

Interactive Brokers Australia Pty Ltd., AFSL 453554, ABN 98 166 929 568, Level 40, Grosvenor Place, 255 George Street, Sydney NSW

Account Information

Name	Ridwan Setiawan
Account	U4139694
Address of Account Holder(s)	1237/1 Studio Drive Eastgardens, AU-NSW 2036 Australia
Account Type	Individual
Customer Type	Individual
Account Capabilities	Cash
Base Currency	USD

Net Asset Value

	September 30, 2022		October 31, 2022		Change	Change in NAV		Total
	Total		Long	Short				
Cash	25,783.13		12,959.77	0.00	12,959.77	-12,823.36	Starting Value	22,129.45
Stock	22,889.31		19,133.33	0.00	19,133.33	-3,755.98	Mark-to-Market	-6,504.55
Options	-26,545.70		3,658.12	-1,538.83	2,119.29	28,664.99	Deposits & Withdrawals	18,602.14
Interest Accruals	0.00		1.16	-4.81	-3.65	-3.65	Dividends	3.19
Dividend Accruals	2.71		0.00	0.00	0.00	-2.71	Withholding Tax	-0.48
Total	22,129.45		35,752.38	-1,543.64	34,208.74	12,079.29	Change in Dividend Accruals	-2.71
Time Weighted Rate of Return						-21.02%	Interest	1.81
							Change in Interest Accruals	-3.67
							Commissions	-16.46
							Other FX Translations	0.02
							Ending Value	34,208.74

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Position	Mark-to-Market P/L				Total	Code
	Prior	Current	Prior	Current		Transaction	Commissions	Other			
Stocks											
A2M	300	300	5.4000	5.2600	-26.38	0.00	0.00	0.00	-26.38		
AGE	25,000	25,000	0.0560	0.0520	-62.20	0.00	0.00	0.00	-62.20		
AMD	0	100	--	60.0600	225.00	-2,219.00	0.00	0.00	-1,994.00		
BABA	5	0	79.9900	--	-848.25	-18,736.55	-2.35	0.00	-19,587.15		

Mark-to-Market Performance Summary

Symbol	Quantity		Price		Mark-to-Market P/L					Total	Code
	Prior	Current	Prior	Current	Position	Transaction	Commissions	Other			
DBX	100	0	20.7200	--	49.00	9.00	-1.06	0.00	56.94		
GOOG	4	4	96.1500	94.6600	-5.96	0.00	0.00	0.00	-5.96		
INTC	400	200	25.7700	28.4300	1,018.58	-63.78	-3.15	0.00	951.64		
NIO	200	200	15.7700	9.6700	-1,976.00	-11,306.50	-3.19	0.00	-13,285.69		
PPH	800	800	0.9450	1.1400	100.28	0.00	0.00	0.00	100.28		
PTRA	100	100	4.9800	6.2400	126.00	0.00	0.00	0.00	126.00		
SDR	500	500	3.0900	3.1000	4.49	0.00	0.00	0.00	4.49		
SOFI	400	200	4.8800	5.4400	226.00	-25.00	-1.05	0.00	199.95		
SPY	2	0	357.1800	--	27.90	7.88	-1.02	2.71	37.47		
Total Stocks					-1,141.54	-32,333.95	-11.83	2.71	-33,484.61		
Equity and Index Options											
AMD 21OCT22 80 P	-1	0	16.7291	--	-547.01	2,219.92	0.00	0.00	1,672.91		
AYX 20JAN23 60 C	1	0	5.7500	--	-341.65	-38.35	-0.31	0.00	-380.31		
AYX 20JAN23 65 C	1	0	3.9500	--	-270.00	-12.00	-0.25	0.00	-282.25		
BABA 19JAN24 75 C	1	1	22.6112	12.8250	-978.62	0.00	0.00	0.00	-978.62		
BABA 19JAN24 165.0 P	-1	0	85.0675	--	-425.02	8,931.77	0.00	0.00	8,506.75		
BABA 19JAN24 170.0 P	-1	0	90.0109	--	-796.91	9,798.00	0.00	0.00	9,001.09		
C 19JAN24 42.5 C	1	1	6.4500	8.5312	208.12	0.00	0.00	0.00	208.12		
DIS 19JAN24 115 C	1	1	9.5750	15.2250	565.00	0.00	0.00	0.00	565.00		
GOOG 21OCT22 110 P	-1	0	13.9624	--	532.39	173.85	-0.70	0.00	705.54		
GOOG 25NOV22 110 P	0	-1	--	15.3883	-488.49	-155.34	-0.72	0.00	-644.55		
NIO 17MAR23 35 P	-5	0	19.3064	--	-1,741.80	11,395.00	0.00	0.00	9,653.20		
TSM 21OCT22 80 P	-1	0	11.4913	--	-479.87	113.00	-0.70	0.00	-367.57		
TSM 25NOV22 80 P	0	0	--	--	-166.55	-270.45	-1.37	0.00	-438.37		
Total Equity and Index Options					-4,930.41	32,155.40	-4.05	0.00	27,220.94		
Forex											
AUD	17,438.40	0.00	0.64018	0.63983	-215.52	0.00	0.00	0.00	-215.52		
USD	14,619.42	12,959.77	1.0000	1.0000	0.00	-38.52	-0.59	0.00	-39.11		
Total Forex					-215.52	-38.52	-0.59	0.00	-254.64		
Total (All Assets)					-6,287.47	-217.07	-16.46	2.71	-6,518.30		
Broker Interest Paid and Received									1.81		
Total P/L for Statement Period									-6,516.49		

