodes used in schematic
// Example: global_nodes: gnd=0.0 avdd=1.5 dvdd=1.5
global_nodes:

// Values for global parameters used in schematic
// Example: global_params: in_g1=92.1 delta_g1=0.0 step_time_g1=100e3*Ts
global_params: pfd_freq=20e6 pfd_reset_delay=0 pfd_down_xdelay=0 icp_errordr=0.0 icp_thermal_noise_up=1e-12 icp_thermal_noise_down=1e-12 icp_flicker_corner_freq_sq_up=1e3 icp_flicker_corner_freq_down=1e3 s_and_h_en=1 fpole1=663e3 fzero=18.75e3 fgain=229.14e6 fpole2=300e3 vco_fc=886.6e6 vco_kv=10e6 vco_foffset=20e2 foffset=-500 a0=0 a1=1 a2=0 a3=0 a4=0 a5=0 a6=0 a7=0 pre_gain=1/1.5e-3 settle_sample = 1e6

// Rerun simulation with different global parameter values
// Example: alter: in_g1 = 90.2:98
// See pages 37-38 of CppSim manual (i.e., alter: section)
// alter:

simulink_prototype: [vin,icp_out,simeout,noiseout,out,s_h_out,poly_out]=PLL_locked_s0
state(Ts,icp_offset,dv_val,pfd_freq, pfd_reset_delay, pfd_down_xdelay, icp, icp_errordr, icp_thermal_noise_up,icp_thermal_noise_down, icp_flicker_corner_freq_up, icp_flicker_corner_freq_down, s_and_h_en,fpole1,fzero,fgain,fpole2, vco_fc, vco_kv, vco_foffset, vco_kv_offset,a0, a1, a2, a3, a4, a5, a6, a7, pre_gain, settle_sample)

```



```

// Number of simulation time steps
// Example: num_sim_steps: 10e3
num_sim_steps: 10e6

```

```

// Time step of simulator (in seconds)
// Example: Ts: 1/10e9
Ts: 1/400e6

```

```

// Values for global parameters used in schematic
// Example: global_param: in_g1=92.1 delta_g1=0.0 step_time_g1=100e3*Ts
global_param: pfd_freq=20e6 pfd_reset_delay=0 pfd_down_xdelay=0 icp=1.5e-3 icp_error=0.0 icp_thermal_noise_up=1e-12 icp_thermal_noise_down=1e-12 icp_flicker_corner_freq_sq_up=1e3 icp_flicker_corner_freq_down=1e3 s_and_h_en=1 fpole1=663e3 fzero=18.75e3 fgain=229.14e6 fpole2=300e3 vco_fc=886.6e6 vco_kv=10e6 vco_foffset=20e2 foffset=-500 a0=0 a1=1 a2=0 a3=0 a4=0 a5=0 a6=0 a7=0 pre_gain=1/1.5e-3 settle_sample = 1e6

```

- Given default Ts and sampling numbers; actual values are given in MATLAB Simulink
- Parameters are given specific values in the sim file: values are dummy; actual values are given in MATLAB Simulink simulation

Extracting Simulink S-function



```

C:\CppSim\SimRuns\NewLib\PLL_locked_state\test.par
File Edit Options Buffers Tools Help
num_sim_steps: 10e6

// Time step of simulator (in seconds)
// Example: Ts: 1/10e9
Ts: 1/400e6

// Output File name
// Example: name below produces test.tr0, test.tcl, ...
// Note: you can decimate, start saving at a given time offset, etc.
// See pages 37-38 of CppSim manual (i.e., output section)
output: test

// Nodes to be included in Output File
// Example: probe: n0 n1 xi12.n3 xi14.xi12.n0
probe: icp_out vin out sineout noiseout s_h_out poly_out

////// Note: Items below can be kept unaltered if desired

// Numerical integration method for electrical schematics
// I.G: Backward Euler (default)
// O.G: Trap (more accurate, but prone to ringing)
electrical_integration_damping_factor: 1.0

// Values for global nodes used in schematic
// Example: global_nodes: gnd=0.0 avdd=1.5 dvdd=1.5
global_nodes:

