### DECOMPOSITION OF UNITARY MATRICES AND QUANTUM GATES

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#### Abstract

A general scheme is presented to decompose a d-by-d unitary matrix as the product of two-level unitary matrices with additional structure and prescribed determinants. In particular, the decomposition can be done by using two-level matrices in d-1 classes, where each class is isomorphic to the group of  $2 \times 2$  unitary matrices. The proposed scheme is easy to apply, and useful in treating problems with the additional structural restrictions. A Matlab program is written to implement the scheme, and the result is used to deduce the fact that every quantum gate acting on n-qubit registers can be expressed as no more than  $2^{n-1}(2^n-1)$  fully controlled single-qubit gates chosen from  $2^n-1$  classes, where the quantum gates in each class share the same n-1 control qubits. Moreover, it is shown that it is easy to adjust the proposed decomposition scheme to take advantage of additional structure evolving in the process.

**Keywords** Unitary matrices, quantum gates, controlled qubit gates, two-level unitary matrices, Gray codes.

## 1 Introduction

Matrix factorization is an important tool in matrix theory and its applications. For example, see the general references [2, 6, 7], and some recent papers [1, 5, 14, 15] and the references therein on special topics. In this note, we consider the decomposition of unitary matrices (transformations) into simple unitary matrices with special structural requirement.

Recall that a two-level  $d \times d$  unitary matrix is a unitary matrix obtained from the  $d \times d$  identity matrix  $I_d$  by changing a  $2 \times 2$  principal submatrix. It is well known that every  $d \times d$  unitary matrix can be decomposed into the product of no more than d(d-1)/2 two-level unitary matrices. Among many applications, this result has important implications to quantum computation.

We will present a general scheme to decompose a d-by-d unitary matrix as the product of two-level unitary matrices with additional structure and prescribed determinants. In particular, the decomposition can be done by using two-level matrices in d-1 classes, where each class is isomorphic to the group of  $2 \times 2$  unitary matrices. The proposed scheme is easy to apply, and useful in treating problems with additional structural restrictions. In particular, the result will be used to deduce the result in [15] that every quantum (unitary) gate acting on n-qubit register can be expressed as no more than  $2^{n-1}(2^n-1)$  fully controlled single-qubit gates chosen from  $2^n-1$  classes so that the quantum gates in each class share the same n-1 control qubits. Moreover, it is shown that it is easy to adjust our decomposition scheme to take advantage of additional structure evolving in the process.

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In Section 2, we will present the proposed decomposition scheme, and describe some basic applications. A Matlab program is written based on the proposed scheme, and is available at http://cklixx.people.wm.edu/mathlib.html. Some notes about the program will be given at the end of Section 2. In Section 3, we describe the implication of our result to quantum computation. a short conclusion will be given in Section 4.

# 2 Basic results and examples

Let  $P = (j_1, j_2, ..., j_d)$  be such that the entries of P correspond to a permutation of (1, 2, ..., d). A two-level unitary matrix is called a P-unitary matrix of type k for  $k \in \{1, 2, ..., d-1\}$  if it is obtained from  $I_d$  by changing a principal submatrix with row and column indexes  $j_k$  and  $j_{k+1}$ . For example, if  $P = (j_1, j_2, j_3, j_4) = (1, 2, 4, 3)$ , then the three types of P-unitary matrices of type 1, 2, and 3 have the forms

$$\begin{pmatrix} * & * & 0 & 0 \\ * & * & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}, \quad \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & * & 0 & * \\ 0 & 0 & 1 & 0 \\ 0 & * & 0 & * \end{pmatrix}, \quad \text{and} \quad \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & * & * \\ 0 & 0 & * & * \end{pmatrix},$$

respectively. We have the following.

**Proposition 2.1** Every d-by-d unitary matrix U can be written as a product of no more than d(d-1)/2 P-unitary matrices. Moreover, these P-unitary matrices can be chosen to have any determinants with modulus 1 as long as their product equals det(U).

An immediate consequence of the proposition is the following.