Realized & Unrealized Performance Summary

		Realized					Unrealized						
Symbol	Cost Adj.	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	Total	Code
Stocks													
A2M	0.00	0.00	0.00	0.00	0.00	0.00	68.72	0.00	0.00	0.00	68.72	68.72	
AGE	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-500.09	-500.09	-500.09	
AMD	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,310.72	0.00	0.00	-1,310.72	-1,310.72	
BABA	0.00	0.00	-6,204.56	0.00	-710.56	-6,915.12	0.00	0.00	0.00	0.00	0.00	-6,915.12	
DBX	0.00	0.00	-327.09	0.00	0.00	-327.09	0.00	0.00	0.00	0.00	0.00	-327.09	
GOOG	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-109.56	0.00	0.00	-109.56	-109.56	

Realized & Unrealized Performance Summary

Symbol	Cost Adj.	Realized					Unrealized					Total	Code
		S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total	S/T Profit	S/T Loss	L/T Profit	L/T Loss	Total		
INTC	0.00	0.00	-2,091.26	0.00	0.00	-2,091.26	0.00	-1,978.90	0.00	0.00	-1,978.90	-4,070.16	
NIO	0.00	0.00	-3,715.35	0.00	0.00	-3,715.35	0.00	-1,647.61	0.00	0.00	-1,647.61	-5,362.96	
PPH	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-185.93	-185.93	-185.93	
PTRA	0.00	0.00	0.00	0.00	0.00	0.00	75.20	0.00	0.00	0.00	75.20	75.20	
SDR	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-1,183.11	0.00	0.00	-1,183.11	-1,183.11	
SOFI	0.00	0.00	-120.28	0.00	0.00	-120.28	0.00	-96.80	0.00	0.00	-96.80	-217.08	
SPY	0.00	0.00	-25.42	0.00	0.00	-25.42	0.00	0.00	0.00	0.00	0.00	-25.42	
Total Stocks	0.00	0.00	-12,483.95	0.00	-710.56	-13,194.51	143.92	-6,326.70	0.00	-686.03	-6,868.80	-20,063.32	
Equity and Index Options													
AYX 20JAN23 60 C	0.00	0.00	0.00	0.00	-2,306.35	-2,306.35	0.00	0.00	0.00	0.00	0.00	-2,306.35	
AYX 20JAN23 65 C	0.00	0.00	0.00	0.00	-2,787.89	-2,787.89	0.00	0.00	0.00	0.00	0.00	-2,787.89	
BABA 19JAN24 75.0 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,568.25	0.00	0.00	-2,568.25	-2,568.25	
C 19JAN24 42.5 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-497.92	0.00	0.00	-497.92	-497.92	
DIS 19JAN24 115.0 C	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-2,428.25	0.00	0.00	-2,428.25	-2,428.25	
GOOG 21OCT22 110 P	0.00	93.63	0.00	0.00	0.00	93.63	0.00	0.00	0.00	0.00	0.00	93.63	
GOOG 25NOV22 110 P	0.00	0.00	0.00	0.00	0.00	0.00	0.00	-644.55	0.00	0.00	-644.55	-644.55	
TSM 21OCT22 80 P	0.00	0.00	-1,047.41	0.00	0.00	-1,047.41	0.00	0.00	0.00	0.00	0.00	-1,047.41	
TSM 25NOV22 80 P	0.00	0.00	-438.37	0.00	0.00	-438.37	0.00	0.00	0.00	0.00	0.00	-438.37	
Total Equity and Index Options	0.00	93.63	-1,485.78	0.00	-5,094.24	-6,486.39	0.00	-6,138.97	0.00	0.00	-6,138.97	-12,625.35	
Forex													
AUD	0.00	10.97	-1,264.68	0.00	0.00	-1,253.71	0.00	0.00	0.00	0.00	0.00	-1,253.71	
Total Forex	0.00	10.97	-1,264.68	0.00	0.00	-1,253.71	0.00	0.00	0.00	0.00	0.00	-1,253.71	
Total (All Assets)	0.00	104.61	-15,234.41	0.00	-5,804.80	-20,934.61	143.92	-12,465.66	0.00	-686.03	-13,007.77	-33,942.38	

