

DS Written Homework 1

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March 19, 2025

Problem 1

Let $f(n), T(n)$ be a real function and $\phi(n)$ be a positive function.

(a) Since $\lim_{n \rightarrow \infty} f(n)$ exists, there exists $c \in \mathbb{R}$ such that

$$\lim_{n \rightarrow \infty} f(n) = c$$

If we want $\limsup_{n \rightarrow \infty} f(n) = c$, we need to show that for each $\epsilon > 0$, there exists $N_\epsilon \in \mathbb{N}$ such that

$$\left| c - \sup_{k \geq n} x_k \right| < \epsilon \text{ whenever } n \geq N_\epsilon$$

By the definition of \lim , we have the following : for each $\epsilon > 0$, there exists $N_\epsilon \in \mathbb{N}$ such that

$$|c - x_n| < \epsilon \text{ whenever } n \geq N_\epsilon$$

Note that by the definition of \sup , for each $\epsilon > 0$, there exists $p_\epsilon \geq n$ such that

$$\sup_{k \geq n} x_k - \epsilon < x_{p_\epsilon}$$

Now if we choose $\epsilon_0 = \frac{\epsilon}{3} > 0$, there exists $N_{\epsilon_0}, p_{\epsilon_0} \in \mathbb{N}$ with $p_{\epsilon_0} \geq N_{\epsilon_0}$ such that

$$|c - x_n| < \epsilon_0 \text{ whenever } n \geq N_{\epsilon_0}$$

$$|x_{p_{\epsilon_0}} - x_n| < \epsilon_0 \text{ whenever } n \geq N_{\epsilon_0}$$

$$\left| \sup_{k \geq n} x_k - x_{p_{\epsilon_0}} \right| < \epsilon_0$$

Then we have

$$\left| c - \sup_{k \geq n} x_k \right| \leq |c - x_n| + |x_n - x_{p_{\epsilon_0}}| + \left| \sup_{k \geq n} x_k - x_{p_{\epsilon_0}} \right| < \epsilon$$

whenever $n \geq N_{\epsilon_0}$, which means $\limsup_{n \rightarrow \infty} f(n) = c$.

Now prove $\liminf_{n \rightarrow \infty} f(n) = c$, we need to show that for each $\epsilon > 0$, there exists $N_\epsilon \in \mathbb{N}$ such that

$$\left| c - \inf_{k \geq n} x_k \right| < \epsilon \text{ whenever } n \geq N_\epsilon$$

Note that by the definition of \inf , for each $\epsilon > 0$, there exists $p_\epsilon \geq n$ such that

$$\inf_{k \geq n} x_k + \epsilon > x_{p_\epsilon}$$

Similarly, if we choose $\epsilon_0 = \frac{\epsilon}{3} > 0$, there exists $N_{\epsilon_0}, p_{\epsilon_0} \in \mathbb{N}$ with $p_{\epsilon_0} \geq N_{\epsilon_0}$ such that

$$\begin{aligned} |c - x_n| &< \epsilon_0 \text{ whenever } n \geq N_{\epsilon_0} \\ |x_{p_{\epsilon_0}} - x_n| &< \epsilon_0 \text{ whenever } n \geq N_{\epsilon_0} \\ \left| \inf_{k \geq n} x_k - x_{p_{\epsilon_0}} \right| &< \epsilon_0 \end{aligned}$$

Then we have

$$\left| c - \inf_{k \geq n} x_k \right| \leq |c - x_n| + |x_n - x_{p_{\epsilon_0}}| + \left| \inf_{k \geq n} x_k - x_{p_{\epsilon_0}} \right| < \epsilon$$

whenever $n \geq N_{\epsilon_0}$, which means $\liminf_{n \rightarrow \infty} f(n) = c$. Hence, we have the following result

$$\lim_{n \rightarrow \infty} f(n) = \limsup_{n \rightarrow \infty} f(n) = \liminf_{n \rightarrow \infty} f(n) = c$$

- (b) $T(n) \in \Theta(\phi(n))$ gives us both $T(n) \in O(\phi(n))$ and $T(n) \in \Omega(\phi(n))$, by the theorem taught in class, we have the following observations

$$\limsup_{n \rightarrow \infty} \frac{|T(n)|}{\phi(n)} < \infty, \liminf_{n \rightarrow \infty} \frac{|T(n)|}{\phi(n)} > 0$$

By the result given by (a), we have

$$\limsup_{n \rightarrow \infty} \frac{|T(n)|}{\phi(n)} = \liminf_{n \rightarrow \infty} \frac{|T(n)|}{\phi(n)} = \lim_{n \rightarrow \infty} \frac{|T(n)|}{\phi(n)}$$