Corollary 2.2 Every  $d \times d$  special unitary matrix can be written as a product of no more than d(d-1)/2 P-unitary matrices with determinant 1.

It is instructive to illustrate a special case of the proposition. We consider the case when d=4 and  $P=(j_1,j_2,j_3,j_4)=(1,2,4,3)$  as above. (As we will see, this example is relevant to the discussion on quantum gates in Section 3.)

Let

$$U = \begin{pmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ a_{31} & a_{32} & a_{33} & a_{34} \\ a_{41} & a_{42} & a_{43} & a_{44} \end{pmatrix}$$

be a four-by-four unitary matrix. Let  $\mu_1, \mu_2, ..., \mu_6$  be such that  $\mu_1, \mu_2, ..., \mu_6 \in \{z : |z| = 1\}$  and  $\mu_1 \mu_2 \cdots \mu_6 = \det(U)$ . We divide the construction into two steps.

**Step 1.** We consider the column of U labeled by the first entry of P (i.e., the first column).

Choose P-unitary matrix  $U_1$  of type 3 with  $\det(U_1) = \bar{\mu}_1$  such that the  $(j_4, j_1) = (3, 1)$  entry of  $U_1U$  is 0 as follows. Let  $u_1 = \sqrt{|a_{31}|^2 + |a_{41}|^2}$  and

$$U_1 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & \frac{\bar{\mu}_1 a_{41}}{u_1} & \frac{-\bar{\mu}_1 a_{31}}{u_1} \\ 0 & 0 & \frac{\bar{\alpha}_{31}}{u_1} & \frac{\bar{\alpha}_{41}}{u_1} \end{pmatrix}. \quad \text{Then} \quad U_1 U = \begin{pmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ a_{21} & a_{22} & a_{23} & a_{24} \\ 0 & a'_{32} & a'_{33} & a'_{34} \\ u_1 & a'_{42} & a'_{43} & a'_{44} \end{pmatrix}.$$

Next choose P-unitary matrix  $U_2$  of type 2 such that  $(j_3, j_1) = (4, 1)$  entry of  $U_2U_1U$  is 0 as follows. Let  $u_2 = \sqrt{|a_{21}|^2 + u_1^2}$ , and

$$U_2 = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & \frac{\bar{a}_{21}}{u_2} & 0 & \frac{u_1}{u_2} \\ 0 & 0 & 1 & 0 \\ 0 & \frac{-\bar{\mu}_2 u_1}{u_2} & 0 & \frac{\bar{\mu}_2 a_{21}}{u_2} \end{pmatrix}. \quad \text{Then} \quad U_2 U_1 U = \begin{pmatrix} a_{11} & a_{12} & a_{13} & a_{14} \\ u_2 & a'_{22} & a'_{23} & a'_{24} \\ 0 & a'_{32} & a'_{33} & a'_{34} \\ 0 & a''_{42} & a''_{43} & a''_{44} \end{pmatrix}.$$

Now, choose P-unitary matrix  $U_3$  of type 1 so that the  $(j_2, j_1) = (2, 1)$  entry of  $U_3U_2U_1U$  is also 0, and the (1, 1) entry of  $U_3U_2U_1U$  equals 1 as follows. Let

$$U_3 = \begin{pmatrix} \bar{a}_{11} & u_2 & 0 & 0 \\ -\bar{\mu}_3 u_2 & \bar{\mu}_3 a_{11} & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}. \quad \text{Then} \quad V = U_3 U_2 U_1 U = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & a_{22}'' & a_{23}'' & a_{24}'' \\ 0 & a_{32}' & a_{33}' & a_{34}' \\ 0 & a_{42}'' & a_{43}'' & a_{44}'' \end{pmatrix}.$$

Note that the first row of V has the form (1,0,0,0) because V is unitary.