Cash Report

		Total
Base Currency Summary		
Starting Cash		25,783.13
Commissions		-16.46
Deposits		18,602.14
Dividends		3.19
Broker Interest Paid and Received		1.81
Trades (Sales)		61,514.19
Trades (Purchase)		-92,718.13
Withholding Tax		-0.48
Cash FX Translation Gain/Loss		-209.63
Ending Cash		12,959.77
Ending Settled Cash		-1,555.95
AUD		
Starting Cash		17,438.40
Deposits		29,500.00
Broker Interest Paid and Received		1.38

Cash Report

	Total
Trades (Purchase)	-46,939.78
Ending Cash	0.00
Ending Settled Cash	0.00
USD	
Starting Cash	14,619.42
Commissions	-16.46
Dividends	3.19
Broker Interest Paid and Received	0.91
Trades (Sales)	61,514.19
Trades (Purchase)	-63,161.00
Withholding Tax	-0.48
Ending Cash	12,959.77
Ending Settled Cash	-1,555.95

Open Positions

Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Stocks								
AUD								
A2M	300	1	4.9020	1,470.60	5.2600	1,578.00	107.40	
AGE	25,000	1	0.083264	2,081.60	0.0520	1,300.00	-781.60	
PPH	800	1	1.50325	1,202.60	1.1400	912.00	-290.60	
SDR	500	1	6.7982	3,399.10	3.1000	1,550.00	-1,849.10	
Total				8,153.90		5,340.00	-2,813.90	
Total in USD				5,217.11		3,416.69	-1,800.42	
USD								
AMD	100	1	73.16716994	7,316.72	60.0600	6,006.00	-1,310.72	
GOOG	4	1	122.0500	488.20	94.6600	378.64	-109.56	
INTC	200	1	38.3245	7,664.90	28.4300	5,686.00	-1,978.90	
NIO	200	1	17.9080448	3,581.61	9.6700	1,934.00	-1,647.61	
PTRA	100	1	5.4880	548.80	6.2400	624.00	75.20	
SOFI	200	1	5.9240	1,184.80	5.4400	1,088.00	-96.80	
Total				20,785.03		15,716.64	-5,068.39	
Total Stocks in USD				26,002.14		19,133.33	-6,868.80	
Symbol	Quantity	Mult	Cost Price	Cost Basis	Close Price	Value	Unrealized P/L	Code
Equity and Index Options								
USD								
BABA 19JAN24 75 C	1	100	38.5074915	3,850.75	12.8250	1,282.50	-2,568.25	
C 19JAN24 42.5 C	1	100	13.5103915	1,351.04	8.5312	853.12	-497.92	
DIS 19JAN24 115 C	1	100	39.5074915	3,950.75	15.2250	1,522.50	-2,428.25	
GOOG 25NOV22 110 P	-1	100	8.94278174	-894.28	15.3883	-1,538.83	-644.55	
Total				8,258.26		2,119.29	-6,138.97	

