

# On the smallest constant for a Gagliardo-Nirenberg functional inequality

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## Abstract

The main objective of this paper is to present a relationship between the best constant for a classical interpolation inequality due to Nirenberg and Gagliardo, and the ground state solution of the equation

$$\frac{\sigma N}{2} \Delta \psi - \left(1 + \frac{\sigma}{2} (2 - N)\right) \psi + \psi^{2\sigma+1} = 0.$$

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## 1 Solution of a Variational Problem

We begin by studying

$$J^{\sigma, N}(f) := \frac{\|\nabla f\|_2^{\sigma N} \|f\|_2^{2+\sigma(2-N)}}{\|f\|_{2\sigma+2}^{2\sigma+2}}, \quad (1.1)$$

the nonlinear functional naturally associated with the interpolation estimate

$$\|f\|_{2\sigma+2}^{2\sigma+2} \leq C_{\sigma, N}^{2\sigma+2} \|\nabla f\|_2^{\sigma N} \|f\|_2^{2+\sigma(2-N)}, \text{ if } 0 < \sigma < \frac{2}{N-2}, \ N \geq 2. \quad (1.2)$$

By estimate (1.2),  $J^{\sigma, N}$  is defined on  $H^1(\mathbb{R}^N)$  for  $0 < \sigma < \frac{2}{N-2}$ .

**Theorem 1.1.** For  $0 < \sigma < \frac{2}{N-2}$ ,

$$\alpha := \inf_{u \in H^1(\mathbb{R}^N)} J^{\sigma, N}(u)$$

is attained at a function  $\psi$  with the following properties:

1.  $\psi$  is positive and a function of  $|x|$  alone.
2.  $\psi \in H^1(\mathbb{R}^N) \cap C^\infty(\mathbb{R}^N)$ .
3.  $\psi$  is a solution of the following equation

$$\frac{\sigma N}{2} \Delta \psi - \left(1 + \frac{\sigma}{2} (2 - N)\right) \psi + \psi^{2\sigma+1} = 0, \quad (1.3)$$

of minimal  $L^2$  norm (the ground state).

In addition,

$$\alpha = \frac{\|\psi\|_2^{2\sigma}}{\sigma + 1}.$$

In the proof of Theorem 1.1, we follow Strauss [5] in using a compactness property of functions in  $H_{\text{radial}}^1(\mathbb{R}^N)$ .

### 1.1 Strauss's Estimate

**Proposition 1.1** (Proposition 1.7.1, [2], p. 20). *Let  $(u_n)_{n \geq 0} \subset H^1(\mathbb{R}^N)$  be a bounded sequence of spherically symmetric functions. If  $N \geq 2$  or if  $u_n(x)$  is a nonincreasing function of  $|x|$  for every  $n \geq 0$ , then there exist a subsequence  $(u_{n_k})_{k \geq 0}$  and  $u \in H^1(\mathbb{R}^N)$  such that  $u_{n_k} \rightarrow u$  as  $k \rightarrow \infty$  in  $L^p(\mathbb{R}^N)$  for every  $2 < p < \frac{2N}{N-2}$  ( $2 < p \leq \infty$  if  $N = 1$ ).*

Proposition 1.1 is an immediate consequence of the Lemma 1.1 and 1.2.

*Proof.* If  $N \geq 2$ , we apply the first estimate (1.4) in Lemma 1.2 to each spherically symmetric functions  $u_n \in H^1(\mathbb{R}^N)$  to obtain

$$|u_n(x)| \leq \frac{C \|u_n\|_{L^2}^{\frac{1}{2}} \|\nabla u_n\|_{L^2}^{\frac{1}{2}}}{|x|^{\frac{N-1}{2}}} \leq \frac{C \|u_n\|_{H^1}}{|x|^{\frac{N-1}{2}}} \leq \frac{C}{|x|^{\frac{N-1}{2}}}, \quad \forall x \in \mathbb{R}^N, \forall n \geq 0.$$

where the last inequality is deduced from the boundedness of  $u_n$ 's.

