**surgen.in**, — General input file for **surfgen**.

#### **SYNOPSIS**

This input file is used by coupled potential surface fitting program **surfgen** and its potential evaluation library. For a detailed description of the program and the evaluation library, see **surfgen**(1), **potlib**(1)

Place **surfgen.in** in the same directory where **surfgen** is being called.

#### DESCRIPTION

**surgen.in** is a Fortran 90 namelist input file. This file contains input parameters that controls the behavior of **surfgen**. This includes the **GENERAL** namelist input that specify the job type and, along with other input files, defines the molecular system, the electronic states, their symmetry, the internal coordinate basis and the expansion of Hd. Most job types will have another namelist that defines parameters that are specific to a job, except for *jobtype=-1*.

The evaluator library also use the general namelist to define the expansion. It also use a POTLIB namelist to define behaviors such as geometry logging and error estimation.

Developed by Yarkony group, Johns Hopkins University 2010-2013.

#### NAMELIST INPUT

### **GENERAL**

General input that controls the expansion ansatz of the quasi-diabatic Hamiltonian (Hd), and the job to be performed by **surfgen**. Input files **connect.in**, **coord.in**, and **irrep.in** also contain detailed parameters about the definition of the ansatz.

## **List of Input Parameters**

jobtype

**INTEGER** [0] Specifies the type of job to be performed. Currently the following Types are implemented:

- -1 Use the molecule and connectivity definitions to generate all feasible symmetry permutations and print them to the standard output.
- O Do nothing during execution. During initialization the program will construct the expansion and read the coefficients from Hd storage file. Used by potential evaluation libraries only. Requires namelist: *POTLIB*
- 1 Construct fit Hd from ab initio data. Required namelist: MAKESURF
- Find minima or conical intersections on the ab initio surface corresponding to a fit Hd. Requires namelist: *MINMEX*

natoms

**INTEGER** [0] Number of atoms in the molecular system. It is usually unwise to use the default value of this parameter.

order

**INTEGER** [2] Maximum order of the Hd expansion. Order here means the number of basis functions that are multiplied together, should they be same type of coordinate or not, in the form of coordinates defined in *coord.in.* 

atmgrp

**INTEGER,dimension(natoms)** Array that specify the equivalency of the atoms. Must contain *natoms* elements, each of which specify the group index of an atom. Atoms in the same group are considered equivalent by the program and the permutations among them will be generated as symmetry operations.

nGrp

**INTEGER** Number of electronic state groups. Each group contains a set of electronic states that carry an irreducible representation. For example, for OH radical the lowest 3 states are contained in 2 groups, an E group with 2 states and A group with 1 state.

groupsym

**INTEGER,dimension(ngrp)** Index of permutational irreducible representations carried by each of the state groups. For each irrep, representation matrices for every permutation must be supplied in input file irrep.in Irreps are indexed as they appear in *irrep.in* 

groupprty

**INTEGER,**dimension(ngrp) Inversion symmetry (parity) of each of the state group.

printlyl **INTEGER** [1] Controls the level of infomation printed to standard output. 0 is lowest and 5

is highest level.

inputfl CHARACTER(72) ['hd.data'] Name of Hd expansion coefficient input file. Hd will be ini-

tialized using these coefficients. When empty or file not exist, uncoupled surface with con-

stant (but not identical) energies will be used to initialize Hd.

# **MAKESURF**

This namelist contains parameters that control the surface fitting procedure. These are only used for jobtype=-1.

# **Data Selection and Weighing**

npoints **INTEGER** [0] Number of points to be fit.

eshift **DOUBLE PRECISION** [.0] A uniform shift applied to all ab initio energies.

gcutoff DOUBLE PRECISION [1D-14] The threshold below which gradients will be considered

vanished and treated as exactly 0.

usefij LOGICAL [.true.] Specifies if the derivative couplings instead of derivative coupling times

energy differences will be used as coupling input. Derivative couplings approach infinity at intersections while coupling times energy difference remain well behaved everywhere. The program expect either input file name to follow *COLUMBUS* file name convention, with derivative coupling file named as cartgrd\_total.drtl.stateX.drtl.stateY and coupling times energy difference as cartgrd.nad.drtl.stateX.drtl.stateY

w\_energy DOUBLE PRECISION [1.] Weight factor for energy equations. This factor is multiplied

with point weights and high energy scaling weights to yield the final weight of equations.

w\_grad **DOUBLE PRECISION** [1.] Weight factor for energy gradient equations. This factor is mul-

tiplied with point weights and high energy scaling weights to yield the final weight of equa-

tions.

w\_fij **DOUBLE PRECISION** [1.] Weight factor for coupling equations. This factor is multiplied

with point weights and high energy scaling weights to yield the final weight of equations.