Trades

Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Stocks										
USD										
AMD	2022-10-08, 07:20:00	100	80.0000	57.8100	-8,000.00	0.00	8,000.00	0.00	-2,219.00	A;O
Total AMD		100			-8,000.00	0.00	8,000.00	0.00	-2,219.00	
BABA	2022-10-12, 07:20:00	100	165.0000	75.7300	-16,500.00	0.00	16,500.00	0.00	-8,927.00	A;O
BABA	2022-10-19, 00:44:13	-100	77.6000	76.3400	7,760.00	-1.19	-10,654.27	-2,895.46	126.00	C
BABA	2022-10-20, 07:20:00	100	170.0000	72.0200	-17,000.00	0.00	17,000.00	0.00	-9,798.00	A;O
BABA	2022-10-29, 01:33:26	-105	62.4300	63.7400	6,555.15	-1.16	-10,573.64	-4,019.66	-137.55	C
Total BABA		-5			-19,184.85	-2.35	12,272.09	-6,915.12	-18,736.55	
DBX	2022-10-19, 00:30:43	-100	21.3000	21.2100	2,130.00	-1.06	-2,456.02	-327.09	9.00	C
Total DBX		-100			2,130.00	-1.06	-2,456.02	-327.09	9.00	
INTC	2022-10-21, 00:32:02	-58	26.1850	26.0800	1,518.73	-1.04	-2,223.14	-705.45	6.09	C;L
INTC	2022-10-29, 01:36:11	-42	28.5016	29.0700	1,197.07	-1.03	-1,609.86	-413.83	-23.87	C
INTC	2022-10-29, 01:49:36	-100	28.6100	29.0700	2,861.00	-1.08	-3,831.90	-971.98	-46.00	C
Total INTC		-200			5,576.80	-3.15	-7,664.90	-2,091.26	-63.78	
NIO	2022-10-18, 07:20:00	500	35.0000	12.2100	-17,500.00	0.00	17,500.00	0.00	-11,395.00	A;O
NIO	2022-10-19, 00:34:36	-200	13.0000	12.2100	2,600.00	-1.09	-3,777.25	-1,178.34	158.00	C
NIO	2022-10-29, 01:37:06	-200	9.4650	9.6900	1,893.00	-1.07	-3,581.61	-1,689.68	-45.00	C;P
NIO	2022-10-29, 01:41:38	-100	9.4450	9.6900	944.50	-1.03	-1,790.80	-847.34	-24.50	C
Total NIO		0			-12,062.50	-3.19	8,350.34	-3,715.35	-11,306.50	
SOFI	2022-10-29, 01:38:08	-200	5.3250	5.4500	1,065.00	-1.05	-1,184.23	-120.28	-25.00	C
Total SOFI		-200			1,065.00	-1.05	-1,184.23	-120.28	-25.00	
SPY	2022-10-19, 00:30:00	-2	375.0700	371.1300	750.14	-1.02	-774.54	-25.42	7.88	C
Total SPY		-2			750.14	-1.02	-774.54	-25.42	7.88	
Total					-29,725.41	-11.83	16,542.73	-13,194.51	-32,333.95	
Symbol	Date/Time	Quantity	T. Price	C. Price	Proceeds	Comm/Fee	Basis	Realized P/L	MTM P/L	Code
Equity and Index Options										
USD										
AMD 21OCT22 80 P	2022-10-08, 07:20:00	1	0.0000	22.1992	0.00	0.00	683.28	0.00	2,219.92	A;C
Total AMD 21OCT22 80 P		1			0.00	0.00	683.28	0.00	2,219.92	
AYX 20JAN23 60 C	2022-10-29, 01:40:16	-1	1.9500	2.3335	195.00	-0.31	-2,501.04	-2,306.35	-38.35	C
Total AYX 20JAN23 60 C		-1			195.00	-0.31	-2,501.04	-2,306.35	-38.35	
AYX 20JAN23 65 C	2022-10-29, 01:40:49	-1	1.1300	1.2500	113.00	-0.25	-2,900.64	-2,787.89	-12.00	C
Total AYX 20JAN23 65 C		-1			113.00	-0.25	-2,900.64	-2,787.89	-12.00	