If  $u_n(x)$  is a nonincreasing function of  $|x|$  for every  $n \geq 0$ , we applying the second estimate (1.5) in Lemma 1.2 to  $u_n$  to obtain

$$|u_n(x)| \leq \frac{C \|u_n\|_{L^2}}{|x|^{\frac{N}{2}}} \leq \frac{C}{|x|^{\frac{N}{2}}}, \quad \forall x \in \mathbb{R}^N, \forall n \geq 0.$$

In both cases, these estimates imply that  $u_n(x) \rightarrow 0$  as  $|x| \rightarrow \infty$ , uniformly in  $n \geq 0$ . Now, we can apply Lemma 1.1 to obtain the desired result.  $\square$

**Lemma 1.1** (Lemma 1.7.2, [2], p. 20). *Let  $(u_n)_{n \geq 0}$  be a bounded sequence in  $H^1(\mathbb{R}^N)$ . Suppose  $u_n(x) \rightarrow 0$  as  $|x| \rightarrow \infty$ , uniformly in  $n \geq 0$ . It follows that there exist a subsequence  $u_{n_k} \rightarrow u$  as  $k \rightarrow \infty$  in  $L^p(\mathbb{R}^N)$  for every  $2 < p < \frac{2N}{N-2}$  ( $2 < p \leq \infty$  if  $N = 1$ ).*

**Remark 1.1** (Remark 1.3.1(iii), [2], p. 7). Assume  $m \geq 1$  and  $1 < p \leq \infty$ . If  $(u_n)_{n \in \mathbb{N}}$  is a bounded sequence of  $W^{m,p}(\Omega)$ , then there exist  $u \in W^{m,p}(\Omega)$  and a subsequence  $(u_{n_k})_{k \in \mathbb{N}}$  such that  $u_{n_k} \rightarrow u$  a.e. as  $k \rightarrow \infty$ , and

$$\|u\|_{W^{m,p}} \leq \liminf_{n \rightarrow \infty} \|u_n\|_{W^{m,p}}.$$

If  $p < \infty$ , then also  $u_{n_k} \rightharpoonup u$  in  $W^{m,p}$ . If  $p < \infty$  and  $(u_n)_{n \in \mathbb{N}} \subset W_0^{m,p}(\Omega)$ , then  $u \in W_0^{m,p}(\Omega)$ .

Applying this remark for a bounded sequence in  $H^1(\mathbb{R}^N)$ , there exist  $u \in H^1(\mathbb{R}^N)$  and a subsequence  $(u_{n_k})_{k \in \mathbb{N}}$  such that  $u_{n_k} \rightarrow u$  a.e. as  $k \rightarrow \infty$ ,  $\|u\|_{H^1(\mathbb{R}^N)} \leq \liminf \|u_n\|_{H^1(\mathbb{R}^N)}$  and  $u_{n_k} \rightharpoonup u$  in  $H^1(\mathbb{R}^N)$ .

*Proof of Lemma 1.1.* Since  $(u_n)_{n \geq 0}$  is a bounded sequence in  $H^1(\mathbb{R}^N)$ , applying Remark 1.1 yields that there exist  $u \in H^1(\mathbb{R}^N)$  and a subsequence  $(u_{n_k})_{k \geq 0}$  such that  $u_{n_k} \rightharpoonup u$  as  $k \rightarrow \infty$  in  $H^1(\mathbb{R}^N)$ . Fix  $\varepsilon > 0$  and let  $R > 0$  to be chosen later. Given  $p \in \left(2, \frac{2N}{N-2}\right)$  ( $2 < p \leq \infty$  if  $N = 1$ ), we have<sup>1</sup>

$$\begin{aligned} \|u_{n_k} - u\|_{L^p(\mathbb{R}^N)} &= \|u_{n_k} - u\|_{L^p(B_R)} + \|u_{n_k} - u\|_{L^p(\{|x| \geq R\})} \\ &\leq \|u_{n_k} - u\|_{L^p(B_R)} + \|u_{n_k} - u\|_{L^\infty(\{|x| \geq R\})}^{\frac{p-2}{p}} \|u_{n_k} - u\|_{L^2(\mathbb{R}^N)}^{\frac{2}{p}}. \end{aligned}$$