Then we have

$$0 < \lim_{n \rightarrow \infty} \frac{|T(n)|}{\phi(n)} < \infty$$

- (c) Since $\lim_{n \rightarrow \infty} \frac{|T(n)|}{\phi(n)}$ exists, by the result given by (a), we have

$$\limsup_{n \rightarrow \infty} \frac{|T(n)|}{\phi(n)} = \liminf_{n \rightarrow \infty} \frac{|T(n)|}{\phi(n)} = \lim_{n \rightarrow \infty} \frac{|T(n)|}{\phi(n)}$$

Then we have

$$0 < \lim_{n \rightarrow \infty} \frac{|T(n)|}{\phi(n)} = \limsup_{n \rightarrow \infty} \frac{|T(n)|}{\phi(n)} = \liminf_{n \rightarrow \infty} \frac{|T(n)|}{\phi(n)} < \infty$$

By the theorem taught in class, we have $T(n) \in O(\phi(n))$ and $T(n) \in \Omega(\phi(n))$. Hence $T(n) \in \Theta(\phi(n))$.

- (d) Consider the following condition

$$T(n) = \begin{cases} 2\phi(n), & \text{if } n \text{ is even} \\ 1\phi(n), & \text{if } n \text{ is odd} \end{cases} \quad (1)$$

Then we have $T(n) \in \Theta(\phi(n))$, but the limit $\lim_{n \rightarrow \infty} \frac{|T(n)|}{\phi(n)}$ does not exist. This is the counterexample.

Problem 2

Assume $f(n), g(n), h(n)$ are all positive function.

- (a) $f(n) \in O(g(n))$ and $g(n) \in O(h(n))$ gives us $f(n) \leq c_1 g(n), g(n) \leq c_2 h(n)$. Hence we have

$$f(n) \leq c_1 g(n) \leq c_1 c_2 h(n) \leq c h(n)$$

This gives us $f(n) \in O(h(n))$

- (b) $f(n) \in O(g(n))$ means $f(n) \leq c_1 g(n)$. Since $f(n), g(n)$ are positive, we have c_1 also be positive. Hence we have

$$g(n) \geq \frac{1}{c_1} f(n)$$

This gives us $g(n) \in \Omega(f(n))$

- (c) $f(n) \in \omega(g(n))$ means for all constants $c > 0$, there exists an n_0 such that $f(n) > c g(n)$ when $n \geq n_0$.

Then we have for all constants $c > 0$, there exists an n_0 such that $g(n) < \frac{1}{c} f(n)$ when $n \geq n_0$. This gives us $g(n) \in o(f(n))$.

- (d) Consider the following $g(n)$

$$g(n) = \begin{cases} n f(n), & \text{if } n \text{ is even} \\ \frac{f(n)}{n}, & \text{otherwise} \end{cases} \quad (2)$$

By the definition of big-O notations, if either $f(n) \in O(g(n))$ or $g(n) \in O(f(n))$, we need to find a constant $c > 0$ and N such that either $f(n) \leq c g(n)$ or $g(n) \leq c f(n)$ must be satisfied. In this case, we cannot find any constant $c > 0$ which is independent of n to satisfy the inequations.

Hence, "Either $f(n) \in O(g(n))$ or $g(n) \in O(f(n))$ is true." is false.

Problem 3

- (a) Here we use limit supremum to prove.

$$\limsup_{n \rightarrow \infty} \frac{2^{2n}}{2^{2n+1024}} = \limsup_{n \rightarrow \infty} \frac{1}{2^{1024}} = \frac{1}{2^{1024}} < \infty$$

Hence $2^{2n} \in O(2^{2n+1024})$

- (b) Note that $\log_{1024} n^2 = 2 \log_{1024} n = 2 \frac{\log n}{\log 1024}, \log_2 n^{1024} = 1024 \log_2 n = 1024 \frac{\log n}{\log 2}$
Then we have

$$\limsup_{n \rightarrow \infty} \frac{\log_{1024} n^2}{\log_2 n^{1024}} = \limsup_{n \rightarrow \infty} \frac{\frac{2 \log n}{\log 1024}}{\frac{1024 \log n}{\log 2}} = \limsup_{n \rightarrow \infty} \frac{2 \log 2}{1024 \log 1024} = \frac{1}{5120} \neq 0$$