Trades										
BABA 19JAN24 165 P	2022-10-12, 07:20:00	1	0.0000	89.3177	0.00	0.00	6,441.29	0.00	8,931.77	A;C
Total BABA 19JAN24 165 P		1			0.00	0.00	6,441.29	0.00	8,931.77	
BABA 19JAN24 170 P	2022-10-20, 07:20:00	1	0.0000	97.9800	0.00	0.00	6,929.29	0.00	9,798.00	A;C
Total BABA 19JAN24 170 P		1			0.00	0.00	6,929.29	0.00	9,798.00	
GOOG 21OCT22 110 P	2022-10-19, 00:37:57	1	6.9000	8.6385	-690.00	-0.70	784.33	93.63	173.85	C
Total GOOG 21OCT22 110 P		1			-690.00	-0.70	784.33	93.63	173.85	
GOOG 25NOV22 110 P	2022-10-19, 00:37:57	-1	8.9500	10.5034	895.00	-0.72	-894.28	0.00	-155.34	O
Total GOOG 25NOV22 110 P		-1			895.00	-0.72	-894.28	0.00	-155.34	
NIO 17MAR23 35 P	2022-10-18, 07:20:00	5	0.0000	22.7900	0.00	0.00	8,545.98	0.00	11,395.00	A;C
Total NIO 17MAR23 35 P		5			0.00	0.00	8,545.98	0.00	11,395.00	
TSM 21OCT22 80 P	2022-10-19, 00:42:06	1	15.1600	16.2900	-1,516.00	-0.70	469.29	-1,047.41	113.00	C
Total TSM 21OCT22 80 P		1			-1,516.00	-0.70	469.29	-1,047.41	113.00	
TSM 25NOV22 80 P	2022-10-19, 00:42:06	-1	15.1800	16.3245	1,518.00	-0.74	-1,517.26	0.00	-114.45	O
TSM 25NOV22 80 P	2022-10-29, 01:34:55	1	19.5500	17.9900	-1,955.00	-0.63	1,517.26	-438.37	-156.00	C
Total TSM 25NOV22 80 P		0			-437.00	-1.37	0.00	-438.37	-270.45	
Total					-1,440.00	-4.05	17,557.51	-6,486.39	32,155.40	
Symbol	Date/Time	Quantity	T. Price	Proceeds	Comm in USD	MTM in USD	Code			
Forex										
USD										
AUD.USD	2022-10-12, 17:12:47	-15,752	0.62705		9,877.29	-0.20			-12.29	L
AUD.USD	2022-10-12, 17:13:18	-5	0.62695		3.13	0.00			0.00	L
AUD.USD	2022-10-18, 17:13:02	-11,682	0.63105		7,371.93	-0.15			1.64	L
AUD.USD	2022-10-18, 17:13:02	-0.775	0.63105		0.49	0.00			0.00	
AUD.USD	2022-10-18, 18:17:00	-16,082	0.6296		10,125.23	-0.20			-21.07	L
AUD.USD	2022-10-18, 18:17:02	-4	0.6296		2.52	0.00			-0.01	L
AUD.USD	2022-10-20, 17:12:53	-3,414	0.62625		2,138.02	-0.04			-6.79	L
Total AUD.USD		-46,939.775			29,518.60	-0.59			-38.52	
Total					29,518.60	-0.59			-38.52	

Interest Accruals

Base Currency Summary

Starting Accrual Balance	0.00
Interest Accrued	-1.86
Accrual Reversal	-1.81
FX Translation	0.02
Ending Accrual Balance	-3.65

AUD

Starting Accrual Balance	0.00
Interest Accrued	3.19
Accrual Reversal	-1.38
Ending Accrual Balance	1.81
Ending Accrual Balance in USD	1.16

USD

Starting Accrual Balance	0.00
Interest Accrued	-3.90
Accrual Reversal	-0.91
Ending Accrual Balance	-4.81

Interest

Date	Description	Amount
AUD		
2022-10-05	AUD Credit Interest for Sep-2022	1.38
Total		1.38
Total in USD		0.90
USD		
2022-10-05	USD Credit Interest for Sep-2022	0.91
Total		0.91
Total Interest in USD		1.81

Deposits & Withdrawals

Date	Description	Amount
AUD		
2022-10-10	Electronic Fund Transfer	10,000.00
2022-10-18	Electronic Fund Transfer	19,500.00
Total		29,500.00
Total in USD		18,602.14

Withholding Tax

Date	Description	Amount	Code
USD			
2022-11-01	SPY(US78462F1030) Cash Dividend USD 1.596398 per Share - US Tax	-0.48	
Total		-0.48	

Dividends

Date	Description	Amount
USD		
2022-11-01	SPY(US78462F1030) Cash Dividend USD 1.596398 per Share (Ordinary Dividend)	3.19
Total		3.19

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Starting Dividend Accruals in USD									2.71	
Stocks										
USD										
SPY	2022-11-01	2022-09-16	2022-10-31	2	-0.48	0.00	1.60	-3.19	-2.71	Re
Total					-0.48	0.00		-3.19	-2.71	

Change in Dividend Accruals

Symbol	Date	Ex Date	Pay Date	Quantity	Tax	Fee	Gross Rate	Gross Amount	Net Amount	Code
Ending Dividend Accruals in USD									0.00	