We first fix  $R$  large enough so that (by uniform convergence)

$$\|u_{n_k} - u\|_{L^\infty(\{|x| \geq R\})}^{\frac{p-2}{p}} \|u_{n_k} - u\|_{L^2(\mathbb{R}^N)}^{\frac{2}{p}} \leq \frac{\varepsilon}{2}.$$

Next, since  $(u_{n_k}|_{B_R})_{k \geq 0}$  is bounded in  $H^1(B_R)$ , it follows from Rellich's compactness theorem that  $u_{n_k}|_{B_R} \rightarrow u|_{B_R}$  in  $L^p(B_R)$ . Therefore for  $k$  large enough we have

$$\|u_{n_k} - u\|_{L^p(B_R)} \leq \frac{\varepsilon}{2},$$

and so  $\|u_{n_k} - u\|_{L^p(\mathbb{R}^N)} \leq \varepsilon$ . This proves the result.  $\square$

**Lemma 1.2** (Lemma 1.7.3, [2], p. 21). If  $u \in H^1(\mathbb{R}^N)$  is a radially symmetric function, then

$$\sup_{x \in \mathbb{R}^N} |x|^{\frac{N-1}{2}} |u(x)| \leq C \|u\|_{L^2}^{\frac{1}{2}} \|\nabla u\|_{L^2}^{\frac{1}{2}}. \quad (1.4)$$

If, in addition,  $u(x)$  is a nonincreasing function of  $|x|$ , then

$$\sup_{x \in \mathbb{R}^N} |x|^{\frac{N}{2}} |u(x)| \leq C \|u\|_{L^2}. \quad (1.5)$$

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<sup>1</sup>Here we use

$$\begin{aligned} \|u_{n_k} - u\|_{L^p(\{|x| \geq R\})} &= \left( \int_{\{|x| \geq R\}} |u_{n_k} - u|^p \right)^{\frac{1}{p}} \leq \left( \|u_{n_k} - u\|_{L^\infty(\{|x| \geq R\})}^{p-2} \int_{\{|x| \geq R\}} |u_{n_k} - u|^2 \right)^{\frac{1}{p}} \\ &\leq \|u_{n_k} - u\|_{L^\infty(\{|x| \geq R\})}^{\frac{p-2}{p}} \|u_{n_k} - u\|_{L^2(\{|x| \geq R\})}^{\frac{2}{p}} \leq \|u_{n_k} - u\|_{L^\infty(\{|x| \geq R\})}^{\frac{p-2}{p}} \|u_{n_k} - u\|_{L^2(\mathbb{R}^N)}^{\frac{2}{p}}. \end{aligned}$$

*Proof.* Suppose first  $u \in C_c^\infty(\mathbb{R}^N)$ . Since  $u$  is radially symmetric, there exists a function  $\tilde{u} : \mathbb{R}^+ \rightarrow \mathbb{R}$  such that  $u(x) = \tilde{u}(|x|)$  for all  $x \in \mathbb{R}^N$ . Simple computation gives us  $|\nabla u(x)| = |\tilde{u}'(r)|$  where  $r = |x|$ . We have