**Step 2.** We turn to columns of V labeled by  $j_2 = 2$  and  $j_3 = 4$ .

Choose P-unitary matrices  $U_4, U_5$  of types 3 and 2 with determinants  $\bar{\mu}_4$  and  $\bar{\mu}_5$ , respectively, so that the  $(j_4, j_2) = (3, 2)$  entry of  $U_4V$  and the  $(j_3, j_2) = (4, 2)$  entry of  $U_5U_4V$  are 0. Then choose a P-unitary matrix  $U_6$  of type 3 with determinant  $\bar{\mu}_6$  so that the  $(j_4, j_3) = (3, 4)$  entry of  $U_6U_5U_4V$  is 0. Here are the zero patterns of the matrices in the process:

$$U_4V = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & * & * & * \\ 0 & 0 & * & * \\ 0 & * & * & * \end{pmatrix}, \ U_5U_4V = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & * & * \\ 0 & 0 & * & * \end{pmatrix}, \ U_6U_5U_4V = \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \end{pmatrix}.$$

Note that in the last step, the  $(j_4, j_4) = (3, 3)$  entry of  $U_6U_5U_4V$  is 1 because

$$\det(U_6U_5U_4U_3U_2U_1)\det(U) = \bar{\mu}_6\bar{\mu}_5\cdots\bar{\mu}_1\det(U) = |\det(U)|^2 = 1.$$

Consequently,

$$U = U_1^{\dagger} U_2^{\dagger} U_2^{\dagger} U_4^{\dagger} U_5^{\dagger} U_6^{\dagger}.$$

Clearly, each  $U_j^{\dagger}$  is a *P*-unitary matrix of the same type as  $U_j, j = 1, 2, ..., 6$ .

Obviously, we can skip some of the P-unitary matrices if the entry to be eliminated is already 0 during the process. We can now present the proof of Proposition 2.1.

**Proof of Proposition 2.1.** Let  $P = (j_1, j_2, ..., j_d)$  where the entries of P are a permutation of (1, 2, ..., d). Let U be a d-by-d unitary matrix. We extend the construction in the example to the general case as follows.

- Step 1. First consider the  $j_1$ th column of U. One can choose P-unitary matrices  $U_{d-1}, U_{d-2}, \ldots$ ,  $U_1$  of types  $d-1, d-2, \ldots, 1$  with prescribed determinants to eliminate the entries of U in positions  $(j_d, j_1), (j_{d-1}, j_1), \ldots, (j_2, j_1)$  successively, so that the  $j_1$ th column of the matrix  $V = U_1U_2 \cdots U_{d-1}U$  equals the  $j_1$ th column of  $I_d$ . Since V is unitary, the  $j_1$ th row of V will equal the  $j_1$ th row of  $I_d$ .
- **Step 2.** Consider the  $j_2$ th column of V. One can choose P-unitary matrices  $V_{d-1}, V_{d-2}, \ldots, V_2$  of types  $d-1, d-2, \ldots, 2$  with prescribed determinants to eliminate the entries of V in positions

 $(j_d, j_2), (j_{d-1}, j_2), \ldots, (j_3, j_2)$  successively, so that the  $j_2$ th column of the matrix  $W = V_2 \cdots V_{d-1} V$  equals the  $j_2$ th column of  $I_d$ . Since W is unitary, the  $j_2$ th row of W will equal the  $j_2$ th row of  $I_d$ .

We can repeat this process in converting the  $j_3$ th ...,  $j_{d-1}$ th columns to the  $j_3$ th ...,  $j_{d-1}$ th column of  $I_d$  successively, by P-unitary matrices. Finally, the  $(j_d, j_d)$  element will also be 1 by the determinant condition imposed on the P-unitary matrices. Multiplying the inverses of the P-unitary matrices in the appropriate orders, we see that U is a product of P-unitary matrices as asserted, and the number of P-unitary matrices used is no more than  $(d-1)+\cdots+1=d(d-1)/2$  because some of the P-unitary matrices may be chosen to be identity if the entry to be eliminated is already 0 during the process.