// Values for global parameters used in schematic
// Example: global_params: in_g1=92.1 delta_g1=100e3*Ts
global_params: pfd_freq=30e6 pfd_reset_delay=0 pfd_down_xdelay=0 icp1.5e-3 icp_error
sr=0.0 icp_thermal_noise_up=1e-12 icp_thermal_noise_down=1e-12 icp_flicker_corner_freq_
up=1e3 icp_flicker_corner_freq_down=1e3 s_and_h_en=1 fpole1,fzero,fgain, fpole2, vco_fc,_
s_vco_kv, vco_foffset, vco_noise_at_foffset,a0, a1, a2, a3, a4, a5, a6, a7, pre_gain, settle_sample

```

`simulink_prototype: [vin,icp_out,sineout,noiseout,out,s_h_out,poly_out]=PLL_lock&`

`sed_state(Ts,icp_offset,div_val,pfd_freq, pfd_reset_delay, pfd_down_xdelay, icp, icp_error, icp_thermal_noise_up,icp_thermal_noise_down, icp_flicker_corner_freq_up, icp_flicker_corner_freq_down, s_and_h_en,fpole1,fzero,fgain, fpole2, vco_fc, s_vco_kv, vco_foffset, vco_noise_at_foffset,a0, a1, a2, a3, a4, a5, a6, a7, pre_gain, settle_sample)`

```

// Nodes to be included in Output File
// Example: probe: n0 n1 xi12.n3 xi14.xi12.n0
probe: icp_out vin out sineout noiseout s_h_out poly_out

```

- Define the probe for the output in MATLAB Simulink

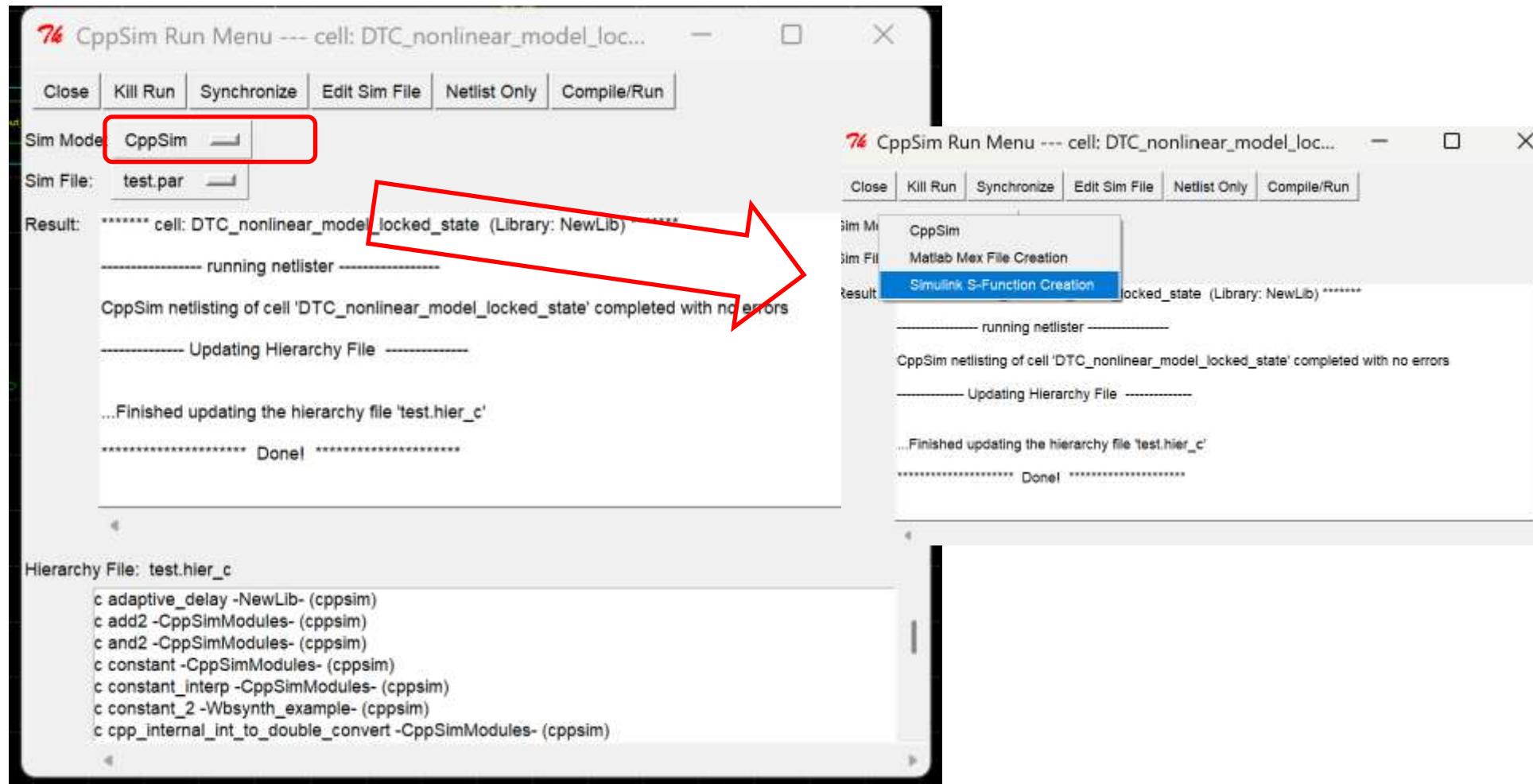
```