$$\begin{aligned} \|u\|_{L^2(\mathbb{R}^N)}^2 &= \left( \int_{\mathbb{R}^N} |u(x)|^2 dx \right) = \int_{\partial B_1(0)} \left( \int_0^\infty |u(ry)|^2 r^{N-1} dr \right) dS(y) \\ &= \int_{\partial B_1(0)} \left( \int_0^\infty \tilde{u}(r)^2 r^{N-1} dr \right) dS(y) = N\alpha_N \int_0^\infty \tilde{u}(r)^2 r^{N-1} dr, \\ \|\nabla u\|_{L^2(\mathbb{R}^N)}^2 &= \left( \int_{\mathbb{R}^N} |\nabla u(x)|^2 dx \right) = \int_{\partial B_1(0)} \left( \int_0^\infty |\nabla u(ry)|^2 r^{N-1} dr \right) dS(y) \\ &= \int_{\partial B_1(0)} \left( \int_0^\infty \tilde{u}'(r)^2 r^{N-1} dr \right) dS(y) = N\alpha_N \int_0^\infty \tilde{u}'(r)^2 r^{N-1} dr, \end{aligned}$$

and

$$\begin{aligned} r^{N-1} \tilde{u}(r)^2 &= - \int_r^\infty \frac{d}{ds} (s^{N-1} \tilde{u}(s)^2) ds = - \int_r^\infty \left( \underbrace{(N-1)s^{N-2} \tilde{u}(s)^2}_{\geq 0} + 2s^{N-1} \tilde{u}(s) \tilde{u}'(s) \right) ds \\ &\leq -2 \int_r^\infty s^{N-1} \tilde{u}(s) \tilde{u}'(s) ds \leq 2 \left( \int_r^\infty s^{N-1} \tilde{u}(s)^2 ds \right)^{\frac{1}{2}} \left( \int_r^\infty s^{N-1} \tilde{u}'(s)^2 ds \right)^{\frac{1}{2}} \\ &\leq 2 \left( \int_0^\infty s^{N-1} \tilde{u}(s)^2 ds \right)^{\frac{1}{2}} \left( \int_0^\infty s^{N-1} \tilde{u}'(s)^2 ds \right)^{\frac{1}{2}} \\ &\leq 2 \frac{\|u\|_{L^2(\mathbb{R}^N)} \|\nabla u\|_{L^2(\mathbb{R}^N)}}{\sqrt{N\alpha_N} \sqrt{N\alpha_N}} = \frac{2}{N\alpha_N} \|u\|_{L^2(\mathbb{R}^N)} \|\nabla u\|_{L^2(\mathbb{R}^N)}. \end{aligned}$$

where  $\alpha_N$  is the volume of the unit ball in  $\mathbb{R}^N$ , which is given by  $\alpha_N := \frac{2\pi^{\frac{N}{2}}}{N\Gamma(\frac{N}{2})}$ . That means (1.4) holds for all  $u \in C_c^\infty(\mathbb{R}^N)$ .

If  $u(x)$  is a nonincreasing function of  $|x|$ , then for all  $r \geq 0$ ,

$$\|u\|_{L^2}^2 = \left( \int_{\mathbb{R}^N} |u(x)|^2 dx \right) \geq \left( \int_{\{|x| \leq r\}} |u(x)|^2 dx \right) \geq |\{|x| \leq r\}| |\tilde{u}(r)|^2 = \alpha_N \mathbb{R}^N |\tilde{u}(r)|^2,$$

i.e., (1.5) holds for all  $u \in C_c^\infty(\mathbb{R}^N)$ .

The general case then follows by a density argument. □

## 1.2 Proof of Theorem 1.1

*Proof of Theorem 1.1.* First note that if we set  $u^{\lambda, \mu}(x) := \mu u(\lambda x)$ , then  $\nabla u^{\lambda, \mu}(x) = \mu \lambda \nabla u(\lambda x)$ , and

$$\|u^{\lambda, \mu}\|_2^2 = \int_{\mathbb{R}^N} |u^{\lambda, \mu}(x)|^2 dx = \int_{\mathbb{R}^N} |\mu u(\lambda x)|^2 dx = \frac{\mu^2}{\lambda^N} \int_{\mathbb{R}^N} |u(x)|^2 dx = \frac{\mu^2}{\lambda^N} \|u\|_2^2,$$