Several remarks are in order. First, if we choose  $P=(1,\ldots,d)$ , then P-unitary matrices are tridiagonal unitary matrices. For example, using P=(1,2,3,4), we can decompose a  $4\times 4$  unitary U as

Second, we can use our result to deduce some classical results. For example, the same result holds for real orthogonal matrices. In particular, every special orthogonal matrix U can be decomposed as a product of two-level special orthogonal matrices. For instance, a classical result asserts that every  $3 \times 3$  special orthogonal matrix U, which is known as a rotation, can be written as the product of special orthogonal matrices of the form

$$U = \begin{pmatrix} 1 & & \\ & * & * \\ & * & * \end{pmatrix} \begin{pmatrix} * & * \\ * & * \\ & & 1 \end{pmatrix} \begin{pmatrix} 1 & & \\ & * & * \\ & * & * \end{pmatrix}.$$

Geometrically, it means that every rotation U in  $\mathbb{R}^3$  can be achieved by a rotation of the yz-plane, and then a rotation of the xy-plane, and followed by a rotation of the yz-plane again. Note that one can also express U as the product of special orthogonal matrices of the form

$$U = U_1 U_2 U_3 = \begin{pmatrix} * & * & \\ * & * & \\ & & 1 \end{pmatrix} \begin{pmatrix} * & & * \\ & 1 & \\ * & & * \end{pmatrix} \begin{pmatrix} 1 & \\ & * & * \\ & * & * \end{pmatrix}$$

as suggested in [2, p.26]. Here, we need only to find  $U_1, U_2, U_3$  in the above form so that  $U_1^{\dagger}U$  has zero (2, 1) entry,  $U_2^{\dagger}U_1^{\dagger}U$  has (3, 1) entry also equal to zero, and  $U_3^{\dagger}U_2^{\dagger}U_1^{\dagger}U$  has (3, 2) entry also equal to zero. Thus, we can express U as a rotation of the yz-plane, and then a rotation of the xz-plane, and followed by a rotation of the xy-plane. This illustrates the flexibility of our decomposition scheme.

Furthermore, our scheme is easy to implement. A Matlab program pud.m is written and available at http://cklixx.people.wm.edu/mathlib.html. Applying the Matlab command

$$A = pud(U, [i_1, \dots, i_n])$$

to an  $n \times n$  unitary U and a specific permutation  $P = (i_1, \ldots, i_n)$  will yield P-unitary matrices  $A\{1\}, \ldots, A\{N\}$  with N = n(n-1)/2 such that  $A\{1\}, \ldots, A\{N\}U = I_N$ .

# 3 Decomposition of Quantum Gates

We will apply Proposition 2.1 to the decomposition of quantum gates. Here are some basic background. The foundation of quantum computation [12] involves the encoding of computational tasks into the temporal evolution of a quantum system. A register of qubits, identical two-state quantum systems, is employed, and quantum algorithms can be described by unitary transformations and projective measurements acting on the  $2^n$ -dimensional state vector of the register. In this context, unitary matrices (transformations) of size  $2^n$  are called quantum gates.

It is known [1] (see also [11,  $\S4.6$ ] and [12, pp.188-193]) that the set of single-qubit gates (acting on one of the n qubits) and CNOT gates (acting on two of the n qubits) are universal. In other words, every unitary gate acting on n-qubit register can be implemented with single-qubit gates and CNOT gates. The conventional approach of reducing an arbitrary n-qubit gate into elementary gates is done as follows.

**Step 1.** Decompose a  $2^n \times 2^n$  general unitary gate into the product of two-level unitary matrices and find a sequence of  $C^{n-1}V$  and  $C^{n-1}NOT$  gates which implements each of them.

Step 2. Decompose  $C^{n-1}V$  and  $C^{n-1}NOT$  gates into single qubit gates and CNOT gates, which are referred to as elementary gates, for physical implementation.