simulink_prototype: [vin,icp_out,sineout,noiseout,out,s_h_out,poly_out]=PLL_lock&
sed_state(Ts,icp_offset,div_val,pfd_freq, pfd_reset_delay, pfd_down_xdelay, icp, icp_error, icp_thermal_noise_up,icp_thermal_noise_down, icp_flicker_corner_freq_up, icp_flicker_corner_freq_down, s_and_h_en,fpole1,fzero,fgain, fpole2, vco_fc, s_vco_kv, vco_foffset, vco_noise_at_foffset,a0, a1, a2, a3, a4, a5, a6, a7, pre_gain, settle_sample)

```

- Syntax '**simulink_prototype:[out1,out2,...]=func_name(in1,in2,...,param1,param2,...)**'
- Notice that sampling period '**Ts**' has to be included in parameters, just make it the first
- List as shown in the screenshot; However, number of simulation steps is not required here
- All parameters listed should be included
- Input from Simulink, e.g., **cp_offset**, divider controller output, should be listed (**icp_offset** and **div_val**)

Extracting Simulink S-function



Extracting Simulink S-function



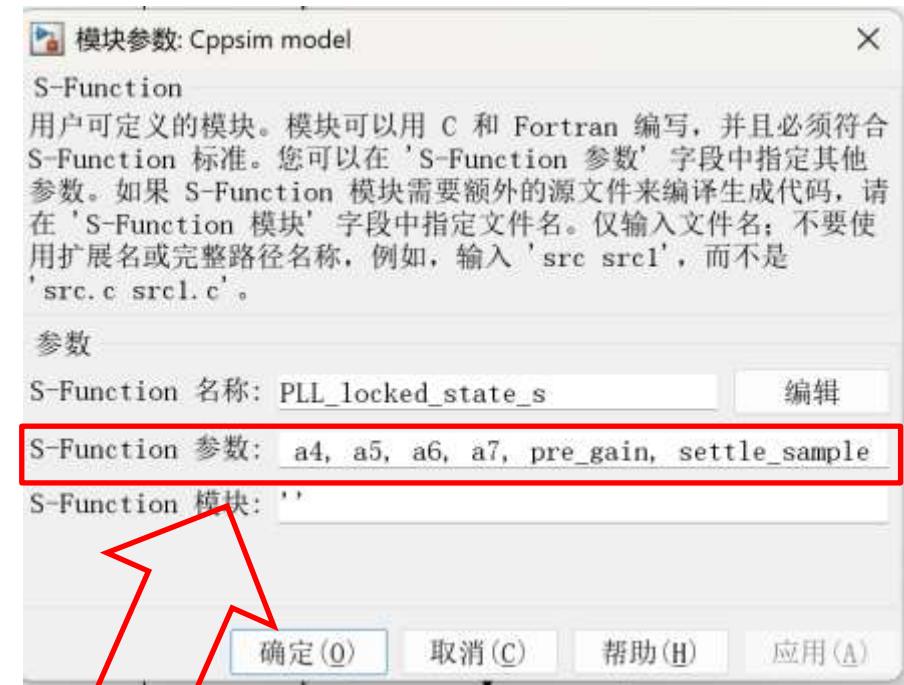
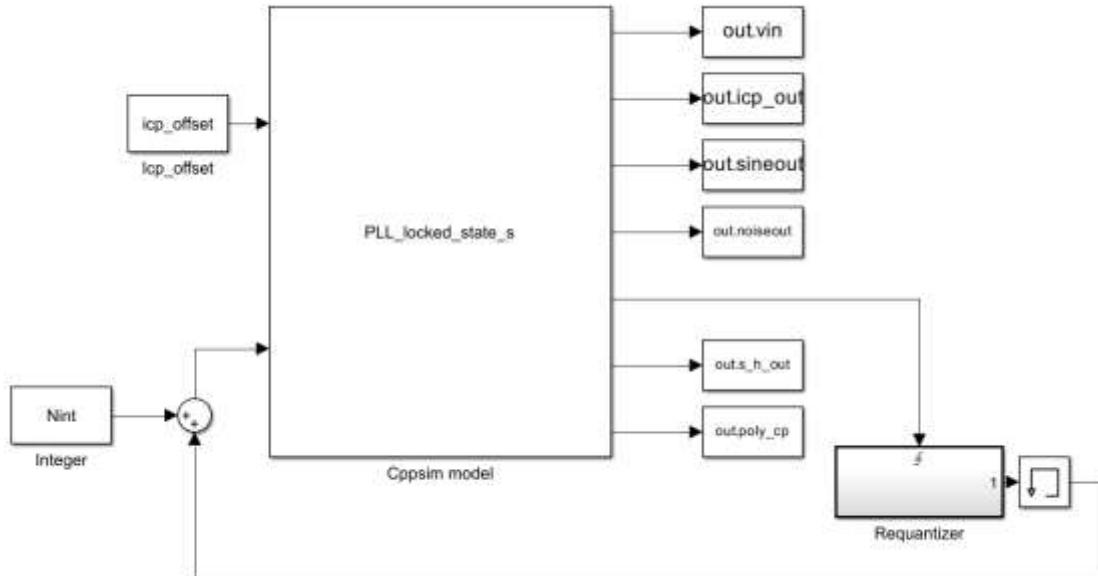
- If extraction is successful, a series of files will be generated in 'C:\CppSim\SimRuns*Libraryname**Modelname*\Matlab'
- 'compile_*Modelname*_s.m' is the MATLAB script for compilation of the model from C++
- '*Modelname*_s.txt' contains parameters, input and output information

Extracting Simulink S-function



- To run the MATLAB script, C++ compiler for MATLAB should be installed, e.g., mingw64
- Successful compilation gives a '.mexw64' file

Extracting Simulink S-function