$$\begin{aligned}
\|u^{\lambda,\mu}\|_{2\sigma+2}^{2\sigma+2} &= \int_{\mathbb{R}^N} |u^{\lambda,\mu}(x)|^{2\sigma+2} dx = \int_{\mathbb{R}^N} |\mu u(\lambda x)|^{2\sigma+2} dx = \frac{\mu^{2\sigma+2}}{\lambda^N} \|u\|_{2\sigma+2}^{2\sigma+2}, \\
\|\nabla u^{\lambda,\mu}\|_2^2 &= \int_{\mathbb{R}^N} |\nabla u^{\lambda,\mu}(x)|^2 dx = \int_{\mathbb{R}^N} |\mu \lambda \nabla u(\lambda x)|^2 dx = \frac{\mu^2}{\lambda^{N-2}} \|\nabla u\|_2^2, \\
J^{\sigma,N}(u^{\lambda,\mu}) &= \frac{\|\nabla u^{\lambda,\mu}\|_2^{\sigma N} \|u^{\lambda,\mu}\|_2^{2\sigma+2-\sigma N}}{\|u^{\lambda,\mu}\|_{2\sigma+2}^{2\sigma+2}} = \frac{\left(\frac{\mu^2}{\lambda^{N-2}}\right)^{\frac{\sigma N}{2}} \|\nabla u\|_2^{\sigma N} \left(\frac{\mu^2}{\lambda^N}\right)^{\frac{2\sigma+2-\sigma N}{2}} \|u\|_2^{2\sigma+2-\sigma N}}{\frac{\mu^{2\sigma+2}}{\lambda^N} \|u\|_{2\sigma+2}^{2\sigma+2}} \\
&= \frac{\|\nabla u\|_2^{\sigma N} \|u\|_2^{2\sigma+2-\sigma N}}{\|u\|_{2\sigma+2}^{2\sigma+2}} = J^{\sigma,N}(u).
\end{aligned}$$

Since  $J^{\sigma,N}(u) \geq 0$ , there exists a minimizing sequence  $u_v \in H^1(\mathbb{R}^N) \cap L^{2\sigma+2}(\mathbb{R}^N)$ , i.e.,  $a := \inf_{u \in H^1(\mathbb{R}^N)} J^{\sigma,N}(u) = \lim_{v \uparrow \infty} J^{\sigma,N}(u_v) < \infty$ . We can assume  $u_v > 0$  (since  $J^{\sigma,N}(u) = J^{\sigma,N}(-u)$ ), and by symmetrization we can take  $u_v = u_v(|x|)$ <sup>2</sup>.

Choosing  $\lambda_v = \frac{\|u_v\|_2}{\|\nabla u_v\|_2}$ ,  $\mu_v = \frac{\|u_v\|_2^{\frac{N}{2}-1}}{\|\nabla u_v\|_2^{\frac{N}{2}}}$ <sup>3</sup>, we obtain a sequence  $\psi_v(x) := u^{\lambda_v, \mu_v}(x)$  with the following properties:

- (a)  $\psi_v(x) \geq 0$ ,  $\psi_v = \psi_v(|x|)$ ,
- (b)  $\psi_v \in H^1(\mathbb{R}^N)$ ,
- (c)  $\|\psi_v\|_2 = 1$ , and  $\|\nabla \psi_v\|_2 = 1$ ,
- (d)  $J^{\sigma,N}(\psi_v) \downarrow \alpha$  as  $v \rightarrow \infty$ .