Note that a fully controlled qubit gate  $C^{n-1}V$  has (n-1) control qubits, each of which has the value 0 or 1, specify the subspace in which that gate  $V = \begin{pmatrix} v_{11} & v_{12} \\ v_{21} & v_{22} \end{pmatrix}$  operates. When  $V = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ , the  $C^{n-1}V$  gate reduces to the  $C^{n-1}NOT$  gate. For example, for a two-qubit system, quantum gates are  $4 \times 4$  unitary matrices with rows and columns labeled by the binary sequences 00, 01, 10, 11. If we use the first qubit to control the second qubit, then the two controlled single-qubit gates are:

If we use the second qubit to control the first qubit, then the two controlled single qubit gates are:

In general, if we label the rows and columns of quantum gates (unitary matrices) acting on n qubits by binary sequences  $x_1 \cdots x_n$ , then a  $C^{n-1}V$  gate corresponds to a two-level matrix obtained from  $I_{2^n}$  by replacing its  $2 \times 2$  principal submatrix lying in rows and columns  $X = x_1 \cdots x_n$  and  $\tilde{X} = \tilde{x}_1 \dots \tilde{x}_n$  by V for two binary sequence X and  $\tilde{X}$  differ exactly in one of their terms, say,  $x_i \neq \tilde{x}_i$ .

Note that if P = (1, 2, 4, 3) as in the beginning of Section 2, then the P-unitary matrices are  $C^1V$  gates acting on 2-qubit register. By Proposition 2.1, every quantum gate on 2-qubit register is a product of  $C^1V$  gates and no  $C^1NOT$  gates needed. We can extend this conclusion to n-qubit quantum gates as follows.

Assume that n is a positive integer and  $N=2^n$ . A Gray code  $G_n$  [13] is an N-tuple  $G_n=(X_1,X_2,\ldots,X_N)$  such that

- (a) each  $X_1, X_2, ..., X_N$  are length n binary sequences corresponding to binary representation of the numbers 0, 1, ..., N-1, arranged in a certain order,
- (b) two adjacent sequences  $X_j$  and  $X_{j+1}$  differ in only one position for each  $j=1,2,\ldots,N-1$ ,
- (c) the sequences  $X_N$  and  $X_1$  differ in only one position.

One can construct a Gray code  $G_n$  recursively as follows.

Set 
$$G_1 = (0,1)$$
; for  $n \ge 1$  and  $N = 2^n$ , if  $G_n = (X_1, \dots, X_{N-1}, X_N)$ , let

$$G_{n+1} = (0X_1, \dots, 0X_{N-1}, 0X_N, 1X_N, 1X_{N-1}, \dots, 1X_1).$$

For example, we have  $G_2 = (00, 01, 11, 10)$ ,  $G_3 = (000, 001, 011, 010, 110, 111, 101, 100)$ , etc.

One easily adapts the definition of P-unitary matrices to define  $G_n$ -unitary matrices, which correspond to controlled single-qubit gates in quantum information science. To this end, label the rows and columns of an  $N \times N$  matrix by the binary numbers  $0 \cdots 0, 0 \ldots 01, \ldots, 1 \cdots 1$ . An  $N \times N$  two-level unitary matrix is a  $G_n$ -unitary matrix of type k if it differs from  $I_N$  by a principal submatrix with rows and columns labeled by two consecutive terms  $X_k$  and  $X_{k+1}$  in the Gray code  $G_n = (X_1, X_2, \ldots, X_N), k \in \{1, 2, \ldots, N-1\}$ . Clearly, there are N-1 types of  $G_n$ -unitary matrices. Since  $X_k$  and  $X_{k+1}$  differ in only one position, every  $G_n$ -unitary matrix corresponds to a  $C^{n-1}V$  gate. It is now easy to adapt Proposition 2.1 to prove the following.

**Proposition 3.1** Let n be a positive integer and  $N = 2^n$ . Every  $N \times N$  unitary matrix U is a product of m  $G_n$ -unitary matrices with  $m \leq N(N-1)/2$ . Furthermore, the  $G_n$ -unitary matrices can be chosen to have any determinant with modulus 1 as long as their product equals  $\det(U)$ .

*Proof.* Identify (0, 1, ..., N-1) with the N-tuple of binary numbers  $(0 \cdots 0, 0 \cdots 01, ..., 1 \cdots 1)$ ; label the rows and columns of U by the binary numbers  $0 \cdots 0, 0 \cdots 01, ..., 1 \cdots 1$ . Then apply Proposition 2.1 to U with P replaced by  $G_n$ .