Hence $\log_{1024} n^2 \notin o(\log_2 n^{1024})$

- (c) From the Stirling's approximation, we have $n! \sim \sqrt{2\pi n} \left(\frac{n}{e}\right)^n$ when n is large enough. Then we get

$$\log(n!) \sim \log\left(\sqrt{2\pi n} \left(\frac{n}{e}\right)^n\right) = n(\log n - \log e) + 0.5(\log n + \log 2\pi)$$

$$\frac{\log(n!)}{n \log n} = 1 - \frac{\log e}{\log n} + \frac{1}{2n} + \frac{\log 2\pi}{2n \log n}$$

Hence, we have $\lim_{n \rightarrow \infty} \frac{\log(n!)}{n \log n} = 1$. By the result of 1.(c), we have

$$\log(n!) \in \Theta(n \log n)$$

- (d) $x^{2.5} = \lfloor x^{2.5} \rfloor + \{x^{2.5}\}$, where $\{x^{2.5}\}$ is the fraction part of $x^{2.5}$. Then we have

$$\lim_{x \rightarrow \infty} \frac{\lfloor x^{2.5} \rfloor}{x^{2.5}} = \lim_{x \rightarrow \infty} \frac{x^{2.5} - \{x^{2.5}\}}{x^{2.5}} = 1$$

By the result of 1.(c), we have $\lfloor x^{2.5} \rfloor \in \Theta(x^{2.5}) \Rightarrow \lfloor x^{2.5} \rfloor \in \Omega(x^{2.5})$

- (e) $\left\lceil \frac{x}{2} \right\rceil = \frac{x}{2} + 1 - \left\{ \frac{x}{2} \right\}$, where $\left\{ \frac{x}{2} \right\}$ is the fraction part of $\frac{x}{2}$.

$$\frac{x \left\lceil \frac{x}{2} \right\rceil}{x^2} = \frac{x(\frac{x}{2} + 1 - \left\{ \frac{x}{2} \right\})}{x^2} = \frac{1}{2} + \frac{1}{x} - \frac{\left\{ \frac{x}{2} \right\}}{x}$$

Then we have

$$x \left\lceil \frac{x}{2} \right\rceil = 2x^2 + \left(1 - \left\{ \frac{x}{2} \right\}\right) x$$

When $x > 1$, we know that $x < x^2$, hence the $(1 - \left\{ \frac{x}{2} \right\}) x$ part has the upper-bound x^2 . So that we finally get the result

$$x \left\lceil \frac{x}{2} \right\rceil \leq 3x^2$$

for $x > 1$. This gives us $x \left\lceil \frac{x}{2} \right\rceil \in O(x^2)$

Problem 4

$$\begin{aligned} f_{10}(n) &\succ f_9(n) \succ f_4(n) \succ f_{12}(n) \succ f_2(n) \succ f_3(n) \\ f_3(n) &\sim f_{13}(n) \succ f_1(n) \succ f_5(n) \succ f_{11}(n) \succ f_7(n) \succ f_6(n) \end{aligned}$$

$f_{10} \succ f_9$:

$$\lim_{n \rightarrow \infty} \frac{\log \log n}{10^{10}} = \infty$$

$f_9 \succ f_4$:

$$\lim_{n \rightarrow \infty} \frac{\sqrt{\log n}}{\log \log n} = \lim_{n \rightarrow \infty} \frac{\frac{1}{2x\sqrt{\log x}}}{\frac{1}{x \log x}} = \lim_{n \rightarrow \infty} \frac{\sqrt{\log x}}{2} = \infty$$

$$f_4 \succ f_{12}:$$

$$\lim_{n \rightarrow \infty} \frac{(\log n)^{1.5}}{\sqrt{\log n}} = \lim_{n \rightarrow \infty} \log n = \infty$$

$$f_{12} \succ f_2:$$

$$10^{\log n^2} = (e^{\log 10})^{2 \log n} = (e^{\log n})^{2 \log 10} = n^{2 \log 10}$$

$$\lim_{n \rightarrow \infty} \frac{n^{2 \log 10}}{(\log n)^{1.5}} = \lim_{n \rightarrow \infty} \frac{(2 \log 10)n^{(2 \log 10)-1}}{\frac{1.5(\log n)^{0.5}}{n}} = \lim_{n \rightarrow \infty} \frac{(2 \log 10)n^{2 \log 10}}{1.5(\log n)^{0.5}} = \infty$$

$$f_2 \succ f_3:$$

$$\lim_{n \rightarrow \infty} \frac{n^6}{n^{2 \log 10}} = \infty \text{ since } 6 > 2 \log 10$$

$$f_3 \sim f_{13}:$$

$$\sum_{k=1}^n k^5 = \frac{1}{12}n^2(n+1)^2(2n^2+2n-1) = \frac{1}{6}n^6 + \frac{1}{2}n^5 + \frac{5}{12}n^4 - \frac{1}{12}n^2$$