Since the sequence  $\psi_v$  is bounded in  $H^1(\mathbb{R}^N)$ , some subsequence has a weak  $H^1$  limit  $\psi^*$ . Since  $\psi_v$  are radial and uniformly bounded in  $H^1(\mathbb{R}^N)$ , it follows from the compactness lemma that we can take  $\psi_v$  strongly convergent to  $\psi^*$  in  $L^{2\sigma+2}(\mathbb{R}^N)$  for  $0 < \sigma < \frac{2}{N-2}$ . By weak convergence,  $\|\psi^*\|_2 \leq 1$  and  $\|\nabla \psi^*\|_2 \leq 1$ . Hence,

$$\alpha \leq J^{\sigma,N}(\psi^*) \leq \frac{1}{\int |\psi^*|^{2\sigma+2} dx} = \lim_{v \uparrow \infty} J(\psi_v) = \alpha.$$

It follows that  $\|\nabla \psi^*\|_2^{\sigma N} \|\psi^*\|_2^{2+\sigma(2-N)} = 1$  and therefore  $\|\psi^*\|_2 = \|\nabla \psi^*\|_2 = 1$ , so  $\psi_v \rightarrow \psi^*$  strongly in  $H^1$ <sup>4</sup>. This proves part (1) and (2) of Theorem (1.1).

Part (3) follows from the fact that  $\psi^*$ , the minimizing function, is in  $H^1(\mathbb{R}^N)$  and satisfies the Euler-Lagrange equation:

$$\left. \frac{d}{d\varepsilon} \right|_{\varepsilon=0} J^{\sigma,N}(\psi^* + \varepsilon \eta) = 0, \quad \forall \eta \in C_0^\infty(\mathbb{R}^N). \quad (1.6)$$

<sup>2</sup>Indeed, since for any  $u \in H^1(\mathbb{R}^N) \cap L^{2\sigma+2}(\mathbb{R}^N)$ , its symmetric-decreasing rearrangement  $u^*$  satisfies  $\|u^*\|_{2\sigma+2} = \|u\|_{2\sigma+2}$ ,  $\|u^*\|_2 = \|u\|_2$ ,  $\|\nabla u^*\|_2 \leq \|\nabla u\|_2$ , and thus  $J^{\sigma,N}(u^*) \leq J^{\sigma,N}(u)$ . Hence, it suffices to consider only radially symmetric functions to minimize  $J^{\sigma,N}$ .

<sup>3</sup>Solve  $\|u_v^{\lambda_v, \mu_v}\|_2 = \|\nabla_x u_v^{\lambda_v, \mu_v}\|_2 = 1$  to obtain  $\lambda_v$  and  $\mu_v$ .

<sup>4</sup>If  $x_n \rightharpoonup x$  in a Hilbert space  $H$ , and  $\|x_n\|_H \rightarrow \|x\|_H$ , then  $x_n$  converges to  $x$  strongly.

Taking into account that  $\|\psi^*\|_2 = 1$  and  $\|\nabla\psi^*\|_2 = 1$ , we have

$$\frac{\sigma N}{2}\Delta\psi^* - \left(1 + \frac{\sigma}{2}(2-N)\right)\psi^* + \alpha(\sigma+1)(\psi^*)^{2\sigma+1} = 0 \text{ in } \mathcal{D}'. \quad (1.7)$$

Let  $\psi = [\alpha(\sigma+1)]^{\frac{1}{2\sigma}}\psi^*$ , then

- i)  $\psi$  is positive and radially symmetric.
- ii)  $\psi \in H^1(\mathbb{R}^N)$ .
- iii)  $\psi$  satisfies

$$\frac{\sigma N}{2}\Delta\psi - \left(1 + \frac{\sigma}{2}(2-N)\right)\psi + \psi^{2\sigma+1} = 0 \text{ in } \mathcal{D}'. \quad (1.8)$$

Now we regularize  $\psi$  by a bootstrap argument:

★ *Step 1:* Since  $\psi \in H_{\text{radial}}^1(\mathbb{R}^N)$ , the Compactness Lemma implies that  $\psi \in L^{2\sigma+2}(\mathbb{R}^N)$ , and thus  $\psi^{2\sigma+1} \in L^{\frac{2\sigma+2}{2\sigma+1}}(\mathbb{R}^N)$ . Since  $1 < \frac{2\sigma+2}{2\sigma+1} < 2$ , we have implies that  $L^2(\mathbb{R}^N) \hookrightarrow L_{\text{loc}}^{\frac{2\sigma+1}{2\sigma+2}}(\mathbb{R}^N)$ , and consequently  $\psi \in L_{\text{loc}}^{\frac{2\sigma+1}{2\sigma+2}}(\mathbb{R}^N)$ . Then (1.8) implies that  $\Delta\psi \in L_{\text{loc}}^{\frac{2\sigma+2}{2\sigma+1}}(\mathbb{R}^N)$ . Using elliptic regularity, it follows that  $\psi \in W_{\text{loc}}^{2, \frac{2\sigma+2}{2\sigma+1}}(\mathbb{R}^N)$ .

Similarly, we can prove that<sup>5</sup>

*Statement 1:* If  $\psi \in L_{\text{loc}}^q(\mathbb{R}^N)$ , then  $\psi \in W_{\text{loc}}^{2, \frac{q}{2\sigma+1}}(\mathbb{R}^N)$ .

Put  $q_0 := 2\sigma + 2$ , we currently have  $\psi \in W_{\text{loc}}^{2, \frac{q_0}{2\sigma+1}}(\mathbb{R}^N)$ . We consider the following cases depending on  $\sigma$  and  $N$ :

- *Case  $\frac{2\sigma+1}{q_0} < \frac{2}{N}$ :* Applying the general Sobolev embedding theorem to  $(k, N, p) = \left(2, N, \frac{q_0}{2\sigma+1}\right)$  implies  $\psi \in C_{\text{loc}}^{0, \alpha}(\mathbb{R}^N)$  for some  $\alpha \in (0, 1)$ .
- *Case  $\frac{2\sigma+1}{q_0} = \frac{2}{N}$ :* Applying the general Sobolev embedding theorem to  $(k, N, p) = \left(2, N, \frac{q_0}{2\sigma+1}\right)$  implies  $\psi \in L_{\text{loc}}^r(\mathbb{R}^N)$  for all  $r \in [\frac{N}{2}, +\infty)$ . In particular, choosing  $r = (\sigma+1)N > \frac{N}{2}$ , we have  $\psi \in L_{\text{loc}}^{(\sigma+1)N}(\mathbb{R}^N)$ . Statement 1 then implies  $\psi \in W_{\text{loc}}^{2, \frac{(\sigma+1)N}{2\sigma+1}}(\mathbb{R}^N)$ . Since  $\frac{2\sigma+1}{(\sigma+1)N} < \frac{2}{N}$ , applying the general Sobolev embedding theorem ii) yields  $\psi \in C_{\text{loc}}^{0, \alpha}(\mathbb{R}^N)$  for some  $\alpha \in (0, 1)$ .
- *Case  $\frac{2\sigma+1}{q_0} > \frac{2}{N}$ :* We define  $q_1 > 0$  by

$$\frac{1}{q_1} = \frac{2\sigma+1}{q_0} - \frac{2}{N},$$

and then applying the general Sobolev embedding theorem yields  $\psi \in L_{\text{loc}}^{q_1}(\mathbb{R}^N)$ . Statement 1 then implies  $\psi \in W_{\text{loc}}^{2, \frac{q_1}{2\sigma+1}}(\mathbb{R}^N)$ .

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<sup>5</sup>Since  $\psi \in L_{\text{loc}}^q(\mathbb{R}^N)$ ,  $\psi^{2\sigma+1} \in L_{\text{loc}}^{\frac{q}{2\sigma+1}}(\mathbb{R}^N)$ . We have  $L_{\text{loc}}^q(\mathbb{R}^N) \hookrightarrow L_{\text{loc}}^{\frac{q}{2\sigma+1}}(\mathbb{R}^N)$ , and thus  $\psi \in L_{\text{loc}}^{\frac{q}{2\sigma+1}}(\mathbb{R}^N)$ . Then (1.8) implies that  $\Delta\psi \in L_{\text{loc}}^{\frac{q}{2\sigma+1}}(\mathbb{R}^N)$ . Using elliptic regularity, it follows that  $\psi \in W_{\text{loc}}^{2, \frac{q}{2\sigma+1}}(\mathbb{R}^N)$ .