energyT **DOUBLE PRECISION, dimension(10)** [1D30]

highEScale **DOUBLE PRECISION, dimension (10)** [1.] *energyT* specifies a series of thresholds for the

downscaling of equations when the ab initio energy of an electronic state is very high. When E > energyT(i), weight **highEScale(i)** is applied to the energy, gradient and derivative coupling equations that involve that state. For couplings, the higher state is used to determine the weight. The highest possible energy bracket (with lowest weight) will be used.

ediffcutoff **DOUBLE PRECISION [20.]** 

nrmediff DOUBLE PRECISION [2D4] The weight for derivative coupling equations is weighed

down by factor **nrmediff**/( $\Delta E$ +**ediffcutoff**). This weighing procedure is due to the fact that coupling times energy difference is being fit instead of the coupling itself, which is singular near intersections. Increasing the weight according to energy difference ensures that residue couplings are properly minimized, and the cutoff term prevents problematic singular behavior. This prevents the mathematical complexity of directly taking deratives of the couplings with respect to fitting coefficients, which will give rise to term that correspond to change in

energy difference.

ediffcutoff2 **DOUBLE PRECISION** [1.]

nrmediff2 **DOUBLE PRECISION [100.]** Similar to the above case, the energy equations are weighed

up by factor **nrmediff2**/( $\Delta$ E+ediffcutoff2) if this value is greater than 1. This is to ensure that energy differences are properly reproduced for points that are close to degeneracy.

## **Fitting Algorithm and Acceleration**

maxiter **INTEGER** [3] Maximum number of iterations for the fitting algorithm.

toler
maxd

DOUBLE PRECISION [1D-3] Convergence tolerance for change in expansion coefficient.

DOUBLE PRECISION [1D0] Maximum allowed change in Hd expansion coefficients

between iterations.

dfstart INTEGER [0] Iteration at which differential convergence will be started. The normal equa-

tions will be constructed for the *change* of coefficients instead of expansion coefficients themselves. This will usually result in better fit and allows dumping while lifting the flattening term to very small value. However, this convergence mode has more tendency to experi-

ence oscillations and should not be enabled if the fit is qualitatively incorrect.

It is recommended that when differential convergence is enabled, set DijScale=1 and

DijScale2=1

exactTol **DOUBLE PRECISION [1D-12]** Eigenvalue cutoff when solving constrained normal equa-

tions. This parameter dictates how accurate the exact equations will be reproduced.

LSETol **DOUBLE PRECISION** [1D-7] Diagonal shift on the normal equations when solving linear

equations. Larger value leads to more stable but usually slower convergence.

flattening DOUBLE PRECISION [1D-8] Flattening term that will be included in the objective func-

tion. In differential convergence mode, this option will remove contributions that have very small contributions to the quality of fit. As opposed to *LSETo1*, which only changes the convergence procedure but does not affect the converged results, *flattening* changes the

Lagrangian and thus will result in a different converged Hd.

ndiis INTEGER [10] Maximum dimensionality of DIIS interpolation space ndstart INTEGER [10] The number of iterations to start DIIS interpolation.

linSteps INTEGER [0] Number of linear steps to perform. When greater than 0, the program will

break the predicted change into linSteps smaller steps and try to find the step length that yields the smallest gradient for the Lagrangian. Step sizes are automatically shrinked when

the norm of the gradient increases.

linNegSteps INTEGER [0] Number of linear steps to be taken to the opposite direction of the predicted

change but with the same size. This should only be used when the normal equations fail to give the correct direction of changes and the linear steps towards the positive direction

encounter an immediate increase in the norm of Lagrangian.

DijScale DOUBLE PRECISION [1.] This option controls the multiplier of the derivative of eigen-

vectors with respect to the fitting coefficients. When set to 0, the dependency of eigenvectors on fitting coefficients are ignored. When set to 1, the first order response of eigenvectors with respect to the change in fitting coefficients is fully implemented. This option is used by the construction of normal equations as well as evaluation of gradients of the Lagrange multipliers. It is recommended to have DijScale=1.0 in most cases. It only needs to be turned

down when eigenvectors are changing too rapidly and gives oscillations.

DijScale2 **DOUBLE PRECISION [1.]** Similar to **DijScale**, this option is an additional multiplier that

only scales the eigenvector derivatives in the normal equations, but does not affect the evalu-

ation of Lagrangian gradients.

scaleEx **DOUBLE PRECISION** [1.] Uniformly scale all exact equations. Since there is no weight

for exact equations, this is done through scaling the gradient of the Lagrangian with respect to Lagrange multipliers. This option normally does not need to be changed. Only use it

when convergence problems occur.

stepMethod INTEGER [0] This option specifies the algorithm used for fitting procedure. Currently

method 0 uses linear equality constrained least squares equations and method 1 uses gradient projection technique. Method 1 is not working very well at the moment. Please use 0.

ExConv DOUBLE PRECISION [1D-5] Convergence criteria for exact equations. Used by

stepMethod=1.

maxED **DOUBLE PRECISION** [1D-2] Maximum step length for exact step. Used by

stepMethod=1.

mmiter **INTEGER** [10] Number of micro iterations for *stepMethod=1*.