To illustrate Proposition 3.1, consider a quantum gate U acting on 3 qubits. Label its rows and columns by  $000, \ldots, 111$ , and consider the Gray code  $G_3 = (000, 001, 011, 010, 110, 111, 101, 100)$ . Then the  $G_3$  permutation sequence corresponds to P = (1, 2, 4, 3, 7, 8, 6, 5). One may find  $G_3$ -matrices  $U_1, \ldots, U_{28}$  to create zero entries in the following order:

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column 1: create zeros at the (5,1), (6,1), (8,1), (7,1), (3,1), (4,1), (2,1) positions;
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column 2: create zeros at the (5,2), (6,2), (8,2), (7,2), (3,2), (4,2) positions;

column 4: create zeros at the (5,4), (6,4), (8,4), (7,4), (3,4) positions;

column 3: create zeros at the (5,3),(6,3),(8,3),(7,3) positions;

column 7: create zeros at the (5,7), (6,7), (8,7) positions;

column 8: create zeros at the (5,8),(6,8) positions;

column 6: create zeros at the (5,6) position.

Note we deal with the columns in the order of 1, 2, 4, 3, 7, 8, 6, and eliminate the entries in each column in the order of 5, 6, 8, 7, 3, 4, 2, 1 if it is not yet zero.

Proposition 3.1 helps us to decompose a quantum gate acting on n qubits as the product of no more than  $2^{n-1}(2^n-1)$  fully controlled single-qubit gates. One can then apply the techniques in [1] or [15] to further decompose them into elementary gates, i.e., single qubit gates and CNOT gates. In fact, the authors in [15] use the Gray code techniques to relabel the computational basis and then use a clever scheme to reduce the number of control bits. It led to a very efficient decomposition of quantum gates. For other papers concerning efficient and practical algorithms in constructing unitary gates; see [3, 4, 8, 9, 10, 11, 12, 15] and the references therein. Note that relabeling the computational basis using the Gray code is basically the same as our procedure. In our decomposition scheme, we focus on the order of creating zero entries in the process. It is easy to implement, and easy to change if new structure and (zero) patterns evolve in the process. For instance, in the 3-qubit case, if the unitary U is given as or becomes  $I_2 \oplus V \oplus I_1$  after a few steps, then we can focus our P-unitary matrices with rows and columns associated with the subsequences (011, 010, 100, 101) corresponding to (4, 3, 7, 5, 6). Then we can use this smaller class of P-unitary matrices to eliminate all the non-zero entries in off-diagonal positions for the rest of the process.

## 4 Discussion

In this note, we obtained a decomposition of a d-by-d unitary matrix as product of special structures specified by a vector  $P = (j_1, j_2, ..., j_d)$  such that the entries of P correspond to a permutation of (1, 2, ..., d). The result is then applied to show that every unitary gate U acting on n qubits can be decomposed as product of special two-level unitary matrices corresponding to fully controlled single-qubit gates. This was done by using Gray code  $G_n = (X_1, X_2, ..., X_N)$  with  $N = 2^n$ , and constructing  $G_n$ -unitary matrices which are two-level matrices obtained from  $I_N$  by changing its principal submatrix with row and column indexes  $X_k$  and  $X_{k+1}$  for k = 1, 2, ..., N-1.

There are other applications of Proposition 2.1. For example, if P = (1, 2, ..., d), then P-unitary matrices are two-level tridiagonal unitary matrices. In numerical linear algebra and other applications, it is useful to decompose a matrix into tridiagonal forms with simple structure; e.g., see [5, 14] and their references. In the context of quantum information science, it is desirable to have a decomposition scheme of the unitary matrix respecting the tensor (Kronecker) product structure. The same technique might be useful for decomposition of matrices with other multilinear structures. Moreover, it is easy to adjust our decomposition scheme to take advantage of additional structure evolving in the process.

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