```

--- Simulink Block 'PLL_locked_state_s' ---
Parameters: Ts, pfd_freq, pfd_reset_delay, pfd_down_xdelay, icp, icp_error, icp_thermal_noise_up, icp_thermal_noise_down, icp_flicker_corner_freq_up,
icp_flicker_corner_freq_down, s_and_h_en, fpole1, fzero, fgain, fpole2, vco_fc, vco_kv, vco_offset, vco_noise_at_offset, a0, a1, a2, a3, a4, a5, a6, a7,
pre_gain, settle_sample
Inputs: icp_offset, div_val
Outputs: vin, icp_out, sineout, noiseout, out, s_h_out, poly_out
  
```

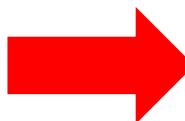
MATLAB Code

PLL basic setting

```

%% Simulation Setup
% Selection of Ref Noise Source: 1:Ref injection, 2: CP injection

pfd_freq = 20e6; %reference frequency
Tpfd = 1/pfd_freq;
ival = 1.5e-3;
pre_gain = 1/ival;
a0 = 0; %PFD/CP polynomial nonlinearity
a1 = 1;
a2 = 0.01;
a3 = 0.00;
a4 = 0.0;
a5 = 0.01;
a6 = 0;
a7 = 0.0;
fzero = 18.75e3; %Loop Filter
fpole1 = 663e3;
fpole2 = 300e3;
fgain = 229.14e6;
vco_kv = 10e6; %VCO gain
icp = ival; %Charge pump gain
alpha = 1; % 1: Tristate, 2: XOR-based
vco_fc = 886.6e6;
Nint = 45; %Initger part of N
inp = 1023;
M = 1024^2;
Nnom = Nint + inp/M; % Nominal division ratio
  
```



PFD/CP polynomial nonlinearity
 $y = a_0 + a_1x + a_2x^2 + a_3x^3 \dots$

Noise and Divider controller

```
% CP setup
icp_offset = 0;%-icp*((pfd_reset_delay+Ts)/Tpfd*e-pfd_down_xdelay/Tpfd*(1-e/2));%-1.2e-6

% Reference noise level
ref_noise = -500;%-150%
%%% CP injection
ref_noise_input      = -500;
icp_thermal_noise_up = (alpha*icp)/(2*pi)*sqrt(10^(ref_noise/10)*2*50/4);
icp_thermal_noise_down = (alpha*icp)/(2*pi)*sqrt(10^(ref_noise/10)*2*50/4);
icp_flicker_corner_freq_up = 1e3;
icp_flicker_corner_freq_down = 1e3;