DOUBLE PRECISION [1D-5] Scaling factor for projected gradient. Used by gscaler stepMethod=1.

**Eigenvector Ordering and Phasing** 

enfDiab

INTEGER [0] Specify a point where diabatic and adiabatic representation will be forced to coincide. Every iteration the program will force the eigenvector of this point to be unit vectors. The off-diagonal element will be fit to 0 and the derivative of eigenvectors at this point (Dij) will also be 0 under all conditions.

The adiabatic-diabatic transformation is subject to a globally constant transformation. Since such transformation does not affect the Hamiltonian in any manner, it cannot be determined from the fitting procedure itself. When states have different symmetry, such degree of freedom can be removed through the use of correct symmetry. When some states carry the same symmetry, this option is used to eliminate the extra degree of freedom.

DOUBLE PRECISION [1D-3] Threshold for energy difference below which the states will gorder

by ordered by gradients instead of absolute energy. This option is ignored when

followPrev=.true.

ckl\_input CHARACTER(72) ["] Input file that contains the initial guess of eigenvectors at each data

point. When left empty or file not exist, the eigenvectors are initialized by diagonalizing ini-

tial Hd.

ckl output CHARACTER(72) ['ckl.out'] Output file that contains the final eigenvectors at each data

followPrev LOGICAL [.false.] Whether the new eigenvectors will be ordered and phased to match the

vectors from the previous iteration. This allows a more consistent and smoother convergence

but may increase the tendency to match the states in a non-optimal way.

maxRot **DOUBLE PRECISION [.0]** When set greater than 0, the eigenvectors rotation between

rotations are monitored and when the rotation is larger than this parameter the rotation is dumped to this value. HAVE NOT BEEN TESTED FOR MORE THAN 2 STATES. DO

NOT USE IT IF YOU HAVE >2 STATES!

**Local Coordinate Construction** 

useIntGrad **LOGICAL** [.true.] Specifies wether the gradients and derivative couplings will be fit using

> Cartesian components or a transformed coordinate constructed at each point that removes the null equations (translations, rotations, relative motion of dissociated fragments and symmetry zeros). This coordinate is constructed by obtaining the eigenvectors of matrix B<sup>T</sup>.B,

where B is the Wilson's B matrix.

intGradT **DOUBLE PRECISION** [1D-3] Threshold for eigenvalue cut off of B<sup>T</sup>.B matrix. When

an eigenvalue is lower than *intGradT*, the coordinate is considered non-internal and removed

from the fitting equaitons.

intGradS DOUBLE PRECISION [1D-1] Threshold for diminished weights. New coordinates that

correspond to eigenvalues lower than intGradS will be weighed by factor ev/intGradS,

where ev is the eigenvalue.

gScaleMode INTEGER [2] Controls how the gradients and couplings will be weighed according to

*intGradS*. Available scaling methods are:

=0Do not scale

>0Scale all coordinates

<0 Scale couplings only

**DOUBLE PRECISION** [1D-5] Threshold for energy difference below which the states will deg\_cap

be considered degenerate. Intersection adapted coordinate will be used for these electronic

states. Degeneracy for more than 2 states is coded but never tested.

# Removal of Null Space

TBas DOUBLE PRECISION [1D-6] The shold for eigenvalue cutoff of the primitive basis over-

lap matrix. This controls the degree of linear dependency that will be allowed in the basis

constructed for the fit.

ecutoff **DOUBLE PRECISION** [1.] Energy threshold in *hartree* above which the energy data will

not be considered in null space removal procedure. This is used to prevent the equations that

are irrelevant from introducing extra degrees of freedom.

egcutoff **DOUBLE PRECISION [0.6]** The gradients and couplings data of a point will not be con-

sidered in null space removal procedure when the ab initio energy of the lowest state is higher than this value. Similar to **ecutoff**, this parameter is used to prevent irrelevant high

energy data points from introducing unnecessary degrees of freedom.

# **Input and Output**

outputfl CHARACTER(72) ["] Name of the output file that will store the fit surface.

flheader CHARACTER(72) ['----'] Header that will be printed into the description field of Hd stor-

age file.

rmsexcl INTEGER [0] This parameter controls if low weight points will be included in the RMS

analysis. Points with weight lower than -1/rmsexcl will be excluded when rmsexcl<0. No

effect when rmsexcl >= 0

## Testing

ntest INTEGER [0] Number of test points. When greater than 0, Hd gradient test will be per-

formed. Used only for debugging purpose.

### **POTLIB**

Parameters that control the behavior of the potential evaluation library.

For the moment, please use default settings.

# SEE ALSO

```
connect.in(1) coords.in(1), irrep.in(1), hd.data(1), points.in(1), potlib(1),
surfgen(1),
```

### **BUGS**

Please send bug reports to Xiaolei Zhu (virtualzx@gmail.com)