Financial Instrument Information

Symbol	Description	Conid	Security ID	Listing Exch	Multiplier	Type	Code
Stocks							
A2M	A2 MILK CO LTD	189114468	NZATME0002S8	ASX	1	COMMON	
AGE	ALLIGATOR ENERGY LTD	83662625	AU000000AGE2	ASX	1	COMMON	
AMD	ADVANCED MICRO DEVICES	4391	US0079031078	NASDAQ	1	COMMON	
BABA	ALIBABA GROUP HOLDING-SP ADR	166090175	US01609W1027	NYSE	1	ADR	
DBX	DROPBOX INC-CLASS A	311892159	US26210C1045	NASDAQ	1	COMMON	
GOOG	ALPHABET INC-CL C	208813720	US02079K1079	NASDAQ	1	COMMON	
INTC	INTEL CORP	270639	US4581401001	NASDAQ	1	COMMON	
NIO	NIO INC - ADR	332794741	US62914V1061	NYSE	1	ADR	
PPH	PUSHPAY HOLDINGS LTD	456539878	NZPPHE0001S6	ASX	1	COMMON	
PTRA	PROTERRA INC	496878939	US74374T1097	NASDAQ	1	COMMON	
SDR	SITEMINDER LTD	524923847	AU0000183543	ASX	1	COMMON	
SOFI	SOFI TECHNOLOGIES INC	494162724	US83406F1021	NASDAQ	1	COMMON	
SPY	SPDR S&P 500 ETF TRUST	756733	US78462F1030	ARCA	1	ETF	

Symbol	Description	Conid	Listing Exch	Multiplier	Expiry	Delivery Month	Type	Strike	Code
Equity and Index Options									
AMD 221021P00080000	AMD 21OCT22 80 P	545061708	CBOE	100	2022-10-21	2022-10	P	80	
AYX 230120C00060000	AYX 20JAN23 60 C	445245227	CBOE	100	2023-01-20	2023-01	C	60	
AYX 230120C00065000	AYX 20JAN23 65 C	444923518	CBOE	100	2023-01-20	2023-01	C	65	
BABA 240119C00075000	BABA 19JAN24 75 C	516121862	CBOE	100	2024-01-19	2024-01	C	75	
BABA 240119P00165000	BABA 19JAN24 165 P	513955641	CBOE	100	2024-01-19	2024-01	P	165	
BABA 240119P00170000	BABA 19JAN24 170 P	513955662	CBOE	100	2024-01-19	2024-01	P	170	
C 240119C00042500	C 19JAN24 42.5 C	515425644	CBOE	100	2024-01-19	2024-01	C	42.5	
DIS 240119C00115000	DIS 19JAN24 115 C	515449386	CBOE	100	2024-01-19	2024-01	C	115	
GOOG 221021P00110000	GOOG 21OCT22 110 P	552502743	CBOE	100	2022-10-21	2022-10	P	110	
GOOG 221125P00110000	GOOG 25NOV22 110 P	589990884	CBOE	100	2022-11-25	2022-11	P	110	
NIO 230317P00035000	NIO 17MAR23 35 P	554323840	CBOE	100	2023-03-17	2023-03	P	35	
TSM 221021P00080000	TSM 21OCT22 80 P	545499894	CBOE	100	2022-10-21	2022-10	P	80	
TSM 221125P00080000	TSM 25NOV22 80 P	590115214	CBOE	100	2022-11-25	2022-11	P	80	

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
A	Assignment	LI	Last In, First Out (LIFO) tax basis election
ADR	ADR Fee Accrual	LT	Long Term P/L
AEx	Automatic exercise for dividend-related recommendation.	Lo	Direct Loan
Adj	Adjustment	M	Entered manually by IB
AI	Allocation	MEx	Manual exercise for dividend-related recommendation.