% VCO setup
vco_foffset        = 1e6;
vco_noise_at_foffset = -145;%-125%-295%

%% Divider controller setup
name_list = {'MASH11'};
if strcmp(name_list,'MASH11')
    Divider_Controller = 2;
elseif strcmp(name_list,'MASH111')
    Divider_Controller = 1;
else
    Divider_Controller = 0; % Integer
end

% LSB dither switch
LSB_Dither = 1;
```

Noise setting including reference noise, CP noise, and VCO noise

MASH 1-1 and MASH 1-1-1 can be used

Model Running

```
%% Simulink Simulation
options      = simset('RelTol', 0, 'MaxStep', Ts);
% sim_closed_loop = sim('Simulink_poly_nonlinearity_pll_three_order_LFP_1.slx', Tstop, options); %CppSim model with ref
sim_closed_loop = sim('Polynomial_model_for_locked_state_1.slx', Tstop, options); %CppSim model with ref noise source

% Get values

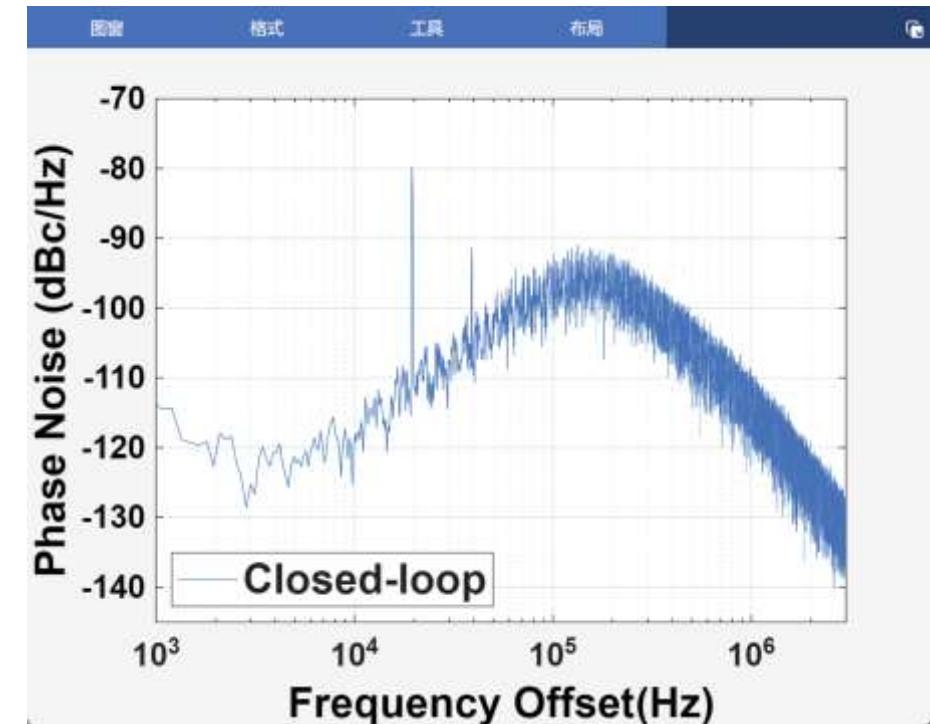
% load('./Data/Close_loop_MASH_111_linear');
noiseout = sim_closed_loop.noiseout;
sineout  = sim_closed_loop.sineout;
vin      = sim_closed_loop.vin;
icp_out  = sim_closed_loop.icp_out;
```

Output Phase Noise

- The noise output of 'vco_and_divider_with_noise' block is frequency error of the output signal. Note that this is not phase noise
- In order to find the phase noise, the output noise should be accumulated:

```
% Phase noise calculation
Kv = 1; % VCO gain (Hz/V) - this is one for noiseout from CppSim
phase_noise = filter(2*pi*Kv/Fs,[1 -1],noise); %running sum of noise
```

- The original MATLAB script can be found in 'C:\CppSim\CppSimShared\MatlabCode\plot_pll_phasenoise'
- Used to generate power spectral density of the phase noise



Open Access

The code and model is open access on Github

https://github.com/NKdeNY1996/Fractional_N_PLL_CppSim

Please ensure that our work is properly cited and used strictly for research and verification purposes.

Don't forget give us a star on GitHub if you like our work.

Cite This: H. Shen and M. P. Kennedy, "Enhanced CppSim-Based Behavioral Simulator for Predicting Noise Floor and Spurs in Fractional-N Frequency Synthesizers," 2025 IEEE International Symposium on Circuits and Systems (ISCAS), London, United Kingdom, 2025, pp. 1-5, doi: 10.1109/ISCAS56072.2025.11043584