We continue this treatment for  $q_1$ . There are two possibilities: either  $\psi \in C_{\text{loc}}^{0,\alpha}(\mathbb{R}^N)$  for some  $\alpha \in (0, 1)$  or  $\psi \in W_{\text{loc}}^{2, \frac{q_2}{2\sigma+1}}(\mathbb{R}^N)$  with  $\frac{1}{q_2} = \frac{2\sigma+1}{q_1} - \frac{2}{N}$ .

We claim that there exists  $n^* \in \mathbb{N}$  such that  $\frac{2\sigma+1}{q_{n^*}} \leq \frac{2}{N}$ . Indeed, suppose for the contrary that the sequence  $(q_n)_n$  defined by

$$\begin{cases} q_0 = 2\sigma + 2, \\ \frac{1}{q_n} = \frac{2\sigma + 1}{q_{n-1}} - \frac{2}{N}, \quad \forall n \in \mathbb{N}, \end{cases}$$

consists of all positive real terms.

It is deduced from the recursion that

$$\frac{1}{q_n} - \frac{1}{\sigma N} = (2\sigma + 1) \left( \frac{1}{q_{n-1}} - \frac{1}{\sigma N} \right), \quad \forall n \in \mathbb{N}.$$

Thus,

$$\frac{1}{q_n} - \frac{1}{\sigma N} = (2\sigma + 1)^n \left( \frac{1}{q_0} - \frac{1}{\sigma N} \right),$$

or equivalently,

$$\frac{1}{q_n} = \frac{1}{\sigma N} + (2\sigma + 1)^n \left( \frac{1}{q_0} - \frac{1}{\sigma N} \right).$$

Since  $\frac{1}{q_0} - \frac{1}{\sigma N} = \frac{1}{2\sigma+2} - \frac{1}{\sigma N} = \frac{\sigma(N-2)-2}{\sigma N(2\sigma+2)} < 0$ , the RHS of the last equality tends to  $-\infty$  as  $n \rightarrow +\infty$ , which contradicts to the assumption  $q_n > 0$  for all  $n \in \mathbb{N}$ .

Therefore, we must have  $\psi \in C_{\text{loc}}^{0,\alpha}(\mathbb{R}^N)$  for some  $\alpha \in (0, 1)$ .

*Step 2:* We can prove that  $\psi^{2\sigma+1} \in C_{\text{loc}}^{0,\alpha}(\mathbb{R}^N)$ . Then Schauder theorem implies  $\psi \in C_{\text{loc}}^{2,\alpha}(\mathbb{R}^N)$ . Using bootstrap argument, we can prove that  $\psi \in C_{\text{loc}}^{4,\alpha}(\mathbb{R}^N)$ , etc. So  $\psi \in C_{\text{loc}}^{2m,\alpha}(\mathbb{R}^N)$  for all  $m \in \mathbb{N}$ , and thus  $\psi \in C^\infty(\mathbb{R}^N)$ .  $\square$

**Corollary 1.1.** *The best (smallest) constant for which the interpolation estimate (1.2) holds is given by the expression*

$$C_{\sigma,N} := \left( \frac{\sigma + 1}{\|\psi\|_2^{2\sigma}} \right)^{\frac{1}{2\sigma+2}},$$

where  $\psi$  is the ground state of equation (1.3).

*Proof.* The best constant  $C_{\sigma,N}$  is given by

$$C_{\sigma,N} = \left( \inf_{u \in H^1(\mathbb{R}^N)} J^{\sigma,N}(u) \right)^{-\frac{1}{2\sigma+2}} = \alpha^{-\frac{1}{2\sigma+2}} = \left( \frac{\sigma + 1}{\|\psi\|_2^{2\sigma}} \right)^{\frac{1}{2\sigma+2}}. \quad (1.9)$$

$\square$

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