Codes

Code	Meaning	Code (Cont.)	Meaning (Cont.)
Aw	Away Trade	ML	Maximize Losses tax basis election
B	Automatic Buy-in	MLG	Maximize Long Term Gain tax basis election
Bo	Direct Borrow	MLL	Maximize Long Term Loss tax basis election
C	Closing Trade	MSG	Maximize Short Term Gain tax basis election
CD	Cash Delivery	MSL	Maximize Short Term Loss tax basis election
CP	Complex Position	O	Opening Trade
Ca	Cancelled	P	Partial Execution
Co	Corrected Trade	PI	Price Improvement
Cx	Part or all of this transaction was a Crossing executed as dual agent by IB for two IB customers	Po	Interest or Dividend Accrual Posting
ETF	ETF Creation/Redemption	Pr	Part or all of this transaction was executed by the Exchange as a Crossing by IB against an IB affiliate and is therefore classified as a Principal and not an agency trade
Ep	Resulted from an Expired Position	R	Dividend Reinvestment
Ex	Exercise	RED	Redemption to Investor
FP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as principal.	RI	Recurring Investment
FPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as principal.	RP	IB acted as agent for the fractional share portion of this trade, which was executed by an IB affiliate as riskless principal.
G	Trade in Guaranteed Account Segment	RPA	IB acted as agent for both the fractional share portion and the whole share portion of this trade; the fractional share portion was executed by an IB Affiliate as riskless principal.
GEA	Exercise or Assignment resulting from offsetting positions	Re	Interest or Dividend Accrual Reversal
HC	Highest Cost tax basis election	Ri	Reimbursement
HFI	Investment Transferred to Hedge Fund	SI	This order was solicited by Interactive Brokers
HFR	Redemption from Hedge Fund	SL	Specific Lot tax basis election
I	Internal Transfer	SO	This order was marked as solicited by your Introducing Broker
IA	This transaction was executed against an IB affiliate	SS	Customer designated this trade for shortened settlement and so is subject to execution at prices above the prevailing market
INV	Investment Transfer from Investor	ST	Short Term P/L
IPO	This transaction was executed as part of an IPO in which IB was a member of the selling group and is classified as a Principal trade.	T	Transfer
L	Ordered by IB (Margin Violation)	Un	Unvested shares from stock grant
LD	Adjusted by Loss Disallowed from Wash Sale		

Notes/Legal Notes

Notes

- This statement has been prepared by Interactive Brokers Australia Pty Ltd AFSL 453554, ABN 98 166 929 568, Level 40, Grosvenor Place, 255 George Street, Sydney NSW to confirm the Transactions covered herein.
IBA is a participant of ASX market, the ASX 24 market and the Chi-X Australia market.
- Transactions in products on Australia exchanges which settle via CHESS ((including ordinary shares, units, bonds, hybrids, CDIs, exchange-traded Australian Government Bonds (AGBs) , exchange-traded products (including ETFs), warrants and instalments)) settle on trade date plus two days, i.e. T+2, and options and futures settle on trade date plus one day, i.e. T+1. Share (including stock) transactions on international exchanges generally settle on trade date plus 3 days, i.e. T+3 (some exchanges have longer or shorter settlement periods) and options and futures traded on international exchanges settle on the trade date plus one day, i.e. T+1. A reference to a "trade date" is a reference to the trade date in the place where the market for the relevant product is located. Ending settled cash reflects the cash which has actually settled.
- Initial and maintenance margin requirements are available within the Account Window of the Trader Workstation.
- Quantities preceded by a "-" sign indicate sell transactions. Other transactions are purchases.
- In case of partial executions, commissions are charged on the total quantity executed on the original order. The commission is displayed on the first partial execution only.
- Each closed lot indicated above with a reference to note (6) was chosen by the end of the trading day to be sold versus the specific opening purchase identified in that row.
- Times are displayed in Australian Eastern Standard Time (AEST)/Australian Eastern Daylight Savings Time (AEDT); there will therefore be a difference between the times reported in this statement/report and the times that a trade is reported by the exchange to have occurred in markets which operate in a different time zone. This report covers the period which begins at 10am on a given day through to 10am on the day following the given day unless otherwise indicated.

Notes/Legal Notes

8. You are responsible for promptly checking this statement. You must immediately notify IBA if you become aware that there is an error in this statement. We are entitled to assume that the trades confirmed in this statement are correct unless you notify us of any error within 24 hours following IBA (or someone on its behalf) giving you this statement.

If IBA confirms execution or cancellation in error and you delay reporting such error, IBA reserves the right to remove the Transaction from the account or require you to accept the Transaction, in IBA's absolute discretion.