$$\lim_{n \rightarrow \infty} \frac{\sum_{k=1}^n k^5}{n^6} = \frac{1}{6}$$

$$f_{13} \succ f_8: \text{ Suppose } d \text{ is a constant, we have}$$

$$d \log n < (\log \log n)(\log n) \Rightarrow n^d < n^{\log \log n}$$

$$\lim_{n \rightarrow \infty} \frac{n^{\log \log n}}{\sum_{k=1}^n k^5} = \lim_{n \rightarrow \infty} \frac{n^{\log \log n}}{\frac{1}{6}n^5 + \frac{1}{2}n^4 + \frac{5}{12}n^3 - \frac{1}{12}n} = \infty$$

$$f_8 \succ f_1:$$

$$(\log \log n)(\log n) < \log n \log n < n < n \log \frac{3}{2} \Rightarrow n^{\log \log n} < \left(\frac{3}{2}\right)^n$$

$$\lim_{n \rightarrow \infty} \frac{n\left(\frac{3}{2}\right)^n}{n^{\log \log n}} = \infty$$

$$f_1 \succ f_5:$$

$$\lim_{n \rightarrow \infty} \frac{3^n}{n\left(\frac{3}{2}\right)^n} = \lim_{n \rightarrow \infty} \frac{2^n}{n} = \infty$$

$$f_5 \succ f_{11}$$

$$\lim_{n \rightarrow \infty} \frac{n!}{3^n} = \lim_{n \rightarrow \infty} \frac{\sqrt{2\pi n} \left(\frac{n}{e}\right)^n}{3^n} = \lim_{n \rightarrow \infty} \sqrt{2\pi n} \left(\frac{n}{3e}\right)^n = \infty$$

$$f_{11} \succ f_7$$

$$\lim_{n \rightarrow \infty} \frac{n^n}{n!} = \lim_{n \rightarrow \infty} \frac{n^n}{\sqrt{2\pi n} \left(\frac{n}{e}\right)^n} = \lim_{n \rightarrow \infty} \frac{e^n}{\sqrt{2\pi n}} = \infty$$

$$f_7 \succ f_6$$

$$6^n \log 6 > n \log n \Rightarrow 6^{6^n} > n^n$$

Problem 5

- (a) Suppose c_1, c_2 are two constants such that $f(n) \leq c_1 h(n), g(n) \leq c_2 h(n)$, then we have

$$f(n) + g(n) \leq (c_1 + c_2)h(n) = ch(n)$$

Hence, $f(n) + g(n) \in O(h(n))$

- (b) Since f, g are positive, we have $|f(n) - g(n)| \leq \max(f(n), g(n))$. Then either $|f(n) - g(n)| \in O(f(n))$ or $|f(n) - g(n)| \in O(g(n))$ would be satisfied. This results that $|f(n) - g(n)| \in O(h(n))$ because $f(n), g(n) \in O(h(n))$
- (c) Since f, g are positive, suppose c_1 is a constant that $f(n) \leq c_1 g(n)$. Square both sides of the inequation, we have $(f(n))^2 \leq (c_1)^2 (g(n))^2$. Hence, $(f(n))^2 \in O((g(n))^2)$
- (d) Counterexample: $f(n) = n, g(n) = \frac{n}{2}$, then we have $2^{f(n)} = 2^n, 2^{g(n)} = (\sqrt{2})^n$.

$$\lim_{n \rightarrow \infty} \frac{2^n}{(\sqrt{2})^n} = \lim_{n \rightarrow \infty} (\sqrt{2})^n = \infty$$

Hence, $2^{f(n)} \notin O(2^{g(n)})$

- (e) Counterexample: Suppose $f(n) = \log n, g(n) = n, h(n) = n^2$, we have $f(g(n)) = \log n, f(h(n)) = 2 \log n$. Then $f(g(n)) \notin o(f(h(n)))$.

Problem 6

- (a) The loop from line 4 to line 7 runs n times, and for each loop, the line 6 runs k times. Hence the time complexity is

$$\sum_{k=1}^n k \Theta(1) = \Theta(n^2)$$

- (b) The loop from line 4 to line 7 runs n times, and for each loop, the line 6 runs k times. When the line 6 runs the first time of the loop, the time complexity is $\Theta(\min(x, k)) = \Theta(1)$. After the first time of the loop, the time complexity is $\Theta(\min(x, k)) = \Theta(k)$. Sum up the complexity and we have the total time complexity of the k_{th} loop be $(k-1)\Theta(k) + \Theta(1) = \Theta(k^2)$. Now compute n times, we get the time complexity

$$\sum_{k=1}^n \Theta(k^2) = \Theta(k^3)$$