Please contact the Interactive Brokers Australia Customer Service Department through by lodging a ticket via account management or via the other means outlined on our website. You may also contact IB by phone, but if you report an error by phone, you should reconfirm such oral communication in writing.
9. Unless otherwise noted, Interactive Brokers acted as agent in the execution of all exchange traded products. IBA acts as principal in all over-the-counter transactions, including foreign currency spot and contracts for difference.
10. All VWAP trades are effected pursuant to an average price formula based on a reference price provided by a third-party data provider. Additional information and reference prices are available upon request. IBA, as agent, effects VWAP transactions through a market making affiliate, which acts as principal in such transactions and may have a long or short position in the security and may have profited or lost in connection with the transaction.
11. All reports, statements and confirmations issued by IBA are subject to the Applicable Laws, the directions, decisions and requirements of the relevant market operator, the clearing rules and where relevant, the relevant settlement rules, the customs and usages of the relevant financial market and the correction of errors and omissions.
12. Trades in Cash Market Products (as that term is defined in the relevant market integrity rules) on ASX and Chi-X Australia are cleared by BNP Paribas Securities Services, ARBN 149 440 291, AFSL 402467, who is a participant of ASX Clear Pty Ltd and ASX Settlement Pty Ltd.

Trades in Derivative Products on ASX and all products on ASX 24 are cleared by Interactive Brokers Australia as a participant of ASX Clear Pty Ltd and ASX Clear (Futures) Pty Ltd.

If your transaction was a crossing transaction, IBA may have either acted on behalf of (i) both the buyer and seller of this transaction, or (ii) on behalf of the buyer or seller on one side of the transaction and act as Principal on the other side. Under the Corporations Act 2001, where IBA enters into an exchange traded derivatives on a customer's behalf, IB is regarded as having issued the derivative to the customer.
13. Applicable fees for your transactions is available on the Interactive Brokers Australia website www.interactivebrokers.com.au under "Pricing".
14. Borrow Fee Rate represents the cost to borrow stock expressed in percent per annum. It is applied to the cash collateral amount on the stock borrow contract and is separate from any interest earned on credit cash balances. Similarly, Loan Fee Rate represents the benefit to lend stock. A positive rate indicates a cost to the borrower/benefit to the lender and a negative rate indicates a benefit to the borrower/cost to the lender. In general, the fee rates for hard-to-borrow stocks are higher than for normal availability stocks.
15. The closing prices on this Activity Statement are indicative and may come from third-party sources. While Interactive Brokers Australia reasonably believes the information presented in this statement is correct, Interactive Brokers Australia does not warrant the accuracy of the prices provided by third-party sources.
16. All Market Data and Research services are provided through Global Financial Information Services (GmbH).
17. Market data is provided by Global Financial Information Services (GmbH). Your local broker collects amounts owed for fees and tax for such data on behalf of Global Financial Information Services (GmbH). Note, you are responsible for any applicable taxes relating to the provision of these services.

Offshore Markets Notes: The following specific notices relate to transactions occurring on non-Australian markets only:

18. Affiliates of Interactive Brokers Australia receive compensation from fund companies in connection with the purchase and holding of mutual fund shares by customers of Interactive Brokers LLC. Such compensation includes, but is not limited to, Rule 12b-1 fees which are paid out of the funds assets. The source and amount of any remuneration received will be furnished upon written request of the customer.
19. The following entities clear non-Australian exchange transactions: Interactive Brokers LLC; Interactive Brokers Canada Inc.; Interactive Brokers Hong Kong Limited; Interactive Brokers Securities Japan, Inc.; Interactive Brokers (U.K.) Limited; Timber Hill Europe AG; Timber Hill LLC; ABN Amro Clearing Singapore Pte. Ltd.; BBVA Bancomer, S.A.; BNP Paribas Securities Services, Milan Branch; Citibank International plc; Euroclear Bank S.A./N.V.; Shinhan Securities Co., Ltd.; Skandinaviska Enskilda Banken AB.; Skandinaviska Enskilda Merchang Banking, Oslo Branch
20. Trades marked with the exchange indicator of TMBR were effected by IBA as agent through a market making affiliate, which acted as principal in the transaction and may have a long or short position in the security and may have profited or lost in connection with the transaction.
21. Affiliates of IBA may also receive liquidity rebates from Alternative Trading Systems and exchanges for certain orders. Affiliates of IBA may receive payment for some option orders pursuant to exchange-mandated marketing fee programs or other arrangements. Affiliates of IBA do not generally accept payment for order flow for security futures orders. The source and nature of any compensation received by an affiliate of IBA in connection with any transaction is available upon written request of the customer. For further information, including a full list of IBA's affiliates, check the IB AU website or email help@interactivebrokers.com.